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Identification of the Criegee intermediate reaction network in ethylene ozonolysis: Impact on energy conversion strategies and atmospheric chemistry

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The reaction network of the simplest Criegee Intermediate (CI) CH₂OO has been studied experimentally during the ozonolysis of ethylene. The results provide valuable information about plasma- and ozone-assisted combustion processes and atmospheric aerosol formation. A network of CI reactions was identified, which can be described best by the sequential addition of CI with ethylene, water, formic acid, and other molecules containing hydroxy, aldehyde, and hydroperoxy functional groups. Species resulting from as many as four sequential CI addition reactions were observed, and these species are highly oxygenated oligomers that are known components of secondary organic aerosols in the atmosphere. Insights into these reaction pathways were obtained from a near-atmospheric pressure jet-stirred reactor coupled to a high-resolution molecular-beam mass spectrometer. The mass spectrometer employs single-photon ionization with synchrotron-generated, tunable vacuum-ultraviolet radiation to minimize fragmentation via near-threshold ionization and to observe mass-selected photoionization efficiency (PIE) curves. Species identification is supported by comparisons of the mass-selected, experimentally observed photo-ionization thresholds with theoretical calculations for the ionization energies. A variety of multi-functional peroxide species are identified, including hydroxymethyl hydroperoxide (HOCH₂OOH), hydroperoxymethyl formate (HOCH₂OCHO), methoxymethyl hydroperoxide (CH₃OCH₂OOH), ethoxymethyl hydroperoxide (C₂H₅OCH₂OOH), 2-hydroxyethyl hydroperoxide (HOC₂H₄OOH), dihydroperoxy methane (HOOCH₂OOH), and 1-hydroperoxypropan-2-one [CH₃C(=O)CH₂OOH]. A semi-quantitative analysis of the signal intensities as function of successive CI additions and temperature provides mechanistic insights and valuable information for future modeling work of the associated energy conversion processes and atmospheric chemistry. This work provides further evidence that the CI is a key intermediate in the formation of oligomeric species via the formation of hydroperoxides.

Introduction

Criegee intermediates (CIs) are carbonyl-oxides that play an important role in the oxidation of unsaturated hydrocarbons with ozone. The dominant chemical pathways for these ozonolysis reactions create primary and secondary ozonides which generate a carbonyl-oxide intermediate, whose importance was first investigated by Rudolf Criegee and now bears his name.^{1, 2} The ozonolysis of ethylene, the simplest alkene, involves 1,2,3-trioxolane as the primary ozonide (POZ), 1,2,4-trioxolane as the secondary ozonide (SOZ), and CH₂OO as the CI. In these ozonolysis reactions, the CI is formed vibrationally excited and then can be collisionally stabilized or it will subsequently decompose (into HCO and OH) or isomerize into a 3-membered ring (dioxirane), which further dissociates into H₂O+CO, CO₂+H+H, and H₂+CO₂.

The chemical reactions of CIs are mainly driven by the CI's complex zwitterionic 1,3-bipole character (with a relatively low contribution of the biradical character).³⁻⁵ CIs were found to be important in the atmosphere as intermediates in the ozone-assisted degradation of biogenic hydrocarbons,⁶⁻⁹ as a contributor to the oxidation of atmospheric NO_x and SO_x,¹⁰⁻¹⁴ and as a source of atmospheric OH and possibly of secondary organic aerosols (SOA).¹⁵⁻²² For comprehensive description of the CI's reaction pathways we refer the reader to recently compiled reviews.^{23, 24}

Early experiments to study the CI reactions were hampered by the CI's short lifetime and low concentrations. Nevertheless, Neeb *et al.* explored the dominant reaction pathways of the CI with water, alcohols, and acids.²⁵⁻²⁷ They found that the interactions with water and formic acid especially are many orders of magnitude faster than the initial ozonolysis process, accounting for much of the subsequent hydrocarbon reaction process. Only recently, direct kinetic measurements of CI reactions have become possible through the production of the CI in the laboratory via the CH₂I+O₂ reaction.²⁸ Subsequently, rates were measured for the reaction of CI with alkenes,²⁹ acetone, acetaldehyde, and hexafluoroacetone,³⁰ and H₂O, CH₃CHO, and pollutants such as NO_x and SO_x.¹³

Theoretical calculations have also been employed to explore potential energy surfaces and compute rate constants for many of

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these reactions, including those that are difficult to probe experimentally,^{29, 31-35} such as the Cl self-reaction.³⁶ While the rate constants derived differ depending on the level of theory and the reaction in question, the overall conclusions are the same: Cl reactions are often fast, with low to no activation energy barrier, and Cls readily interact with almost all hydrocarbon species, water, and even ozone, suggesting that an accurate understanding of Cl interactions are an important goal for atmospheric chemistry modeling.

Despite these recent successes in exploring chemical rates of individual Cl reactions and their impact on atmospheric chemistry, the role of the Cl for the formation of secondary organic aerosol (SOA) is still under investigation.^{17, 18, 21, 37-39} Recently, Sakamoto *et al.* observed that oligomeric hydroperoxides composed of CH₂OO chain units, formed in the gas-phase, were incorporated into the particle phase following ethylene ozonolysis.¹⁷ They proposed an oligomerization mechanism that is initiated by the reaction of CH₂OO with hydroperoxide species, although they pointed out that knowledge of the hydroperoxides chemistry in the atmosphere is still lacking.

Ozone reactions with hydrocarbons and the subsequent interactions of the Cls have also recently received significant interest in combustion chemistry studies.⁴⁰⁻⁴⁶ These fundamental investigations are motivated in part by the rise of non-equilibrium plasma-assisted combustion techniques and chemically controlled engine designs such as HCCI (homogenous charge compression ignition). Prerequisites for the development of chemically detailed combustion chemistry models and future engine designs are detailed experimental and theoretical investigations of the reaction network of Cls under combustion-like conditions. As discussed in Ref. [47], and in analogy to atmospheric chemistry, it can be expected that the fast initial ozonolysis reactions generate partially oxidized intermediates, which if not subject to high temperatures, will stabilize and lead to formation of SOAs. However, open questions remain about the initial oxidation mechanism through Cl adduct, this importance at intermediate temperatures, and the relative importance of Cl interactions with fuel, intermediate, and product species.

To expand our knowledge of the Cl reaction network at over a relevant temperature range, we investigated ethylene ozonolysis in laboratory experiments between 300 and 1000 K using a jet-stirred reactor at near-atmospheric pressure with molecular-beam mass spectrometry. In a previous paper,⁴⁶ which focused on the main ethylene ozonolysis pathways and primary product species, we described the detection and identification of the key intermediates such as hydroperoxy-acetaldehyde (HOCH₂CHO, the keto-hydroperoxide in ethylene ozonolysis) in the low-temperature oxidation resulting from ozone-assisted oxidation of ethylene below 600 K. Significant production was observed of oxygenated intermediates, such as formaldehyde (CH₂O), methanol (CH₃OH) and ethanol (CH₃CH₂OH), acetaldehyde (CH₃CHO), hydroxy-acetaldehyde (HOCH₂CHO), hydrogen peroxide (H₂O₂), methyl hydroperoxide (CH₃OOH), ethyl hydroperoxide (CH₃CH₂OOH), formic acid (HCOOH), as well as primary products such as H₂O, CO and CO₂. The formation routes of many of these intermediates are not entirely clear at this point, however the knowledge about their presence is sufficient for the detailed analysis presented here. Due to the thermal decomposition of O₃, ozone-initiated reactions were observed to

cease at temperatures above ~600 K. Smaller concentrations of other oxygenated species were found within the mass spectrum, suggesting the need for further study and understanding of the Cl interactions present.

In this paper, we report the detection and identification of the complex Cl reaction network under these idealized laboratory conditions. Specifically, we observe eleven individual reaction sequences in which the Cl appears to be sequentially inserted into the intermediate species forming oligomeric hydroperoxides. Oligomerization via Cl reaction sequences starting from C₂H₄, water, formic acid, alcohols, hydroperoxides, aldehydes, and the bifunctional hydroxy-acetaldehyde and hydroperoxy-acetaldehyde were identified in the mass spectra. The products of up to four sequential Cl insertion steps were observed, resulting in highly oxygenated oligomeric hydroperoxide species. As described above, the observed highly oxygenated intermediates are possibly important for the formation of tropospheric SOAs.^{17, 20, 48-50} Calculated ionization energies of the first-step intermediates are consistent with the experimentally observed ionization thresholds. The experimental observations are best described as 1,3-cycloadditions reactions of the Cl with C=C and C=O double bonds and as insertion reactions into acidic -OH and -OOH groups.

The results presented here help to elucidate the formation pathways of the oligomers and the importance of them on SOA formation and plasma-assisted combustion processes. While our results are interpreted here to extract qualitative mechanistic insights into this Cl reaction network, these data also provide targets for future quantitative chemical modeling studies to validate detailed atmospheric and ozone-assisted combustion mechanisms.

Experimental and theoretical approaches

Experimental apparatus and procedures

The ozonolysis of ethylene was studied using the Sandia jet-stirred reactor (JSR) that is coupled to a molecular-beam mass spectrometer employing photoionization via synchrotron-generated vacuum-ultraviolet (VUV) radiation.^{51, 52} The experimental details and procedures were identical to the experiments described in Ref. [46] and are not repeated here extensively. In short, the JSR is a 33.5 cm³ fused silica sphere with four injector nozzles located near the center of the JSR. Gas flows through these nozzles (with an inner diameter of ~1 mm) created stirring gas jets which resulted in a homogenous – both in temperature and species composition – mixture within the JSR for analysis of steady state processes. Upstream of the reactor itself, calibrated MKS mass flow controllers were used to regulate concentric O₂/O₃ and ethylene streams, both diluted by argon, which mix just prior to entering the injectors.

The entire assembly was housed in a stainless-steel pressure vessel with water cooling. Temperatures were measured by thermocouple (K-Type, Thermocoax) just outside the reactor and at the sampling location. The exhaust gases were removed continuously for constant temperature and pressure operation. For ozone production, a fraction of the oxidizer stream was fed through an ozone generator (Oriol) and a 70-cm quartz absorption cell prior to the JSR assembly. A helium-neon UV calibration lamp was used

along with an Ocean Optics spectrometer for O₃ concentration measurements at 312.57 nm. Using the known ozone absorption cross-sections,⁵³ the ozone concentration as a function of oxygen flow rates through the generator was calibrated and continuously monitored during our experiments.

