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Graphene-Covered Transition Metal Halide as Efficient and Durable Electrocatalysts for Oxygen Reduction and Evolution Reactions

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6 Graphene-Covered Transition Metal Halide Molecules as Efficient 7 and Durable Electrocatalysts for Oxygen Reduction and Evolution 8 Reactions

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10 Proton exchange fuel cells (PEFC) are one of the most popular and promising energy conversion devices because of their
11 highly stable and efficient membranes in acidic media, but there is lack of durable non-noble metal electrocatalysts suitable
12 for the acidic environments. Herein, we designed a new type of electrocatalysts consisting of transition metal halide
13 molecules covered by graphene sheets, which is supported by the experiments. To rapidly screen the best catalysts from
14 numerous candidate materials, the electronic structures, reaction free energies and overpotentials of those graphene-
15 covered halide catalysts were studied by the first-principles calculations to predict the catalytic activities for oxygen
16 reduction reaction (ORR) and oxygen evolution reaction (OER). An intrinsic descriptor, the electrostatic force induced by the
17 metallic ions, was found to well describe the catalytic activities and provides a better understanding of the local electrical
18 field effects on catalytic activities. The spin-down d-band center was also introduced to describe catalytic activities of the
19 catalysts. The results demonstrate that the graphene-covered CrBr₂ shows the best bifunctional catalytic activities for fuel
20 cells while graphene-covered CoF₂ could well facilitate H₂O₂ production. These catalysts are better than the best commercial
21 noble metal catalysts (e.g., Pt and RuO₂) in terms of overpotentials and activities. This work provides a theoretical base for
22 rationally designing durable electrocatalysts with excellent catalytic activities.

23 1. Introduction

24 Clean energy conversion such as hydrogen fuel to electricity by fuel cells and energy storage through metal-air batteries are considered as
25 critical emerging technologies to replace traditional fossil fuels. In these energy technologies, oxygen reduction reaction (ORR) and oxygen
26 evolution reaction (OER) are two key reactions that determine conversion efficiency, and electrocatalysts are usually needed to promote
27 those reactions¹. Among the traditional electrocatalysts, noble metal catalysts are often applied to catalyse the ORR and OER (e.g., Pt for
28 ORR², and RuO₂ for OER³). However, the high cost and limited resources of the noble metals hinder their large-scale commercial application
29 of the energy technologies¹.

30 In the past decades, nonprecious metal and metal-free catalysts have been developed to preplace or reduce the use of noble metal
31 catalysts. In particular, metal-free catalysts, such as nitrogen doped carbon nanotubes and graphene, performed much better ORR catalytic
32 activity than Pt⁴. Multitudinous carbon catalysts, including heteroatom (e.g., B, N, F, O, S, P and transition metals) doped graphene, carbon
33 dots, carbon nitride, graphite, and defective graphene were also developed for efficient electrocatalysts⁵⁻¹⁰. However, those carbon-based
34 electrocatalysts, like nonprecious metal catalysts, still suffer from poor durability, in particular in acid media although most of them works
35 well in alkaline environments. This downgrade the proton exchange fuel cells (PEFC), the most popular and promising ones in commercial
36 applications because of their highly stable and efficient membranes in acidic media. It is therefore necessary to develop electrocatalysts well
37 suitable for acidic environments.

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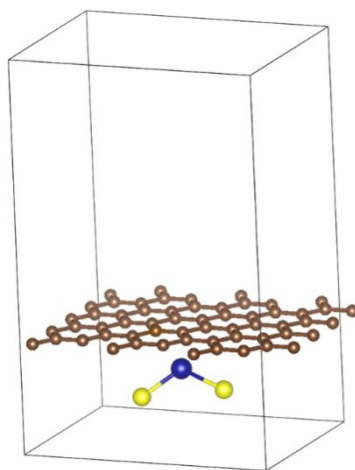
38 Apart from the doping in graphene, intermolecular charge-transfer via non-covalent functionalization has also been demonstrated to
39 enhance ORR electrocatalytic activities of graphene. The non-covalent functionalization involves weak interactions, which cause no change
40 on the basal plane structure of graphene and its electronic properties being largely retained.¹¹ The enhanced electrocatalytic activities
41 originate from the intermolecular charge transfer between the undoped graphene and physically adsorbing polarized organic molecules (e.g.,
42 poly(diallyl dimethylammonium chloride) (PDDA), and Tetracyanoethylene (TCNE)) on the basal plane of pristine graphene sheets.¹¹⁻¹³ This
43 "intermolecular charge-transfer" offers a simple, low-cost approach to develop carbon-based metal-free ORR catalysts. However, these
44 adsorbed organic molecules still suffer from the acidic attack in the acidic environments, which reduces the stability of the catalysts.

