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When used in municipal water treatment, ceramic membranes offer robust resistance to damage, but experience fouling. Silver nanoparticles offer attractive antimicrobial properties, but there is still much to be understood regarding their adhesion to ceramic surfaces. This research provides laboratory data and a statistical model to better understand and predict silver nanoparticle removal under different water conditions.

The Effects of Water Chemistry on the Detachment and Dissolution of Differently Stabilized Silver Nanoparticles from Ceramic Membranes

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Abstract

Silver nanoparticles (Ag NPs) are an attractive addition to membranes used for drinking water treatment due to their antimicrobial properties. This research examined the role of stabilizing agents, used during the synthesis of Ag NPs, on their detachment/dissolution from ceramic membranes exposed to different types of drinking water sources typical in the United States. Ag NPs that had been stabilized by citrate, polyvinylpyrrolidone (PVP), or branched polyethylenimine (BPEI) were deposited on the surface of porous aluminum oxide membranes, which are commonly used for municipal water treatment. Results demonstrated that Ag NP stabilizer influenced release from the membranes. For Ag NPs electrostatically stabilized (citrate), hard water was found to remove the Ag NPs for longer periods of time and at higher quantities than in water without hardness. The reverse was found for sterically stabilized Ag NPs (BPEI and PVP). Additions of natural organic matter (NOM) were added to waters filtered by membranes coated in citrate Ag NPs and were found to prolong the release of the citrate Ag NPs in the hard water test condition. Variations in total Ag released from ceramic membranes were explained by differential impacts on the NP and membrane's electrical double layers when switching from Ag NP deposition to filtration water chemistry conditions (zeta potential measurements are provided in this paper). Despite these differences, our findings show that, in waters that do not contain NOM, Ag release quickly falls below silver drinking water standards (0.1 mg/L) and greater than 50% remained on the membranes. This study demonstrates that the type of Ag NP stabilizing agent and water chemistry should be considered when these membranes are utilized for municipal water treatment.

Keywords silver nanoparticles, stabilizing agent, ceramic membranes, multilevel model, water treatment, filtration, water chemistry

1. Introduction

The objective of this paper is to gain a deeper understanding of how silver nanoparticles (Ag NPs) stabilized by different capping agents detach/dissolve from the surface of ceramic membranes used for water treatment. Ceramic membranes are used extensively for municipal drinking water treatment throughout Japan and have been more recently introduced as a treatment technology in the United States. Ceramics are more resistant to high temperatures, pressures, and cleaning solutions than polymeric based membranes [1]. Nanocomposite membranes are seen by some researchers as a solution to challenging treatment objectives required for wastewater recycling or to achieve lower energy demands from pressure driven systems [2-5]. The incorporation of Ag NPs is particularly attractive due to their antimicrobial properties. Researchers have determined that Ag NPs not only provide disinfection, but also reduce fouling through reduction of biofilms on the surface of membranes [6-12]. However, it is also well known that NPs' physical-chemical properties are substantially altered by the

47 stabilizing agent utilized during synthesis to control size and prevent aggregation [13-17]. A
48 better understanding of the interplay between stabilizer and water quality is required. This
49 understanding will allow design of more robust antimicrobial systems that control the retention
50 or release of Ag.

51 The use of Ag NPs in previous research suggests that certain water types have a
52 significant impact on the release of Ag NPs from ceramic water filters and others do not.
53 Historically, point-of-use ceramic water filters manufactured in developing countries have been
54 the most actively used technology integrating Ag NPs for antimicrobial purposes. Some
55 manufacturers use silver nitrate painted on filters, but most use casein stabilized Ag NPs. As
56 such, for ceramic water filters casein stabilized Ag NPs have been studied the most extensively
57 of all types of Ag NPs [18]. A quartz crystal microbalance study monitored the release of casein
58 stabilized Ag NPs from nonporous silicon wafers [19]. They found that valence, turbidity, and
59 pH had very little effect on the release of the casein Ag NPs from the surface of the silicon
60 wafer. On the other hand, high release rates were observed in the presence of high
61 concentrations of organic compounds (10% tryptic soy broth) and in the presence of sodium
62 hypochlorite (8.0 mg/L as Cl₂) which removed 85% of Ag from the surface of the silica wafer
63 within 3 hours. Others found that, under three different water conditions (a no hardness, hard,
64 and water with organic matter), release of casein Ag NPs was minimal (5 to 10%) and bacterial
65 inactivation performance of point-of-use ceramic water filters was unaffected [20]. When water
66 chemistry conditions were compared between [19] and [20], the findings were consistent. A
67 more recent and comprehensive study, by a subset of authors from [20], found influent water
68 conditions of pH 5, I = 50 mM NaNO₃, or influent conditions containing divalent cations
69 resulted in release of Ag from the filters at levels that were 5-10 times the World Health
70 Organization's drinking water standard (0.1 mg/L) [21]. The same study found that less saline,
71 less acidic, or softer conditions were acceptable after an initial flushing period. These authors
72 observed increased eluent Ag concentrations when influent water quality was switched from low
73 to high ionic strength conditions. This result indicated that the dominant Ag release mechanism
74 was cation exchange as opposed to compression of the electrical double layers of the NPs.
75 Different results between [19] and [21] could be related to the use of different substrates (silicon
76 wafers without porosity vs. clay-based filters) and their dominant release mechanisms (cation
77 exchange vs. electrical double layer). These findings are important to our work because they
78 demonstrate that clay-based point-of-use ceramic water filters and alumina-based membranes
79 may have different release mechanisms.

80 Several studies have examined the role of Ag NP stabilizer. Our previous work in Ghana
81 found that release differences were not statistically significant for citrate, polyvinylpyrrolidone
82 (PVP), or branched polyethylenimine (BPEI) Ag NPs on point-of-use ceramic filters, but that
83 different types of Ag NPs may have different effectiveness at disinfection of bacteria [22].
84 Another study using casein, maltose (with PVP), and rosemary extract Ag NPs found that more
85 Ag remained on point-of-use filters using rosemary than maltose and casein coated Ag NPs. The
86 study also found that Ag impregnation of filters improved removal of *Escherichia coli* and lead
87 [23]. Finally, two studies focused on alumina-based ceramic water filters decorated with
88 different types of Ag NPs and linking agents. Both studies demonstrated improved removal of *E.*
89 *coli* from water with the addition of Ag [24, 25].

