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## Theoretical Study on the Microscopic Mechanism of Lignin Solubilization in Keggin-type Polyoxometalate Ionic Liquids

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## 17 Abstract

18 Keggin-type polyoxometalate derived ionic liquids (POM-ILs) have recently been 19 presented as effective solvent systems for biomass delignification. To investigate the mechanism of lignin dissolution in POM-ILs, the system involving POM-IL 20 21  $([C4C1Im]_3[PW_{12}O_{40}])$  and guaiacyl glycerol- $\beta$ -guaiacyl ether (GGE), which contains a  $\beta$ -22 O-4 bond (the most dominant bond moiety in lignin), was studied using quantum 23 mechanical calculations and molecular dynamics simulations. These studies show that 24 more stable POM-IL structures are formed when [C4C11m]<sup>+</sup> is anchored in the connecting four terminal oxygen region of the  $[PW_{12}O_{40}]^{3-}$  surface. The cations in POM-ILs appears 25 to stabilize the geometry by offering strong and positively charged sites, and the POM 26 27 anion is a good H-bond acceptor. Calculations of POM-IL interacting with GGE show the 28 POM anion interacts strongly with GGE through many H-bonds and  $\pi$ - $\pi$  interactions which are the main interactions between the POM-IL anion and GGE and are strong enough to force GGE into highly bent conformations. These simulations provide fundamental models of the dissolution mechanism of lignin by POM-IL, which is promoted by strong interactions of the POM-IL anion with lignin.

## 33 Keyword

34 Lignin, Polyoxometalate, Ionic liquids, Dissolution, Mechanism, Theoretical Simulations.

#### 35 1. Introduction

36 Lignin is a complex, recalcitrant biopolymer composed of three types of 37 phenylpropanoid monomers, guaiacylpropane (G), p-hydroxyphenylpropane (H) and 38 syringylpropane (S), linked together via aryl ether ( $\beta$ -O-4,  $\alpha$ -O-4), phenylcoumaran ( $\beta$ -5), 39 resinol ( $\beta$ - $\beta$ ), biphenyl ether (4-O-5) and dibenzodioxocin (5-5) bonds<sup>1</sup>, with  $\beta$ -O-4 bonds 40 representing more than half of the linkage structures present in most of the common forms of lignin.<sup>2, 3</sup> It is the most abundant source of renewable aromatics on the planet and 41 42 upgrading it to value added fuels and chemicals is key to the economic viability of 43 lignocellulosic biofuels.<sup>4</sup> As a first step to valorization, lignin must be depolymerized to 44 fragments amenable to upgrading; however, efficient depolymerization of the complex 45 recalcitrant polymer continues to present significant challenges.<sup>5, 6</sup>

46 To develop successful and commercially viable routes to depolymerizing lignin into 47 targeted intermediates, homogeneous and heterogeneous catalytic processes for both 48 oxidative and reductive lignin depolymerization have been reported.<sup>2, 7, 8</sup> Among these 49 approaches, Keggin-type Polyoxometalates (POMs) have shown great promise. POMs are 50 transition metal oxide anionic clusters composed of d<sup>0</sup> metal cations (W<sup>VI</sup>, Mo<sup>VI</sup>, and V<sup>V</sup>) 51 in different combinations, with or without a guest atom located in the center of the metal-52 oxygen cage<sup>9</sup>. Since the mid-1990s, considerable attention has been paid to POMs 53 combined with oxygen for pulp bleaching. It has been shown that POMs together with  $O_2$ 54 constitute a promising system for pulp delignification through lignin oxidation. POMs can 55 be used in both anaerobic systems, in which they act as potentially recyclable oxidants, and 56 aerobic systems, where they are used simultaneously with oxygen and act as a catalyst.<sup>10,</sup> 57 <sup>11</sup> Conventionally studied POMs include, as examples, PV<sub>2</sub>Mo<sub>10</sub>, PVW<sub>11</sub>, SiVW<sub>11</sub>,

SiV<sub>2</sub>W<sub>10</sub>, and AlVW<sub>11</sub> in their acid forms. These are Keggin anions with a general formula  $\alpha$ -[XM<sub>12</sub>O<sub>40</sub>]<sup>m-</sup>, where X represents the metal cation such as Al<sup>III</sup>, Si<sup>IV</sup> or P<sup>V</sup>, and M represents the d<sup>0</sup> metal cations such as W<sup>VI</sup>, Mo<sup>VI</sup>, or V<sup>V</sup>.

