

Journal of Materials Chemistry A

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Journal:	Journal of Materials Chemistry A		
Manuscript ID	TA-ART-12-2018-012014.R1		
Article Type:	Paper		
Date Submitted by the Author:	20-Jan-2019		
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# Unraveling the Role of Structural Water in Bilayer V<sub>2</sub>O<sub>5</sub> during Zn<sup>2+</sup>-Intercalation: Insights from DFT Calculations

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† Electronic supplementary information (ESI) available. See DOI: XXXXXX.

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#### Abstract

Bilayer structured V<sub>2</sub>O<sub>5</sub>·nH<sub>2</sub>O has recently been studied as a promising cathode material for aqueous Zn<sup>2+</sup>-batteries (ZIBs) due to its ion-intercalatable layer structure and high theoretical capacity. An interesting observation in this system is the beneficial effect of structural water (nH<sub>2</sub>O) on the electrochemical performance, but a fundamental understanding on the underlying reason is still lacking. Herein, we report a systematic density functional theory investigation into why and how structural water in the bilayer V<sub>2</sub>O<sub>5</sub>·nH<sub>2</sub>O affects structure, voltage, migration barrier and capacity during Zn<sup>2+</sup>-intercalation process. The results suggest that the structural water acts as extra host sites to accept electrons from Zn, resulting in a stronger ionization of Zn<sup>2+</sup> than dry V<sub>2</sub>O<sub>5</sub> and thus higher open-circuit voltage (OCV). It is also found that structural water creates a smoother electrostatic environment between V<sub>2</sub>O<sub>5</sub> sheets for easy Zn<sup>2+</sup> diffusion. Benefited from such a combined "charge shielding" and "O in H<sub>2</sub>O interaction with Zn<sup>2+</sup>" effect, V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O exhibit lower Zn<sup>2+</sup>-diffusion barrier and higher OCV than non-hydrated V<sub>2</sub>O<sub>5</sub>. Overall, this DFT study reveals mechanistic insights into the importance of structural water in promoting Zn<sup>2+</sup>-intercalation process in bilayer V<sub>2</sub>O<sub>5</sub>.

#### Introduction

A deeper penetration of renewable energy into existing electrical grids calls for the development of large-scale and cost-effective energy storage technologies such as rechargeable batteries.<sup>1-3</sup> The benchmark non-aqueous based Li-ion battery (LIB) technology is not suitable for the application because of the concerns in safety, cost and sustainability.<sup>4-7</sup> Other non-aqueous based Na-ion and K-ion batteries are sustainable for large-scale applications from a resource perspective, but their safety remains a major concern due to the use of flammable organic electrolytes.<sup>8-12</sup> A potential solution to overcome the safety and cost barriers is to switch the liquid electrolyte from organic to aqueous solvents. There are several advantages that can be gained from this switch: better safety, lower cost, higher ionic conductivity (~1 vs 10<sup>-2</sup>-10<sup>-3</sup> S cm<sup>-1</sup>),<sup>13</sup> and easier ambient manufacturing.<sup>14-18</sup> But the disadvantage is lower operating voltage (~1 vs 3 V), which may result in lower energy capacity (J/kg or J/L). This disadvantage may be critical for portable electronics applications, but not necessarily for large-scale applications since safety and cost are the two most crucial criteria.

Attracted by the above unique advantages for potential large-scale energy storage applications, a range of aqueous-based battery chemistries based on alkali metal cations (e.g., Na<sup>+</sup> and K<sup>+</sup>)<sup>19, 20</sup> and multivalent cations (e.g., Mg<sup>2+</sup>, Ca<sup>2+</sup>, Zn<sup>2+</sup> and Al<sup>3+</sup>) have been explored in recent years.<sup>21</sup> For the multivalent cation chemistry, higher volumetric charge capacity and energy density are also expected because of the nature of multi-electron transfers.<sup>11, 22</sup> Among all aqueous-based rechargeable batteries, Zn-ion batteries (ZIBs) stand out to be the most promising candidate.<sup>23</sup> First, Zn is a low-cost, nontoxic and earth-abundant material. Second, Zn has a high theoretical

volumetric charge capacity (5,854 *vs* 2,042 mA h cm<sup>-3</sup> for Li), <sup>24</sup> low redox potential (-0.76 V *vs* SHE) and rather reversible Zn/Zn<sup>2+</sup> redox kinetics. <sup>25</sup> For these reasons, Zn has been a benchmark anode material for primary Zn-MnO<sub>2</sub> battery and secondary Zn-Ni and Zn-Mn batteries.<sup>26-28</sup> However, its low reduction potential presents a challenge to identify a suitable Zn<sup>2+</sup> host cathode material with a high oxidation potential, into which Zn<sup>2+</sup> can be intercalated. Therefore, a key development to the next-gen ZIBs is the discovery of new cathodes that can host Zn<sup>2+</sup> with reversibility.

