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GREEN TEA EXTRACT INHIBITS EARLY ONCOGENIC RESPONSES IN MICE WITH NONALCOHOLIC STEATOHEPATITIS

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1 **ABSTRACT**

2 Nonalcoholic steatohepatitis (NASH) increases hepatocellular carcinoma (HCC) risk. We 3 hypothesized that the hepatoprotective anti-inflammatory benefits of catechin-rich green tea 4 extract (GTE) would protect against HCC progression by inhibiting NASH-associated liver injury 5 and pro-oncogenic responses. We used an HCC model in high-fat (HF)-fed mice that mimics 6 early oncogenic events during NASH without inducing tumorigenesis and premature mortality. 7 Male C57BL/6J mice (4-wk old) were fed a HF diet containing GTE at 0% or 2%. Mice were 8 administered saline or diethylnitrosamine (DEN; 60 mg/kg, i.p.) at 5-wk and 7-wk of age. NASH, 9 inflammation, fibrosis, and oncogenic responses were assessed at 25-wk of age. Saline-treated 10 mice showed prominent histopathological signs of steatosis and hepatocellular ballooning. 11 Although DEN did not impact adiposity, steatosis, ballooning and hepatic lipid accumulation, 12 these parameters were attenuated by GTE regardless of DEN. Hepatic lipid peroxidation and 13 fibrosis that were increased by DEN were attenuated by GTE. Hepatic TLR4, MCP1 and TNF α 14 mRNA levels were unaffected by DEN, whereas iNOS was increased by DEN. These transcripts 15 were lowered by GTE. GTE attenuated the frequency of PCNA+ hepatocytes and mRNA 16 expression of cyclin D1, MIB1 and Ki-67 that were otherwise increased by DEN. GTE increase 17 APAF1 mRNA that was otherwise lowered by DEN. Relative to saline-treated mice, DEN 18 increased mRNA levels of oncostatin M, gp130, c-Fos, c-Myc and survivin; each was lowered 19 by GTE in DEN-treated mice. These findings indicate that GTE may protect against hepatic 20 oncogenesis by limiting early steps in the carcinogenic cascade related to NASH-associated 21 HCC.

22 **KEYWORDS:**

23 Cell proliferation; Diethylnitrosamine; Hepatocellular carcinoma; Green tea extract; Nonalcoholic 24 steatohepatitis

25 **INTRODUCTION**

26 Nonalcoholic steatohepatitis (NASH), which is mediated by inflammation and oxidative 27 stress, provokes the progression towards hepatocellular carcinoma (HCC).^{[1](#page-17-0)} The burden of HCC 28 has been historically related to viral infection. However, evidence supports that NASH 29 potentiates HCC risk and that its contribution towards HCC is outpacing that from hepatitis B 30 and C, and alcoholic fatty liver disease.^{[2](#page-17-1)} Treatment options for advanced liver disease, including 31 HCC, are limited due to the poor responses to a host of pharmacologic therapies and the risks 32 associated with liver transplantation.^{[3,](#page-17-2) [4](#page-17-3)} Given the limited treatment options, preventive 33 strategies focusing on dietary approaches have been emphasized to not only reduce the risk of 34 NASH, but also its pathogenic progression towards HCC.

35 Experimental models of HCC include administration of carcinogens, intra-hepatic or -portal 36 injections of tumor cells, genetic models or xenograft approaches.^{[5](#page-17-4)} These models are effective 37 for studying overt HCC characterized by advanced tumorigenesis. However, the aggressive 38 etiology of these models occurs in association with severe morbidity or premature mortality that 39 limits an understanding of the early events driving the NASH-to-HCC development. Indeed, the 40 progression from NASH to HCC is an early, but critical transition of liver injury characterized by 41 altered molecular, genomic, and pathological signatures not shared with more advanced stages 42 of liver disease.^{[6](#page-17-5)} Advanced fibrosis and cirrhosis are prerequisite for HCC development and 43 both share signal transducer and activator of transcription (STAT)-mediated inflammatory and 44 redox signaling pathways that trigger HCC development.[7](#page-17-6) Thus, a need exists to study the 45 pathologic progression of NASH to HCC in the absence of aggressive tumorigenesis to 46 establish dietary approaches that prevent early initiating events leading to HCC.

47 The hepatocellular carcinogen diethylnitrosamine (DEN) induces irreversible HCC^{[8,](#page-17-7) [9](#page-17-8)} through 48 a STAT3-dependent 'inflammation-fibrosis-cancer axis'.^{[10](#page-17-9)} Although NASH can be induced by a 49 high-fat (HF) diet alone, it occurs with minimal fibrosis even after extended exposure (36-50 50 wk).^{[11](#page-17-10)} In contrast, fibrosis and hepatocellular proliferation are effectively induced by DEN in a

51 STAT3-dependent manner.^{[10](#page-17-9)} The administration of DEN in mice fed a HF diet has therefore 52 been established as a model system to study the early, pre-oncogenic events implicated in the 53 NASH-to-HCC transition.[12](#page-17-11)

54 Findings from a population-based, case-control study suggest that chronic green tea 55 consumption is associated with a lower risk of developing HCC.[13](#page-17-12) A meta-analysis of 56 prospective cohort studies also indicated that high green tea intakes are associated with a lower 57 risk of liver cancer.^{[14](#page-17-13)} These benefits may be attributed to epigallocatechin gallate (EGCG), the 58 major catechin in green tea, which was shown to selectively induce apoptosis and cell cycle 59 arrest in a HCC cell line but not in non-cancerous hepatocytes.^{[15](#page-17-14)} Further, our report indicates 60 that catechin-rich green tea extract (GTE) protects against NASH in obese mice by inhibiting 61 hepatic inflammation consistent with a mechanism involving the gut-liver axis that limits 62 metabolic endotoxemia and hepatic TLR4/NFKB activation.^{[16](#page-17-15)} Despite strong evidence that GTE 63 alleviates NASH, it remains unclear whether its reported anti-inflammatory activities also protect 64 against HCC progression otherwise induced by NASH. Thus, we hypothesized that GTE would 65 prevent the progression towards HCC by limiting NASH-associated liver injury and pro-66 oncogenic responses. To test this, we adapted our well-established model of HF-induced NASH 67 to incorporate DEN exposure. This permitted the present study examining GTE during NASH in 68 association with DEN-induced HCC progression on hepatic injury, inflammation, and cell 69 proliferation.