45 In this paper, we designed novel electrocatalysts consisting of graphene sheets embedded with transition metal halide molecules.
46 Graphene is extremely flexible with large surface area, excellent mechanical and electrical properties, and highly stable in fuel cell
47 environments, while halide molecules are polarized and could be embedded into the graphene sheets^{14,15}. While the halide molecules are
48 covered and separated from the reactive environment by the graphene, their high polarization would enhance the catalytic activity through
49 spin or charge transfer between the graphene and the halide molecules. Such graphene-covered halide catalysts would be durable while
50 having highly catalytic activity in acidic environments.

51 The above new catalyst design involves the selection of catalysts from numerous candidate materials. However, experimentally searching
52 for the best catalysts would be time-consuming and costly. In contrast, computational approaches could be used to rapidly screening of the
53 best catalysts, and provide the guidelines to the experiment. Recently computational and theoretical methods were used to elucidate the
54 microscopic details underlying the mechanisms of operation behind the functioning of PEFC.¹⁶⁻¹⁸ Activity descriptors were developed to
55 identify the best ORR/OER catalysts for heteroatom-doped carbon nanomaterials and covalent organic framework (COF).^{19,20} In this work,
56 DFT calculation method will be used to establish a design principle to guide the structural design for the family of graphene-halide catalysts.

57 2. Simulation methods

58 Transition metal (TM) halide (TMX₂) adsorbed graphene (G) sheets were constructed to calculate their catalytic performances (TM = Ti,
59 V, Cr, Mn, Fe, Co, Ni, Cu, Zn, and X = F, Cl, Br, I). The TMX₂ molecules we studied are listed in Table S1. Such graphene structures embedded
60 with salts have been achieved experimentally.^{14,15,21} As illustrated in Figure 1, the unit cells of the structures used in this study are composed
61 of a single-layer graphene sheet adsorbed with a metal halide molecule, the size of which was set to 12.82 Å × 9.87 Å × 20 Å. The Van der
62 Waals correction was also taken into consideration in the calculations. Gaussian smearing method was used to determine the electronic
63 partial occupancies. In this design, only graphene surface is exposed to the reactants while the halides are hid behind the graphene. The
64 G/TMX₂ was constructed as a three-dimensional periodic structure, where the vacuum layer was set to be around 20 Å in the z-directions to
65 avoid interaction between slabs.



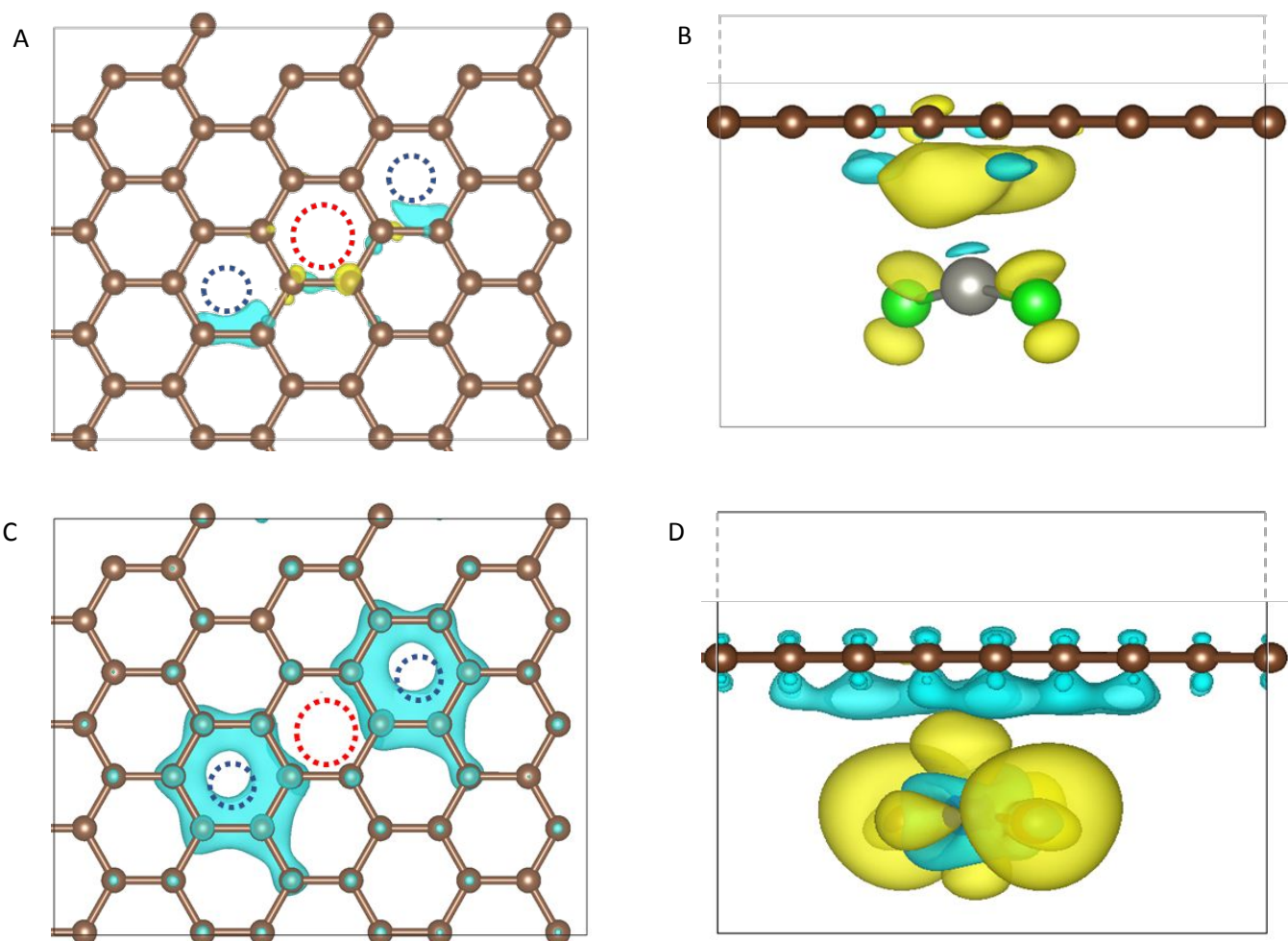
66
67 **Figure 1.** The unit cell model of TMX₂ adsorbed graphene. The brown, yellow and blue atoms represent Carbon, Halogen, and TM,
68 respectively.