Ionic liquids (ILs), molten salts with melting points < 100 °C, have been used in a 61 62 growing number of research applications due to their unique solvent properties and specificities that can be achieved by varying the structures of the cations and anions.<sup>12</sup> 63 64 Certain ILs such as 1-ethyl-3-methylimidazolium acetate ([C2C1Im][OAc]), 1-butyl-3-65 methylimidazolium acetate ([C4C1Im][OAc]), 1-butyl-3-methylimidazolium 66 methylsulfate ([C4C1Im][MeSO<sub>4</sub>]) have been shown to dissolve cellulose, lignin, and even whole plant cell walls and have opened new opportunities in biomass deconstruction and 67 conversion.<sup>13-16</sup> Recently, novel POM-ILs containing organic cations combined with 68 Keggin anions have been reported for lignin valorization.<sup>17, 18</sup> There are a few examples of 69 70 POM-ILs systems for delignification, producing functionalized aromatics such as vanillin and syringaldehyde.<sup>19-21</sup> Keggin-type POMs in either acidic form, H<sub>5</sub>[PV<sub>2</sub>Mo<sub>10</sub>O<sub>40</sub>], or IL-71 72 based form, [C2C11m]<sub>5</sub>[PV<sub>2</sub>Mo<sub>10</sub>O<sub>40</sub>], can efficiently catalyze the solubilization and delignification of softwood in the IL ([C2C1Im][OAc]).<sup>19</sup> Pd and [PW<sub>12</sub>O<sub>40</sub>]-ILs composite 73 supported on SiO<sub>2</sub> has been employed for degradation of lignin.<sup>22</sup> Furthermore, Keggin 74 anions  $[PW_{12}O_{40}]^{3-}$  and  $[SiW_{12}O_{40}]^{3-}$  based ILs can be directly used as biomass solvents.<sup>23</sup> 75 76 The combination of POM and ILs has improved the degree of delignification and showed 77 more superiority with a simpler, less chemically intensive, overall process compared with 78 the industrial Kraft process. However, the fundamental dissolution mechanism of lignin in 79 POM-ILs systems is still lacking.

80 In this work, quantum chemical calculations and molecular dynamics simulations of 81 the lignin model compound guaiagylglycerol beta-guaiacyl ether (GGE), which contains a 82 β-O-4 bond (the most dominant bond moiety in lignin), and POM-IL 83 ([C4C1Im]<sub>3</sub>[PW<sub>12</sub>O<sub>40</sub>]) were performed to understand the microscopic dissolution 84 mechanism of lignin in the POM-IL systems. The anchoring [C4C11m]<sup>+</sup> on the POM 85 surface has been systematically investigated. This fundamental understanding of the properties and catalytic performance of POM-IL is essential for the design and 86 87 development of POM-IL systems. Furthermore, the detailed interactions, which are 88 essentially H-bonding interactions between lignin model compound and Keggin-type POM-IL, were studied to understand the lignin dissolution/deconstruction mechanism. The
proposed work will not only provide fundamental understanding of novel POM-IL
structural characteristics but will also provide fundamental models of the dissolution
mechanism of lignin by POM-IL.

#### 93 **2.** Computational method

#### 94 **2.1 Quantum Chemistry Calculations**

95 All density functional theory (DFT) calculations were performed using the Gaussian 16 program.<sup>24</sup> Structural optimizations were carried out using the M06L functional<sup>25</sup> with 96 97 the 6-31g\*\* basis sets for main group elements and the pseudopotential basis sets 98 LANL2DZ for the metal elements. Vibrational frequencies were also calculated at the same 99 level of theory. All structures discussed in the present study were verified as minima 100 without any negative vibrational frequency. To get more accurate energy data, single point 101 calculations were performed at the M06L/def2-TZVP level, which provides more reliable interaction energies based on the CCSD(T) values.<sup>26</sup> The correction factor for the basis set 102 super position error (BSSE) was also considered and the interaction energy ( $\Delta E$ ) of the 103 104 conformers was defined as follows:

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$$\Delta E = 627.51 * [E_{ab} - (E_a + E_b)] + \Delta E_{BSSE}, kcal/mol$$
(1)

Additionally, symmetry-adapted perturbation theory (SAPT)<sup>27, 28</sup> was applied by using
 Psi4<sup>29</sup> to decompose the interaction energies in the complexes. The interaction energy was
 further split into four chemically meaningful components:

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 $\Delta E = \Delta E_{els} + \Delta E_{ex} + \Delta E_{ind} + \Delta E_{disp}, kcal/mol$ (2)

110 where  $\Delta E_{els}$  corresponds to the classic electrostatic interaction between the promoted fragments as they are brought to their positions in the final complexes,  $\Delta E_{ex}$  is the exchange 111 repulsion term from the Pauli repulsion effect and is invariably positive,  $\Delta E_{ind}$  is the 112 113 induction term, which is sometimes referred to as the orbital interaction term or the 114 polarization term, and  $\Delta E_{disp}$  is the dispersion energy, which represents the amount of 115 energy required to promote the separated fragments from their equilibrium geometry to the structure they will take up in the combined molecule.<sup>30</sup> To further analyze H-bonds in the 116 117 system, the electron density, potential energy density, and laplacian value at the critical 118 points were generated by Multiwfn program.<sup>31</sup>