90 These studies demonstrate that Ag NPs effectively impart antimicrobial properties when
91 added to both clay and alumina-based ceramic water filters. They also indicate that the water
92 chemistry impacts the release of Ag from filters. However, the interplay between different Ag

93 NP stabilizers and ceramic substrate is not fully understood. Ag NPs' surface functionalization
94 has been primarily researched and put into practice for point-of-use clay based ceramic filters.
95 Ceramic membranes composed of pure alumina have not benefited from as much research and
96 development despite their growing use. This study is the first of its kind to systematically
97 investigate the differences in Ag NPs release from the membrane materials used in centralized
98 municipal water treatment in developed countries.

99

100 2. Materials and Methods

101 2.1. Ag NP Synthesis and Analysis

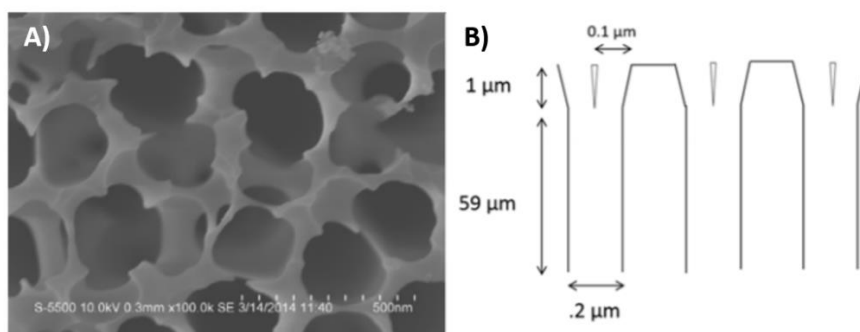
102 Ag NPs stabilized with citrate, polyvinylpyrrolidone (PVP), and branched
103 polyethylenimine (BPEI) were prepared and characterized according to procedures outlined in
104 previous work [26]. Size distributions, aggregation curves, and images are also available in the
105 same publication. On average, the particles were 10-15 nm in diameter, as measured by
106 transmission electron microscopy image processing. Their aggregation, as measured by a
107 Nanosight particle analyzer, was found to be negligible under conditions used to prepare the
108 filters for this study. Citrate and PVP represent the two most frequently used stabilizing
109 molecules for Ag NP synthesis and BPEI imparts a positive surface charge to the Ag NP unlike
110 the other two stabilizers [27]. Throughout this work, total suspension phase Ag (ionic + NP) was
111 measured using an Agilent ICP-OES at a wavelength of 328 nm. The instrument detection limit
112 was 1 µg/L. Total Ag deposited on membranes was measured by digesting the membrane in 1
113 mL of concentrated trace metal grade HNO₃, followed by adding 10 mL of ultrapure water and
114 subsequently diluted within the range of the standard curve. Solution phase Ag was digested
115 using 6% concentrated trace metal grade HNO₃. One sample per filtration time was measured in
116 triplicate by ICP-OES, with average % RSD values below 5% considered to be data of sufficient
117 quality to be used in the statistical analysis. Each membrane-water-Ag NP combination was
118 repeated in triplicate. Membrane integrity was visually assessed at the end of each experiment
119 and only non-cracked membranes' results were used in the statistical analysis. All standards were
120 prepared using a NIST traceable standard (Inorganic Ventures, Christiansburg Virginia) and
121 standard curves achieved R² values >0.99. Zeta potential measurements were performed in
122 quadruplicate on a Malvern Zetasizer using 5 mg/L Ag NP solutions.

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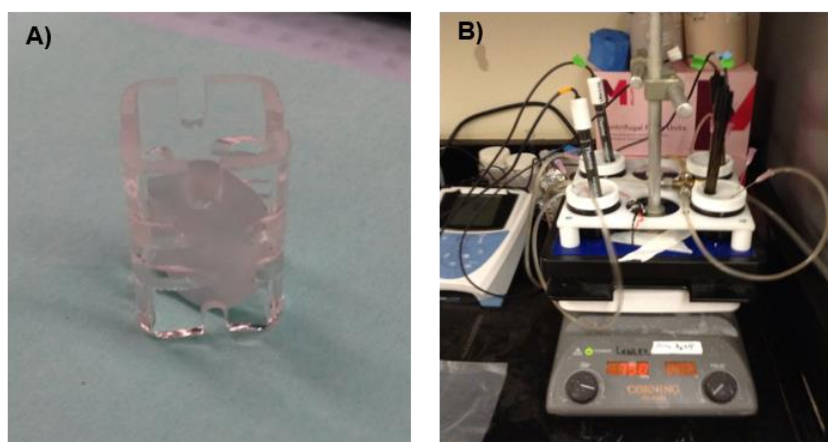
124 2.2. Membrane Preparation

125 Porous aluminum oxide (Al₂O₃) ceramic disks (Whatman Anodisc, 13 mm flat disks with
126 0.1 µm diameter pores according to manufacturer specifications and verified using scanning
127 electron microscopy shown in Figure 1) were used as representative membranes. Porous Al₂O₃
128 substrates were selected because ceramic membranes used in municipal water treatment plants
129 are typically fabricated from Al₂O₃. They also minimize the cation exchange mechanisms
130 observed in clay based ceramic filters, but still provided a porous substrate unlike a silicon wafer
131 used in previous research. The disks were prepared for filtration tests by soaking them in 100
132 mg/L Ag NP suspensions at I=10 mM as KNO₃ for 3 hours at pH values that promoted
133 deposition (pH 5 for Citrate and PVP Ag NPs, and pH 7 for BPEI Ag NPs). Adsorption curves
134 for these conditions are available in [26]. The point of zero charge of the membranes was at a pH
135 value of approximately 5.5 at which point the zeta potential value for Citrate Ag NPs was equal
136 to approximately -25 mV, PVP Ag NPs -9.7 mV, and BPEI Ag NPs 32.5 mV [26]. The
137 membranes were held upright under fully submerged conditions by custom made glass holders
138 that prevented cracking of the membranes and promoted deposition of Ag NPs on both sides of

139 the membrane (Figure 2A). Membranes were soaked in amber glass jars, to avoid UV
 140 transformations of the Ag NPs. Nitrogen gas was maintained in the headspace of the jars to assist
 141 in pH control which was monitored and adjusted using KOH or HNO₃ to maintain initial
 142 conditions throughout the duration of the soaking (Figure 2B). After soaking, the disks were
 143 allowed to dry overnight. Membranes were carefully inserted into 13 mm polypropylene
 144 Swinnex filter holders (EDM Millipore). Use of flat silicone gaskets on either side of the disks
 145 and zip ties on all tubing connections was essential for preventing leakage during filtration or
 146 cracking the membrane upon tightening the holders.