69 The free energies and overpotentials of OER and ORR on the structures were calculated with the density functional theory (DFT) method,
70 the Vienna Ab initio Simulation Package (VASP)^{22,23}. The Perdew-Burke-Ernzenhof (PBE) exchange correlation functional and soft projector-
71 augmented wave (PAW) pseudopotentials were implemented in VASP code via the DFT.^{24,25} The PBE functional which in our previous studies

72 have been shown a great performance of the carbon based system.^{19,20} For 3d transition metals in this work, DFT+U methods²⁶ were also
 73 considered in the calculations, and the values of U-J are listed in Table S2. The cut off energy of plane wave basis was set 550 eV, and the
 74 structures were sampled by 3×3×1 Monkhorst-Pack k-point mesh. Both the cutoff energy and k point meshes were converged within 1 meV
 75 in per atom. The whole calculations in spin-polarized with the lowest energy magnetic configurations were identified for all the surfaces and
 76 the geometries were relaxed until a maximum force of 0.01 eV/Å was converged.

77 3. Results and Discussion

78 3.1 Electronic structures and reaction pathways



81

82

83 **Figure 2.** Differential charge density distribution on the graphene adsorbed with ZnBr₂ (no charge transfer between the graphene and ZnBr₂)
 84 in (A) top view and (B) side view. The gray and red dotted cycles represent Br and Zn, respectively. Differential charge density distribution on
 85 the graphene adsorbed with CoBr₂ with a charge transfer between the graphene and CoBr₂ (0.78e) in (C) top view and (D) side view. The gray
 86 and red dotted cycles represent Br and Co, respectively. The blue and yellow colors indicate the positive and negative values of electron
 87 quantities, respectively. The isosurface level is 0.0005.

88 The electronic structures, the electron transfer between TMX₂ and graphene, and the effect of electronic field on ORR and OER were
 89 calculated by the DFT. Both 2 and 4-electron pathways of OER and ORR (Supplementary information) and reaction free energy were analyzed
 90 following the approaches described in references.^{3,27-33} Figure S1 shows the optimized configurations of the G/TMX₂ and Bader differential
 91 charge density distribution on the graphene with the largest and smallest Bader charge transfer of every group. Figure 2A and B shows
 92 optimized structures and Bader differential charge density distribution of G/ZnBr₂ with zero charge transfer while Figure 2 C and D shows
 93 optimized structures and Bader differential charge density distribution of G/CoBr₂ with the largest charge transfer (0.78e) among G/TMX₂.
 94 The metal ions are highly positively charged, while the charge on the graphene redistributes near the halide molecule. In addition, there
 95 exists electron transfer between the TMX₂ molecules and graphene. The charge transfer could be positive, negative or zero, depending on
 96 the type of the metal ions in halides (Table S1 and S3). Thus, the adsorption of TMX₂ induces charge redistribution on graphene as well as

the charge transfer. The highly positively charged ions, charge transfer and charge redistribution may have a strong effect on the catalytic activities.

The catalytic activities of G/TMX_2 were evaluated by calculating the minimum overpotential of ORR and OER on the graphene surface. The overpotential η of ORR/OER has been considered as an important measure of the catalytic activities of catalysts². For an ideal ORR/OER catalyst, it should promote the OER/ORR just above the equilibrium potential, with zero overpotential². However, since the binding energies of the intermediates are correlated, this perfect state cannot be achieved. Thus, the lower the overpotential, the better the catalysts.

To find out the lowest overpotentials of active sites on the $G/TMBr_2$, we calculated the free energy of the four elementary steps of OER/ORR on all the possible sites that could occur on the graphene. Because the four elementary steps are correlated with each other, we analyzed the adsorption energies of the HOO^* , OH^* , and O^* intermediates adsorbed on graphene during ORR and OER (Table S4 and S5). Figure 3A illustrates the catalytic cycle of ORR in 4-electron pathway (A-B-C-D-A) and 2-electron pathways (A-E-A). In 2-electron transfer pathways, we only analyzed the HOO^* (Table S6) for it is the only intermediate in this reaction.