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#### 119 2.2 Molecular Dynamics Simulations

120 Molecular dynamics (MD) simulations were performed using the Gromacs 5.1.1 121 software package. For the simulation systems containing one lignin chain, which was 122 obtained from Petridi et al.,<sup>32</sup> and 100 pairs of [C4C1Im]<sub>3</sub>[PW<sub>12</sub>O<sub>40</sub>] were randomly built using PACKMOL.<sup>33</sup> The force field for the imidazolium cation, lignin and  $[PW_{12}O_{40}]^{3-1}$ 123 124 were obtained from Liu's work<sup>34</sup>, the work reported by Petridis *et al*<sup>32</sup> and the parameters reported by López et al <sup>35</sup>, respectively. The force field of Keggin's POMs have been 125 126 widely used in previously published work, and excellent agreement has been reported 127 between computed and experimental data for diffusion coefficients and for the most likely 128 protonated terminal oxygens<sup>36, 37</sup>. Periodic boundary conditions (PBC) were used with an 129 initial box size of 7 x 7 x 7 nm in x, y, z directions. The Particle-mesh Ewald summation<sup>38</sup> 130 was taken in the calculation of long-range electrostatic interactions with a cutoff of 1.2 nm. 131 All covalent bonds were constrained at their equilibrium values using the LINCS 132 algorithm.<sup>39</sup> To remove atomic collision, the initial system was minimized by the steepest descent method until the minimum force (100 kJ mol<sup>-1</sup>nm<sup>-1</sup>). Then the geometries were 133 134 equilibrated for 500 ps under NVT with a V-rescale thermostat at room temperature (298K). 135 Another 5 ns annealing was used by increasing the temperature from 298 to 473K to 136 simulate the system at 473K, which was based on the experiment of Abia et al.<sup>23</sup> Finally, 137 production runs of 100 ns were carried out in the NPT ensemble with a 2 fs timestep. The last 50 ns trajectory in the production simulations was analyzed. 138 139

## 140 **3. Results and discussion**

#### 141 **3.1 Geometrical Features**

The optimized structures of POM,  $[C4C1Im]^+$ , and guaiacyl glycerol-β-guaiacyl ether (GGE), which is the model compound representing the most abundant β-O-4 linkages in lignin, are presented in Fig. 1. It is well known that the Keggin-type  $[PW_{12}O_{40}]^{3-}$  anion contains a cage of tungsten atoms linked by oxygen atoms with a tetrahedral phosphate group. The arranged 40 oxygen atoms can be divided into three types of oxygen: central oxygen atom bound to (Oc), bridging two mental atoms (Ob), and terminal oxygen atom

148 bound to the tungsten atom (Ot). The selected geometrical parameters of POM anion 149 optimized at M06L/6-31g\*\* level (LANL2DZ basis sets on metal atoms) are listed in Table 150 S1. The maximum deviations between DFT optimized structures and the experimental data 151 was only 0.02 Å in the W-Oc and P-Oc.<sup>40</sup> The same method and similar discrepancy had also been reported elsewhere.<sup>41, 42</sup> As shown in Fig. 1, there are two different regions: the 152 153 A region connecting four terminal Ot and the B region connecting three terminal Ot. For 154 the [C4C11m]<sup>+</sup> cation, the imidazolium ring exhibits a planar structure. In order to give a visual understanding of the possible interaction modes among  $[PW_{12}O_{40}]^3$ ,  $[C4C1Im]^+$  and 155 156 GGE, the electrostatic potential surface was calculated and mapped onto the surface of the structures (bottom of Fig. 1). In the  $[PW_{12}O_{40}]^{3-}$  anion, the electrostatic potential of the 157 158 bridging oxygen (-0.266 a.u. for Ob) atoms are more negative than those of the terminal oxygen atoms (-0.258 a.u. for Ot), which is consistent with previous studies.<sup>43</sup> For the 159 [C4C11m]<sup>+</sup> cation, the most positive position is the H2 (0.179 a.u.). A series of 160 161 conformational isomers of the GGE model compound were calculated and are listed in Fig. S1. The hydroxyl group on the  $\gamma$ -carbon of GGE-1 is very close to the methoxy group on 162 163 benzene ring, putting the oxygen atom in position to attract hydrogen atoms to form H-164 bonds, which stabilizes the isomer at the lower energy. In addition, according to the previous DFT study on the dissolution of lignin in ILs<sup>44</sup>, Zhang et al. also reported GGE-165 166 1 was more stable in the natural structure of lignin polymers. Furthermore, there are three 167 kinds of oxygen atoms named  $\alpha$ ,  $\beta$ , and  $\gamma$  in the inter-chain of GGE. The electrostatic 168 potentials of  $O\alpha$ ,  $O\beta$ , and  $O\gamma$  in GGE are also different with 0.034, 0.040 and 0.052 a.u., 169 respectively.