70 **MATERIALS AND METHODS**

71 *Study Design*

72 This study was approved by the Institutional Animal Care and Use Committee at The Ohio 73 State University (Protocol #2012A00000156-R2) in accordance with the Animal Welfare Act and 74 the guidelines of the Public Health Service as issued in the Guide for the Care and Use of Laboratory 75 Animals. Forty, 3-wk old, male C57BL/6J mice (Jackson Laboratory) were acclimated for 1-wk. 76 At 4-wk of age, equal numbers were randomized to an HF diet containing powdered GTE at 0% 77 or 2% (w/w) until study termination at 25-wk of age. At 5-wk and 7-wk of age, equal numbers of 78 mice within each dietary treatment were administered DEN (60 mg/kg body mass; i.p.) or saline 79 vehicle once per week. At 25-wk of age, fasted mice (8-h) were sacrificed while under 2% 80 isoflurane anesthesia. Liver and adipose tissues were excised, washed in phosphate buffered 81 saline, snap-frozen in liquid nitrogen, and stored at -80°C. A portion of liver was also collected 82 into 10% buffered formalin or RNAlater[®] (Sigma-Aldrich).

83 *Mice and Diets*

84 Only male mice were used in these studies because of their greater susceptibility to HF-85 induced obesity^{[17](#page-17-16)} and DEN-induced carcinogenesis.^{[18](#page-17-17)} The HF diet contained 60% of energy 86 from fat (Research Diets) and was formulated with GTE (Unilever BestFoods). Complete dietary 87 compositional details have been reported.^{[19](#page-18-0)} The catechin content of GTE was confirmed by 88 HPLC-UV.^{[20](#page-18-1)} It contained 30% of total catechins (w/w) with the following distribution of specific 89 catechins: 48% EGCG, 31% epigallocatechin, 13% epicatechin gallate, 8% epicatechin. GTE at 90 2% was used based on our reports that it alleviates NFκB activation and NASH without inducing 91 hepatotoxicity.^{[16](#page-17-15), [21](#page-18-2)} This level is also extrapolated from epidemiological observations suggesting 92 that green tea (\geq 10 servings/d) lowers the risk for liver injury and metabolic abnormalities.^{[22](#page-18-3)}

93 *DEN Administration*

94 DEN is well-established to induce HCC, but its hepatoxicity is dependent on the interaction [9](#page-17-8)5 between dose (5-90 mg/d), frequency of administration, and basal diet composition.^{9, [20](#page-18-1)} Younger

96 rodents (15-d old) are also more susceptible to HCC than older rodents (>6-wk old).^{[23](#page-18-4)} Based on 97 our hypothesis that GTE would protect against NASH-associated HCC risk, we aimed to 98 establish a model system focusing on early responses in the NASH-to-HCC transition without 99 inducing premature mortality or overt tumorigenesis. For dose selection, a pilot study (n = 20) 100 was conducted based on others^{[9](#page-17-8), [24](#page-18-5)} in which 3-wk old mice were administered DEN one-time at 101 75 mg/kg (i.p.) in 100 μL saline. By 48-h, 40% mortality occurred, and all remaining mice 102 experienced severe morbidity that required immediate euthanasia. The dose of DEN was 103 therefore reduced to 60 mg/kg and administered once-weekly at 5- and 7-wk of age. Under 104 these conditions, severe morbidity was averted, and 100% of mice survived until study 105 termination at 25-wk of age. Further, gross pathological assessment indicated no evidence of 106 HF- or DEN-induced hepatic tumors.

107 *Metabolic Chemistries and Lipid Peroxidation*

108 Serum alanine aminotransferase (ALT) and glucose were determined using clinical assays 109 (Pointe Scientific). Serum insulin was measured by ELISA (Crystal Chem), and then insulin 110 resistance (homeostatic model assessment of insulin resistance; HOMA-IR) was calculated.^{[25](#page-18-6)} 111 Hepatic lipid was extracted as we described,^{[26](#page-18-7)} and then resolubilized in 1% (v/v) Triton X-100 to 112 measure triglyceride by clinical assay (Pointe Scientific). Hepatic malondialdehyde (MDA), a 113 lipid peroxidation biomarker, was measured by HPLC-FL and normalized to hepatic protein as 114 we described.^{[27](#page-18-8)}

115 *Histological Analysis*

116 Formalin-fixed, paraffin-embedded liver sections were stained with hematoxylin and eosin to 117 assess NASH, as we described.^{[21](#page-18-2)} In brief, ten randomly selected fields (200x) from each section 118 were scored in a blinded manner for liver steatosis, hepatocellular ballooning, and inflammatory 119 infiltrates. A composite NASH activity score was calculated by summing steatosis, 120 hepatocellular ballooning, and inflammatory infiltrate scores as described.^{[28](#page-18-9)} Hepatic fibrosis was

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121 assessed following Masson's trichrome staining at 80x magnification.^{[28](#page-18-9)} Fibrotic lesions were 122 quantified from 10 randomly selected fields using NIH ImageJ.

123 *Immunohistochemical Analysis*

124 Hepatocellular proliferation was assessed by quantifying proliferating cell nuclear antigen-125 positive foci (PCNA+). In brief, paraffin-embedded sections were deparaffinized in xylene, 126 rehydrated, treated for antigen retrieval, and washed for 10-min with 3% (v/v) hydrogen 127 peroxide prepared in methanol. Following serum-free protein blocking (10-min), sections were 128 incubated for 30-min with PCNA primary antibody (1:200; Dako #M0879). After washing with 129 wash buffer, and incubating (30-min) with biotinylated horse anti-mouse secondary antibody 130 (1:200; Dako #BA-2000), sections were rinsed and incubated (30-min) with ABC Elite complex 131 (Vector Labs). Sections were then treated with 3,3'-diaminobenzidine (Dako #SK-4100) for 5- 132 min, counterstained with hematoxylin, treated with 1% ammonium hydroxide, and dehydrated in 133 ethanol. PCNA+ hepatocytes were quantified in a blinded manner at 200x from 10 randomly 134 selected fields and normalized per 100 hepatocytes.

