

### Bioaccessibility of polymethoxyflavones encapsulated in resistant starch particles stabilized Pickering emulsions: Role of fatty acid complexation and heat treatment

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1	Bioaccessibility of polymethoxyflavones encapsulated in resistant starch particles stabilized
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15 **ABSTRACT:** High-amylose maize starch formed complexes with different fatty acids (C12:0, C14:0, C16:0, C18:0 and C18:1) using two hydrothermal methods. The resistances of these 16 starch complexes against enzymatic hydrolysis were all higher than the native starch, while the 17 hydrophobicity of these complexes was enhanced. The capabilities of these starch-fatty acid 18 complexes to form Pickering emulsions were further characterized. Starch-saturated fatty acid 19 complexes were able to form stable emulsions that endured heat treatment of 60, 80 and 100 °C 20 respectively. However, starch-unsaturated fatty acid complexes could not form stable emulsions. 21 The barrier properties of these emulsions were adjusted by swelling of starch granules resulted 22 from heat treatment. Lipolysis profiles of polymethoxyflavones (PMFs) loaded emulsions 23 suggested that certain heat treatment could reduce the accessibility of lipase towards oil droplets 24 and release of PMFs during lipolysis by enhancing the coverage of granules at the oil-water 25 interface. The resistant starch particles stabilized Pickering emulsions have the potential to 26 encapsulate and enhance the bioaccessibility of poorly-soluble phytochemicals in food and 27 pharmaceutical products. 28

KEYWORDS: Resistant starch, V-amylose complex, bioaccessibility, fatty acid, Pickering
emulsion.

#### 32 1 Introduction

A large variety of compounds with health-promoting biofunctionalities have been recognized 33 in natural plants. However, many of these compounds are lipophilic with poor aqueous solubility, 34 thus possessing low bioavailability over oral ingestion. Encapsulation of bioactive lipophilic 35 compounds using emulsion system has long been applied to increase their solubility and augment 36 their oral dose efficiency. Conventional emulsions formed by small molecular-weight emulsifiers 37 might cause adverse effects, such as irritancy, biological interactions, promoting colitis and 38 metabolic syndrome.<sup>1, 2</sup> An alternative way to avoid use of these surfactants is to apply colloidal 39 particles-stabilized emulsions, so-called Pickering emulsions.<sup>3</sup> Compared to conventional 40 emulsions, Pickering emulsions also possessed higher stability against coalescence and Ostwald 41 ripening.<sup>4</sup> Most of the colloid particles used is from inorganic or synthetic resources.<sup>5-8</sup> Recently, 42 production of particulate emulsifiers from natural biopolymers has gained great attraction, 43 especially with application in food, cosmetic and pharmaceutical industry.<sup>9, 10</sup> Starch is one of the 44 promising materials that has been studied for developing particle stabilizers owing to its 45 overwhelming abundance and inherent biodegradability.<sup>11-13</sup> With intrinsic hydrophilicity, the 46 emulsifying efficiency of most native starch was unsatisfying. Hydrophobic modification of 47 starch is a common approach used to enhance its affinity for oil phase, thus improving its 48 emulsifying properties.<sup>14, 15</sup> Currently, this approach involved the formation of chemical bonding 49 between the hydroxyl groups of starch and the reacting reagents. 50

The amylose in the starch tends to form single helix structure through complexing with suitable lipophilic molecules.<sup>16</sup> Complexation between fatty acids and starch has been proved to reduce enzyme hydrolysis rate of starch, which could form a type of resistant starch.<sup>17, 18</sup> Since high-amylose maize starch has high gelatinization temperature and relatively higher content of

55 resistant starch compared to normal and waxy maize starches. In our previous research, high amylose maize starch-fatty acid complexes with fatty acid chain length of 12-18 carbons have 56 been prepared.<sup>19</sup> The morphological and structural characterization of the resulting complexes 57 have been fully analyzed. Using a relatively low hydrothermal treatment temperature (80  $^{\circ}$ C), 58 these complexes exhibit a V-type structure and still maintain the original intact granule shape of 59 native starch.<sup>19</sup> Since medium and long chain fatty acids are lipophilic, the hydrophobicity of 60 starch-fatty acid complexes would be enhanced. Hence, the emulsifying efficiency of these 61 complexes would be improved compared to native starch. In this study, complexes formed by 62 63 starch and different fatty acids will be used to stabilize Pickering emulsions. This is one pioneer study that using resistant starch as colloid particles to form Pickering emulsions. The resulting 64 Pickering emulsions will possess the health benefits that linked with resistant starch, which 65 include lower the postprandial plasma-glucose, intervene insulin resistance, serve as a potential 66 probiotic and promote colonic health.<sup>20-23</sup> Although starch granules stabilized Pickering 67 emulsions are stable against coalescence, there could be some relatively large space between 68 starch granules at the oil-water interface even if a fully coverage interface was formed due to 69 relatively large size of starch granules. The gelatinization of starch granules at the oil-water 70 interface under heat treatment could adjust the barrier properties of starch granule stabilized 71 Pickering emulsions.<sup>24</sup> Since the formation of amylose-lipid complex in starch granules restricts 72 the swelling of starch granules during heating process and enhance the resistant of starch 73 molecules to enzymatic hydrolysis,<sup>25, 26</sup> the delayed or controlled lipid digestion and controlled 74 release of encapsulated bioactive compounds within the gastro-intestinal tract could be achieved 75 by applying different extent of heating treatment on the starch-fatty acid complex stabilized 76 Pickering emulsions. 77

78 Polymethoxyflavones (PMFs) are a unique class of flavonoids that exist almost exclusively in the peels of citrus fruits. The health-promoting properties of PMFs are well-documented, 79 which include neuroprotective, anti-inflammatory, antiatherogenic, antiangiogenic and anticancer 80 activities.<sup>27-30</sup> Monodemethylated PMFs are a unique subclass of PMFs, that have been isolated 81 from aged citrus peels and proved to exhibit stronger bioactivities in different systems than their 82 permethoxylated PMF counterparts.<sup>31-34</sup> As lipophilic bioactive reagents, monodemethylated 83 PMFs have low bioavailability over oral consumption due to poor aqueous solubility. The control 84 release of PMFs during digestion process could be achieved by applying appropriated delivery 85 86 system.

