

**Influence of Functional Groups on the Degradation of  
Graphene Oxide Nanomaterials**

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## ENVIRONMENTAL SIGNIFICANCE STATEMENT

Graphene nanomaterial is one of the most commonly used carbon-based nanomaterials in the industries. However, degradation of graphene nanomaterials has become an environmental issue. While graphene oxide has been shown to be susceptible to degradation under sunlight, it is still unknown how the various functional groups in graphene oxide nanomaterials can play roles in degradation. In this study, the influence of functional groups on the degradation of graphene nanomaterials under direct sunlight was investigated. The degradation of the nanomaterials was determined to be directly related to the functional groups present on the basal plane of the graphene nanomaterials. Specifically, the hydroxyl and epoxy functional groups are the most susceptible to photodegradation. Upon sunlight exposure, the amount of oxygen-containing functional groups on all graphene nanomaterials decreases over time, with fully reduced graphene oxide showing the lowest degradation rate due to the presence of fewer reactive functional groups on the surface. Overall, these results suggest that the oxygen-containing functional groups on the basal plane are the major initiators of the photodegradation of graphene nanomaterials. This work provides important insight into the role of functional groups in the stability and degradation of graphene nanomaterials, and thus contributes to the design of sustainable applications of these nanomaterials.

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3 **Influence of Functional Groups on the Degradation of Graphene**  
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6 **Oxide Nanomaterials**  
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**ABSTRACT**

The influence of functional groups on the degradation of graphene oxide nanomaterials under direct sunlight was investigated by systematically varying the surface chemistry. Using a solvothermal reduction process, graphene nanomaterials with varying oxidation levels, including graphene oxide, partially reduced graphene oxide and fully reduced graphene oxide were prepared. The physical and chemical properties of the nanomaterials were extensively characterized before and after exposure to simulated sunlight. The degradation of the nanomaterials was determined to be directly related to the functional groups present on the basal plane of the graphene nanomaterials. Specifically, the hydroxyl and epoxy functional groups are the most susceptible to photodegradation. Upon sunlight exposure, the amount of oxygen-containing functional groups on all graphene nanomaterials decreases over time, with fully reduced graphene oxide showing the lowest degradation rate due to the presence of fewer reactive functional groups on the surface. Overall, these results suggest that the oxygen-containing functional groups on the basal plane are the major initiators of the photodegradation of graphene nanomaterials.

## 1. INTRODUCTION

Two-dimensional (2D) nanomaterials are being explored for a myriad of applications in the electronic, biomedical, pharmaceutical, cosmetic, energy, and paint industries.<sup>1-5</sup> In particular, graphene and other carbon-based nanomaterials are used in many environmental applications including coatings, catalysis, and as sorbents for water and wastewater treatment.<sup>2, 6</sup> 2D Graphene sheet, whose honeycomb lattice is comprised of  $sp^2$ -hybridized carbon atoms, demonstrates unique physical and chemical characteristics.<sup>7</sup> The hexagonal ring structure of graphene resemble polycyclic aromatic hydrocarbons (PAHs) and can be considered as a large sheet of many fused PAHs.<sup>8</sup> Traditional forms of graphene, currently used in different applications, include pristine graphene, graphene oxide (GO), and reduced graphene oxide (rGO). While pristine graphene is often the most desirable for applications, GO and rGO are more commonly used due to their scalable and cost-effective methods of production. GO is an oxidized form of graphene with additional functional groups such as epoxy, hydroxyl, carbonyl, and carboxyl groups covalently bound on the basal planes (for epoxy and hydroxyl groups) or the edges (for carbonyl and carboxyl groups).<sup>9</sup> These functional groups make GO hydrophilic and therefore readily dispersible in water.<sup>10</sup>

The degradation and transformation of graphene nanomaterials need to be well-understood in order to establish their potential environmental risks. For example, graphene can transform and degrade into numerous combinations of PAHs,<sup>11, 12</sup> which are potentially carcinogenic and pose a number of environmental and health risks.<sup>8, 13</sup> Fenton reactions may cause oxidation of graphene materials,<sup>14</sup> while microbial interactions can cause reduction.<sup>15</sup> Hence, multiple types of interactions can be occurring with suspended graphene materials in the environment.<sup>16</sup> Photodegradation is one among several pathways that can cause transformation of graphene

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3 nanomaterials in the environment. Thus, in order to understand the long-term environmental  
4 impact of graphene nanomaterials, it is important to study sunlight-mediated photolysis and  
5 degradation.  
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11 Recent studies have shown that GO can be highly stable against aggregation in a natural aquatic  
12 environment,<sup>17, 18</sup> indicating that GO will persist in water where sunlight-mediated photo-  
13 transformation can occur.<sup>17, 18</sup> Additionally, this transformation can have an impact on the fate  
14 and transport of these materials. Transformation by sunlight photolysis is one of the primary  
15 routes by which carbonaceous materials such as fullerenes transform into CO<sub>2</sub> and other oxygen  
16 containing functionalities.<sup>19-27</sup> Some recent studies have shown that graphene is photoreactive.<sup>12,</sup>  
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28-30 For example, one study found that GO readily reacts under simulated sunlight exposure,  
forming fragmented photoproducts that are similar to reduced GO (rGO) as well as low  
molecular weight (LMW) species.<sup>31</sup> Furthermore, GO photoreactivity involves the  
simultaneous formation of oxidative and reductive transient species.

To date, studies have investigated only the photodegradation of GO. The influence of functional  
groups on the degradation process of graphene nanomaterials is still unknown. These knowledge  
gaps have motivated this study to determine the influence of functional groups on the direct  
photolysis of graphene nanomaterials. We hypothesize that the degradation of the graphene  
flakes starts at the basal planes, caused by the presence of the epoxy and hydroxyl functional  
groups. Thus, we anticipate that the presence, identity, and quantity of these functional groups  
will influence the degradation process of the materials.<sup>32, 33</sup> Furthermore, we hypothesize that  
rGO will be more resistant to degradation due to the presence of fewer functional groups and  
increased hydrophobicity.<sup>16</sup> The fate and transformation of nanomaterials are key factors to  
consider when determining their environmental risk.<sup>34</sup> This work provides important insight into

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3 the role of functional groups in the stability and degradation of graphene nanomaterials, and thus  
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5 contributes to the design of sustainable applications of these materials.  
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## 10 **2. MATERIALS AND METHODS**