Fig. 1 The optimized geometries of the isolated  $[PW_{12}O_{40}]^{3-}$  anion,  $[C4C1Im]^+$  cation and GGE. The electrostatic potential surfaces of anion and cation are displayed at the bottom row, where the red and blue regions represent the negative and positive electrostatic potentials, respectively.

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#### 176 **3.2 Anchor** [C4C11m]<sup>+</sup> on [PW<sub>12</sub>O<sub>40</sub>]<sup>3-</sup> Surface.

#### 177 3.2.1 The interaction of $[C4C11m]^+$ with $[PW_{12}O_{40}]^{3-}$

178 As a novel, organic-inorganic hybrid material, it is of great interest to investigate the 179 POM-ILs structures.<sup>45, 46</sup> Starting from all possible sites of an anchor on the Keggin-type  $[PW_{12}O_{40}]^{3-}$  surface for  $[C4C1Im]^+$  studied here, we performed the geometric optimization 180 without any restriction at M06L/6-31g\*\* level (LANL2DZ basis sets on metal atoms). Due 181 to the A and B regions in the  $[PW_{12}O_{40}]^{3-}$  surface, the  $[C4C1Im]^+$  can mainly anchor four 182 183 different kinds geometries on the POM surface. The optimized structures and interaction 184 energies of anchoring  $[C4C1Im]^+$  on A, B, and A/B region in POM surface are shown in 185 Fig. 2. As indicated by the dashed lines in Fig. 2, it is clear that the relatively most stable conformation of the complex is where the C2-H of [C4C1Im]<sup>+</sup> is anchored to the 186 187 bridging/terminal oxygen of POM (Fig. 2, conformation A). The corresponding H-bond lengths, bond angles and interaction energies of [C4C1Im]<sup>+</sup> [PW<sub>12</sub>O<sub>40</sub>]<sup>3-</sup> structures are 188 189 summarized in Table S2. The bond lengths of C2-H2...Ob/Ot range from 1.94 to 2.04 Å, which is the sum of van der Waals atomic radii (2.72 Å) for O. H bonds.<sup>47</sup> Furthermore, 190

191 the interaction energies calculated at M06L/6-31g\*\* (LANL2DZ basis sets on metal 192 atoms) are nearly 3-4 kcal/mol lower than those calculated at M06L/def2-TZVP. The 193 interaction energies of anchoring [C4C1Im]<sup>+</sup> on A, B and two possible orientations in the 194 A/B region using M06L/def2-TZVP level corrected by BSSE are -166.20, -156.95, -195 160.98 and -154.89 kcal/mol, respectively. Comparing the interaction energies of 196 anchoring [C4C1Im]<sup>+</sup> at different sites, the more stable structure is formed when 197 [C4C1Im]<sup>+</sup> anchors in the A region of [PW<sub>12</sub>O<sub>40</sub>]<sup>3-</sup> surface (Fig. 2A). 198



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Fig. 2. Optimized conformation of four  $[C4C1Im]^+$   $[PW_{12}O_{40}]^{3-}$  structures: (A) anchor [C4C1Im]<sup>+</sup> on A region (-166.20 kcal/mol), (B) anchor  $[C4C1Im]^+$  on B region (-156.95 kcal/mol) (C) anchor  $[C4C1Im]^+$  on A/B region (-160.98 kcal/mol) and (D) anchor [C4C1Im]<sup>+</sup> on A/B region (-154.89 kcal/mol).

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The atoms in molecules theory (AIM) has been widely applied to many DFT 205 calculations to analyse interatomic interaction,<sup>48, 49</sup> and we have used it here to examine 206 the intermolecular interactions between  $[PW_{12}O_{40}]^{3-}$  and  $[C4C1Im]^+$ . The H-bond 207 properties, including bond energies ( $E_{HB}$ ) and electron density [( $\rho(r)$ ], of anchoring 208 209 [C4C11m]<sup>+</sup> on POM surface are listed in Table S3. As important measures of H-bond 210 formation, the  $\rho(\mathbf{r})$  and corresponding Laplacian value  $[\nabla^2 \rho(\mathbf{r})]$  at the critical points range from 0.009 to 0.028 and 0.031 to 0.052 a.u., respectively, placing them within the standard 211 for defining the existence of H-bonds.<sup>50, 51</sup> Taking, as an example, the structure in which 212 213 [C4C11m]<sup>+</sup> is anchored at the A region of the POM surface, both C-H...Ot and C-H...Ob H-214 bonds are formed between  $[C4C1Im]^+$  and  $[PW_{12}O_{40}]^{3-}$ . The bond energies of C2-H2...Ob1, 215 C1-H1...Ot1 and C3-H3...Ot2 are -23.03, -14.92 and -8.27 kcal/mol, respectively. This

