



**Bromide ion-functionalized nanoprobe for sensitive and reliable pH measurement by surface-enhanced Raman spectroscopy**

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4 1 **Bromide ion-functionalized nanoprobe for sensitive and reliable pH**  
5 2 **measurement by surface-enhanced Raman spectroscopy**

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3 **25 Abstract**  
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7 26 4-mercaptopyridine (4-Mpy) is a pH reporter molecule commonly used to functionalize  
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9 27 nanoprobe for surface-enhanced Raman spectroscopy (SERS) based pH measurements. However,  
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11 28 nanoprobe functionalized by 4-Mpy alone have low pH sensitivity and are subject to interference  
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13 29 by halide ions in sample media. To improve nanoprobe pH sensitivity and reliability, we  
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15 30 functionalized gold nanoparticles (AuNPs) with both 4-Mpy and bromide ion (Br<sup>-</sup>). Br<sup>-</sup>  
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17 31 electrostatically stabilizes protonated 4-Mpy, thus enabling sensitive SERS detection of the  
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19 32 protonation state of 4-Mpy as a function of pH while also reducing variability caused by external  
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21 33 halide ions. Through optimization of the functionalization parameters, including suspension pH,  
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23 34 [4-Mpy], and [Br<sup>-</sup>], the developed nanoprobe enable monitoring of pH from 2.1 to 10 with high  
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25 35 SERS activity and minimal interference from halide ions within the sample matrix. As a proof of  
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27 36 concept, we were able to track nanoprobe location and image the pH distribution inside individual  
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29 37 cancer cells. This study provides a novel way to engineer reliable 4-Mpy-functionalized SERS  
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31 38 nanoprobe for the sensitive analysis of spatially localized pH features in halide ion-containing  
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33 39 microenvironments.  
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## 46 Introduction

47 Surface-enhanced Raman spectroscopy (SERS) is a promising technique for chemical and  
48 biological analyses. Interest in SERS is rapidly increasing owing to its many advantages, including  
49 rapid data collection, high resolution, high sensitivity, low fluorescence interference, and  
50 molecular fingerprinting with narrow and differentiable Raman bands.<sup>1-3</sup> These advantages make  
51 SERS an ideal option for application in complex chemical and biological environments.

52 pH is a critical trait that dictates chemical and biological reactions, partitioning, and  
53 reactivity in confined microenvironments such as cells<sup>4-7</sup> and aerosol droplets<sup>8,9</sup>. Quantification of  
54 pH in confined microenvironments is experimentally challenging because of their inaccessibility  
55 to conventional pH probes. To address this issue, a number of nanoprobes have been designed for  
56 SERS based pH sensing.<sup>10-15</sup> These nanoprobes are typically functionalized with pH-sensitive  
57 reporter molecules. The reporter molecules enable pH measurement because the vibrations of their  
58 functional groups and the corresponding SERS peaks vary as a function of the local pH. As a  
59 result, the pH in microenvironments can be quantified based on calibration curves that relate SERS  
60 spectral pattern changes with pH. The most commonly used pH reporter molecules are  
61 aminothiophenol (ATP),<sup>12,13</sup> 4-mercaptobenzoic acid (4-MBA),<sup>15-17</sup> and 4-mercaptopyridine (4-  
62 Mpy)<sup>18-21</sup>. What these chemicals have in common is that they all contain a thiol group that strongly  
63 binds to the metal nanoparticle surface and enables surface functionalization. The differences lie  
64 in their molecular pH sensitive moieties. ATP exists in different isomers, including 4-ATP and 2-  
65 ATP and their pH-sensing ability is based on the protonation/deprotonation of the primary amine  
66 ( $\text{NH}_2/\text{NH}_3^+$ ). Unfortunately, primary amines are subject to coupling reactions in alkaline solution  
67 to produce -N=N- linkages and the formation of dimercaptoazobenzene (DMAB).<sup>22</sup> Such chemical  
68 instability may account for the reported decrease in quantification accuracy for  $\text{pH} \geq 6$ .<sup>12,13</sup> Distinct

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3 69 from ATP, 4-MBA enables pH measurement through the protonation/deprotonation of the  
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5 70 carboxylic acid (COOH/COO<sup>-</sup>), which has three limitations. First, the carboxylic moiety could be  
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8 71 lost due to decarboxylation on plasmonic-active surfaces under certain conditions, such as strong  
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10 72 laser intensity.<sup>17</sup> Second, 4-MBA does not induce high SERS enhancements due to its weak  
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12 73 capacity to form nanoparticle aggregates and SERS “hotspots”.<sup>15</sup> Furthermore, the high pK<sub>a</sub>  
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14 74 (8.75)<sup>15</sup> of COOH/COO<sup>-</sup> makes 4-MBA functionalized particles insensitive at low pH values.<sup>16</sup>  
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17 75 4-Mpy, a pyridine derivative, is chemically stable and exhibits SERS pattern changes in  
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19 76 acidic conditions due to the low pK<sub>a</sub> (3.0-3.9) of N protonation/deprotonation<sup>23-25</sup> on metal  
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21 77 nanoparticle surfaces. A number of research groups have made efforts to develop pH nanoprobe  
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23 78 that are functionalized with 4-Mpy.<sup>18-21</sup> However, to date these studies have failed to account for  
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25 79 the potential impacts of halide ions on the pH-sensing capacity of the 4-Mpy-functionalized  
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27 80 nanoprobe. Prior work has shown that halide ions can alter the pyridine SERS spectrum under  
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29 81 acidic conditions.<sup>26-30</sup> As a pyridine derivative, 4-Mpy can be expected to exhibit variations in its  
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31 82 SERS spectra in the presence of halide ions that may impact its use as a pH sensing molecule.  
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33 83 Therefore, there is a need to determine how the pH sensitivity of 4-Mpy-based nanoprobe is  
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35 84 impacted by halide ions and if necessary, to minimize halide ion interference. This is a critical  
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37 85 need given that halide ions are ubiquitous in natural and cellular environments.<sup>31,32</sup>  
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43 86 To address the potential impacts of halide ions on 4-Mpy reactivity, we chose to produce  
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45 87 probes pre-functionalized with Br<sup>-</sup>. Previous studies have shown that halide ions specifically  
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47 88 adsorb onto metal surfaces with binding strengths increasing from F<sup>-</sup> < Cl<sup>-</sup> < Br<sup>-</sup> < I<sup>-</sup>.<sup>33-35</sup> Among  
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49 89 these, Cl<sup>-</sup>, Br<sup>-</sup>, and I<sup>-</sup> all form strong covalent bonds (Au-X) with the gold nanoparticle (AuNP)  
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51 90 surface<sup>33-35</sup>, with both Br<sup>-</sup> and I<sup>-</sup> having sufficient affinity to the AuNP surface to displace surface  
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53 91 ligands (e.g., citrate).<sup>36</sup> Adsorbed I<sup>-</sup> is known to etch AuNPs and thus leads to nanoparticle fusion,  
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3 92 while Br<sup>-</sup> adsorption has been shown to protect AuNPs from fusing.<sup>36</sup> For these reasons, herein we  
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5 93 focused on pre-functionalizing the AuNPs with Br<sup>-</sup>.  
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8 94 By integrating Br<sup>-</sup> functionalization into our nanoprobe design, we developed an innovative  
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10 95 pH nanoprobe with high SERS activity and capacity for sensitive application in acidic samples  
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12 96 with minimal halide ion interference. As both 4-Mpy and Br<sup>-</sup> can induce AuNP aggregation, we  
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14 97 optimized the preparation procedure by adjusting the synthesis pH as well as [4-Mpy] and [Br<sup>-</sup>].  
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16 98 Following these efforts, the nanoprobe was successfully applied to measure pH in 4T1 murine  
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18 99 mammary carcinoma cells with high stability and accuracy.  
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## 23 100 **Materials and Methods**