### 11 **2.1 Materials**

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16 Graphene oxide materials were synthesized using a modified Hummers' method.<sup>35</sup> To vary the  
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18 functional groups on the surface, two samples of reduced GO—partially reduced graphene oxide  
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20 (rGO-2h) and fully reduced graphene oxide (rGO-5h)—were prepared by a solvothermal  
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22 reduction process. In particular, GO was suspended in N-methyl-2-pyrrolidone (NMP) and  
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24 heated to 150 °C with constant stirring in a silicone oil bath. The heat reflux was stopped after 2  
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26 hours and 5 hours to achieve varying levels of reduction. After the solvothermal reduction, the  
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28 rGO was separated from the NMP using vacuum filtration with 0.1 µm alumina filters  
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30 (Millipore), rinsed heavily with DI water, and re-dispersed in DI water at an approximate  
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32 concentration of 1 mg/mL.<sup>36</sup> All aqueous solutions for irradiation were prepared using Milli-Q  
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34 (Deionized water  $\geq 18$  M $\Omega$ ) water. Each stock solution was diluted to a concentration of 50  
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36 mg/L of nanomaterials (GO, rGO-2h, and rGO-5h) in Milli-Q water.  
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### 45 **2.2 Photodegradation Studies**

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48 All simulated sunlight experiments were carried out in an Atlas SunTest CPS+ solar simulator,  
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50 equipped with a 1 kW xenon arc lamp. The sunlight experiments were carried out in borosilicate  
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52 glass tubes (outside diameter = 1.3 cm; volume = 24 mL; conforms to ASTM Type 1, Class A  
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54 and USP Type 1 Glass) that were filled to 10 ml with the working solutions. The sample tubes  
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3 were sealed with open-top caps lined with gastight polytetrafluoroethylene (PTFE) and kept on  
4 top of a mesh to keep them submerged in a thermostatic water bath (25 °C) during irradiation.  
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6 The incident light intensity at the tube surface (300 nm to 800 nm) was 0.065 W/cm<sup>2</sup>. For kinetic  
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8 studies, a series of tubes were prepared for irradiation. Photodegradation tests were continued for  
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10 168 h. At specific time points during irradiation, individual tubes were removed from the reactor  
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12 for chemical analysis. After removal, the tubes were wrapped with aluminum foil and kept in a  
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14 refrigerator. Dark control tubes were wrapped with aluminum foil and kept under the same  
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16 experimental conditions. Details of the control study are described in the supporting information.  
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### 25 **2.3 Material Characterization**

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28 Atomic force microscopy (AFM) was used to monitor the size and morphology of the graphene  
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30 oxide flakes. The physical dimensions of the GO, including flake thickness and lateral size were  
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32 quantified from AFM image analysis, as described previously.<sup>36,31</sup> For AFM imaging, silicon  
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34 wafers were cleaned and dried and then functionalized with a monolayer of (3-aminopropyl)  
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36 triethoxysilane (APTES) by soaking the wafers in a 2.5 mM solution of APTES in isopropyl  
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38 alcohol (IPA) for 30 minutes. Following the APTES treatment, the wafers were rinsed with IPA  
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40 and dried with a nitrogen gun. The GO solutions were bath sonicated for 5 minutes before being  
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42 drop-casted onto the APTES functionalized wafers. The GO was allowed to sit for 10 minutes on  
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44 the wafer before being rinsed with water and dried with a nitrogen gun. The samples were then  
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46 annealed at 250 °C for 30 minutes on a hotplate before imaging with a Cypher Asylum ES  
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3 Ultraviolet (UV)-visible spectroscopy spectra were collected using a Perkin Elmer Lambda 365  
4 UV-visible absorbance spectrophotometer equipped with a 1 cm light path quartz cuvette.  
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6 Changes in the UV-vis spectra were used to monitor the graphene oxide concentration and  
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8 changes in light absorption properties.  
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13 Zeta potential, hydrodynamic diameter, and polydispersity index of the particles were measured  
14 using a Zetasizer Nano ZS (Malvern Instruments, Inc.). A 1 cm light path quartz cuvette was  
15 used for size measurements and a folded capillary cell DTS1070 was used for zeta potential  
16 measurements. Particle size is determined via the diffusion coefficient, which is then used to  
17 calculate particle size, typically as sphere equivalent.<sup>37</sup> Also, calculation of zeta potential values  
18 from EPM measurements uses the Smoluchowski equation which assumes spherical particles.  
19 Hence, it is recommended to use this approach for spherical particles.<sup>38</sup> Solutions were bath  
20 sonicated for 5 minutes preceding measurement.  
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33 XPS was utilized to determine the chemical composition of the graphene nanomaterials. For  
34 XPS, approximately 5 mg of GO or rGO in DI water were deposited 1  $\mu\text{m}$  PTFE membranes  
35 (Millipore) using vacuum filtration. The film was allowed to settle for 15 minutes, rinsed with 30  
36 mL DI water, and then dried in air. XPS measurements were performed promptly using a  
37 Thermo Scientific ESCALAB 250Xi. XPS spectra were corrected for background and fitted for  
38 peaks manually.<sup>36</sup>  
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47 Total organic carbon (TOC) was measured using a Shimadzu TOC-Vcsh total organic carbon  
48 analyzer with the NDIR method (combustion at 720  $^{\circ}\text{C}$  due to the attached total nitrogen  
49 measurement unit). The TOC analyzer is capable of detecting the total organic carbon  
50 concentration in the sample. Sample solutions were diluted and transferred to 40 mL vials. The  
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3 volume of injected solution was 80  $\mu\text{L}$ , with three injections for each sample. After analyzing 10  
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5 samples, a wash was performed.  
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## 10 11 **2.4 Statistical Analysis**

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14 Statistical testing was employed for data analysis. Two-sample t-tests for hypothesis testing were  
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16 conducted using OriginPro 2016 software (OriginLab Corporation, MA) to guarantee the  
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18 statistical significance of the conclusions. Probability values (P) of less than 0.05 were  
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20 considered as statistically significant.  
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## 27 **3. RESULTS AND DISCUSSION**

### 28 29 **3.1 Physicochemical Properties of GO and rGO**

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33 Table 1 shows the lateral size distributions based on the AFM images of the GO and rGO  
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35 samples before and after irradiation. Before irradiation, the lateral sizes of all GO and rGO  
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37 nanomaterials are similar, indicating that the reduction process does not change the physical  
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39 dimensions of the GO or rGO flakes ( $P > 0.05$ ). Hydrodynamic diameter measurements  
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41 confirmed that there was no significant difference ( $P > 0.05$ ) in particle size between the initial  
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43 GO, rGO-2h, and rGO-5h dispersions (Table S1). However, sizes of rGO-2h, and rGO-5h are  
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45 statistically different ( $P < 0.05$ ), with rGO-5h having higher size. Zeta potential measurements,  
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47 across all initial dispersions indicate stable dispersions due to electrostatic repulsion among  
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49 particles (Table S2).  
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3 Initial XPS spectra of GO, rGO-2h, and rGO-5h are shown in Figure 3. The spectra exhibit three  
4 major peaks corresponding to C-C at 284.8 eV, epoxy and hydroxyl functional groups (C-O) at  
5 286.9 eV, and carbonyl groups (C=O) at 289 eV.<sup>39-41</sup> These peaks were quantified and are listed  
6 in Table 2. During the solvothermal process, the epoxy and hydroxyl groups on the basal plane  
7 of the GO are driven off, evident by the decrease in the C-O peak and the overall decrease of  
8 oxygen in rGO-2h and rGO-5h ( $P < 0.05$ ). Furthermore, the emergence of the peak at 292 eV,  
9 due to the  $\pi \rightarrow \pi^*$  transition, is indicative of the restoration of the graphene lattice.

