



## Linear and Nonlinear Rheology and Structural Relaxation in Dense Glassy and Jammed Soft Repulsive pNIPAM Microgel Suspensions

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2 **Linear and Nonlinear Rheology and Structural Relaxation in Dense Glassy and Jammed**  
3 **Soft Repulsive pNIPAM Microgel Suspensions**

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22

### Abstract

24 We present an integrated experimental and quantitative theoretical study of the mechanics of self-  
crosslinked, slightly charged, repulsive pNIPAM microgel suspensions over a very wide range of  
26 concentrations ( $c$ ) that span the fluid, glassy and putative "soft jammed" regimes. In the glassy  
regime we measure a linear elastic dynamic shear modulus over 3 decades which follows an  
28 apparent power law concentration dependence  $G' \sim c^{5.64}$ , a variation that appears distinct from prior  
studies of crosslinked ionic microgel suspensions. At very high concentrations there is a sharp  
30 crossover to a nearly linear growth of the modulus. To theoretically understand these observations,  
we formulate an approach to address all three regimes within a single conceptual Brownian  
32 dynamics framework. A minimalist single particle description is constructed that allows microgel  
size to vary with concentration due to steric de-swelling effects. Using a Hertzian repulsion  
34 interparticle potential and a suite of statistical mechanical theories, quantitative predictions under  
quiescent conditions of microgel collective structure, dynamic localization length, elastic modulus,  
36 and the structural relaxation time are made. Based on a constant inter-particle repulsion strength  
parameter which is determined by requiring the theory to reproduce the linear elastic shear  
38 modulus over the entire concentration regime, we demonstrate good agreement between theory  
and experiment. Testable predictions are then made. We also measured nonlinear rheological  
40 properties with a focus on the yield stress and strain. A theoretical analysis with no adjustable  
parameters predicts how quiescent structural relaxation time changes under deformation, and how  
42 the yield stress and strain change as a function of concentration. Reasonable agreement with our  
observations is obtained. To the best of our knowledge, this is the first attempt to quantitatively  
44 understand structure, quiescent relaxation and shear elasticity, and nonlinear yielding of dense  
microgel suspensions using microscopic force based theoretical methods that include activated  
46 hopping processes. We expect our approach will be useful for other soft polymeric particle  
suspensions in the core-shell family.

48

## I. Introduction

50 Colloidal suspensions have been a major area of interest in the soft matter community for  
decades. Much fundamental research has been done with model hard-sphere colloids, with or  
52 without small polymer depletants, which have elucidated the understanding of physical  
phenomenon such as crystallization, phase separation, glassy dynamics, and nonlinear rheology  
54 [1-3]. Other widely studied systems are dense suspensions of soft colloids [4,5]. However, they  
bring additional complexities since the particles are deformable with a fluctuating internal  
56 polymeric microstructure, which can lead to their size and even shape becoming a function of  
thermodynamic state (volume fraction, temperature, ionic strength) and deformation. Most  
58 microgels are charged and can be created with diverse chemistry, which introduces concentration-  
dependent complexities associated with osmotic decompression, the poorly known internal density  
60 profile (often core-shell), and variable single particle mechanical stiffness. Hence, the effective  
interaction potential between microgel particles is a complex issue, consistent with a lack of  
62 universal signatures in their rheology [6,7]. Moreover, microgels can exist as dense Brownian  
suspensions that can form kinetic glasses or gels, or at ultra-high concentration as paste-like  
64 materials characterized by literal contacts between deformed particles. If the latter exist, the system  
is typically viewed as in a "soft jammed" regime. However, whether the physics in this regime is  
66 entirely akin to granular materials where large scale motion requires the application of external  
mechanical energy is not well understood, and the answer may depend on system and  
68 thermodynamic state.

In this paper, we perform a coordinated experimental and theoretical study of the dynamics  
70 and rheology of soft, thermoresponsive poly(N-isopropylacrylamide) (pNIPAM) based microgel  
suspensions under conditions where they are swollen in a good solvent and repel. There have been

72 extensive prior studies of similar systems [5, 8-11], albeit mainly in the soft jamming regime with  
ionic microgels which are chemically crosslinked and can osmotically de-swell with changing  
74 concentration [5, 8-9]. Such microgel pastes are generally viewed as effectively athermal or  
granular.

76 Our study has several not very common features: (a) there is no chemical crosslinking via  
added molecules of the microgel particles, (b) the microgels are only slightly charged, and (c)  
78 experiments are performed over an exceptionally wide range of concentration that spans the low  
viscosity fluid, glassy Brownian, and soft jammed regimes. These aspects distinguish our  
80 experimental system from most others, and isolates particle compression as *solely* due to many  
body steric effects with negligible ion-induced deswelling. We will show that points (a) and (b)  
82 lead to mechanical behavior with features significantly different than prior studies. Point (c) is also  
a strong focus of this work where in the first two concentration regimes there are no literal inter-  
84 particle "contacts" and the mechanical response is influenced by Brownian caging processes driven  
by thermal fluctuations and external stress [12-13]. The possibility that the ultra-dense regime is  
86 not granular-like is also explored.

The remainder of this article is structured as follows. In section II we describe the  
88 experimental materials and methods. Our key experimental results for the linear and nonlinear  
rheology are presented in section III. Section IV presents the basics of our theoretical modeling of  
90 single microgel structure, and the equilibrium and dynamical statistical mechanical tools we  
employ to make predictions for collective packing structure, linear elasticity, structural relaxation,  
92 and aspects of nonlinear rheology. Quantification of microgel effective volume fraction is  
discussed in section V, and predictions made for the linear dynamic shear modulus and packing  
94 structure, with the former compared with our measurements. Theoretical results for the equilibrium

structural relaxation time, its variation with deformation, and yielding properties are presented in  
96 Section VI, with some comparison to experiment. The paper concludes with a discussion in Section  
VII. Additional experimental characterization and rheological results are presented in the  
98 Supplementary Information (SI).

## II. Materials and Methods

### 100 A. Microgel synthesis and characterization

Slightly charged self-crosslinked pNIPAM microgels were synthesized under a  
102 ‘crosslinker free’ condition following the protocol described in literature [14] with modifications  
(see Supplementary section 1 for details). Free-radical polymerization of NIPAM in water was  
104 initiated using potassium persulfate in the absence of added cross-linker. This leads to the  
formation of stable nanospheres instead of linear chains if the solution is incubated at temperatures  
106 well above the lower critical solution temperature (LCST) of PNIPAM ( $\sim 32^\circ\text{C}$ ). The formation of  
gel nanospheres is attributed to self-crosslinking by chain transfer reaction during and after  
108 polymerization [15]. Microgels prepared with a similar preparation protocol have been referred to  
as neutral in their charge [14, 45]. Here, we refer to such microgels as ‘slightly charged’, because  
110 the initiator used may possibly leave some charge on the colloids. A stock solution of  $c = 9 \text{ wt}\%$   
was then diluted with deionized water to achieve the desired concentration of the slightly charged  
112 microgel suspension.

The particle radius was determined by dynamic light scattering (DLS) (Zetasizer Nano ZS,  
114 Malvern) and a Helium-Neon gas laser emitting at  $632.8 \text{ nm}$  on a very dilute suspension ( $0.04 \text{ wt}\%$ ) with a beam diameter of  $0.63 \text{ mm}$  (See Supplementary Figure S1). The present work  
116 focuses on the lower temperature regime where microgels are swollen and interact via repulsive

forces. In dilute solution, the microgel particles have a mean diameter of  $2R = 551 \pm 71 \text{ nm}$  at  
118  $10^\circ\text{C}$ .

### B. Rheological Characterization

120 Rheological experiments are performed over a very wide range of microgel concentration  
from  $0.03 \text{ wt}\%$  to  $9 \text{ wt}\%$ . Viscoelasticity was probed using a rotational rheometer (model  
122 Discovery Hybrid 3, TA instruments and model MCR702 from Anton Paar) with plate-plate  
geometry. These are both torque-controlled instruments (a.k.a. combined-motor-transducer type).  
124 A 600 grit, adhesive-back sand paper (Norton Abrasives) was adhered to the contact surfaces to  
suppress wall slip. The plate diameter was varied depending on the sample concentration to obtain  
126 a measurable response higher than the minimum torque resolution. A  $60 \text{ mm}$  plate was used for  
dilute samples  $0.03 - 0.25 \text{ wt}\%$ ,  $40 \text{ mm}$  plate for  $(0.25 - 1.5) \text{ wt}\%$ ,  $20 \text{ mm}$  for  $(0.5 - 4.5)$   
128  $\text{wt}\%$ , and  $8 \text{ mm}$  for  $(4.5 - 9) \text{ wt}\%$  samples. The typical gap in all experiments was between  
 $(550 - 750) \mu\text{m}$ , far larger than the particle size, thus eliminating confinement effects. A solvent  
130 trap, with a wet-tissue adhered to its interior, was used to minimize solvent evaporation during the  
measurements. The temperature of the bottom plate was controlled using a Peltier-system. To  
132 suppress sample aging effects and erase any history, all samples were rejuvenated by shearing at  
 $50 \text{ s}^{-1}$  for  $60\text{s}$  and then allowed to relax for  $12 \text{ min}$  before taking measurements [5].

134 Two types of rheological characterization were performed: oscillatory shear and steady  
shear. To probe the linear response, frequency sweeps were performed from  $\omega = (0.03 - 100)$   
136  $\text{rad/s}$  at a strain amplitude of  $1\%$  at  $10^\circ\text{C}$ . To probe the nonlinear response, strain sweeps of  
amplitude  $\gamma_0 = (0.1 - 300)\%$  at a fixed frequency of  $1 \text{ rad/s}$  were performed. In the steady shear  
138 experiments, shear rates were typically varied from  $(300 - 0.01) 1/\text{s}$  while waiting for the system  
to reach an apparent steady state as deduced by  $< 5\%$  variation in torque over a period of  $30 \text{ s}$ .

### 140 III. Experimental Results

#### A. Linear Rheology

142 Figure 1A shows the frequency-dependent linear storage,  $G'$ , and loss,  $G''$ , moduli as a  
function of frequency. One sees a nearly frequency independent  $G'$ , with a smaller  $G''$  that also is  
144 weakly frequency-dependent. Hence,  $G'' < G'$  for all concentrations above 0.4 wt% and the  
response is predominantly solid-like with the structural or flow relaxation time obeying  $\tau_\alpha > \omega_{low}^{-1}$   
146  $\approx 100$  s. No crossover between  $G'$  and  $G''$  was observed in the range of frequencies probed,  
indicating the microgels do not show significant diffusion or structural relaxation on the probing  
148 time scales.

At higher frequencies, the commonly observed frequency dependence of  $G'' \sim \omega^{1/2}$  for a  
150 loosely and randomly packed emulsion is very roughly observed for the 0.75 wt% and 1 wt%  
samples [16]. However, there are systematic deviations -- power laws are often not well developed,  
152 and apparent scaling exponents, if force fit, can be larger or smaller than 0.5, and tend to decrease  
as concentration grows. For concentrations below 1 wt%, the inertia of the measuring system  
154 influences the torque measurements significantly and makes it difficult to observe any reliable  
signatures [17] for high frequency measurements.