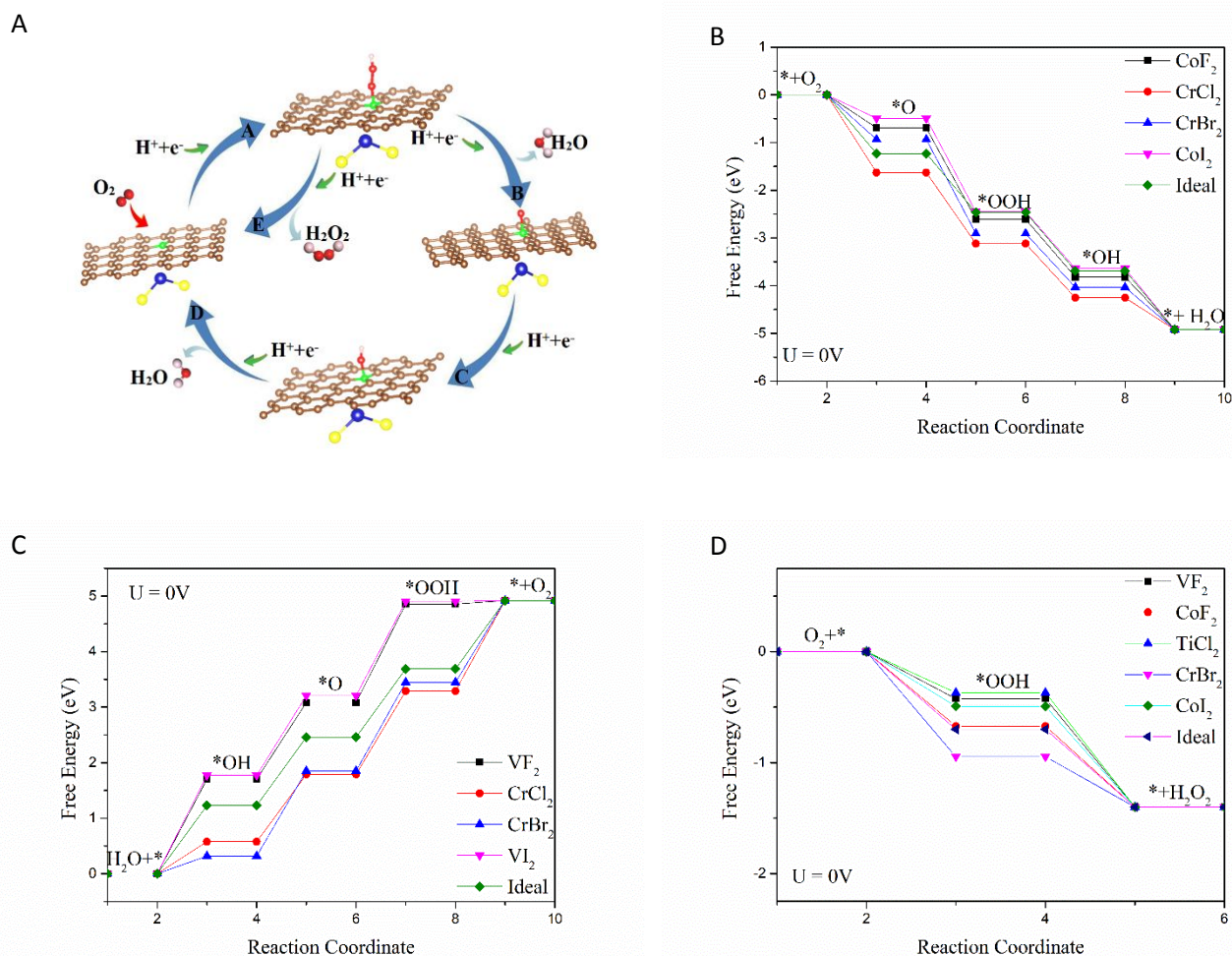


Figure 3. (A) Schematics of ORR cycles on the active site of halide-adsorbed graphene in 4- and 2-electron transfer pathways. The green atom on graphene represents an active carbon site; red and pink balls refer to O, and H, respectively; the molecule under the graphene sheet represents TMX_2 , where blue and yellow balls refer to TM and X. Free energy diagram of representative structures in each group of (B) OER and (C) ORR in 4e-transfer pathways when the electrode is 0V. (D) Free energy diagram of representative structures in each group of 2e-transfer pathways.