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indicates that C2-H2···Ob1 is stronger than the H-bonds formed with the terminal oxygen
of POM. The simulation also provides theoretical support for formation of H-bonds
between POM anion and the C-H of the imidazolium cation reported by the previous
experimental observation.<sup>52, 53</sup>

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#### 3.2.2 The interaction of $[C4C11m]_3[PW_{12}O_{40}]$ ion pairs

222 Ion pairs or clusters are the main structural elements existing in the structure of ILs.<sup>54</sup> We also investigated the geometry of [C4C11m]<sub>3</sub>[PW<sub>12</sub>O<sub>40</sub>] to better understand its crystal 223 224 structure. The optimized geometry and interaction energy are shown in Fig. 3 and Table 225 S4 and show the structures are stabilized by formation of multiple H-bonds between  $[C4C1Im]^+$  and  $[PW_{12}O_{40}]^{3-}$  cage, forming a cationic shell was around the anion. Different 226 227 geometries are formed when the [C4C11m]<sup>+</sup> is anchored to different regions of POM. The 228 calculated interaction energies at the M06-2X/def2-TZVP level corrected by BSSE are 229 approximatley -360 kcal/mol, which reflects the stability of the POM surrounded by [C4C1Im]<sup>+</sup> clusters. Based on the calculations in Sec 3.2.1, [C4C1Im]<sup>+</sup> is more likely to 230 231 anchor to the A region of POM, indicating that [C4C11m]<sup>+</sup> coordinated by the four terminal oxygen atoms is the more probable conformation for C4C11m/PW<sub>12</sub>O<sub>40</sub>. The energetically 232 233 most stable (Table S4,  $\Delta E_{2C} = -364.58$  kcal/mol) structure of [C4C1Im]<sub>3</sub>[PW<sub>12</sub>O<sub>40</sub>] is 234 shown in Fig. 3 (C), where the three [C4C11m]<sup>+</sup> cations mainly anchor to the A region of [PW<sub>12</sub>O<sub>40</sub>]<sup>3-</sup>. Natural population analysis (NPA) is one of the simplest and intuitive 235 descriptions of the charge distribution in chemical systems,<sup>55, 56</sup> and results for NPA charge 236 237 analysis and assignment of atomic charges of [C4C11m]<sub>3</sub>[PW<sub>12</sub>O<sub>40</sub>] at the M06L/6-31g\*\* 238 level are shown in Table S5. These results show that the NPA charge transfer energies in 239 [C4C1Im]<sup>+</sup> moieties are 24.47, 9.41 and 20.71 kcal/mol. This charge reorganization contributes to the activation of POM-IL. The cations in  $[C4C1Im]_3[PW_{12}O_{40}]$  appears to 240 241 stabilize the geometry by offering strong and positively charged sites, indicating the POM 242 anion is a good H-bond acceptor.



Fig. 3. Optimized three kinds of  $[C4C1Im]_3[PW_{12}O_{40}]$  ion pairs where the  $[C4C1Im]^+$ anchors at a different area of  $[PW_{12}O_{40}]^{3-}$  surface.

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247 **3.3** The Interaction of Lignin and POM-IL