156 The linear storage modulus at a fixed frequency of  $\omega = 1$  rad/s and a strain amplitude of  
 $\gamma_0 = 1\%$  is shown in Figure 1B. It monotonically grows with increasing concentration (as also  
158 found at slightly higher temperatures, see Supplementary Figure S3). Three distinct regimes of  
behavior are observed. For concentrations below  $c = 0.4$  wt%, no measurable elastic modulus is  
160 detected above the minimum torque limit of the instrument. This seems consistent with  
measurements of the high shear rate viscosity (Supplementary Figure S2), where an excellent



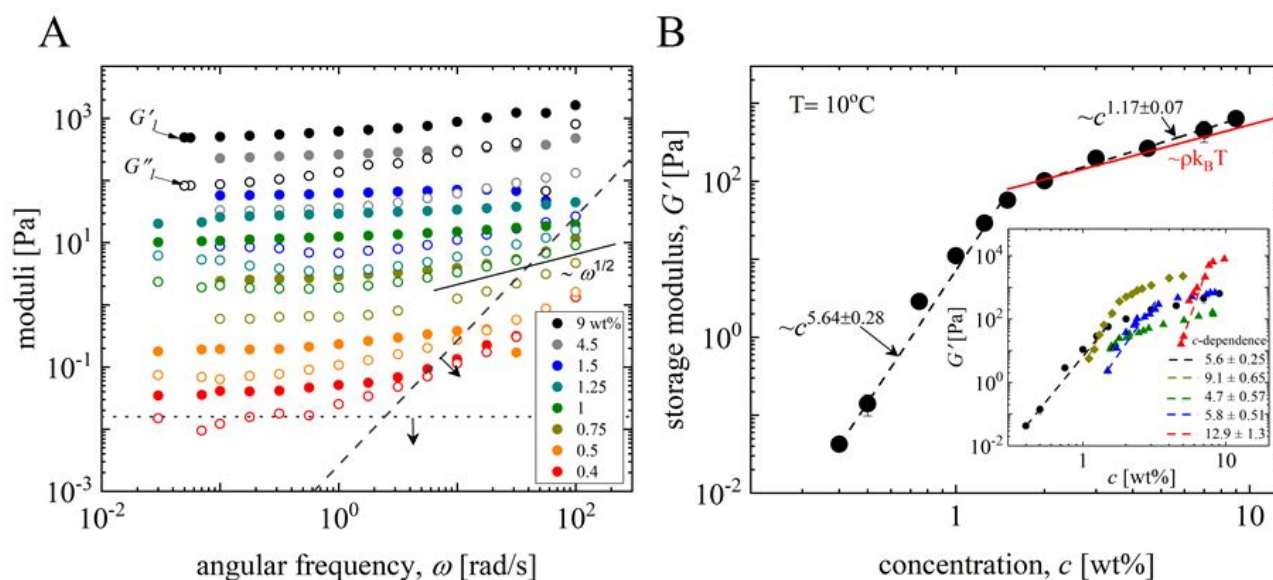
162 agreement with the Einstein prediction of the dilute intrinsic viscosity is observed in the  
concentration range (0.03 – 0.35) wt%, beyond which the viscosity strongly grows. Since the  
164 microgels are only slightly charged, the latter is presumably due to repulsive inter-microgel forces  
and transient caging in the suspension. Such a fundamental change in the concentration range  
166 (0.4 – 0.5) wt% is consistent with a dynamic crossover to a regime where there is little particle  
motion on the experimentally probed time scales [11,18]. In hard sphere glasses the characteristic  
168 modulus scale is set by the thermal energy per particle volume [4,13],  $G \sim k_B T / (2R)^3$ , where  $k_B$   
is Boltzmann's constant,  $T$  is temperature, and  $R$  is the particle radius, which for our system is  $G'$   
170  $= 0.024 \text{ Pa}$  for  $2R = 550 \text{ nm}$ . This estimate is fairly close to when we first observe a solid-like  
response:  $G' = 0.04 \text{ Pa}$  and  $G' = 0.14 \text{ Pa}$  for 0.4 wt% and 0.5 wt% concentrations, respectively.

172 In the intermediate concentration range, defined as (0.4 – 1.25) wt%, the elastic modulus  
shows a dramatic dependence on microgel concentration. A variance weighted fit of all data yields  
174  $G' \sim c^{5.64 \pm 0.28}$ , but it seems clear the effective exponent weakly decreases with concentration.  
Similar observations have been made in literature [5,11], but the apparent power law exponent in  
176 Fig. 1B is generally very different for previous work using pNIPAM based suspensions (see  
Supplementary Figure S4 for comparison). For example, Menut et al. [5] observed power law  
178 exponents of 4.4, 6.1 and 14, respectively, for three p(NIPAAm-co-AAc) ionic microgel  
suspensions of increasing single particle stiffness as synthesized by precipitation polymerization  
180 with varying cross-linker concentration. Pellet and Cloitre [11] observed a power-law exponent of  
9.1 for a suspension of polyelectrolyte microgels synthesized by emulsion polymerization. Given  
182 the narrow range of data in the "glassy regime" of that study, such a high apparent exponent may  
simply indicate exponential growth.

184 In the highest concentration range of our experiments, defined as (1.5 – 9) wt%, the  
elastic response again qualitatively changes. The modulus now grows weakly in a nearly linear  
186 manner with concentration (variance weighted fit,  $G' \sim c^{1.17 \pm 0.07}$ ). How to interpret this solely  
from mechanical data is neither obvious nor unique. We can envision three possibilities. (1) It  
188 could indicate a transition to what is usually called a "soft jammed" state where microgels are in  
literal contact, particles may deform and form facets, and elastic energy is stored in a granular  
190 manner. This scenario predicts  $G' \propto (\phi - \phi_{jam})$  [11], which to be consistent with our data seems  
to require the effective volume fraction grows linearly with microgel concentration (which is a  
192 priori unclear). (2) Discrete microgel particles could somehow effectively "fuse" in the practical  
sense that the suspension behaves as a connected macroscopic network of flexible "elastically  
194 active chains or strands". From the classical theory of rubber elasticity, this scenario implies  
elasticity is fundamentally of single strand (conformational) entropic origin, with  
196  $G' \sim \rho_x kT$  where  $\rho_x$  is the polymer concentration divided by the number of monomer units in each  
polymer strand,  $N_x$  [5,19]. A comparison between our experimental data and the rubber elasticity  
198 model [20] is given by the red line (variance weighted fit parameter,  $N_x = 3903$ ) in Figure 1B. (3)  
A third scenario is the change in concentration dependence of  $G'$  reflects a crossover from  
200 sterically-induced weak compression of core-shell microgels to a regime where the microgels  
isotropically shrink in a manner that keeps its effective volume fraction fixed. This scenario retains  
202 the discrete picture of microgel particles, does not invoke facets or literal interparticle contacts,  
and posits an interparticle collective origin of stress storage. It will theoretically be developed in  
204 section IV, and shown to also lead to a linear growth of  $G'$  with microgel concentration. While we  
cannot completely rule out there might be components of scenario (1) or (2) that contribute to the  
206 observed linear growth of elastic modulus of our system, in this article we take a minimalist

approach of exploring a Brownian glassy suspension scenario for the *entire* concentration regime  
 208 without invoking athermal soft jamming.

The inset of Figure 1B shows elastic modulus data from other labs for different types of  
 210 microgels, all of which are ionic. Clearly, one sees that at fixed concentration in *wt%*, different  
 microgel samples display a wide variety of modulus levels and sensitivity to concentration. This  
 212 emphasizes that our present self-crosslinked slightly charged microgel system with different  
 chemistry does display a distinct elastic response. It also emphasizes the far larger range of  
 214 concentration probed in our study (factor  $\sim 25$ ) versus prior studies (typically factor of 3-10).  
 However, these prior studies all observe, to varying degrees, a stronger growth of  $G'$  at lower  
 216 concentration followed by a much weaker growth at very high microgel concentrations.



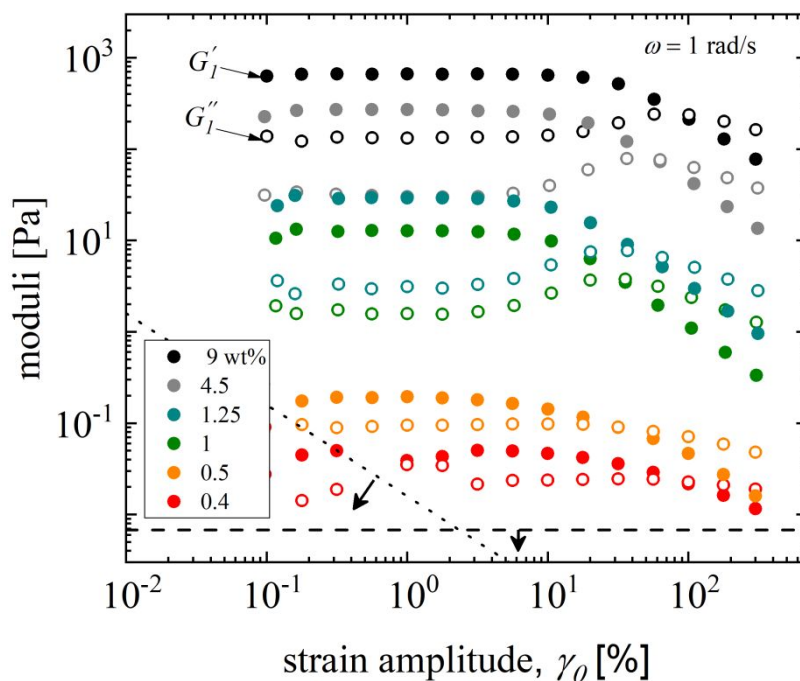
218 **Figure 1** - Linear rheological response ( $G'$  closed symbols,  $G''$  open symbols) of the slightly  
 charged, self-crosslinked microgel suspensions. (A) frequency dependence at  $\gamma_0 = 1\%$ .  
 220 Suspensions at  $c > 0.4$  *wt%* do not flow on the longest probed time scales ( $\sim 100$  s). Experimental  
 limits shown by the dotted horizontal line (minimum torque limit) and the dashed line (instrument

222 inertia limit) following [17]. (B) Concentration dependence of linear storage modulus,  $G'$ . For low  
concentrations ( $c < 1.5 \text{ wt}\%$ ),  $G'$  varies over 3 orders of magnitude and roughly follows a power  
224 law concentration dependence,  $G' \sim c^{5.68 \pm 0.28}$ . Above  $c = 1.5 \text{ wt}\%$ , the concentration  
dependence changes to a roughly linear relation,  $G' \sim c$ . The red line shows a fit using the classic  
226 rubber elasticity model (with monomer molecular weight of 113.6 g/mol and 3903 monomer units  
each polymer chain) discussed in the text. (inset) Comparison of the concentration dependent  
228 storage modulus as observed in the current work that employs self crosslinked slightly charged  
microgel suspensions (black circles) and prior studies of cross-linked ionic microgels (yellow  
230 diamonds [11] and blue, green and red triangles [5]). A wide concentration range spanning the  
glassy and "soft jammed" regimes is shown for all the data with different concentration  
232 dependences of shear modulus in the glassy regime. A qualitative universality exists for soft  
microgels in the sense that, independent of chemistry, all soft particles show a stronger  
234 concentration dependence in the glassy regime and roughly linear growth in the "soft jammed"  
regime. However, the apparent power laws and soft jamming crossover points are highly variable,  
236 depending on microgel chemistry, preparation protocol, their internal crosslink density, and the  
nature of the steric and/or ionic driven deswelling behavior.

## 238 **B. Nonlinear Rheology**

Our nonlinear oscillatory shear measurements are shown in Figure 2. Only the first-  
240 harmonic responses are plotted, representing the average storage and loss of mechanical energy,  
here indicated as  $G'_1$  and  $G''_1$ , respectively. The response at all concentrations is similar. At low  
242 strains, the response is in the linear regime, with roughly a constant value of  $G'_1$  and  $G''_1$  and  $G'_1 > G''_1$   
. At large strains, the response becomes nonlinear with  $G'_1$  showing a monotonic decrease while  
244  $G''_1$  exhibits a maximum. An increasing  $G''_1$  indicates more dissipation presumably due to

deformation-induced microgel motion which can be qualitatively viewed as a stress driven solid-  
 246 to-fluid like transition or yielding [5,13]. One measure of the latter is the strain at which  $G'_1 = G''_1$ ,  
 which occurs at rather high strain values of  $\sim 25 - 50\%$  with systematic variation with  
 248 concentration difficult to discern. More precise definitions and analysis of yielding will be given  
 in section VI.