Therefore, the aim of this research was to investigate the emulsifying efficiency of resistant 87 starch formed by complexing high-amylose maize starch with different fatty acids to stabilize 88 Pickering emulsions, and barrier properties of resistant starch stabilized Pickering emulsions 89 after different heat treatments. To evaluate their encapsulation and delivery properties of 90 91 lipophilic bioactive compounds, two key polymethoxyflavones (PMFs), 5-demethyltangeretin and 5-demethylnobiletin were incorporated into the emulsion systems. And the release profiles of 92 the PMFs under in vitro small intestinal digestion conditions in Pickering emulsions after 93 different extent of heat treatments were investigated. 94

#### 95 2 Material and methods

#### 96 **2.1 Materials**

High-amylose maize starch (H-VII, ~70% amylose) was obtained from Ingredion (Bridgewater,
NJ). The soy bean oil was purchased from a local supermarket. Lauric acid (C12:0), myristic
acid (C14:0), palmitic acid (C16:0), stearic acid (C18:0) and oleic acid (C18:1) with at least 99%
purity were purchased from Sigma-Aldrich (St. Louis, MO). Sodium taurodeoxycholate (NaTDC)

was purchased from CalBiochem (La Jolla, CA). Phosphatidylcholine (PC75 rapeseed lecithin)
was a gift from the American Lecithin Co. (Oxford, CT). Pancreatin from porcine pancreas (Cat.
No. P7545) and amyloglucosidase (Cat. No. A7095, activity 300 unit/mL) were purchased from
Sigma-Aldrich (St. Louis, MO). 5-Demethyltangeretin and 5-demethylnobiletin at a ratio of 3:1
(w/w) with a purity of >98% was synthesized in our laboratory using a previously published
method.<sup>35</sup> All other chemicals used in the study were analytical grade.

#### 107 2.2 Preparation of starch-fatty acid complex resistant starch

108 The starch-fatty acid resistant starch was prepared according to a previous method with 109 modifications.<sup>19</sup> Two methods were used to prepare the starch-fatty acid complexes.

Heating starch prior to the addition of fatty acid (method I). Dried native starch mixed with distilled water [40%, w/w, dried starch base (dsb)] in sealed reaction vessels were heated at 80 °C for 12 h. Fatty acid (15%, w/w, dsb), dissolved in ethanol (35%, w/w, dsb) was vigorously mixed with heated starch dispersions. The mixtures were uncovered to evaporate the ethanol at 80 °C for 5 min, then sealed and further heated for 2 h at 80 °C.

Adding fatty acid prior to heating to the starch (method II). The fatty acid (15%, w/w, dsb), dissolved in ethanol (35%, w/w, dsb), was vigorously mixed with dried starch. The mixtures were heated at 80 °C for 5 min in reaction vessels without cover to evaporate ethanol, then sealed and further heated at 80 °C for 2 h. Distilled water (40%, w/w, dsb) was added under vigorously stirring and then heated at 80 °C for an addition of 12 h.

After the heating process, all the samples from method I and II were cooled to room temperature, washed with 50% distilled water-ethanol solution (v/v) for three times, and recovered by centrifugation (1,500  $\times$ g for 10 min). The resulting pellets were dried at 40 °C overnight and ground to fine powder.

#### 124 **2.3 Lipid content**

The lipid contents of the samples were determined according to a previous study.<sup>36</sup> The free 125 lipid in the complexes was measured by extracting using Soxhlet with petroleum ether at 50 °C 126 for 10 h. The total lipid content of the complexes was measured after acid hydrolysis process of 127 starch. Around 1.00 g of complex was accurately weighed and well mixed with 10 ml of distilled 128 water. Then 15 ml of 8.0 M HCl was added before heating in a boiling water bath for 25 min. 129 After the heating process, 50.0 ml of distilled water was added. The mixture was then filtered 130 through filter paper and washed with distilled water until the filtrate reach neutral. The residue 131 with filter paper was dried overnight at 40 °C. The total lipid content of the dried residues was 132 Soxhlet extracted with petroleum ether at 50 °C for 8 h. The recipients with the extracted fat 133 were dried at 105 °C to constant weight. The lipids in the samples were composed by both free 134 lipids and complexed lipids (complexed lipids = total lipids - free lipids). All samples were 135 analyzed in triplicates. 136

#### 137 **2.4 Contact angle measurement**

Starch films were formed using solution casting method. The samples were heated in distilled water at 150 °C. The suspensions were poured onto glass dishes and dried at room temperature overnight. The water contact angle of the films was measured using sessile drop method on a VCA Optima-goniometer system (AST Products, U.S.A). A drop of water (2µL) was placed on the starch film. Then the contact angle was measured at least at five different places of the film. The reported result was the average value of these measurements.

