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# Characterization of polymethoxyflavone demethylation during drying processes of citrus peels

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#### 13 Abstract

Polymethoxyflavones (PMFs) are found almost exclusively in citrus peel and have 14 15 attracted much attention due to their potential health benefits. Dried citrus peel is an important ingredient for applications in food and traditional Chinese medicine. 16 However, the structural changes of PMFs during the drying processes of citrus peel 17 remained unknown. In this study, for the first time we discovered that four major 18 permethoxylated PMFs, i.e. sinensetin, nobiletin, heptamethoxyflavone and tangeretin 19 underwent demethylation at 5-position on the A ring of their flavonoid structures to 20 21 yield corresponding 5-demethylated PMFs during drying process of citrus peel. Our results further demonstrated that aforementioned PMF demethylation was through 22 two mechanisms: acid hydrolysis and enzyme-mediated catalysis. PMF demethylation 23 24 in citrus peel was systematically characterized during hot-air drying (HAD), vacuum-freeze drying (VFD) and sun drying (SD). The highest PMF demethylation 25 was obtained in SD followed by HAD and VFD. This study provided solid scientific 26 basis for rational control of PMF demethylation in citrus peel, which could facilitate 27 the production of high-quality citrus peel and related products. 28

Keywords: citrus peels, drying process, polymethoxyflavones, demethylation,
mechanism.

# 31 **1 Introduction**

Citrus is the fruit with the highest yield in the world. Besides being consumed fresh, 32 the majority of citrus fruit is processed to yield juice and canned fruits along with the 33 production of many citrus peel by-products.<sup>1</sup> There are also numerous citrus peel 34 products in the market, such as citrus peel jam, candied citrus peel, and citrus peel tea, 35 that are widely consumed as snack foods, cooking spices, etc. Among these products, 36 dried citrus peel is the most popular food ingredient in many countries. Dried citrus 37 peel is also an important ingredient in traditional Chinese medicine used for relieving 38 indigestion and inflammatory syndromes such as bronchitis and asthma.<sup>2,3</sup> 39

There are many dehydration techniques that can be applied to drying citrus peel, 40 such as sun drying, hot-air drying, vacuum-freeze drying, and so on. Different drying 41 42 processes might have different effects on the chemical structures and biological activities of functional components of citrus peel.<sup>4-9</sup> It was found that total flavonoids 43 content of air-dried, oven-dried, microwave-dried and freeze-dried yuzu peel was 44 higher than those in fresh peel.<sup>10</sup> Similarly, freeze-drying was shown to increase the 45 abundance of flavonoids in lemon peel.<sup>11</sup> In addition, the total content of flavonoids 46 was found decreased at low drying temperature ( $\leq 80$  °C), while increased at high 47 temperature (90~100 °C) during oven-drying of citrus peel.<sup>12</sup> Furthermore, drying 48 time also has important effects on flavonoids content in citrus peel during 49 oven-drying.<sup>4</sup> The previous studies mainly focused on the effects of different drying 50 processes on the overall flavonoid content, therefore, the specific chemical structural 51

changes on the specific citrus flavonoids and their underlying mechanisms duringdrying of citrus peel remained unknown.

54 Polymethoxyflavones (PMFs) are a unique class of flavonoids with more than two methoxyl groups on their chemical skeletons and have been found exclusively exist in 55 citrus peels.<sup>13</sup> PMFs have attracted growing interest in recent years due to their 56 various biological activities, including anti-carcinogenic,<sup>14</sup>, anti-inflammatory,<sup>15</sup> 57 antioxidant,<sup>16</sup> antiviral,<sup>3</sup> and so on.<sup>17</sup> Demethylated polymethoxyflavones 58 (Demethylated PMFs) are PMFs with hydroxyl groups that have replaced methoxyl 59 groups on the structure. It has been reported that demethylated PMFs, mainly 60 5-demethylated PMFs, exhibit stronger biological activities than their corresponding 61 permethoxylated counterpart compounds.<sup>13,18-20</sup> For example, the IC<sub>50</sub> values of three 62 63 major 5-demethylated PMFs (5-demethylnobiletin, 5-demethylhexamethoxyflavone and 5-demethyltangeretin) against colon cancer cells were approximately 2.1-fold, 64 3.1-fold and 6.6-fold lower than those of their permethoxylated counterparts nobiletin, 65 heptamethoxyflavone and tangeretin, respectively.<sup>18</sup> Similarly, the inhibitory effects 66 of 5-demethylnobiletin and 5-demethylhexamethoxyflavone on H1299 human lung 67 cancer cells were 60% more potent than their permethoxylated counterparts, i.e. 68 nobiletin and hexamethoxyflavone.<sup>21</sup> It is important to understand the mechanism of 69 PMF demethylation. 70

Studies have demonstrated that demethylation of PMFs could occur via *in-vivo* metabolism,<sup>22,23</sup> and chemical reactions.<sup>18,20,24</sup> For example, nobiletin, tangeretin and other flavonoids could be demethylated by cytochrome P450s in liver microsome at

enzyme, cellular, and animal levels.<sup>22,24-27</sup> In addition, an acidic condition was found 74 conducive the transformation of **PMFs** 5-demethylated 75 to to **PMFs** chemically.<sup>13,14,20,24</sup> Based on these previous findings, we hypothesized that PMFs 76 could undergo demethylation during the drying processes of citrus peel due to the 77 78 acidic condition and presence of enzymes in the citrus peel.

To test this hypothesis, the objective of this study was to determine the effects of different drying processes on PMF demethylation in citrus peel and the underlying mechanism. The findings from this study is potentially useful for the rational utilization of citrus and citrus products as sources of demethylated PMFs for health promotion.