250

**Figure 2** – Nonlinear viscoelastic moduli (first harmonic  $G'_1$  closed symbols,  $G''_1$  open symbols) measured at varying strain amplitudes at a fixed frequency  $\omega = 1$  rad/s. At low strains, the response is predominantly elastic,  $G'_1 > G''_1$  and  $G' \sim \text{constant}$ . Beyond the linear regime,  $G'_1$  monotonically decreases, while  $G''_1$  achieves a maximum value as the material undergoes yielding. With further increase in strain, suspensions at all concentrations have a dominant liquid-like response, with both  $G'_1$  and  $G''_1$  showing a monotonic decrease and  $G'_1 < G''_1$ . The dotted line shows the minimum torque limit of the instrument and the dashed line shows the instrument inertia limit [17].

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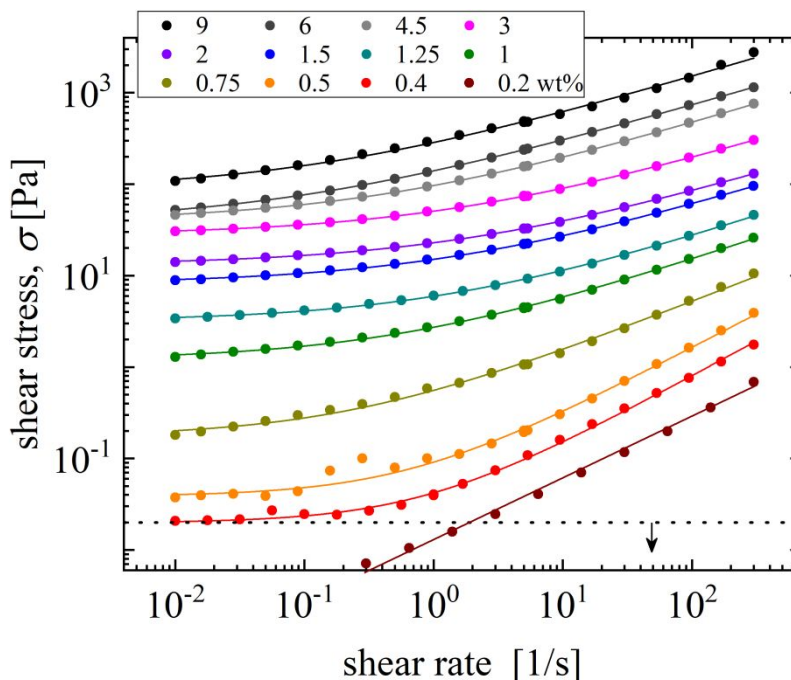
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258 Figure 3 shows the steady state flow curve of the microgel suspensions. Below  
 $c = 0.4 \text{ wt}\%$ , the response resembles a shear thinning fluid at high shear rates. At higher  
 260 concentrations,  $c > 0.4 \text{ wt}\%$ , the stress-strain rate response resembles that of a yield-stress fluid,  
 although for most samples there is no rigorous low shear plateau and the degree to which the data  
 262 is flat does not vary systematically with concentration. Such a response can be adequately captured  
 by the empirical Herschel-Bulkley (HB) model given by:  $\sigma(\dot{\gamma}) = \sigma_y^{\text{HB}} + K(\dot{\gamma})^n$ , where  $\sigma_y^{\text{HB}}$  is the  
 264 apparent yield strength,  $n$  is the flow index, and  $K(\dot{\gamma})^n$  describes the shear-thinning behavior at  
 high shear rates for  $n < 1$  [13]. The parameter  $K$  has dimensions that depend on  $n$  and does not  
 266 represent a physical quantity. However, we can instead use a modified form of the HB model [21],

$$\sigma(\dot{\gamma}) = \sigma_y^{\text{HB}} \left( 1 + \left( \frac{\dot{\gamma}}{\dot{\gamma}_c} \right)^n \right) \quad (1)$$

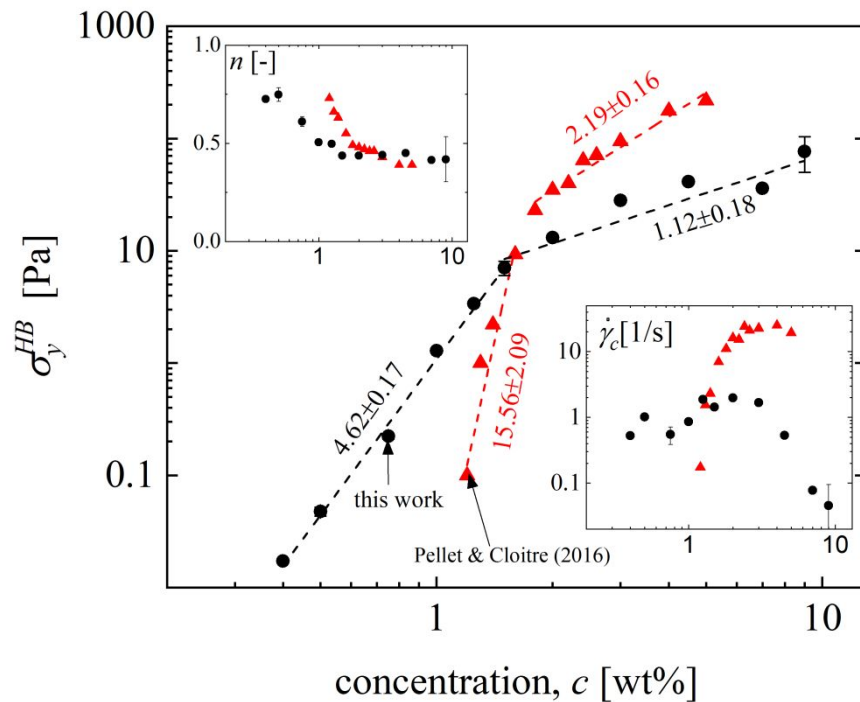
268 where the characteristic shear rate,  $\dot{\gamma}_c = \left( \frac{\sigma_y^{\text{HB}}}{K} \right)^{1/n}$ , is associated with a crossover from rate-  
 independent plastic flow to rate-dependent viscous flow. Equation (1) is used to fit the  
 270 experimental data which directly yields the parameter  $\dot{\gamma}_c$ .



272 **Figure 3** - Steady state shear flow curves for various suspension concentrations. For  $c \geq 0.4 \text{ wt}\%$   
 , all suspensions show an apparent yield stress response, achieving a near plateau at low shear  
 274 rates. For  $c < 0.4 \text{ wt}\%$ , the response closely resembles a shear thinning fluid (power law stress-  
 rate scaling with an apparent exponent smaller than 1) in the range of shear rates probed. The solid  
 276 curves are the Herschel-Bulkley model fits,  $\sigma(\dot{\gamma}) = \sigma_y^{HB} \left( 1 + \left( \frac{\dot{\gamma}}{\dot{\gamma}_c} \right)^n \right)$  (Eq.(1)). The dotted horizontal  
 line shows the minimum torque limit of the instrument [17].

278 The HB fits to the data (assuming constant error weighting) and corresponding fit  
 parameters  $(\sigma_y^{HB}, \dot{\gamma}_c, n)$  are shown in Figure 4. Similar to the observations made earlier for the  
 280 linear elastic modulus, we find a strong concentration dependence of  $\sigma_y^{HB} \sim c^{4.5}$  in the intermediate  
 concentration regime, which is however clearly weaker than that of the  $G'$  data in Fig.1B. We will  
 282 refer to such behavior as indicating the "glassy regime". At higher concentrations the yield stress  
 grows roughly linearly with concentration, which for descriptive purposes we refer to as the "soft  
 284 jamming" regime. The flow index,  $n$ , decreases monotonically with the concentration in the glassy

regime,  $n \sim c^{-0.48}$ , followed by a nearly constant value of 0.41 in the soft jamming regime. The lower inset of Fig.4 shows that the characteristic shear rate  $\dot{\gamma}_c$  is roughly constant in the glassy regime and follows a power law relation,  $\dot{\gamma}_c \sim c^{-2.5}$ , in the soft jammed regime. As true of the linear elastic modulus, Figure 4 shows that the yielding properties of our microgel suspensions follow quite different trends from previous studies [11] of different ionic microgel systems. Specifically, the yield stress in the soft jamming regime displays a stronger concentration dependence ( $\sim c^2$ ), the exponent  $n$  values are generally larger, and  $\dot{\gamma}_c$  increases with the concentration in the glassy regime until appearing to plateau in the soft jamming regime.



**Figure 4** – Concentration dependence of the Herschel-Bulkley model fit parameters, Eq.(1), for our slightly charged microgel suspensions (black circles, from data in Fig.3). Data for the ionic microgel suspensions of ref. [11] are shown as red triangles. Power-law scaling exponents are indicated for each fit line. (Inset) Corresponding characteristic shear rate data determined as defined below Eq(11).



## IV. Theoretical Approach: Microgel Model, Packing, Elasticity, Dynamics, and Rheology

### 300 A. Overview and Modeling of Single Microgel Structure in the Condensed Phase

302 Much theoretical progress has been made in recent years by many workers [22-25] for understanding the slow dynamics and rheology of simple colloidal particles which can be treated as soft or hard spheres that interact via a central pair potential,  $V(r)$  [6]. If  $V(r)$  is known, then 304 one can use a litany of statistical mechanical methods to analyze their collective structure, equilibrium dynamics under Brownian conditions, and nonlinear rheology. The approach 306 Schweizer and co-workers have developed and widely applied in prior work [26] is used here and proceeds in a series manner as follows. (1) Construct a single particle model and  $V(r)$ . (2) Use 308 liquid state integral equation methods to predict the intermolecular pair correlation function,  $g(r)$ , and its Fourier space collective structure factor,  $S(k)$ . (3) Use (1) and (2) to construct predictive 310 dynamical theories of thermally activated equilibrium structural relaxation dynamics and mechanical properties, and (4) combine (1)-(3) to construct a theory for the effect of deformation 312 on non-equilibrium dynamics and mechanics.

The daunting difficulty to quantitatively carryout such a program for microgels is that they 314 are soft fluctuating polymeric particles with many internal degrees of freedom. Quantitative knowledge of their internal structure in dense suspensions, as a function of thermodynamic state 316 variables (concentration, temperature), is scarce. This renders an a priori theoretical analysis at the monomer level very difficult or impossible. It has led to almost all theoretical and simulation 318 studies adopting a coarse-grained center-of-mass (CM) level description of the polymer microgel which interacts via a pair decomposable isotropic soft repulsive potential where the influence of 320 all internal degrees of freedom are effectively pre-averaged. This corresponds to  $V(r)$  becoming a free energy or potential-of-mean force (PMF) quantity. But an a priori quantitative theoretical

322 construction of such a PMF for real chemical systems is extremely difficult since it requires the  
 following information. (i) How a global measure of mean size (radius,  $R$ ) of a single microgel  
 324 changes as a function of concentration and temperature, i.e. what is  $(c,T)$  ? (ii) What is the  
 functional form of  $V(r)$  and how does it change with thermodynamic state? (iii) Even for a simple  
 326  $V(r)$  such as the Herztian contact model (see below), the single particle modulus is variable,  
 depending on chemistry, preparation method, and crosslink density, and is a priori unknown. (iv)  
 328 How does the experimental concentration variable (weight percent) map to an effective volume  
 fraction as a function of concentration and temperature, i.e.  $\phi_{eff}(c,T)$ ?

330 The inability to a priori answer the above questions forces one to adopt models constrained  
 by incomplete knowledge. Physical ideas must be invoked, and parameters introduced, with the  
 332 goal of retaining some predictive power. Here we outline our approach, which is summarized in  
 Figure 5.