Promising cathode materials of ZIBs are so far primarily found in MnO<sub>x</sub>, VO<sub>x</sub> and Prussian blue analogues, all of which have a strong oxidation potential to accept electrons from Zn.<sup>11</sup> One unique phenomenon observed in VO<sub>x</sub> cathode is that the presence of structural water can facilitate Zn<sup>2+</sup> intercalation kinetics. For example, Kundu *et al.* demonstrated that the structural water in Zn<sub>0.25</sub>V<sub>2</sub>O<sub>5</sub>·nH<sub>2</sub>O plays a role in facilitating expansion and contraction of layer-layer galleries to allow a reversible Zn<sup>2+</sup> intercalation/extraction, thus giving a good kinetics and rate performance.<sup>29</sup> Yan *et. al* experimentally showed that the structural water in V<sub>2</sub>O<sub>5</sub> can work as a "charge screen" to decrease electrostatic interactions between the solvated Zn<sup>2+</sup> and V<sub>2</sub>O<sub>5</sub> framework, thus allowing a faster Zn<sup>2+</sup>-diffusion.<sup>30</sup> Kundu *et al.* further showed a lower charge transfer resistance and activation energy for aqueous systems than non-aqueous counterparts, and emphasized the importance of de-solvation of Zn<sup>2+</sup> in Zn<sup>2+</sup>-intercalation into the host V<sub>3</sub>O<sub>7</sub>·nH<sub>2</sub>O.<sup>24</sup> Apart from that, some previous studies also suggest the solvating H<sub>2</sub>O can work as a charge shield for the metal ions, reducing their effective charges and hence their interactions with the host frameworks in intercalation process.<sup>31-34</sup>

It is evident from these early studies that the structural water in  $VO_x$  plays a promotional role in the  $Zn^{2+}$ -intercalation kinetics. However, the detailed fundamental mechanisms elucidating this role have not been well understood. Herein we report a systematic density functional theory (DFT) investigation into the role of structural water in  $Zn^{2+}$ -intercalation into a model bilayer V<sub>2</sub>O<sub>5</sub>. The DFT calculations are performed to answer the questions such as how structural water (in the case of V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O) affects crystal structure, electronic structure,  $Zn^{2+}$ -migration barrier, voltage and capacity. We also show how structural water shields charges from V<sub>2</sub>O<sub>5</sub> sheet and rebuilds a smoother electrostatic environment to allow easier  $Zn^{2+}$ -diffusion.

#### **Computational Method**

First-principles computational methods, particularly density functional theory (DFT), presents advantages to understand the mechanisms at atomic/molecular scales, since it can isolate distinct physical/chemical phenomena and quantitatively assess their thermodynamics and kinetics. This capability is critically important in identification of specific structural and chemical features, such as  $Zn^{2+}$ -intercalation process in ZIBs.

Herein, we apply DFT method to calculate the ground states of bilayer V<sub>2</sub>O<sub>5</sub>, V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O in the pristine and Zn<sup>2+</sup>-intercalated states. All calculations were performed by Perdew-Burke-Ernzerhof (PBE) generalized gradient approximation (GGA) exchange-correlation implemented in the Vienna ab Initio Simulation Package (VASP).<sup>35-37</sup> The projector augmented wave (PAW) method was used to describe the interaction between core electrons and valence electrons.<sup>38-39</sup> Valence configurations include the H (1s<sup>1</sup>), O (2s<sup>2</sup>2p<sup>4</sup>), V (3p<sup>6</sup>3d<sup>4</sup>4s<sup>1</sup>) and Zn (3d<sup>10</sup>4p<sup>2</sup>) states. All calculations were run with a cutoff energy of 400 eV, Gaussian smearing and normal precision. For thermodynamic calculations such as lattice parameters and voltage, we employed the DFT+U formalism of Anisimov *et al.* to account for strong on-site Coulombic interactions of the V 3d-electrons, with a specific on-site potential of U = 3.25 eV.<sup>40</sup> To explicitly account for van der Waals' interactions between layers and structural water, van der waals-

corrected D2 method of Grimme was used for all calculations.<sup>41</sup> The lattice constants and atomic positions were both fully relaxed until a maximum energy difference and residual force on atoms converge were reached at  $10^{-4}$  eV and 0.05 eV/Å, respectively. The Brillouin zone was first sampled with 2×8×2 to predict the lattice constants for the unit cell of bilayer V<sub>2</sub>O<sub>5</sub>. Then, large supercells (1×2×1) of bilayer V<sub>2</sub>O<sub>5</sub> were used to describe the intercalation of Zn<sup>2+</sup> at different concentrations, and the corresponding Monkhorst-Pack grids were 2×4×2. In this way, metal-metal interactions can be neglected and the interaction between inserted Zn<sup>2+</sup> and bilayered V<sub>2</sub>O<sub>5</sub>-host can be studied.