147
 148 **Figure 1.** A) Planar view and B) side view of Al₂O₃ membranes (Anodisc). Planar view obtained
 149 on a Hitachi S5500 Scanning Electron Microscope.
 150



151
 152 **Figure 2.** Membrane preparation setup for deposition of Ag NPs. A) Al₂O₃ membranes
 153 (Anodisc) in glass membrane holder and B) Attachment experimental setup.

154 2.3. Filtration

155 Two base test waters were used in these experiments. The “Hard Water” represents a
 156 relatively hard water, as found in many places in the central United States, with a hardness
 157 (added as magnesium nitrate salt) of approximately 200 mg/L as CaCO₃ (i.e., 4 meq/L),
 158 alkalinity of approximately 200 mg/L as CaCO₃ (added as NaHCO₃), and a pH of 8.3 (adjusted
 159 using KOH when necessary). The “No Hardness Water” represents water similar to water
 160 supplies found in the northeast United States. This water had no hardness but an ionic strength of
 161 10 mM (added as NaNO₃), an alkalinity of approximately 50 mg/L as CaCO₃ (added as
 162 NaHCO₃), and a pH of 6.5 (adjusted using concentrated HNO₃). NOM was also added to these
 163 base water recipes during experiments with membranes covered with citrate Ag NPs. For this
 164 portion of the work, both waters were spiked with 5 mg/L Humic Acid (equivalent to 2.6 mg/L

165 as DOC) to represent typical NOM in water systems. Suwannee River Humic Acid from the
 166 International Humic Substances Society was used. Table 1 summarizes the filtration experiments
 167 that were performed. During filtration, the water was stored in covered beakers and a
 168 multichannel peristaltic pump was used to flow water through multiple membranes at a flow rate
 169 of 0.5 mL/min. Experimental water conditions were repeated on three different membranes. For
 170 each membrane, samples were collected until Ag stopped, or nearly stopped,
 171 detaching/dissolving from the membranes (as defined by the instrument detection limit of 1
 172 $\mu\text{g/L}$).

173 **Table 1:** Summary of Filtration Experimental Factors
 174

Membrane Material	Ag NPs Capping	Test Waters	
		Base Recipe	Additions
Al ₂ O ₃	Citrate	<i>Hard Water</i>	
	BPEI	(Hardness = 200 mg/L CaCO ₃ as Mg(NO ₃) ₂ ·6H ₂ O; Alk = 200 mg/L CaCO ₃ as NaHCO ₃ ; pH = 8.3)	NOM* (5 mg/L Humic Acid)
	PVP		*Only tested for Citrate-Ag NPs
		<i>No Hardness Water</i> (I = 10 mM as NaNO ₃ ; Alk = 50 mg/L CaCO ₃ as NaHCO ₃ ; pH = 6.5)	

175 2.4. Statistical Analysis using Multilevel Modeling

176 To assess significant differences in release of Ag, according to stabilizing agent and
 177 water type, a multilevel model was built and run using the *lme4* package in the open source
 178 software R Version 1.2.1335 (see Supporting Information for code) [28]. A multilevel model is
 179 required because repeated measurements violate the assumption of independence of data points
 180 used in analysis of variance (ANOVA) and the sample set is small and unbalanced. The *lme4*
 181 package provides functions for fitting and analyzing mixed models. To construct a parsimonious
 182 yet robust model, random slope and intercept were used for the development of the conditional
 183 model. Significance testing on the multilevel model was completed using the R package *lmerTest*,
 184 which provides p-values and summary tables in conjunction with the *lme4* package [29]. Model
 185 fit was compared using Akaike Information Criteria (AIC) with maximum likelihood estimator
 186 (i.e., restricted maximum likelihood (REML) = false in code) [30]. A lower AIC value
 187 corresponds to better model fit. Model results for each condition (i.e., water type and silver type)
 188 were generated using the fixed effects from the *lme4* package output to calculate model slope
 189 and intercept values, and the *effects* package was used to graph model results with 95%
 190 confidence intervals. The assumptions of linearity, normality, and independence were evaluated
 191 using graphical examination of QQ plots and scatter plots of the raw data and modeled residuals
 192 (Figure SI-1). All three assumptions were found to be upheld; therefore the use of a multilevel
 193 model was considered appropriate for this data set.

194 In brief, multilevel modeling is an iterative process that includes fitting an unconditional
 195 model (no explanatory variables) first, and then developing a conditional model [31]. The
 196 unconditional model is run to establish baseline statistics used for evaluating improvement
 197 (reduction in unexplained variation) by the inclusion of one or more explanatory variables in the
 198 conditional model. The unconditional model used in this work was:

$$200 \quad \text{LnAg}_{ij} = \gamma_{00} + \gamma_{10} \text{LnVolume}_{ij} + (u_{0j} + u_{1j} \text{LnVolume}_{ij}) + r_{ij} \quad (1)$$

201 Where “LnAg” is the natural log of the total eluted Ag (ionic + nano) in $\mu\text{g/L}$ and “LnVolume”
 202 is the natural log of water filtered in mL. The subscript “i” refers to a specific sample of filtered
 203 water and the subscript “j” refers to a specific membrane (i.e., FilterID). The symbols “ γ ” are
 204 parameters that were estimated using restricted maximum likelihood (i.e., REML = true). The
 205 symbol “r” represents the overall unexplained variation of these parameter estimates and “u”
 206 represents the random effects of the slopes and intercept coefficients for individual membranes.