334 Soft microgels are generally globally compact and compressible objects that are swollen  
 in a good solvent but have a (dense) core - (more dilute/hairy) corona structure [24,27]. We take a  
 336 microgel to be, on average, a spherical soft object. Its internal density  $\rho(r)$  decreases continuously  
 in a non-universal manner upon transitioning from its center to edge, ultimately becoming  
 338 effectively zero at  $r = R_{eff}$ . In the dilute low concentration regime the microgel size is fixed and  
 one can define a volume fraction  $\phi = \frac{4\pi}{3}\rho R^3$  which grows linearly with concentration. As  
 340 suggested by experiments of Schurtenberger et. al. [7,28], in an intermediate concentration regime  
 of  $c_1 < c < c_2$  (per the notation of Fig.5) the microgels begin to de-swell due to steric repulsions  
 342 between particles, in a manner that experiments suggest is initially weak. Crudely, experimental  
 data in the latter regime can be modeled as a power law,  $R \sim c^{-1/x}$  where  $x > 3$ , implying an

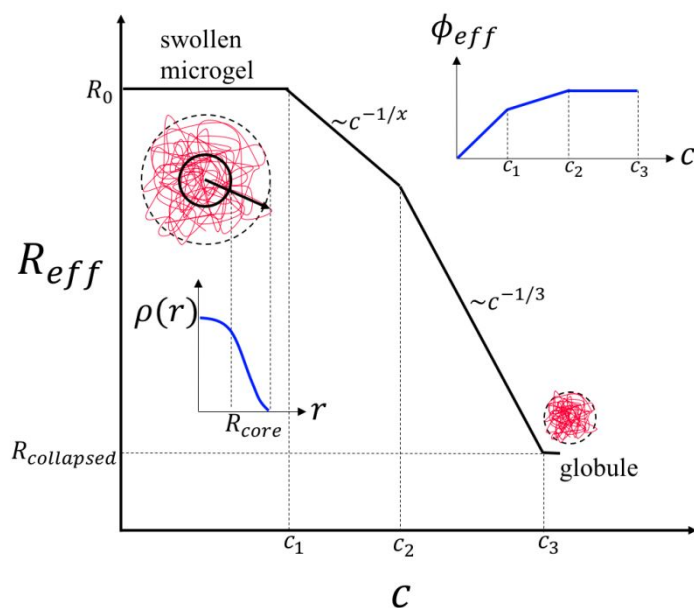
344 effective volume fraction that scales as  $\phi \sim c^{(1-\frac{3}{x})}$ . Motivated by the experimental data of Figure  
 5A of ref. [28], we estimate  $x = 1/6$ . Beyond a "high enough"  $c \geq c_2$ , one expects the more fuzzy  
 346 "corona" of the microgel is largely squeezed out, leaving a dense core which further decreases in  
 size as concentration grows due to isotropic compression in the sense that  $x = 1/3$ , as again  
 348 suggested in ref [28]. This leads to  $\phi \sim c^0$  where the linear growth of microgel particle number  
 density ( $\rho$ ) with concentration is perfectly compensated by their shrinking size. Ultimately, beyond  
 350 an even higher concentration  $c_3$ , the internal concentration of microgels presumably saturates at a  
 maximum value akin to a collapsed molten globule with radius  $R_{collapsed}$ .

352 Quantitative knowledge of such a complex, continuous, and material-specific variation of  
 microgel size with concentration is unknown for our system. Thus, we adopt the model of Fig. 5  
 354 which has 3 crossover concentrations, one exponent parameter " $x$ ", and 3 characteristic sizes. The  
 crossover concentrations are determined using our elastic modulus data and theory as explained in  
 356 detail in section V. Here we summarize the model adopted there.

We assume that the lowest concentration regime extends up to  $c = 0.4 \text{ wt}\%$  and the  
 358 microgel size is constant and the same as in the  $c \rightarrow 0$  dilute limit as determined from our DLS  
 measurements,  $2R = 2R_0 = 551 \text{ nm}$ . A second regime is defined starting at  $c_1$  (0.4 wt%) and  
 360 ending at  $c_2 = 1.5 \text{ wt}\%$  (onset of "soft jamming" behavior of  $G'$ ). Here we assume the microgels  
 begin to weakly contract and employ  $x = 1/6$  as suggested in ref. [28]. This implies at  $c_2$  the  
 362 microgel diameter is  $2R = 442 \text{ nm}$ . Beyond  $c_2$  a third regime is entered and we adopt the  $1/3$   
 exponent to describe microgel shrinkage. This implies at the highest concentration we study (9  
 364 wt%) one has  $2R \approx 244 \text{ nm}$ . Interestingly, as Fig. S1 shows, this is roughly the size of dilute  
 microgels at high temperature beyond the LCST where they undergo an enthalpy-driven collapse.

366 Although a collapsed microgel driven by poor solvent conditions need not be exactly the same size  
 as what can be attained via interparticle steric repulsion, it is not unreasonable they could be  
 368 similar. Hence, in terms of the scenario of Fig. 5 we deduce as a rough approximation  $c_3 \sim 9 \text{ wt\%}$ ,  
 and our present measurements do not probe the ultra-high concentration fourth regime which may  
 370 be impossible to explore in practice.

We employ a suite of older and recently developed theoretical tools to model our system.  
 372 The rest of this section provides a brief summary without derivation of these methods. All details  
 can be found in original papers, and for consistency we employ the same notation developed in  
 374 these prior theoretical works. Our present work is the first time the new activated dynamics  
 (ECNLE) theory in equilibrium and under deformation has been employed to study soft colloids.



376  
**Figure 5** - Schematic of our model for microgel radius as a function of concentration. In principle,  
 378 there can be four regimes. At low concentration, the size is fixed at its  $c \rightarrow 0$  dilute limit value as  
 measured by DLS. Two intermediate regimes have different concentration dependences in the

380 glassy and “soft jammed” regimes which we envision as physically indicating first compression  
of the corona and then stronger shrinkage of the core due to interparticle steric repulsions. The  
382 final, perhaps not observable, regime is when the core is maximally compressed and microgel size  
saturates.

384 Finally, for clarity we again emphasize that our goal is to attempt to understand all  
concentration regimes (fluid, glassy suspension, so-called "soft jammed") for our lightly  
386 crosslinked polymeric microgel based on the assumption of thermal equilibrium in the Boltzmann  
sense. No athermal granular jamming or contact mechanical force network concepts are invoked.  
388 This does not contradict the fact that the interparticle soft repulsive interaction and the  
corresponding forces (modeled via the elastic Hertzian model described below) are very strong  
390 compared to the thermal energy scale. Our goal is to predict the equilibrium structure and relate  
it using statistical dynamical ideas to intermolecular stress storage, the dynamic plateau shear  
392 modulus, and relaxation.

### B. Center-of-Mass Hertzian Repulsion Model

394 The vast majority of modeling studies of soft microgels has employed the repulsive  
Hertzian contact or harmonic interaction model. We adopt the former which is given by [22,29],

$$396 \quad \beta V(r) = \begin{cases} \frac{4E}{15} \left(1 - \frac{r}{d}\right)^{\frac{5}{2}} & \text{if } r < d = 2R_{eff} \\ 0 & \text{if } r \geq d \end{cases} \quad (2)$$

where  $\beta = (k_B T)^{-1}$  is the inverse thermal energy,  $r$  is the interparticle separation, and  $d$  is the  
398 particle diameter. The front factor  $4E/15$  is the inverse dimensionless temperature that controls  
the elastic stiffness of a particle and hence repulsion strength.  $E$  is a priori unknown for our system,

400 and  $d \approx 2R$  where  $R \approx R_g$  of the core-corona particle. From its mechanics derivation,  $E$  is related  
to the sphere diameter  $d$ , Young's modulus  $Y$ , and Poisson ratio  $\nu$ , as:

$$402 \quad E = \frac{Yd^3}{2k_B T(1-\nu^2)}. \quad (3)$$

Depending on the magnitude of the dimensionless temperature, the Hertzian potential can describe  
404 ultra-soft microgels ( $E \leq 10^3$ ), intermediate soft microgels ( $10^3 \leq E \leq 10^6$ ), and effective hard  
spheres ( $E \geq 10^6$ ). The literal hard sphere limit is smoothly obtained as  $E \rightarrow \infty$ . Very recent  
406 simulations of soft microgel suspensions that explicitly considered the polymeric internal degrees  
of freedom found the Hertzian pair potential to work fairly well [30]. Again, no ionic interactions  
408 are taken into account in our modeling.

### C. Equilibrium Packing Structure

410 We use the standard Ornstein-Zernike (OZ) integral equation [31, 32] approach to compute  
the inter-particle pair structure. The OZ equation relates the non-random part of the interparticle  
412 pair correlation function,  $h(r) = g(r) - 1$  (where  $g(r)$  is the pair correlation or radial distribution  
function), and the direct correlation function,  $c(r)$  via [31, 32],

$$414 \quad h(r) = c(r) + \rho \int c(|\vec{r} - \vec{r}'|) h(r') d\vec{r}' \quad (4)$$

where  $\rho$  is the particle number density. Collective density fluctuations are controlled by the static  
416 structure factor which in Fourier space is

$$S(k) = 1 + \rho h(k) = \frac{1}{1 - \rho C(k)}. \quad (5)$$

418 Numerical solution of the OZ equation requires a closure approximation that relates  $c(r)$ ,  $g(r)$   
,  $V(r)$ , and thermodynamic state (density, temperature). For soft colloids the hypernetted chain  
420 closure (HNC) relation works well and is given by,

$$c(r) = -\beta V(r) - \ln(g(r)) + h(r) \quad (6)$$

#### 422 **D. Dynamic Localization and Elasticity: Naive Mode Coupling Theory**

The starting point for describing the dynamics of a tagged particle in a liquid is the  
424 Generalized Langevin Equation (GLE) for its position and velocity [31,32],

$$m \frac{d\vec{v}(t)}{dt} + \zeta_s \vec{v}(t) = -\frac{\beta}{3} \int_0^\infty d\tau \langle \vec{f}_\alpha(t) \cdot \vec{f}_\alpha(t-\tau) \rangle + \overrightarrow{\delta f}_\alpha(t) + \xi(t) \quad (7)$$

426 where  $\zeta_s$  is a short time friction constant,  $\vec{f}_\alpha(t)$  is the force on a tagged particle due to the  
surrounding particles, and  $\overrightarrow{\delta f}_\alpha(t)$  and  $\xi(t)$  represent the random white noise (Gaussian) force  
428 associated with the short time process. The naive ideal Mode-Coupling Theory (NMCT) of single  
particle dynamics calculates the force-force time correlation function or memory function by  
430 quantifying dynamical constraints at the pair structural level as [26]:

$$K(t) = \langle \vec{f}_\alpha(0) \cdot \vec{f}_\alpha(t) \rangle = \frac{\beta^{-2}}{3} \int_0^\infty \frac{d\vec{k}}{(2\pi)^3} \rho |\vec{M}_{NMCT}(k)|^2 S(k) \Gamma_s(k,t) \Gamma_c(k,t) \quad (8)$$

432 where  $\vec{M}_{NMCT}(k) = kC(k)\hat{k}$  is the wave vector resolved effective force on a tagged particle, and  
the "dynamic propagators"  $\Gamma_s(k,t), \Gamma_c(k,t)$  are the  $t = 0$  normalized single and collective dynamic  
434 structure factors (decay to zero in a fluid phase, non-zero for solids). At long times, localized states  
can exist and the Gaussian Debye-Waller factors are non-zero,  $\Gamma_s(k,t \rightarrow \infty) = e^{-k^2 r_L^2 / 6}$ , where  $r_L$   
436 is the dynamic localization length associated with a kinetically arrested state. The collective  
propagator is accounted for in a de Gennes narrowing manner as [33],

$$438 \quad \Gamma_c(k, t \rightarrow \infty) \equiv \Gamma_s\left(\frac{k}{\sqrt{S(k)}}, \infty\right) = e^{-\frac{k^2 r_L^2}{6S(k)}}. \quad (9)$$

A self-consistent equation in the long time limit for the particle displacement can be  
 440 derived and is given by:  $\langle \vec{f}_\alpha(0) \cdot \vec{f}_\alpha(t \rightarrow \infty) \rangle \frac{r_L^2}{2} = \frac{3k_B T}{2}$ . From this, the ideal NMCT self-consistent  
 localization equation is [34]

$$442 \quad \frac{1}{r_L^2} = \frac{\rho}{18\pi^2} \int_0^\infty dk k^4 C(k)^2 S(k) e^{-\frac{k^2 r_L^2}{6}(1+S^{-1}(k))}. \quad (10)$$

One can also compute the elastic shear modulus associated with such an ideal glass state. The  
 444 calculation is relevant in practice if the product of the frequency of the measurement and structural  
 relaxation time obeys  $\omega \tau_\alpha \gg 1$ . A standard statistical mechanical formula for the dynamic elastic  
 446 shear modulus, based on projecting microscopic stress onto a bilinear product of the collective  
 density fields followed by factorization of multi-point correlations to the 2-point level, is [31]:

$$448 \quad G' = \frac{k_B T}{60\pi^2} \int_0^\infty dk \left[ k^2 \frac{d}{dk} \ln(S(k)) \right]^2 e^{-\frac{k^2 r_L^2}{3S(k)}} \approx a \phi \frac{k_B T}{d r_L^2} = a(\rho k_B T) \left(\frac{d}{r_L}\right)^2 \quad (11)$$

where "a" is a numerical prefactor. The final approximate "microrheology-like" relation can be  
 450 analytically derived for hard spheres and works well for Hertzian spheres [12]. Tighter dynamic  
 localization (smaller  $r_L$ ) leads to higher mechanical stiffness.