To model ionic diffusion in the selected host structure, we employed climbing-image nudged elastic band (CI-NEB) method to couple with density functional theory (DFT).<sup>42</sup> We elected to use DFT instead of DFT+U because an early first-principles calculations of multivalent-ion migration in oxide spinels has shown a pronounced metastability of electronic states along the ion migration path with U, resulting in a poor computational convergence; <sup>43</sup> the study also reported that no significant improvements in the diffusion barriers were found using DFT+U calculations.<sup>43-44</sup> The convergence threshold of the total energy was set to  $1 \times 10^{-4}$  eV, and a tolerance of 0.1 eV/A for the forces was used in the CI-NEB procedure. A total of eight images were interpolated between the initial and final relaxed structures in each case. To avoid spurious interactions,  $1 \times 2 \times 1$  supercells were used for barrier calculations.

### **Results and discussion**

### Crystal Structure

The bilayer  $V_2O_5$  polymorph crystallizes with a space group symmetry of C2/m and has two distinct octahedral units, which share edges along the *a* and *b* axes to form infinite sheets. The periodic crystal structure is built from repeating layered units along the *c*-axis bonded by weak van

der Waals forces, which is favorable to guest ion intercalation. However, there are so far no reported studies in which a bilayer V<sub>2</sub>O<sub>5</sub> gel was created in absence of water.<sup>45</sup> This suggests that structural water is crucial in stabilizing the bilayers. Thus, for our DFT calculations we include 1.0 H<sub>2</sub>O and 1.75 H<sub>2</sub>O between the two V<sub>2</sub>O<sub>5</sub> sheets to study their effects on Zn<sup>2+</sup> intercalation. It should be noted that the structural water molecules can take a variety of positions within the bilayer V<sub>2</sub>O<sub>5</sub>, which can lead to various local minima configurations. Since it is too complicated to explore all of them, we only choose in DFT calculations relevant water configurations with more hydrogen bonds that will lead to lower total system energy. The optimized (1×2×1) supercell of these three structures (each structure has 16 V-atoms) is illustrated in Fig. 1. With water introduced into V<sub>2</sub>O<sub>5</sub> bilayers, the two V<sub>2</sub>O<sub>5</sub> sheets will suffer from little translation and distortion, leading to *a* and *c* axes out of vertical direction, in reference to water-free bilayer V<sub>2</sub>O<sub>5</sub>.



**Fig. 1** Optimized  $(1 \times 2 \times 1)$  supercell of bilayer (a)  $V_2O_5$ , (b)  $V_2O_5 \cdot H_2O$  and (c)  $V_2O_5 \cdot 1.75H_2O$ . The distance between layers for three structures is also given in the figure.

**Table 1** The lattice parameters for compound  $V_2O_5$ ,  $V_2O_5$ ·H<sub>2</sub>O and  $V_2O_5$ ·1.75H<sub>2</sub>O.

Compound	a (Å)	b (Å)	c (Å)
V <sub>2</sub> O <sub>5</sub>	11.58	3.65	8.59
$V_2O_5 \cdot H_2O$	11.54	3.63	10.98

$V_2O_5{\cdot}1.75H_2O$	11.63	3.61	11.35
V2O5 · nH2O (exp.)46	11.72	3.57	11.52

The calculated lattice parameters for bilayer V<sub>2</sub>O<sub>5</sub>, V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O are summarized in Table 1. We notice that lattice parameters of *a* and *b* axes are similar for the three models, but the length of *c* axe monotonously increase from 8.59 to 11.35 Å with the introduction of water. It also corresponds to the increased gallery spacing between the two V<sub>2</sub>O<sub>5</sub> sheets in the structures shown in Fig. 1. The distance between the two V<sub>2</sub>O<sub>5</sub> sheets for bilayer V<sub>2</sub>O<sub>5</sub>, V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O are 2.23, 4.72 and 5.04 Å, respectively. Since the number of structural water determined by experiment is ~1.8, we can directly compare the modeled lattice parameters of V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O to the experimental results. The optimized lattice parameters of V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O is a=11.63 Å, b= 3.61 Å, c= 11.35 Å, which agrees reasonably well with the experimental values: a=11.72 Å, b= 3.57 Å, c= 11.52 Å.<sup>46</sup> This agreement confirms that our supercells built for DFT calculations are appropriate.

In addition, the formation energies for H<sub>2</sub>O in hydrated V<sub>2</sub>O<sub>5</sub> are calculated by:

$$\Delta E_f = \frac{E(V_2 O_5 \cdot x H_2 O) - E(V_2 O_5) - x E(H_2 O)}{x}$$
(1)

where  $E(V_2O_5 \cdot xH_2O)$  is the total energy of  $V_2O_5 \cdot H_2O$  or  $V_2O_5 \cdot 1.75 H_2O$ .  $E(V_2O_5)$  and  $E(H_2O)$ are the total energy of bilayer  $V_2O_5$  and gas phase  $H_2O$ . *x* is the number of  $H_2O$  molecules in the lattice. The calculated formation energies of  $H_2O$  in  $V_2O_5 \cdot H_2O$  and  $V_2O_5 \cdot 1.75 H_2O$  are -0.24 eV and -0.15 eV, respectively. The negative formation energy implies that the structural  $H_2O$  in bilayer  $V_2O_5$  is thermodynamically stable.