207 For development of the final conditional model, the model was run multiple times to
 208 individually evaluate the amount of variation explained through inclusion of the following factor
 209 combinations: 1) water type, 2) Ag type, 3) water type and Ag type (without interactions), and 4)
 210 water type and Ag type (with interactions). NOM was not included as a water type in the analysis
 211 because not all types of Ag NPs were tested with water that included NOM. A separate analysis
 212 of the significance of NOM with only citrate Ag NPs covered membranes was performed. Table
 213 SI-1 in the Supporting Information summarizes how variation was accounted for as specific
 214 variables were included in the model. It also notes, in the “Significant Fixed Effects” column,
 215 which variables, as a group, were considered significant for explaining how Ag released from the
 216 membranes (a p-value < 0.05 was used for the significance criteria). The conditional model that
 217 provided the most reduction in variance of the slopes and intercepts (36%) included both Ag type
 218 and water type and a term to account for different interactions between the water and type of
 219 Ag NP. The final reduced form of the model used in this work was:

$$221 \quad \text{LnAg}_{ij} = (\gamma_{00} + \gamma_{01} \text{SilverType}_j + \gamma_{02} \text{WaterType}_j + \gamma_{03} \text{SilverType}_j \text{WaterType}_j \\ 222 \quad + \gamma_{10} \text{LnVolume}_{ij} + \gamma_{11} \text{SilverType}_j \text{LnVolume}_{ij} \\ 223 \quad + \gamma_{12} \text{WaterType}_j \text{LnVolume}_{ij}) + (u_{0j} + u_{1j} \text{LnVolume}_{ij}) + r_{ij} \quad (2)$$

224 Where “Silver Type” refers to the three different types of Ag NPs, “WaterType” is the identifier
 225 for the water conditions described in the filtration methods section. This model was used for
 226 significance testing of how Ag type, water type, and their interactions influence the
 227 detachment/dissolution of Ag from the membranes and it provided equations that can be used to
 228 predict Ag release for particular types of Ag when a particular water is being filtered.

229

230 3. Results and Discussion

231

232 3.1. Ag Release Analytical Results

233 The measured concentration of Ag released from the membranes, as a function of volume
 234 of water filtered, is displayed in Figure 3 in the graphs labeled “Analytical Results.” A total of 33
 235 membranes and 187 observations were used in the statistical analysis. The error bars represent

236 the standard deviation for Ag concentration for volumes where multiple membranes were run
237 under the same water condition. The volumes that do not include standard deviations are because
238 membranes cracked in a manner that compromised filtration and were excluded from analysis,
239 resulting in only one measurement in several instances. The initial water sample was collected at
240 either 5 mL or 10 mL. Note, the modeled intercept values represent a theoretical “back” estimate
241 to instantaneous release from the membrane, not a measured concentration. Ideally, the initial
242 sample would have been collected after 1 mL of filtration because the intercept data would have
243 represented the average Ag concentration measured in the first set of samples (ln 1 equals zero).
244 However, this could not be implemented due to sample volume constraints for the ICP-OES.

245 The volumes of water filtered represent approximately 14 hours of operation, or a “first-
246 flush” condition, for a municipal treatment membrane unit with 25 m² of surface area operated at
247 170 L/m²-hr. Initially, some membranes released Ag at concentrations higher than the World
248 Health Standard of 0.1 mg/L, but the released Ag quickly declined to within regulatory limits
249 [32]. Citrate Ag NPs demonstrated a trend of increased release under hard water conditions
250 compared to water that did not contain hardness. The reverse was true for PVP and BPEI
251 Ag NPs. The sustained release (slope) of BPEI Ag NPs appeared to be steeper than for Citrate
252 and PVP Ag NPs. However, considering variation in the experimental results, it is difficult to
253 discern significant differences in Ag released by water and Ag type from the analytical results
254 alone. The statistical modeling portion of this work quantitatively accounts for this variation,
255 and therefore, modeling results were used to draw conclusions.

256 Different types of Ag NPs deposit more or less favorably on the Anodiscs, with Citrate
257 Ag NPs depositing roughly 20 times more mass of Ag on Anodiscs than BPEI Ag NPs and twice
258 as much as PVP Ag NPs [26]. These differences were found to be controlled by the steric and
259 surface charge differences of the particles [26]. For this reason, the amount of Ag left on the
260 membrane was measured using ICP-OES at the end of the filtration run to ensure none of the
261 membranes lost all the initially deposited Ag (Figure 4). Estimates of the initial Ag on the
262 membranes were assessed by integration of the Ag release curve with addition of the final
263 amount of Ag on the membrane determined by digesting the membrane and measuring the Ag
264 concentration using ICP-OES. These results demonstrate that a high fraction of Ag was retained
265 on the membranes, i.e., the Ag was not completely released during initial flushing of membranes
266 and is potentially still available for biological fouling control.

267

268 *3.2. Ag Release Modeling Results*

269 The modeling results are displayed in Figure 3 in the graphs B), D), and F). The resulting
270 coefficient estimates, standard error, and hypothesis tests for the unconditional model are
271 presented in Table SI-2 of the supporting information. The p values of less than 0.05 in the fixed
272 effects portion of the table indicate that Ag is coming off the membrane, and the estimates tell us
273 that the average “initial” amount is 213 µg/L and average rate of loss is 3.01 µg/L, ignoring Ag
274 or water type groupings. The results also indicate that, prior to the addition of explanatory
275 variables, unexplained variation (random effects) is present. This variation is largest for the
276 “initial” release of Ag between membranes (variance of 1.85), but is also present for the rate of
277 loss of the Ag (0.07) and for the unexplained variation attributed to the repeated measures of a
278 particular membrane (0.76).

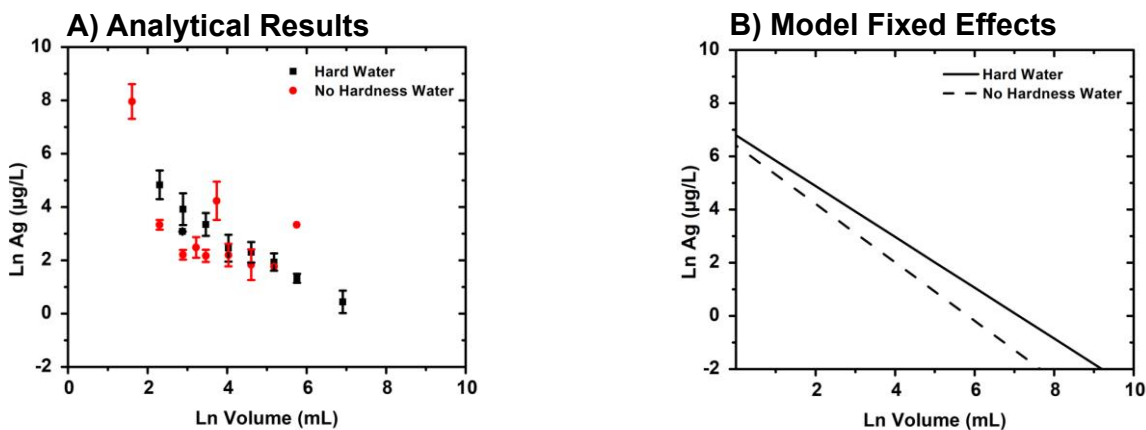
279 Table SI-3 in the supporting information contains the parameter estimates and
280 significance test results for all the different water-Ag NP combinations tested using the final
281 conditional multilevel model. The parameters’ fixed estimates were used to generate equations in

282 Figure 5 where one can solve for ln values of Ag released for each type of Ag NP-water
283 combination (volume is in terms of mL).