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11 This composition data is further supported by the optical absorbance spectra of the GO  
12 nanomaterials. The initial GO dispersion shows absorption peaks at 230 nm, related to the  $\pi-\pi^*$   
13 transitions of the aromatic C-C bonds, and a shoulder at 300 nm, corresponding to the  $n-\pi^*$   
14 transitions due to the presence of oxygen containing functional groups, such as epoxide (C - O -  
15 C) (Figure 4a).<sup>42-47</sup> Conversely, the initial rGO-2h and rGO-5h dispersions show a single  
16 absorption peak at 270 nm, indicating the restoration of the  $\pi$ -conjugated network of graphene.  
17 Furthermore, the disappearance of the shoulder at 300 nm suggests the loss of oxygen-containing  
18 groups (Figure 4b, 4c).<sup>48-52</sup>

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20 Overall, three GO dispersions were prepared wherein the surface oxidation was systematically  
21 varied while other material properties were kept constant to determine the role of oxygen-  
22 containing functional groups on the direct photolysis of graphene family nanomaterials.  
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## 3.2 Photodegradation of GO and rGO

### 3.2.1 AFM Analysis

The three GO nanomaterial dispersions were exposed to simulated sunlight, and the size of the particles was monitored using AFM. In Figure 1, AFM images of both rGO-2h and rGO-5h show no considerable change in the size of the particles after 24 h of irradiation. Detailed quantitative results from AFM images are presented in Table 1, showing no significant change ( $P > 0.05$ ) in the size of rGO-2h and rGO-5h after 24 h of irradiation. On the other hand, GO particles reduced in lateral size from  $180 \pm 160$  nm to  $100 \pm 50$  nm (a reduction of  $\sim 44\%$ ) within the first 24 h of irradiation (Table 1). This reduction of size is immediately apparent in the AFM images (Figure 1). This rapid decrease in the lateral size of the GO indicates that the material is breaking down quickly upon irradiation while rGO degrades at a significantly slower rate.

### 3.2.2 TOC Analysis

Degradation of rGO and GO particles was further investigated by TOC measurements. Previously, reduction of organic carbon through oxidative photochemical degradation has been observed with other nanomaterials and pollutants in the presence of UV light and sunlight.<sup>6, 53-55</sup> GO samples showed a TOC reduction of 32.1% after 3 days of irradiation (Figure 2). It should be noted that the majority of this TOC reduction occurred in the first 6 hours (27.65%) but then slowed considerably over the next 162 hours, indicating that GO undergoes rapid photodegradation upon the initial 6 hours of exposure to sunlight. TOC reduction for rGO-2h and rGO-5h after 3 days of irradiation was 28.8% and 14.5%, respectively, which is a slower TOC reduction compared to GO ( $P < 0.05$ ). Among rGO-2h and rGO-5h samples, the slowest rate of

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3 TOC reduction was observed for rGO-5h ( $P < 0.05$ ). These results further confirm the delayed  
4 degradation of rGO samples. A previous study of GO transformation by direct photolysis under  
5 simulated sunlight,<sup>31</sup> with similar experimental conditions showed from mass spectrometry  
6 analysis that direct photolysis will rapidly remove GO by photochemically converting it to CO<sub>2</sub>,  
7 fragmented photoproducts similar to rGO, and LMW species (mainly hydroxylated and/or  
8 carboxylated PAH compounds). The formation of oxygenated PAH species was consistent with  
9 previous work in which GO transformation was driven by a photo-Fenton reaction.<sup>11, 12</sup>  
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### 22 **3.2.3 Electrokinetic and Hydrodynamic Properties of Phototransformed GO and rGO**

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26 A Zetasizer Nano ZS was used to analyze the change in particle size. From the hydrodynamic  
27 diameter values, a rapid decrease in the size of the GO (~ 36%) is observed compared to rGO-2h  
28 (~ 25%) and rGO-5h (~ 12%) after 24 h (Table S1;  $P < 0.05$ ). Even after 48 h, size of GO  
29 decreases further (~ 45%) from the initial size. However, in exposure under prolonged light (168  
30 h), GO material got decreased compared to its initial size, but this reduction is not that significant  
31 alike the size reduction in the initial exposure time. Major size reduction occurred during the  
32 initial hours of exposure to sunlight.<sup>31</sup> This decrease in size for GO was verified with the TOC  
33 analysis as well showed in Figure S3. On the other hand, extended light exposure promotes rGO  
34 aggregation, which leads to increase in size measurements. This size reduction is attributed to the  
35 degradation of graphene oxide flakes during irradiation, which is supported by the AFM analysis  
36 (Figure 1), implying that the rGO samples degrade at a significantly slower rate.  
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52 Zeta potential (ZP) is an important indicator of the stability of particles. ZP values of both GO  
53 and rGO samples were in the range of -30 to -50 mV (Table S2). From the negative value of the  
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3 ZP, it can be concluded that the particles have a negatively charged surface. Furthermore, the  
4 magnitude of the ZP indicates that all GO samples are stably dispersed.<sup>56, 57</sup> However, despite  
5 having a negative ZP of greater magnitude than 30 mV, rGO samples were found to be relatively  
6 unstable (Figure 5b, 5c), due to the lower amount of oxygen-containing functional groups and  
7 resulting higher hydrophobicity.<sup>16, 58, 59</sup>  
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### 18 **3.2.4 Evolution of Functional Groups**

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22 Changes in the oxygen-containing functional groups as a result of the photodegradation were  
23 verified by XPS. Figure 3 and Table 2 summarize the chemical degradation of the GO  
24 nanomaterials. After 72 hours of irradiation, ~ 16% reduction of hydroxyl (C-OH) and epoxy  
25 (C-O-C) groups in the rGO materials are observed. GO, on the other hand, undergoes a  
26 noticeable chemical reduction where the amount of hydroxyl and epoxy groups decreases  
27 significantly from 39.7% to 13.9% (~ 65%) upon irradiation for 72 hours ( $P < 0.05$ ) and the XPS  
28 spectrum of GO after photodegradation resembles that of rGO. These hydroxyl and epoxy  
29 functional groups are located primarily on the basal plane of graphene oxide, and it is  
30 hypothesized that these functional groups will react first in the presence of sunlight as they are  
31 single bonded groups. Functional groups on the edges, such as carboxylic acid, are much more  
32 stable and less likely to react initially. From XPS spectra, ratio of C=O increases indicating that  
33 COOH functional groups are more stable and relative fraction of COOH functional groups  
34 increases with photo-degradation. Changes in the oxygen-containing functional groups as a result  
35 of the photodegradation were also verified by UV-Vis optical absorbance spectroscopy. The  
36 presence of oxygen-containing functional groups on the surface disrupt the conjugated system of  
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3 graphene, causing a shift in the absorbance peaks.<sup>51, 52</sup> The samples were irradiated, and the  
4 absorbance at 400 nm was monitored over time (Figure S4). In the case of GO, the absorbance  
5 increased over time, indicating a photochemical change likely caused by the formation of light-  
6 absorbing photoproducts.<sup>31</sup> Sunlight exposure also resulted in a shift in the peak position from  
7 230 nm to 270 nm, indicating the restoration of the  $\pi$ -conjugation network of graphene (Figure  
8 4a). The disappearance of the shoulder at 300 nm suggests the removal of oxygen groups (such  
9 as hydroxyl and epoxy groups) consistent with the XPS results.<sup>48-50</sup> Conversely, no significant  
10 changes of UV-Vis spectra are observed in the rGO materials (Figure 4b, 4c).