Structural Configurations for Zn<sup>2+</sup> Intercalation

To obtain the structural configurations for  $Zn^{2+}$ -intercalation with a local minimum, we have tried many possible intercalation configurations (see Fig S1, S2 and S3<sup>†</sup>) and the final optimized structural configurations for intercalating 1, 2, 4, and 8 Zn<sup>2+</sup> are shown in Fig. 2. We can conclude from this calculation that there are at most eight intercalatable Zn<sup>2+</sup> for all supercells due to limited host sites in the gallery. As shown in Fig. 2a1~a4, Zn<sup>2+</sup> are in the gallery and connected with V<sub>2</sub>O<sub>5</sub> sheets in dry V<sub>2</sub>O<sub>5</sub>. This arrangement implies that Zn<sup>2+</sup> can simultaneously interact with the two V<sub>2</sub>O<sub>5</sub> sheets. However, for V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O, Zn<sup>2+</sup> can only interact with one V<sub>2</sub>O<sub>5</sub> sheet (top or below). This is because the H<sub>2</sub>O in V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O enlarges the gallery spacing and shields the charge between Zn<sup>2+</sup> and the other V<sub>2</sub>O<sub>5</sub> sheet. The only exception is the structure shown in Fig. 2b2 with two Zn<sup>2+</sup> intercalated, where decrease of gallery spacing and reorganization of H<sub>2</sub>O are observed. For V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O with a larger gallery spacing and two layers of water, the electrostatic interactions between Zn<sup>2+</sup> and the two V<sub>2</sub>O<sub>5</sub> sheets are effectively shielded.



**Fig. 2** The structural configurations for  $Zn^{2+}$  intercalation in (1×2×1) supercell of (a)  $V_2O_5$ , (b)  $V_2O_5$ ·H<sub>2</sub>O and (c)  $V_2O_5$ ·1.75H<sub>2</sub>O with different  $Zn^{2+}$  concentrations.

Although the structural water shields the interaction between  $Zn^{2+}$  and  $V_2O_5$  sheets, and decreases their formation energy, the O in H<sub>2</sub>O can also interact with  $Zn^{2+}$  in theory. Thus, the net effect of structural water may not necessarily decrease the formation energy between  $Zn^{2+}$  and  $V_2O_5$ , which will be discussed below in details. Another fact worth noting is the water dissociation with  $Zn^{2+}$ intercalation at high  $Zn^{2+}$  concentrations, see Fig. 2c4, into H<sup>+</sup> and OH<sup>-</sup> in the gallery. This insight is consistent with the experimental observation of solvated protons (H<sub>3</sub>O<sup>+</sup>) by infrared spectroscopy in  $V_2O_5 \cdot nH_2O$ .<sup>47</sup> After discussing possible  $Zn^{2+}$ -intercalation sites and the coordination environment around  $Zn^{2+}$ , we now look into the volumetric change induced by  $Zn^{2+}$ -intercalation, which is an important practical consideration as a large volume change can lead to electromechanical degradation and loss of capacity.<sup>48</sup> Fig. 3a and 3b show the absolute volumetric change and relative volumetric change for  $V_2O_5$ ,  $V_2O_5$ ,  $H_2O$  and  $V_2O_5$ ,  $1.75H_2O$  at different  $Zn^{2+}$ -intercalation states, respectively. We observe that  $Zn^{2+}$ -intercalation in all three structures initially leads to a lattice contraction, then expansion at higher  $Zn^{2+}$  concentration. This is because there is enough space for  $Zn^{2+}$ intercalation at low concentrations, the strong electrostatic attractions between Zn<sup>2+</sup> and V<sub>2</sub>O<sub>5</sub>sheets leads to the reduction of gallery spacing and lattice contraction. At higher  $Zn^{2+}$ concentrations, the host must make more spaces for extra volumes to counteract the strong electrostatic interactions between cations, thus offsetting the lattice contraction. In addition, the relative volumetric change of dry  $V_2O_5$  is small (< 2.5%) when the number of  $Zn^{2+}$  intercalated is < 4. However, this change will exceed 15% when the number of  $Zn^{2+}$  intercalated is 8. This result suggests that bilayer V<sub>2</sub>O<sub>5</sub> in absence of water is stable at low concentrations of Zn<sup>2+</sup> but becomes unstable at high  $Zn^{2+}$  concentrations. For  $V_2O_5 \cdot H_2O$  and  $V_2O_5 \cdot 1.75H_2O$ , the volume changes are less than 10%, suggesting that these materials are more stable for practical applications.