452 We comment that one might interpret Eq. (11) as suggesting an apparent equivalence of  
 the basic mathematical form of the "microrheology-like" relation to that of classic rubber  
 454 elasticity,  $G' \sim \rho_x k_B T$ . But, there is no conceptual correspondence since  $\rho$  is the number of microgels  
 per unit volume in Eq.(11) and not the crosslink number density as in rubber elasticity. Moreover,  
 456 the localization length is an emergent dynamic quantity associated with kinetic trapping of



particles due to interparticle forces and is a strong function of the thermodynamic state variables.  
 458 Most fundamentally, the basis of Eq. (11) is the spatial correlation in a (transiently in practice)  
 kinetically arrested state of collective *interparticle* microscopic stress defined by particle positions  
 460 and interparticle forces, not the intra-strand entropic stress per rubber elasticity.

### E. Quiescent Activated Structural Relaxation

462 To go beyond ideal MCT to treat thermally activated events that lead to slow structural  
 relaxation, the nonlinear Langevin equation (NLE) theory has been developed. It is based on the  
 464 scalar displacement of a tagged particle,  $r(t)$ , as the central dynamic variable. In the overdamped  
 limit, the stochastic NLE for a particle trajectory is [33,34]

$$466 \quad \zeta_s \frac{dr}{dt} = - \frac{\partial F_{dyn}(r)}{\partial r} + \xi(t) \quad (12)$$

where  $\xi(t)$  is a Gaussian white noise and the key quantity is the *dynamic* free energy,  $F_{dyn}$ . The  
 468 gradient of the latter determines the instantaneous force on a moving tagged particle due to its near  
 neighbors and is given by [34]

$$470 \quad \beta F_{dyn}(r) = \frac{3}{2} \ln \left( \frac{3d^2}{2r^2} \right) - \frac{\rho}{2\pi^2} \int_0^\infty dk \frac{k^2 C(k)^2 S(k)}{(1+S^{-1}(k))} e^{-\frac{k^2 r_L^2}{6}(1+S^{-1}(k))}. \quad (13)$$

The first contribution is an ideal entropy like term that favors the delocalized fluid state, and the  
 472 second interaction free energy like term favors dynamic localization. The dynamic free energy is  
 constructed to recover NMCT per  $\left. \frac{\partial F_{dyn}(r)}{\partial r} \right|_{r=r_L} = 0$ . At and above a critical volume fraction  $\phi > \phi_c$   
 474 ( $\approx 0.43$  for hard spheres [34]) a barrier in  $F_{dyn}(r)$  emerges at  $r = r_B$  of height  $F_B$  with a  
 corresponding transient localization length  $r_L$ ; see Figure 6 for an example. The liquid structural  
 476 relaxation time is estimated from the Kramers mean barrier hopping time as [34]

$$\frac{\tau_\alpha}{\tau_s} = 1 + \frac{2}{d^2} \int_{r_L}^{r_B} dx e^{\beta F_{dyn}(x)} \int_0^x dy e^{-\beta F_{dyn}(y)} \approx 1 + \frac{2\pi}{\sqrt{K_0 K_B}} e^{\beta F_B} \quad (14)$$

478 where  $\tau_s$  is a short time process relaxation time and  $K_0$  and  $K_B$  are positive local curvatures of free energy at  $r_L$  and  $r_B$ , respectively. The approximate relation in Eq. (13) holds when  $\beta F_B \gtrsim 1 - 2$ .

480 The short time scale is [35]:

$$\tau_s = g(d) \frac{d^2}{D_{SE}} \left[ 1 + \frac{1}{36\pi\phi} \int_0^\infty dQ \frac{Q^2(S(Q)-1)^2}{S(Q)+b(Q)} \right] \quad (15)$$

482 where  $D_{SE}$  is the Stokes-Einstein (SE) diffusivity in dilute solution. One can define a short time

friction constant  $\zeta_s = \zeta_0 \left[ 1 + \frac{d^3}{36\pi\phi} \int_0^\infty dQ \frac{Q^2(S(Q)-1)^2}{S(Q)+b(Q)} \right]$  where for a colloidal suspension  $\zeta_0 = \zeta_{SE}$

484  $g(d)$ . In the above equation  $\tau_0 \equiv \frac{d^2}{D_0}$ ,  $D_0 = \frac{k_B T}{\zeta_0}$ ,  $Q = kd$ , and  $b^{-1}(k) = 1 - j_0(k) + 2j_2(k)$  where

$j_n(x)$  is the spherical Bessel function of order  $n$ .

486 The above NLE based theory only captures the consequences of the local cage on tagged

particle hopping. Most recently, the "Elastically Collective NLE" theory (ECNLE) has been

488 developed, widely applied, and quantitatively validated for dense suspensions of hard sphere

colloids, cold molecular liquids, and polymer melts [35,36]. It includes a longer range cooperative

490 motion aspect of structural relaxation based on the idea that the fluid surrounding a particle cage

must elastically dilate by a small amount (via a spontaneous thermal fluctuation) to accommodate

492 large amplitude hopping. This elastic energy contributes an extra barrier to the activated hopping

process given by:  $\beta F_{el} = 2\pi K_0 \int_{r_{cage}}^\infty dr r^2 \rho g(r) u(r)^2$ , where  $K_0$  is the harmonic spring constant

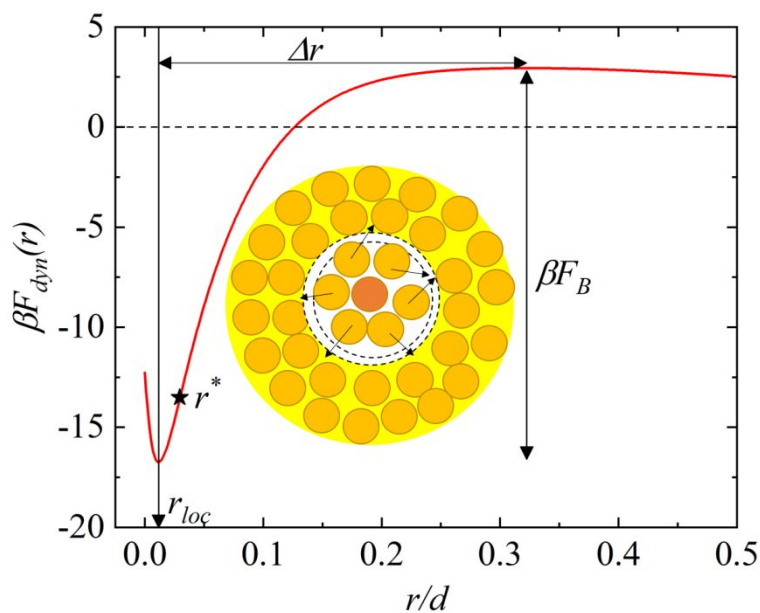
494 of the dynamic free energy which sets the energy scale of the elastic barrier,  $u(r)$  is the elastic

displacement field at a scalar distance  $r$  from the cage center  $u(r) = \Delta r_{eff} \left( \frac{r_c}{r} \right)^2$ ,  $r > r_c \sim 1.5d$ ,

496 and the amplitude  $\Delta r_{eff} \leq r_L$  the explicit formula for which is given elsewhere [35,36].

Physically, the local and elastic barrier are additive, so the hopping time is modified as a  
 498 multiplicative factor  $e^{\beta F_{el}}$  in the Kramers time as  $\beta F_{Total} = \beta F_B + \beta F_{el}$  [35].

The conceptual ideas of ECNLE theory, key length and energy scales, and a representative  
 500 dynamic free energy are shown in Fig.6 for the Hertzian model. The location of the maximum cage  
 restoring force ( $r^*$ ) obeys  $\frac{\partial^2 F_{dyn}(r)}{\partial r^2} = 0$ , and the barrier location ( $r_B$ ), jump distance ( $\Delta r = r_B - r_{loc}$   
 502 ), and local barrier ( $\beta F_B$ ) are also indicated.



504 **Figure 6** - A representative plot of the dynamic free energy in thermal energy units as a function  
 of dimensionless single particle displacement from its initial position for a dense suspension. Here  
 506  $\phi = 0.70$  and  $E = 30,000$ , with all important length scales and the cage local barrier height  
 indicated. The local minimum of the dynamic free energy,  $r_{loc}$ , defines the transient localization  
 508 length,  $r = r^*$  is the particle displacement where the cage restoring force is a maximum, and the  
 particle hop or jump distance is  $\Delta r$ . The schematic indicates a tagged particle at the center of a  
 510 cage composed of its nearest neighbors, all of which undergo large amplitude hops. To allow the

latter, particles outside the cage region undergo a long-range collective elastic radial dilational  
 512 displacement of small amplitude which results in an elastic contribution to the total dynamic  
 activation barrier.