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23 Figure 5a shows that as the photodegradation progressed, the color of the GO samples darkened  
24 due to sunlight exposure, again indicative of degradation of GO. Previously,<sup>60, 61</sup> this color  
25 change has been observed and attributed to the partial restoration of the conjugated carbon ring  
26 network due to the removal of oxygen-containing functional groups. This same phenomenon has  
27 been observed during the chemical reduction of GO sheets, discussed earlier.<sup>62, 63</sup> Removal of  
28 these functional groups due to sunlight exposure would also result in the particles becoming  
29 more hydrophobic (Figure 5b, 5c). This increased hydrophobicity could induce aggregation in  
30 the rGO samples and delay the degradation of rGO-2h and rGO-5h. Moreover, beyond a certain  
31 irradiation time, rGO particles begin to form larger aggregates that can settle, reducing the  
32 opportunity for photodegradation of the material. Aggregation and settling characteristics of  
33 graphene nanomaterials observed in this study are consistent with previous studies with carbon  
34 nanotubes (CNTs) where it was observed that UVC irradiation destabilized a colloidal  
35 suspension of CNTs. In these studies,<sup>24, 25</sup> it was observed that removal of oxygen-containing  
36 functional groups decreased the electrostatic repulsion between particles and caused aggregation.  
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55 However, no significant structural transformation was observed for the samples.<sup>24, 25</sup>  
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### 3.3 Kinetic analysis

For GO and rGO nanomaterials, the photolysis kinetics can be expressed by the following equation,

$$-\frac{d[A]}{dt} = k_{obs,A}[A]$$

Where  $k_{obs,A}$  is the pseudo-first-order rate constant of direct photolysis of compound A (A = GO or rGO-2h or rGO-5h). Degradation kinetics of GO occurs in two (fast and slow) stages. For GO nanomaterials, the loss or degradation of material, which is measured as the decrease in TOC concentration (Figure S5), occurs in two (fast and slow) stages. The fastest degradation occurs during the initial exposure to sunlight (first 24 h) in which  $k_{obs,GO}$  was determined to be  $0.017 \text{ h}^{-1}$  ( $R^2 = 0.91$ ). After 24 h of sunlight exposure, the loss of nanomaterial was slower, and  $k_{obs,GO}$  was determined to be  $0.007 \text{ h}^{-1}$  ( $R^2 = 0.80$ ) after 72 h of sunlight exposure. So, two-stage kinetics has been observed in the photo-degradation of GO (Figure S5). Initially, rate was high, and pseudo-first order kinetics were observed; then, in the second phase, degradation became slower.<sup>64</sup>

The  $k_{obs,rGO-2h}$  slightly decreased to  $0.005 \text{ h}^{-1}$  ( $R^2 = 0.83$ ), in comparison to  $k_{obs,GO}$  while  $k_{obs,rGO-5h}$  significantly decreased to  $0.002 \text{ h}^{-1}$  ( $R^2 = 0.51$ ), in comparison to  $k_{obs,rGO-2h}$  (Figure S5). As the pseudo-first-order rate constants for rGO-2h and rGO-5h are less than that of GO, it indicates that TOC decrease is higher for GO, compared to rGO materials. Hence, the order of degradation rate follows  $GO > rGO-2h > rGO-5h$ .

### 3.4 Proposed Mechanisms

Figure 6 shows the proposed mechanisms for the photodegradation of GO and rGO under direct sunlight. In direct photolysis, functional groups of graphene nanomaterials act as chromophores.<sup>31, 65-67</sup> Hydroxyl and epoxy functional groups, located mainly on the basal plane



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3 of GO, are hypothesized to react first in the presence of sunlight as they are single bonded  
4 groups. Other functional groups on the edges are double bonded groups, which require more  
5 energy to break than single bonds, and are thus less likely to react initially.<sup>68, 69</sup>  
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10 The hydroxyl and epoxy functional groups (C-OH and C-O), which are strong electron donating  
11 groups, absorb photons that excite electrons from the ground state to the excited state, producing  
12 many excited electrons and holes.<sup>70</sup> Eventually, these electrons and holes disrupt the chemical  
13 bonds of the functional groups, initiating the breaking of the covalent bonds and contributing to  
14 the physical breakdown of the GO material.<sup>70-74</sup>  
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23 GO, consists of insulating or semi-conducting sp<sup>3</sup>-hybridized carbon domains on the basal plane  
24 and non-oxidized sp<sup>2</sup> segments that are either aromatic or conjugated.<sup>75</sup> During photo-reduction,  
25 the bandgap excitation of the semiconducting region is responsible for reduction process.<sup>76</sup> A  
26 typical semiconductor has an energy gap between the valence and conduction band. On exposure  
27 to the visible light, GO absorbs photons of energy equal to or larger than its bandgap to excite an  
28 electron from the valence band into the conduction band. Therefore, creating an electron in the  
29 conduction band and empty energy state in the valence band- the hole.<sup>77, 78</sup> These electrons and  
30 holes contribute to the redox reactions on GO sheet.  
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42 C-O has a bond energy of 358 kJ/mol or 5.945x10<sup>-19</sup> J/photon (Note: The Avogadro constant, has  
43 the value 6.022×10<sup>23</sup> mol<sup>-1</sup>).  
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48 The energy associated with radiation is given by  
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$$E = \frac{hc}{\lambda}$$

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3 *Where,*

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6  $E$  is the energy in Joules,

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9  $h$  is Planck's constant ( $h = 6.626 \times 10^{-34}$  J s),

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13  $c$  is the speed of light, ( $c = 3.0 \times 10^8$  m s<sup>-1</sup>) and

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16  $\lambda$  is wavelength of light in units of nm.

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20 To break the oxygen containing bond (C-O and C-OH), the required wavelength calculated from  
21 the above equation is 335 nm, which is well within the range of our applied irradiation (300 nm  
22 to 800 nm). This quantitatively proves the breakup of the oxygen containing functional groups  
23 from the basal plane of GO material.