### 24 25 26 101 **Materials**

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29 102 Gold chloride trihydrate (HAuCl<sub>4</sub>·3H<sub>2</sub>O), sodium citrate tribasic dihydrate  
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31 103 (Na<sub>3</sub>Citrate·2H<sub>2</sub>O), and 4-mercaptopyridine (4-Mpy) were obtained from Sigma-Aldrich.  
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33 104 Thiolated poly(ethylene glycol) (HS-PEG; 5 kDa) was obtained from Nanocs. Sodium bromide,  
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35 105 L-ascorbic acid, HCl (37%), NaOH, and H<sub>2</sub>SO<sub>4</sub> (98%) were acquired from Fisher Scientific.  
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### 39 106 **Synthesis and characterization of the pH nanoprobe**

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41 107 Our experimental design involves AuNP synthesis followed by surface functionalization.  
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43 108 A seed-mediated growth approach was used to synthesize AuNPs.<sup>37</sup> Briefly, AuNP seeds were  
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45 109 produced by bringing 100 mL of 1 mM HAuCl<sub>4</sub>·3H<sub>2</sub>O to boiling and then adding 15 mL of 1%  
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47 110 Na<sub>3</sub>Citrate·2H<sub>2</sub>O solution under stirring at 1000 rpm. The mixture was refluxed for 15 min in a  
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49 111 distillation flask without water loss. After the mixture cooled, the suspension was filtered through  
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51 112 a 0.22 μm nitrocellulose membrane and then stored at 4 °C for future use. These seeds were  
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3 113 characterized via dynamic light scattering (DLS, Zetasizer Nano ZS) and found to have a  
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5 114 hydrodynamic diameter of  $14.7 \pm 0.04$  nm.  
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8 115 To prepare larger AuNPs, a protocol by Yuan *et al.*<sup>38</sup> was followed with minor revision.  
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10 116 Briefly, 0.25 mM  $\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$  dissolved in 1 mM HCl solution (10 mL) was mixed with 100  $\mu\text{L}$   
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12 117 of the citrate-stabilized seeds at room temperature under stirring at 700 rpm. Then, 50  $\mu\text{L}$  of  
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14 118 ascorbic acid (100 mM) was added as the reducing and capping agent. After stirring for 30 s, the  
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16 119 reaction was stopped by centrifugation at  $3260 \times g$  for 15 min. After removing the supernatant, the  
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18 120 synthesized AuNPs were redispersed in nanopure water (10 mL) and stored at 4 °C before use.  
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22 121 To produce pH sensitive particles, we initially modified the AuNP surface by adding 4-  
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24 122 Mpy (0.75  $\mu\text{M}$ ) to replace the original surface ligand (*i.e.*, ascorbic acid). The suspension pH was  
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26 123 adjusted to 9 prior to adding 4-Mpy. The nanoparticles were further functionalized with Br<sup>-</sup> (20  
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28 124 mM) to achieve high SERS activity and pH sensitivity. We stabilized the produced particles using  
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30 125 HS-PEG (0.01  $\mu\text{M}$ ) before centrifuging at  $3260 \times g$  for 15 min. Following centrifugation, the  
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32 126 nanoprobe were redispersed in 2 mL of nanopure water and stored at 4 °C.  
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### 37 127 **Intracellular pH monitoring**

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39 128 Intracellular pH analysis was performed using murine mammary carcinoma cells (4T1,  
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41 129 ATCC CRL-2539). The cells were cultured in Roswell Park Memorial Institute (RPMI)-1640  
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43 130 medium with 10% fetal bovine serum (FBS) at 37 °C with 5%  $\text{CO}_2$ . When the culture became  $\approx 80\%$   
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45 131 confluent, cells were lifted with 0.25% (w/v) trypsin-0.1% (w/v) EDTA solution and the cell  
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47 132 density was estimated using a hemocytometer. A 2 mL suspension of cancer cells at  $2.4 \times 10^4$   
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49 133 cells/mL were seeded in a 35 mm sterile tissue-treated petri dish. Cells were cultured overnight in  
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51 134 an incubator at 37 °C with 5%  $\text{CO}_2$ . The nanoprobe (200  $\mu\text{L}$  of  $2 \times 10^{11}$  particles/mL) were then  
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53 135 added into the petri dish and incubated with the cells for 24 hours. Prior to Raman imaging, the  
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3 136 cells were washed with Dulbecco's phosphate buffered saline (DPBS, pH 7.4) thrice and the petri  
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5 137 dish was refilled with cell culture medium. During pH measurement, only cells that were securely  
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7 138 attached to the petri dish were selected under the Raman microscope for SERS analysis. To  
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10 139 quantify pH within the cancer cells, a calibration curve was generated based on the SERS spectra  
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12 140 of nanoprobables in cell culture medium with different pH values. The pH was adjusted to a given  
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15 141 value by using H<sub>2</sub>SO<sub>4</sub> and NaOH.

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17 142 After measuring pH by SERS, we used the ReadyProbes® Cell Viability Imaging Kit  
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19 143 (Blue/Green) to determine cell viability. The assay was performed by adding two drops each of  
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22 144 NucBlue® Live reagent (Hoechst 33342) and NucGreen® Dead reagent to 1 mL of cell growth  
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24 145 media and incubating for 15 min. Cell viability was evaluated using fluorescence microscopy  
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26 146 (Zeiss Axio Observer Z1). NucBlue® Live reagent, which stains the nuclei of all cells, was  
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28 147 detected with a standard DAPI filter (excitation/emission maxima: 360/460 nm). NucGreen®  
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31 148 Dead reagent, which stains only the nuclei of dead cells with compromised plasma membranes,  
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33 149 was detected with a standard FITC/GFP (green) filter set (excitation/emission maxima: 504/523  
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36 150 nm).