## 514 **F. Rheology**

The NLE and ECNLE theories can be extended to treat non-equilibrium materials under  
 516 deformation. Extensive applications to hard sphere colloids, polymer-colloid depletion systems,  
 polymer glasses, molecular colloids, and nanoparticle gels have been made [12,37-39]. The  
 518 approach assumes the dominant effect is the direct consequence of applying stress to the material,  
 which leads to an effective force on each particle in a micro-rheological spirit. Technically, a stress  
 520 ensemble (creep) is adopted to formulate the basic ideas. It is asserted that a macroscopic stress  
 manifests itself locally as a scalar applied force on any tagged particle given by [37]

$$522 \quad f = ad^2\sigma \quad (16)$$

where  $a = \pi/6\phi^{-2/3}$ . Stress then modifies the dynamic free energy as [37]

$$524 \quad \beta F_{dyn}(r,\sigma) = \beta F_{dyn}(r,\sigma = 0) - \beta \pi/6\phi^{-\frac{2}{3}}d^2\sigma r. \quad (17)$$

External forces are assumed to not modify structural correlations on the *local* length scales  
 526 dynamically relevant in the theory, nor the short time relaxation process in  $\tau_s$ . Increasing the  
 applied stress weakens the localizing constraints of the dynamic free energy, and hence reduces  
 528 the barrier and can mechanically drive a glass-to-liquid transition. At a critical value of stress,  
 called the "absolute yield stress",  $\sigma_{y,abs}$ , the barrier is completely destroyed, indicating an athermal  
 530 type of solid-to-liquid transition. With increasing force or stress below its absolute yield value, the  
 localization length grows and the elastic shear modulus decreases continuously. A simple

532 nonlinear elastic mechanical equation-of-state (relevant in practice at times short compared to  
stress relaxation times) previously adopted implicitly defines strain as [12,37]:

$$534 \quad \sigma = G'(\sigma)\gamma. \quad (18)$$

This equation can be used to define an "absolute yield strain"

$$536 \quad \gamma_{y,abs} = \frac{\sigma_{y,abs}}{G'(\sigma_{y,abs})}. \quad (19)$$

Other types of yield strains such as a "dynamic yield strain" can also be defined as the strain at  
538 which  $G''(\gamma)$  has a maximum within the framework of a one structural relaxation time model which  
is a function of applied deformation. The nonlinear loss modulus is modeled as [36,38]:

$$540 \quad G''(\gamma) = G'(\gamma) \frac{(\omega\tau_\alpha(\gamma))^2}{1 + (\omega\tau_\alpha(\gamma))^2}. \quad (20)$$

"Mixed" yield strains can also be defined as [12,37]:

$$542 \quad \gamma_{y,mix} = \frac{\sigma_{y,abs}}{G'(0)}. \quad (21)$$

The stress dependent relaxation time follows from the same Kramers' hopping time  
544 expression but where all dynamic free energy quantities are now stress-dependent,

$$\frac{\tau_\alpha(\sigma)}{\tau_s} = 1 + \frac{2\pi}{\sqrt{K_0(\sigma)K_B(\sigma)}} e^{\beta(F_B(\sigma) + F_{el}(\sigma))}. \quad (22)$$

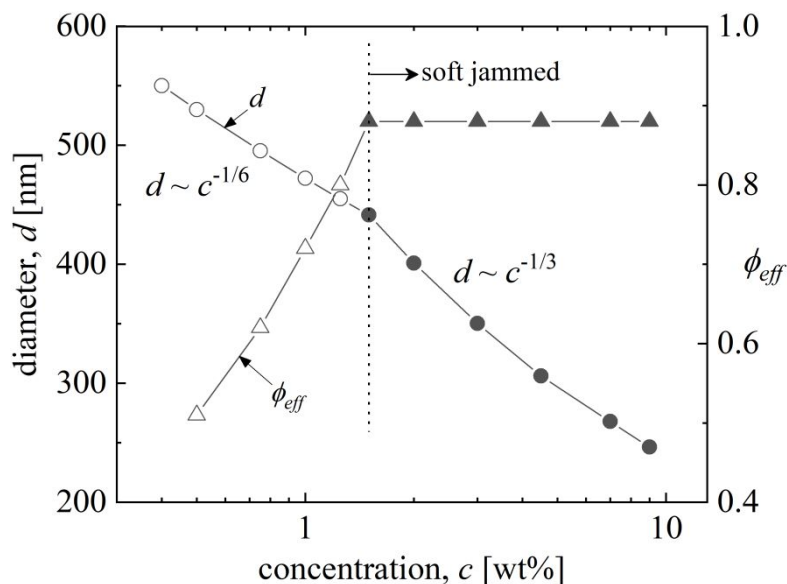
546 A predictive theory for the full stress-strain response, time-dependent creep, steady shear flow  
curve, etc. can be constructed [39] but this is beyond the scope of the present work.

## 548 **V. Model Calibration, Glassy Shear Modulus, and Collective Structure Predictions**

In this section, we employ the microgel model of section IVA to determine the effective  
 550 volume fraction for our microgel suspensions. We then use this knowledge to perform theoretical  
 calculations of the linear elastic shear modulus and compare to experiment.

### 552 **A. Effective Microgel Radius and Volume Fraction in Dense Suspensions**

The effective volume fraction ( $\phi_{eff} = \frac{\pi}{6}\rho d^3$ ) depends on concentration via the microgel  
 554 diameter,  $d(c)$ . As discussed in section IVA and Figure 5, experiments suggest there are two  
 regimes where the microgel radius first decreases weakly ( $R_g \sim c^{-1/6}$ ) starting at 0.4 wt% whence  
 556  $\phi \sim c^{1/2}$ , which then changes beginning at 1.5 wt% to a stronger shrinkage  $R_g \sim c^{-1/3}$  and hence  
 $\phi_{eff} \neq f(c)$ . The chosen crossover concentration is motivated by our physical hypothesis that the  
 558 sharp change of the elastic modulus data in Fig.1B is an indication of a change of the scaling of  
 microgel size with concentration. Figure 7 presents the quantitative model employed for microgel  
 560 size and effective volume fraction as a function of concentration. The latter ranges from  $\sim 0.5$  to  
 0.88. As an independent estimate of the effective volume fraction for our 0.5wt% sample, we have  
 562 applied our approach to data from literature [40] for a similar microgel system and find it gives  
 $\phi = 0.45 \sim 0.55$  for  $c = 0.5wt\%$ , consistent with Fig.7.



564

**Figure 7** – Quantitative model employed for the microgel diameter (circles) and effective volume fraction (triangles) as a function of concentration (i.e., quantitative realization of the schematic of Fig. 5). Open symbols indicate the glassy regime while solid symbols indicate the “soft jamming” regime. Here  $d = 550\text{nm}$  in dilute solution and we assume microgel compression starts at  $0.4\text{ wt}\%$ .

The one remaining unknown in our model is the dimensionless strength of the Hertzian repulsion, the parameter  $E$  in Eq.(1). For simplicity, and to avoid introducing an adjustable function, we assume this is a material constant invariant to concentration. This simplification seems consistent with the very recent simulation study [30] that included the internal polymeric degrees of freedom of a microgel. We can then apply the theory ideas of sections IVA, IVB and IVC to calculate the dynamic elastic shear modulus. We ask the question whether it is possible to theoretically predict the entire set of linear elastic modulus data in both the glassy and soft jamming regimes of Fig.1B based on a single constant value of varying  $E$ . There is no guarantee the answer is yes.

## B. Linear Elastic Modulus: Theory versus Experiment

580 The inset of Figure 8 shows model calculations of the dimensionless linear shear modulus,  
 $G'/(k_B T/d^3)$ , over a wide range of  $E$  values. Recall that the data of Fig.1B in the glassy regime  
 582 covers almost  $\sim 3$  decades of modulus variation. Given the theoretical model calculations and  
 experimental data, this places a constraint on possible values of  $E$ . Values of  $E$  lower than those  
 584 shown in the inset of Fig.8 cannot possibly account for our observations. Based on these  
 considerations, and visual comparison of the theory and experimental results for the elastic  
 586 modulus, we choose  $E = 30,000$  to explore the ability of the theory to account for the entire  $G'$   
 data set. This  $E$  value corresponds to a single particle Young's modulus of  $Y \approx 1.5 \text{ kPa}$  ( $\nu = 0.5$ ),  
 588 which seems a reasonable value for our lightly self-crosslinked and slightly charged microgels.

Before quantitatively confronting theory with experiment, we note that the NMCT-based  
 590 theory of the elastic shear modulus that employs the approximation of Eq.(11) is, of course, not  
 exact. It has been successfully employed to understand how particle and thermodynamic state  
 592 variables determine dependences and trends of the elastic modulus in diverse colloidal glass and  
 gel forming suspensions [12,37,41] and molecular and polymeric liquids [36,42]. However,  
 594 concerning the absolute magnitude of the dynamic modulus, multiple previous studies and  
 comparisons with diverse experimental systems (colloids, molecules, polymers) have consistently  
 596 shown that NMCT quantitatively overestimates particle localization and hence  $G'$ , which is at least  
 partially likely a consequence of its formulation at the single particle dynamics level  
 598 [12,36,37,41,42]. Specifically, Eq.(10) has been found to generically overpredict  $G'$  by roughly  
 one order of magnitude. Thus, we introduce a numerical 'fudge-factor' to empirically rescale the  
 600 theoretical result for all microgel concentrations,  $G' = 0.1G_{NMCT}$ .



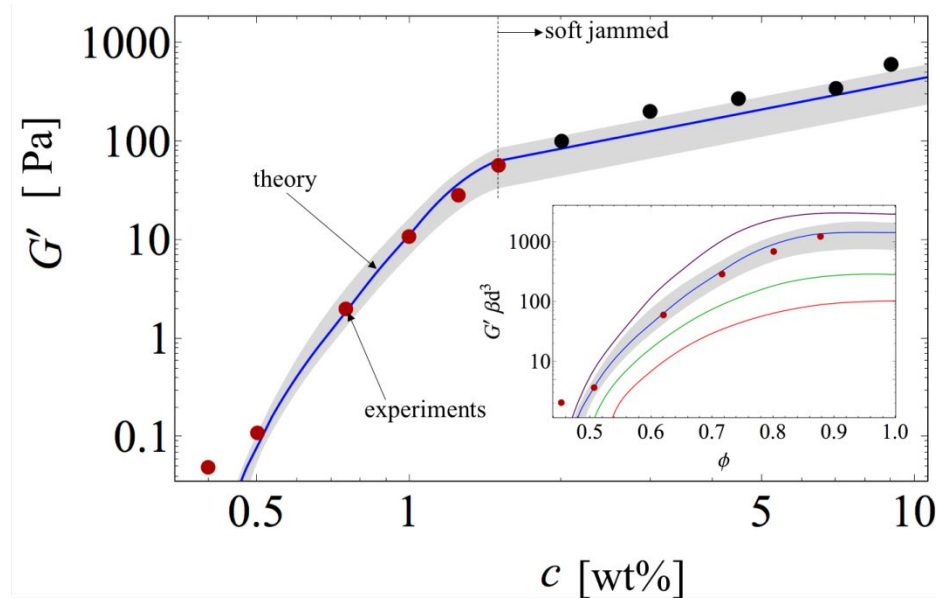
To compare theory with experiment, we use the model of Fig.7 for the effective microgel diameter and volume fraction and  $\frac{k_B T}{(100nm)^3} = 4.2Pa$  at room  $T$ . The results are shown in absolute units in the main frame of Fig.8, and the corresponding dimensionless unit comparison in its inset. We first discuss the glassy regime. One sees from the main frame that, rather remarkably and nontrivially, all the experimental data points essentially fall onto the theoretical curve based on using  $E=30,000$ . Considering the high uncertainties of the data for the lowest microgel concentration  $c = 0.4wt\%$ , we have chosen to ignore this data point for the purpose of assessing the quality of the theoretical analysis. The last data point in the glassy regime ( $c = 1.5wt\%$ ) corresponds to  $\phi = 0.88$ . As discussed in the next section, this is very close to where *structural* "soft jamming" is predicted based on our calculations of the equilibrium structure of the suspension where the volume fraction at which the cage peak of  $g(r)$  is a maximum is the metric [43] adopted to operationally define the soft jamming crossover.

The sensitivity of our elastic modulus predictions to the value of  $E$  is illustrated in Figure 8. The blue solid curve is for  $E = 30,000$ , while the gray band covers results over the range of  $E = 20,000$  to  $40,000$ . Red and black points show experimental data below and beyond the onset of "soft jamming". The blue theory curve follows well a power law concentration dependence of  $G'$  ( $c$ )  $\sim c^{5.6}$  in the glassy regime, very similar to experiment. Our calculations agree well with the data in the glassy regime for this relatively narrow range of  $E$ , but not outside of it.

At concentrations beyond  $c = 1.5wt\%$ , the effective volume fraction is fixed per the isotropic microgel compression idea discussed in section IVA. Thus, this idea alone, in conjunction with Eq(11), immediately predicts a crossover of  $G'$  to a linear growth with concentration since the dynamic shear modulus scales as  $G' \sim \frac{k_B T}{d^3} \sim c$  and the ratio  $\frac{r_L}{d}$  is a constant if the effective volume

fraction is constant. The blue line in Fig.8 beyond the soft jamming onset is the predicted linear  $G'$   
 624  $(c) \sim c$  dependence, and agrees rather well with the data.