135 *Real Time q-PCR*

136 Hepatic total RNA was extracted using Trizol (Thermo) and cDNA was synthesized using 137 iScript reverse transcription kit (BioRad). RT-PCR analysis was performed using a SYBR Green 138 PCR kit on a CFX384 instrument (Bio-Rad) using primers from Sigma-Aldrich (**Table 1**).[29](#page-18-10) 139 Target gene expression was quantified relative to 18S and HPRT using the 2^{−∆∆c⊤} method.^{[30](#page-18-11)}

140 *Statistical Analysis*

141 Data (means ± SE) were analyzed using GraphPad Prism (version 8). Two-way ANOVA 142 was used to evaluate main effects due to DEN and GTE and their interaction. When a 143 statistically significant DEN x GTE interaction was observed, Newman-Keuls post-hoc test was 144 used to evaluate all potential group-wise differences. Mean differences were annotated such 145 that those not sharing a common superscript (a>b>c) were significantly different from each 146 other. Data not meeting assumptions for equal variance were log-transformed prior to analysis.

147 Pearson correlations were calculated by linear regression to define pair-wise relationships 148 between variables. All analyses were statistically significant at *P*≤0.05.

149 **RESULTS**

150 *GTE protects against HF-induced obesity*

151 Neither DEN nor GTE affected energy intake throughout the 21-wk intervention (**Table 2**). 152 However, body mass was attenuated by DEN and GTE, but without any DEN x GTE interactive 153 effects. Adiposity was attenuated by GTE, but was unaffected by DEN. In agreement, only GTE 154 attenuated subcutaneous and retroperitoneal adipose mass whereas both DEN and GTE 155 attenuated mesenteric adipose mass. However, a DEN x GTE interaction indicated that GTE 156 attenuated epididymal adipose mass only in DEN-treated mice. Liver mass was attenuated by 157 DEN and was additionally lowered by GTE. Body mass was correlated with adiposity 158 (*P*<0.0001; r = 0.93), suggesting that limiting adiposity was responsible for limiting body mass 159 gain.

160 *GTE attenuates histopathological changes associated with NASH*

161 We reported that GTE supplementation for 8-wk protects against HF-induced NASH.^{[16,](#page-17-15) [21,](#page-18-2) [31](#page-18-12),} 162 ^{[32](#page-18-13)} In the present 21-wk intervention, GTE similarly protected against hepatic steatosis and 163 hepatocyte ballooning (**Fig 1**). DEN did not affect these histopathologic NASH parameters and 164 neither GTE nor DEN affected inflammatory infiltrates. Further, GTE regardless of DEN 165 treatment attenuated the composite NASH score.

166 *GTE lowers hepatic lipid accumulation, lipid peroxidation and hepatic injury*

167 Histopathological observations were corroborated by direct assessment of hepatic lipid (**Fig** 168 **2A-B**). Although a DEN x GTE interaction was observed, post-hoc analysis indicated that 169 hepatic total lipid was attenuated by GTE to a similar extent regardless of DEN treatment. 170 However, hepatic triglyceride was attenuated by 12-20% in DEN-treated mice whereas its 171 accumulation was 46-51% lower in GTE-supplemented mice. Serum ALT exhibited an 172 interactive effect indicating that GTE attenuated the DEN-mediated increase in ALT to the

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173 extent lowered among saline-treated controls that were provided GTE (**Fig 1C**). Adiposity 174 correlated with hepatic total lipid (*P*<0.0001; r = 0.62) and triglyceride (*P*<0.0001; r = 0.82). 175 Serum ALT also correlated with adiposity (*P*<0.0001; r = 0.77) and hepatic total lipid (*P*<0.0001; 176 r = 0.79). These correlations suggest that limiting obesity helps to protect against NASH-177 associated liver injury.

[1](#page-17-15)78 Based on our findings that GTE limits oxidative stress during NASH.¹⁶ we hypothesized that 179 GTE would limit DEN-mediated lipid peroxidation that is implicated in HCC progression.^{[33](#page-18-14)} 180 Indeed, DEN increased hepatic MDA by 32-54% compared with saline-treated controls whereas 181 GTE attenuated MDA by 25-36% regardless of DEN treatment (**Fig 2D**). Hepatic MDA also 182 correlated with hepatic total lipid (*P*<0.01; r = 0.42) and serum ALT (*P*<0.0001; r = 0.61), 183 suggesting that limiting liver steatosis protects against liver injury attributed to lipid peroxidation.

184 *GTE limits DEN-induced hepatic fibrosis*

185 Consistent with DEN potentiating liver fibrosis, which drives HCC development,^{[34](#page-18-15)} we 186 hypothesized that GTE would protect against DEN-induced fibrogenesis. DEN-treated mice had 187 marked evidence of portal and periportal fibrosis (**Fig 3A**). Although GTE had no effect on 188 fibrosis in saline-treated mice (**Fig 3B**), GTE inhibited DEN-induced increases in fibrosis to the 189 extent observed in saline-treated controls. Fibrosis severity also correlated with MDA 190 $(P<0.0001; r = 0.82)$ and ALT $(P<0.001; r = 0.53)$, suggesting that oxidative stress contributes to 191 fibrosis-associated liver injury.