### 37 38 39 151 **Instrumentation**

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41 152 The synthesized nanoprobables were characterized by multiple techniques. An ultraviolet-  
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43 153 visible (UV-Vis) spectrophotometer (Cary 5000, Agilent) was used to measure the UV-Vis  
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45 154 absorbance spectra of the suspension in a plastic cuvette with 1-cm light path length. The  
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47 155 hydrodynamic diameter and zeta potential were determined by a dynamic light scattering  
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50 156 instrument (DLS, Zetasizer Nano ZS). Additionally, transmission electron microscopy (TEM,  
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53 157 JEOL 2100 S/TEM) was utilized to observe particle size and morphology. The TEM sample was  
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3 158 prepared by dropping the sample on a lacey-carbon coated copper grid and air drying in a clean  
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5 159 laminar flow hood.  
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8 160 SERS spectra were recorded by a Raman spectrometer (Alpha500R, WITec) with a 785-  
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10 161 nm laser and a 50× confocal microscope objective. The detection settings involve 300 gr/mm  
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12 162 grating and 0.1 s integration time. Three replicates were tested for each sample during method  
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14 163 development. For each replicate, 400 spectra, acquired across a  $100 \times 100 \mu\text{m}^2$  area, were averaged  
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16 164 to produce an overall spectrum (unless noted otherwise) using Project Five (version 5.0). The  
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18 165 detection process was monitored using Control Five (version 5.0). Cancer cells were analyzed  
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20 166 using the same settings except the detection area was adjusted according to the cell size.  
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## 25 167 **SERS data analysis**

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27 168 The SERS data was analyzed using MATLAB<sup>®</sup> 2016b (The Mathworks, USA) to baseline  
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29 169 correct the spectra and extract the intensities of the peaks of interest ( $1572 \text{ cm}^{-1}$  and  $1606 \text{ cm}^{-1}$ ).  
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31 170 Calibration curves were plotted to demonstrate changes of peak ratios as a function of pH. Based  
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33 171 on the calibration curve, we were able to convert peak intensity ratios ( $I_{1572}/I_{1606}$ ) into pH in each  
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35 172 pixel of the SERS maps and thus generate a pH distribution map.  
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## 40 173 **Results and Discussion**

### 41 42 43 174 **pH nanoprobe design and development**

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46 175 Fabrication of pH-sensing nanoprobe involved sequential functionalization of the AuNP  
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48 176 surface with 4-Mpy and Br. To produce nanoprobe with high SERS signal intensity, we first  
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50 177 optimized the 4-Mpy concentration. As shown in Figure S1, the SERS signal intensity increased  
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52 178 when the 4-Mpy concentration increased from 0.1 to 0.75  $\mu\text{M}$  and then decreased when the  
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54 179 concentration exceeded 0.75  $\mu\text{M}$ . As reported previously, the Raman reporter concentration affects  
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3 180 the SERS signal intensity both due to changes in surface coverage<sup>39</sup> and the NP aggregation  
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5 181 state<sup>15,40</sup>. In this study, 0.75  $\mu\text{M}$  4-Mpy was used for nanoprobe functionalization because it  
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8 182 ensured full coverage of 4-Mpy on AuNP surface (132% of geometric surface area, see calculation  
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10 183 details in SI) and generated AuNP aggregates (DLS size:  $75.9 \pm 1$  nm) with high SERS activity  
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12 184 (Figure S1). The zeta potential of AuNPs changed from  $-41.6 \pm 1.0$  mV to  $-30.7 \pm 0.1$  mV after  
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15 185 incubation with 4-Mpy, indicating the replacement of original surface ligand by 4-Mpy.  
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17 186 The second step in nanoprobe production involved the labelling of 4-Mpy-functionalized  
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20 187 AuNPs with  $\text{Br}^-$ . To increase 4-Mpy sensitivity to low pH range through  $\text{Br}^-$  electrostatically  
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22 188 stabilized protonated 4-Mpy and minimize the interference from halide ions in sample matrices, a  
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25 189  $\text{Br}^-$  concentration that could ensure sufficient  $\text{Br}^-$  to cover the AuNP surface is favorable. In order  
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27 190 to preselect a concentration that meets this requirement, we first tested the interaction of AuNPs  
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29 191 with different concentrations of  $\text{Br}^-$  ions prior to 4-Mpy modification. As seen in Figure S2, the  
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31 192 SERS peak at  $189\text{ cm}^{-1}$ , which represents the Au-Br bond, increased from 10 to 20 mM, and  
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34 193 flattened from 20 to 30 mM, indicating that the adsorption of  $\text{Br}^-$  on AuNPs reached the maximum  
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36 194 at 20 mM. Hence, 20 mM  $\text{Br}^-$  ions was preselected for further experiments.  
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39 195 With 4-Mpy modification, we found that  $\text{Br}^-$  caused additional AuNP aggregation, giving  
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41 196 rise to larger AuNP aggregates ( $131.1 \pm 0.8$  nm) and weaker SERS signals (Figure 1a, pH 5.7).  
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43 197 This problem was addressed by adjusting the suspension pH before incubating the AuNP colloids  
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46 198 with 4-Mpy and  $\text{Br}^-$ . Based on the color change of the suspension and the corresponding UV-Vis  
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48 199 spectra (Figure 1b), we determined that the extent of AuNP aggregation was negatively associated  
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50 200 with solution pH. At higher pH values, the extent of nanoprobe aggregation was lower as  
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52 201 confirmed by DLS analysis. The hydrodynamic diameter decreased from  $131.1 \pm 0.8$  to  $51.2 \pm 0.8$   
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55 202 nm when pH increased from 5.7 to 10 (Figure S3).  
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3 203 The SERS activity of the nanoprobe synthesized at different initial pH values was  
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5 204 examined and the results showed that the highest SERS signal intensity was measured at pH 9  
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8 205 (Figure 1a). For this reason, pH 9 was used for the synthesis of nanoprobe in all subsequent  
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10 206 experiments. pH alters the aggregation state of the AuNPs due to its impact on the AuNP surface  
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12 207 charge. Zeta potential measurements indicate that the surface charge of the AuNPs was dependent  
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14 208 on the pH used for 4-Mpy functionalization (Figure S4). The zeta potential decreased from  $-30.7$   
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16  $\pm 0.1$  to  $-51.6 \pm 0.2$  mV when pH increased from 5.7 to 10. The increase in negative charge  
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18 accounts for the decreased aggregation. 4-Mpy, with a reported surface  $pK_a$  of 3.0-3.9,<sup>23-25</sup> is  
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20 neutral or negatively charged<sup>41</sup> in the pH range of 5.7-10 and the higher the pH, the higher the  
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22 number of negative charges, which explains the pH-dependent stability of 4-Mpy-functionalized  
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24 AuNPs.  
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29 214 We examined the impact of  $[Br^-]$  on the aggregation of 4-Mpy-functionalized AuNPs and  
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31 215 their SERS activity. As indicated by a color change and the UV-Vis absorbance data (Figure 1d),  
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33 216  $[Br^-]$  impacts the aggregation state of the synthesized nanoprobe. When  $[Br^-]$  was increased from  
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35 217 10 to 30 mM, we observed an increase in the DLS size from  $49.2 \pm 0.8$  to  $61.7 \pm 0.9$  nm (Figure  
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37 218 S5). Based on the measured SERS signal intensities, 20 mM  $Br^-$  induced the highest SERS activity  
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39 219 (Figure 1c). As seen in the UV-Vis spectra in Figure 1d, a secondary plasmon band occurred in  
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41 220 the near-infrared region due to the coupling of surface plasmons between aggregated particles<sup>42</sup>  
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43 221 and was influenced by  $[Br^-]$ . It is a well-known phenomenon that SERS enhancement is maximized  
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45 222 when the nanosubstrate plasmon band overlaps with the incident laser wavelength.<sup>43-45</sup> Therefore,  
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47 223 the closer the secondary band to the incident laser wavelength, the higher the SERS enhancement.  
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49 224 In this study, we used a 785 nm laser. The aggregates induced by 20 mM  $Br^-$  exhibited an extinction  
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51 225 band at around 770 nm which is closest to 785 nm as compared to those generated by 10 mM or  
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3 226 30 mM (Figure 1d). This explains why SERS intensity with 20 mM Br<sup>-</sup> was the higher than that  
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5 227 with 30 mM Br<sup>-</sup>. Accordingly, 20 mM was adopted as the optimal [Br<sup>-</sup>] to synthesize the pH  
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7 228 nanoprobes.  
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10 229 In this study, we have found that both 4-Mpy and Br<sup>-</sup> contributed to the aggregation of  
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12 230 AuNPs. Nanoprobes functionalized at pH 9 by a combination of 0.75 μM 4-Mpy and 20 mM Br<sup>-</sup>  
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14 231 yields strongest SERS signals. Increasing the concentration of either 4-Mpy or 20 mM Br<sup>-</sup> could  
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16 232 cause additional aggregation and weaken the nanoprobe performance. It is possible to achieve  
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18 233 other combinations by increasing [4-Mpy] and decreasing [Br<sup>-</sup>]. However, this will compromise  
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20 234 the surface coverage of Br<sup>-</sup> on AuNPs. As shown in Figure S2, the adsorption of Br<sup>-</sup> on AuNPs  
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22 235 reached the maximum at 20 mM. Therefore, we did not make future adjustments of [4-Mpy] and  
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24 236 [Br<sup>-</sup>].  
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### 29 237 **Characterization of the pH nanoprobes**