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30 Other functional groups, remaining on the edge will not react initially as they are double bonded  
31 groups, which requires more energy to break (C=O: 745 kJ/mol or  $1.237 \times 10^{-18}$  J/photon,  
32 requiring 160 nm wavelength).<sup>68, 69, 79</sup>

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38 rGO has significantly fewer oxygen-containing functional groups than GO. Specifically, the  
39 amount of hydroxyl and epoxy functional groups is significantly lower (Table 2). This reduction  
40 in electron donating functional groups in rGO will reduce the breaking of covalent bonds in rGO.  
41 Hence, rGO is more resistant to degradation than GO under direct photolysis, as we have shown  
42 in our photodegradation studies. Furthermore, rGO particles aggregate due to the increased  
43 hydrophobicity of these materials, which can reduce the opportunity for photodegradation.  
44 Aqueous aggregation (stability) of nanomaterials significantly impacts effective toxicity,  
45 environmental transport, and ultimate material fate.<sup>80</sup> Moreover, aggregation can alter  
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3 nanoparticle reactivity, and nanoparticle aggregation state can be altered by photochemical  
4 environmental processes.<sup>81</sup> Reduced content of functional groups increases hydrophobicity of  
5 rGO particles. As rGO becomes more hydrophobic, it becomes more prone to form aggregates  
6 (i.e. increase in size) and less prone to degrade. So, both reactivity and stability (aggregation)  
7 play roles in the degradation.  
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### 15 **3.4.a. Degradation Analysis with Density Functional Theory (DFT)**

16 We further used DFT method to characterize the thermodynamic energy change for the  
17 structure degradation of GO sheets. We assumed that the degradation process consists of two  
18 consecutive steps: 1) removal of functional groups on graphene plane; and 2) C-C bond  
19 breakage. The DFT calculations were performed using the ADF software.<sup>82</sup> Two types of GO  
20 models were considered: one has single hydroxyl group (denoted as GO1, which is rGO) and  
21 another one has two hydroxyl groups (denoted as GO2, which is GO). All initial structures  
22 were optimized and single-point energy calculations were performed by the B3LYP-D3/TZP  
23 method.  
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37 From our computation (Figure 7a), in the first step, the removal of one hydroxyl functional  
38 group is kinetics process with an existence of transition state (TS). However, in the second  
39 step, the breakage of C-C bond is thermodynamic unfavorable process with energetic penalty.  
40 For GO2, corresponding to higher oxidation degree, the barrier of transition state is very small  
41 (~0.6 kcal/mol), however, the energy barrier to remove hydroxyl group for GO1 is ~6.0  
42 kcal/mol, which is obviously larger than that of GO2. We further calculated the energy  
43 profiles for the assumed GO degradation process with the C-C breakage, wherein there are  
44 breakage of three C-C bonds. As shown in Figure 7b, the required energy of breaking C-C  
45 bond in the GO1 structure is to some extent higher than that of GO2, showing that the  
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3 degradation of GO with lower oxidation degree, which is GO1 (rGO), is more difficult. It  
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5 should be noted that the energy difference is relatively minor, possibly due to small size of  
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7 graphene model with only 1-2 hydroxyl groups. However, this different energetic profiles  
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9 indeed support that the experimental conclusion that more degradation of GO sheets with  
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11 higher oxidization degree can be realized.  
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16 Overall, these results suggest that sunlight exposure causes significant degradation of graphene  
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18 oxide, but no significant change in reduced graphene oxide nanomaterials is observed. Oxygen-  
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20 containing functional groups on the basal plane, are the most likely photoreactive sites that  
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22 contribute to the breakdown of GO nanomaterials.<sup>83-85</sup> The presence of fewer oxygen-containing  
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24 functional groups on the surface of rGO materials leads to delayed degradation of the material.  
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#### 31 **4. ENVIRONMENTAL IMPLICATIONS**

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35 Collective results from this study indicate that the surface oxidation of graphene nanomaterials  
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37 strongly affects sunlight-induced photolysis. The oxygen-containing functional groups, primarily  
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39 those present on the basal plane, play a strong role in the photodegradation of GO. This  
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41 degradation will have an obvious impact on the fate of these emerging materials in the  
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43 environment. Based on the findings of this research, it can be assumed that graphene oxide  
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45 nanomaterials will undergo degradation in natural surface water due to sunlight exposure, which  
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47 potentially leads to nanoparticle release into the environment. Since nanoparticles have shown  
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49 potential risks to human health and environment, their release during the life cycle could restrain  
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51 commercialization of these nanomaterials. In particular, graphene oxide nanomaterials with  
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53 higher levels of oxidation will experience higher rates of photodegradation, while reduced forms  
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3 of graphene oxide will show a higher resistance to this degradation due to fewer functional  
4 groups on the surface. These findings will also be helpful for designing sustainable graphene  
5 nanomaterials for various applications. For coatings and photocatalytic applications, graphene  
6 nanomaterials are desired to be resistant to photodegradation. Hence, graphene with lower  
7 amount of oxygen containing functional groups will be useful for coatings and photocatalytic  
8 applications.  
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## 36 **Supporting Information.**

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39 Additional details on materials, methods and results are available in Supporting Information.  
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## List of Figures

**Figure 1** AFM images of GO (top), rGO-2h (middle), and rGO-5h (bottom) showing size distributions of the particles before and after irradiation. After 24 h of sunlight exposure, GO degrades into smaller flakes while rGO shows no significant change in particle size.

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6 accelerating the physical degradation. Below, rGO with fewer functional groups, undergoes  
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13 (denoted as GO1) with various oxidization degrees. (a) Potential energy surface plots for the  
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## 31 **List of Tables**

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36 70-247 flakes for GO and n = 10-20 flakes for rGO-2h and rGO-5h. For the rGO-2h and rGO-5h,  
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## Tables