192 *GTE attenuates hepatic inflammation during NASH regardless of DEN treatment*

193 We reported that GTE alleviates hepatic TLR4/NFKB-mediated inflammation that is 194 otherwise increased by HF-feeding.^{[35](#page-18-16)} In agreement, GTE regardless of DEN administration 195 attenuated TLR4, TNFα, and MCP1 mRNA expression by 34-79% (**Fig 4**); DEN had no effect 196 on their expression. However, a DEN x GTE interaction indicated that DEN increased hepatic 197 iNOS expression by 38% (**Fig 4**), whereas GTE in DEN-treated mice inhibited iNOS expression 198 to that of the lowered levels observed in saline- and GTE-treated mice. Hepatic TLR4 mRNA

199 levels were correlated with serum ALT (*P*<0.01; r = 0.47) and fibrosis severity (*P*<0.01; r = 200 0.43), suggesting that pro-inflammatory signaling by TLR4 contributes to hepatic injury and 201 fibrosis. Serum ALT also correlated with TNF α (P<0.001; r = 0.58), MCP1 (P<0.0001; r = 0.72), 202 and iNOS (*P*<0.001; r = 0.59) mRNA levels in agreement with evidence that inflammation 203 contributes to NASH-associated hepatic injury.[16,](#page-17-15) [31](#page-18-12), [32](#page-18-13)

204 *GTE limits DEN-induced hepatocellular proliferation*

205 HCC development is mediated by hepatocellular proliferation,^{[36](#page-19-0)} which is exacerbated by 206 DEN in rodents fed a HF diet^{[12](#page-17-11)} Immunohistochemical studies indicated clear evidence that DEN 207 treatment increased the number of PCNA+ hepatocytes (**Fig. 5A**). GTE regardless of DEN 208 administration significantly attenuated PCNA+ hepatocytes by 48% in both saline and DEN 209 treated mice. PCNA+ hepatocytes were correlated serum ALT (*P*<0.0001; r = 0.92) and MDA 210 (*P*<0.0001, r = 0.61) as well as with mRNA levels of TLR4 (*P*<0.01; r = 0.46), TNFα (*P*<0.001, r 211 = 0.59), MCP1 (*P*<0.0001; r = 0.69), and iNOS (*P*<0.01; r = 0.44). These findings support the 212 concept that hepatocellular proliferation in association with liver injury is likely mediated by 213 heightened inflammatory responses and oxidative damage.

214 GTE-mediated protection against DEN-induced hepatocellular proliferation was 215 corroborated by assessing mRNA levels of cyclin D1, mindbomb E3 ubiquitin protein ligase-1 216 (MIB1), Ki-67 and the apoptotic protease activating factor 1 (APAF1) (**Fig 5B**). DEN increased 217 cyclin D1, MIB1 and Ki-67 expression compared with saline-treated controls. GTE attenuated 218 cyclin D1, MIB1 and Ki-67 expression by 33-46%, 40-43%, and 47-57%, respectively, compared 219 with mice fed no GTE. Further corroborating these benefits, PCNA+ hepatocytes were 220 correlated with cyclin D1 (*P*<0.001; r = 0.57), MIB1 (*P*<0.001; r = 0.58) and Ki-67 (*P*<0.0001; r = 221 0.72) mRNA levels. Hepatic fibrosis also correlated with mRNA levels of cyclin D1 (*P*<0.01; r = 222 0.42), MIB1 (*P*<0.001; r = 0.53) and Ki-67 (*P*<0.001; r = 0.52). Together, these correlative 223 observations suggest that limiting hepatocellular proliferation helps to protect against fibrosis.

224 mRNA expression of APAF1, a mediator of apoptosis, that was otherwise lowered by DEN was 225 also increased by GTE (**Fig 5B**).

226 *GTE attenuates oncogenic signals otherwise increased by DEN*

227 Because DEN-induces HCC in a STAT3-dependent manner,^{[10](#page-17-9)} we evaluated mRNA 228 expression levels of pro-oncogenic signals upstream and downstream of STAT3 activation that 229 mediate HCC. Oncostatin M and gp130 promote signal transduction to induce STAT3 230 activation.^{[37](#page-19-1)} In agreement, DEN increased mRNA expression levels of both gp130 and 231 oncostatin M (**Fig 6A**). GTE regardless of DEN treatment limited their mRNA expression, and 232 gp130 expression in DEN-treated mice was attenuated to extent observed among saline 233 controls provided GTE. We also observed a significant correlation between PCNA+ hepatocytes 234 and oncostatin M $(P<0.001$; $r = 0.59$) and gp130 $(P<0.01$; 0.56), which supports the concept 235 that activating STAT3 increases hepatocellular proliferation.

236 The proto-oncogenes c-Fos, c-Met, and c-Myc and the anti-apoptotic gene survivin mediate 237 hepatocellular proliferation downstream of STAT3 activation.^{[38-41](#page-19-2)} Based on our observation that 238 GTE attenuated DEN-induced hepatocellular proliferation (**Fig 5**) and limited gene expression 239 upstream of STAT3 activation (**Fig 6A**), we hypothesized that expression levels of c-Fos, c-Met, 240 c-Myc and survivin also would be attenuated by GTE. Data in **Fig 6B** indicate that mRNA levels 241 of c-Fos, c-Myc and survivin were increased by DEN; c-Met was increased by ~1.7-times but 242 without any statistical significance. Consistent with our hypothesis, GTE attenuated c-Fos, c-243 Myc, and survivin mRNA levels, and both c-Fos and c-Myc were lowered to the extent observed 244 in saline controls whereas survivin mRNA levels were lowered regardless of DEN treatment (**Fig** 245 **6B**). We also observed that survivin was inversely correlated with APAF1 (*P*<0.01; r = -0.45), 246 supporting that improved apoptotic responses are associated with reduced oncogenesis.

247

248 **DISCUSSION**

249 The findings of this investigation in a well-established model of dietary HF-induced liver 250 injury demonstrate that chronic GTE supplementation protects against DEN-mediated HCC risk 251 by limiting NASH in association with reducing inflammation, oxidative damage, fibrosis and 252 hepatocellular proliferation. Data show, in agreement with our reports, 16 , 32 that GTE prevented 253 NASH (i.e. steatosis, hepatocellular ballooning) consistent with a mechanism that limits 254 TLR4/NFκB-mediated inflammation. GTE also protected against DEN-mediated increases in 255 lipid peroxidation and fibrosis that occurred with increased hepatocellular proliferation markers. 256 Further, GTE limited the expression of oncostatin M and gp130, which promote STAT3 257 activation, while also decreasing STAT3-dependent pro-oncogenic genes (c-Fos, c-Myc, 258 survivin) that were otherwise increased in DEN-treated mice. Together, GTE likely protects 259 against HCC development by limiting pathological responses leading to NASH, and their 260 consequent pro-oncogenic signals that potentiate hepatocellular proliferation.