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32 238 We designed and developed an innovative pH nanoprobe that was functionalized with both  
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34 239 4-Mpy and Br<sup>-</sup>. According to the DLS analysis, the pristine AuNPs used for nanoprobe synthesis  
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36 240 have an average hydrodynamic diameter of  $36.1 \pm 0.3$  nm. A typical UV-Vis absorbance peak for  
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38 241 spherical AuNPs of this size was observed at 526 nm (Figure 2a). The average physical diameter  
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40 242 was  $36.4 \pm 0.7$  nm according to TEM analysis (ImageJ, n=100; Figure 2b, 2c). After  
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42 243 functionalization by 4-Mpy, Br<sup>-</sup>, and stabilization by PEG, the hydrodynamic diameter increased  
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44 244 to  $57.2 \pm 0.7$  nm. The UV-Vis spectrum (Figure 2a) exhibits two bands. The one at 528 nm is  
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46 245 derived from the localized surface plasmon resonance (LSPR) of gold nanoparticle monomers; the  
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48 246 other peak at ~770 nm is the broad extended plasmon band (EPB) arising from gold nanoparticle  
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52 247 aggregates.<sup>46,47</sup> These changes in the UV-Vis spectrum are consistent with the increase in  
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3 248 hydrodynamic diameter and suggest moderate aggregation of the AuNPs induced by surface  
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5 249 modification.

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8 250 Nanoprobe-based sensing takes advantage of the spatial sensitivity enabled by nanoscale  
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10 251 probes and the high-resolution spectroscopic fingerprint provided by SERS. The spatial volume  
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12 252 that a nanoprobe senses is determined by the laser spot size of the Raman microscope objective.  
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14 253 The laser spot size is defined in the lateral ( $\delta_{\text{lateral}}$ ) and axial ( $\delta_{\text{axial}}$ ) dimensions, which were  
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16 254 calculated to be 0.68  $\mu\text{m}$  and 3.2  $\mu\text{m}$ , respectively (details in the SI). Assuming a cylindrical laser  
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18 255 spot, the detection volume was determined to be 1.2  $\mu\text{m}^3$ . Such a small volume provides SERS-  
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20 256 based pH nanoprobes with higher spatial resolution than traditional pH meters.  
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### 25 257 **Advantages of Br<sup>-</sup>-assisted pH nanoprobes**

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27 258 One of the major improvements made in this study is the incorporation of Br<sup>-</sup> into the  
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29 259 fabricated pH-sensing nanoprobes. The nanoprobes were surface modified by Br<sup>-</sup> to achieve three  
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31 260 aims. The first aim was to improve the sensitivity of the nanoprobes to pH changes. As shown in  
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33 261 Figure 3, we compared the SERS response of the nanoprobes with or without Br<sup>-</sup> functionalization  
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35 262 under different pH conditions. Because the signal intensities varied with pH, we normalized all of  
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37 263 the peaks relative to the elastic light scattering peak at 81  $\text{cm}^{-1}$  to illuminate spectral pattern  
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39 264 changes more clearly.<sup>48</sup> Past studies by our group have shown that this normalization approach,  
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41 265 using elastic light scattering as an internal standard, can reduce spatial signal variability caused by  
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43 266 heterogeneous SERS “hotspots”.<sup>39,48</sup> In this manner, intrinsic changes in the reporter molecule on  
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45 267 the AuNP surface are directly reflected within the normalized SERS spectrum.<sup>39,49</sup> Based on the  
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47 268 observation that the normalized SERS spectrum for nanoprobes in the absence of Br<sup>-</sup> at pH 4.5 was  
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49 269 similar to that at pH 1.5 (Figure 3a), we established that without Br<sup>-</sup> the nanoprobes were  
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51 270 insensitive to the acidic pH change. When the AuNP surface was modified by Br<sup>-</sup>, the pH  
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3 271 sensitivity was improved with the spectral pattern significantly altered by the pH decrease from  
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5 272 4.5 to 1.5 (Figure 3b). The normalized signal intensity increased for peaks at 1006 and 1606  $\text{cm}^{-1}$   
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8 273 and decreased for peaks at 1091 and 1572  $\text{cm}^{-1}$ . The effect of  $\text{Br}^-$  on the sensitivity of the metal  
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10 274 surface ligand to pH changes was previously reported for pyridine,<sup>27,30</sup> the major molecular unit  
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12 275 of 4-Mpy. It was found that, in the absence of halide ions, the protonated form of pyridine (i.e.,  
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14 276 pyridinium) is unstable and readily transforms to pyridine on the silver electrode surface,<sup>26,30</sup> thus  
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17 277 making SERS detection of pyridinium challenging. However, when halide ions are present,  
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19 278 pyridinium interacts with the halide ions to form pyridinium halide that binds to the Ag electrode  
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22 279 surface through the halide ions. This mechanism explains why halide ions are required to enable  
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24 280 SERS monitoring of the pH-induced chemical changes of pyridine. A similar process could explain  
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26 281 our finding that the SERS signals of 4-Mpy are more sensitive to pH changes when halide ions  
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28 282 (e.g.,  $\text{Br}^-$ ) coexist with 4-Mpy at the AuNP surface. Accordingly,  $\text{Br}^-$  labelling is a critical step to  
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31 283 enable pH sensitivity of 4-Mpy-based SERS nanoprobcs.