#### 248 3.3.1 The Interaction of GGE-Anion, GGE-Cation, and GGE-H<sub>2</sub>O

249 Solvation Model based on Density (SMD) models are quantum mechanical continuum 250 universal solvation models that can be applied to predict the free energy of solvation of any 251 solute in any solvent following specification of various macroscopic solvent parameters. 252 Bernales et al proposed the SMD for ionic liquids (SMD-GIL) solvation model to describe the ILs solvent effects.<sup>57</sup> In our previous work, the reaction barrier had been compared in 253 254 SMD-GIL and gas phase. The SMD-GIL solvation model provides a liquid environment and the reaction barrier in SMD is a little lower than that of vacuum state.<sup>58</sup> The 255 macroscopic properties of the substance could be manifested through the joint actions of 256 257 the molecules. Like the microscopic mechanism of lignin solubilization in ILs, several 258 publications<sup>59-61</sup> have reported that interaction energies are relevant for solubilization 259 analysis. To investigate the role of the anion, cation and  $H_2O$  in the dissolution of lignin, 260 the structures of GGE-POM, GGE-C4C1Im, GGE-OAc, and GGE-H<sub>2</sub>O were studied. Optimized conformations of the four complexes calculated from different initial starting 261 262 configurations are depicted in Fig. S2 and H-bonds and interaction energies are provided 263 in Table S6. These data show there a number of H-bonds formed in all four of the 264 complexes, which is consistent with studies reporting that H-bonding interactions between lignin and the solvent play a vital role in dissolution of lignin.<sup>44, 62</sup> The interaction energies 265 266 were calculated using M06-2X/def2-TZVP level and corrected by BSSE in the GGE-POM 267 system and are vary between -21.81 and -32.97 kcal/mol. These energies are stronger than 268 the interaction energies between GGE and C4C1Im (-19.67 to -22.73 kcal/mol), suggesting 269 the POM plays a stronger role in lignin dissolution. The POM anion also induces large 270 bended conformation of GGE (Fig. S2, B, C), supporting the POM anion playing a critical 271 role in lignin degradation and solubilization. The changes in bond length for GGE 272 interacting with POM are shown in Table S7. The bond length of C2-O $\alpha$  (original 1.41980) 273 to 1.43615, 1.43170 and 1.43599 Å) and C3-Oβ (original 1.43844 to 1.46091, 1.45729 and 274 1.45019 Å) in GGE interacting with POM and corresponding to the structures in Fig. S2 275 (A-C) are longer than those in GGE, which likely facilitates bond cleavage and loss of the 276 hydroxyl group (O $\alpha$ -H and O $\beta$ -H). Parthasarathi *et al.* calculated the dissociating linkages 277 of 65 lignin model compounds also demonstrated that the C-O bond was easier dissociated 278 than C-C bond linkages.<sup>63</sup>

279 For the GGE-C4C1Im conformers, there are three kinds of interaction sites on GGE, 280  $\alpha$ -OH,  $\gamma$ -OH, and O $\beta$ . The interaction energies calculated using M06-2X/def2-TZVP level 281 and corrected by BSSE of GGE-C4C1Im when the cation interacts at  $\alpha$ -OH,  $\gamma$ -OH and OB 282 are -22.73, -22.46 and -19.67 kcal/mol, respectively. Comparing the interaction energies 283 of the different sites, the order of C4C1Im to form H-bonds with GGE follows:  $\alpha$ -OH > $\gamma$ -284 OH  $>O\beta$ . For the GGE-OAc conformers, the interaction energies of H-bonds formed 285 between OAc and  $\alpha$ -OH,  $\gamma$ -OH are -29.96 and -24.53 kcal/mol, respectively. It can be 286 concluded that when H-bonds formed between the electronegative area (oxygen) of OAc 287 and the  $\alpha$ -OH of GGE, the interaction energy appears stronger. The stronger interaction 288 energies between GGE and anions, indidcates that anions play a critical role in the 289 dissolution/degradation of lignin. The interaction energy of GGE with H<sub>2</sub>O, calculated at 290 the M06L/df2-TZVP level, ranges between -5.76 and -6.54 kcal/mol, which is consistent 291 with lignin not being soluble in water due to the weak interaction energy of GGE- $H_2O$ 292 conformers.

Energy decomposition analysis is a valuable tool for investigating the physical contributions of the various energetic components contributing to the total interaction energy.<sup>64</sup> The energy decomposition analyses for GGE-POM, GGE-C4C11m, GGE-OAc, and GGE-H<sub>2</sub>O are presented in Table S8. In GGE-POM, the electrostatic attraction, exchange repulsion, induction and dispersion energies account for 27.5%, 34.2%, 10.7%, 298 and 27.6%, respectively. This result confirms that the POM-ILs have a strong 299 Coulombic interactions due to the high melting temperature of the crystalline lattice.<sup>65</sup> For 300 the GGE-anion interactions, like GGE-POM and GGE-OAc, the electrostatic attraction 301 energy is about 5 to 17 kcal/mol stronger than that of GGE-C4C1Im, which indicates anions play a critical role in the lignin dissolution. In the GGE-POM and GGE-C4C1Im 302 303 structures, the dispersion energies are more obvious than those of GGE-OAc and GGE-304 H<sub>2</sub>O. It further illustrates that using the dispersion-correlation M06L functional gives more desirable calculations.<sup>66, 67</sup> 305

306 Independent Gradient Model (IGM) analysis has been employed as one of the most useful tools to study the noncovalent interaction.<sup>68</sup> To investigate the types of interactions 307 308 between GGE and isolated C4C11m/POM, IGM analysis was performed using the most 309 stable structures of GGE-POM and GGE-C4C11m. The interaction isosurfaces were 310 plotted and are shown in Fig. 4. For GGE-POM, a large conformational bend in GGE was 311 induced by the POM anion, and H-bonds were formed between GGE and both sides of the 312 POM. For GGE-C4C11m, strong H-bonds were observed between the C2-H of [C4C11m]<sup>+</sup> 313 and the  $\alpha$ -OH of GGE (a strong H-bond is highlighted by the red circle in Fig. 4). As reported by Janesko,<sup>69</sup> stronger interactions with lignin resulted in the extended  $\pi$ -system 314 315 of imidazolium ILs. Also, the other large green surface in the isosurface represents strong 316  $\pi$ - $\pi$  stacking effects between the two rings. 317



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Fig. 4 Isosurfaces (isovalue =0.5 a.u.) of (A) GGE-POM and (B) GGE-C4C1Im. Blue indicates strong attractive interaction like H-bonds, green indicates  $\pi$ - $\pi$  interactions and red indicates steric hindrance.