261 Persons with NASH compared to those with simple steatosis have increased susceptibility to 262 HCC and greater risk of mortality.^{[42](#page-19-3)} Indeed, NASH provokes advanced fibrosis and cirrhosis in 263 association with increased hepatocellular proliferation.^{[43](#page-19-4)} The development of NASH is mediated 264 by TLR4/NFKB inflammatory responses along with increased oxidative distress.^{[44](#page-19-5)} Under these 265 circumstances, STAT3 becomes activated, which promotes tumorigenesis through a reciprocal 266 interaction between pro-inflammatory and oncogenic signaling in agreement with evidence that 267 HCC patients have elevated STAT3 expression.^{[45](#page-19-6)} Thus, persistent oxidative stress and pro-268 inflammatory responses in NASH-afflicted livers together with increased hepatocellular 269 proliferation creates a microenvironment that favors carcinogenesis. DEN-induced 270 hepatocellular carcinogenesis closely recapitulates the 'inflammation-fibrosis-carcinogenesis' 271 response that characterizes the pathogenesis of HCC.^{[10](#page-17-9)} Further, a HF diet exacerbates DEN-272 mediated HCC by inducing NASH and its milieu of inflammation and oxidative damage. Thus, 273 our approach in HF mice exposed to low-dose DEN provided the most suitable model to test our

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274 hypothesis focusing on GTE to prevent the NASH-to-HCC transition that is marked by fibrosis 275 and increased hepatocellular proliferation. Indeed, the low-dose of DEN used did not cause any 276 tumors, but this does not preclude the possibility of any preneoplastic lesions, which requires 277 separate study.

278 NASH-associated HCC is driven by inflammation and oxidative stress that provoke 279 dysregulated cellular proliferation.^{[3](#page-19-0)6} Our study specifically focused on these early events that are 280 implicated in the NASH-to-HCC progression. Indeed, the frequency of DEN administration and 281 age of mice in combination with HF-feeding for 21-wk resulted in a model that lacked overt 282 tumorigenesis or pre-mature mortality but had marked NASH with inflammation, oxidative 283 stress, fibrosis, and hepatocellular proliferation. This contrast reports in which observable HCC 284 is induced by DEN at a higher dose and/or greater frequency to younger rodents.^{[24](#page-18-5)} 285 Nonetheless, in the present model system, data demonstrated that chronic GTE 286 supplementation, similar to our earlier works in relatively acute models,^{[35](#page-18-16)} alleviates NASH by 287 limiting TLR4/NFKB inflammation. Thus, the benefits of GTE along the gut-liver axis that limit 288 endotoxin-mediated increases in TLR4/NFKB inflammation^{[35](#page-18-16)} likely help to alleviate DEN-induced 289 HCC risk.

290 Oxidative stress is established to drive NASH development,^{[46](#page-19-7)} but DEN independent of HF-291 feeding also increases oxidative modification of DNA.^{[33](#page-18-14)} Although STAT1 signaling mediates 292 NASH and fibrosis, whereas STAT3 mediates HCC, both pathways are commonly induced by 293 oxidative distress.^{[47](#page-19-8)} We reported previously that GTE in obese mice with NASH attenuated 294 hepatic lipid peroxidation and improved endogenous antioxidant defences.^{[48](#page-19-9)} In agreement, the 295 present study shows that GTE attenuated hepatic MDA that was otherwise increased in DEN-296 treated mice compared with saline-treated controls. This supports that the GTE-mediated 297 decrease in hepatic oxidative stress helps to lower the progression towards HCC consistent with 298 oxidative stress being recognized to potentiate hepatocellular proliferation by inducing fibrosis.^{[10](#page-17-9)}

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299 Our prior reports indicate that GTE attenuates HF-induced NASH by limiting hepatic NFKB 300 activation and its downstream pro-inflammatory responses (e.g. TNFα, MCP-1) consistent with 301 a mechanism of downregulating TLR4/MyD88 signalling.^{[16](#page-17-15), [49,](#page-19-10) [35](#page-18-16)} In agreement, GTE in the 302 present study attenuated TLR4 and NFκB-dependent genes (i.e. TNFα, MCP1, iNOS) 303 regardless of DEN administration. Interestingly, DEN increased hepatic lipid peroxidation in 304 association with increased iNOS, but not other NFκB-dependent genes. This is likely attributed 305 to iNOS being regulated by redox-sensitive transcription factors other than NFKB.^{[50](#page-19-11)} Indeed, 306 although STAT3 mediates oncogenesis, it also functions to transcriptionally regulate iNOS.^{[51](#page-19-12)} 307 Further, that DEN did not increase hepatic TNFα or MCP1 in the present study is likely 308 attributed to differences in model systems. Specifically, relatively mild inflammation was 309 observed in the present study in which DEN was administered once-weekly on two occasions 310 among 5-7 wk old mice whereas others show that a lower dose of DEN administered twice-311 weekly for 11-wk markedly increased hepatic inflammation in association with tumorigenesis.[10](#page-17-9) 312 Thus, in addition to differences in DEN dose and frequency that likely limited hepatic 313 inflammation in the present study, younger rodents also show greater susceptibility to DEN-314 induced inflammation and hepatoxicity.[23](#page-18-4)

315 Advanced fibrosis provokes the progression from NASH to HCC.[52](#page-19-13) iNOS mediates 316 fibrogenesis by increasing oxidative stress and inducing extracellular matrix production, which 317 highlights therapies that target of iNOS to manage fibrosis.[53](#page-19-14) DEN induces iNOS expression 318 independent of HF-feeding^{[54](#page-19-15)} whereas a HF-diet alone does not induce marked fibrosis.^{[11](#page-17-10)} Our 319 data show that DEN exacerbated fibrosis in HF mice, which was alleviated by GTE to levels of 320 saline controls that had limited histological evidence of fibrosis. Although a comprehensive 321 investigation of the anti-fibrotic mechanism of GTE was beyond the scope of this study, GTE 322 has been reported to attenuate DEN-induced increases in hydroxyproline and collagen 323 accumulation.[55](#page-19-16) Thus, limiting DEN-induced fibrosis by GTE likely contributes to its

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324 hepatoprotection against HCC risk consistent with fibrosis mediating the transition of NASH to 325 HCC.^{[7](#page-17-6)}