By enclosing the reactor in an oven and performing experiments through a range of temperatures, the temperature dependence of species concentrations was measured, which allows for chemical insights and 0-D modeling of the underlying chemical reaction pathways. In this experiment, the reactor was operated from 300–1000 K, at a constant pressure of 700 Torr. A nominal residence time of $\tau = 1.3$ s, stoichiometry of $\phi = 0.5$ with 86% argon dilution (2% ethylene, 12% O₂, 86% Ar mole fraction) and ozone concentration of 1000 ppm was maintained for all experimental conditions. Gas mixture flow rates were adjusted to maintain this residence time with increasing temperature for all cases, and pre-corrected to account for temperature shift from the probe from previous studies.^{51, 52, 54, 55}

At the exit to the JSR, the reaction intermediates and products were sampled through a quartz nozzle with a 40° cone angle and a ~50 μm tip diameter into a custom, time-of-flight, reflectron molecular beam mass spectrometer.^{51, 52, 56, 57} The MBMS has a sensitivity of ~1 ppm, a large dynamic range, and a mass resolution of $m/\Delta m \sim 4000$. Photo-ionization of the molecular beam occurs through crossing of a synchrotron-generated VUV photon beam (10^{14} photons/s) at Terminal 3 of the Chemical Dynamics Beamline of the Advanced Light Source at Lawrence Berkeley National Laboratory (LBNL). The photon beam has high tunability and a resolution of $E/\Delta E$ (fwhm) ~250–400 in the region from 7.4 to 30 eV for isomer specificity and near threshold ionization to reduce fragmentation.⁵⁸

To obtain quantitative insights, mass spectra covering the temperature range from 300–1000 K were taken at photon energies of 9.5, 10.0, 10.5, 11.0, 12.3, 14.35, 16.2 and 16.65 eV. Using routines described previously,^{52, 59} this extensive set of photon energies has been proven to be useful for converting the respective mass spectra into temperature-dependent concentration profiles because it enables near threshold ionization measurements of species concentration.

A typical mass spectrum from $m/z = 50$ to 180 is shown in Fig. 1. This mass spectrum was obtained at 333 K and a photon energy of 11.0 eV. Groups of peaks containing 4 to 11 heavy atoms (carbon and oxygen atoms) are marked. The grouped species are generally highly oxygenized, containing up to 7 oxygen atoms.

For the analysis of the complex reaction network of the CI, a high-resolution mass spectrometer is indispensable. It is shown in Fig. 2 that in this experiment, the resolution is sufficient to distinguish between species of the same nominal mass but different numbers of C and O atoms. Shown are the peaks of the nominal masses at 74, 92, and 138, which, based on their flight time, were identified as C₂H₂O₃ ($m/z = 74.000$) + C₃H₆O₂ (74.037), C₂H₄O₄ (92.011) + C₃H₈O₃ (92.047), and C₂H₂O₇ (137.980) + C₃H₆O₆ (138.016) + C₄H₁₀O₅ (138.053). While it is discussed in this paper that most of these peaks can be attributed to insertion reactions of the CI (CH₂OO), the identity or origin of the observed C₂H₂O₇ is not obvious and shall not be further explored here.

To help with the identification of multiple repetitive reaction sequences, mass-selective PIE curves were recorded through

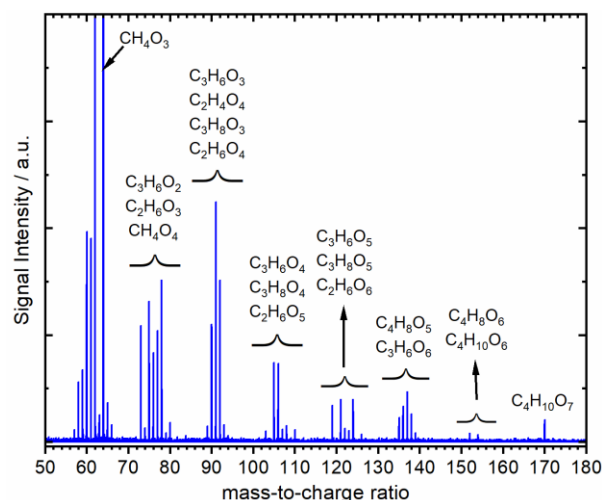


Figure 1: Typical JSR-sampled mass spectrum obtained from the ethylene ozonolysis reaction network at 333 K.

scanning of the photon energy from 9.0 to 11.0 eV in 0.05 eV steps at a constant reactor temperature. This step size has been proven sufficient for many species identification studies as it is near the above-mentioned width of the energy distribution of the ionizing photons and the accuracy of the theoretical calculations as described below.^{60–62} The observed ionization thresholds were compared with theoretical calculations of ionization energies of the initial CI addition product. It should be kept in mind, that, although this combination of theory and experiment has been proven to be exceptionally powerful for isomeric identification and quantification in reactive systems, limitations are reached for molecules containing more than seven heavy atoms.^{56, 57} More details will be provided in the “Species identification” section.

Beyond identification of the intermediates, their quantification is an important step to provide targets for model development and validation. To convert these mass spectra into absolute mole fractions of the individual species, previously developed routines are applied,⁵² which are based on the fact that the signal count S at a given m/z ratio and photoionization energy is related to the mole fraction x_i using the following equation:

$$S_i(E, T) = x_i(T) \times \varphi(E) \times SW \times D(M_i) \times c \times \text{FKT}(T) \times \sigma_i(E) \quad (\text{E1})$$

Where $\varphi(E)$ is the number of photons (measured using a calibrated photodiode), SW is the number of scans, $D(M_i)$ is the mass discrimination factor for species i , and c is an instrument factor. FKT is a sampling function dependent on the temperature, which can be determined (as $c \times \text{FKT}$) assuming the concentration of argon is known. $\sigma_i(E)$ is the absolute photoionization cross section, which is both molecule and energy dependent.

Unfortunately, the exact photoionization cross sections for the species studied here are unknown, as they are often not stable enough for known concentrations to be experimentally measurable. Earlier theoretical work has shown that for hydroperoxide species, which are in the focus of this work, the cross section is in the order of ~7 Mb at 0.5 eV above the ionization threshold.⁵² However, considering that the species in question have upwards of 5 or 6 heavy atoms, we expect the uncertainty of the theoretically calculated

photoionization cross sections to be very large and therefore of chemical pathways were calculated, and these results are used to

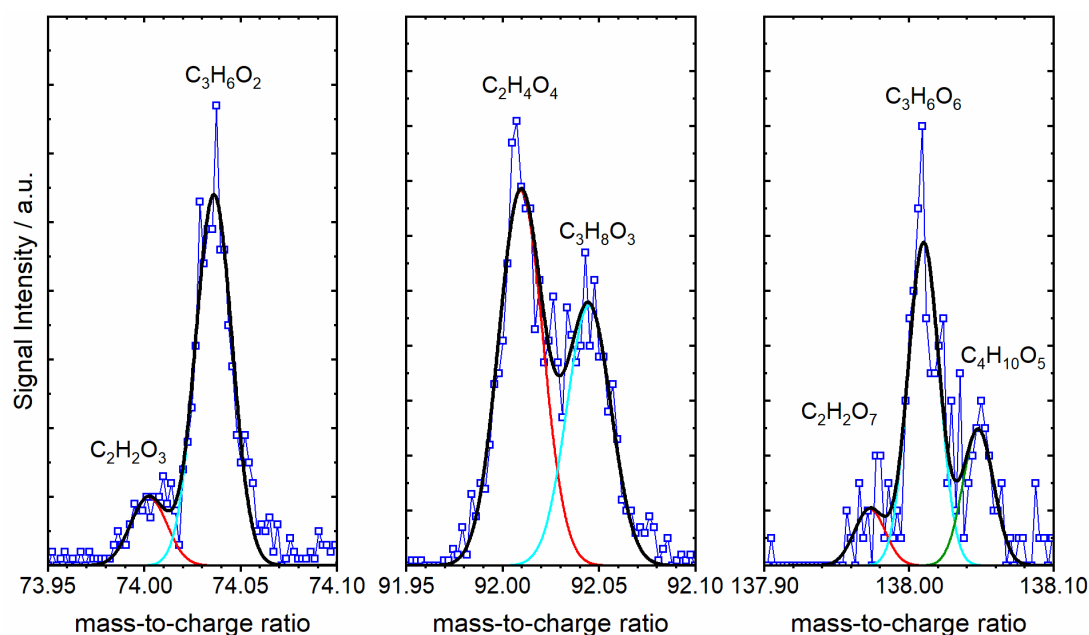


Figure 2: High-resolution mass spectrum of ethylene ozonolysis in a JSR. The mass spectra reveal the presence of highly oxygenated species. Left: Signal related to $C_2H_2O_3$ and $C_3H_6O_2$. Middle: Detection of $C_2H_4O_4$ and $C_3H_8O_3$. Right: Peaks at the nominal m/z ratio of 138 are assigned to $C_2H_2O_7$, $C_3H_6O_6$, and $C_4H_{10}O_5$.

questionable usefulness.

Instead, we report here only the $x_i(T) \times \sigma_i(E)$ product. Once an accurate $\sigma_i(E)$ becomes available, the results can instantaneously be converted into mole fraction profiles. The $x_i(T) \times \sigma_i(E)$ product is conservatively estimated to be good within $\pm 20\%$ due to cumulative uncertainties in photodiode measurement, mass discrimination factor, and argon concentration. Because it is expected that all cross sections fall within the same order of magnitude, these reported weighted “mole fractions” can still be used to judge relative production with respect to each other. Absolute concentrations are also not important to support our conclusions on species formation pathways and relative reaction rates.

Species identification

As demonstrated previously, calculated ionization energies and experimentally measured photo-ionization energy (PIE) curves, when available, can provide unambiguous structural assignments at specific m/z ratios.^{57, 60, 62, 63} This approach was used successfully to study reactive mixtures,^{56, 57, 64, 65} but it is increasingly difficult to apply to large species with lengthy chains, such as the adducts of interest here that are formed via successive CI additions. Here we limit our most detailed ionization energy calculations to species formed via the first CI addition step of the various CI addition sequences. Selected PIE curves for intermediates formed from two sequential addition reactions are shown in the Supplemental Material.

In this work (for only the first addition reaction), several isomers were considered for each m/z , but a comprehensive study of all conceivable isomers and definitive assignments was not pursued. Instead, ionization energies of certain isomers based on proposed

confirm the consistency of the proposed chemistry with the experimentally observed ionization thresholds.

Isomer assignments based on observed ionization thresholds are particularly difficult for large linear systems due to increased fragmentation and multiple low-lying conformers with broad Franck–Condon overlaps and widely varying “local” ionization energies, as discussed in Moshhammer *et al.*⁵¹ Due to these complications, experimental ionization thresholds are typically much less sharp for large species than for small species and can in fact extend over many tenths of an eV. It is therefore often difficult to determine precise ionization energies, both experimentally and theoretically and to distinguish between isomers with broad overlapping threshold regions.^{56, 57} Coupled with low concentrations and weak PIE signals, the unambiguous assignment of some of the larger molecular structures in the present experiments was not always possible. Nevertheless, this procedure, along with a priori chemical knowledge of the possible chemical pathways, allows for some discrimination of competing mechanisms even when definitive assignments are not possible.