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33 284 The second purpose of  $\text{Br}^-$  modification is to minimize potential interference by halide ions,  
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36 285 such as  $\text{Cl}^-$  and  $\text{Br}^-$ , within the analyte media.  $\text{Cl}^-$  is ubiquitous in environmental and biological  
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38 286 matrices, with high concentrations around several hundred millimolar in many samples (e.g., in  
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41 287 sea water).<sup>31</sup>  $\text{Br}^-$  has lower concentrations in most natural ecosystems, except in seawater where  
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43 288 the concentration can reach 0.8 mM.<sup>31,32</sup> When the nanoprobcs were not pre-labelled by  $\text{Br}^-$  (Figure  
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45 289 4a, 4b), the SERS spectral shape and peak ratios fluctuated when the analyte matrix contained  $\text{Cl}^-$   
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48 290 or  $\text{Br}^-$ . As a result, SERS-based pH measurements are skewed by the presence of halide ions in the  
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50 291 sample. In contrast, nanoprobcs functionalized by  $\text{Br}^-$  exhibit stable SERS spectra even in the  
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52 292 presence of  $\text{Cl}^-$  or  $\text{Br}^-$  in the sample media (Figure 4c, 4d). Modification of the AuNP surface by  
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3 293 Br<sup>-</sup> during nanoprobe synthesis enables resistance of the nanoprobe to the halide ion interference  
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5 294 and enhances the pH measurement accuracy.  
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8 295 The third function of Br<sup>-</sup> modification is enhanced SERS activity. Figure 3c demonstrates  
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10 296 that nanoprobe with Br<sup>-</sup> labelling have 8× increased SERS intensity relative to those without Br<sup>-</sup>  
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12 297 labelling. Previous studies have reported three modes of action through which halide ions could  
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14 298 affect the SERS response of metal nanoparticles: activation,<sup>50</sup> aggregation,<sup>51,52</sup> and desorption<sup>53</sup>.  
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16 299 Activation occurs following the formation of stable surface complexes between the metal surface,  
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18 300 halide ions, and the molecular adsorbate. Jeanmaire and Van Duyne observed increased Raman  
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20 301 enhancement in the presence of Br<sup>-</sup> due to complex formation between the silver surface, Br<sup>-</sup>, and  
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22 302 pyridine.<sup>54</sup> Aggregation occurs when the halide ion concentration is high enough to create  
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24 303 aggregates with SERS “hotspots” that enhance Raman signals.<sup>51,52</sup> Desorption is the replacement  
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26 304 of the molecular adsorbate by halide ions on the metal surface. In our work, we observed that the  
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28 305 SERS signal of protonated 4-Mpy was stabilized by Br<sup>-</sup> on the AuNP surface, indicating the  
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30 306 formation of complexes between Au, Br<sup>-</sup>, and 4-Mpy. Furthermore, the aggregation of 4-Mpy-  
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32 307 functionalized AuNPs induced by Br<sup>-</sup> (20 mM) was detected with a hydrodynamic diameter  
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34 308 increase from 38.5 ± 0.3 (0 mM Br<sup>-</sup>) to 57.2 ± 0.7 nm. In addition, the coverage of 4-Mpy was not  
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36 309 significantly altered by Br<sup>-</sup> modification (Figure S6), showing that Br<sup>-</sup> did not result in the  
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38 310 desorption of 4-Mpy. Overall, it is suggested that the activation and aggregation caused by Br<sup>-</sup>  
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40 311 labelling contributed to the improved SERS activity of the pH nanoprobe in this study.  
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### 48 312 **Nanoprobe stability and pH sensitivity in cell culture medium**

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50 313 To establish the utility of our nanoprobe, we conducted intracellular pH analysis using  
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52 314 4T1 murine mammary carcinoma cells. To ensure the availability of the nanoprobe for cancer cell  
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54 315 uptake, the nanoprobe must stay suspended and stable in the culture medium over the incubation  
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3 316 period. We tracked nanoprobe stability in the culture media using DLS. The results indicate that  
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5 317 the hydrodynamic diameter of the nanoprobe remained around 60 nm over 24 h (Figure 5a), thus  
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8 318 confirming the stability of our pH nanoprobe and their availability for cell internalization.  
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10 319 To quantify pH in 4T1 breast cancer cells, a calibration curve showing the relationship  
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12 320 between the nanoprobe SERS signal and the sample pH was produced. For that purpose, we first  
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14 321 measured cell culture media with pH values ranging from 2.1 to 10 using the developed  
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16 322 nanoprobe, and then recorded the corresponding SERS spectra. The peak assignments within the  
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18 323 SERS spectra are tabulated in Table S1. Among them, the two peaks at 1006 and 1606  $\text{cm}^{-1}$  were  
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20 324 chosen as pH reporter bands because they are associated with the protonation and deprotonation  
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22 325 of N in 4-Mpy.<sup>55,56</sup> A pH calibration curve was obtained based on the intensity ratio of the two  
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24 326 peaks ( $I_{1572}/I_{1606}$ ) and the culture medium pH. As shown in Figure 5b, the relationship is well fit  
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26 327 by a polynomial expression ( $R^2 = 0.992$ ). Due to their high stability and pH sensitivity, the  
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28 328 nanoprobe developed herein can feasibly measure a wider pH range (2.1-10) than previous pH  
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30 329 nanoprobe reported in the literature.<sup>15,16,18-21</sup>  
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### 36 330 **Intracellular pH monitoring in cancer cells**

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39 331 To evaluate the efficacy of our nanoprobe for pH determination in confined  
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41 332 microenvironments, the 4T1 murine mammary carcinoma cells was selected as a biological  
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43 333 example. 4T1 is a commonly used animal model for human breast cancer.<sup>57,58</sup> Before intracellular  
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45 334 pH tracking and imaging by SERS, the nanoprobe were first incubated with 4T1 cancer cells in  
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47 335 the culture medium for 24 h to enable nanoprobe internalization. After incubation, the cancer cells  
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49 336 were washed by DPBS 3 $\times$  prior to SERS imaging. To pinpoint the locations of the nanoprobe,  
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51 337 the Raman band at 1572  $\text{cm}^{-1}$  from 4-Mpy was selected as a marker to create the nanoprobe  
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53 338 distribution map. As shown in Figure 6a and 6b, the nanoprobe signal was detected where cancer  
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3 339 cells existed and the optical image of cancer cells matched the distribution map of nanoprobe,  
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5 340 thus suggesting that the nanoprobe were co-located with the cancer cells. We demonstrated  
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7 341 cellular internalization of the nanoprobe through depth mapping (Figure 6d). The nanoprobe  
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9 342 signals were detected across 0-27  $\mu\text{m}$ , which is in agreement with the cancer cell dimension,  
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11 343 suggesting that nanoprobe have been successfully taken up by cancer cells. Moreover, maps  
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13 344 collected near the upper surface of a cancer cell showed no nanoprobe signals, indicating that the  
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15 345 nanoprobe were not attached to the cell surface. It has been reported in the literature that  
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17 346 nanoparticles could enter 4T1 breast cancer cells through endocytosis.<sup>59</sup> Our data demonstrate that  
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19 347 our nanoprobe were successfully internalized by the 4T1 breast cancer cells and could be used to  
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21 348 measure pH inside cancer cells. Meanwhile, the performed cell viability test (Figure S7) shows  
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23 349 that 99.6% (272 cells) of cells were alive after nanoprobe internalization and SERS analysis,  
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25 350 indicating that the cell viability was not significantly compromised by the nanoprobe-based pH  
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27 351 measurement.  
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34 352 Using an in-house MATLAB script, we were able to extract the ratios of  $I_{1572}/I_{1606}$  from the  
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36 353 SERS data, and then convert them into pH distribution maps (Figure 6c and 6e) based on the  
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38 354 calibration curve plotted in Figure 5b. First, we found that the pH values were consistent no matter  
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40 355 whether XY mapping ( $5.2 \pm 0.1$ ) or depth mapping ( $5.0 \pm 0.1$ ) was used (Figure 6f). Furthermore,  
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42 356 we compared the average pH values among different cells using surface scans (Figure S8). The  
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44 357 internal pH ranged from 4.8 to 5.5, with an average of 5.2. Our results are in good agreement with  
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46 358 those reported previously.<sup>26,59</sup> In addition, the SERS spectral peaks of the internalized nanoprobe  
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48 359 are free from interferences by cell components or culture medium. A representative SERS  
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50 360 spectrum collected from nanoprobe in cancer cells is provided in Figure S9. As discussed above,  
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52 361 our 4-Mpy-labelled nanoprobe have strong SERS activity, high pH sensitivity, and low  
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3 362 interference by halide ions due to the functionalization by Br<sup>-</sup>. Previous studies also used 4-Mpy  
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5 363 as a pH reporter to functionalize nanoprobe, but did not consider the influence of halide ions on  
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7 364 the SERS signals of their nanoprobe.<sup>18-21</sup> For example, Shen *et al.* used HCl to adjust the pH of  
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9 365 their standards in an attempt to build a calibration curve between pH and the SERS peak ratio of  
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11 366 4-Mpy.<sup>19</sup> The introduction of different amounts of HCl into the standards could alter 4-Mpy  
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13 367 relationship with pH, which makes it inaccurate to quantify pH in an unknown sample. Another  
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15 368 potential interfering source may come from the cell culture medium, which contains varying  
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17 369 concentrations of chloride ions depending on the cell culture medium types. This makes it  
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19 370 challenging to quantify pH in biological systems as chloride ions are ubiquitous in such systems.  
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22 371 In this study, we resolved this issue by developing a new type of nanoprobe that is resistant to  
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24 372 halide ion effects.  
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## 29 373 **Conclusions**