326 We also evaluated hepatocellular proliferation as a critical driver of HCC. An increased 327 hepatic PCNA+ phenotype predominates in patients with liver cancer.^{[56](#page-20-0)} We show that HF and 328 DEN-treated mice had a greater frequency of PCNA+ hepatocytes that was attenuated by GTE 329 to the extent reduced by GTE in saline controls. These effects are likely due to GTE limiting 330 NFKB- and STAT3-dependent signaling that otherwise increases cell proliferation and 331 susceptibility towards HCC.^{[57](#page-20-1)} In agreement with GTE limiting PCNA+ hepatocytes, it also 332 attenuated cell proliferation markers (cyclin D1, MIB1, Ki-67) and restored an apoptotic marker 333 (APAF1) that were increased and decreased, respectively, by DEN. These data are consistent 334 with reports that cyclin D1 overexpression is associated with DEN-induced HCC,^{[58](#page-20-2)} whereas 335 DEN causes tumorigenesis by inhibiting APAF1-mediated apoptosis.[59](#page-20-3) Thus, these findings 336 suggest that GTE protects against the progression of NASH to HCC by favorably altering 337 cellular proliferation and apoptosis.

338 Not only does STAT3 inhibition protect against HCC, it inhibition also alleviates NASH to 339 likely mediate its benefits on HCC development.^{[60](#page-20-4)} In support that GTE protects against NASH-340 associated HCC in a STAT3-dependent manner, our findings show that GTE inhibited signal 341 transducers (gp130, oncostatin M)^{[37](#page-19-1)} that promote STAT3 activation while also downregulating 342 STAT3-dependent genes (c-Fos, c-Myc, survivin) that promote tumorigenesis. Thus, our 343 findings support a complementary mechanism by which STAT3 activation could be inhibited in 344 addition to prior evidence *in vitro* indicating that EGCG directly binds STAT3 to prevent its 345 phosphorylation.[61](#page-20-5)

346 **CONCLUSION**

347 The global prevalence of NASH-associated HCC is expected to increase.[1](#page-17-0) Due to the poor 348 prognosis of and lack of treatment for HCC, prophylactic dietary strategies that manage NASH

349 risk are needed to prevent the progression towards HCC. Our findings support that catechin-rich 350 GTE protects against DEN-induced oncogenesis in a NASH model that is highly susceptible to 351 HCC. The protective benefits of GTE on NASH-associated HCC risk are likely attributed to 352 lowering NFKB- and STAT3-dependent signaling that induce inflammation, cell survival, 353 hepatocellular proliferation, and oncogenesis that collectively potentiate HCC.^{[62,](#page-20-6) [63](#page-20-7)} Thus, these 354 data can help to establish a timely dietary strategy against HCC and are expected to support 355 future trials in persons at risk for developing HCC. This would ultimately lead to evidence-based 356 dietary recommendations that promote optimal health by limiting NASH-associated 357 oncogenesis.

358 **CONFLICTS OF INTEREST**

359 There are no conflicts of interest to declare.

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366 **AUTHOR CONTRIBUTIONS**

- 367 PD, JBK, CC, JL, GYS, BDO, KLS and JMT performed the experiments and analyzed the data;
- 368 CC, JMT, SKC and RSB conceived the study design; PD and RSB wrote the manuscript. All
- 369 authors have read and approved the final version of the manuscript.

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¹Abbreviations: APAF1, apoptotic protease activating factor 1; HPRT, hypoxanthine phosphoribosyltransferase; c-Myc, avian myelocytomatosis virus oncogene cellular homolog; c-Met, mesenchymal to epithelial transition; gp130, glycoprotein 130; iNOS, inducible nitric oxide synthase; MCP1, monocyte chemoattractant protein-1; MIB1, mindbomb E3 ubiquitin protein ligase-1; TNFα, tumor necrosis factor-α

Table 2. Phenotypic characteristics of mice fed a HF diet containing GTE at 0% or 2% for 21 wk and treated with DEN (60 mg/kg) or saline vehicle at 5-wk and 7-wk of age.1,2

¹Abbreviations: DEN, diethylnitrosamine; GTE, green tea extract; HF, high-fat.

²Data (means ± SEM, n = 10 mice/group) were analyzed by 2-way ANOVA. *P*-values for main effects due to DEN and GTE, and their interaction are reported in the table. Newman-Keuls post-test was used to assess any significant interactions. Groups not sharing a superscript are different (*P*≤0.05).

³ Adiposity was calculated by dividing total adipose mass (sum of epidydimal, retroperitoneal, subcutaneous, and mesenteric fat depots) by terminal body mass.

FIGURE LEGENDS

Fig 1. GTE limits NASH in HF-fed mice regardless of DEN treatment. Male C57BL/6J mice (4-wk old) were fed a HF diet containing GTE at 0% or 2% for 21-wk. At 5-wk and 7-wk of age, equal numbers of mice within each dietary treatment were administered saline or DEN (i.p.; 60 mg/kg). Liver sections were stained with hematoxylin and eosin, and images (100x) were evaluated for NASH. Hepatic steatosis, hepatocyte ballooning and inflammatory infiltrates as well as their sum (i.e. NASH activity score) were determined. Data (means \pm SE, n = 10/group) were analyzed by two-way ANOVA with Newman-Keuls post-test as appropriate to evaluate effects due to DEN, GTE or their interaction. Groups not sharing common superscript are different (*P*≤0.05). Abbreviations: DEN, diethylnitrosamine; GTE, green tea extract; HF, high-fat; NASH, nonalcoholic steatohepatitis.