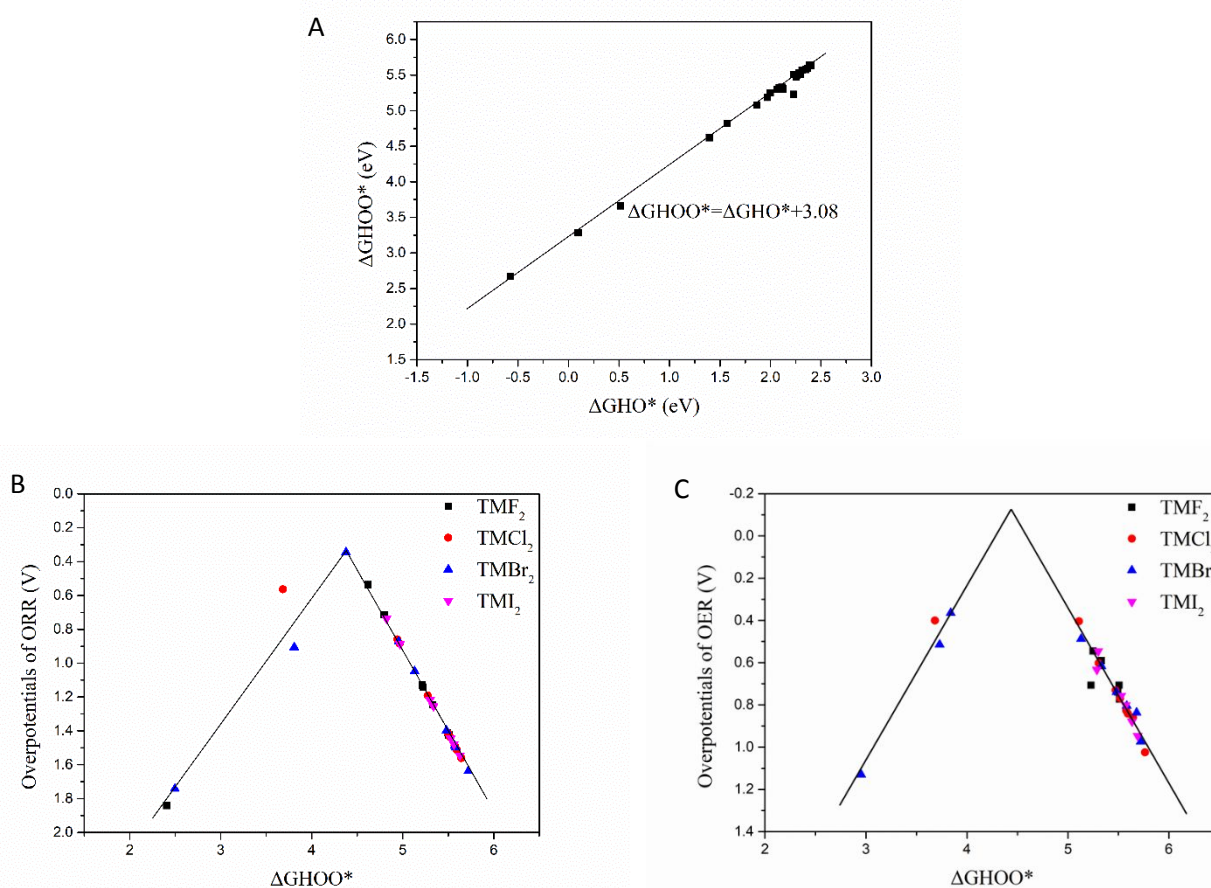
We firstly discuss the 4-electron transfer ORR and OER. Figure 3B-C and Figure S2-S3 show the free energy diagrams of ORR and OER on G/TMX_2 with the lowest overpotentials. For OER, nearly all the G/TMX_2 structures are uphill at an electrode potential of 0V except for G/MnF_2 and $G/MnBr_2$ structures. For most of the G/TMX_2 structures the rate limiting step is the first electron transfer to absorb water molecule to form HO^* , except for G/TMX_2 (G/VF_2 , G/VF_2 , G/VBr_2 , $G/CrBr_2$, $G/CoBr_2$, and G/CoI_2) with their rate limiting step at the third electron transfer (the transformation of O^* to HOO^*). Our results show that the OER free energy change of G/VF_2 , $G/CrCl_2$, $G/CrBr_2$ and G/VI_2

118 are very close to that of the ideal catalyst, indicating that these structures may have better catalytic performance than others in their own
 119 groups. Among all the structures, G/CrBr₂ has the lowest overpotential and may exhibit the best OER catalytic activity among all G/TMX₂
 120 structures. Similarly, for ORR in acid solutions, the rate limiting step is the first electron transfer, O₂* + H⁺ + e⁻ → HOO*, which are consistent
 121 with the previous reports^{34,35}. Among all G/TMX₂ structures, CrBr₂ adsorbed graphene shows the lowest overpotential for ORR and thus is
 122 the best ORR catalyst among them. Overall, CrBr₂ adsorbed graphene performs the best catalytic activity in both OER and ORR process,
 123 indicating that the structure of G/CrBr₂ may be a promising bifunctional OER and ORR catalyst.