84 **2 Materials and Methods** 

#### 85 2.1 Chemicals and regents

86 Sinensetin (compound 1), nobiletin (compound 2), heptanmethphoxyflavones (compound 3) and tangeretin (compound 4) were purchased from Sigma Co., Ltd. 87 (Shanghai, China). HPLC-grade methanol, dichloromethane, ethyl acetate, formic 88 acid and acetonitrile were bought from Fisher Scientific (Shanghai, China). Dimethyl 89 sulfoxide (DMSO) and ethylene diamine tetraacetic acid (EDTA) were purchased 90 91 from Sigma-Aldrich (Shanghai, China). Hydrochloric acid, sodium bicarbonate and sucrose were obtained from Sinopharm Chemical Reagent Co., Ltd. (Beijing, China). 92 93 Silica gel (100-200, 200-300 mesh) was purchased from Shanghai Titan Scientific Co., Ltd. (Shanghai, China). Tris (hydroxymethyl) aminomethane (99.85%) and 94 dithiothreitol (DTT) were purchased from Acros Organics (Shanghai, China) and 95 Thermo Scientific (Shanghai, China), respectively. Ultrapure water used in 96

97 hydrochloric acid solution and mobile phase was prepared using a Milli-Q system
98 (Millipore, Bedford, USA).

99 2.2 Preparation of citrus peels samples

Fresh hvbrid citrus (Citrus sinensis L. Osbeck × Citrus unshiu Marc. × Citrus 100 reticulata Blanco) and valencia orange (Citrus sinensis L. Osbeck) fruits were grown 101 in Wanzhou district (Chongqing, China) and each citrus variety was collected from 102 the same plantation in February 2017. The average weights of citrus fruits were 103  $175.0\pm 2.5$  and  $160.0\pm 2.0$  g for hybrid citrus and valencia orange, respectively. The 104 fruits were first cleaned and wiped dry, then cut into eight pieces and peeled carefully 105 by hand. The citrus peel were powdered under liquid nitrogen using a Cryogenic 106 Sample Crusher (CKL-100, Beijing sanyoulianchuang Instrument Co., Beijing, 107 China) for 3 min, and whisked for 30 s to make sure the samples were uniformly 108 mixed. Moisture contents are the quantity of water contained in a material. The initial 109 moisture contents of hybrid citrus peel and valencia orange peel powder were 110 measured by Moisture Analyzer (MJ33, Mettler-Toledo, Ohio, USA ), which were 111  $3.25\pm0.10$  and  $2.57\pm0.15$  g water/g dry basis, respectively. The soluble solids of 112 hybrid citrus peel and valencia orange peel were measured using a digital 113 refractometer (DR102, TO YOU OPTICAL Instrument Co., Shandong, China), which 114 were  $3.96\pm 0.24\%$  and  $3.62\pm 0.20\%$ , respectively. The pH values of fresh hybrid 115 citrus peel and valencia orange peel were measured by a Mettler Toledo pH meter 116 (Fe20-K, Shanghai, China), which were  $2.80 \pm 0.14$  and  $3.32 \pm 0.09$ , respectively. 117

118 *2.3 Drying processes and conditions* 

Citrus peels were dried by 3 different drying methods, i.e., hot-air drying (HAD), vacuum-freeze drying (VFD), and sun drying (SD). The continuous weight loss was recorded by an electronic balance with the precision of 0.1 mg. All individually drying processes were carried out in triplicates. The description of each drying process is described in detail below.

HAD: It was performed using an oven (GZX-9240MBE, Shanghai Boxun Co., Ltd., Shanghai, China) at the different temperatures of 40, 50, 60 and 70 °C with a fixed air velocity of 2.1 m/s. Three grams of citrus peel were put on a round sample tray with diameter of 90 mm, which was placed in the middle of the oven. Both the distances from the tray to top heater and bottom heater were 20 cm. The samples were weighed after being dried for 1, 2, 4, 8, 16, 32 and 64 h.

**VFD**: It was carried out in a vacuum freeze dryer (D2F6090, Shanghai Jinghong Laboratory Instrument Co., Ltd., Shanghai, China) with a fixed vacuum degree of  $3 \times 10^{-3}$  MPa at -50 °C. Three grams of citrus peel were put on a round sample tray with a diameter of 90 mm, which was placed in the drying chamber with the same distance from the tray to top heater and bottom heater of 15 cm. The samples were weighed after being dried for 1, 2, 4, 8, 16, 32 and 64 h.

**SD**: Three grams of citrus peel powder was laid on a round sample tray with a diameter of 90 mm and exposed to the sunlight from 9 a.m. to 4 p.m at ambient temperature in the range of  $25\pm 5$  °C with relative humidity within 30%–40%. The samples were placed in the dark room for the remaining time, which was not included in the drying time. Samples were dried by SD for 1, 2, 4, 8, 16 and 32 d, and then 141 weighed.

As the moisture ratio (MR) was investigated to evaluate the effect of different drying methods, MR at each time during drying was evaluated as below:

144 
$$MR(\%) = \frac{Wt - Wd}{Wf - Wd} \times 100\%$$

where  $W_d$  is the weight of dry sample,  $W_f$  is the weight of fresh sample, and  $W_t$  is the weight of sample at t time.