Fig 2. GTE prevents hepatic lipid accumulation, lipid peroxidation and liver injury in mice fed a HF diet regardless of DEN treatment. Male C57BL/6J mice (4-wk old) were fed a HF diet containing GTE at 0% or 2% for 21-wk. At 5-wk and 7-wk of age, equal numbers of mice within each dietary treatment were administered saline or DEN (i.p.; 60 mg/kg). **A)** GTE in HFfed mice lowered hepatic total lipid regardless of DEN administration. **B)** GTE-supplemented mice had lower hepatic triglyceride relative to mice fed no GTE regardless of DEN administration. **C)** DEN administration increased serum ALT in HF mice, whereas GTE attenuated serum ALT to a similar extent regardless DEN administration. **D)** Hepatic MDA was increased by DEN in HF mice. GTE attenuated hepatic MDA in HF mice regardless of DEN administration. Data (means \pm SE, n = 10/group) were analyzed by two-way ANOVA with Newman-Keuls post-test as appropriate to evaluate effects due to DEN, GTE or their interaction. Groups not sharing common superscript are different (*P*≤0.05). Abbreviations: ALT, alanine aminotransferase; DEN, diethylnitrosamine; GTE, green tea extract; HF, high-fat; MDA, malondialdehyde.

Fig 3. GTE attenuates DEN-induced hepatic fibrosis in HF-fed mice. Male C57BL/6J mice (4-wk old) were fed a HF diet containing GTE at 0% or 2% for 21-wk. At 5-wk and 7-wk of age, equal numbers of mice within each dietary treatment were administered saline or DEN (i.p.; 60 mg/kg). **A)** Masson trichrome stained liver sections were assessed for fibrosis (80x magnification). Representative images at 80x and 400x (inset) magnification are shown. **B)** DEN administration increased fibrosis in HF mice compared with saline controls. GTE protected against fibrosis in DEN-treated mice. Data (means \pm SE, n = 10/group) were analyzed by twoway ANOVA with Newman-Keuls post-test as appropriate to evaluate effects due to DEN, GTE or their interaction. Groups not sharing common superscript are different (*P*≤0.05). Abbreviations: DEN, diethylnitrosamine; GTE, green tea extract; HF, high-fat.

Fig 4. GTE attenuates hepatic inflammation. Male C57BL/6J mice (4-wk old) were fed a HF diet containing GTE at 0% or 2% for 21-wk. At 5-wk and 7-wk of age, equal numbers of mice within each dietary treatment were administered saline or DEN (i.p.; 60 mg/kg). Unlike iNOS, DEN did not increase hepatic mRNA levels of TLR4, TNFα, or MCP1. GTE regardless of DEN lowered TLR4, TNFα, MCP1 and iNOS expression. Data (means $±$ SE, n = 10/group) were analyzed by two-way ANOVA with Newman-Keuls post‐test as appropriate to evaluate effects due to DEN, GTE or their interaction. Groups not sharing common superscript are different (*P*≤0.05). Abbreviations: DEN, diethylnitrosamine; GTE, green tea extract; HF, high-fat; iNOS, inducible nitric oxide synthase; MCP1, monocyte chemoattractant protein 1; TLR4, toll-like receptor-4; TNF α , tumor necrosis factor- α .

Fig 5. GTE limits hepatocellular proliferation in mice fed HF-diet regardless of DEN treatment. Male C57BL/6J mice (4-wk old) were fed a HF diet containing GTE at 0% or 2% for 21-wk. At 5-wk and 7-wk of age, equal numbers of mice within each dietary treatment were administered saline or DEN (i.p.; 60 mg/kg). **A)** PCNA+ cells were quantified in a blindedmanner from 10 randomly selected fields (200x) and normalized per 100 hepatocytes. DEN

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increased the number of hepatic PCNA+ cells in HF mice, whereas GTE lowered PCNA+ cells in both saline and DEN treated mice. **B)** mRNA expression of hepatic cell-proliferation markers cyclin D1, MIB1 and Ki-67 were increased whereas, APAF1, inducer of apoptosis was lowered by DEN compared with saline controls. Cyclin D1, MIB1 and Ki-67 expression were lowered by GTE regardless of DEN treatment. GTE prevented the DEN-induced loss of APAF1 expression. Data (means \pm SE, n = 10/group) were analyzed by two-way ANOVA with Newman-Keuls post-test as appropriate to evaluate effects due to DEN, GTE or their interaction. Groups not sharing common superscript are different (*P*≤0.05). Abbreviations: APAF1, apoptotic peptidase activating factor-1; DEN, diethylnitrosamine; GTE, green tea extract; HF, high-fat; MIB1, mindbomb E3 ubiquitin protein ligase-1; PCNA, proliferating cell nuclear antigen.

Fig 6. GTE attenuates DEN-induced increases in proto-oncogenic signals. Male C57BL/6J mice (4-wk old) were fed a HF diet containing GTE at 0% or 2% for 21-wk. At 5-wk and 7-wk of age, equal numbers of mice within each dietary treatment were administered saline or DEN (i.p.; 60 mg/kg). **A)** mRNA expression of oncostatin M and gp130 were increased by DEN and attenuated by GTE regardless of DEN treatment. **B)** mRNA expression of c-Fos, c-Myc and survivin were increased by DEN. GTE attenuated c-Fos and c-Myc only in DEN-treated mice whereas GTE lowered survivin regardless of DEN treatment. Data (means \pm SE, n = 10/group) were analyzed by two-way ANOVA with Newman-Keuls post-test as appropriate to evaluate effects due to DEN, GTE or their interaction. Groups not sharing common superscript are different (*P*≤0.05). Abbreviations: c-Myc, avian myelocytomatosis virus oncogene cellular homolog; c-Met, mesenchymal to epithelial transition; DEN, diethylnitrosamine; GTE, green tea extract; HF, high-fat; gp130, glycoprotein 130.

Fig 1. GTE limits NASH in HF-fed mice regardless of DEN treatment. Male C57BL/6J mice (4-wk old) were fed a HF diet containing GTE at 0% or 2% for 21-wk. At 5-wk and 7-wk of age, equal numbers of mice within each dietary treatment were administered saline or DEN (i.p.; 60 mg/kg). Liver sections were stained with hematoxylin and eosin, and images (100x) were evaluated for NASH. Hepatic steatosis, hepatocyte ballooning and inflammatory infiltrates as well as their sum (i.e. NASH activity score) were determined. Data (means \pm SE, n = 10/group) were analyzed by two-way ANOVA with Newman-Keuls post-test as appropriate to evaluate effects due to DEN, GTE or their interaction. Groups not sharing common superscript are different (P≤0.05). Abbreviations: DEN, diethylnitrosamine; GTE, green tea extract; HF, high-fat; NASH, nonalcoholic steatohepatitis.