124 For 2-electron pathways (Figure 3D and Figure S4), among them, both G/TiX₂ and G/CrX₂ could occur spontaneously, but G/MnX₂ and
 125 G/CuX₂ cannot lead to spontaneous reaction both in 2e- and 4e-transfer pathways. In particular, the overpotentials of G/CoF₂, G/TiCl₂,
 126 G/CrBr₂ and G/CoI₂ are much closer to the ideal catalysts of their own group. These catalysts are better in catalyzing the production of H₂O₂
 127 compared with the other structures in their own groups. Among all the structures, G/CoF₂ is one of the best catalysts (Figure 3dD) for H₂O₂.
 128 Compared with the best Pd catalysts with an overpotentials of 0.08V³⁶, G/CoF₂ is even lower than Pd catalysts, indicating that it could have
 129 better catalytic activity than Pd.

130 3.2 Scaling factor and descriptors for volcano relationship

131 To screen highly active catalysts from the candidate materials, it is necessary to find descriptors that well describe the catalytic activities of
 132 the halide-adsorbed graphene. Figure 4A shows the plots of ΔG_{HOO*} vs ΔG_{HO*} (where ΔG is the free energy of adsorption) for the active sites
 133 with the lowest overpotentials of ORR and OER on G/TMX₂ structures in 4-electron transfer pathways. From Figure 4a, a linear relationship
 134 is expected between ΔG_{HOO*} and ΔG_{HO*}, which can be used to calculate the lower limit of ORR and OER overpotentials of G/TMX₂ structures
 135 are determined to be 0.31V.



136 **Figure 4.** (A) Adsorption energy of OOH* versus that of OH* on G/TMBr₂ for 4-electron transfer pathways. The lowest (B) ORR and (C) OER
 137 overpotentials for G/TMBr₂ versus the absorption energy of OOH* in 4-electron transfer pathways.

To find out the most active sites on the TMX₂ adsorbed graphene, we took ΔG_{HOO^*} as a descriptor to describe the relationship between ΔG_{HOO^*} and the overpotentials. Figure 5B and C shows the overpotentials η^{OER} and η^{ORR} versus descriptor, ΔG_{HOO^*} . The lowest OER and ORR overpotentials for 4-electron transfer pathways are listed in Table S3. As shown in Figure 4B, C, there are volcano relationships and the G/CrBr₂ sits on the top of the volcano (ORR \sim 0.35 V), and also shows the lowest OER overpotentials (OER \sim 0.36V) among the G/TMBr₂. These predicted OER and ORR overpotentials are much lower than those of the noble metal catalysts (OER on RuO₂-rutile is 0.42 V² and ORR on Pt is 0.45V³). Thus, G/CrBr₂ structure are identified as the most effective bifunctional catalyst for both OER and ORR among all the G/TMX₂ structures.

3.3 Intrinsic descriptors and role of electric field induced by buried salts

Although the above descriptor can well predict the activities of the G/TMX₂, it is highly desirable to find an intrinsic descriptor that correlate the G/TMX₂ structures with the catalytic activities. It has been shown that the TM ions carry different charge in different G/TMX₂ structures due to the interactions with the haloid elements and graphene (Table S1 and S3). The TM ions with strongly positive charge could induce an interfacial electric field³⁷, which significantly influences the adsorption of intermediates and thus ORR/OER occurring on the surface of the graphene. We therefore consider the electrostatic force as an intrinsic descriptor to describe the catalytic activities. According to the Coulomb's law, the electrostatic interaction force between TMX₂ and intermediates (F_a) may be related to the charge that the TM carries (Q) and the distance between TM and graphene surface (R). Hence, we defined the new descriptor as

$$F_a = \frac{Qe}{R^2} \quad (4)$$

where e is the charge of an electron.