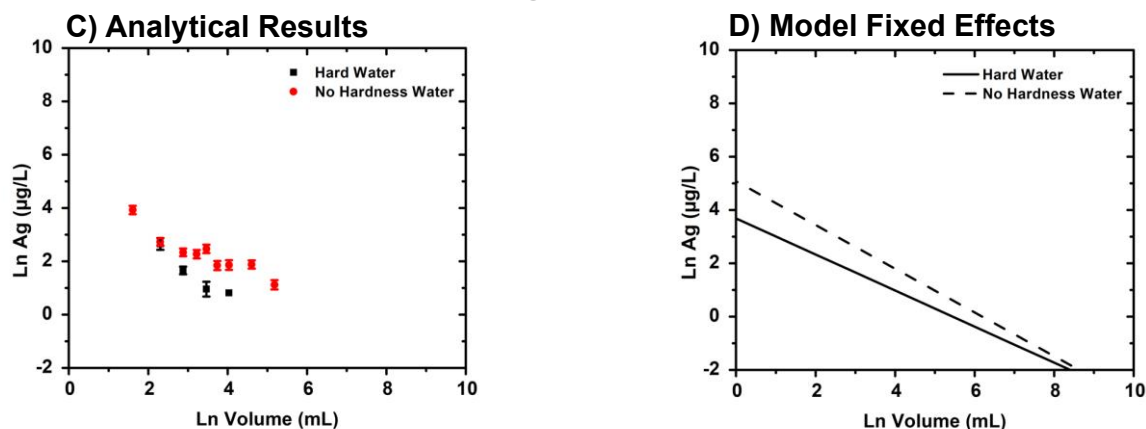
284 Figure 6 demonstrates different interactions with hard and not hard water when
285 controlling for interactions between Ag NP type and water type. Sustained Ag release was
286 similar for all NPs in the water without hardness, but for the citrate stabilized Ag NPs the hard
287 water removed the Ag from the membranes for a longer period than the others (BPEI p-value =
288 0.01, PVP p-value = 0.02) whereas PVP and BPEI's Ag elution was similar (p-value = 0.96).
289 Water type did not influence the initial release of Ag for citrate Ag NPs (p-value = 0.66), PVP
290 Ag (p-value = .09), or BPEI Ag (p-value = 0.09); however, citrate and PVP Ag NPs had
291 significantly different initial release values in hard water (p-value = 0.002). These results are
292 consistent with citrate Ag NP granular media filtration research that has demonstrated a the
293 dominant role of the electrical double layer and slower release rates with divalent salts [33].
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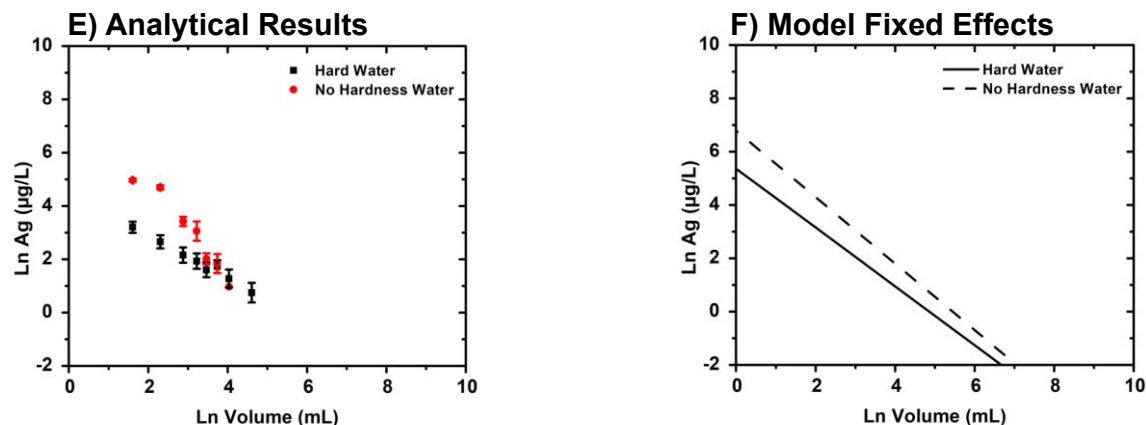
Citrate Ag NP Filters



PVP Ag NP Filters



BPEI Ag NP Filters



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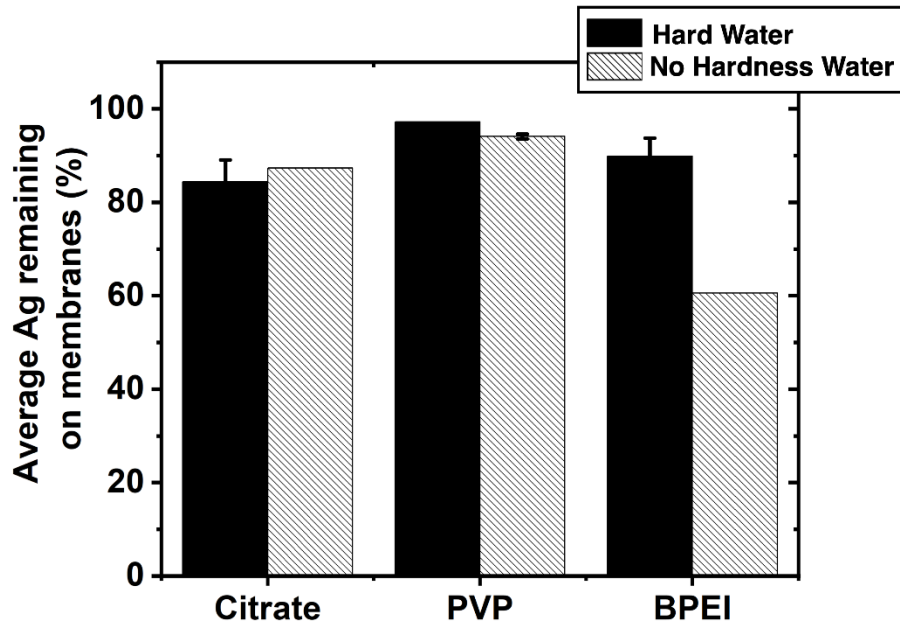
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Figure 3. Ag Release Results. Graphs A, C, and E are average effluent data from membranes with different types of Ag NPs deposited on their surface. Error bars represent standard error when effluent Ag was measured from multiple membranes prepared and challenged in the same manner. Graphs B, D, and F are release results generated from the fixed effects of the multilevel statistical model built from the analytical Ag effluent data.