To address some of the computational challenges described above, an automated strategy has been implemented to systematically explore conformeric structures and to compute up to $\sim N_{\text{torsion}}^3$ locally adiabatic ionization energies⁵¹ for the systems of interest here, where N_{torsion} is the number of rotatable bonds. The cheminformatics tool, Open Babel,^{66, 67} was used to generate initial geometry guesses from the chemical structure. Candidate conformers were then generated by spinning all rotatable bonds by fixed step sizes. A step size of 120° was used for torsions with sp^3 hybridization for both central atoms (the majority of cases), and 60° step sizes were used otherwise (e.g., for torsions where one of the

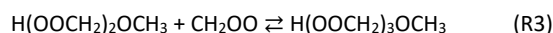
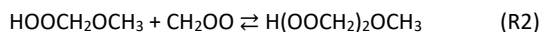
rotatable groups is the $-\text{CHO}$ group). Each candidate structure was minimized first using Open Babel's automated conformer generating strategy "confab" and then using M06-2X/cc-pVDZ. The structures were checked for duplicates, including mirror images, and higher-level ionization energies were computed for the unique conformers using the $\sim\text{CCSD(T)/CBS//M06-2X/cc-pVTZ}$ level of theory, where $\sim\text{CCSD(T)/CBS}$ indicates that the complete basis set limit correction to CCSD(T)/cc-pVTZ was estimated using MP2 and the cc-pVTZ and cc-pVQZ basis sets, as employed elsewhere.^{46, 51} The locally adiabatic ionization energy was computed for each conformer. Often, the locally adiabatic ionization energies are in close agreement with one another and with the global adiabatic ionization energy, and unless otherwise indicated the values given below are the locally adiabatic ionization energy for the lowest-energy conformer. The consideration of higher-energy conformers is sometimes useful for interpreting the observed PIE curves, as noted below. As discussed and demonstrated in previous publications,^{56, 57, 60-62} calculated adiabatic ionization energies are often good predictors of the experimental ionization thresholds and are thus typically sufficient for species identification. Occasionally, poor Franck-Condon overlaps between the ground-state and ionized species can preclude a sensitive detection of the ionization threshold and thus complicate these assignments. This complication will be noted below when it is suspected.

An uncertainty of ± 0.1 eV is assigned to the calculated ionization energies as indicated in the respective figures as gray boxes.

Results and discussion

The mass spectra obtained via ozonolysis of ethylene can be largely interpreted as the result of consecutive additions of Cl to typical small-molecule combustion intermediates and products. Together

with evidence presented in the literature,^{17, 26} the results discussed here suggest that such oligomerization reaction sequences can lead to highly oxygenated adducts. An example is shown in Fig. 3 for the sequential Cl addition starting from methanol (CH_3OH), leading eventually to $\text{C}_4\text{H}_{10}\text{O}_7$, possibly via three Cl additions:



Although it is discussed further below that the molecular structures of the reaction products of R1-R3 cannot be unambiguously assigned, the repetitive features of the mass spectra support the interpretation of sequential addition reactions driven by the insertion and addition of Cls.

Ethylene, water, and several alcohols, hydroperoxides, aldehydes, and acids are identified in this work as the base molecules for multiple Cl addition reactions. In addition, multi-functional molecules containing aldehyde and $-\text{OH}$ or $-\text{OOH}$ functional groups can act as starting molecules for Cl insertion reactions.

In this Section, evidence for this Cl oligomerization reaction network is provided first via the repetitive nature of the mass spectra and the consistency between the experimentally observed photoionization thresholds and the theoretically calculated values for the initial intermediate of the individual addition sequence. An attempt to identify the chemical structures of the products of the second, third, and fourth addition of a Criegee Intermediate was not made. The reasons, aside from the difficulty in computation discussed above, are mostly due to the insufficient signal-to-noise ratio for the heavy intermediates, the large number of conceivable

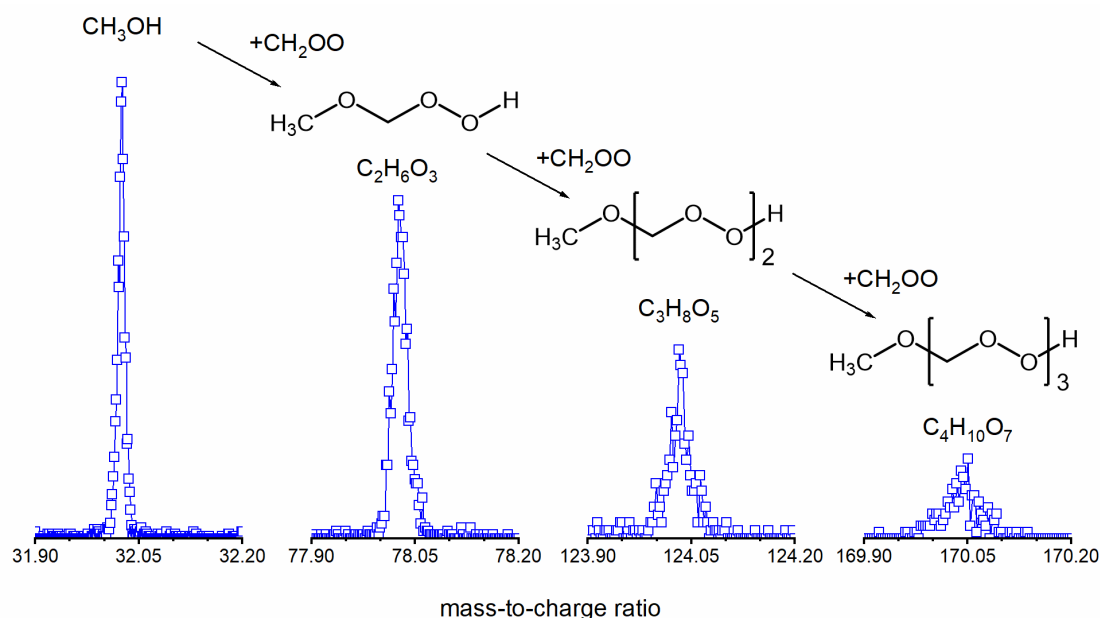


Figure 3: Identification of sequential addition Cl addition reactions in the JSR-sampled mass spectra following ethylene ozonolysis. Although other isomeric structures are conceivable beyond the ones indicated, these addition reactions lead to highly oxygenated oligomeric peroxides with the Cl as the chain unit. See text for details.

isomers which makes an isomeric identification unfeasible, and theoretical efforts that become too demanding.⁵⁷ Nevertheless, the presented interpretation of the mass spectra with a focus on possible formation pathways of the oligomeric highly oxygenated molecules seem plausible and consistent with the literature. To guide the discussion, Table 1 summarizes the intermediates that were detected in this work together with their names as used in this contribution, and conceivable molecular structures and calculated and observed ionization thresholds.

Furthermore, this Section contains a critical assessment of the observed signal intensities and provides further mechanistic insights with respect to SOA formation. The temperature dependencies of the mole fraction profiles of these intermediates are also discussed.

Reaction of the CI with ethylene and subsequent addition reactions

Evidence for the addition of a CI to an olefin was first reported by Story and Burgess.⁶⁸ Such reactions belong to the class of 1,3-dipolar

Parent Species	#	Name of the CI Adduct as used in this paper	<i>m/z</i>	Chemical Formula	Conceivable CI Adduct Structure	Calc. IE	Exp. IE	Possible Reactants (+ CH ₂ O)		
C ₂ H ₄	1	3-hydroxypropanal (3HP) allyl hydroperoxide (AHP)	74.037	C ₃ H ₆ O ₂	HOCH ₂ CH ₂ CHO C ₂ H ₃ CH ₂ OOH	9.87 9.59	9.90 9.55	C ₂ H ₄		
	2		120.042	C ₄ H ₈ O ₄	C ₃ H ₅ OOCH ₂ OOH HOCH ₂ OCH ₂ CH ₂ CHO		9.55 9.55	C ₂ H ₃ CH ₂ OOH HOCH ₂ CH ₂ CHO		
	3		166.048	C ₅ H ₁₀ O ₆	C ₃ H ₅ OO(CH ₂ O) ₂ H H(OOCH ₂) ₂ OCH ₂ CH ₂ CHO			C ₃ H ₅ OOCH ₂ OOH HOCH ₂ OCH ₂ CH ₂ CHO		
	4		212.053	C ₆ H ₁₂ O ₈	C ₃ H ₅ OO(CH ₂ O) ₃ H H(OOCH ₂) ₃ OCH ₂ CH ₂ CHO			C ₃ H ₅ OO(CH ₂ O) ₂ H H(OOCH ₂) ₂ OCH ₂ CH ₂ CHO		
H ₂ O	1	hydroxymethyl hydroperoxide (HMHP)	64.016	CH ₄ O ₃	HOCH ₂ OH	9.83	9.85	H ₂ O		
	2		110.022	C ₂ H ₆ O ₅	HOCH ₂ OCH ₂ OOH HOCH ₂ OOCH ₂ OOH		10.05	HOCH ₂ OH		
HCOOH	1	hydroperoxymethyl formate (HPMF)	92.011	C ₂ H ₄ O ₄	HOCH ₂ OCHO			HCOOH		
CH ₃ OH	1	methoxymethyl hydroperoxide (MMHP)	78.032	C ₂ H ₆ O ₃	HOCH ₂ OCH ₃	9.56	9.58	CH ₃ OH		
		2-hydroxyethyl hydroperoxide (HEHP)			HOCH ₂ CH ₂ OOH	9.71	9.70	HOCH ₂ CH ₂ OO + HO ₂		
	2		124.037	C ₃ H ₈ O ₅	H(OOCH ₂) ₂ OCH ₃		9.75	HOCH ₂ OCH ₃		
	3		170.043	C ₄ H ₁₀ O ₇	H(OOCH ₂) ₃ OCH ₃			H(OOCH ₂) ₂ OCH ₃		
4		216.048	C ₅ H ₁₂ O ₉	H(OOCH ₂) ₄ OCH ₃			H(OOCH ₂) ₃ OCH ₃			
C ₂ H ₅ OH	1	ethoxymethyl hydroperoxide	92.047	C ₃ H ₈ O ₃	HOCH ₂ OCH ₂ CH ₃	9.44	9.47	CH ₃ CH ₂ OH		
	2		138.053	C ₄ H ₁₀ O ₅	HOCH ₂ OOCH ₂ OCH ₂ CH ₃			HOCH ₂ OCH ₂ CH ₃		
CH ₃ CHO	1	1-hydroperoxypropan-2-one	90.032	C ₃ H ₆ O ₃	CH ₃ C(=O)CH ₂ OOH	9.57	9.62	CH ₃ CHO		
	2		136.037	C ₄ H ₈ O ₅			9.50			
	3		182.043	C ₅ H ₁₀ O ₇						
H ₂ O ₂	1	dihydroperoxy methane (DHMP)	80.011	CH ₄ O ₄	HOCH ₂ OOH	9.89	9.85	H ₂ O ₂		
	2		126.016	C ₂ H ₆ O ₆	HOO(CH ₂ O) ₂ H			HOCH ₂ OO		
	3		172.022	C ₃ H ₈ O ₈	HOO(CH ₂ O) ₃ H			HOO(CH ₂ O) ₂ H		
CH ₃ OOH	1		94.027	C ₂ H ₆ O ₄	CH ₃ O(-O)CH ₂ OOH	9.72	9.77	CH ₃ OOH		
	1				HOCH ₂ OCH ₂ OOH	9.66				
C ₂ H ₅ OOH	1		108.042	C ₃ H ₈ O ₄	C ₂ H ₅ (-O)CH ₂ OOH	9.55	9.52	C ₂ H ₅ OOH		
	2				154.048	C ₄ H ₁₀ O ₆			HOC ₂ H ₄ OCH ₂ OOH	9.57
	3				200.053	C ₅ H ₁₂ O ₈				
HOCH ₂ CHO	1		106.027	C ₃ H ₆ O ₄	HC(=O)OCH(OH)CH ₂ OH	9.83	9.93	HOCH ₂ CHO		
	2				152.032	C ₄ H ₈ O ₆			HOCH ₂ C(=O)OCH ₂ OH	9.99
HOOCH ₂ CHO	1		122.022	C ₃ H ₆ O ₅			9.93	HOOCH ₂ CHO		
	2		168.027	C ₄ H ₈ O ₇						
	3		214.032	C ₅ H ₁₀ O ₉						