### 144 2.5 In vitro starch digestibility

*In vitro* digestibility of the samples was analyzed using Englyst method with slight
 modifications.<sup>21</sup> Starch samples (600 mg, dry base), distilled water (10 ml) and five glass beads

were added into 50 ml centrifuge tubes. The tubes were capped and mixed using vortex for 5 min 147 before heated for 30 min in a boiling water bath. The tubes were vortexed in each 5 min intervals 148 to prevent agglomeration during heat treatment. The tubes was then equilibrated in a shaking 149 thermostat at 37 °C for 30 min before 5 ml of sodium acetate buffer containing porcine 150 pancreatin ( $3 \times 10^3$  USP) and amyloglucosidase (40 units) was added. 1.00 ml of aliquots were 151 taken at 20 and 120 min of reaction time, and mixed with 10 ml of ethanol-water solution (80%, 152 v/v) to stop enzyme reaction. The reducing sugar content in the mixture was measured using the 153 3, 5-dinitrosalicylic acid method. Each sample was analyzed in triplicate. 154

#### 155 2.6 Preparation of blank emulsions and PMFs loaded-emulsions

Blank emulsions without PMFs was prepared by dispersing starch-fatty acid complexes in 156 distilled water (12 mg/ml water phase) and thoroughly mixing with same volume of soy bean oil 157 using an IKA Ultra-Turrax T25 homogenizer at 12,000 rpm for 3 min. To evaluate the 158 emulsifying ability of different complexes particles, the ratio of complexes particles precipitated 159 at the bottom of the vials (RP) were measured according to equation: RP (%) = 160  $\frac{Mass of precipitated particles}{Total mass of added particles} \times 100$ . PMFs loaded-emulsions were prepared using the same method 161 as blank emulsions except the oil phase containing dissolved PMFs (2 mg/ml in oil phase), and 162 the oil/water ratio was set up at 5:4. To investigate the effect of heat treatment on the emulsions, 163 the emulsions were heated at 60, 80 and 100 °C for 1 h, respectively. Then samples were 164 165 equilibrated overnight before further analysis. All samples were prepared in triplicate.

#### 166 2.7 Microstructure observation of emulsions by light microscopy

167 The microstructure of starch-fatty acid complexes stabilized Pickering emulsions were 168 analyzed using a Nikon Eclipse TE2000-U (Japan) microscope fitted with a 1392×1040 169 resolution CCD camera (Retiga EXi, QImaging). The emulsions were placed on a glass

microscopic plate without cover glass. The mean droplet size of the emulsions was determined
by measuring at least fifty emulsion droplets from at least five different parts of the emulsions
using ImageJ program.

173 **2.8** *In vitro* lipolysis of PMFs in emulsions

The in vitro lipolysis study simulated the digestion conditions of human small intestine and 174 was performed based on a method described previously.<sup>37</sup> Briefly, a fed-state lipolysis buffer 175 with Tris maleate (50 mM), NaCl (150 mM), CaCl<sub>2</sub> (5 mM), NaTDC (20 mM), 176 Phosphatidylcholine (5 mM) was prepared. Enzyme suspension was freshly prepared by adding 177 1 g of pancreatin into 5 ml fed-state buffer, stirring for 15 min, centrifuging at 2,000 rpm for 15 178 min, collecting the supernatant, and storing on ice. The lipolysis study was began by mixing 179 Pickering emulsions containing 250 mg oil phase with 9 ml fed-state buffer and keeping at 37 °C 180 for 10 min under stirring. Then the pH of the mixture was adjusted to pH 7.5 before 1 ml of ice-181 chilled enzyme suspension was added to initiate the digestion. The pH of digestion buffer during 182 2 h digestion process was maintained at  $7.5 \pm 0.1$  by adding 0.25 M NaOH. The volume of added 183 NaOH solution at each time point was recorded throughout the lipolysis experiments. The 184 experiments were conducted in triplicates. 185

The amount of NaOH added was assumed to equal to the amount of free fatty acids (FFAs) released by lipolysis of triacylglycerols. The extent of lipolysis was calculated using the following equation assuming digestion of one triglyceride unit released two molecules of fatty acid and consuming two molecules of NaOH:

190 Extent of lipolysis (%) = 
$$\frac{V_{\text{NaOH}}C_{\text{NaOH}}M_{\text{w,lipid}}}{2 m_{\text{lipid}}} \times 100$$
 (1)

where  $V_{\text{NaOH}}$  is the volume of NaOH added during lipolysis,  $C_{\text{NaOH}}$  is the concentration of added NaOH solution (mol per 1000 cm<sup>3</sup>),  $M_{\text{w,lipid}}$  is the average molecular weight of the lipid (g

per mol), and  $m_{\text{lipid}}$  is the total mass of lipid present in the sample (g). The extent of lipolysis at 193 30 min was recorded as a measure of barrier properties of starch-fatty acid stabilized emulsions 194 after different heat treatments on the initial rate of lipolysis. 195 ..... .. ... ion

Upon completion of the lipolysis, the digestion buffers were ultracentrifuged at 4 °C and 197 40,000 rpm for 40 min, which were separated into several phases, an oily phase at the top, an 198 aqueous phase containing incorporated PMFs micelles in the middle, and an opaque sediment 199 phase. The volume of middle phase micelle phase which represented the major forms of 200 compounds for potential intestinal absorption was calculated, and its PMFs concentration was 201 analyzed using HPLC. The bioaccessibility (%) of PMFs was calculated using following 202 equation: 203

204 Bioaccessibility (%) = 
$$\frac{\text{amount of solubilized PMFs in micelle}}{\text{amount of PMFs in the formulations}} \times 100\%$$
 (2)