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Figure 4. Retention of Ag on membranes after filtering 56.6 mL of water. None of the membranes (even after filtering more water) exhausted the deposited Ag prior to reaching detection limits of Ag by ICP-OES. Error bars included in cases where replicate data were collected and represent standard error.

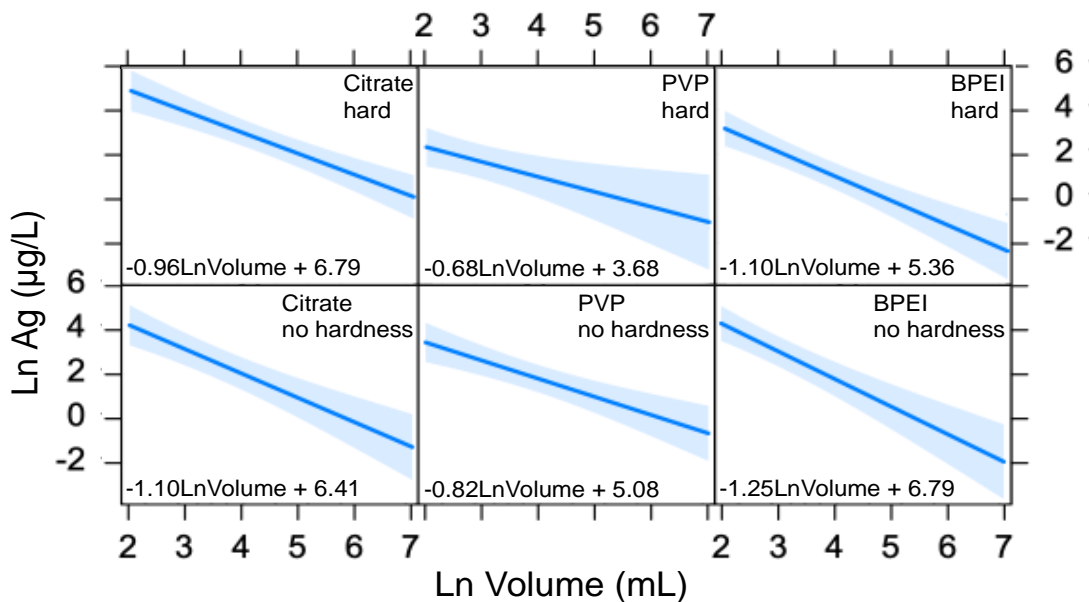
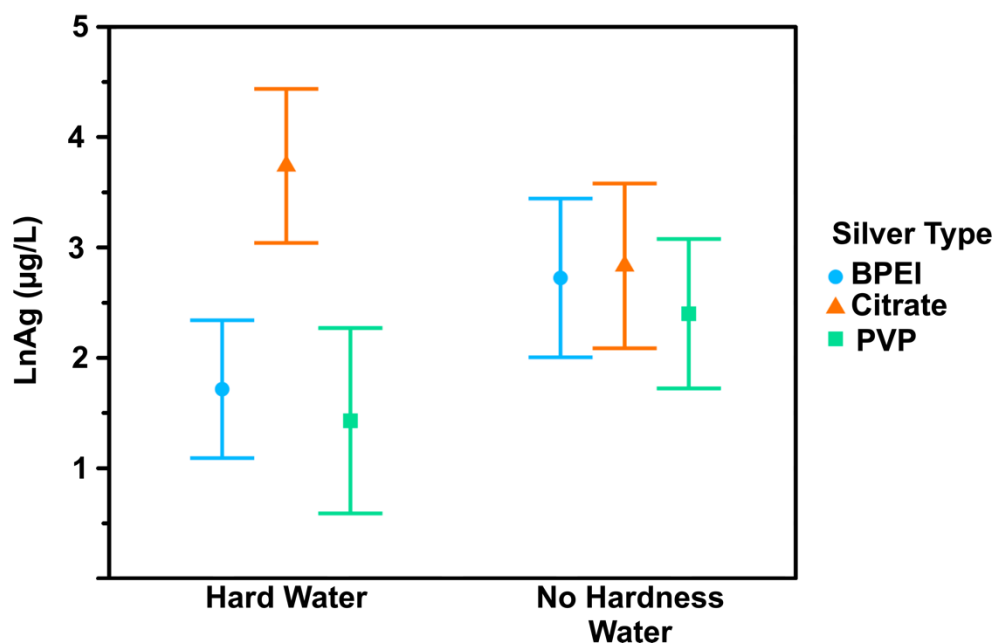
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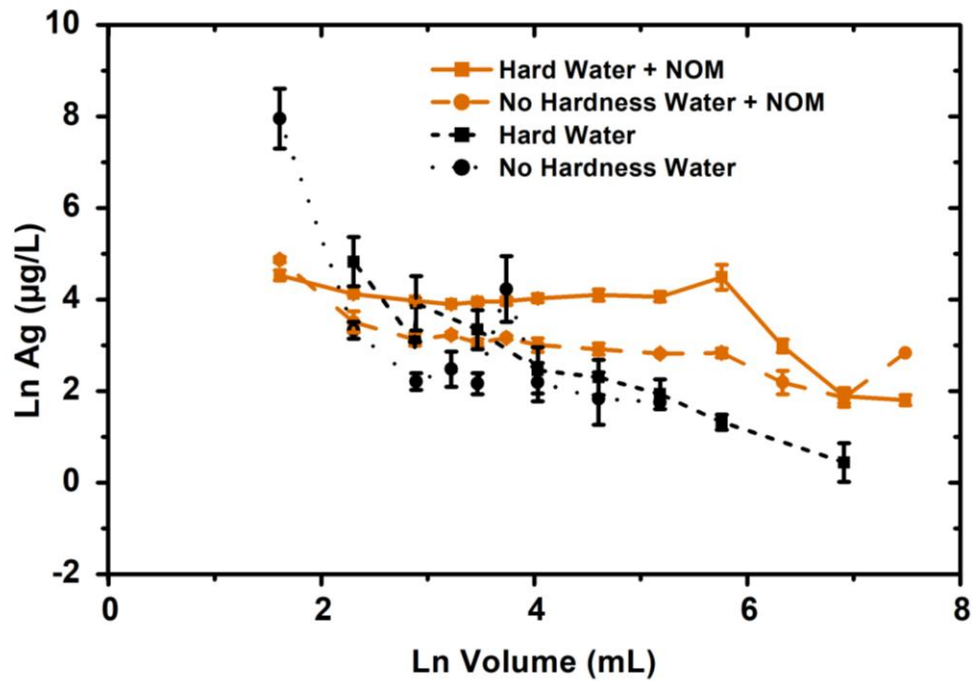
Figure 5. Model results predicting total Ag release from Al_2O_3 membranes coated with different Ag NPs and exposed to different water qualities. Equations are of fixed effects and include 95% confidence intervals.