Table 1: List of detected intermediate structures, identified intermediates, and their conceivable structures and reaction pathways.

cycloaddition in which a five-membered transition state is formed. Because of the 1,3-bipolar structure of the CI, which is similar to the zwitterionic structure of ozone, the reaction of ethylene with the CI can be expected to be similar to the ozonolysis reaction.^{36, 69} Crehuet *et al.*⁶⁹ and Lan *et al.*⁷⁰ have shown that the CI addition reactions have lower barriers than the analogous ozonolysis reactions. According to Vereecken *et al.*,³⁶ the olefin + CI reaction leads to a cyclic peroxide (*cycl.*-CH₂CH₂CH₂OO-), which is significantly more stable than the corresponding primary ozonide (POZ, 1,2,3-trioxolane) formed in the ozonolysis. The reaction of the CI (CH₂OO) with ethylene was studied by Buras *et al.*,²⁹ who provided experimental and theoretically predicted rate coefficients for the C₂H₄ + CH₂OO reaction. While rate constants were measured by observing the consumption of the CI, the identification of the product channels was not attempted in their work.

The JSR-sampled mass spectra contain a peak at $m/z = 74.037$ (C₃H₆O₂) that points towards a reaction of C₂H₄ with the CI. The corresponding PIE curve at 400 K is shown in Fig. 4, exhibiting an ionization threshold near 9.55 (± 0.05) eV and a sharper rise near 9.90 (± 0.05) eV. While the first threshold is close to the detection limit, indicating only a small concentration of the respective intermediate, the second threshold near 9.90 eV is clearly visible.

According to previous work,³⁶ the direct CH₂OO addition to ethylene results in a ring formation to 1,2-dioxolane (*cycl.*-CH₂CH₂CH₂OO-). However, the calculated ionization energy of this isomer is 9.09 eV and does not match the experimentally observed threshold. Significant formation of this cyclic product under our conditions can therefore be excluded.

It was shown by Pfeifle *et al.* that the primary ozonide can undergo ring opening via a diradical structure to form a keto-hydroperoxide,⁷¹ an analogous reaction seems conceivable for the 1,2-dioxolane as well, resulting in 3-hydroxypropanal (3HP, HOCH₂CH₂CHO). Our calculated ionization energy for 3HP is 9.87 eV, which matches the higher-energy feature around 9.90 eV seen in Fig. 4.

The decomposition of 1,2-dioxolane to form allyl hydroperoxide (AHP, CH₂CHCH₂OOH) was also considered. Olefinic hydroperoxides, molecules with a C=C double bond and a hydroperoxide group, have been quite elusive and only recently such compounds were identified as important intermediates in low-temperature oxidation chemistry of hydrocarbons.⁷²⁻⁷⁴ Nine conformers of allyl hydroperoxide were included in the ionization energy calculation, and the adiabatic ionization energy was determined to be 9.59 eV. This IE corresponds well with the experimental threshold observed at 9.55 eV. Overall, the experimental data is consistent with the presence of AHP, with contributions from 3HP that cannot be ruled out.

Beyond the first adduct at $m/z = 74.037$ (C₃H₆O₂), additional reaction intermediates from sequential additions of the CI have been detected at $m/z = 120.042$ (C₄H₈O₄), 166.048 (C₅H₁₀O₆), and 212.053 (C₆H₁₂O₈). With the experimental data being consistent with the first intermediate of the CI addition sequence being the olefinic allyl hydroperoxide and/or the 3-hydroxypropanal, the observed reaction sequence(s) can then be described best as formation of oligomeric hydroperoxides through addition to the hydroxy or hydroperoxy group or the aldehyde function:

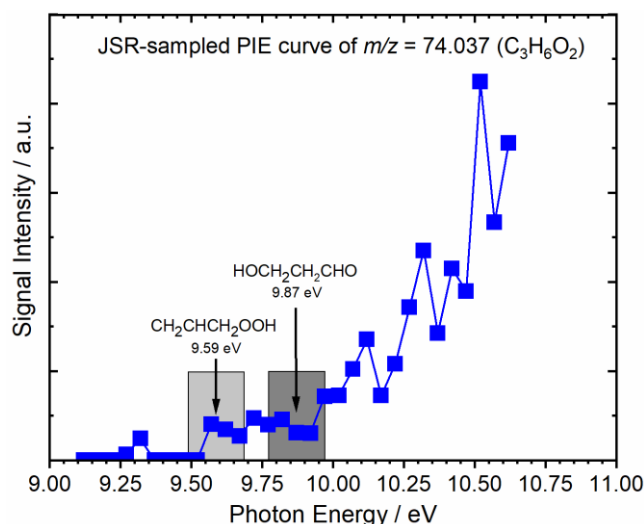
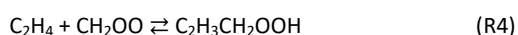
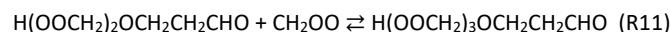
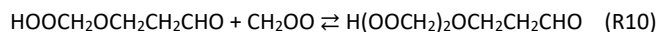
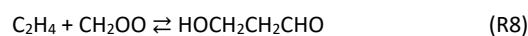
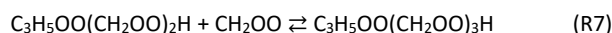
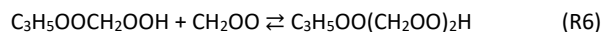
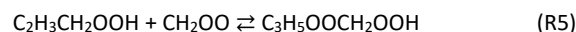


Figure 4: The observed JSR-sampled photoionization efficiency curve of $m/z = 74.037$ (C₃H₆O₂) after ethylene ozonolysis is consistent with the calculated ionization energies of olefinic allylhydroperoxide (CH₂CHCH₂OOH) and 3-hydroxypropanal (HOCH₂CH₂CHO).

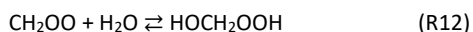


Allyl hydroperoxide can also react with the CI via a 1,3-dipolar cycloaddition to the C=C double bond leading to five-membered dioxolane-type ring structures which can undergo ring-opening to form complex C₄H₈O₄ isomers. Reactions of the CIs with the -OOH and -OH functionalities are discussed further below. The feasibility of such insertion reactions and their role towards the formation of the oligomeric hydroperoxides in SOA have also been discussed in the literature.^{17, 75, 76} Reactions of the CI with the aldehyde function (as in 3HP) are likely to proceed via a secondary ozonide and will be discussed further below.

For reasons described above, a definitive identification of the isomeric compositions of the heavy reaction products of reactions R5-R7 and R9-R11 was not attempted here. Instead, we note that within this series, species concentrations decrease with increasing number of CH₂OO additions. A more detailed analysis of the signal intensities is provided at the end of the "Results and discussion" section.

Reaction of the Cl with water and subsequent addition reactions

The formation of oligomeric hydroperoxides with the Cl as the chain unit were also observed starting from the interactions of the Cl with water. It has been previously reported that the Cl can react with water to form hydroxymethyl hydroperoxide (HMHP):^{15, 27, 33, 77, 78}



The PIE curve for CH_4O_3 ($m/z = 64.016$) at 400 K is shown in Fig. 5.

The main contribution to the signal matches very well with the previous data from Moshhammer *et al.*,⁵¹ who observed a strong peak at CH_4O_3 in the low-temperature oxidation of dimethyl ether and assigned it to a fragment from dissociative ionization of the ketohydroperoxide hydroperoxymethyl formate (HPMF, $\text{HOOCH}_2\text{OCHO}$). It is discussed in the next Section that in this study, HPMF is likely to be the product of the well-known reaction of the Cl with formic acid. Therefore, the main feature of the PIE curve of Fig. 5 suggests that CH_4O_3 is a fragment of the HPMF cation ($\text{HOOCH}_2\text{OCHO}^+ \rightarrow \text{HOOCH}_2\text{OH}^+ + \text{CO}$), at a calculated fragment appearance energy of $\text{AE} = 10.07$ eV,⁵¹ which matches the observed appearance energy of 10.05 eV.

However, the inset in Fig. 5 reveals an additional feature in the ethylene ozonolysis experiments. Based on the excellent match between the experimentally observed and calculated ionization energy for the hydroxymethyl hydroperoxide (HOCH_2OOH , HMHP), this additional feature in the PIE curve is consistent with the presence of the bifunctional HMHP which most likely results from reaction R12.

Lin *et al.* used *ab initio* methods to calculate the potential energy of reaction R12.⁷⁹ Based on their methods, a barrier of ~ 3 kcal/mol was determined which may indicate the limited importance compared to other Cl reactions, which typically have submerged barriers. However, there is sufficient uncertainty in these calculations that lower barriers and fast atmospheric-temperature

rates cannot be ruled out. The HMHP from reaction R12 is the starting point for an additional Cl insertion reaction leading to an intermediate at $m/z = 110.022$ ($\text{C}_2\text{H}_6\text{O}_5$). It is not known whether the Cl inserts into the OH of the hydroxy or hydroperoxy group of the HMHP and thus two different reaction products are conceivable:



An attempt to identify the structure of the $\text{C}_2\text{H}_6\text{O}_5$ intermediate was not made. However, given the rate expressions for reactions of alcohols and hydroperoxides with the Cl,^{75, 76} reactions of the alcohol functions are more likely, thus the formation of the dihydroperoxide ether $\text{HOOCH}_2\text{OCH}_2\text{OOH}$ (reaction R14) should be preferred over R13. In principle, oligomerization can continue via the reaction of $\text{HOOCH}_2\text{OCH}_2\text{OOH}$ and/or $\text{HOCH}_2\text{OOCH}_2\text{OOH}$ with Cl, but the products of such addition reactions were not detected under the current experimental conditions. This is likely due to species concentrations decreasing and signal intensities dropping below our detection threshold. This trend is discussed in more detail at the end of the "Results and discussion" section.