#### 2.11 High-performance liquid chromatography (HPLC) analysis of PMFs 205

The UltiMate 3000 HPLC system equipped with a 25D UV-VIS absorption detector (Dionex) 206 207 and Supelco's RP-Amide column, 15 cm × 64.6 mm i.d., 3 µm (Bellefonte, PA) was used to analysis PMFs based on a previous study.<sup>38</sup> The detection wavelength was set at 320 nm. And the 208 injection volume was thirty microliters. The gradient elution with a mobile phase of water (A) 209 and acetonitrile (B) was used. The following elution program was used: 0 to 10 min, linear 210 gradient from 60% A/40% B to 45% A /55% B, then linear gradient to 30% A /70% B at 15 min, 211 followed by linear gradient to 20% A/80% B at 20 min, finally a linear change back to 60% A/40% 212 B at 21 min and lasting for 1 min. The flow rate was set at 1 ml/min. 213

#### 2.12 Statistical analysis 214

Analysis of mean values and variances were conducted using Duncan's least significant test (p 215

216 < 0.05) by SPSS 13.0 statistical software for Windows (SPSS, Inc., Chicago, IL, USA).

#### 217 **3 Results and discussion**

#### 218 **3.1 Lipid content and contact angle of starch-fatty acid complexes**

When fatty acids and starch were processed under hydrothermal conditions, the fatty acids in 219 the resulting starch-fatty acid complex could exist as free fatty acids and complexed fatty acids. 220 And the complexed fatty acids were mainly from the helical complexation between amylose and 221 fatty acids.<sup>39</sup> The amount of total lipids, complexed lipids and free lipids in starch-fatty acid 222 complexes were analyzed and presented in **Table 1**. The addition mode (before or after heat 223 treatment of starch) and chain length of fatty acids were both found to affect the lipid content in 224 the resulting starch-fatty acid complexes. Generally, the content of free lipids in the starch-fatty 225 acid complexes from both methods I and II increased when the chain length of fatty acids 226 227 increased from 12 to 18 carbons. Meanwhile, the complexed lipid content of starch-fatty acid complexes decreased with the increase in the fatty acids chain. Complexed lipids might be 228 mainly from the fatty acids that formed single helix complex with linear amylose.<sup>19</sup> The 229 formation of V-amylose complex between amylose and lipids is found to be affected by the fatty 230 acid chain length. Previous studies indicated that the V-amylose formation decreased with 231 increased in the chain length since higher activation energy was needed for complex formation 232 with longer acyl chain.<sup>40</sup> The free lipids could come from the fatty acid crystals that coated on 233 the surface of starch granules or were physically trapped in some regions of starch granules other 234 than within the helixes.<sup>19</sup> Saturated fatty acids with longer aliphatic chain possess higher 235 crystalline temperature and lower solubility in ethanol solutions, which are more susceptible to 236 aggregation and crystallization during hydrothermal treatment. Consequently, increasing the 237 238 chain length of fatty acids led to higher content of free fatty acid residues in the complexes. The

content of free fatty acids and complexed lipids in starch-unsaturated fatty acid complex was much lower than other starch-saturated fatty acid complexes. The decrease of free unsaturated fatty acid content in the complexes could be attributed to the relatively low crystalline temperature and high solubility in ethanol solutions of unsaturated fatty acid. The less efficient complexing between amylose and unsaturated fatty acids might be due to the nonlinear or kinked *cis*-double bound of unsaturated fatty acid requiring a larger helix cavity to accommodate the unsaturated portion of the acyl chain.<sup>41, 42</sup>

The addition mode of fatty acids (before or after heat treatment of starch) also impacted the 246 interactions between starch and fatty acids. Starch-fatty acid complexes from method II 247 contained higher amount of total lipids and complexed lipids than their counterparts from 248 method I, while the free lipids content in complexes from method II was lower than that from 249 method I except for starch-lauric acid complex. In method I, addition of fatty acids was after the 250 swelling and partial gelatinization of starch granules, leading to more porous and accessible 251 structure in the amorphous and crystalline lamellae.<sup>43</sup> Therefore, fatty acids were supposed to 252 more easily penetrate into the granules, complex or entangle with the external and internal 253 amylose. Since V-amylose formation was difficult between amylose and fatty acid with longer 254 chain length, a large amount of the penetrated fatty acids might be physically trapped in the 255 amorphous lamellae or between helixes of the crystalline lamellae. These physically trapped free 256 fatty acids were hard to be removed during the washing procedure and retained in the resulting 257 258 complexes. In the case of method II, fatty acids were added before hydrothermal treatment, which provided longer reaction time between fatty acids and amylose to form V-amylose 259 complex. And the fatty acids might coat on the surface of the starch granules, inhibiting the 260 penetration of other fatty acids into the granules.<sup>44</sup> As a result, most of the free fatty acids were 261

located on the surface of the granules, which could be easily removed by washing solutions. Theobtained starch-fatty acid complexes would contain less free fatty acids.