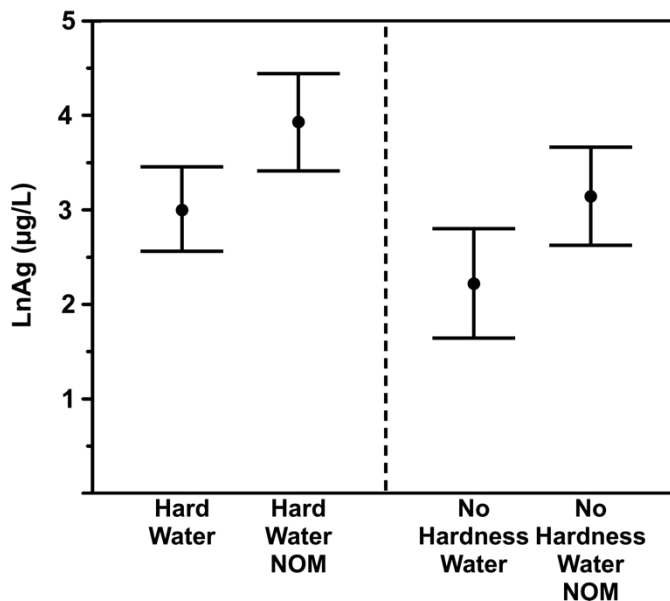
311
 312 **Figure 6.** Variation in average total Ag release from Al₂O₃ membranes coated with different Ag
 313 NPs and exposed to different water qualities. Error bars represent 95% confidence interval
 314 predicted by the model.
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316 3.3. Citrate Ag NP NOM Results

317 The effect of NOM on citrate Ag NP detachment was also tested using multilevel
 318 modeling. Citrate was selected because it is the most prevalently used Ag NP and has dangling
 319 carboxyl and hydroxyl groups available for binding to other chemical species [27, 34]. The test
 320 conditions simulate 18 days of operation for a treatment unit with 25 m² of surface area operated
 321 at 170 L/m²-hr. Figure 7 contains experimental data of representative membranes tested under
 322 each water condition. The NOM-containing hard water removes Ag at a higher level and for a
 323 longer period than in water without NOM (hard water + NOM p-value = 0.036; no hardness
 324 water + NOM p-value = 0.184). The highest overall amount of Ag removed from the ceramic
 325 membranes was when they were exposed to water with both NOM and hardness (Figure 8).
 326



327
 328 **Figure 7.** Example of Ag release from citrate AgNP membranes with waters containing different
 329 combinations of hardness and natural organic matter (NOM). Error bars represent standard error
 330 when effluent Ag was measured from multiple membranes prepared and challenged in the same
 331 manner.



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 333 **Figure 8.** Variation in average total Ag release from Al_2O_3 membranes coated in Ag NPs that
 334 were exposed to different water qualities with and without NOM. Error bars represent 95%
 335 confidence interval predicted by the model.

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3.4. Zeta Potential

The electrical double layer plays a significant role in the attachment of particles to flat surfaces [35-37]. This phenomenon has been documented for attachment of Ag NPs to Anodiscs [26] and also provides insight into why differences in Ag release were observed in this research. Table 2 contains zeta potential values measured for the Ag NPs suspended in the same water compositions used during the filtration tests. Changes to the electrical double layer occurred due to the presence of filtration water conditions that were different than deposition water conditions.

Table 2: Ag NP Zeta Potential at Filtration Water Conditions

Nanoparticle-Water	Zeta Potential (mV)
Citrate-Ag Hard Water + NOM	-19.5
Citrate-Ag Hard Water	-20.1
Citrate-Ag No Hardness Water + NOM	-23.0
Citrate-Ag No Hardness Water	-19.4
PVP-Ag Hard Water + NOM	-5.1
PVP-Ag Hard Water	-3.8
PVP-Ag No Hardness Water + NOM	-7.6
PVP-Ag No Hardness Water	-5.1
BPEI-Ag Hard Water + NOM	-4.9
BPEI-Ag Hard Water	24.4
BPEI-Ag No Hardness Water + NOM	10.1
BPEI-Ag No Hardness Water	19.8

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During deposition onto the membranes, citrate Ag NPs had a zeta potential of -23.4 mV, PVP Ag NPs -9.8 mV, and BPEI Ag NPs 33.8 mV [26]. The magnesium, carbonate, and pH differences in the filtration waters provide different effects on the Ag NPs' zeta potential values. Filtration waters produced a slightly less negative zeta potential value for both PVP (Hard: -3.8 mV, Not Hard: -5.1 mV) and citrate Ag NPs (Hard: -20.1 mV, Not Hard: -19.4 mV) than during attachment conditions. Filtration conditions also reduced the zeta potential for BPEI Ag NPs (Hard: 24.4 mV, Not Hard: 19.8 mV) compared to the original deposition conditions. The smaller zeta potential changes for citrate Ag NPs from attachment conditions helps explain why the initial release from PVP and BPEI Ag NPs more strongly depended on silver-water type interactions.