Reaction of the Cl with formic acid: Formation of hydroperoxymethyl formate (HPMF) and subsequent addition reactions and subsequent addition reactions

A third reaction sequence starts from the reaction of the Cl with formic acid. The first step of this reaction is well known to lead to the hydroperoxymethyl formate (HPMF, $\text{HOOCH}_2\text{OCHO}$):²⁵⁻²⁷



Formic acid has been shown in our previous work and in the literature to be present in ethylene ozonolysis and its formation is well understood.^{25, 27, 46} Significant HPMF formation is seen in the ethylene ozonolysis system, along with subsequent Cl adducts. The identity of the HPMF is tentatively confirmed through the observation of the corresponding CH_4O_3 fragment at $m/z = 64.016$ (see Fig. 5). The detailed analysis of this PIE curve at $m/z = 92.011$ ($\text{C}_2\text{H}_4\text{O}_4$) and associated isomeric formation, however, will be the subject of future work, as it directly ties into key aerosol formation in atmospheric measurements. In the interest of completeness for the ethylene reaction system in this work, however, it is clearly present and follows previous experimental results which confirms its identity and importance.

Reaction of the Cl with alcohols and subsequent addition reactions

Our previous results⁴⁶ indicated significant formation of methanol (CH_3OH) and ethanol ($\text{C}_2\text{H}_5\text{OH}$) in the ozone-assisted oxidation of ethylene in the low-temperature regime and it is now understood that the Cl can insert itself in the OH alcohol function.^{35, 76} Consecutive addition reactions of the Cl with methanol and ethanol were observed in this study.

Methanol is a known scavenger for the Cl, that is, the reactions of CH_3OH and the Cl are very fast. The kinetics of alcohol+Cl reactions

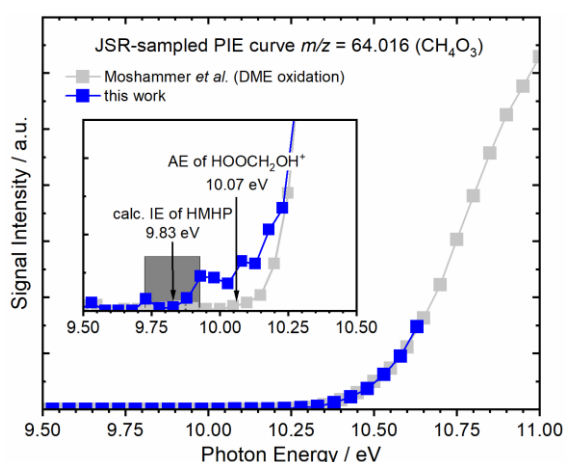
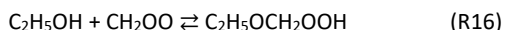


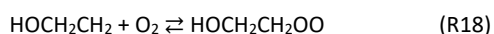
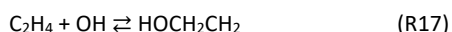
Figure 5: JSR-sampled PIE curve of $m/z = 64.016$ (CH_4O_3) during ethylene ozonolysis. The main feature can be identified as a fragment of hydroperoxymethyl formate (HPMF), and the additional feature starting at 9.85 eV is due to the presence of hydroxymethyl hydroperoxide (HMHP). The inset shows the curve near threshold magnified by 150x. See text for details.

have been studied theoretically and experimentally by Tadayon *et al.*⁷⁶ Based on their results, the methanol/ethanol+Cl reactions should lead to alkoxyethyl hydroperoxides: methoxyethyl hydroperoxide (MMHP, $\text{HOOCH}_2\text{OCH}_3$ at $m/z = 78.032$) and ethoxyethyl hydroperoxide (EMHP, $\text{C}_2\text{H}_5\text{OCH}_2\text{OOH}$ at $m/z = 92.047$) as the products. The Cl addition to CH_3OH and $\text{C}_2\text{H}_5\text{OH}$ should form MMHP and EMHP through reactions R1 and R16:



The measured PIE curve for the $m/z = 78.032$ ($\text{C}_2\text{H}_6\text{O}_3$) intermediate in the ozonolysis experiments is shown in Fig. 6. The observed onset of $9.58 (\pm 0.05)$ eV is consistent with the calculated values for the MMHP. For this intermediate, 13 different conformeric structures were considered, with the adiabatic IE at 9.56 eV.

Another possible $\text{C}_2\text{H}_6\text{O}_3$ isomer at $m/z = 78.032$ is 2-hydroxyethyl hydroperoxide (HEHP, $\text{HOCH}_2\text{CH}_2\text{OOH}$), suggested by Sakamoto *et al.*¹⁷ to be formed through the reaction scheme with OH:

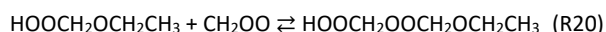


The calculated ionization energy for the HEHP isomer of 9.71 eV is also marked in Fig. 6. As can be seen, this calculated value falls together with an experimentally observed onset near 9.7 eV. The theoretical calculations showed that locally adiabatic IEs for the low-lying conformeric states are near 9.65 eV which would agree with the experimental observations.

Based on this analysis, the isomeric identities of the $m/z = 78.032$ cannot be unambiguously unraveled. The experimental results are consistent with the presence of the MMHP, but contributions from the HEHP cannot be excluded.

For $\text{C}_3\text{H}_8\text{O}_3$, the observed ionization threshold agrees with the calculated ionization energy of the isomeric structure of $\text{HOOCH}_2\text{OCH}_2\text{CH}_3$ ($\text{C}_3\text{H}_8\text{O}_3$) as shown in Fig. 6. For this intermediate, a total of 38 conformer structures were considered with locally adiabatic ionization energies ranging from 9.14 to 9.9 eV. The lowest energy conformer has an IE of 9.44 eV, which matches well with the observed experimental rise at $9.47 (\pm 0.05)$ eV.

Products of additional insertion reactions were detected at $m/z = 124.037$ ($\text{C}_3\text{H}_8\text{O}_5$), 170.043 ($\text{C}_4\text{H}_{10}\text{O}_7$), and 216.048 ($\text{C}_5\text{H}_{12}\text{O}_9$) for methanol and at $m/z = 138.053$ ($\text{C}_4\text{H}_{10}\text{O}_5$) for ethanol:



Based on the above discussion about the isomeric composition of the $\text{C}_2\text{H}_6\text{O}_3$ signal, multiple isomeric contributions are conceivable for the higher mass intermediates in this sequence. That is, HEHP and/or MMHP could react with the Cl via the insertion in the OH and/or the OOH functionality.

Reaction of the Cl with aldehydes and subsequent addition reactions

The reactions of Cls with aldehydes are typically described as 1,3-dipolar cycloadditions of the carbonyl oxide to the C=O double bond of the aldehyde functional group.⁸⁰ The reaction of formaldehyde with the Cl should lead to the secondary ozonide (1,2,4-trioxolane, *cycl.*- $\text{CH}_2\text{OCH}_2\text{OO}$ -) as hypothesized by Criegee and calculated later by Jalan *et al.*⁸⁰ However, as described in Ref. [46], the detection of this intermediate was not possible under our experimental conditions and instead the ketohydroperoxide hydroperoxy acetaldehyde (HOOCH_2CHO) was detected. The presence of the hydroxymethylformate [$\text{HC}(\text{=O})\text{OCH}_2\text{OH}$], an expected decomposition product of the secondary ozonide, was not unambiguously confirmed.

The reaction of acetaldehyde with the Cl, which should be considered similar to the formaldehyde+Cl reaction, should form a secondary ozonide; 3-methyl-1,2,4-trioxolane [*cycl.*-

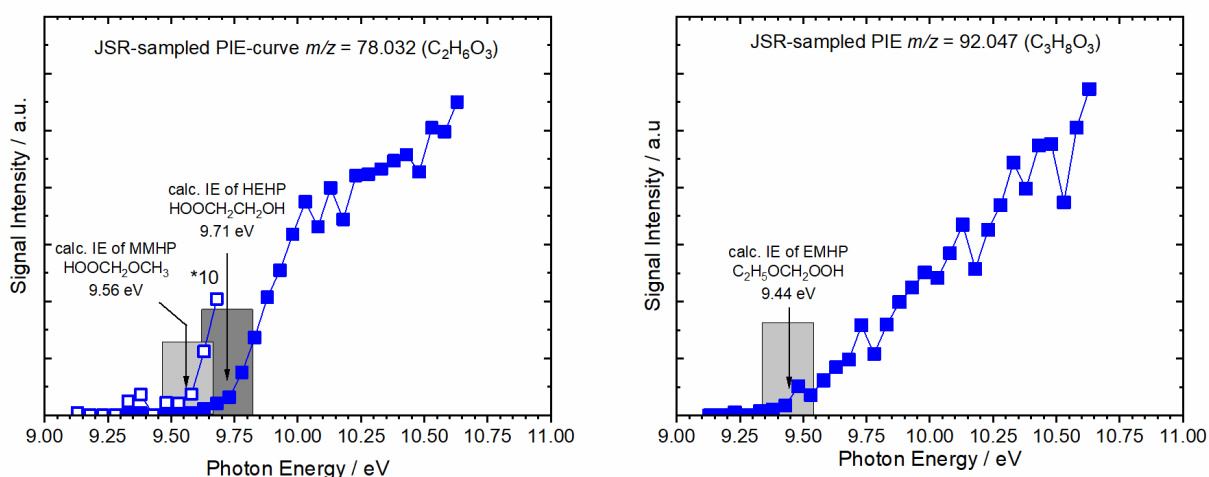


Figure 6: JSR-sampled PIE curve for (left) $m/z = 78.032$ ($\text{C}_2\text{H}_6\text{O}_3$) and (right) $m/z = 92.047$ ($\text{C}_3\text{H}_8\text{O}_3$) from the ozonolysis experiments are compared with theoretical calculations for $\text{HOOCH}_2\text{OCH}_3$ (MMHP) and $\text{HOOCH}_2\text{CH}_2\text{OH}$ (HEHP) and $\text{HOOCH}_2\text{CH}_2\text{OH}$ (EHPM), respectively.