322

#### 323 3.3.2 The interaction of GGE and $[C4C11m]_3[PW_{12}O_{40}]$ ion pair

324 Six starting conformations of  $GGE-[C4C1Im][PW_{12}O_{40}]$ and GGE-325  $[C4C1Im]_3[PW_{12}O_{40}]$  structures were optimized to obtain the possible interaction modes 326 between GGE and POM-IL (Fig. 5). The corresponding interaction energies and the bond 327 changes are listed in Table S9 and Table S10, respectively. In Fig.5, the cations mainly 328 interact with POM to form cationic shells and POM mainly interacts with lignin which 329 further verified that POM played a critical role in the dissolution of lignin. The changes of 330 C-O bond length (Table S10) were not obvious when there are more cations molecular, 331 because the cations mainly interact with POM to form a shell cage. The BBSE corrected 332 interaction energies at the M06L/def2-TZVP level for different GGE-[C4C1Im]<sub>3</sub>[PW<sub>12</sub>O<sub>40</sub>] 333 structures were all approximately -387 kcal/mol. The relative energies of different modes 334 are inapparent due to the large system. To compare with the interaction of POM-IL, the 335 interactions between GGE and [C4C1Im][OAc] were calculated and are shown in Fig. S3 336 and listed in Table S11. The interaction energies of A, B, C are -121.25, -127.25 and -337 128.70 kcal/mol, respectively. The interaction of GGE-[C4C1Im][OAc] is more intensive 338 at  $\alpha$ -OH than at  $\gamma$ -OH. Furthermore, to investigate the interaction in the GGE-ILs system, 339 IGM analysis of GGE-[C4C1Im][OAc] and GGE-[C4C1Im]<sub>3</sub>[PW<sub>12</sub>O<sub>40</sub>] were performed and results are shown in Fig. S4. For GGE-[C4C11m][OAc], strong H-bonds were formed 340

341 between OAc and  $\alpha$ -OH of GGE. Between the benzene ring and imidazolium ring, there is 342 (green) corresponding to  $\pi$ - $\pi$ interaction. For another large area GGE-343 [C4C1Im]<sub>3</sub>[PW<sub>12</sub>O<sub>40</sub>], [C4C1Im]<sup>+</sup> forms multiple H-bonds around POM due to the large 344 molecule of the anion. The interaction of GGE and POM-IL is mainly through interactions 345 with the anion, while cationic shells around the POM form the main interactions of POM-IL with lignin. Taken together, these results show that the POM plays an important role in 346 347 the dissolution of lignin.



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349 Fig. 5 Optimized conformations of GGE-[C4C11m][PW<sub>12</sub>O<sub>40</sub>] (A-C) and GGE-350  $[C4C11m]_3[PW_{12}O_{40}]$  (D-F) complexes.

351

To gain additional insights into the solubilization of lignin in POM-IL, molecular dynamics (MD) simulations were performed on systems of lignin and POM-IL. The interaction energies of lignin with C4C1Im and POM are listed in Table 1. It was found that the total interaction energy of Lignin-POM (-716.92 kcal/mol) is much stronger than that of Lignin-C4C1Im (-495.65 kcal/mol), providing additional evidence that lignin interactions with POM play a critical role in dissolution of lignin. The interaction energy

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between lignin and C4C1Im mainly comes from the Lennard-Jones (LJ) potential, while
the interaction energy between lignin and POM mainly comes from coulombic interaction.
To characterize the structural features of the bulk lignin plus POM-IL system radial
distribution functions g(r) were calculated from the MD simulations using equation 3:

362 
$$g_{ij}(r) = \frac{N_{ij}(r, r + \Delta r)V}{4\pi r^2 \Delta r N_i N_j}$$
(3)