Since the Ag NPs rest on the surface of the Anodiscs, it is expected that the change in the Ag NP zeta potentials would control the initial release of Ag. However, the Ag NPs deposited do not completely cover the surface of the membrane, so it is likely that changes to the Anodisc surface potential also impact the initial and sustained release of Ag NPs. The membrane has a positive surface potential of 14.5 mV for citrate and PVP Ag NP deposition conditions and -24.4 mV for BPEI Ag NP deposition. Although the zeta potential of the membrane was not measured under the different water conditions, at the pH values of both water conditions, it is expected that the Anodisc will have a negative surface potential. This charge difference should lead to a greater release of citrate and PVP Ag NPs than BPEI Ag NPs because the signs of the particles

366 and the membranes switch from being opposite signs during deposition to like signs during
367 filtration (i.e., unstable conditions). This expectation was borne out with observations (Figure 3
368 B, D, and F x-intercepts). Filtration experiments were only performed using NOM for citrate
369 Ag NPs. NOM decreased the zeta potential of the citrate Ag NPs, but it does so to differing
370 degrees for water with and without hardness and that difference contributes to varied release
371 under these two water conditions.

372

373 4. Conclusions

374 • A multilevel model, based on extensive experimentation, is an effective tool to estimate
375 the release of Ag NPs from ceramic membranes as a function of volume of water filtered.
376 Equations were developed to predict the amount of Ag released for three types of Ag NPs
377 experiencing “hard” and “not hard” water conditions. For the development of the model,
378 several packages in the opensource software R were effectively utilized (i.e., *lme4*,
379 *lmerTest*, and *effects*). This approach can be used by a wide variety of researchers to
380 develop models with nested data and offers a free option for advanced statistical analysis
381 for those with limited financial resources.

382

383 • Ag NPs are not created equal; stabilizing agents impact attachment and detachment
384 to/from membrane surfaces. Both water type (via the interaction term) and Ag type (i.e.,
385 the stabilizing chemical attached to the Ag NPs) were significant explanatory variables in
386 describing the release of Ag from Al₂O₃ ceramic membranes.

387

388 • The data suggest that Ag release will diminish before the consumption of the Ag on the
389 membrane. This trend means that any decrease in bacterial removal over the lifespan of a
390 membrane element used in these water conditions is likely due to the Ag being
391 inaccessible for contact with the bacteria, not due to a lack of Ag on the membrane.

392

393 • As explained using changes in zeta potential values between deposition and filtration
394 conditions, PVP and BPEI Ag NPs were more susceptible to changes in initial release
395 from Al₂O₃ ceramic membranes than citrate Ag NPs for hard vs. not hard water. On the
396 other hand, the change in zeta potential of the membrane between deposition and
397 filtration conditions contributed to more sustained release for citrate and PVP Ag NPs
398 than BPEI Ag NPs.

399

400 • Results agree with previous granular media research findings that the electrical double
401 layer plays a role in Ag detachment. Hardness and NOM prolong the release of silver
402 over time, but have lower initial amounts released. In general, for greatest retention of
403 Ag, membranes should be operated at pH values where the membrane and particle have
404 opposite sign surface potentials, with soft water, and no NOM.

405

406 • Even with the inclusion of Ag type and water type, a significant amount of unexplained
407 variation remains in the proposed multilevel model. Future work should focus on
408 including more factors until this variation is insignificant. Adding NOM for all types of
409 Ag NP membranes, isolating the effects of hardness and pH, and/or including a term that
410 captures the zeta potential of the Ag and of the membrane with changing water conditions

411 are all reasonable next steps. Loss of Ag during cleaning procedures is another important
412 area for future research as is further work to determine for which types of ceramics cation
413 exchange processes dominate over DLVO interactions.
414

415 **Associated Content**

416 417 *Supporting Information*

418 Supporting Information contains details of parameter estimates and significance testing for the
419 unconditional and continual modeling results. The Supporting Information is available free of
420 charge on the ACS Publication website at DOI:

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424

425 *Notes*

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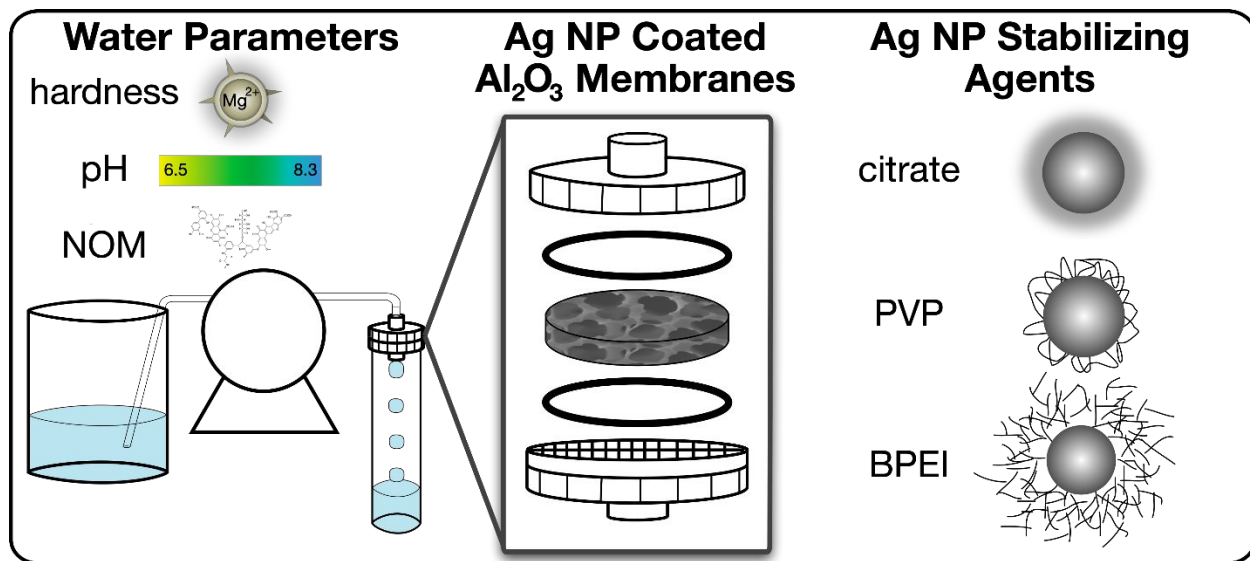
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Multilevel statistical model developed to demonstrate stabilizing agent impact on detachment of silver nanoparticles from ceramic water treatment membranes.