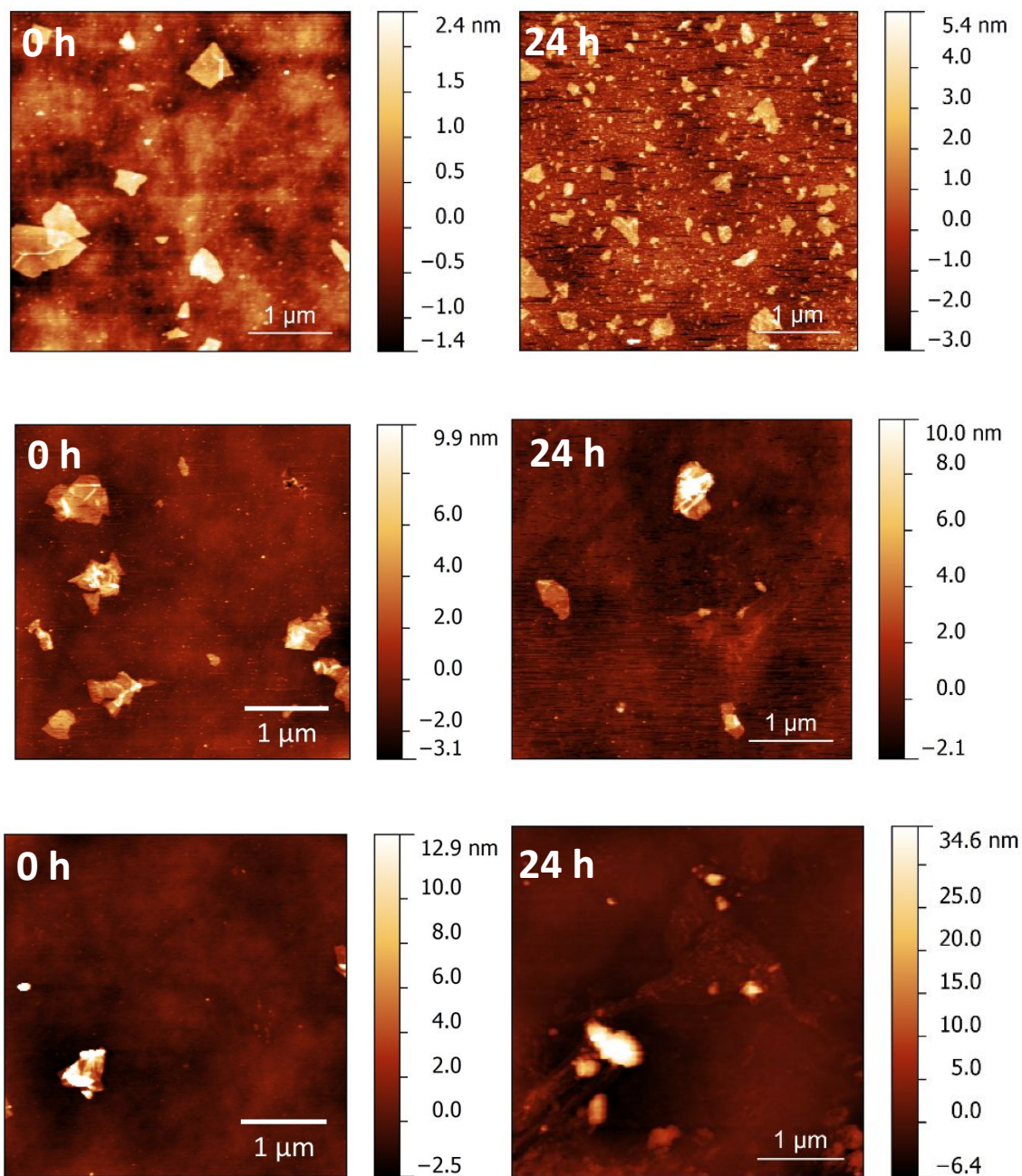
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<b>Sample</b>	<b>Lateral Size (nm)</b>	
	<b>0 h</b>	<b>24 h</b>
<b>GO</b>	180 ± 160	100 ± 50
<b>rGO-2h</b>	190 ± 150	210 ± 140
<b>rGO-5h</b>	160 ± 110	170 ± 110

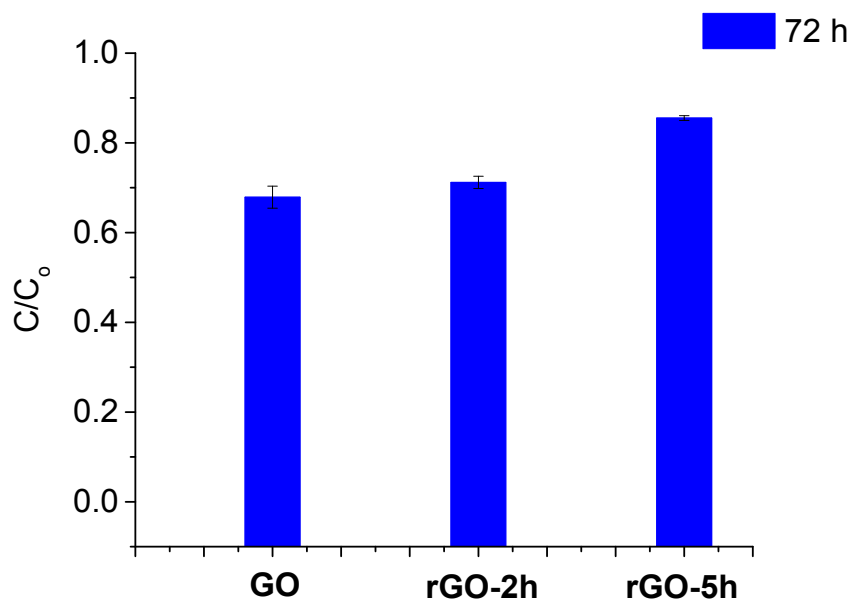
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	GO		rGO-2h		rGO-5h	
	0 h	72 h	0 h	72 h	0 h	72 h
<b>C-C</b>	24.4 ± 1.6	47.4 ± 0.1	43.6 ± 0.5	49.2 ± 0.2	51.6 ± 0.6	47.4 ± 0.1
<b>C-O</b>	39.7 ± 0.9	13.9 ± 0.1	27.2 ± 1.1	22.7 ± 1.7	15.9 ± 0.7	21.6 ± 0.3
<b>C=O</b>	3.1 ± 0.2	12.1 ± 0.1	5.2 ± 0.7	5.6 ± 1.5	10.5 ± 1.5	7.2 ± 0.4
<b><math>\pi \rightarrow \pi^*</math></b>	-	3.3 ± 0.1	2.4 ± 0.1	4.4 ± 0.1	8.3 ± 0.1	9.7 ± 0.1
<b>Oxygen</b>	32.8 ± 0.4	23.4 ± 0.1	21.6 ± 0.1	18.0 ± 0.1	13.7 ± 0.1	14.1 ± 0.1

