



**University Chemistry Students' Interpretations of Multiple Representations of the Helium Atom**

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# University Chemistry Students' Interpretations of Multiple Representations of the Helium Atom

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## Abstract

Multiple chemistry education research studies at the secondary level have characterized students' difficulties regarding a conceptual understanding of the quantum model of the atom. This research explores undergraduate students' interpretations of multiple representations of the atom. Semi-structured interviews were conducted with first-year university chemistry students ( $n=26$ ) and second-semester physical chemistry students ( $n=8$ ) after they were taught and tested on the quantum model of the atom in their respective courses. During the interview, students were asked to interpret four representations of the atom (an electron cloud model, a probability representation, a boundary surface representation, and the Bohr model) and to rank each of the representations from most preferred to the least preferred. Nearly two-thirds of the students ranked the electron cloud and Bohr-model as their two most preferred representations. Students invoked ideas from classical mechanics to interpret the electron cloud model and used probabilistic language to describe the Bohr model of the atom.

## Introduction and Background

An understanding of the electronic structure of the atom is fundamental knowledge to be learned in a student's first year of university chemistry. However, research has shown that students do not readily adopt the quantum model of the atom, but rather prefer more concrete representations of the electronic structure of the atom (Griffiths and Preston, 1992; Harrison and Treagust, 1996, 2000; Taber, 2002; Cokelmez and Dumon, 2005; McKagan *et al.*, 2008; Adbo and Taber, 2009; Stefani and Tsaparlis, 2009; Park and Light, 2009; Ünlü, 2010; Akaygun, 2016; Papageorgiou *et*

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3 *al.*, 2016; Zarkadis *et al.*, 2017; Muniz *et al.*, 2018). According to Novak's theory of meaningful  
4 learning (Novak, 1977, 1993; Novak and Gowin, 1984; Bretz, 2001) in order for students learn  
5 about the electronic structure of the atom, they seek to make connections between what they  
6 already know and what they need to know. If students misinterpret representations used in  
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8 textbooks based upon their prior knowledge, then their understanding of the electronic structure  
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10 of the atom may be flawed. Many previous studies explored students' ideas of the electronic  
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12 30 structure of the atom by asking students to generate their own representations. The research  
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14 reported herein investigated students' interpretations of multiple representations of the atom  
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16 typically used in textbooks. The research presented here is part of a larger study that investigated  
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18 students' ideas about the electronic structure of the atom with regards to probability and energy  
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20 quantization.  
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### 28 ***Previous Research***