174x116mm (600 x 600 DPI)

Fig. 2

Fig 2. GTE prevents hepatic lipid accumulation, lipid peroxidation and liver injury in mice fed a HF diet regardless of DEN treatment. Male C57BL/6J mice (4-wk old) were fed a HF diet containing GTE at 0% or 2% for 21-wk. At 5-wk and 7-wk of age, equal numbers of mice within each dietary treatment were administered saline or DEN (i.p.; 60 mg/kg). A) GTE in HF-fed mice lowered hepatic total lipid regardless of DEN administration. B) GTE-supplemented mice had lower hepatic triglyceride relative to mice fed no GTE regardless of DEN administration. C) DEN administration increased serum ALT in HF mice, whereas GTE attenuated serum ALT to a similar extent regardless DEN administration. D) Hepatic MDA was increased by DEN in HF mice. GTE attenuated hepatic MDA in HF mice regardless of DEN administration. Data (means \pm SE, $n = 10$ /group) were analyzed by two-way ANOVA with Newman-Keuls post-test as appropriate to evaluate effects due to DEN, GTE or their interaction. Groups not sharing common superscript are different (P≤0.05). Abbreviations: ALT, alanine aminotransferase; DEN, diethylnitrosamine; GTE, green tea extract; HF, high-fat; MDA, malondialdehyde.

133x142mm (600 x 600 DPI)

Fig 3. GTE attenuates DEN-induced hepatic fibrosis in HF-fed mice. Male C57BL/6J mice (4-wk old) were fed a HF diet containing GTE at 0% or 2% for 21-wk. At 5-wk and 7-wk of age, equal numbers of mice within each dietary treatment were administered saline or DEN (i.p.; 60 mg/kg). A) Masson trichrome stained liver sections were assessed for fibrosis (80x magnification). Representative images at 80x and 400x (inset) magnification are shown. B) DEN administration increased fibrosis in HF mice compared with saline controls. GTE protected against fibrosis in DEN-treated mice. Data (means \pm SE, n = 10/group) were analyzed by two-way ANOVA with Newman-Keuls post‐test as appropriate to evaluate effects due to DEN, GTE or their interaction. Groups not sharing common superscript are different (P≤0.05). Abbreviations: DEN, diethylnitrosamine; GTE, green tea extract; HF, high-fat.

153x114mm (600 x 600 DPI)

Fig 4. GTE attenuates hepatic inflammation. Male C57BL/6J mice (4-wk old) were fed a HF diet containing GTE at 0% or 2% for 21-wk. At 5-wk and 7-wk of age, equal numbers of mice within each dietary treatment were administered saline or DEN (i.p.; 60 mg/kg). Unlike iNOS, DEN did not increase hepatic mRNA levels of TLR4, TNFα, or MCP1. GTE regardless of DEN lowered TLR4, TNFα, MCP1 and iNOS expression. Data (means ± SE, n = 10/group) were analyzed by two-way ANOVA with Newman-Keuls post‐test as appropriate to evaluate effects due to DEN, GTE or their interaction. Groups not sharing common superscript are different (P≤0.05). Abbreviations: DEN, diethylnitrosamine; GTE, green tea extract; HF, high-fat; iNOS, inducible nitric oxide synthase; MCP1, monocyte chemoattractant protein 1; TLR4, toll-like receptor-4; TNF□, tumor necrosis factor- \Box .

101x62mm (600 x 600 DPI)

Fig 5. GTE limits hepatocellular proliferation in mice fed HF-diet regardless of DEN treatment. Male C57BL/6J mice (4-wk old) were fed a HF diet containing GTE at 0% or 2% for 21-wk. At 5-wk and 7-wk of age, equal numbers of mice within each dietary treatment were administered saline or DEN (i.p.; 60 mg/kg). A) PCNA+ cells were quantified in a blinded-manner from 10 randomly selected fields (200x) and normalized per 100 hepatocytes. DEN increased the number of hepatic PCNA+ cells in HF mice, whereas GTE lowered PCNA+ cells in both saline and DEN treated mice. B) mRNA expression of hepatic cell-proliferation markers cyclin D1, MIB1 and Ki-67 were increased whereas, APAF1, inducer of apoptosis was lowered by DEN compared with saline controls. Cyclin D1, MIB1 and Ki-67 expression were lowered by GTE regardless of DEN treatment. GTE prevented the DEN-induced loss of APAF1 expression. Data (means \pm SE, n = 10/group) were analyzed by two-way ANOVA with Newman-Keuls post‐test as appropriate to evaluate effects due to DEN, GTE or their interaction. Groups not sharing common superscript are different (P≤0.05). Abbreviations: APAF1, apoptotic peptidase activating factor-1; DEN, diethylnitrosamine; GTE, green tea extract; HF, high-fat; MIB1, mindbomb E3 ubiquitin protein ligase-1; PCNA, proliferating cell nuclear antigen.

101x99mm (600 x 600 DPI)

Fig 6. GTE attenuates DEN-induced increases in proto-oncogenic signals. Male C57BL/6J mice (4-wk old) were fed a HF diet containing GTE at 0% or 2% for 21-wk. At 5-wk and 7-wk of age, equal numbers of mice within each dietary treatment were administered saline or DEN (i.p.; 60 mg/kg). A) mRNA expression of oncostatin M and gp130 were increased by DEN and attenuated by GTE regardless of DEN treatment. B) mRNA expression of c-Fos, c-Myc and survivin were increased by DEN. GTE attenuated c-Fos and c-Myc only in DEN-treated mice whereas GTE lowered survivin regardless of DEN treatment. Data (means \pm SE, n = 10/group) were analyzed by two-way ANOVA with Newman-Keuls post‐test as appropriate to evaluate effects due to DEN, GTE or their interaction. Groups not sharing common superscript are different (P≤0.05). Abbreviations: c-Myc, avian myelocytomatosis virus oncogene cellular homolog; c-Met, mesenchymal to epithelial transition; DEN, diethylnitrosamine; GTE, green tea extract; HF, high-fat; gp130, glycoprotein 130.

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338x190mm (96 x 96 DPI)