147 2.4 PMF extraction in citrus peel

The extraction of PMFs from fresh or dried citrus peel was performed according to 148 our previous reports with some modification<sup>28</sup>. Two-step extraction with low-polar 149 solvent (ethyl acetate) and polar solvent (distilled water) was carried out to extract the 150 151 PMFs effectively. 3g of the fresh and the corresponding dried hybrid citrus peel powder samples were extracted with 20 mL ethyl acetate in a 50-mL tapered plastic 152 centrifuge tube, and broken at 10000 rpm/min for 15 seconds and two times with a 153 high-speed blender (ULTRA-TURRAX T25 digital, IKA, Germany). The ethyl 154 acetate extraction was collected through suction filtration with a Buchner filter, and 155 20 mL distilled water was added. The water layer was extracted twice with equal 156 volume of ethyl acetate. Finally, the combined 60 mL of ethyl acetate extracts were 157 evaporated with a Rotary Evaporator (RE-2000B, Shanghai Yarong Co., Ltd., 158 Shanghai, China), and dissolved in methanol for HPLC analysis. 159

# 160 2.5 Identification of demethylated PMFs by LC-MS/MS analysis

161 Identification of demethylated PMFs in the fresh and dried citrus peel was

162	completed with a LC-MS/MS system (Agilent, Santa Clara, CA, USA). Separations
163	were accomplished on an Agilent Eclipse XDB-C18 column (100 mm× 2.1 mm i.d.,
164	3.5 $\mu$ m) and the column temperature was 35 °C. The UV absorption wavelength was
165	set as 326 nm, the injection volume was 10 $\mu L$ and flow rate maintained 1 mL/min.
166	The mobile phase system was comprised of $0.1\%$ (v/v) formic acid in ultrapure water
167	as mobile phase A and acetonitrile as mobile phase B for the LC separation. An
168	optimal gradient program was applied in the elution: 0-5 min, 30% B; 5-10 min,
169	30%-40% B; 10-15 min, 40% B; 15-22 min, 40%-50% B; 22-30 min, 50%-65% B;
170	30-35 min, 65%-80% B; 35-40 min, 80% B. MS/MS analysis was implemented on a
171	high resolution mass spectrometer (Agilent G6545 Q-TOF) with an electrospray
172	ionization (ESI) source in positive ionization mode. Before entering the MS system,
173	the column eluate was split to 0.4 mL/min. The parameters of the source were set as
174	follows: nebulizer gas pressure 45.00 psi; dry gas flow 6.00 L/min; electrospray
175	voltage 4000 V; capillary temperature 360 °C; target mass m/z 400; scan range m/z
176	100-1000; Fragmentor 150.0 V. Nitrogen was used as damping and sheath gas (>
177	99.99%). The data processing was performed on Agilent MassHunter workstation.

# 178 2.6 Synthesis of demethylated PMFs standards

5-demethylsinensetin (compound 5), 5-demethylnobiletin (compound 6),
5-demethylhexamethoxyflavones (compound 7) and 5-demethyltangeretin (compound
8) were chemically synthesized from compounds 1, 2, 3 and 4, respectively, according
to our previous study.<sup>20</sup> Taking compound 5 as an example, it was directly obtained
through acid hydrolysis (reflux in 3 M HCl/MeOH for 72 h) from compound 1, next it

was separated from the reaction mixture by silica gel column with the eluent of
dichloromethane/methanol (100: 1), and further purified using preparative thin layer
chromatography (PTLC) with silica gel plates (GF254, Yantai, China). Compounds 6,
7 and 8 were chemically synthesized from compounds 2, 3 and 4 with the same
method, respectively. The HPLC profiles of the synthetic 5-demethylated PMFs
standards showed that their purities were up to 98%, and their chemical structures
were identified by LC-MS/MS and <sup>1</sup>H NMR data according to previous reports.<sup>13,14</sup>

# 191 *2.7 The simulation of acidolysis of PMFs*

192 In order to demonstrate that PMF could undergo 5-demethylation via an acid hydrolysis mechanism in the citrus peel, we simulated the chemical environment of 193 this reaction by using the following chemical methods. Each 3 mg PMF standard 194 (compounds 1-4) was dissolved in methanol, and the pH value was adjusted to 3.0 195 with diluted hydrochloric acid (the pH value of citrus peels was about 3.0), in which 196 the total volume of the solution was 10 mL. After refluxed for 64 h under 90 °C and 197 naturally cooled down to room temperature, the pH value was adjusted to 7.0 with 198 saturated NaHCO<sub>3</sub> aqueous solution. The mixture was then extracted three times with 199 200 equal volumes of ethyl acetate, and the combined 30 mL of ethyl acetate extracts were dried under vacuum and re-dissolved with methanol (30 mL) for HPLC analysis to 201 detect whether PMF demethylation occurred. 202

# 203 2.8 The simulation of enzymatic demethylation of PMFs

Biological method was implemented to simulate enzymes in citrus fruit that catalyze the demethylation reaction of PMFs. Extraction of enzyme was carried out

206	by differential centrifugation according to previous report with some modification. <sup>29</sup>
207	Specifically, 2.5 g hybrid citrus peel with essential oil squeezed out were ground to a
208	fine powder by a chilled mortar and pestle after liquid nitrogen refrigeration. 20 mL
209	of ice-cold extraction buffer (250 mM sucrose, 50 mM pH 7.5 Tris, 1 mM DTT and 1
210	mM EDTA) were mixed with the hybrid citrus peel powder in a 50 ml tube. Then, the
211	supernatant was collected after high-speed shearing (40 S) with a high-speed blender
212	at 8000 rpm/min. The homogenate was filtered through three layers of Miracloth, and
213	then centrifuged at high-speed (10000 rpm/min, 40 min) at 4 °C. Finally, after
214	ultracentrifugation (50000 rpm/min, 90 min) of the supernatant at 4 °C, the
215	microsomal pellet was obtained, and 1 mL of the ice-cold extraction buffer were used
216	to re-suspend the precipitated enzyme. 3 mg of each PMF standard (compounds 1-4)
217	was dissolved with DMSO, and mixed with 1 ml of the fresh enzyme buffer solution,
218	in which the content of DMSO was less than 0.5% (v/v). After incubation at 37 °C for
219	12 h, the mixture was extracted three times with equal volumes of ethyl acetate. The
220	combined ethyl acetate extracts were dried under vacuum and re-dissolved with
221	methanol (20 mL) for HPLC analysis to detect whether PMF demethylation occurred.