29 One of the anchoring concepts articulated by the American Chemical Society Examinations  
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31 Institute is that "matter consists of atoms that have internal structures that dictate their chemical  
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33 and physical behavior" (Holme and Murphy, 2015). The model of the atom as understood by  
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35 40 students affects how they reason about other concepts in chemistry. For example, Adbo and  
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37 Taber (2009) found that students' mental models of the atom affected how they thought about  
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39 phase changes. The students in this study who held a Bohr-model reported that while electrons  
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41 move around the nucleus, the atoms themselves do not move and when heat is added to atoms,  
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43 this causes the bonds within molecules to "fall apart." Other studies have also explored how  
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45 45 students' preferences for concrete models such as the Bohr-model or the boundary surface model  
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47 affect their thinking about molecules and chemical bonds and lead to alternative conceptions  
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49 about the structure of the atom (Harrison and Treagust, 1996, 2000; Chittleborough and  
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51 Treagust, 2007). Harrison and Treagust (1996) documented high school students' preference for  
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3 50 the “orbit” model often presented in popular television shows or media where electrons are  
4 shown in elliptic orbits rather than the quantum mechanics orbital model. Multiple studies  
5 conducted with different groups of students who were asked to depict the atom found that  
6 students did so by drawing Bohr-like models of the atom (Taber, 2002; Park and Light, 2009;  
7 Stefani and Tsaparlis, 2009; Ünlü, 2010; Akaygun, 2016; Muniz *et al.*, 2018) or describing the  
8 atom as a sphere or a two-dimensional circle (Griffiths and Preston, 1992; Cokelmez and Dumon,  
9 2005). Students’ illustrations of the atom as a sphere or a circle have been suggested to be  
10 influenced by images from scanning tunneling microscopes (STM) because students do not  
11 realize these images are not actual photographs of atoms, but rather computer-generated images  
12 of decoded raw data (Harrison and Treagust, 1996; Budde, Niedderer, *et al.*, 2002; Taber, 2002;  
13 Ünlü, 2010).  
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28 More recent studies have examined how students’ depictions of the atom depends upon  
29 the task given. Papageorgiou *et al.* (2016) and Zarkadis *et al.* (2017) investigated which type of  
30 atomic representations students reason from when asked to engage in different types of tasks. In  
31 their study, students were given three tasks where they were asked (1) to describe in detail how  
32 they imagine the atom if they could observe it through a “powerful microscope,” (2) to represent  
33 atoms based on the motion of the electrons as particles, and (3) illustrate an atom where electrons  
34 were part of an electron cloud. Their results revealed that the nature of the task appeared to have  
35 a substantial effect on the type of representations that students created and that students could  
36 switch from one model to another depending on the task at hand. Zarkadis and colleagues (2017)  
37 65  
38 also described inconsistencies between and within students’ illustrations of representations of  
39 atomic structure, similar to the findings of McKagan *et al.* (2009) where students appeared to  
40 mix characteristics from multiple models of the atom.  
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3 Previous research has not only investigated how students illustrate the atom, but also  
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5 documented that students invoke quantum mechanics vocabulary and concepts to describe Bohr-  
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7 like models. In a study conducted by Cervellati and Perugini (1981), students were asked to  
8 75 provide written descriptions of an orbital. Students' definitions were divided into five categories,  
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10 provide written descriptions of an orbital. Students' definitions were divided into five categories,  
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12 with approximately 30% of the students having defined the orbital as a trajectory or an energy  
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14 level. These findings are consistent with other reports in the literature where students confused or  
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16 defined orbitals as shells, subshells, paths, spheres or as a region in which there is a high  
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18 probability for an electron to be found (Tsaparlis, 1997; Taber, 2002, 2005; Wright, 2003; Park  
19 80 and Light, 2009; Stefani and Tsaparlis, 2009). A central theme of all these studies is that students  
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21 often fail to distinguish between concepts related to quantum mechanics and their pre-existing  
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23 ideas about classical mechanics. According to Park and Light (2009), for students to gain a  
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25 conceptual understanding of the electronic structure of the atom using quantum mechanics,  
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27 students must first develop an understanding of both energy quantization and probability and  
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29 85 students must first develop an understanding of both energy quantization and probability and  
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31 how these ideas are connected to one another (Park and Light, 2009).  
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35 Most studies that have explored students' ideas of the atom were carried out at the  
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37 secondary level (Harrison and Treagust, 1996, 2000; Budde, Niedderer, *et al.*, 2002; Cokelez and  
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39 Dumon, 2005; Adbo and Taber, 2009; Akaygun, 2016; Papageorgiou *et al.*, 2016; Zarkadis *et*  
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41 90 *al.*, 2017) or in physics (Budde, Nidder, *et al.*, 2002; Budde, Niedderer, *et al.*, 2002; McKagan *et*  
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43 *al.*, 2008; Ünlü, 2010). There is limited research regarding first year university chemistry  
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45 students' (Park and Light, 2009) or physical chemistry students' ideas about the electronic  
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47 structure of the atom (Muniz *et al.*, 2018). Furthermore, there is no research regarding how these  
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49 ideas evolve from first year university chemistry to physical chemistry, despite the expectation  
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51 for more nuanced disciplinary understandings among advanced undergraduate students (Holme  
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53 95 and Murphy, 2015; Holme *et al.*, 2018). In particular, there is no research on how university  
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3 chemistry students in both the first and third year interpret multiple representations of the atom.

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5 The research described below investigated first year university chemistry, physical chemistry and  
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7 biophysical chemistry students' interpretations of multiple representations of the atom and the  
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10 100 representations that best match the students' mental models of electronic structure.

## 11 12 13 **Methods**

### 14 15 *Research questions*

16 The findings reported in this manuscript are one part of a research study that investigates  
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18 students' thinking about the electronic structure of the atom with regards to both probability and  
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21 105 energy quantization. The specific research questions addressed and discussed herein are

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24 1. Which representations of the electronic structure of an atom best align with students' ideas?
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27 2. How do students interpret the features of multiple representations of the electronic structure of  
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29 an atom?