CH(CH₃)OCH₂OO-]. However, Taatjes *et al.*,³⁰ who studied this reaction experimentally, did not detect the secondary ozonide and were only able to detect acetic acid and formaldehyde as products. The adiabatic ionization energy for this ozonide was calculated to be 9.44 eV. Jalan *et al.* have theoretically identified hydroxyethylformate [HEF, HC(=O)OCH(OH)CH₃] and hydroxymethylacetate [HMA, CH₃C(=O)OCH₂OH] as the energetically preferred intermediates of the CH₃CHO+CH₂OO reaction.⁸⁰

The experimental PIE curve for $m/z = 90.032$ (C₃H₆O₃), which is shown in Fig. 7, reveals a threshold for ionization near 9.62 eV. At the level of theory applied in this work, the computed IE for the secondary ozonide itself is 9.39 eV (9.44 eV at the CBS-QB3 level³⁰), which is outside the combined expected experimental and theoretical uncertainties. It should also be noted in our previous results that no primary SOZ (C₂H₄ + O₃) was experimentally observed, and in fact none of the identified intermediates contained cyclic structures. Therefore, it is more likely that a decomposition product is being observed instead of the SOZ itself.

Ionization energies for the HEF and HMA isomers were calculated to be 10.43 and 10.20 eV, respectively. As seen in Fig. 7, the ionization energy of the HEF is far above the observed ionization threshold and given the lack of knowledge about the individual PIE curves of the other potential isomers, no experimental evidence for the presence of HEF in the system is found. The calculated IE for HMA matches the observed feature near 10.2 eV in the experimental PIE curve, although such a feature does not necessarily indicate the presence of a new species. Furthermore, considering the predicted low-energy barrier for decomposition of HEF and HMA, the results of Jalan *et al.*⁸⁰ may indicate that HEF and HMA are not stable intermediates at the given conditions.

The observed threshold for ionization near 9.62 (±0.05) eV is consistent with the theoretically calculated 9.57 eV for the calculated IE of 1-hydroperoxypropan-2-one [CH₃C(=O)CH₂OOH], which appears to be an insertion product of the Cl into the C-H bond from

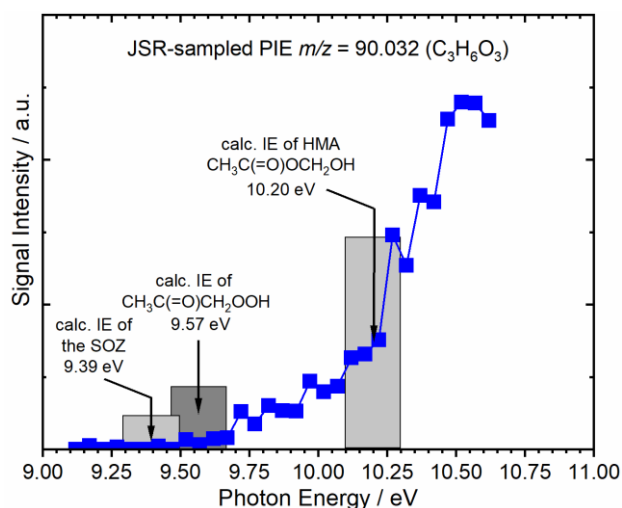


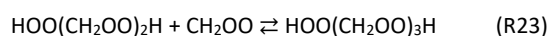
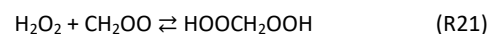
Figure 7: JSR-sampled PIE curve for $m/z = 90.032$ (C₃H₆O₃) after ozonolysis of ethylene. The experimental PIE curve is compared with theoretical calculations for CH₃C(=O)CH₂OOH, HEF, and HMA.

the aldehyde -C(=O)H functional group. Possible reaction pathways towards this intermediate still need to be explored.

In this discussion, contributions from ethenol (CH₂CHOH), which had been detected in the experiments,⁴⁶ are neglected, although the Cl could potentially react with the C=C double bond and the OH function of the enol.⁷⁴ While an unambiguous assignment of the isomeric structures of the C₃H₆O₃ intermediates is currently not feasible, it is worth noting that all of these intermediates contain a reactive -OH or -OOH group and could potentially serve as a reactant for additional Cl addition reactions. And, indeed, the observation of intermediates at $m/z = 90.032$ (C₃H₆O₃), 136.037 (C₄H₈O₅), and 182.043 (C₅H₁₀O₇) indicates sequential addition reactions of acetaldehyde and the Cl. Given the complex isomeric contributions of the C₃H₆O₃ intermediate, additional information of the other products concerning their isomeric structures cannot be gained.

Reaction of the Cl with hydroperoxides and subsequent addition reactions

As shown in Ref. [46], small hydroperoxide species such as H₂O₂, CH₃OOH, and C₂H₅OOH were found to be present in the reactive mixture during the ozonolysis experiments. Vereecken *et al.* has shown theoretically that the Cl reactions with alkyl hydroperoxides are not fast.⁷⁵ Nevertheless, hydroperoxides can react with the Cl and initiate addition reactions. For example, with hydrogen peroxide:



These reaction intermediates were identified by their m/z ratio at 80.011 (CH₄O₄), 126.016 (C₂H₆O₆), and 172.022 (C₃H₈O₈). In addition, the experimentally observed PIE curve for CH₄O₄ is consistent with the calculated ionization energy of the dihydroperoxy methane (DHPM, HOOCH₂OOH), see Fig. 8. The calculated ionization energy of 9.89 eV is consistent with the experimentally observed ionization threshold of 9.85 eV.

The reactions of the methyl and ethyl hydroperoxides are more difficult to interpret. According to the theoretical calculations of Vereecken *et al.*,⁷⁵ the HOOCH₂OOCH₃ represents a deep well. However, as seen in Fig. 9, the calculated ionization energy for this compound of 9.34 eV does not match the experimentally observed threshold near 9.77 (±0.05) eV for $m/z = 94.027$ (C₂H₆O₄). A similar situation occurs for C₃H₈O₄, conceivably a product of the ethylhydroperoxide+Cl reaction. Although C₂H₅OOH was identified in our earlier work,⁴⁶ the experimentally observed PIE curve for $m/z = 108.042$ (C₃H₈O₄) with an onset at 9.52 eV (±0.05) eV is not consistent with the C₂H₅OOCH₂OOH isomer, for which an ionization energy of 9.19 eV was calculated.

According to the Vereecken *et al.* calculations,⁷⁵ the ether oxide CH₃O(-O)CH₂OOH can be formed in substantial amounts in the reaction of the Cl with CH₃OOH. The calculated adiabatic ionization energy for this intermediate was calculated to be 8.60 eV, substantially below the observed ionization threshold at $m/z = 94.027$ (C₂H₆O₄). Due to very small Franck-Condon overlaps, we may not be able to detect this intermediate near this ionization threshold.

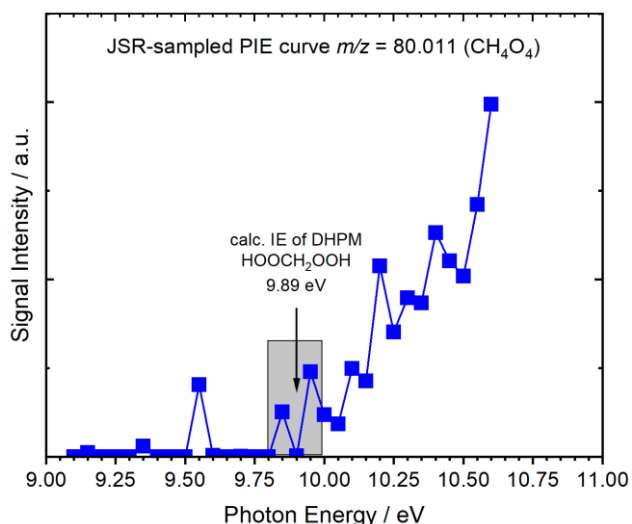


Figure 8: JSR-sampled PIE curve for $m/z = 80.011$ (CH_4O_4) after ozonolysis of ethylene. The experimental PIE curve is compared with theoretical calculations for dihydroperoxy methane (DHPM).

The calculated vertical ionization energy of 9.72 eV would match the observed threshold in the PIE curve (see Fig. 9). However, based on the potential diagram given in Ref. [75], this species may be unstable and therefore not observable in our experiment. Similarly, the reaction of $\text{C}_2\text{H}_5\text{OOH}$ with the Cl would lead to the ether oxide $\text{C}_2\text{H}_5\text{O}(-\text{O})\text{CH}_2\text{OOH}$, although theoretical calculations with the potential energy surface for this reaction have not been performed. The adiabatic and vertical ionization energies for this species were calculated to 8.46 and 9.55 eV, respectively. As seen in Fig. 9, the vert. IE would match the observed ionization threshold closely. As for the $\text{CH}_3\text{O}(-\text{O})\text{CH}_2\text{OOH}$, due to strong structural changes between the ground state neutral and the ground state ion, small Franck-Condon

factors are expected, which lead to experimental insensitivities near the adiabatic ionization energy. However, the agreement between the calculated vertical ionization energies and observed ionization thresholds should not be interpreted as a successful species identification. Such an assignment would require a more detailed Franck-Condon factor analysis.

Interestingly, the observed ionization onset would also be consistent with the $\text{HOOCH}_2\text{OCH}_2\text{OH}$ isomer (calc. IE of 9.66 eV). But it is not obvious how this isomer could be formed through the Cl + CH_3OOH reaction. The methylhydroperoxide was clearly identified based on the PIE curve and ionization threshold and no evidence was found for the HOCH_2OH isomer at $m/z = 48.021$.⁴⁶ To help interpret the experimental observations for $\text{C}_3\text{H}_8\text{O}_4$, we also calculated the ionization energy of the $\text{HOC}_2\text{H}_4\text{OCH}_2\text{OOH}$ isomer and found an ionization energy of 9.04 eV. However, an analysis of the ion states, revealed substantial structural changes compared to the neutral, and thus, it can be argued that poor Franck-Condon overlaps results in low detection efficiency near the ionization threshold. The first locally adiabatic ionization energy with similar neutral and ion structures was calculated to be at 9.57 eV, consistent with the experimental observations (see Fig. 9).

The interpretation of the PIE curve, especially the “missing” $\text{CH}_3\text{OOCH}_2\text{OOH}$ and $\text{C}_2\text{H}_5\text{OOCH}_2\text{OOH}$ isomers, points towards a lack of understanding of the Cl+alkylhydroperoxide reactions and missing pathways in the reaction scheme.

A Cl addition sequence beyond the first addition was observed for the ethylhydroperoxide but not the methylhydroperoxide. Beyond $m/z = 108.042$ ($\text{C}_3\text{H}_8\text{O}_4$), intermediates were observed at 154.048 ($\text{C}_4\text{H}_{10}\text{O}_6$) and 200.053 ($\text{C}_5\text{H}_{12}\text{O}_8$). Given the multi-functional character of the likely initial $\text{C}_3\text{H}_8\text{O}_4$ intermediate, many reaction pathways with many different intermediates become plausible. However, it is likely that these intermediates consist of long-chain hydroperoxide molecules with a CH_2OO unit.