**Fig. 3** (a) The absolute volumetric change and (b) relative volumetric change for  $V_2O_5$ ,  $V_2O_5$ · $H_2O$  and  $V_2O_5$ ·1.75H<sub>2</sub>O at different numbers of Zn<sup>2+</sup> intercalated. (c) The average voltage for  $V_2O_5$ ,  $V_2O_5$ · $H_2O$  and  $V_2O_5$ ·1.75H<sub>2</sub>O at different states of Zn<sup>2+</sup> intercalation.

# Zn<sup>2+</sup>-Intercalation Model

The model for  $Zn^{2+}$  intercalation into the layers between  $V_2O_5$  follows that  $Zn^{2+}$  intercalate into each layer simultaneously with the same concentration. This is different from the conventional Li<sup>+</sup> intercalation into graphite, which follows stages.<sup>49, 50</sup> In this "staging" model, due to the small interlayer spacing within graphite, the intercalant must overcome the cohesive van-der-Waals energy between two adjacent graphene layers; the electrostatic repulsion between different intercalant layers as well as an intralayer attraction between intercalant atoms induces further intercalation within the same layer, contrary to further intercalation into another unoccupied layer. These effects combined are thought to be responsible for the "staging" mechanism. Experimentally, this has been confirmed by the appearance of new characteristic peaks from operando X-ray diffraction patterns for graphite.<sup>49, 51</sup>

For the cathode materials  $V_2O_5 \cdot H_2O$  and  $V_2O_5 \cdot 1.75 H_2O$  studied here, however, the interlayer spacing (4.72 Å for  $V_2O_5 \cdot H_2O$  and 5.04 Å for  $V_2O_5 \cdot 1.75 H_2O$ ) is large enough to accommodate  $Zn^{2+}$  (radius of  $Zn^{2+}$  is 0.74 Å), which ensures a small change of interlayer spacing during  $Zn^{2+}$ intercalation. Therefore, it is reasonable to assume that  $Zn^{2+}$  intercalate into each layer simultaneously. This has also been confirmed by the operando X-ray diffraction of  $Zn_{0.25}V_2O_5$ during Zn-ions intercalation.<sup>29</sup> Unlike graphite, there is no appearance of new characteristic peaks for the cathode material during  $Zn^{2+}$  intercalation, which suggests that the mechanism of  $Zn^{2+}$ intercalation into  $V_2O_5 \cdot 1.75 H_2O$  layers is not the same as graphite.

Average Voltage vs the State of  $Zn^{2+}$ -Intercalation

Operating voltage is an important criterion to evaluate the electrochemical performance of  $V_2O_5$ ,  $V_2O_5 \cdot H_2O$  and  $V_2O_5 \cdot 1.75H_2O$  as cathode materials for ZIBs. With a Zn metal as the anode, the average voltages of  $Zn^{2+}$  intercalation into a cathode can be calculated by:

$$V = -\frac{E^{cathode}(x_2) - E^{cathode}(x_1) - E(Zn)}{(x_2 - x_1)e}, x_2 > x_1$$
(2)

where  $E^{cathode}$  is the Gibbs free energy of the compound approximated by the total energy calculated by DFT at 0 K;  $x_1$  and  $x_2$  are the numbers of  $Zn^{2+}$  intercalated, respectively; E(Zn) is the Gibbs free energy per atom of the Zn anode (hcp); *e* represents the electronic charge. At  $x_1 =$ 0 and  $x_2 = 1$ , the open circuit voltage (OCV), a thermodynamic quantity of cathode, can be obtained. The average OCVs calculated are 0.74, 1.85 and 1.86 V for bilayer dry V2O5, V2O5 H2O,  $V_2O_5 \cdot 1.75H_2O_7$ , respectively. Evidently, the OCV is increased by structural water in  $V_2O_5$  layers. It should be pointed out that the calculated OCV for V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O is higher than the experimental value (~1.3 V).<sup>29</sup> We speculate that the functional including the van de waals interaction may be the reason.<sup>52</sup> The calculated OCVs by different functional are compared in Fig. S5 of the ESI<sup>†</sup>. On the other hand, the equilibrium potential measured between a real electrolyte and electrode could also be underestimated. Since DFT-D2 method produces the closest lattice parameter (see Table S1 in the ESI) to the experimental values (more reliably obtained), we believe that DFT-D2 is a more appropriate method than other methods to be used in the calculations. Nevertheless, the slight difference in OCV should not affect the discussion here of average voltage trending. The calculated voltages for V<sub>2</sub>O<sub>5</sub>, V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O are depicted in Fig. 3c, where the average voltages are continuously shown to decrease with the state of Zn<sup>2+</sup>-intercalation for all the structures. But the decrease of average voltage for  $V_2O_5 \cdot 1.75H_2O$  is less than that for  $V_2O_5 \cdot H_2O$ . Furthermore, there are at most 4 intercalatable  $Zn^{2+}$  in  $V_2O_5 \cdot H_2O$  because the formation energy