### 30 31 32 *Research Design*

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34 110 Semi-structured, think aloud (Bowen, 1994) interviews were conducted to elicit students' ideas  
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36 about the electronic structure of the atom. The interview-guide was designed using Novak's  
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38 meaningful learning theory (Novak, 1977, 1993; Novak and Gowin, 1984; Bretz, 2001) and  
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40 Johnstone's multiple levels of representations (Johnstone, 1991, 2006, 2010). Novak's  
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42 meaningful learning theory states that for a student to form substantive connections among  
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45 115 concepts, three conditions must be met. First, the individual student must possess relevant prior  
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47 knowledge information about the concept being presented. Second, the concept to be learned  
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49 must be meaningfully connected to its prior knowledge. Lastly, the student must decide to  
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51 integrate the new material to previously learned, relevant information ((Novak, 1977, 1993;  
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53 Novak and Gowin, 1984; Bretz, 2001). Accordingly, the interview guide was designed to elicit  
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3 120 students' prior knowledge about the electronic structure of the atom before asking the students to  
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5 discuss external representations of the atom that were provided in the interview. Johnstone's  
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7 multiple levels of representations were used to guide decisions as to the type of representations  
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9 used in the interview. According to Johnstone, chemistry is understood at three different levels:  
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11 the symbolic level (equations or diagrams), the particulate level (invisible molecular level), and  
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14 125 the macroscopic level (tangible and visible). As students gain knowledge in chemistry, they are  
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16 expected to form connections among these three domains (Johnstone, 1991; Johnstone, 1997;  
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18 Johnstone, 2010). However, students find that making connections among these domains is  
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20 challenging (Johnstone, 1991). Therefore, the interview guide explored the extent to which  
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22 students could articulate an understanding of not only the electronic structure of the atom in both  
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25 130 the particulate domain and the symbolic domain, but also their understanding of the nature of the  
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27 connections between these two domains.  
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31 The semi-structured interviews consisted of four phases. Phase 1 was designed to elicit  
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33 students' prior knowledge about the electronic structure of the atom, along with their  
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35 understandings of the concepts of probability and energy quantization. Students were asked to  
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37 135 draw an atom as they pictured it in their mind. Students were also asked to draw any  
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39 representations that they were familiar with that could be used to represent the energy of an  
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41 atom. During Phase 2, students were shown an energy level diagram for the hydrogen atom  
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43 (symbolic representation) and asked to describe the features of the diagram. The third phase of  
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45 the interview focused on particulate representations and consisted of two tasks. In the first task of  
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48 140 Phase 3, students were shown four representations of the atom (Fig. 1), and they were asked to  
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50 describe the main features of each of these four representations. Students were then asked to rank  
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52 the representations from one through four with "one" being the representation that they found to  
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54 best match their mental model of the atom and "four" being the representation that least matched  
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3 their mental model of the atom. As students ranked the representations, they were prompted to  
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5 145 provide verbal descriptions of the features of the representations that led them to discriminate  
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7 among them and to rank the representations in a particular order. In the second task of Phase 3,  
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9 students were asked to draw the carbon atom as they picture it in their minds and to explain their  
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11 drawing. Students were then provided with two different sets of representations for the  $1s$ ,  $2s$ ,  
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13 and  $2p$  orbitals and asked to choose which representation(s) they would use to depict the  
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15 structure of the carbon atom and provide their reasoning. In Phase 4 of the interview, students  
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17 150 were asked to consider once again each of the representations that they had created or been  
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19 provided during the interview and, in doing so, to describe any connection (or lack thereof)  
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21 between the energy level diagram of Phase 2 and the orbitals. This manuscript reports findings  
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23 from the first task of Phase 3, where students were asked to rank atomic representations and to  
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25 describe their salient features.  
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### 31 *Representations*

32 The research began with conducting a pilot study to examine the efficacy of both the interview  
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34 guide questions and the representations in eliciting student thinking. During the pilot study,  
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36 students were shown hand-drawn representations of the atom and were told that other chemistry  
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38 160 students had sketched the drawings. From the pilot study, many students mentioned having  
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40 difficulty interpreting the drawings because they were not sure if the representations matched  
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42 what the students intended to draw or whether a limitation of the drawings was the students'  
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44 ability to physically depict their ideas of the atom. During the pilot study, many students decided  
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46 either to modify the drawings or to draw their own depiction of the atom. Because the goal was  
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48 to understand how students interpret the different features in the multiple representations of the  
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50 165 atom, it was decided to forego using hand-drawn images of the atom and instead use computer-  
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52 generated figures (created by the authors) similar to those commonly found in textbooks.  
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Due to the variety of atomic representations chemistry students are exposed to, and the distinct historical models of the atom reported in the literature (Justi and Gilbert, 2000), four representations typically found in first year university chemistry textbooks were chosen (Figure 1) in order to elicit students' understandings. Figure 1.A was chosen because this representation depicts an electron cloud which is typically used to introduce students to the idea that the 'surface' of the atom is somewhat undefined. This representation also afforded an opportunity to investigate students' interpretations of the shade gradient. Figure 1.A has been recommended as a representation suitable to introduce quantum mechanics rather than Figure 1.B (Budde, Nidder, *et al.*, 2002; Budde, Niedderer, *et al.*, 2002; Wright, 2003). Figure 1.B was chosen because this representation, which has typically used to depict electron probability density, has been associated with learning difficulties for both secondary chemistry students and undergraduate physics students (Harrison and Treagust, 2000; Budde, Niedderer, *et al.*, 2002). This representation afforded the opportunity to investigate students' interpretations of the dots in the figure. Figure 1.C was chosen because it depicts the spherical shape of the atom and is commonly used in ball-and-stick or space-filling models of molecules (Griffiths and Preston, 1992; Harrison and Treagust, 1996; Wright, 2003; Cokelez and Dumon, 2005; Chittleborough and Treagust, 2007). This representation afforded the opportunity to investigate students' interpretations of the boundary surface depicted in the figure. Lastly, Figure 1.D was chosen because this representation depicts the planetary orbit model, better known as the Bohr model. This model is commonly introduced during introductory science courses, and it is the model students tend to retain in their minds (Harrison and Treagust, 1996; Justi and Gilbert, 2000; Budde, Niedderer, *et al.*, 2002; Wright, 2003; McKagan *et al.*, 2008; Adbo and Taber, 2009; Park and Light, 2009). Although the Bohr model for the helium atom is not a scientifically accurate depiction of the electronic structure of the atom, we wanted to understand how students'

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3 ideas of the Bohr model might influence the interpretations of Figures 1.A, 1.B, and 1.C. All four  
4 representations were printed in black and white on a single sheet of paper, with ample space for  
5 students to draw or write on the representations if they so desired.  
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11 195 ***Sample***