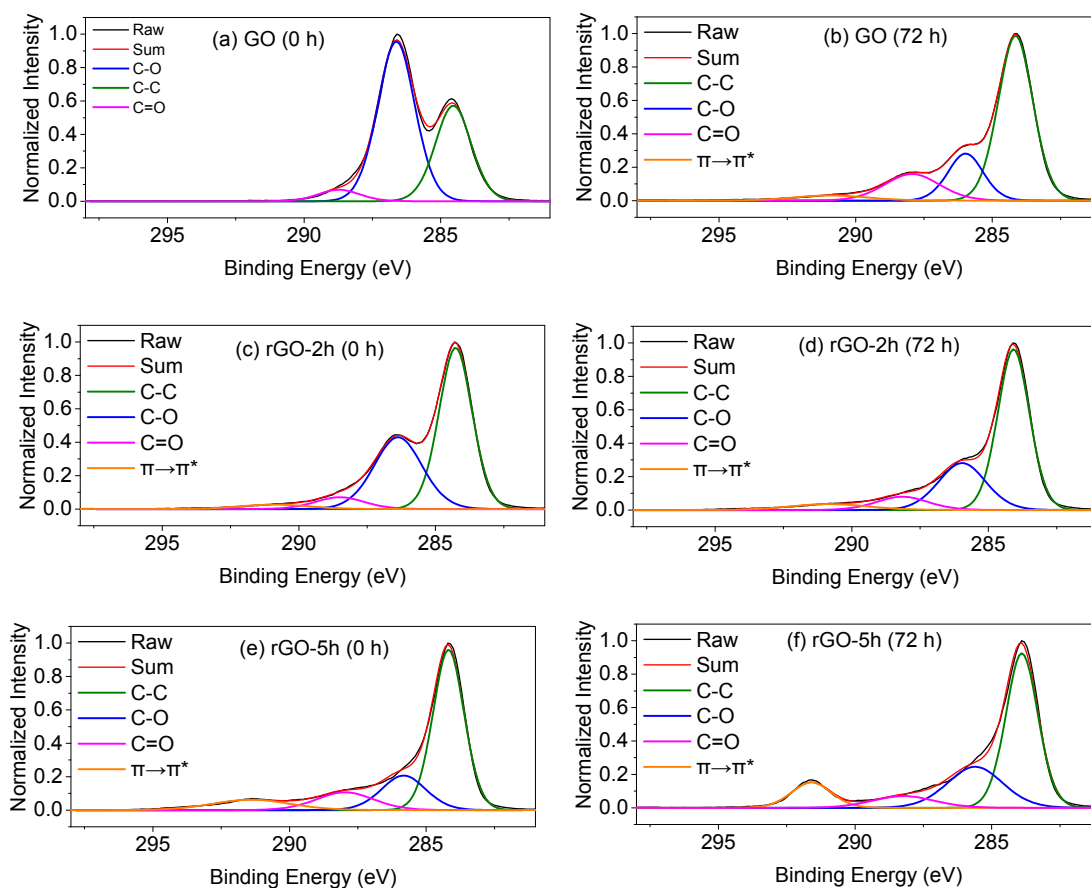
## Figures



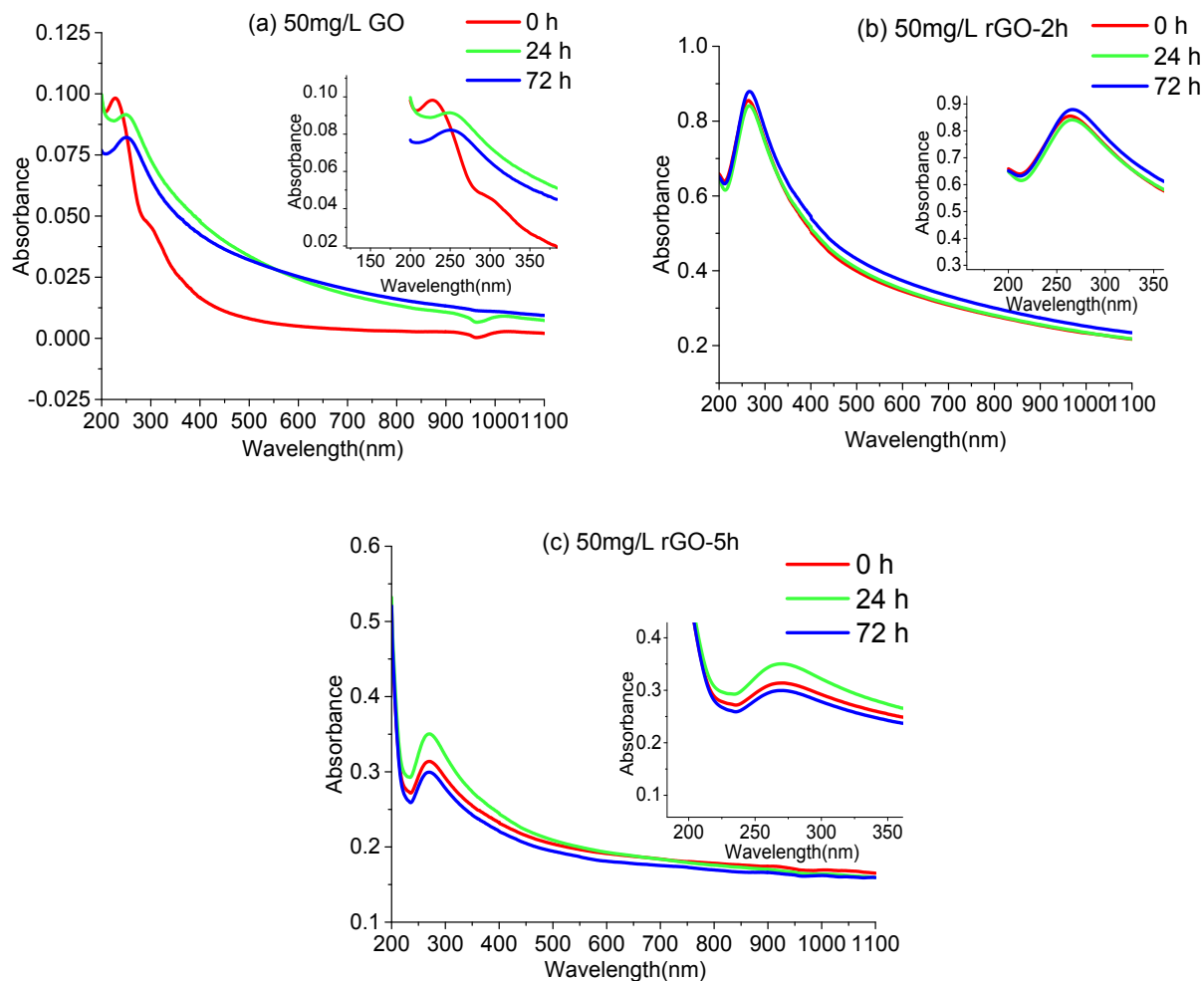
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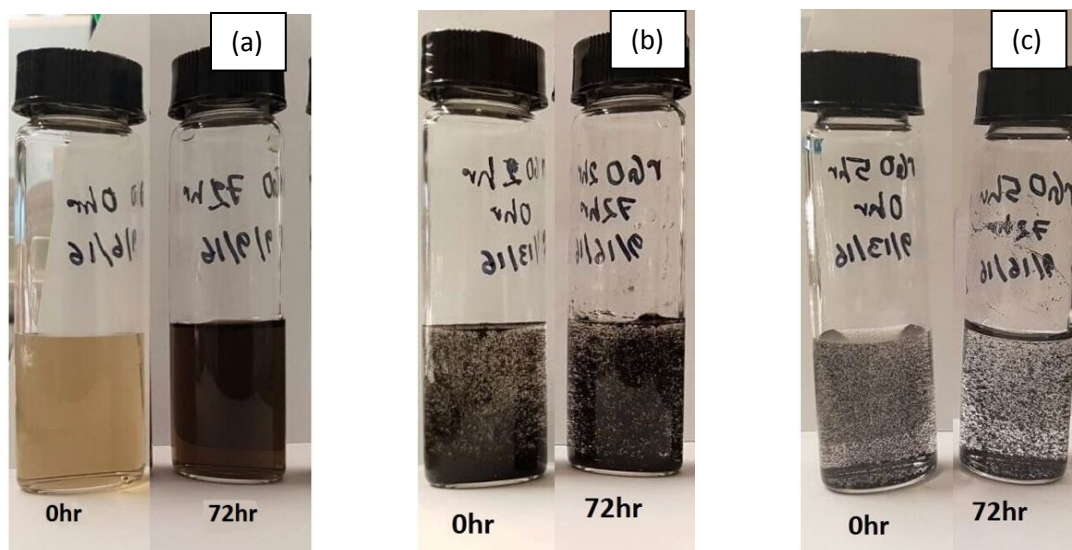