becomes positive at eight  $Zn^{2+}$ , which implies a lower capacity for  $V_2O_5 \cdot H_2O$ . We also calculated overall average voltage over an entire discharge process. The overall average voltage of  $V_2O_5 \cdot H_2O$ is the highest among the three structures, which reaches 0.96 V. Due to the limited discharge capacity (intercalating only 4  $Zn^{2+}$ ) in  $V_2O_5 \cdot H_2O$ , there is no reason to compare it further with others. However, the discharge process of  $V_2O_5$  and  $V_2O_5 \cdot 1.75H_2O$  are complete. The overall average voltage of  $V_2O_5 \cdot 1.75H_2O$  is 0.74 V, which is consistent with the experimental 0.71 V given other losses, and higher than 0.50 V of pure  $V_2O_5$ .<sup>29</sup>

### *Electronic Structure*

To further understand the water effect on the average voltage, electronic structure calculations have been performed. Fig. 4a-c illustrate the corresponding density of states (DOS) of V<sub>2</sub>O<sub>5</sub>, V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O, V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O in pristine and Zn<sup>2+</sup>-intercalated states. For V<sub>2</sub>O<sub>5</sub>, the valence and conduction bands are mainly contributed from O and V ions in the V<sub>2</sub>O<sub>5</sub> layer. However, for V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O, the valence and conduction bands are mainly contributed from O-ions in H<sub>2</sub>O, which suggests O-ions in H<sub>2</sub>O also participate in receiving electrons from Zn.<sup>53</sup> As depicted in the figure, Zn<sup>2+</sup>-intercalation shifts DOS to lower energy regime, implying electron transfer of Zn to the conduction band. The shift of DOS further pushes the Fermi level of all Zn<sup>2+</sup>-intercalated structures through the conduction band, resulting in a higher electrical conductivity than without Zn<sup>2+</sup>. Furthermore, the DOS of Zn is very small and flat, implying that electrons from Zn distribute over a large energy landscape and delocalized. Therefore, a strong hybridization between Zn and O ions is almost unobservable.



**Fig. 4** The density of states for (a)  $V_2O_5$  and  $V_2O_5+Zn$ , (b)  $V_2O_5+H_2O$  and  $V_2O_5+H_2O+Zn$ , (c)  $V_2O_5+1.75H_2O$  and  $V_2O_5+1.75H_2O+Zn$ . The red dash lines represent the location of Fermi level. The corresponding deformation charge density for  $V_2O_5$ ,  $V_2O_5+H_2O$  and  $V_2O_5+1.75H_2O$  with  $Zn^{2+}$  intercalated are illustrated in (d), (e) and (f), respectively. The grey dash line stands for the hydrogen bond. The iso-surface of the deformation charge density is equal to 0.005 e/bohr<sup>3</sup>.

To clarify the charge transfer of Zn, we also calculated deformation charge density. The deformation charge density of pristine and  $Zn^{2+}$ -intercalated structures are illustrated in Fig. 4d-f. The positive (in yellow) and negative (in blue) regions correspond to enrichment and depletion of electron density, respectively. There is an obvious charge transfer occurred between Zn and two V<sub>2</sub>O<sub>5</sub>-layers in the bilayer dry V<sub>2</sub>O<sub>5</sub>. The Zn loses lots of its electrons (corresponding to the large blue region) while the nearby V and O together receive electrons from Zn (corresponding to the yellow region). However, with the introduction of H<sub>2</sub>O, charge transfer not only occurs between Zn and the bottom V<sub>2</sub>O<sub>5</sub>-layer, but also takes place between Zn and H<sub>2</sub>O. Although the direction of electron transfer can be clearly obtained from deformation charge density, the quantitative number of electron transfer are still unknown, especially for similar blue region around Zn in the three structures illustrated.

Compound	0	V	Zn
V <sub>2</sub> O <sub>5</sub>	-0.77	+1.92	/
V <sub>2</sub> O <sub>5</sub> +Zn	-0.78	+1.87	+1.33
$V_2O_5 \cdot H_2O$	-0.87	+1.91	/
$V_2O_5 \cdot H_2O + Zn$	-0.92	+1.90	+1.38
$V_2O_5 \cdot 1.75H_2O$	-0.96	+1.92	/
$V_2O_5 {\cdot} 1.75H_2O{+}Zn$	-1.00	+1.91	+1.39

Table 2 The average Bader charge (e) of O, V and Zn for different structures.