222 2.9 Quantification of PMFs & demethylated PMFs, and calculation of PMF 223 demethylation ratio

224 Quantitative analysis of PMFs and demethylated PMFs in fresh and dried citrus 225 peel was completed by HPLC with the method mentioned above. The demethylation 226 ratio of PMFs was calculated as follows.

227 PMF demethylation ratio (%) = 
$$\frac{C^{(5 - \text{demethylated PMF})}}{C^{(PMF)} + C^{(5 - \text{demethylated PMF})}} \times 100\%$$

where  $C_{(5-demethylated PMF)}$  is the concentration of 5-demethylated PMF in sample, and C<sub>(PMF)</sub> is the concentration of PMF in sample. The demethylation ratio of PMFs could accurately reflect the content of demethylated PMFs in dried citrus peel samples. With this method, the PMF demethylation at different drying time and temperature in HAD, and other drying methods were measured to analyze the content changes of demethylated PMFs in different drying procedures.

234 2.10 Data analysis

The results of the drying experiments were reported as means and standard deviations based on dry basis, which were calculated by Origin 8.0 (OriginLab Inc., Northampton, MA, USA). The data were subjected to the analysis of variance (ANOVA), and the significance of the difference between means was determined by Duncan's multiple range test (p < 0.05) using SPSS 22.0 (IBM SPSS Inc., Chicago, IL, USA). All individually extracted samples were analyzed in triplicates.

241 **3 Results and discussion** 

3.1 Identification of 5-demethylated PMFs generated in citrus peel during drying
processes

Drying is an important method of processing for citrus peel. Previous studies have reported the change of total flavonoid content in citrus peel during drying processes.<sup>9</sup> In this study, the effects of different drying methods and conditions on PMF structures

247	and contents were determined by LC-MS/MS. As shown in HPLC profiles (Fig. 1a),
248	the contents of compounds 1-4 in citrus peel decreased, while compounds 5-8
249	increased after hot-air drying for 4 h at 60 °C. In order to elucidate the conversion
250	relationship between those compounds, MS/MS was used to determine the chemical
251	structures. Based on the core flavone structure, PMFs are more likely to lose methyl
252	radicals (CH <sub>3</sub> •) in their structures and yield the basic fragment peaks, which included
253	the major characteristic fragments of $[M+H-n\times 15]^+$ and those losing CH <sub>4</sub> (16), H <sub>2</sub> O
254	(18), CO (28), CH <sub>4</sub> +CH3 <sup>•</sup> (31), H <sub>2</sub> O+CH <sub>3</sub> <sup>•</sup> (33), CO+CH <sub>3</sub> <sup>•</sup> (43), CO <sub>2</sub> (44), CO+H <sub>2</sub> O
255	(46), 4CH3 <sup>•</sup> (60) or CO+H <sub>2</sub> O+CH <sub>3</sub> <sup>•</sup> (61) to form diagnostic fragments. <sup>30-32</sup> As shown
256	in Fig. 1b, the LC-MS/MS spectra of compounds 1-8 demonstrated the characteristic
257	peaks of individual PMFs. For the permethoxylated PMFs, taking compound 1 as an
258	example, combination with element matching, the quasi-molecular $([M+H]^+)$ ion at
259	m/z 373.1209 was identified as $C_{20}H_{20}O_7$ . Compared to the basic flavone structure,
260	this compound was speculated as a pentamethoxyflavone. Prominent ions at m/z
261	343.0811 $[M+H-2CH_3]^+$ and m/z 357.09582 $[M+H-CH_3]^+$ by loss of 30 Da (2CH <sub>3</sub> )
262	and 15 Da (CH <sub>3</sub> ) from the precursor ion [M+H] <sup>+</sup> , respectively, were identified as the
263	characteristic fragment ions of PMFs. In addition, there were relatively low
264	abundance ions that were the products of the fragmentation pathways of
265	retro-Diels-Alder (RDA) cleavage from the 1,4-position of the C-ring. The fragment
266	ions at m/z 181.0119 [ $^{1,3}A^+$ –2CH <sub>3</sub> ] and m/z 163.0751 [ $^{1,3}B^+$ ] indicated that there were
267	three methoxyl substituents on ring A and two on ring B. By comparing the retention
268	time and UV absorption, MS/MS spectrum library and ${}^{1}H$ NMR data, ${}^{32-34}$ compound 1

was identified as sinensetin. Similarly, compounds 2-4 were identified as nobiletin, 269 heptamethoxyflavone and tangeretin, respectively (Table 1). For the 5-demethylated 270 PMFs, taking compound 5 as an example, its quasi-molecular ion ( $[M+H]^+$ ) at m/z 271 359.1053 indicated the molecular formula as  $C_{19}H_{18}O_7$  by element matching. The 272 similar fragmentation profile with compound 1 indicated compound 5 was a 273 sinensetin derivative. Compared with compound 1, only a 14 Da (CH<sub>2</sub>) was lost in its 274 molecular structure, which suggested that demethylation might be involved. The 275 fragmentation pathways of RDA produced relatively low abundance ions at m/z 276 167.0174 [<sup>1,3</sup>A<sup>+</sup>-2CH<sub>3</sub>] and m/z 163.0751 [<sup>1,3</sup>B<sup>+</sup>], which suggested that the 277 demethylation occurred on A-ring of compound 1. Combined with the retention time 278 and UV absorption, compound 5 was identified as 5-demethylsinensetin.<sup>32-34</sup> 279 Following the same pattern (Table 1), compounds 6-8 were identified as 280 5-demethylnobiletin, 5-demethylheptamethoxyflavone and 5-demethyltangeretin, 281 respectively. They are the corresponding 5-demethylated products of compounds 2-4, 282 respectively. 283