The contact angles of these starch-fatty acid complexes were presented in Table 2. The 264 contact angles of starch-saturated fatty acid complexes were ranged from 78.6° to 82.6°, which 265 are much higher than that of native starch (46.1°). And the enhancement in the contact angle of 266 the complexes was positively related to their total lipid contents. When the lipid contents of 267 starch-fatty acid complexes prepared by method I increased from 2.84 to 3.47 (g/100 g starch), 268 contact angle of the complexes improved from 78.6° to 81.2°. Then the contact angle declined to 269 78.5° when the lipid content decreased to 3.35 (g/100 g starch). Similarly, the contact angle of 270 complexes from method II decreased from 82.6° to 80.9° with the lipid content decreasing from 271 3.74 to 3.50 (g/100 g starch). Containing higher lipid contents, starch-fatty acid complexes from 272 method II exhibited slightly higher contact angle than their counterparts from method II. But 273 total lipid content wasn't the only factor affecting the contact angle of complexes. Containing 274 same total lipid content of 3.35 (g/100 g starch), samples from Method I-C14:0 and Method I-275 C18:0 had different contact angles of 79.4° and 78.5°, respectively. This phenomenon could be 276 attributed to the different fatty acids used. Myristic acid and stearic acid were used in samples 277 278 from Method I-C14:0 and Method I-C18:0. Although, they had the same lipid contents, the amount of fatty acid molecules was higher in starch-myristic acid complex compared to starch-279 stearic acid complex. Moreover, more complexed lipids and less free lipids presented in sample 280 281 from Method I-C14:0 than Method I-C18:0. The distributions of fatty acid molecules in the two complexes might also affect the contact angles. Compared to starch-saturated fatty acid 282 complexes, contact angles of starch-unsaturated fatty acid complexes were much lower, which 283 284 could be attributed to the low lipid content of the complexes. Increasing in the contact angle of

starch-fatty acid complexes indicated the improvement of their hydrophobicity, which would
adjust their affinity to the oil and water phase, and might enhance their emulsifying efficiency
during formation of Pickering emulsions.

#### 288 **3.2** *In vitro* starch digestibility

According to previous classification method,<sup>45</sup> the starch fractions hydrolyzed within 20 min 289 and between 20 and 120 min were referred as "rapidly digested starch" and "slowly digested 290 starch", respectively. And the rest of the starch was categorized as "resistant starch". The 291 contents of RDS, SDS, and RS in different starch-fatty acid complexes were presented in Table 3. 292 293 The RDS, SDS, and RS content of native starch were 75.2%, 6.5% and 18.8%, respectively. The addition mode of fatty acids and chain length of fatty acids were found to affect the enzymatic 294 hydrolysis of starch. After complexing with fatty acids, the SDS and RS content of the 295 complexes were markedly increased. The V-amylose complex formed between amylose and fatty 296 acids is known to be resistant to enzymatic hydrolysis.<sup>46</sup> These V-amylose complexes would 297 restrict the swelling of starch during heating, and the free fatty acids coated on the surface of the 298 starch granules, which could further reduce the accessibility of starch molecules to enzyme 299 digestion.<sup>25, 26</sup> In the case of starch-saturated fatty acids complexes, the content of RDS in the 300 301 complexes from both method I and II was decreased markedly, and the RS content increased with prolonged fatty acid chain length. The RS level in the starch-fatty acids complexes from 302 method II was slightly higher than that of their counterparts from method I. The higher content of 303 304 RS in complexes from method II might be result from the more efficient formation of amylosefatty acid complexes, which could be manifested by the higher complexed lipids content 305 observed earlier. Compared to native starch, the RS content of complexes was increased when 306 307 complexing with unsaturated fatty acid. However, the RS level was lower than that of starch-

saturated fatty acid complexes with same chain length, which might be attributed to lower
 thermal stability of amylose-unsaturated fatty acid complexes.<sup>42</sup>

### 310 **3.3 Emulsifying capacity of starch-fatty acid complexes**

After complexing with fatty acids, the hydrophobicity of resulting complexes was largely 311 enhanced due to the long aliphatic chain of these fatty acids, which would adjust their affinity to 312 the water and oil phase. The bulk images of Pickering emulsions stabilized by different starch-313 fatty acid complexes (o/w 1:1, 12 wt%) were presented in **Figure 1**. All the starch-saturated fatty 314 acid complexes were able to stabilize Pickering emulsions, which were creaming quickly after 315 316 the homogenization due to the large emulsion droplets. The emulsifying volume of emulsion stabilized by starch-lauric acid complex from method I was the smallest, and a noticeable 317 amount of complex was settled down at the bottom. The ratio of precipitated starch-lauric acid 318 complex particle from method I reached 19.7%, which was much higher than that of other 319 complex particles (Table S1). These results suggested that its emulsifying ability might be less 320 efficient than other complexes. In the case of starch-unsaturated fatty acid complexes, 321 emulsifying phase seemed to be formed. However, a certain amount of oil phase was leaked out 322 on the top of emulsion phase. 323

The typical microscopic images, combined with average droplet size of these emulsions are presented in **Figure 2**. As evidenced from the images, the mode of adding fatty acids and chain length of fatty acids during complexes processing had a distinct influence on the size of the emulsion droplets. The difference in the emulsifying ability of these complexes was related to wettability of the complex particles at the oil-water interface, which was affected by the total lipids content of the complexes, the distribution of fatty acids in the complexes and the affinity of complexes at oil and water phase. Emulsions stabilized by starch-fatty acid complexes from

331 method I had larger droplet size compared to those stabilized by complexes with same fatty acids from method II. Complexes from method II contained a higher amount of total lipids, larger 332 contact angles than their counterparts from method I, indicating higher level of hydrophobicity. 333 The detachment energy of complexes from method II would be higher, and the resulting 334 emulsions were more stable against coalescences. The distributions of fatty acids in the 335 complexes would also adjust the position of complex particles at the oil-water interface by 336 influencing the partial hydrophobicity of the complexes. The affinity of complexes towards oil 337 and water phase could be affected by the solubility of fatty acids in the oil and water phase. The 338 339 chain length of fatty acids exhibited small influence on the droplet size of the emulsions stabilized by complexes from method I, while its effects on the emulsion droplets formed by 340 complexes from method II were more profound. The emulsions stabilized by starch-palmitic acid 341 and -stearic acid complexes from method II presented larger droplet size than emulsions 342 stabilized by other starch-fatty acid complexes using the same method. Therefore, the differences 343 in the emulsifying abilities of complexes with similar amount of total lipids might be attributed 344 to the differences in the distributions and chain length of fatty acid in the complexes. For 345 emulsions stabilized by starch-saturated fatty acid complexes, densely packed starch particles 346 347 could be clearly observed on the oil-water interface of discrete emulsion droplets. These packed particles would form strong steric barriers to further enhance the stability of adjacent droplets 348 against coalescence.<sup>47</sup> In the case of starch-unsaturated fatty acid complexes, no discrete 349 350 emulsion droplets were observed under microscopic images, indicating no stable emulsions were formed. These results suggested that complexing with unsaturated fatty acids might not be 351 effective to enhance the emulsifying ability of native starch to form Pickering emulsions. 352