To quantitatively describe the number of electrons transferred from Zn, Bader charge analysis was performed for O, V and Zn. The average Bader charge of O, V and Zn for different structures are listed in Table 2. The Bader charge of Zn-ions is +1.33e in dry-V<sub>2</sub>O<sub>5</sub>+Zn, and electrons from Zn decrease the average Bader charge of O and V when compared to pristine dry-V<sub>2</sub>O<sub>5</sub>. For V in dry- $V_2O_5+Zn$ , the average Bader charge decreases from +1.92e to +1.87e, indicating V of variable oxidation- states contributes more to receive electrons from Zn. For  $V_2O_5$ ·H<sub>2</sub>O+Zn and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O+Zn, the Bader charge of Zn increases to +1.38e and +1.39e when compared to dry- $V_2O_5+Zn$ . This means Zn loses more electrons in the presence of water. The more electrons transferred the deeper the conduction band drops below the Fermi level as shown in Fig. 4b and 4c; it further leads to a higher formation energy between Zn and  $V_2O_5$ , which can also explain why the OCV is higher in  $V_2O_5$ ·H<sub>2</sub>O and  $V_2O_5$ ·1.75H<sub>2</sub>O than dry-V<sub>2</sub>O<sub>5</sub>. Like dry-V<sub>2</sub>O<sub>5</sub>, the average Bader charge of V also decreases in  $V_2O_5$ ·H<sub>2</sub>O and  $V_2O_5$ ·1.75H<sub>2</sub>O when Zn<sup>2+</sup> is intercalated, but the degree of decrease is less than in dry-V<sub>2</sub>O<sub>5</sub>. The electrons in  $Zn^{2+}$ -intercalated hydrated V<sub>2</sub>O<sub>5</sub> are mainly transferred into O, which causes the average Bader charge of O to decrease from -0.87e to -0.92e in V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and from -0.96e to -1.00e in V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O. It should be noted that O-ions with decreased Bader charge are mainly those in H<sub>2</sub>O and in V<sub>2</sub>O<sub>5</sub>-sheet near Zn. This observation is consistent with our previous analysis of deformation charge density.

# Zn<sup>2+</sup>-Diffusion Pathway

The preceding section unveiled that the structural water influences thermodynamic properties of V<sub>2</sub>O<sub>5</sub>-based cathodes. However, thermodynamics is a necessary, but insufficient criterion to describe kinetic properties of a cathode material. An optimal cathode material must also be able to allow a fast diffusion of  $Zn^{2+}$ . Thus,  $Zn^{2+}$ -diffusion barriers in dry-V<sub>2</sub>O<sub>5</sub>, V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O have been evaluated by the CI-NEB approach. Herein, two Zn<sup>2+</sup> migration pathways along (010) and (110) directions are considered for the bilayer dry- $V_2O_5$ , whereas we only consider one migration pathway along (010) direction for  $V_2O_5$ ·H<sub>2</sub>O and  $V_2O_5$ ·1.75H<sub>2</sub>O because of the strong steric effect of water along (110) direction, making  $Zn^{2+}$  difficult to diffuse along this direction. The migration pathways for all the structures investigated are shown in Fig. 5a-d, while Fig. 5e compares  $Zn^{2+}$  migration energies for dry-V<sub>2</sub>O<sub>5</sub>, V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O. In the case of dry-V<sub>2</sub>O<sub>5</sub>,  $Zn^{2+}$  diffusion barrier along (100) direction is 1.40 eV, which is slightly larger than that along (110) direction. This result indicates that  $Zn^{2+}$  prefers to diffuse along (110) direction in absence of water. On the other hand, diffusion barriers for  $Zn^{2+}$  in V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O are only 0.66 eV and 0.81 eV, respectively, much lower than that for dry-V<sub>2</sub>O<sub>5</sub>, which suggests that the structural water in V<sub>2</sub>O<sub>5</sub> acts as a "lubricant" to promote the diffusion of Zn<sup>2+</sup>. A similar result was also obtained by Yan M. et al. in experiment and this "lubricant" effect was through to derive from the reduced "effective charge" of Zn by water-shielding.<sup>30</sup> However, except for the water "charge shielding" effect, our thermodynamic calculations above also suggest that O in H<sub>2</sub>O can also act as extra host sites for  $Zn^{2+}$ , introducing the new charge interaction mechanism. Therefore, it may be insufficient to interpret the Zn diffusion in V2O5·H2O and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O only by "charge shielding" effect.



**Fig. 5**  $Zn^{2+}$  migration pathways along (a)  $V_2O_5$  (010) and (b)  $V_2O_5$  (110), (c)  $V_2O_5 \cdot H_2O$  (010) and (d)  $V_2O_5 \cdot H_2O$  (110). (e)  $Zn^{2+}$  diffusion barriers for  $V_2O_5$ ,  $V_2O_5 \cdot H_2O$  and  $V_2O_5 \cdot 1.75H_2O$ 

To understand the combined "charge shielding" and "O in H<sub>2</sub>O interaction with Zn<sup>2+</sup>" effect on Zn<sup>2+</sup> diffusion, cross-sectional views of three-dimensional electrostatic potentials in dry-V<sub>2</sub>O<sub>5</sub>, V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O are calculated and shown in Fig. 6. The electrostatic potential environment for the three compounds is very similar. However, it is still observable that the electrostatic potential change along Zn<sup>2+</sup> migration pathway (010) is smaller in V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O than in dry-V<sub>2</sub>O<sub>5</sub>. The result suggests that the structural water can help rebuild a smooth electrostatic environment within the gallery and reduce Zn<sup>2+</sup> diffusion barriers along (010). Due to the small difference in Zn<sup>2+</sup> diffusion barriers along (010) and (110) directions, the difference of electrostatic potential change along both directions is also small, making it hard to discern in the figure.