In order to further identify and quantify PMFs and demethylated-PMFs, compounds **5-8** were synthesized as reference standards according to our previous report (**Fig. 2a**).<sup>20</sup> It has been reported that the neighboring participation effect could conduce to the demethylation of PMFs on the 5-position of the A-ring under acidic condition.<sup>24</sup> A proton from hydrochloric acid could be coordinated with the two oxygen atoms from 4-carbonyl atom and 5-methoxy group, respectively, forming a stable six element ring in structure; Thus, it was more easily broken down between

291	5-oxygen and its connected methyl group to form 5-hydroxyl. HPLC analysis of the
292	synthesized demethylated-PMFs and standards mixture. And they were further confirmed
293	through LC-MS analysis. All of the compounds 1-8 could be separated completely
294	through HPLC with the retention time of 14.8, 18.3, 20.8, 22.9, 22.4, 26.0, 28.0, and
295	29.7 min, respectively. The identification of demethylated-PMFs in dried citrus peels
296	were confirmed by comparing their retention time with that of synthesized standards
297	through HPLC analysis (Fig. 2b). In addition, the standard curves for compounds 1-8
298	were plotted as follows: $y=20.2x-34.6$ (r <sup>2</sup> =0.9976), $y=13.5x-14.2$ (r <sup>2</sup> =0.9993),
299	y=11.3x-5.3 (r <sup>2</sup> =0.9993), $y=16.4x+3.3$ (r <sup>2</sup> =0.9993), $y=12.9x-8.8$ (r <sup>2</sup> =0.9989),
300	y=8.8x-1.9 (r <sup>2</sup> =0.9989), $y=9.0x-2.1$ (r <sup>2</sup> =0.9991), $y=17.0x-9.9$ (r <sup>2</sup> =0.9993),
301	respectively. The linearity ranges for compounds $\boldsymbol{1}$ and $\boldsymbol{2}$ were 0.1 to 200 $\mu M,$ and the
302	others (compounds 3-8) were 0.1 to 100 $\mu$ M. Based on these positive linear
303	relationships between the response value and concentration, quantification of the
304	compounds were successfully conducted. Generally speaking, the content of PMFs
305	1-4 significantly decreased, while 5-demethylated PMFs 5-8 significantly increased
306	after drying, which demonstrated the conversion of permethoxylated PMFs to
307	5-demethylated PMFs in citrus peel during the drying process. The effects of different
308	drying methods and conditions on PMF demethylation were further investigated in the
309	following sections.

# 310 *3.2 Two mechanisms of PMF demethylation during drying of citrus peel*

According to our measurement, pH values of fresh *hybrid citrus* peel and *valencia orange* were  $2.80 \pm 0.14$  and  $3.32 \pm 0.09$ , respectively. Our previous studies

have provided evidence for potential acidolysis of PMFs to 5-demethylated PMFs by 313 stomach acid *in vivo*.<sup>34</sup> In addition, acid hydrolysis could also efficiently facilitate the 314 demethylation of PMFs on the 5-position of the A-ring via chemical reaction, e.g. 3M 315 hydrochloric acid.<sup>20</sup> Therefore, we hypothesized that in citrus peel, especially during 316 the drying process, the acidic environment promoted the PMF demethylation. In order 317 to verify this hypothesis, the acidic tissue condition of citrus peel was simulated in an 318 *in-vitro* chemical reaction (pH= 3.0). As shown in Fig. 3, no demethylated-PMF was 319 produced after 64 h at 30 °C in the solution of 4 permethoxylated PMFs standards 320 (1-4) without addition of acid. Interestingly, after reflux at 90 °C for 64 h with pH of 321 3, 5-demethylated PMFs (compounds 5-8) can be formed; and under this simulated 322 acidolysis condition, the demethylation ratios of compounds 1-4 were 1.10%, 0.23%, 323 324 0.10% and 0.85%, respectively. The neighboring participation effect was the main reason for the easier conversion of PMFs to their 5-demethylated PMF counterparts 325 under acidic condition.<sup>24</sup> Similarly, stomach acid in vivo could also induce the PMF 326 demethylation on 5-position.<sup>34</sup> Therefore, an acidic environment could promote PMF 327 demethylation, which suggests that acid hydrolysis is one of the mechanisms of PMF 328 demethylation. This mechanism could be used to interpret the effect of acidolysis on 329 the PMF demethylation ratios during drying process of citrus peels; from this point of 330 view, lowering pH was beneficial to PMF demethylation in dried citrus peel. 331 Meanwhile, there are many enzymes in the genus Citrus, which can catalyze a 332

series of reactions of the compounds in citrus. Thus, we hypothesized that enzyme catalysis might be another mechanism for PMF demethylation during citrus peel

335	drying. To test this hypothesis, extraction of enzymes from fresh citrus peel and
336	co-incubation of the enzymes and PMFs were carried out, and HPLC was also used to
337	determine the extent to which PMF demethylation took place. As shown in Fig. 3, no
338	demethylated-PMFs produced in the PMF solution without addition of enzymes from
339	citrus peel; whereas, four 5-demethylated PMFs were produced in the PMF solution
340	after treatment with enzyme from citrus peel. The observed demethylation ratios of
341	compounds 1-4 were 1.40%, 0.31%, 0.15% and 0.60%, respectively. These results
342	indicate the catalysis of enzyme could lead to the transformation of permethoxylated
343	PMFs (1-4) to 5-demethylated PMFs (5-8) during citrus peel drying. Hence,
344	enzymatic catalysis was confirmed to be another potential mechanism for the PMF
345	demethylation that occurred in citrus peel. It was reported that cytochrome P450s, the
346	main metabolic enzymes in vivo, have been found to catalyze flavonoid
347	demethylation. <sup>22,35</sup> And this kind of enzymes also existed in citrus cells. <sup>36</sup> Moreover,
348	for the simulation of enzymatic demethylation of PMFs, extraction of enzyme from
349	citrus peel was carried out by following the procedure for extracting cytochrome P450
350	enzyme from plant according to previous report. <sup>29</sup> Therefore, we hypothesized that
351	the enzyme that catalyzes the demethylation of PMFs on the 5-position of the A-ring
352	during the drying processes of citrus peel might be cytochrome P450 enzymes.
353	However, more definite and direct evidence need to be explored to prove the crude
354	enzymes isolated from citrus peel is really the P450 enzymes. Different from human
355	P450 enzymes, which mainly catalyzes the demethylation on 3'-position and
356	4'-position, <sup>22,23</sup> our results indicate that the potential enzymes in citrus peel might