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32 374 This study focuses on the development of reliable nanoprobe for pH quantification in  
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34 375 confined microenvironments. We found that nanoprobe modified with 4-Mpy alone are not  
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36 376 sensitive to acidic pH unless halide ions exist in the system. Therefore, we proposed the idea to  
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38 377 label AuNP surface with Br<sup>-</sup>. The labelling enables the following improvements: 1) the pH  
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40 378 sensitivity of the 4-Mpy-functionalized nanoprobe was increased, especially in acidic conditions;  
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42 379 2) the high affinity of Br<sup>-</sup> towards gold surface reduced the interference of major halide ions (Cl<sup>-</sup>  
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44 380 and Br<sup>-</sup>) on pH measurement; and 3) the Au-Br-4-Mpy complexes formed on the surface of  
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46 381 nanoprobe enhanced their SERS activity. With these improvements, the nanoprobe were able to  
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48 382 monitor the pH distribution pattern inside individual cancer cells consistently and stably. As many  
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50 383 reactions in cancer cells are pH-dependent,<sup>4,5</sup> the nanoprobe we developed provide a reliable tool  
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52 384 to advance our knowledge on pH-associated biochemical reactions. For example, it has been  
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3 385 reported that the viability of cancer cells could be inhibited by acidity-reducing drugs, suggesting  
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5 386 that controlling cell pH may be a therapeutic strategy for cancer treatment.<sup>4</sup> To further explore this,  
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7 387 accurate pH measurement approaches are required. This study fits such need by developing SERS-  
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9 388 based nanoprob es that can detect pH sensitively in confined microenvironments of cancer cells  
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11 389 with little interference. The application scope of our nanoprob es is expected to be extended to  
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13 390 other microenvironments that cannot be reached readily by traditional methods, such as aerosol  
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15 391 droplets, soil pore water, and plant tissues.  
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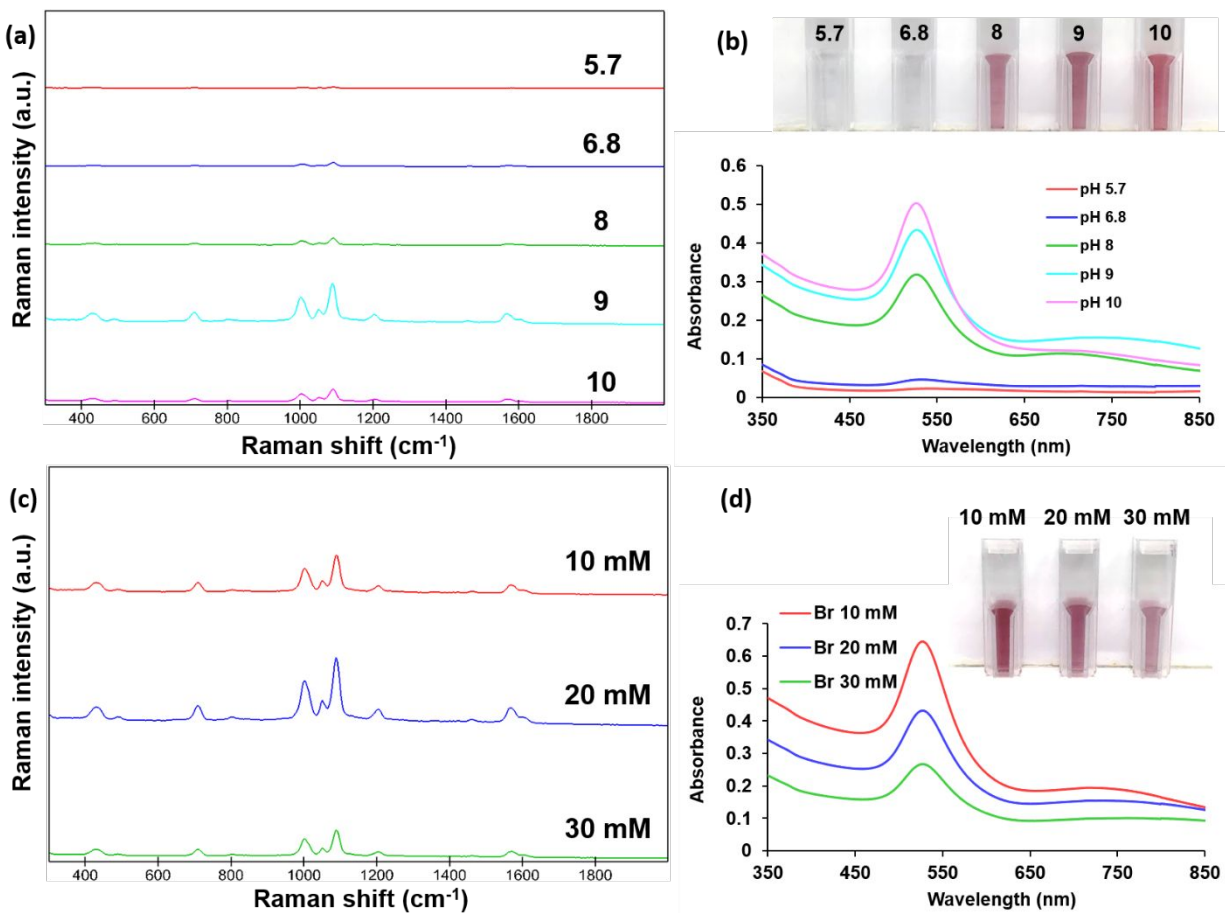
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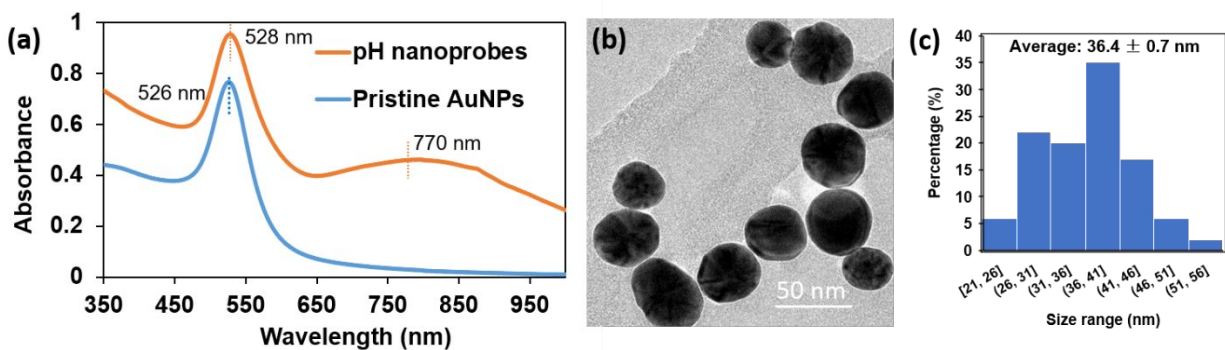
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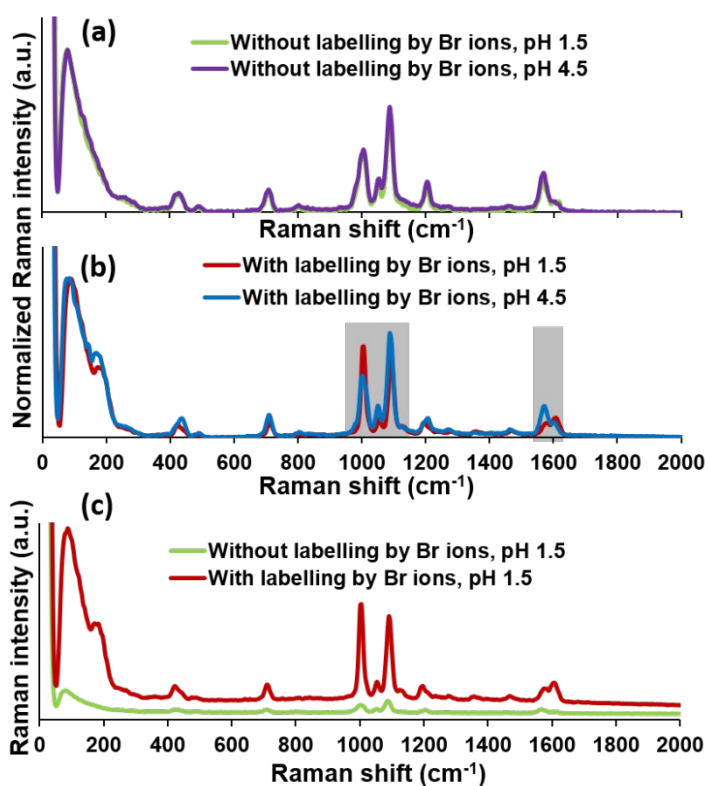
577 **Figures**