12 This research was conducted at a primarily undergraduate institution in the midwestern United  
13 States. Participants were recruited from three courses: first-semester, first-year university  
14 chemistry (FYC), second-semester physical chemistry (PC), and second-semester biophysical  
15 chemistry (BPC). Students were sampled from these courses because the quantum model of the  
16 atom is taught in all three courses. Students in the FYC are mostly first-year students majoring in  
17 chemistry, biochemistry, engineering or another science discipline. FYC students are introduced  
18 to the ideas of energy quantization and taught the historical development of how chemists came  
19 to understand the electronic structure of the atom.  
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31 PC and BPC students are taught a more detailed explanation of the mathematical  
32 foundation for the quantum model. At the institution where this research was conducted,  
33 205 chemistry and biochemistry students have the option to enroll in either physical chemistry or  
34 biophysical chemistry, both of which are two-semester sequences offered in the Department of  
35 Chemistry & Biochemistry. In both sequences, students are taught the fundamentals of physical  
36 chemistry including kinetics, thermodynamics, quantum chemistry, and spectroscopy. However,  
37 students who choose to enroll in the BPC sequence are taught these concepts in the context of  
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43 210 biomacromolecules.

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49 Each course was taught by a different instructor. Students from all three courses were  
50 purposefully sampled from a list of students who volunteered to participate in the research based  
51 upon their grades in previous chemistry courses, major, college level, race/ethnicity and gender  
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3 215 (See Table 1). The purposeful sampling ensured that the sample was representative of the  
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5 students enrolled in the courses. A total of 34 students (20 of whom identified as female, 14 of  
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7 whom identified as male) were interviewed, with twenty-six students who were enrolled in FYC  
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9 and eight students who were enrolled in either PC or BPC. Thirteen students were either  
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11 chemistry or biochemistry majors, and the remaining 21 students were majors in either  
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14 220 engineering or another science discipline.  
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### 17 *Data Collection and Analysis*

18 The first author conducted the semi-structured interviews during fall 2016 and spring of 2017.  
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20 All interviews were conducted in English. All students were interviewed after they had been  
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22 taught the quantum model of the atom and within 1-2 weeks after being tested upon this content.  
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25 225 The Institutional Review Board approved the research protocol. All students provided informed  
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27 consent to participate in the study and to permit both audio and video recording of their  
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29 interviews. The interviews typically lasted for 45 to 60 minutes. Each participant received a  
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31 nominal compensation of a \$20.00 gift card for their time. All students were assigned  
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33 pseudonyms and are referred to by these pseudonyms in this manuscript.  
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37 230 All the interviews were transcribed verbatim, and data was managed using NVivo 11 for  
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39 Windows (QSR International Pty Ltd, 2015). The video was used to clarify transcripts when  
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41 students used the words “this” or “that,” and to annotate the transcripts with gestures made by  
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43 the students to augment their explanations. A Live-scribe smartpen was used to capture any  
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45 drawings or marks that were made during the interview upon the representations themselves  
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48 235 (Linenberger and Bretz, 2012). The interview transcripts and students’ drawings were analyzed  
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50 using constant comparative analysis (Strauss and Corbin, 1998; Fram, 2013) to describe and  
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52 classify students’ ideas about the electronic structure of the atom and their interpretations of the  
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54 multiple representations of the atom. Each student’s interpretations of the multiple  
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3 representations were compared to one another and examined for both similarities and  
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5 240 contradictions within one student. Students were also compared to each another for their  
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7 interpretations of a representation. Lastly, all students' interpretations were compared to findings  
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9 in the literature. To ensure the trustworthiness of the data analysis, the authors met weekly to  
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11 discuss and revise the codebook (Lincoln and Guba, 1985). In addition, the authors met  
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13 periodically with other chemistry education researchers who were not involved in the data  
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16 245 collection and analysis in order to ensure the confirmability and credibility of the findings (Bretz,  
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18 2008).

## 21 **Results and Discussion**

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23 The primary goal of Phase 3 of the semi-structured interviews was to gain insights into how  
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25 students think about the electronic structure of the atom and how they interpret the features of  
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28 250 multiple representations of the atom. Students were told that each of the four representations in  
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30 Figure 1 depicted a helium atom. The FYC and PC/BPC students' rankings (Figure 2) and  
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32 interpretations of the representations (Table 2) are described and discussed below.  
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### 36 ***Students' Rankings of Multiple Representations of the Atom***