favor demethylation of C5. These findings also help understand PMF demethylation during the citrus peel drying process. During drying processing, the acidic tissue environment and enzyme exist simultaneously in citrus peel, which would promote the conversion from permethoxylated PMFs to 5-demethylated PMFs. The understanding of the mechanism of PMF demethylation could help better control the PMF demethylation in citrus peel during food processing.

# 363 *3.3 Effects of different HAD drying conditions on PMF demethylation*

Hybrid citrus peels were dried by HAD for 32 h at 40, 50, 60 and 70 °C, 364 respectively. Overall, drving time and temperature had significant influence on the 365 moisture ratio of hybrid citrus peel and PMF demethylation (Fig. 4). The 366 demethylation ratios of the 4 PMFs (1-4) shared the same trend, in which the ratio 367 increased rapidly first, then leveled out gradually with a slight decline and finally 368 increasing slowly with the extension of drying time at each temperature. It should be 369 noted that there was a significant drop in demethylated-PMF content during the 370 371 ascent, which was a critical point during prolonged drying. In the initial period of drying, the moisture ratio in the sample was higher (Fig. 4a) and the rapid increase of 372 the PMF demethylation ratio appeared to be associated with the combined effects of 373 374 enzymes and acid in citrus peel, and the enzyme might be the main contributing factor impacting demethylation. As drying went on, the decrease of moisture ratio in 375 samples might lead to the decrease of enzyme activity resulting in the significant 376 decrease of the PMF demethylation reaction rate. In addition, flavonoids could also be 377 oxidized and decomposed by other enzymes like oxidase during drying.<sup>11</sup> After 378

reaching the lowest moisture ratio, catalysis of the enzymes disappeared and the effect of pH became the predominant factor. The decrease of moisture ratio also led to lower pH value in the peels, which accelerated the demethylation; therefore the demethylation ratios increased slowly along with drying time after reaching the lowest moisture ratio. Although the trends of PMF demethylation for the 4 PMFs were similar, the demethylation ratios of compound 1 was the highest, followed by 2, 3 and 4 (Fig. 4b, 4c, 4d, and 4e).

Our results showed that the demethylation ratios of PMFs varied at different 386 temperature. And there were slight differences in demethylation between these PMFs 387 with HAD by different temperature. Taking compound 1 as an example, after drying 388 for 8 h, the demethylation ratio varied from  $11.17 \pm 0.09\%$  at 60 °C to  $9.31 \pm 0.10\%$ 389 at 70 °C (Fig. 4b). The significant drop in the PMF demethylation ratios existed at all 390 temperatures; the higher the heating temperature was, the earlier this point appeared. 391 At 40 °C, the drop point of compound 1 was at 4 h, while the critical time point 392 advanced to 2 h when the drying temperature was 60 °C. The moisture ratio decreased 393 faster when the temperature rose from 40 °C to 60 °C, which was in accordance with 394 the drop point of demethylation. Meanwhile, it is noteworthy that the temperature for 395 the highest demethylation ratio of different PMFs also varied. In general, higher 396 temperature was more conducive to demethylation. For example, the demethylation 397 ratio of compound 1 reached its maximum at 60 °C while for compound 3 it was at 398 70 °C; It has been reported that the activity of many enzymes in fruits and vegetables 399 could be improved at relatively high temperatures, for example, the optimum 400

temperature at which peroxidase reacted with guaiacol was 60 °C.<sup>37</sup> Similar to these 401 enzymes, the optimum temperature of enzymes in citrus was between 60 and 70 °C, 402 403 and a higher drying temperature led to a more acidic condition that was conducive to PMF demethylation.<sup>20</sup> Whereas there were some exceptions for some PMFs, and the 404 highest demethylation ratio of compound 2 was at 40 °C. The reason might be that the 405 demethylation of different PMFs might be dominated by different enzymes, for which the 406 optimum temperature is different. Moreover, as an endothermic reaction, PMF 407 demethylation might adsorb the heat energy provided by relatively high temperature, 408 resulting in the acceleration of the 5-demethylated PMF formation process. 409 Flavonoids are mainly deposited in vacuoles within the cellular structure of citrus 410 peel,<sup>9,38</sup> and as drying temperature increased, the cellular structure was gradually 411 412 destroyed, which led to the PMF release, which increased the contact between PMFs and enzymes and in turn resulted in accumulation of 5-demethylated PMFs. However, 413 the demethylation ratio of compound 1 was reduced significantly at 70 °C, which 414 suggests that high temperature might lead to degradation of the 5-demethylated PMFs. 415 During HAD processing of valencia orange peel, a similar trend was observed for 416 PMF demethylation (Fig. S1). 417

# 418 *3.4 Effects of different drying methods on PMF demethylation*

Citrus peel can be traditionally processed into dried products which are widely used as healthy medical herbs and food ingredients. Herein, we investigated the effects of three prevailing conventional dehydration techniques (HAD, VFD and SD) for citrus peel drying on PMF demethylation. For the *hybrid citrus* peels, the moisture ratio of