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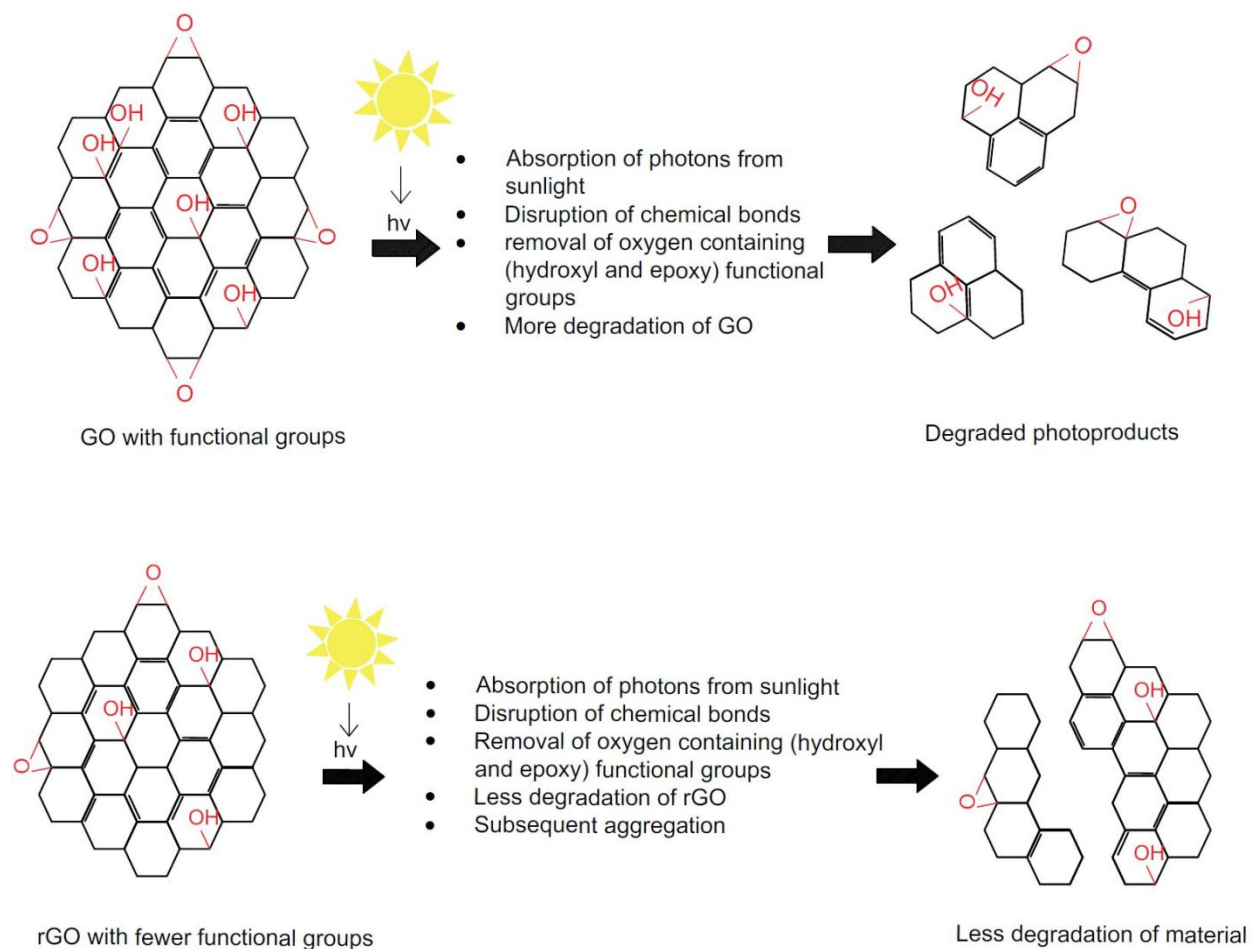
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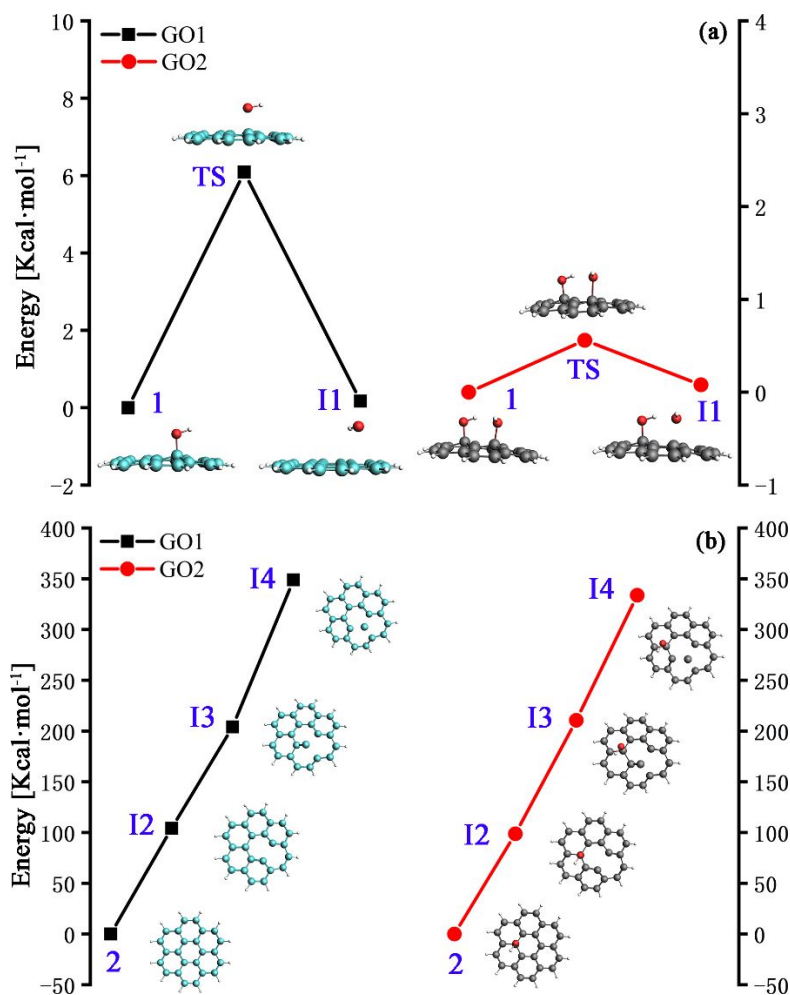
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TOC Art