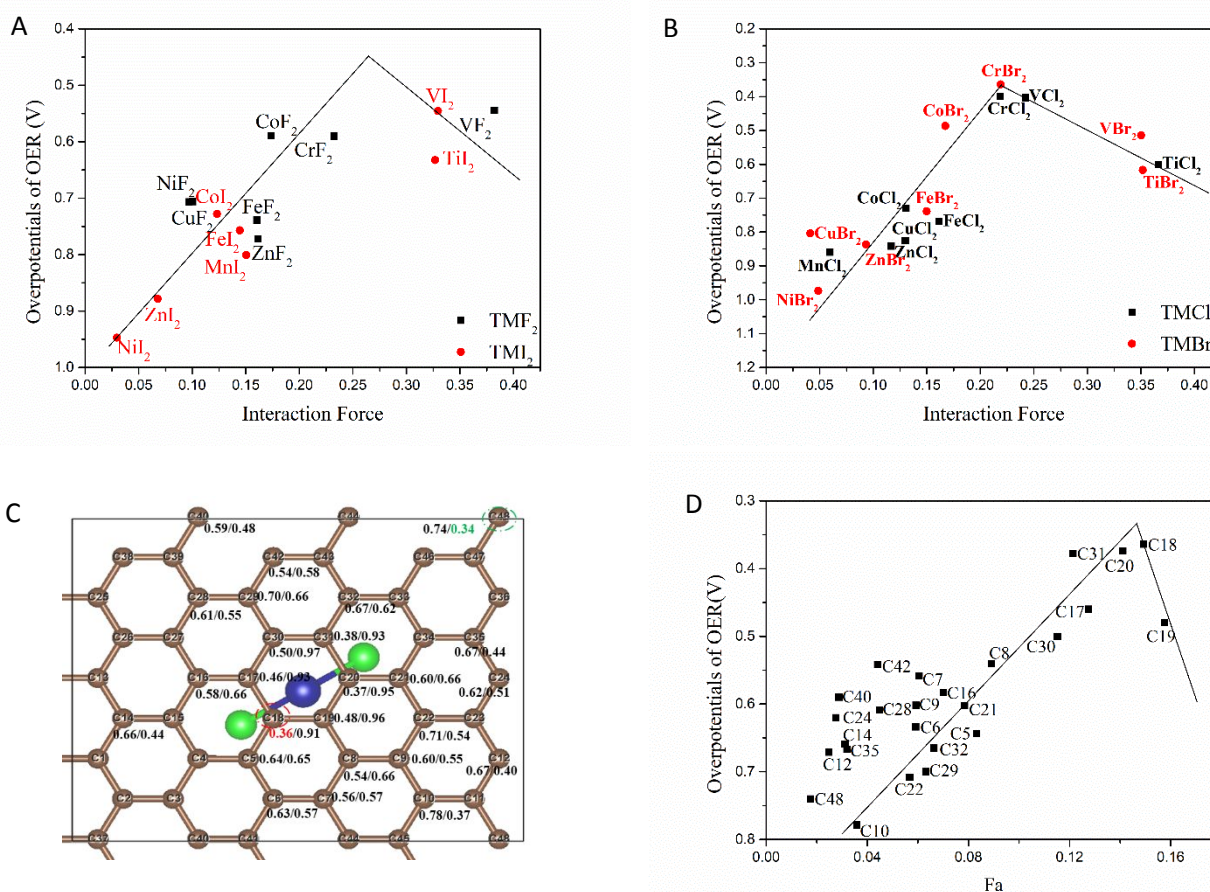


Figure 5. The electrostatic interaction force between TMX₂ and intermediates (F_a) of (A) Group G/TMF₂ and G/TMl₂ and (B) Group G/TMBr₂ and G/TMCl₂ vs overpotentials of OER. (C) Sites at which ORR and OER could occur. The numbers are the values of overpotentials ($\eta^{\text{OER}}/\eta^{\text{ORR}}$); the red numbers and cycles represent the lowest overpotential of OER on this site, and the green numbers and cycles represent the lowest overpotential of ORR on this site, respectively. (D) The overpotentials of OER on catalytic sites near the Cr ion of CrBr₂ as a function of the electrostatic force F_a .

160 The overpotentials were plotted as a function of new descriptor in Figure 5A and B. To more clearly show the relationship for different
 161 G/TMX₂ structures, we divide them into 2 groups according to the adsorption energy of TMX₂ on graphene (Table S7 and Figure S5). One
 162 group includes G/TMF₂ and G/TMI₂ with the adsorption energy around 9eV, and the other consist of G/TMCl₂ and G/TMBr₂ with the
 163 adsorption energy around -0.5eV. There is a volcano relationship between F_a and the overpotentials of OER. At the summit of the volcano
 164 plot is G/CrBr₂, from which the best catalysts are predicted.

165 To get more insight into the role of electrical field in OER, we further calculated the distance between the TM ion and the active sites
 166 (carbon atoms) in G/CrBr₂, the best bifunctional catalyst identified so far. For OER on G/CrBr₂, the relatively low overpotentials locate at C18,
 167 C20 and C31 with overpotentials of 0.36V, 0.37V and 0.38V, respectively. All the sites for ORR and OER are marked in Figure 5C. We measured
 168 the distance (R) from the carbon atoms to TM ion (Cr) of the G/CrBr₂ structure (Table S8). When the distance between Cr and C is less than
 169 4Å, the catalytic activity shows a volcano relationship with Qe/R² (Figure 5D), indicating that the electric field induced by the adsorbed
 170 molecule dominates the OER on the graphene surface. However, when the distance between Cr and C is larger than 4Å, the effect of electrical
 171 field becomes very weak or even disappear. A larger model (G/CrBr₂) with 80 atoms shows the similar trend (Figure S6).

172 Unlike the OER active sites that usually locate near TMX₂, those mostly active sites for ORR are far from the metal ion and therefore do not
 173 form the distinctive volcano relationship with the electrostatic force F_a (Figure S7). To understand this phenomenon, we calculated the
 174 charge density on the graphene structures and found that all the active sites are located in the part with positive charge distribution (Figure
 175 S8), which is consistent with the previous results²¹.