We emphasize that our theoretical analysis in the very high concentration regime is *not* in  
 626 the spirit of granular jamming and a literal force contact network, nor the idea that the suspension  
 acts as a homogeneous rubber network, scenarios (1) and (2) discussed in section IVA. Effectively  
 628 we retain a discrete particle picture with stresses of interparticle Brownian origin due to caging.  
 The "soft jamming crossover" in Fig.1B is thus interpreted as a consequence of the particle size  
 630 decreasing as the  $1/3$  root of concentration, which implies a constant effective volume fraction,  
 but a shear stress scale of  $kT/R^3$  that grows linearly with concentration.



632

**Figure 8** - Linear elastic shear modulus in Pascals as a function of concentration. Points indicate  
 634 experimental data and curves are theoretical calculations using  $E = 30,000$ . Beyond  $c = 1.5 \text{ wt}\%$ ,  
 volume fraction is constant and  $G' \sim c$ , which agrees well with the experimental results. (Inset)  
 636 Dimensionless modulus versus volume fraction  $\phi$  for  $E = 5000, 10,000, 30,000$  and  $10^5$   
 (bottom to top). At high  $\phi$  beyond soft jamming, the theoretical  $G'$  results tend to saturate or

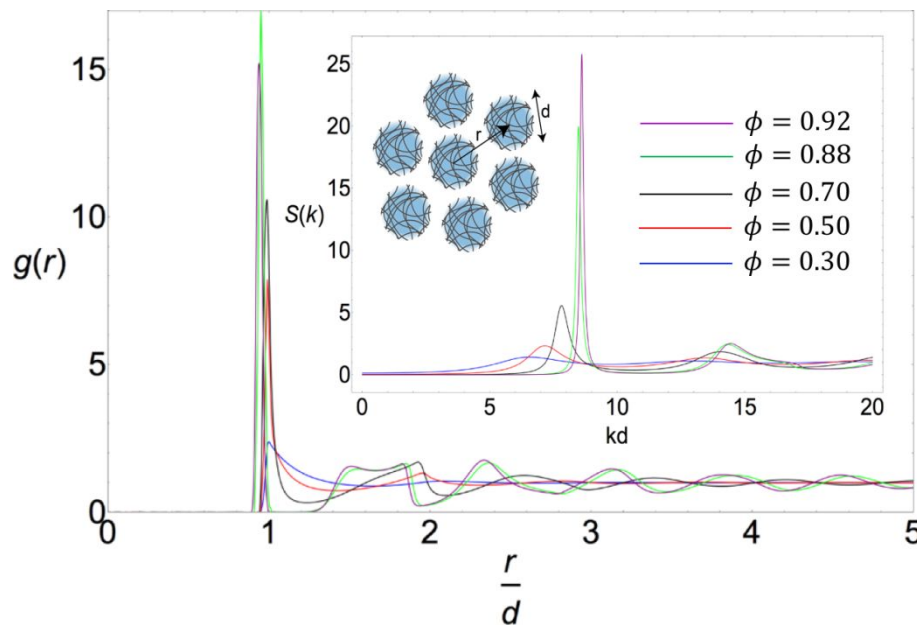
638 very weakly decrease, trends that are consistent with previous findings for soft microgel potentials  
[12]. After the last experimental data point in inset, the volume fraction of the system is essentially  
640 constant as described in Figure 7. The gray bands in the main frame and inset indicate the range  
of variation of the predicted elastic modulus as the repulsion strength in the Hertzian potential  
642 varies over the range of  $E = 20,000$  to  $40,000$ .

Finally we briefly note that the trends of our experimental shear modulus data in Fig.1B  
644 and our corresponding theoretical calculations are both in qualitative accord with the  
micromechanical model simulations of ref. [46]. Quantitative comparison is not appropriate given  
646 the sensitivity to system details as illustrated in the inset of Fig.1B, use of a measure of volume  
fraction in the theory work not identical to that of the simulations, and most importantly that the  
648 simulations employ the essentially infinite frequency or equilibrium formula for the shear elastic  
modulus of Zwanzig and Mountain [48]. The latter is in conceptual contrast to our statistical  
650 dynamical theory which analyzes a dynamically relaxed plateau shear modulus at finite frequency  
which is the emergent consequence of particle localization.

### 652 **C. Predicted Intermolecular and Collective Equilibrium Structure**

Given the apparent success of our single microgel model for predicting the dynamic shear  
654 modulus of our system, we now use it to explore its consequences for measurable aspects of  
equilibrium structure. Figure 9 shows predictions for the real and Fourier space pair structure using  
656 the "best fit" value of  $E = 30,000$  over a wide range of volume fractions. Figure 10 quantifies  
various metrics of the structural correlations in wave-vector and real space. Figures 9 and 10 show  
658 that as the effective volume fraction grows, the "contact value" (local maximum) of  $g(r)$  (crucial  
for transmitting repulsive forces between microgels) first grows but then goes through a maximum

660 at a volume fraction of  $\approx 0.85$  and decreases beyond that; there is also a splitting of the second  
 peak. This behavior was previously found theoretically [12], and in the simulations and  
 662 experiments of Liu, Yodh and coworkers [43, 46, 47]. The maximum of the contact value was  
 taken to be an empirical measure of the "soft jamming crossover" by the latter workers. The  
 664 emergence of a split second peak occurs at essentially the same value of volume fraction  $\phi_J \approx 0.85$   
 as where the first peak is a maximum, which is far beyond  $\phi_{rcp} = 0.64$  of jammed hard sphere  
 666 suspensions. We note in passing that our calculations based on OZ-HNC integral equation theory  
 are in qualitative accord with the simulations of refs [46,47], as also previously discussed by Yang  
 668 and Schweizer [12]. On the other hand,  $S(k)$  shows a monotonic growth of cage coherence defined  
 as the amplitude of the first peak of the static structure factor,  $S(k^*)$ , with increasing volume  
 670 fraction.



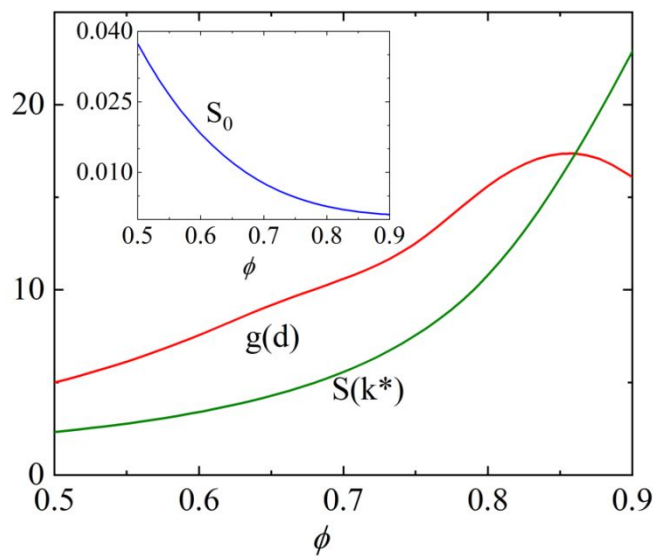
672 **Figure 9** - Equilibrium pair correlation function as a function of reduced interparticle separation  
 for a fixed repulsion strength of  $E = 30,000$  over a wide range of indicated volume fractions.

674 (Inset) Static collective structure factor,  $S(k)$ , for the same value of  $E$  and volume fractions. The

cartoon shows soft microgels in a transiently kinetically arrested state which are modeled here as  
 676 Hertzian elastic spheres.

The inset of Figure 10 presents calculations of the zero wave-vector value of  $S(k)$ ,  $S_0 = \rho$   
 678  $k_B T \kappa_T$ , which is a dimensionless measure of the osmotic compressibility of the suspension. It  
 decreases strongly and monotonically with increasing volume fraction. Integration over  
 680 concentration of the inverse of this quantity provides the osmotic pressure [44]. In principle the  
 results of Figures 9 and 10 can be tested via new experiments on our microgel samples such as  
 682 confocal imaging, scattering, and thermodynamic measurements. We now use the obtained  
 structural knowledge to make further dynamical and rheological predictions in the next section.

684



686

**Figure 10.** Characteristic structural features as a function of volume fraction  $\phi$  for Hertzian  
 688 spheres at a fixed repulsion strength of  $E = 30,000$ . Amplitude of the first peak of  $g(r)$ , denoted  
 as  $g(d)$ , is a measure of the degree of real space short range order between nearest neighbors in

690 the liquid. Amplitude of the first peak of the collective static structure factor as defined in section  
 VC,  $S(k^*)$ , which quantifies the collective coherence of cage packing associated with the nearest  
 692 neighbors. (Inset) Zero wave-vector value of the collective static structure factor,  $S_0 \equiv S(k=0) =$   
 $\rho k_B T \kappa_T$ , which is a dimensionless osmotic compressibility.

## 694 VI. Dynamics and Rheology Predictions and Comparison to Experiment

To convert our dimensionless theoretical time scales into absolute time scales relevant to  
 696 our system, we estimate the *short* relaxation time of Eq(15) and find  $\tau_s \geq 200 s$  since the peak  
 value of  $g(r)$  obeys  $g(d) \geq 4$ , and the factor in square brackets in Eq(14) is  $\sim 100$  at the high  
 698 effective volume fractions of interest. This estimate also employed the experimental particle  
 radius, the SE diffusivity  $D_{SE} = \frac{k_B T}{6\pi\eta R}$ , a water viscosity of  $10^{-3} N.s/m^2$ , and  $T = 10^\circ C$ . We note  
 700  $\tau = \frac{d^2}{D_{SE}} = 0.4s$  for a  $d = 550nm$  diameter particle.

### A. Quiescent Relaxation

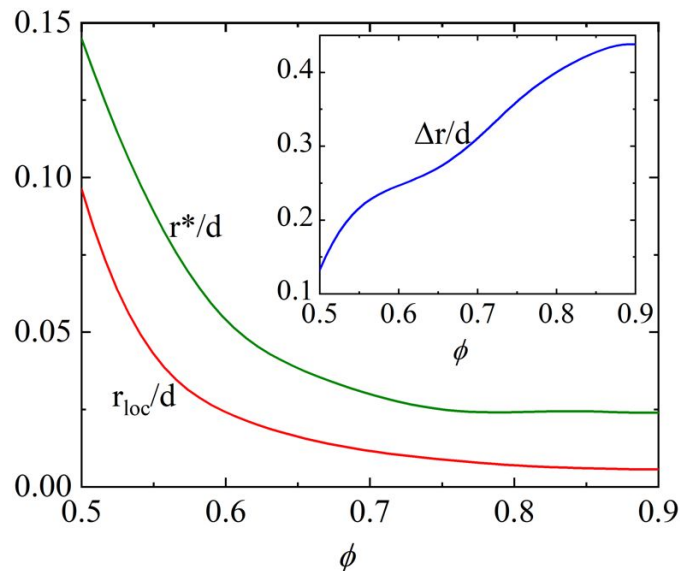
702 To test if our theoretical approach is consistent with the nearly flat frequency dependence  
 of the shear modulus observed experimentally (Fig.1A), we consider a simple Maxwell model  
 704 defined as

$$G'(\omega) = G' \frac{(\omega\tau_\alpha)^2}{1 + (\omega\tau_\alpha)^2} \quad (23)$$

706 where  $G'$  is given by Eq(11). A flat frequency dependence requires  $\omega\tau_\alpha \geq 1$ . In the experiments  
 the lowest frequency probed is  $\sim 10^{-2} rad.s^{-1}$ . Using this and our calculation of the short time  
 708 scale  $\tau_s \geq 200 s$ , we find  $\omega\tau_s \geq 2$ . Indeed, the actual structural relaxation time, estimated here  
 as the Kramers time, is much larger than  $\tau_s$ . Since we interpret in a Maxwell model spirit the