**353 3.4 Influence of heating on emulsion stability** 

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354 The microscopic images and average droplet size of Pickering emulsions stabilized by different complexes under different heating conditions (60, 80, 100 °C) were depicted in Figure 3. Studies 355 have suggested that Pickering emulsion stabilized by starch granules remained stable under heat 356 treatment, which led to partial gelatinized starch granules at the interface to protect the integrity 357 of the emulsions droplets.<sup>24, 48</sup> The droplet size measurements indicated that the heated emulsion 358 droplets were slight higher after heat treatment. And the emulsion droplets size increased with 359 increased temperature during treatment. The microscopic images showed that swelling of intact 360 starch granules were located at the oil-water interface. At heat temperature of 100 °C, the starch-361 fatty acids complex granules still remained particle status except a noticeable swelling of these 362 particles was observed. The resulting emulsions were still stable with increase in the droplet size 363 resulting from starch swelling after heating. The superior stability of emulsion against heat 364 treatment could be attributed to the relatively high gelatinization temperature of high-amylose 365 starch (70~108 °C).<sup>18</sup> Furthermore, the gelatinization temperature of starch-fatty acid complexes 366 would be further increased due to the formation of amylose-fatty acid crystalline complex, which 367 had high melting temperature of larger than 100 °C.<sup>49</sup> The swelling of starch particles under heat 368 treatment in starch stabilized Pickering emulsions was found to provide better barrier properties 369 and protect the integrity of droplets under freezing and freeze-drying.<sup>24, 48</sup> It suggested that these 370 heated Pickering emulsions might have potential applications as delivery and encapsulation 371 systems of lipophilic compounds in food and pharmaceutical products. 372

# 373 3.5 Encapsulation of PMFs in starch-fatty acid complexes stabilized Pickering emulsions 374 and their digestion profile under simulated small intestinal digestion

375 Since starch-fatty acid complexes prepared using method II seemed to exhibit better 376 emulsifying ability, Pickering emulsion systems stabilized by these complexes were used to

377 encapsulate two major PMFs (5-demethyltangeretin and 5-demethylnobiletin). The oil/water ratio was set at 5:4 to increase the oil faction in the emulsions and decrease the creaming effect. 378 The bulk images of PMFs loaded emulsions stabilized by starch-fatty acid complexes with 379 different fatty acids were presented in Figure 4(i). And the microstructures of these emulsions 380 before and after *in vitro* digestion process were depicted in Figure 4(ii). After encapsulating with 381 PMFs, stable emulsions with no creaming effects were formed. The emulsions exhibited light 382 vellow color coming from PMFs. Discrete emulsion droplets covered with complex granules 383 were observed under optical microscope. The *in vitro* small intestinal digestions of these 384 385 emulsions were characterized using a pH-stat lipolysis model. The release of fatty acids vs digested time, lipase activity during hydrolysis and bioaccessibility of PMFs after lipolysis were 386 monitored and presented in Figure 5. Also, the structure of digestion buffer at the end of 387 lipolysis was observed under optical microscope, seen in Figure 4(ii). After the lipolysis process, 388 the starch-fatty acid complexes remained their intact granular structure despite the fatty acid 389 chain length used, which indicated that starch-fatty acid complexes were resistant to the 390 hydrolysis of amylase (coming from the pancreatin suspension). Compared to emulsions 391 stabilized by complexes with fatty acids (C14:0, C16:0, C18:0), the extent of lipolysis of starch-392 lauric fatty complexes stabilized emulsions was slower and lower. And its lipase activity 393 recorded was the lowest. The lipolysis progress is the hydrolysis of oil droplets under actions of 394 lipase and gradual detachment of hydrolyzed products (mainly monoglycerides and fatty acids) 395 from the oil droplet surface for further hydrolysis.<sup>50</sup> The better barrier properties of starch-lauric 396 acid complex against lipase hydrolysis might be resulted from the higher lipid content of the 397 complexes. These complexes covering the surface of oil droplets would restrict the interactions 398 399 between lipase and oil droplets since the fatty acids in the complexes were hard to be removed

400 from the surface. The extent of lipolysis resulting from different starch-fatty acid complexes used affected the release of PMFs during the digestion process. The bioaccessibility of PMFs during 401 lipolysis was the lowest and the highest in emulsions stabilized by starch-lauric acid complex 402 and starch-palmtic acid complex, respectively. It is worth noting that the bioaccessibility of 403 PMFs in these formulations (bioaccessibility, 22%~52%) is much higher than that in previous 404 lecithin-based emulsions (bioaccessibility of 5-demethyltangeretin, < 1%), <sup>38</sup> which highlights 405 the possible high efficiency of starch-fatty acid complex stabilized Pickering emulsion as 406 delivery vehicle for lipophilic bioactive compounds. 407

# 3.6 Effect of starch swelling from heating on lipolysis of PMFs loaded-Pickering emulsions during simulated small intestinal digestion