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38 The most preferred representation (Figure 2.A.) was Figure 1.A with 19 of 34 students (15 FYC,  
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40 255 4 PC/BPC) ranking this as first, i.e., the representation which most matched their mental model  
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42 of the atom. Nearly two-thirds of these 19 students mentioned that one essential feature of the  
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44 representation was the nucleus. Students offered a variety of reasons for citing the nucleus as an  
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46 important feature that "needed" to be present in a representation of an atom, including that the  
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48 nucleus provides a reference point for where the electrons are most likely to be in the atom, the  
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51 260 nucleus holds the atom together, and the nucleus could be used to determine the identity of the  
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53 element.  
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3 Of the 19 students who ranked Figure 1.A as their most preferred representation, 9 (5  
4 FYC, 4 PC/BPC) of them also ranked Figure 1.D as their second most preferred representation  
5 (Figure 2.D), once again mentioning the importance of the clearly depicted nucleus and also  
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10 265 being able to easily count the number of electrons. These students preferred Figure 1.D over  
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12 Figures 1.B and 1.C because Figure 1.D was a “familiar” representation that they had seen in  
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14 their textbooks, had been shown by a high school teacher, or would be the one they would draw  
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16 or imagine when asked to think about the atom. These findings echo previously published results  
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18 with secondary students, university physics students, and pre-service teachers who preferred to  
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21 270 either draw or select a representation that resembles the Bohr the model when they were asked to  
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23 represent or select a representation of the atom (Harrison and Treagust, 1996, 2000; McKagan *et*  
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25 *al.*, 2008; Ünlü, 2010; Akaygun, 2016; Papageorgiou *et al.*, 2016; Zarkadis *et al.*, 2017; Muniz *et*  
26  
27 *al.*, 2018).

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30 Students ranked Figure 1.B and 1.C as their least preferred representations of the atom  
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32 275 (Figure 2.B and 2.C). Nearly one-third of our sample (7 FYC, 3 PC/BPC) ranked Figure 1.B as  
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34 their least preferred representation. Although Figure 1.B is intended to be similar to Figure 1.A  
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36 by depicting electron probability, students found this representation to be confusing and  
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38 unfamiliar. Both FYC and PC/BPC students found it difficult to interpret the number of dots  
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41 “clustered” towards the center of the figure and were troubled by the absence of a clearly  
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44 280 depicted nucleus. To many students, this representation was problematic because they interpreted  
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46 the dots to be electrons at the center of the atom, where they would have expected to see a  
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48 nucleus and the likelihood of finding electrons would be zero. Although in many textbooks this  
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50 representation is shown as a cross-section of an orbital where the electron probability is  
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52 dependent on volume, the students in this study seemed to think of Figure 1.B as a two-  
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55 285 dimensional representation of the atom and therefore “confusing.”

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3 A total of 21 students (16 FYC, 5 PC) ranked Figure 1.C as their least preferred  
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5 representation of the atom (Figure 2.C). Sixteen of the 21 students interpreted this representation  
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7 as a solid sphere that provided no details about the nucleus or electrons in the atom, making it a  
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9 poor representation of the atom and not one they would use to depict an atom. These results were  
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11 unexpected given that the use of representations similar to Figure 1.C are ubiquitous in chemistry  
12 290 textbooks in ball-and-stick or space-filled models of molecules. These results diverge from  
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14 findings in studies where student drew atoms as spheres (Griffiths and Preston, 1992; Harrison  
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16 and Treagust, 1996; Cokelez and Dumon, 2005).  
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21 Both FYC and PC/BPC students in our sample preferred the representation of the atom in  
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23 Figure 1.A which is aligned with the quantum model of the atom while the second most  
24 295 preferred representation was Figure 1.D which is aligned with the classical model of the atom.  
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26 These findings echo those previously published by McKagan et al. (2008) with students enrolled  
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28 in a modern physics course who had a strong preference for both quantum-like models and the  
29  
30 Bohr model, often mixing features of the two distinct models. In our sample, the two most  
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32 preferred representations showed “clear” depiction of the nucleus (Figures 1.A and 1.D.) and the  
33 300 electrons (Figure 1.D), suggesting that students prefer to think about the atom using concrete  
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35 features that they can use to identify the “different components” of the atom, just like they would  
36  
37 in an everyday life object (Harrison and Treagust, 1996, 2000; Sewell, 2002; Wright, 2003).  
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44 Most research regarding students’ ideas of the atom has been conducted with secondary  
45  
46 305 students. The findings reported above suggest that even when students have been formally taught  
47  
48 and tested upon the quantum model of the atom (including in multiple courses as is the case with  
49  
50 the PC/BPC students), many still prefer to think of the atom using the concrete Bohr model.  
51  
52 Thirteen students who selected Figure 1.A as their most preferred representation mentioned the  
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3 “cloud of electrons.” However, half the students who mentioned the “electron cloud” or “cloud  
4  
5 310 of electrons” had difficulty explaining what that phrase meant. The remaining students described  
6  
7 the electron cloud as synonymous with an orbital where electrons were most likely to be found,  
8  
9 where the electrons moved, or as energy levels. Similar challenges with differentiating among  
10  
11 orbitals, electron probability, and energy levels have been reported with secondary students  
12  
13 (Cervellati and Perugini, 1981; Taber, 2002; Stefani and Tsaparlis, 2009).  
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17 315 Although students were asked to rank the representations of the atom from the one that  
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19 most matched their idea of the atom to the one that least matched, three students (1 FYC, 2  
20  
21 PC/BPC) chose to make two different sets of rankings. They initially ranked the representations  
22  
23 to reflect how they thought about the atom, and then they re-ranked the representations from  
24  
25 “most accurate” to “least accurate.” Each of these students thought it was important to draw a  
26  
27 distinction between what they had been taught as “accurate” versus how they thought about the  
28 320 atom. The 2 PC/BPC students discussed the idea of electron probability and how Figures 1.A and  
29  
30 1.B seemed to depict this idea, but they wanted to emphasize that these were not the models that  
31  
32 they actively used when thinking about the atom.  
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### 38 *Students’ Interpretations of Multiple Representations of the Atom*