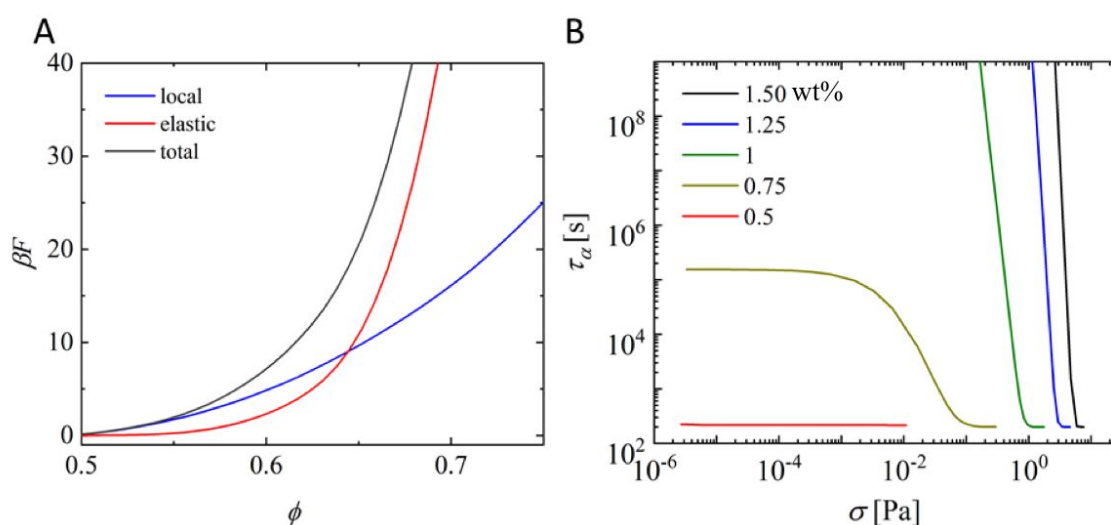
710 structural and longest stress relaxation times to be essentially the same to leading order, the  
 inequality  $\omega\tau_\alpha \gg 1$  applies and thus the dynamic theory is consistent with the observation of no  
 712 terminal flow on the experimental time scale under quiescent conditions.

As discussed in section IVE, the dynamic free energy has several key length scales per  
 714 Fig.6. Fig. 11 shows examples using  $E = 30,000$ . All length scales are 1-2 decades smaller than  
 the particle size. The transient localization length ( $r_{loc}$ ) and location of maximum force ( $r^*$ )  
 716 monotonically decrease (initially strongly) with volume fraction, and then tend to saturate as the  
 soft jamming point is approached. The jump distance grows monotonically. Our predictions of  
 718 localization length can potentially be tested using confocal microscopy or simulations.



720 **Figure 11.** Characteristic length scales of the dynamic free energy (c.f. Fig. 6) as a function of  
 volume fraction for fixed  $E = 30,000$ . Dimensionless dynamic localization length,  $r_{loc}/d$  (red),  
 722 and location of maximum cage restoring force,  $r^*/d$  (green). (Inset) Particle jump distance,  $\Delta r/d = r_B$   
 $- r_{loc}$ .

724 Calculations of the local cage, collective elastic, and total barriers discussed in section IVE  
 are shown in Fig.12a. All grow monotonically and strongly with volume fraction over the range  
 726 shown. The collective elastic barrier increases more strongly with concentration, as also true for  
 hard spheres and other glass forming liquids [35,42]. The elastic and local barriers cross at a much  
 728 higher volume fraction than for hard spheres, and the crossing point decreases as  $E$  grows (not  
 shown).



730 **Figure 12.** (A) Dimensionless dynamic free energy barriers (c.f. Fig.6) for  $E = 30,000$ . The local,  
 732 elastic, and total dynamic barriers discussed and defined in section IVE are shown as a function of  
 volume fraction. (B) Alpha relaxation time (in seconds) for five microgel concentrations in wt%  
 734 as a function of stress in Pascals.

### B. Nonlinear Response

736 With increasing deformation or stress, both dynamical barriers decrease and the structural  
 relaxation time strongly decreases. Figure 12b shows this is an extremely dramatic effect for five  
 738 different concentrations below the soft jamming threshold. The last point in each plot corresponds

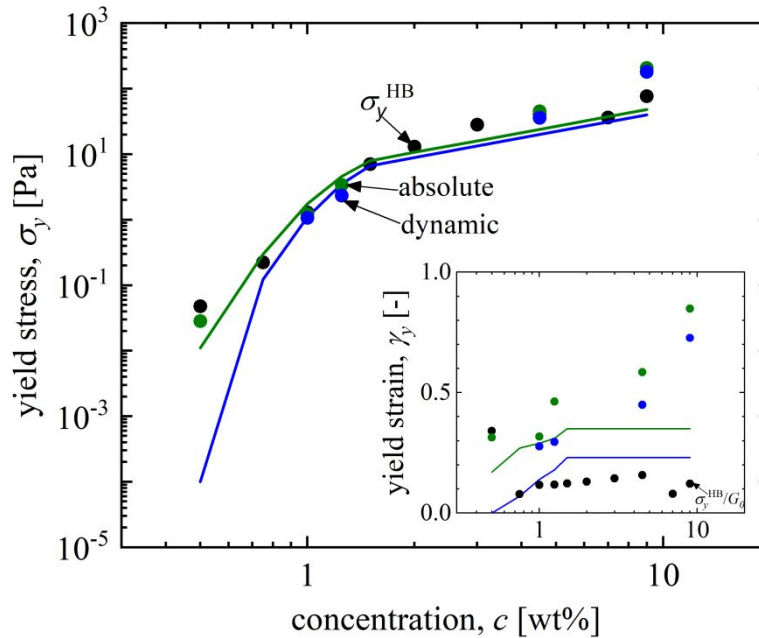


to when the localized form of the dynamic free energy is first destroyed (and hence the total barrier  
 740 vanishes), which signals the absolute yield stress.

Figure 12b can also be used to operationally define a dynamic yield stress in the spirit of a  
 742 mechanically-driven glass to liquid transition. Typically, the kinetic criterion used is set by the  
 maximum experimental observation time. For example, the dynamic yield stress could correspond  
 744 to the stress value when  $\tau_\alpha = 10^x$  s where  $x \sim 2 - 4$ . But here we choose to do a simpler analysis  
 by defining [12,37] a dynamic yield stress as  $\sigma_{y,dyn} = \gamma_{y,dyn} \times G'(\gamma_{y,dyn})$  in analogy with  
 746 Eq.(18), where  $\gamma_{y,dyn}$  is the dynamic yield strain defined at the maximum of the strain dependent  
 loss modulus,  $G''(\gamma)$  of Eq(20). Another way of defining yield strain is where the strain dependent  
 748 storage and loss moduli cross,  $G''(\gamma) = G'(\gamma)$ . Within the simple nonlinear Maxwell model  
 framework of Eq. (20), these two definitions are the same. Experimentally, these two criteria may  
 750 be different (Figure S5). We take the peak in  $G''$  as the dynamic yield strain and the crossover as  
 the absolute yield strain for comparison to theory. Figure 13 presents our theoretical results for the  
 752 dynamic and absolute yield stresses and strains, and compares them in a no adjustable parameter  
 manner to experiment.

754 Figure 13 shows rather good agreement between different theoretical measures of the yield  
 stress (smooth curves) and experimental data analyzed in 3 different ways (data points) in both the  
 756 glassy and soft jamming regimes (except for the lowest concentration sample for which the data is  
 most uncertain). The inset compares yield strains from theory and experiment. Overall, the  
 758 agreement is good in the glassy regime where the system has yield strains of modest magnitude,  $\sim$   
 10 – 20 %. Agreement between theory and experiment is not very good beyond the putative "soft  
 760 jamming" crossover. While theory predicts  $\gamma_y \sim c^0$ , experiment suggests a strong yield strain  
 dependence on concentration at very high concentrations, leading to a large yield strain value of

762  $\sim 72\%$  for the 9 wt% sample. This is much larger than the theoretical predictions and may reflect  
 the arbitrariness of defining yield strains from real experimental data. Using a different definition,  
 764 the mixed yield strain (defined in Eq. (21)) evaluated using our experimental data as the ratio of  
 the HB yield stress to plateau modulus ( $\gamma_y^{mix} = \sigma_y^{HB}/G_0$ ), results in a nearly constant yield strain  
 766  $\gamma_y^{mix} \sim c^0$  in the highest concentration regime.



768 **Figure 13.** Comparison of the yield stress and yield strain from experiment (symbols) and theory  
 with no additional fit parameters (solid curves). Experimental Herschel-Buckley (black), dynamic  
 770 (blue), and absolute (green) yield stresses as defined in Sec.VI B (from data in Fig. 2, Fig. 3 and  
 Fig. S4). (Inset) Experimental yield strain values (points) and the predicted theoretical dynamic  
 772 and absolute yield strains as defined in Sec.VI B. These theoretical results are based on the  
 parameters deduced by aligning theory and experiment for the linear shear modulus and involve  
 774 no horizontal or vertical shifts.

## VII. Summary and Conclusions

776 We have presented an integrated experimental and quantitative theoretical study of the  
linear and nonlinear rheology of self-crosslinked, slightly charged pNIPAM microgel suspensions  
778 at low temperatures where they repel. An exceptionally wide range of concentrations were studied  
that span the fluid, glassy and so-called "soft jammed" regimes. In the intermediate glassy regime,  
780 we measured over 3 orders of magnitude an apparent power law dependence of the elastic shear  
modulus on concentration,  $G' \sim c^{5.64}$ . This variation appears distinct compared to prior studies of  
782 crosslinked ionic microgel suspensions. At high enough concentrations, there is a rather sharp  
crossover to a nearly linear growth of the dynamic shear modulus. To theoretically understand  
784 these quiescent observations within a single framework we constructed a minimalist model of  
single microgel size as a function of concentration that includes steric de-swelling effects  
786 (neglecting any ion-induced deswelling) which differ in the so-called glassy and highest  
concentration or soft jammed regimes. Using a Hertzian repulsion interparticle potential and a  
788 suite of statistical mechanical theories, we made quantitative predictions for the microgel  
collective structure, dynamic localization length, and elastic shear modulus. Based on a constant  
790 Hertz repulsion strength parameter ( $E$ ), determined by requiring the theory to reproduce the  
measured elastic modulus over the entire concentration regime studied, we demonstrated good  
792 agreement between theory and experiment for  $E \approx 30,000$ . The various parameters used in theory  
are summarized in table S1. Experimentally testable predictions were made for the structure of the  
794 suspensions.

We also measured several nonlinear rheological properties with a focus on the yield stress  
796 and strain. Again significant differences of our data compared to published studies using  
crosslinked ionic microgels were found [5,8-11]. A theoretical analysis was also performed (now  
798 with no adjustable parameters) to predict the structural relaxation time in equilibrium, how it

changes under deformation, and the yield stress and strain as a function of microgel concentration.  
800 Reasonable agreement with our observations was obtained. To the best of our knowledge, this is  
the first theoretical attempt to quantitatively understand structure, quiescent relaxation and shear  
802 elasticity, and yielding of dense microgel suspensions using microscopic force based methods that  
include activated hopping processes.

804 We expect the ideas and approach presented here will be useful for other realizations of  
microgel suspensions based on different chemistries and also other types of soft polymeric  
806 particles in the core-shell family. A key input to the modeling is knowledge of the interparticle  
pair potential and the microgel size and effective volume fraction as a function of concentration.  
808 Given these, the statistical mechanical theories discussed in this article can be employed to predict  
packing structure in real and Fourier space, the shear elastic modulus, structural relaxation time,  
810 and nonlinear rheological properties. Our integrated experimental-theoretical approach will be  
applied in a future article to study how heating induced changes of microgel size and stickiness  
812 impact linear and nonlinear viscoelasticity.

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