423	the peels and demethylation ratios of the 4 PMFs dried by VFD and SD processes
424	were shown in Fig. S2. The moisture ratio of citrus peel during the two processes
425	decreased rapidly over time (Fig. S2a and S2b). The demethylation ratio trends of the
426	4 PMFs during VFD and SD were roughly similar to those in the HAD process.
427	Specifically, the demethylation ratio increased rapidly first to reach a relatively high
428	level, then declined slightly, and finally increase slowly during the remaining drying
429	time (Fig. S2c and Fig. S2d). The demethylation ratio of compound 1 was also the
430	highest, followed by 3, 2 and 4. Generally, the four major PMFs in the samples shared
431	the same demethylation trend in the different drying methods. The highest
432	demethylation ratio was found in SD samples followed by HAD and VFD samples,
433	which were all significantly higher than that of the fresh samples (Fig. 5a). For
434	example, in the three drying processes, the demethylation ratio of compound 2 ranged
435	from $6.56 \pm 0.29\%$ to $7.46 \pm 0.10\%$ , which was higher than that of the fresh sample
436	$(4.79 \pm 0.02\%)$ . Herein, the results showed that the drying processes could facilitate
437	demethylation effectively and different drying technologies produced variable
438	demethylation ratios. As for SD samples, the content of 5-demethylated PMFs were
439	the highest after 16 days of sun-drying. Both acidic tissue environment and enzymes
440	exist simultaneously in citrus peel contribute to for the conversion from
441	permethoxylated PMFs to 5-demethylated PMFs during SD process. Notably, the
442	enzymes effect might be the most significant factor. Because the time extension of SD
443	at mild temperature was conducive to the contact of PMFs with oxygen and enzyme,
444	which gave more chance to produce 5-demethylated PMFs. This led to sufficient

accumulation of 5-demethylated PMFs in SD samples. However, SD is often 445 time-consuming and the most vulnerable to contamination by a variety of debris such 446 447 as dust, sand and litter, as well as exposure to bacteria, parasites, birds and insects. Another limitation of SD is the changeable and unpredictable weather that can also 448 restrict its application. In practice, the quality difference of the citrus peel dried by SD 449 was remarkable, and also this drying method could not meet the demand of wholesale 450 industrialization. The lowest amounts of 5-demethylated PMFs were found in the 451 VFD samples, which indicates that a lower amount of oxygen might restrict the 452 production of the 5-demethylated PMFs and a lower temperature might inhibit the 453 enzyme in citrus peel. Notably, frozen water formed inside the cell<sup>39</sup> might be another 454 factor that could not be ignored, as it might significantly limit PMF demethylation. 455 Taking these findings into consideration, HAD appears to be a practical and 456 economical method to dry citrus peels, for the production of 5-demethylated PMFs. 457 As for *valencia orange* peels, the trends of the moisture ratio and PMF demethylation 458 ratios during VFD and SD processes (shown in Fig. S3) were consistent with the 459 results obtained during the HAD process. As shown in Fig. 5b, for different drying 460 methods, compounds 1, 2 and 4 in valencia orange peels shared the same 461 demethylation trend, and the highest demethylation ratio was observed in SD samples 462 followed by HAD, VFD and the fresh samples. These results of valencia orange peels 463 further confirmed the trend of PMF demethylation during different drying processes. 464

# 465 **4 Conclusion**

466

In summary, this study for the first time discovered demethylation reaction of

permethoxylated PMFs to produce corresponding 5-demethylated PMFs in citrus peel 467 during different drying processes. The PMF demethylation was simulated under 468 chemical and biological conditions, which revealed the two demethylation 469 mechanisms (acid hydrolysis and enzymatic catalysis) in citrus peel. And cytochrome 470 P450 enzymes might be the enzyme that catalyzes the demethylation of PMFs on the 471 5-position of the A-ring during the drying processes. However, more definite and 472 direct evidence need to be explored. The influence of different drying processes on 473 the PMFs demethylation was also systematically investigated, and the dominant 474 demethylation mechanism depended on the moisture ratio of the citrus peel. HAD was 475 the most appropriate choice for drying citrus peel in a large scale to obtain high 476 content of 5-demethylated PMFs as compared with VFD and SD. In addition, the 477 478 optimal HAD operating conditions were determined to be at 60 °C for 4 h. The results obtained in this study provided valuable scientific basis for the rational control of 479 PMF demethylation in citrus peel, as well as for the production of high quality citrus 480 peel and related products. 481

482 **Conflicts of interest** 

483 The authors declare no competing financial interest.

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# 493 Supplementary Material

494 Supplementary data for the moisture ratio and demethylation ratios of 4 PMFs in

495 *hybrid citrus* peels and *valencia orange* peels during HAD, VFD and SD processes.

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622 192–204.

# 623 Figure captions

624	Fig. 1 HPLC profiles (a) and MS/MS spectra (b) of flavonoids extraction in hybrid
625	citrus peels before and after hot-air drying for 4 h at 60 °C.
626	Fig. 2 Synthetic schemes of 5-demethylated PMF standards (a), and their HPLC
627	validation (b). (Compounds 1-8 are sinensetin, nobiletin, heptamethoxyflavone,
628	tangeretin, 5-demethylsinensetin, 5-demethylnobiletin,
629	5-demethylhexamethoxyflavone, and 5-demethyltangeretin, respectively).
630	Fig. 3 HPLC profiles of PMF standards, PMF solution after placed at 30 °C for 64
631	hours, acid hydrolysis of PMFs, and enzyme treatment of PMFs (a), and their
632	enlarged views (b).
633	Fig. 4 The moisture ratio (a), and the demethylation ratios of sinensetin (b), nobiletin
634	(c), heptamethoxyflavone (d) and tangeretin (e) in hybrid citrus peels during
635	HAD process.
636	Fig. 5 Effects of different drying methods (F, fresh sample; HAD, hot air drying at 60
637	°C for 4 h; VFD, vacuum freeze drying for 2 h; SD, sun drying for 16 d) on the
638	demethylation ratios of PMFs (sinensetin, nobiletin, heptamethoxyflavone and
639	tangeretin) in <i>hybrid citrus</i> peels (a) and <i>valencia orange</i> peels (b). Means $\pm$
640	standard deviations and different letters presented the demethylation ratio data
641	of PMFs and significant difference between PMFs with $P < 0.05$ , respectively.
642	Table 1 LC-MS/MS characterization of compounds 1-8 in the hybrid citrus peels
643	after drying.