The intensities of the ion signals, as discussed further below also reveal the low reactivity of the hydroperoxides with the Cl.

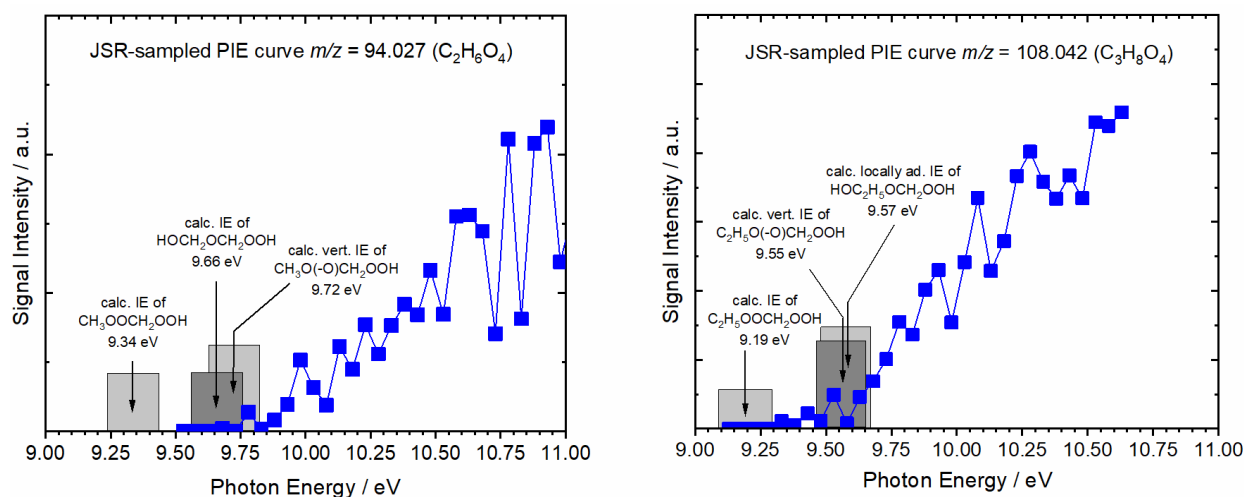
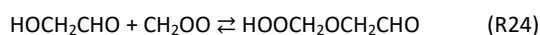


Figure 9: Left: JSR-sampled PIE curve for $m/z = 94.027$ ($\text{C}_2\text{H}_6\text{O}_4$) after ozonolysis of ethylene. The experimental PIE curve is compared with theoretical calculations for $\text{CH}_3\text{OOCH}_2\text{OOH}$, $\text{HOCH}_2\text{OCH}_2\text{OOH}$, and the vert. IE for $\text{CH}_3\text{O}(-\text{O})\text{CH}_2\text{OOH}$. Right: JSR-sampled PIE curve for $m/z = 108.042$ ($\text{C}_3\text{H}_8\text{O}_4$) after ozonolysis of ethylene. The experimental PIE curve is compared with theoretical calculations for $\text{C}_2\text{H}_5\text{OOCH}_2\text{OOH}$, loc. ad. IE of $\text{HOC}_2\text{H}_4\text{OCH}_2\text{OOH}$, and the vert. IE of $\text{C}_2\text{H}_5\text{O}(-\text{O})\text{CH}_2\text{OOH}$.

Reaction of the CI with multi-functional intermediates and subsequent addition reactions

Multi-functional intermediates are molecules that contain more than one functional group that could potentially react with the CI. For example, Rouso *et al.* have shown that hydroxy-acetaldehyde (HOCH₂CHO) is a common intermediate in the ozone-assisted oxidation of ethylene.⁴⁶ These molecules can react either via the OH functional group with the CI or with the aldehyde function. Another multi-functional intermediate that should be considered in this work is the hydroperoxy acetaldehyde [HOOCH₂CHO] that had been positively identified at $m/z = 76.016$ (C₂H₄O₃).⁴⁶ Similarly to the hydroxy-acetaldehyde, this intermediate has two functional groups and could react either via the insertion of the CI into the molecule's OOH group or via a cycloaddition reaction with the aldehyde group.

For the hydroxy-acetaldehyde, the 1,3-cycloaddition of the CI to the aldehyde function would lead to 1,2-dihydroxyethyl formate [HC(=O)OCH(OH)CH₂OH] and/or hydroxymethyl 2-hydroxyacetate [HOCH₂C(=O)OCH₂OH] via a secondary ozonide. The insertion of the CI to the OH group results in the formation of 2-(hydroperoxymethoxy)acetaldehyde (HPMA, HOOCH₂OCH₂CHO) at $m/z = 106.027$ (C₃H₆O₄):



The calculated IE of the HPMA isomer of 9.59 eV does not match the observed ionization onset in the PIE curve of $m/z = 106.027$, as shown in Fig. 10. However, the calculated IEs for the HC(=O)OCH(OH)CH₂OH and the HOCH₂C(=O)OCH₂OH isomers, 9.83 and 9.99 eV, respectively, are consistent with the observed ionization threshold. As with the acetaldehyde+CI reaction intermediate, these products cannot be unambiguously identified.

The detection of these intermediates reveals that reactions of the aldehyde function are likely faster than the insertion reactions into the hydroxy groups.

The PIE curve for $m/z = 122.022$ (C₃H₆O₅) is also shown in Fig. 10. It is conceivable that this intermediate is formed through a reaction of the CI with hydroperoxy-acetaldehyde (HOOCH₂CHO), similarly to the reactions discussed above for acetaldehyde and hydroxy-acetaldehyde. Based on the analysis in Ref [46], contributions from the hydroxymethylformate are also possible. Given the observed ionization threshold near 10.0 eV, which is similar to the one observed for the C₃H₆O₄ isomers, it is conceivable that the C₃H₆O₅ isomers also result from the 1,3-cycloaddition of the CI to the aldehyde function. But, given the size of this intermediate, we refrain from performing an analysis based on calculated ionization energies.

Additional reaction products of sequential addition reactions were observed at $m/z = 152.032$ (C₄H₈O₆) for the CI additions starting with the hydroxy-acetaldehyde and at $m/z = 168.027$ (C₄H₈O₇) and 214.032 (C₅H₁₀O₉) for reactions starting with the hydroperoxy-acetaldehyde.

Quantification of first step intermediates and trends in signal intensities

As described above, the mass spectral signal was converted into mole fractions (actually, $x_i \times \sigma_i$) as function of the temperature. Some representative examples for the first addition intermediate, namely CH₄O₃ (CI+H₂O), C₂H₆O₃ (CI+CH₃OH), C₂H₄O₄ (CI+HCOOH), and C₃H₈O₃ (CI+C₂H₅OH), can be seen in Fig. 11. For this, the data were extracted from mass spectra taken at a photon energy of 11.0 eV. Additional species traces can be found in the Supplemental Material. These species profiles follow very similar trends, which might offer some circumstantial evidence of similar formation pathways.

Analogous to the KHP and other ozonolysis species shown in Ref [46], there is monotonic decay in concentration with increasing temperature, as these highly oxygenated species decompose or are less favorable compared to smaller intermediates. By 600 K these CI created species are below the detection limit, as ozone has thermally decomposed instead of initiating ozonolysis reactions.

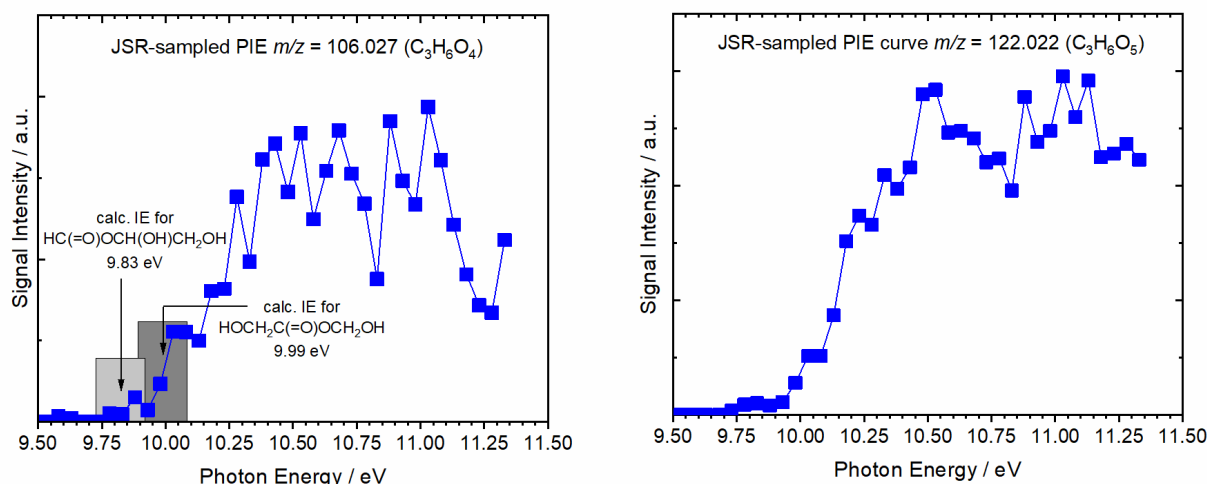


Figure 10: Left: JSR-sampled PIE curve for $m/z = 106.027$ (C₃H₆O₄) after ozonolysis of ethylene. The experimental PIE curve is compared with theoretical calculations for HC(=O)OCH(OH)CH₂OH and HOCH₂C(=O)OCH₂OH. Right: JSR-sampled PIE curve for $m/z = 122.022$ (C₃H₆O₅) after ozonolysis of ethylene. Because of the size of this molecule, *i.e.* eight heavy atoms, we refrain from presenting an analysis based on calculated ionization energies.

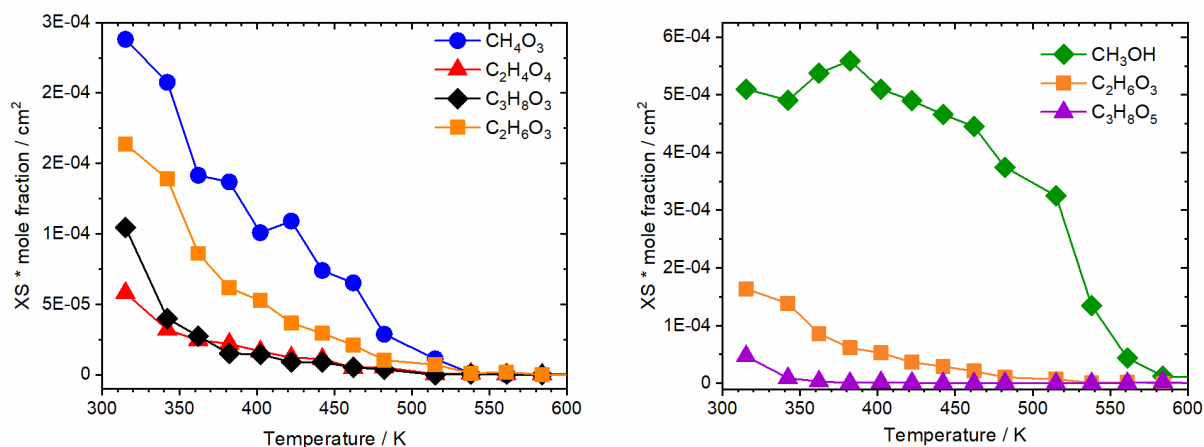


Figure 11: Mole fraction profiles as a function of temperature for the sequence of highly oxygenated species like CH_4O_3 , $\text{C}_2\text{H}_4\text{O}_4$, $\text{C}_3\text{H}_8\text{O}_3$, and $\text{C}_2\text{H}_6\text{O}_3$ (left) and $\text{CH}_3\text{OH}+\text{Cl}$ reactions (right).