578  
579 Figure 1. Optimization of pH (a, b) and Br<sup>-</sup> concentration (c, d) for nanoprobe synthesis based on  
580 SERS spectra (a, c) and UV-Vis absorbance (b, d). The UV-Vis spectra and corresponding optical  
581 images were recorded after 2.5× dilution of the nanoprobe solutions. Nanoprobes in (a) and (b)  
582 were synthesized with 0.75 μM 4-Mpy, 20 mM Br<sup>-</sup>, and 0.01 μM PEG, and those in (c) and (d)  
583 were produced at pH 9 with 0.75 μM 4-Mpy and 0.01 μM PEG. The spectra in (a) and (c) were  
584 offset to show the differences clearly.

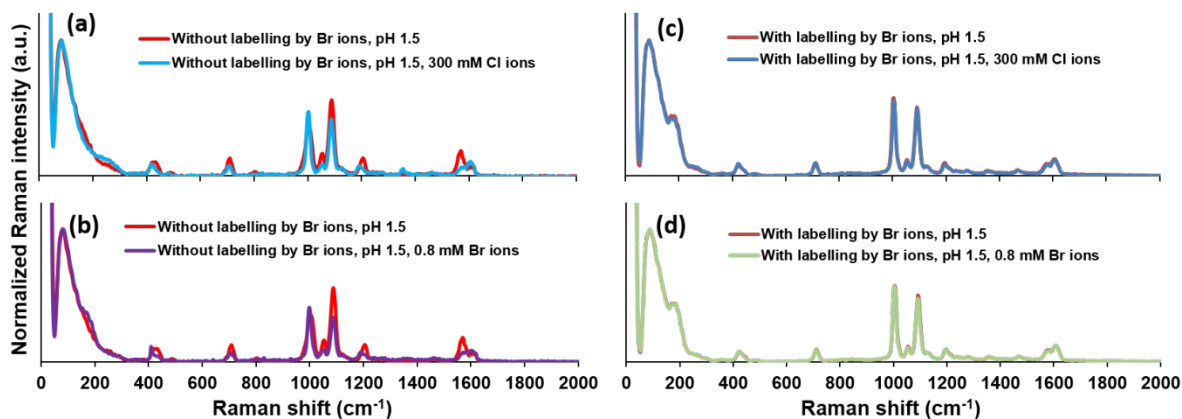
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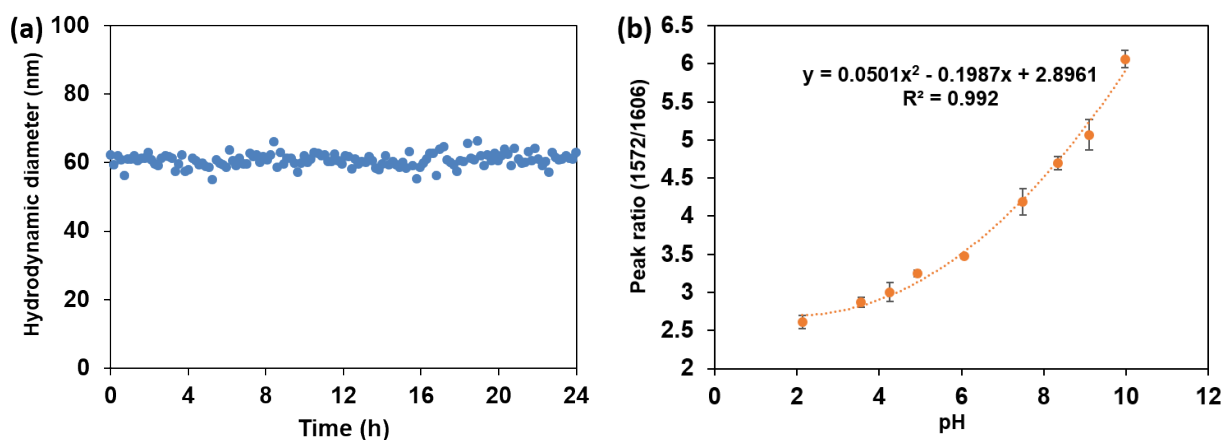
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587 Figure 2. (a) UV-Vis spectra of the pristine AuNPs and the synthesized pH nanoprobes. (b) TEM  
588 image and (c) size distribution of the pristine AuNPs.