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41 325 After students ranked the four representations for the helium atom, they were asked to interpret  
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43 the main features of each representation. Salient features included the shading in Figure 1.A, the  
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45 multiple dots in Figure 1.B, the uniform surface of Figure 1.C, and the ring in Figure 1.D. A  
46  
47 summary of the students’ interpretations can be found in Table 2. Note that the number of  
48  
49 interpretations does not sum to 34 (the number of students in the sample) because some students  
50  
51 offered multiple interpretations, while other students stated that they were unsure what the  
52 330 representation depicted and therefore did not provide an interpretation.  
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### ***Electron Cloud Representation***

When asked to interpret the shading of Figure 1.A, 20 students connected this feature to the idea of electron probability (Table 2, A.1). Most students referred to the shading as the “area,”

335 “region,” or “cloud” where there is a larger probability or likeliness of finding electrons:

11  
12 *“This [dark shade] is kind of a representation of the electron cloud... the nucleus is*  
13 *there [pointing at the center] and then the darker region represents like the most likely*  
14 *area for an electron to be found ... it’s kind of like a gradient as it fades [pointing at the*  
15 *edge of Figure 1.A] and [shades] kind of like represents how is less and less likely for*  
16 *the electron to be found.”* (Diego, FYC)  
340

Five students interpreted the change in the shading to indicate how likely the electron was to be at a given “region” or “area,” whereas 9 students attributed this likelihood to the attraction between the electron and the nucleus.

An alternative interpretation of the shading in Figure 1.A was the idea that the change in color represented different energy levels. For example, Santos thought that the darker shade was the first energy level or 1s orbital while the lighter shade depicted the second energy level or the 2s orbital (Table 2, A.4):

40 *“...that is like the probability... you can kind of see like a line here [gestures a circle]...*  
41 *so, you can kind of think of that as being one of the energy levels, and it is a lower energy*  
42 *level. It’s closer to the nucleus here, and most of the time, it’s [electron] going to be in*  
43 *that lower non-excited state, and that’s why it’s so dense there. It’s kind of hard to see it*  
44 *on the paper, but it starts getting lighter because an electron can be quantized and jump*  
45 *into that umm...other energy level...that’s why it starts getting a lighter shading.”*  
345  
53 (Santos, FYC)



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3 Elena associated the shading with shells, indicating that the lighter shade meant “no chance” of  
4 finding electrons because the helium atom only has two electrons and “*they will be closer to the*  
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8 350 *nucleus because it will fill that like...shell first.*” (Elena, FYC).

9  
10 Although previous studies have reported that students use the terms ‘orbital,’ ‘energy  
11 levels’, and ‘shells’ interchangeably (Cervellati and Perugini, 1981; Taber, 2002; Stefani and  
12 Tsaparlis, 2009), students in this research study were explicitly asked to distinguish among these  
13 terms when they used them interchangeably. However, students who used these terms to  
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19 355 interpret Figure 1.A could not explain what these terms meant nor how they differed.  
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21 Furthermore, some ( $n=3$ ) students’ ideas about quantized energy levels heavily influenced the  
22 way they interpreted the representations as was the case for Agustina who thought the shading of  
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360 *“...[I]f you just have random electrons all over the place, like not in quantized energy  
levels and not circling the nucleus as well, it just wouldn’t work. I can’t remember what  
experiment they did to prove this wrong...”* (Agustina, (BPC))

Agustina’s rejection of this representation suggests that she has conceptualized the atom in terms  
of the Bohr model, even though she has been taught and tested on the quantum model and is just  
months from graduation. She invoked the concept of quantized energy which has been identified  
365 by Park and Light (2009) as a pivotal concept to be mastered before learning about the electronic  
structure of the atom. Here, however, Agustina’s students’ ideas about quantized energy interfere  
with representations of electron probability.

Three students interpreted the shading of Figure 1.A to represent mass within the atom  
(Table 2, A.5). Two students thought that the darker shading represented the mass of the nucleus  
370 in comparison to the small mass of the electrons. Felipe (FYC) explained that the nucleus

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3 contained most of the mass and that is why Figure 1.A was darkest at the center and lightest on  
4 the edge where the electrons were. One student thought that the shading represented other matter  
5 between the nucleus and the electrons. This has previously been reported in the literature for  
6 young children who think the structure of matter is continuous and that matter occupies all space  
7  
8 (Anderson, 1990). This same idea could influence how students interpret representations of the  
9  
10 atom. Likewise, Joaquin (FYC) mentioned that while he remembered having to use a  
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12 375 representation similar to Figure 1.D. in his course that he was unfamiliar with Figure 1.A. These  
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14 results suggest that even when students are shown a quantum model representation, they might  
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16 invoke ideas they hold about the Bohr model to generate their own interpretations of  
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18 representations like Figure 1.A.  
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### 26 ***Electron Probability Representation***