645 Fig. 1 HPLC profiles (a) and MS/MS spectra (b) of polymethoxyflavones (PMFs) in

646 *hybrid citrus* peels before and after hot-air drying for 4 h at 60 °C.



647

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661Fig. 5 Effects of different drying methods (F, fresh sample; HAD, hot air drying at 60662°C for 4 h; VFD, vacuum freeze drying for 2 h; SD, sun drying for 16 d) on the663demethylation ratios of PMFs (sinensetin, nobiletin, heptamethoxyflavone and664tangeretin) in *hybrid citrus* peels (a) and *valencia orange* peels (b). Means ±665standard deviations and different letters presented the demethylation ratio data666of PMFs and significant difference between PMFs with P < 0.05, respectively.

# **Table 1** LC-MS/MS characterization of compounds **1-8** in the *hybrid citrus* peels

#### 668

# after drying.

No	t <sub>R</sub> /min	Formula	[ <b>M</b> +H] <sup>+</sup>	Assignment	MS <sup>E</sup> ion fragments	Abundance/%
					358.1 [M+H-CH <sub>3</sub> ] <sup>+</sup>	7
1	14.8	$C_{20}H_{20}O_7$	373.1	sinensetin	357.1 [M+H-CH <sub>4</sub> ] <sup>+</sup>	36
					343.1 [M+H-2CH <sub>3</sub> ] <sup>+</sup>	100
					329.1 $[M+H-CH_4-H_2O]^+$	22
					312.1 [M+H-CH <sub>3</sub> -CO-H <sub>2</sub>	O] <sup>+</sup> 32
2	18.3	$C_{21}H_{22}O_8$	403.1	nobiletin	388.1 [M+H-CH <sub>3</sub> ] <sup>+</sup>	1
					$374.1 [M+H-CH_3-CH_2]^+$	20
					$373.1 [M+H-2CH_3]^+$	100
					355.1 [M+H-2CH <sub>3</sub> -H <sub>2</sub> O] <sup>+</sup>	6
					327.1 [M+H-CH <sub>3</sub> -CO-H <sub>2</sub>	O] <sup>+</sup> 16
3	20.8	C <sub>22</sub> H <sub>24</sub> O <sub>9</sub>	433.1	heptamethoxyflavone	418.1 [M+H-CH <sub>3</sub> ] <sup>+</sup>	2
					417.1 [M+H-CH <sub>4</sub> ] <sup>+</sup>	4
					403.1 [M+H-2CH <sub>3</sub> ] <sup>+</sup>	100
					388.1 [M+H-3CH <sub>3</sub> ] <sup>+</sup>	15
					385.1 [M+H-2CH <sub>3</sub> -H <sub>2</sub> O] <sup>+</sup>	8
4	22.9	C <sub>20</sub> H <sub>20</sub> O <sub>7</sub>	373.1	tangeretin	358.1 [M+H-CH <sub>3</sub> ] <sup>+</sup>	4
					344.1 [M+H-CH <sub>3</sub> -CH <sub>2</sub> ] <sup>+</sup>	20
					343.1 [M+H-2CH <sub>3</sub> ] <sup>+</sup>	100
				-	300.1 [M+H-3CH <sub>3</sub> -CO] <sup>+</sup>	32
					297.1 [М+Н-2СН <sub>3</sub> -СО-Н	[ <sub>2</sub> O] <sup>+</sup> 19
	22.4	C <sub>19</sub> H <sub>18</sub> O <sub>7</sub>	359.1	5-demethyl-sinensetin	344.1 [M+H-CH <sub>3</sub> ] <sup>+</sup>	25
					326.1 [M+H-CH <sub>3</sub> -H <sub>2</sub> O] <sup>+</sup>	4
5					298.1 [M+H-CH <sub>3</sub> -CO-H <sub>2</sub>	O]+ 13
					211.0 <sup>1, 2</sup> A <sup>+</sup>	14
					163.1 <sup>1, 3</sup> B <sup>+</sup>	2
6	26.0	$C_{20}H_{20}O_8$	389.1	5-demethyl-nobiletin	374.1 [M+H-CH <sub>3</sub> ] <sup>+</sup>	2
					360.1 [M+H-CH <sub>3</sub> -CH <sub>2</sub> ] <sup>+</sup>	26
					359.1 [M+H-2CH <sub>3</sub> ] <sup>+</sup>	100
					341.1 [M+H-2CH <sub>3</sub> -CO] <sup>+</sup>	40
					197.0 $[^{1, 3}A^+-2CH_3]^+$	34
7	28.0	$C_{21}H_{22}O_9$	419.1	5-demethyl-hexamethoxy flavone	389.1 [M+H-2CH <sub>3</sub> ] <sup>+</sup>	100
					361.1 [M+H-2CH <sub>3</sub> -CO] <sup>+</sup>	21
					227.1 <sup>1,3</sup> A <sup>+</sup>	2
					197.0 [ <sup>1, 3</sup> A <sup>+</sup> -2CH <sub>3</sub> ] <sup>+</sup>	3
8	29.7	CasHasOz	359 1	5-demethyl-tangeretin	344 1 [M+H-CH <sub>2</sub> ]+	23
					330 1 [M+H-CHCH_]+	3
					$329.1 [M+H-2CH_1+$	88
	29.1	019111807	557.1	5 demoniyi-tangeretin	311.1 [M+H-2CH_H O]+	83
					$511.1 [101+11-2C\Pi_3-\Pi_2O]^2$	0.5