363 where r represents the distance between i and j atoms,  $N_i$  and  $N_i$  represent the numbers of i 364 and j atoms,  $N_{ij}(r, r + \Delta r)$  represents the number of j atoms around i within a shell from r 365 to  $r + \Delta r$ , and V is the volume of system. The g(r) for the center of mass of Lignin-C4C1Im and Lignin-POM, as well as atom-atom distributions of Ob (POM)-OB (lignin) and Ot 366 (POM)-O $\beta$  (lignin), are shown in Fig. 6. As shown in Fig. 6 (A-B), the peak at ~1.3Å 367 368 suggests there is more concentrated distribution of POM anion than C4C11m<sup>+</sup>, occupying 369 the secondary solvation shell. However, the POM anion is farther from lignin than the 370 cation because of the larger size of POM. In Fig. 6 (C-D), pronounced peaks located ~0.35 371 Å for Ot (POM)-OB (lignin) indicates that Ot of POM is closer to OB (lignin) than that of 372 Ob. And the coordination numbers of Ot (POM)-Oβ (lignin) are larger than that of Ob (POM)-Oß (lignin). Furthermore, the peak height of Ot (POM)-Oß (lignin) is higher than 373 374 that of Ob (POM)-OB (lignin), revealing that the oxygen in the bridge of POM has a weaker 375 interaction with  $O\beta$  than that of the POM's terminal oxygen.



376

Fig. 6 (A) Radial distribution functions for the center mass of Lignin-C4C1Im and LigninPOM (B) corresponding coordination numbers (C) Radial distribution functions for Ot, Ob
of POM around Oβ of lignin and (D) corresponding coordination numbers.

380

381 **Table 1** Interaction energies (in kcal/mol) of lignin and [C4C1Im]<sub>3</sub>[PW<sub>12</sub>O<sub>40</sub>] based on

382 MD simulations.

	E <sub>coul</sub>	E <sub>L-J</sub>	E <sub>total</sub>
[C4C1Im]+	-150.75	-344.90	-495.65
[PW <sub>12</sub> O <sub>40</sub> ] <sup>3-</sup>	-445.07	-271.85	-716.92

383

## 384 **4. Conclusion**

As novel green catalyst and solvent systems, POM-ILs have shown great promise for delignification of biomass. However, the microscopic mechanism, which provides insights for further engineering of improved biomass pretreatment approaches, for lignin 388 dissolution remains unknown. In this work, we used a model lignin compound containing 389 a  $\beta$ -O-4 linkage, which is the most abundant linkage in the lignin structure, to investigate 390 the interactions between lignin and POM-IL that provide the molecular driving forces for dissolution of lignin. First, the interactions of POM-IL composed of  $[PW_{12}O_{40}]^{3-}$  and 391 [C4C1Im]<sup>+</sup> were studied using DFT calculations. Based on the computed interaction 392 393 energies, more stable structures are formed when [C4C11m]<sup>+</sup> anchors in the connecting four terminal oxygen containing region of the  $[PW_{12}O_{40}]^{3-}$  surface. The cations in POM-IL 394 395 stabilize this geometry by offering strong and positively charged sites and the POM anion 396 is a strong H-bond acceptor. Second, to investigate the dissolution mechanism of lignin in 397 POM-IL, the interactions of GGE with the anion and cation of POM-ILs and with H<sub>2</sub>O 398 were studied by DFT calculations. The interactions of GGE with the POM-IL anion were 399 calculated to be much stronger than the interactions between GGE and POM-IL cation, 400 suggesting the anion of POM plays a critical role in lignin dissolution. The interactions of 401 the POM-IL anion forced GGE into a highly bent conformation, further suggesting a 402 mechanism by which the lignin backbone is exposed to the solvent and made it easier to 403 degrade. Based on our calculations H-bonds formed between lignin and the POM-IL anion 404 together with  $\pi$ - $\pi$  interaction formed between the POM-IL cation and lignin are the main 405 interactions and driving forces for dissolution of lignin. Third, to obtain additional insights 406 into the solubilization of lignin, MD simulations were performed on systems of GGE and 407 POM-IL. Results from these simulation further confirmed that lignin interactions with the 408 POM-IL anion play a critical role in dissolution of lignin. The data from quantum 409 calculations and MD simulations presented here provide fundamental insights into the 410 mechanisms by with POM-ILs interact with lignin and drive lignin dissolution and models 411 of the how novel POM-ILs structural characteristics might be designed to improve 412 dissolution of lignin.

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## 416 Associated Content

- 417 Supporting Information
- 418 The selected bond distance of POM, H-bonds, interaction energies and AIM analysis of
- 419 [C4C11m]<sup>+</sup> [PW<sub>12</sub>O<sub>40</sub>]<sup>3-</sup> complex of the POM-ILs; interaction energies, NPA analysis of
- 420 [C4C1Im]<sub>3</sub>[PW<sub>12</sub>O<sub>40</sub>]; energy decomposition; IGM analysis for GGE-POMILs and GGE-
- 421 ILs.

## 422 **Conflicts of interest**

423 There are no conflicts of interest to declare.

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## **Table of Contents**



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- 581 The microscopic mechanism of lignin solubilization in Keggin-type polyoxometalate ionic liquids had
- 582 been studied by theoretical calculations.