27  
28 When asked to interpret the dots of the electron probability representation (Figure 1.B), 16  
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30 students interpreted the dots as “places where the electron could be” (Celina, FYC) (Table 2,  
31  
32 A.1). During the ranking task, Santos described the dots as  
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34

35 385 *“... places that it [electron] could be. Or looking at like points ...I don't really like that*  
36  
37 *we are kind of looking at like a cloud that's like a point, because a point it's just kind of*  
38  
39 *like I mean...too specific, that like I mean that's like Heisenberg would not approve this*  
40  
41 *representation [Figure B]. Like you can't know the exact location of the electrons.”*  
42  
43 *(Santos, FYC)*  
44  
45

46 390 Alternatively, 6 students thought of these dots as the *location of electrons over time* (Table 2,  
47  
48 B.3):  
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50  
51 *“I assumed that they [dots] are the location, but I feel like that's more over like a*  
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53 *separate period of time where maybe they use data or something and they like, kind of*  
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*like put it in a diagram... like they would measure it every time [and] that's where it would be. But like, I guess that kind of shows, like it's denser towards the center too...so, that kind of shows probability, [Figure 1.A] is more like drawing out [Figure 1.B], I guess over a certain amount of time. But I've never seen [Figure 1.B] before. I never really thought about it before now."* (Luis, FYC)

15 Just like Luis, many students thought of this representation as the results of an experiment, even  
16  
17 400 when they mentioned that they were familiar with the figure or thought of it as "inaccurate" for  
18  
19 showing dots in the middle of the figure. Budde and colleagues have cautioned that if students  
20  
21 did not understand that "a quantum mechanical measurement changes the state of the electron"  
22  
23 and students thought of "classical measurements," this would lead students to think that each dot  
24  
25 represented the chronological position of an electron (Budde, Niedderer, *et al.*, 2002). When  
26  
27 405 presented with an unfamiliar representation like Figure 1.B, students like Luis can and do use  
28  
29 their prior knowledge to interpret features of a representation they do not understand.  
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33 The dots in Figure 1.B were interpreted by 7 students as multiple particles (Table 2, B.2),  
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35 specifically multiple helium atoms:  
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*"Well I think, since it's the little dots, I would assume it's either umm...what composes the inside of an atom or it's just different like helium atoms that are coming together to form like ah...so it would just be like a bunch of monomers forming a polymer together. That's what I would think it would be."* (Joaquin, FYC)

48 or as the electrons, neutrons, and protons that make up the atom. Six students interpreted this  
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50 representation in terms of the composition of the atom but did not consider the internal structure  
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52 415 of the atom, leading them to provide an erroneous interpretation of Figure 1.B.  
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### ***Boundary Surface Representation***

Given that students chose Figure 1.C as their least preferred representation of the atom, it was not surprising that nine students said that the uniform structure was not representative of an atom (Table 2, C.2). Consider Miguel's explanation:

420           *"... [I]t's kind of just a ball. If you look at any of the other three, it's completely different*  
                  *while the others show somewhere where the electrons could possibly be rather than a*  
                  *fixed circle, and ... [Figure 1.A] and [Figure 1.D] show the nucleus as well. Umm...*  
                  *whereas this one is kind of like all clumped together, there is not a distinction between*  
                  *anything... it's not showing where the electrons would be, like more likely to be or less*  
425           *likely or where the nucleus is or any of that."* (Miguel, FYC)

These 9 students did not think Figure 1.C depicted the helium atom because it did not show the composition of the atom. One student thought it depicted only the nucleus of the helium atom but that no electrons were shown. This student's idea echoed the thinking in Harrison and Treagust's (2000) case study of a high school student who thought of the "ball model" as the nucleus of that  
430 atom.

Six other students interpreted the surface of Figure 1.C as depicting a uniform probability of finding electrons in the atom (Table 2, C.4). When asked to interpret the figure, Elena said:

*"I think it's like saying... there is an equal probability of finding the electrons anywhere*  
                  *and I don't think that's really accurate."* (Elena, FYC)

435 Students with this idea thought that the uniform color and defined shape of the representation suggested that electrons have an equal probability of being anywhere within the atom. In addition, three of these six students mentioned that this representation inadequately depicted Heisenberg's uncertainty principle. Although the idea of probability has been proposed as a

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2  
3 threshold concept for students to develop an accurate mental model of the atom (Park and Light,  
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5 440 2009), students like Elena who have learned about probability now face interference from that  
6  
7 concept when interpreting this representation. The purpose of Figure 1.C is to indicate the overall  
8  
9 spherical nature atoms. However, students find it difficult to separate what features they think an  
10  
11 “accurate” representation of an atom must include from a representation intended to simplify  
12  
13 some characteristics of the internal structure of the atom. These findings add to previous reports  
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16 445 of students’ difficulties differentiating between models of the sub-microscopic level and actual  
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18 atoms (Treagust *et al.*, 2003; Chittleborough and Treagust, 2007).  
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### 22 ***Bohr Model Representation***