176

177 3.4 Spin-down d band center for determining the catalytic activities

178 For metallic catalysts, d-band center^{38,39} (denoted as ϵ) has been successfully used to describe the catalytic activity of transition metal
 179 catalysts. According to the d-band center model, the higher the d-band center, the stronger the adsorption. However, the adsorption
 180 behavior on some material surfaces cannot be well explained from the d-band center.⁴⁰⁻⁴² Since the d-orbitals' spin states can be divided into
 181 spin-up and spin-down states for 3d transition metals, we take spin-down d-orbitals into consideration. Similarly, we define the spin-down
 182 d-band center (denoted as ϵ_{down}) as a descriptor for the G/TMBr₂. The ϵ_{down} was calculated by

$$183 \quad \epsilon_{\text{down}} = \frac{\int_{-\infty}^{+\infty} x \rho(x) dx}{\int_{-\infty}^{+\infty} x dx} \quad (5)$$

184 where x is the energy of spin-down d-electrons and ρ is the density of state of spin-down d-electrons. The equation of ϵ_{down} is similar to the
 185 calculation method for ϵ , but the difference between them is that the DOS is the spin-down d-DOS. The calculation results show that there
 186 is a nearly proportional relationship between ϵ_{down} and ϵ , as shown in Figure S9. Since the metal ions in halide-adsorbed graphene play an
 187 important role in the catalytic activity, we use ϵ_{down} as a descriptor to describe the relationship between overpotentials and ϵ_{down} . As shown
 188 in Figure 6, the overpotential- ϵ_{down} plot can be divided into two regions: for $\epsilon_{\text{down}} < 0$, there are very large overpotentials for both OER and
 189 ORR, indicating that the catalytic activities are very weak. When $\epsilon_{\text{down}} > 0$, an excellent linearity can be observed between the overpotential
 190 and ϵ_{down} for both OER and ORR. The overpotentials nearly linearly decrease with increasing ϵ_{down} , for $\epsilon_{\text{down}} > 0$, suggesting that the best
 191 catalysts could be found by searching for the materials with the lowest spin-down d-orbitals of TM (Figure S10). A larger ϵ_{down} means that
 192 the most spin down d orbitals are with high level energy, and the materials with larger ϵ_{down} needs less energy to absorb the intermediates
 193 in the catalytic process. Therefore, increasing ϵ_{down} of G/TMX₂ could enhance the bifunctional catalytic activities for both OER and ORR.

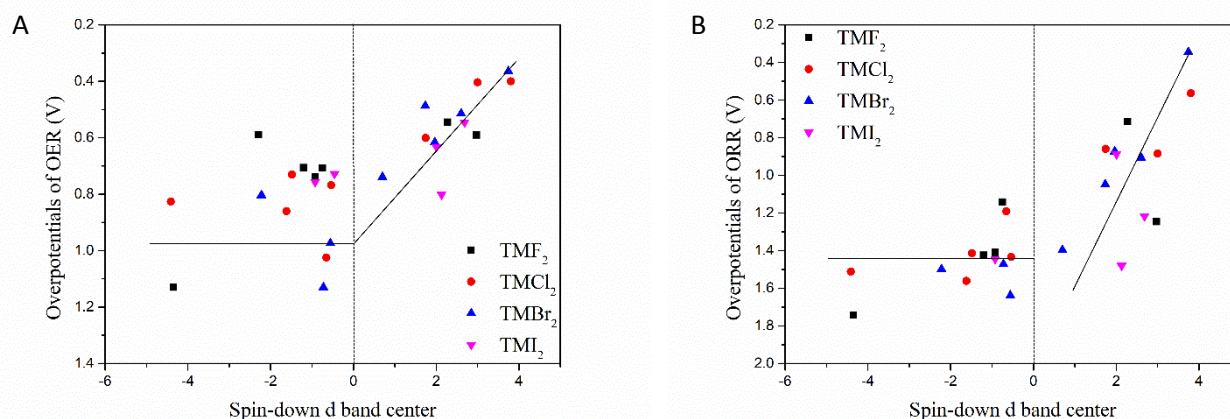


Figure 6. Spin-down d band center ϵ_{down} of the G/TMBr₂ versus the overpotentials of (A) OER and (B) ORR

4. Conclusion

We have calculated the overpotentials of OER and ORR on TMX₂ (TM = Ti, V, Cr, Mn, Fe, Co, Ni, Cu, and Zn; X = F, Cl, Br, I) adsorbed graphene and introduced intrinsic descriptors to describe the catalytic activities of TMX₂ adsorbed graphene. A design principle has been established to describe the relationship between the catalytic activities and the descriptor. The catalytic activity of the G/TMX₂ are also associated with the spin-down d band center. Among the TMX₂ adsorbed graphene structures, G/CrBr₂ is identified to be the best bifunctional electrocatalysts for both OER and ORR with overpotentials of 0.36V and 0.35V in 4-electron pathways, respectively. G/CoF₂ is considered as the best electrocatalysts to facilitate H₂O₂ in 2-electron pathways with overpotentials of 0.03V. The high catalytic activities of the CrBr₂ adsorbed graphene are originated from the synergistic effects of 3d transition metals, halogens adsorbed TMX₂ that leads to the charge redistribution and charge transfer between CrBr₂ and graphene. Our results would provide an approach for rational design of novel hybrid catalysts, which would work effectively and durably in acidic environments.

Conflicts of interest

There are no conflicts to declare.

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