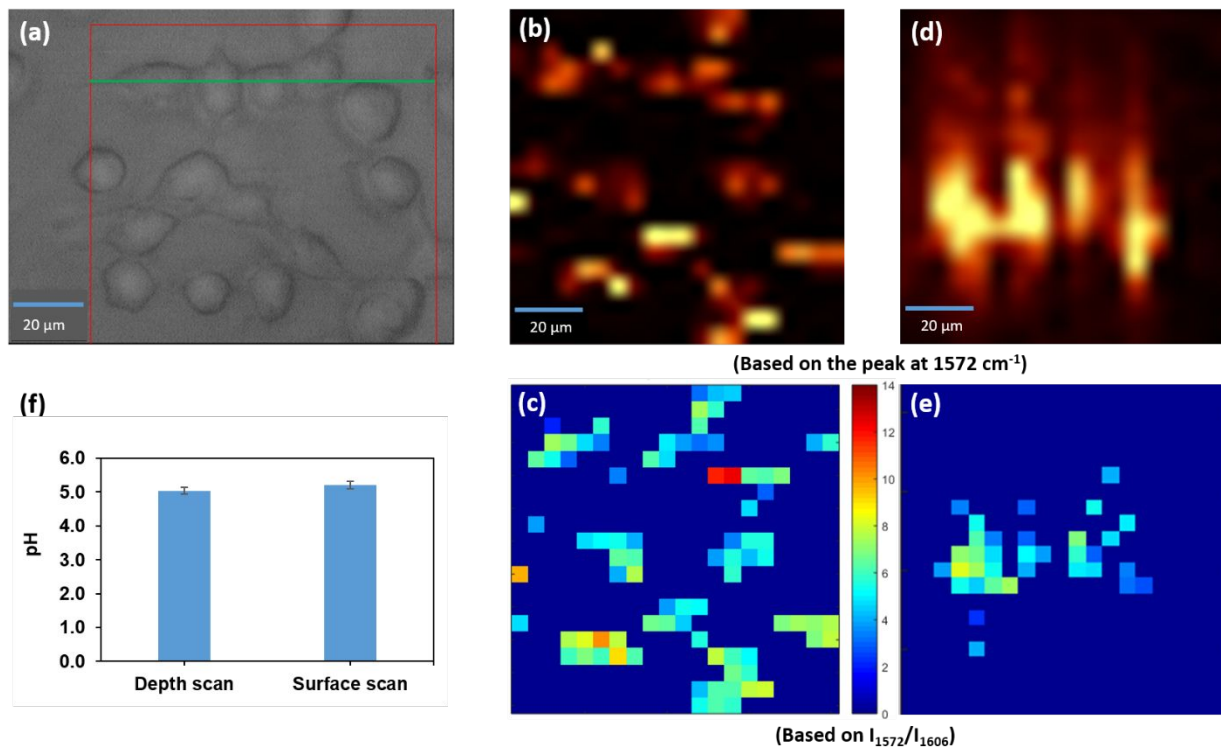
589  
590 Figure 3. SERS spectra of nanoprobes without (a) and with (b) labelling by Br in H<sub>2</sub>SO<sub>4</sub> solutions  
591 of pH 1.5 and 4.5. The spectra in (a) and (b) are normalized to the elastic scattering peak at 81 cm<sup>-1</sup>  
592 and these in (c) are the original spectra without normalization.



593  
 594 Figure 4. SERS spectra of nanoprobe labels without (a, b) and with (c, d) Br<sup>-</sup> in H<sub>2</sub>SO<sub>4</sub> solution  
 595 of pH 1.5 that contains Cl<sup>-</sup> (a, c) or Br<sup>-</sup> (b, d). The spectra were normalized to the elastic scattering  
 596 peak at 81 cm<sup>-1</sup>.

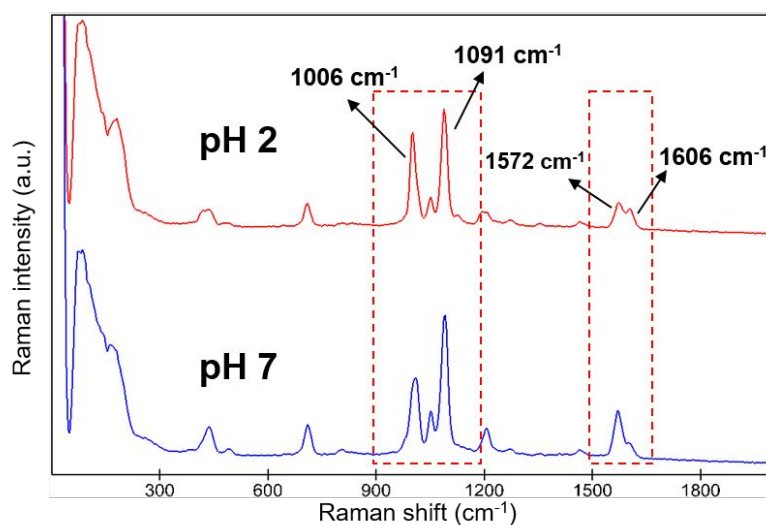
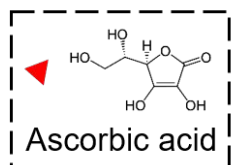
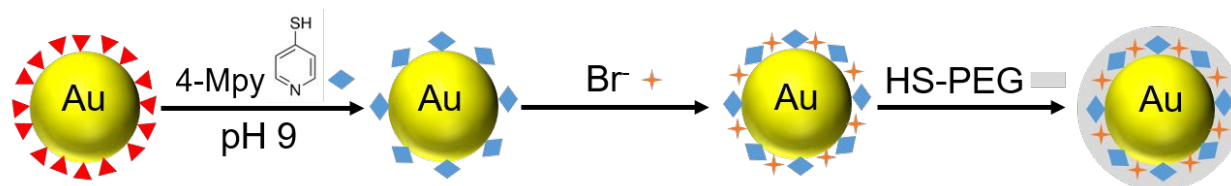


597  
 598 Figure 5. (a) Hydrodynamic diameter of the pH-sensing nanoprobe in cell culture medium over  
 599 24 h. (b) Calibration curve based on the nanoprobe SERS peak intensity ratio ( $I_{1572}/I_{1606}$ ) in cell  
 600 culture medium of different pHs.



601  
602 Figure 6. Nanoprobe-enabled pH measurement in 4T1 murine mammary carcinoma cells. (a)  
603 Optical microscopy image of 4T1 cancer cells (50× objective); a square area and a line were  
604 selected in the optical image for SERS surface mapping and in-depth mapping, respectively. The  
605 corresponding maps are shown in (b) and (d) based on the peak intensity at 1572 cm<sup>-1</sup>. The  
606 surface and in-depth pH maps (c and e) were obtained based on the peak intensity ratio of  
607  $I_{1572}/I_{1606}$ . The averaged pHs based on surface scan and depth scan are illustrated in panel (f).

614 **Graphical abstract**



SERS

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