In Fig. 11, HPMF ($\text{C}_2\text{H}_4\text{O}_4$) is the weakest of the species shown here, already undergoing fragmentation at this ionization energy. The CH_4O_3 concentration is likely a result of both this HPMF decomposition as well as $\text{H}_2\text{O}-\text{Cl}$ addition, as discussed previously, as the relative cross sections are initially too weak for a signal allowing separation at lower energies. So, the CH_4O_3 signal is probably the more accurate estimate of the HPMF concentration. Methanol and ethanol both show fast Cl interactions, and therefore have strong signals for both the first, and subsequent adducts.

Many of the secondary and tertiary Cl adducts quickly fall below our detectable minimum concentration with increasing JSR temperature because the Cl is most stable at atmospheric temperature conditions or below. The signal intensities for the first Cl addition isomers, however, are strong enough for reliable temperature dependent quantification.

To illustrate the relative concentrations within a chain, the profiles for methanol (CH_3OH), first Cl addition ($\text{C}_2\text{H}_6\text{O}_3$), and second Cl addition ($\text{C}_3\text{H}_8\text{O}_5$) products are plotted in Fig. 11 as a function of

temperature. While methanol is formed in significant concentration throughout the low temperature region, the Cl additions follow both the ozone and Cl trends discussed earlier: namely, monotonic decrease with increasing temperature. While the reactivity at low temperatures is at least tangentially due to ozonolysis, this suggests that methanol might have multiple formation routes besides just Cl reactions, as it does not follow the same pattern as any of the subsequent Cl adducts. There is roughly a 3x decrease in signal strength for each step in this chain. As the cross sections should be somewhat similar, this observation likely represents a significant concentration drop for each additional Cl adduct.

To explore this further, the raw intensity counts can be plotted as a function of subsequent Cl additions. The ratios of signal counts on a log basis as a function of consecutive Cl additions can be seen in Fig. 12 for most of the reaction chains discussed in this paper. These counts were measured at 11eV with the exception of H_2O_2 (11.5 eV) and HCOOH (12.3eV), where 11eV is below the ionization threshold and therefore the signal artificially low. It is important to note that for various molecules, stronger or weaker signals were seen at other ionization energies, but 11eV was chosen to allow for uniform comparison and because some of the species clearly displayed fragmentation at higher energies. The zero point is the “parent” molecule in each chain, listed in the legend. The subsequent adducts have been normalized with respect to the parent molecule concentration. The hydroperoxide species, which is known from the literature to be slower and less favorable to react with Cl,⁷⁵ are seen with steeper slopes than other Cl adduct reactions. It is unclear currently why $\text{Cl} + \text{H}_2\text{O}_2$ seems to depart from this trend. For the second Cl adduct and beyond, we see more uniform decrease in signal counts, roughly 3-4 times weaker, which seems to largely depend on the signal strength of the first adduct. When normalized, these all seem to fall within a similar range to each other, likely demonstrating similar reaction rates. As discussed earlier, please note that there is likely significant dissociation at 11 eV, as well as contribution of HPMF to the formic acid trend, which makes the actual Cl adduct conversion rate difficult to extract. Nevertheless, this data demonstrates the highly reactive nature of the Cl with most species and confirms the slower reaction rates seen in the literature for most peroxide intermediates.

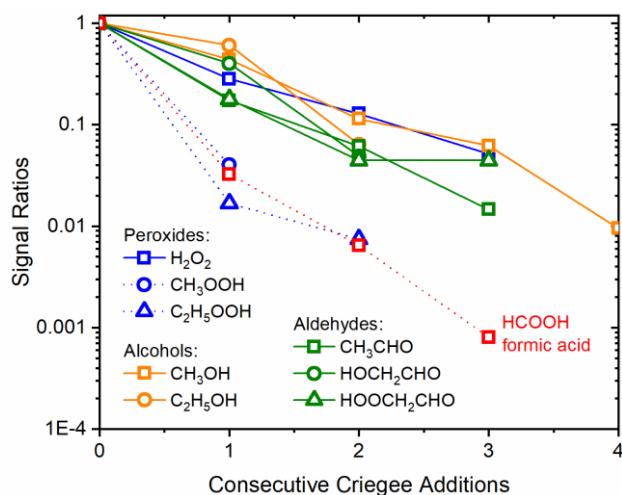


Figure 12: Signal intensities as function of number of consecutive Criegee Intermediate additions. Two slopes are identifiable with the alkylhydroperoxides reacting slower than the other intermediates.

Conclusions

This study characterized the network of Criegee Intermediate reactions leading to the significant molecular growth during ethylene ozonolysis using a jet-stirred reactor and photoionization molecular beam mass spectrometry. Building off the previous work highlighting the main species in both the ozonolysis and high temperature regimes,⁴⁶ this work focused on identifying highly oxygenated species that reportedly have been found in atmospheric secondary organic aerosols. Notably, the majority of the observed mass spectra and photoionization curves associated with these species is consistent with their formation via successive CI addition reactions to typical small-molecule species. Series of species resulting from CI additions to ethylene, water, formic acid, acetaldehyde, and various alcohol and hydroperoxide species were identified. However, there seems to be a gap in our understanding of the alkylhydroperoxide + CI chemistry. The network of CI reactions identified in this paper is visualized in Fig. 13. Reaction sequences were started by reactions of the CI with C₂H₄, H₂O, HCOOH, H₂O₂, CH₃OH, C₂H₅OH, CH₃OOH, C₂H₅OOH, CH₃CHO, HOCH₂CHO, HOOCH₂CHO. Given the fact that the initial concentrations in these idealized laboratory-scale experiments are high compared to atmospheric conditions, the relevance of these pathways in real-world environments needs to be tested in future work. Nevertheless, the current study helps to understand the initial steps to the CI reaction series and provide useful context to help explain real world data.

Ozone is one of the major products in non-equilibrium plasma assisted combustion such as in the corona and microwave discharges for fuel lean HCCI ignition enhancement. Previous studies only focused on the ignition enhancement by O atom production from ozone decomposition. The present data provide another low temperature combustion enhancement pathway of ozone via ozonolysis. The present data substantially increases our knowledge about the formation of highly reactive oxygenated species via ozonolysis and its impact on low temperature fuel oxidation under

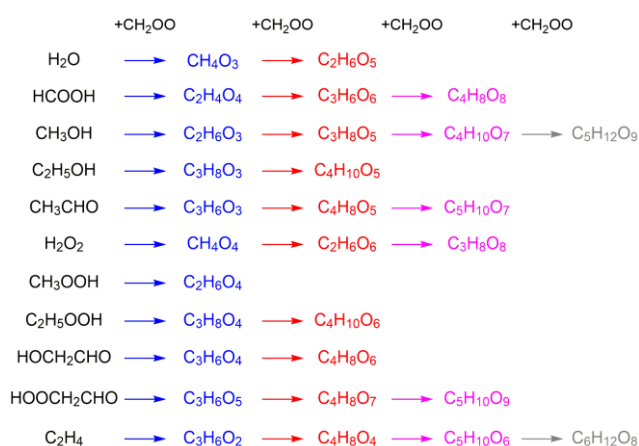


Figure 13: Network of Criegee Intermediate reactions as observed in the ozonolysis experiments of this work. The observed mass spectra are best described as originating from consecutive addition reactions of multiple CI insertion reactions with various starting molecules which are present in the gas mixture as either intermediate or product of the ozonolysis reaction system.

fuel lean HCCI compression ignition conditions. As shown in Ref. [46], the modelling approaches of these processes are at their infancy and new validation targets, in the form of species identification and quantification are considered as useful in combination with the identification of reaction pathways. Eventually, this work can result in reactivity-controlled designs for reliable ignition statistics. In these cases, both the fuel and ozone concentrations could be quite high, leading to significant CI involvement in the initiation of LTC oxidation.

The observation of molecular structures at larger masses, suggests evidence for second and third CI addition to smaller species, providing experimentally based motivation for future theoretical calculations and modeling efforts aimed at quantifying the importance of the CI reaction pathways as well as the ethylene ozonolysis chemical reaction mechanism at large. The isomeric composition of the second addition reaction products still needs to be determined experimentally for gaining a more accurate understanding about the formation routes of larger molecules and possible SOA.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

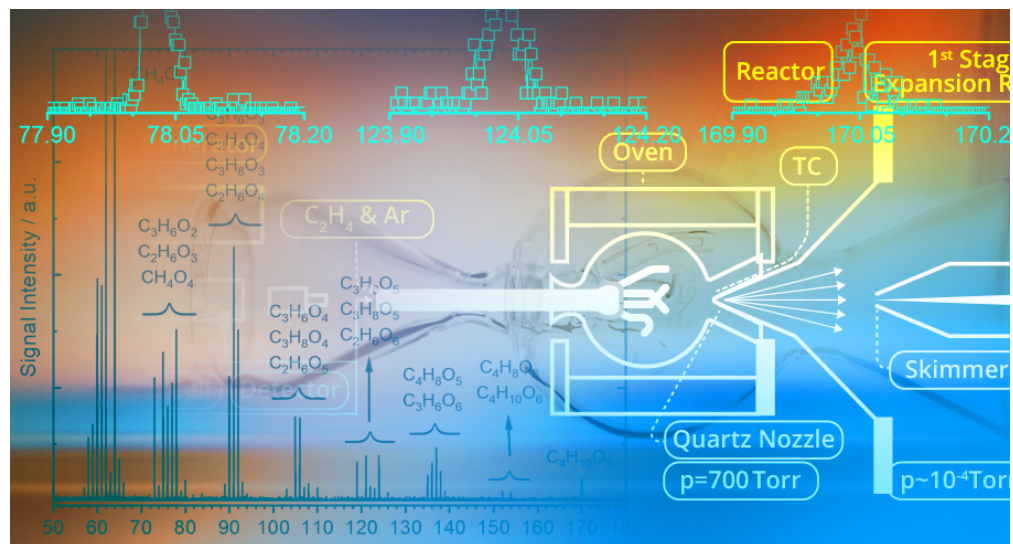
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