**Fig. 6** The cross-sectional views of electrostatic potential for (a)  $V_2O_5$ , (b)  $V_2O_5$ ·H<sub>2</sub>O and (c)  $V_2O_5$ ·1.75H<sub>2</sub>O. Zn<sup>2+</sup> migration pathways are also indicated by orange arrows. The blue region represents positive potential and the red region represents negative potential. The iso-surface of the electrostatic potential equals to 7 V. It should be noted that the slice is an *a-b* plane cut out from the adsorbed Zn<sup>2+</sup> sites.

### Theoretical Specific Capacity

We further predict the theoretical specific capacity of dry-V<sub>2</sub>O<sub>5</sub>, V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O in storing  $Zn^{2+}$  with the following expression:

$$C = \frac{1}{M} (x_{max} \nu F \cdot 10^3) \tag{3}$$

where *M* is the molecular weight of cathode formula unit,  $x_{max}$  is the maximum Zn<sup>2+</sup> concentration that can be stored in cathode formula unit. According to the preceding section,  $x_{max}$  equals to 1.0, 0.5 and 1.0 for V<sub>2</sub>O<sub>5</sub>, V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O, respectively; v = 2 is the valence electron of Zn; *F* is Faraday's constant (26.801 Ah/mol). The calculated theoretical specific capacities are 294.52, 134.00 and 251.06 mAh/g for bilayer dry-V<sub>2</sub>O<sub>5</sub>, V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O, respectively. It is worth noting that bilayer dry-V<sub>2</sub>O<sub>5</sub> has the highest specific capacity among the three materials because of its low molecular weight. The theoretical specific capacity of V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O is lower than the experimental 381 mAh/g. We here speculate two possible reasons for this behavior. First, the non-faradaic contribution from the electrical double-

layer capacity effect (a physical process) is included in the experimental value, whereas the theoretical specific capacity calculation only considers Faradaic contribution; Second, the structural water in  $V_2O_5 \cdot 1.75H_2O$  can exchange with  $Zn^{2+}$  reversibly, which makes the maximum Zn concentration in  $V_2O_5 \cdot 1.75H_2O$  greater than 1. For this case, it can be verified by calculating the formation energy of exchange reaction between the structural water and  $Zn^{2+}$ . We add one more  $Zn^{2+}$  into  $V_2O_5 \cdot 1.75H_2O$  containing eight  $Zn^{2+}$ , and exchange with one H<sub>2</sub>O molecule:

$$Zn + Zn_8V_{16}O_{40} \cdot 14H_2O \rightarrow Zn_9V_{16}O_{40} \cdot 13H_2O + H_2O$$
 (4)

The calculated Gibbs free energy change for this reaction is negative (-0.7 eV), which means that  $\text{Zn}^{2+}$  could thermodynamically replace a structural water in V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O system.

### Conclusions

In summary, the structural water effect on the crystal structure, intercalation voltage, migration barrier, capacity and electronic structures in bilayer dry-V<sub>2</sub>O<sub>5</sub>, V<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O and V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O has been investigated using first-principle DFT calculations. The calculations indicate the structural water suited between two V<sub>2</sub>O<sub>5</sub> sheets increases the gallery spacing. When Zn<sup>2+</sup> are intercalated, these structural waters can shield charge interactions between Zn<sup>2+</sup> and V<sub>2</sub>O<sub>5</sub> sheets, thus decreasing the binding energy between Zn and V<sub>2</sub>O<sub>5</sub>. However, we also find O in H<sub>2</sub>O can act as extra host sites to accept electrons from Zn, which is the fundamental reason for the increased OCV with H<sub>2</sub>O. Furthermore, structural water can rebuild a smoother electrostatic environment under which a reduced diffusion barrier for Zn<sup>2+</sup> diffusion is achieved. Last, we discuss the reasons of discrepancy between the theoretical and experimental specific capacity. In addition to the pseudocapacitive contribution in practical battery, the structural water in V<sub>2</sub>O<sub>5</sub>·1.75H<sub>2</sub>O exchanging with  $Zn^{2+}$  is proposed as another reason. Overall, this work provides fundamental insights to the effect of structural water and  $Zn^{2+}$ -intercalation mechanisms in bilayer V<sub>2</sub>O<sub>5</sub> system, which is expected to facilitate the design of new and better cathode materials for ZIBs.

## **Conflicts of interest**

There are no conflicts to declare

Acknowledgments: This work is supported by National Science Foundation (NSF) under award NSF-DMR- 1464112.

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