The in vitro digestion of Pickering emulsions stabilized by different starch-fatty acid 410 complexes suggested that the barrier properties of emulsions using starch-lauric acid complexes 411 were better than using other complexes. Hence, starch-lauric acid complexes stabilized 412 emulsions were treated with heating process to further investigate the effect of starch swelling on 413 the barrier properties. The bulk images of PMFs loaded emulsions stabilized by starch-lauric acid 414 complexes after heating at different temperatures (60, 80, 100 °C), the microstructure of these 415 emulsions before and after lipolysis process were presented in Figure 6. After heating treatment, 416 a slight creaming effect was observed in the emulsions. However, these emulsions still remained 417 stable. Discrete emulsion droplets were observed under optical microscope. With the increasing 418 of heating temperature from 60 to 100 °C, a noticeable swelling of starch granules was observed, 419 especially at the temperature of 100 °C. The swelling of starch granules would make these 420 granules more susceptible to hydrolysis of amylase. As depicted in Figure 6, the complexes 421 remained granular structure after lipolysis process, indicating that these complexes possessed 422

423 resistance to amylase digestion even after certain heat treatment. For emulsions without heat treatment, there would be space between individual granules at the oil-water interface even at 424 close packing. As the result, the oil droplets would be easily accessible for lipase. The partially 425 gelatinized complex granules would form a thickness layer around the emulsion droplets, which 426 made them more impermeable for lipase activity as compared to non-heated emulsions. The 427 digestion profile of these emulsions, lipase activity and bioaccessibility of PMFs after lipolysis 428 process were presented in Figure 7. Compared to non-heat emulsions, the extent of lipolysis was 429 slower and lower when the emulsions were heated at 60 and 80 °C. The lipolysis rate of 430 431 emulsions was similar to that of non-heat emulsions when the heating temperature increased to 100 °C. Accordingly, the lipase activity dropped to the lowest at 60 °C. It then slightly increased 432 after heat process of 80 °C, which was still much lower than non-heat samples. These 433 phenomena could be interpreted as higher barrier properties of swelling starch to cover oil 434 droplet surface resulting from heating process.<sup>24</sup> Similar reduction in the lipase activity resulted 435 from heating process was observed in octenyl succinic anhydride (OSA) modified quinoa starch 436 stabilized Pickering emulsions.<sup>24</sup> The release of PMFs during lipolysis was reduced at heating 437 temperature of 60 and 80 °C due to improved barrier properties (Figure 7). However, the 438 enhanced barrier effect from swelling starch was not observed in emulsions with heat treatment 439 of 100 °C, which might be attributed to the high level of starch gelatinization resulting in the 440 breakdown of some starch granular structure, and the more susceptible of gelatinized starch 441 442 toward hydrolysis of amylase in the digestion media.

443 **4 Conclusions** 

V-amylose complexes were formed by complexing starch and different fatty acid chain
lengths using two hydrothermal treatment methods. *In vitro* digestibility study suggested that

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there complexes exhibited enzymatic resistance compared to native starch. The hydrophobicity 446 properties of starch were improved when complexing with fatty acids. With improved affinity 447 towards oil and water phases, starch complexed with saturated fatty acids of chain length ranging 448 from 12 to 18 were able to form stable Pickering emulsion and exhibited different emulsifying 449 efficiencies. However, the emulsifying ability of complexes with unsaturated fatty acid (C18:1) 450 was poor. The addition of fatty acids into the starch retarded the gelatinization of starch. 451 Emulsions stabilized by these complexes were stable under heat treatment of 60, 80 and 100 °C. 452 Starch-fatty acid complexes stabilized Pickering emulsions were used to encapsulate PMFs (5-453 454 demethyltangeretin and 5-demethylnobiletin). The *in vitro* lipolysis of PMFs loaded emulsions suggested that starch-lauric acid complex provided better barrier properties during lipolysis 455 process compared to other complexes. Heating treatment of emulsions under 60 and 80 °C 456 improved the barrier properties of the emulsions due to the swelling of starch. The lipase activity 457 during lipolysis reached a minimum at heat treatment of 60 °C. But this enhanced barrier effect 458 was disappeared when the heating temperature was 100 °C since the partial gelatinization of 459 starch was more severe. These results show the potential to create resistant starch based emulsion 460 encapsulation systems with controlled barrier properties using heating process. The starch-fatty 461 acid complex stabilized Pickering emulsions could be used as encapsulation and delivery system 462 with high heat stability and controlled release for lipophilic bioactive compounds for application 463 in various food and pharmaceutical products. 464

465

#### 466 **Conflicts of interest**

467 We have no conflict of interest in this research.

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546	Figure Captions		
547 548	Figure 1. Vessels containing emulsions stabilized by starch-fatty acid complexes (O/W ratio 1:1).		
549	A. 12 wt% starch-fatty acid complexes prepared using method I (From left to right: C12:0, C14:0,		
550	C16:0, C18:0, C18:1). B. 12 wt% starch-fatty acid complexes prepared using method II (From		
551	left to right: C12:0, C14:0, C16:0, C18:0, C18:1).		
552	Figure 2. Microscopic images and droplet sizes of emulsions stabilized by different starch-fatty		
553	acid complexes (12 wt% starch complex, o/w 1:1). The solid bars in microscopic images		
554	correspond to the length of 100 µm.		
555	Figure 3. Microscopic images and droplet sizes of emulsions stabilized by different starch-fatty		
556	acid complexes after different heat treatments (12 wt% starch complex, o/w 1:1). The solid bars		
557	in microscopic images correspond to the length of 100 µm.		
558	Figure 4. (i) Bulk images and (ii) Microscopic images of PMF-loaded different starch-fatty acid		
559	complexes stabilized emulsions before (1) and after lipolysis (2) (12 wt% starch-fatty acid		
560	complexes, o/w 5:4). From A to D: C12:0, C14:0, C16:0, C18:0.		
561	Figure 5. Extent of lipolysis, lipase activity and bioaccessibility of PMFs in different starch-fatty		
562	acid complexes stabilized emulsions.		
563	Figure 6. Microscopic images of PMF-loaded starch-fatty acid complexes stabilized emulsions		
564	with different heat-treatments before (1) and after lipolysis (2) (12 wt% starch-lauric acid		
565	complexes, o/w 5:4). From A to C: 60, 80,100 °C.		
566	Figure 7. Extent of lipolysis, lipase activity and bioaccessibility of PMFs in starch-fatty acid		
567	complexes stabilized emulsions after different heat treatments.		
568			



Figure 1.







Figure 2.





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579







583

Figure 3.

(i)

(ii)



585



100 um

586 587

Figure 4.



589



Figure 5.



100 um

594 595

Figure 6.







Figure 7.

		Lipid content (g/100 g of dry starch sample)		
	Sample <sup>a</sup>	acid hydrolyzed (total lipids, T)	petroleum ether extracted (free lipids, F)	T – F (complexed lipids)
	Native starch	$0.92 \pm 0.03a$	$0.52 \pm 0.07a$	0.40
Ν	Aethod I-C12:0	$2.84 \pm 0.18 bc$	$1.13 \pm 0.47 bc$	1.71
Ν	Aethod I-C14:0	$3.35 \pm 0.32c$	$1.68\pm0.13d$	1.67
Ν	Aethod I-C16:0	$3.47 \pm 0.29 d$	$1.88 \pm 0.36e$	1.59
Ν	Aethod I-C18:0	$3.35 \pm 0.47c$	$1.84 \pm 0.22$ de	1.51
Ν	Aethod I-C18:1	$2.41\pm0.25b$	$0.92\pm0.19b$	1.49
Ν	fethod II-C12:0	$3.74 \pm 0.31e$	$1.33 \pm 0.38 bc$	2.41
Ν	fethod II-C14:0	$3.58 \pm 0.42d$	$1.57 \pm 0.16$ cd	2.01
Ν	fethod II-C16:0	$3.56\pm0.15d$	$1.71 \pm 0.24d$	1.85
Ν	fethod II-C18:0	$3.50\pm0.19d$	$1.72 \pm 0.28 d$	1.78
Ν	Iethod II-C18:1	$2.56\pm0.23b$	$0.99 \pm 0.11 b$	1.57

**Table 1.** Lipid content of native starch and starch-fatty acid complexes.

<sup>602</sup> <sup>a</sup>Values in the same column with different superscript are significantly different (p < 0.05).

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## Table 2. Contact angle of starch-fatty acid complexes.

Sample <sup>a</sup>	Contact angle (°)
Native starch	$46.1 \pm 1.6a$
Method I-C12:0	$78.6 \pm 2.1c$
Method I-C14:0	$79.4 \pm 1.6$ cd
Method I-C16:0	$81.2 \pm 1.7 cd$
Method I-C18:0	$78.5 \pm 2.2c$
Method I-C18:1	$62.3\pm2.4b$
Method II-C12:0	$82.6 \pm 1.7 d$
Method II-C14:0	$82.1 \pm 2.1 cd$
Method II-C16:0	$81.7 \pm 1.9 cd$
Method II-C18:0	$80.9 \pm 2.4$ cd
Method II-C18:1	$64.2\pm1.8b$

<sup>a</sup>Values in the same column with different superscript are significantly different (p<0.05).

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Sample <sup>b</sup>	RDS (%)	SDS (%)	RS (%)
Native starch	$75.2 \pm 1.4e$	6.5 ± 1.5d	$18.8 \pm 0.8 d$
Method I-C12:0	$61.0 \pm 0.6d$	$13.4 \pm 0.9a$	$25.7\pm0.5a$
Method I-C14:0	$60.2 \pm 1.4$ cd	$13.5 \pm 0.8a$	$26.4 \pm 1.1 ab$
Method I-C16:0	$59.3 \pm 1.2c$	$13.8 \pm 0.7a$	$26.9 \pm 1.3b$
Method I-C18:0	$59.5 \pm 1.5c$	$13.3 \pm 1.1a$	$27.2 \pm 1.6b$
Method I-C18:1	$58.2 \pm 1.3 bc$	$14.7 \pm 0.6 bc$	$27.1\pm0.9b$
Method II-C12:0	$58.8 \pm 1.7 bc$	$14.0 \pm 0.7 ab$	$27.2\pm0.9b$
Method II-C14:0	$57.4 \pm 1.3b$	$13.7 \pm 0.8a$	$28.9 \pm 1.6c$
Method II-C16:0	$56.8 \pm 1.6b$	$14.2 \pm 1.1$ ab	$29.0 \pm 1.5c$
Method II-C18:0	$56.3 \pm 1.2ab$	$14.4 \pm 0.9ab$	$29.3 \pm 1.7c$
Method II-C18:1	$55.8 \pm 1.4a$	$15.6 \pm 0.8c$	$28.6\pm0.8bc$

Table 3. RDS, SDS, and RS contents in the cooked starch-fatty acid complexes <sup>a</sup> .
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<sup>a</sup>RDS, SDS, RS refer to rapidly digestible starch, slowly digestible starch, and resistant starch. <sup>b</sup>Values in the same column with different superscript are significantly different (p<0.05). 

## Graphic for table of contents



Figure: Digestion of Pickering emulsions stabilized by starch-fatty acid complex.