23 The fourth representation that students were asked to interpret was the Bohr model (Figure 1.D),  
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25 specifically the “ring.” [N.B.: The interviewer did not use the word ‘orbit’ to refer to the ring  
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27  
28 450 unless a student invoked the term first.]  
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31 Fifteen students said that the ring around the nucleus of the atom represented the  
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33 movement of electrons (Table 2, D.1) with 11 students specifically referring to the ring as a path:  
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35 “...*the path electrons are following...*” (Rafael, FYC). Only two students referred to the ring as  
36  
37 the “orbit” of electrons. Interestingly, seven of these fifteen students said that the movement of  
38  
39 455 electrons depicted in this figure was “true” for this “old-school” model, but that the electrons  
40  
41 would be moving “randomly” or “can be just anywhere” (Makson, FYC) rather than rotating  
42  
43 around the nucleus. Students described the electrons as moving randomly for a variety of  
44  
45 reasons, including that electrons move very rapidly, the particle and wave duality of the  
46  
47 electrons, and their understanding of the Heisenberg Uncertainty Principle:  
48  
49  
50

51 460 “So, random...you can’t tell the speed and location at the exact same time... I think you  
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53 can say unpredictable...you know it’s [the electron’s] relative location, but you don’t  
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3 *necessarily know how it's [the electron's] moving. So, it [the electron] could be like all*  
4 *over the place."* (Luis, FYC)  
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7 Misinterpreting the Heisenberg uncertainty principle leads students to think that because it is not  
8  
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10 465 possible to know both the location and the momentum of the electron simultaneously, the  
11  
12 electron must be moving randomly. These findings indicate that even when students invoke ideas  
13  
14 from the quantum model of the atom, they can still be influenced by their ideas of classical  
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16 theories and experiences in the macroscopic world.  
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22 470 Twelve students said that the ring around the nucleus represented the orbital where  
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24 electrons are located or are most likely to be (Table 2, D.2). This finding echoes previously  
25  
26 reported results with secondary students who adopted quantum terms to label their prior  
27  
28 knowledge about the Bohr model (Cervellati and Perugini, 1981; Tsaparlis, 1997; Taber, 2002).  
29

## 30 **Conclusions**

31 While many reports of student thinking about the structure of the atom exist in the literature,  
32  
33 475 most of those studies investigated the thinking of young children or secondary students. In this  
34  
35 study, university students enrolled in first year chemistry, physical chemistry, or biophysical  
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37 chemistry were interviewed. The study explored their understandings of the electronic structure  
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39 of the atom through their rankings and interpretations of multiple representations of the helium  
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41 atom.  
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45 480 Many students had difficulties interpreting the main features of the four representations  
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47 investigated and offered conflicting interpretations across the representations. Both FYC and  
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49 PC/BPC students were challenged to make connections across the representations of the electron  
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51 cloud, the probability model and the boundary surface model. Students struggled to recognize the  
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3 purpose and explanatory power of different atomic models, e.g., recognizing the boundary  
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5 485 surface model as a representation of the overall shape of the atom.  
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8 The analyses of this investigation revealed that students at all levels were torn between  
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10 the electron cloud and the Bohr model when asked to indicate which model best matched their  
11  
12 mental model of the atom. We conclude that the co-existence of these two contradicting models  
13  
14 that both align with their mental model of the atom led students to conflate ideas between the  
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16 490 two models. For example, some students used quantum terms to describe their understanding of  
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18 the atom by referring to the orbit in a Bohr model as an orbital. Also, their classical ideas such as  
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20 thinking of the electron as a particle also influenced these students' interpretations and their  
21  
22 ability to distinguish between the Bohr model and the quantum model.  
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26 Park and Light (2009) have argued that both energy quantization and probability are  
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28 495 threshold concepts that must be mastered before students can develop appropriate mental models  
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30 of the electronic structure of the atom. The research presented herein showed how students who  
31  
32 have learned about these two concepts found it challenging to integrate these concepts when  
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34 interpreting representations of atomic models. Their poorly organized and integrated ideas about  
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36 the quantum model hindered their ability to explain the different atomic models, potentially  
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38 500 impeding students' growth and understandings in other chemistry concepts where the  
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40 understanding of the atom might be critical. Our data suggest that mastery of threshold concepts  
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42 alone is necessary, but not sufficient, for understanding the quantum model of the atom. Students  
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44 need additional opportunities to work with integrating these threshold concepts with one another.  
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## 49 **Limitations**

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52 505 There are limitations to the research reported here. First, different representations may have  
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54 generated different rankings and interpretations from students. Second, given the small number  
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3 of PC/BPC students who were interviewed, we were not able to detect any differences between  
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5 the FYC students and the PC/BPC students. A study with a larger sample of PC/BPC students  
6  
7 would be warranted. Third, although we reported the students' rankings and interpretations of  
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9  
510 multiple representations, some students did invoke quantum concepts such as energy  
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12 quantization and probability. Additional analyses of student thinking about these important  
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14 concepts and the connection between them were conducted using both qualitative and  
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16 quantitative data, but these findings are beyond the scope of this manuscript.  
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### 19 **Implications for teaching and research**

21 515 These findings have implications for both the chemistry classroom and for chemistry education  
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23 research. Previous research has suggested that students' understanding of atomic structure could  
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25 affect their conceptual understanding of phase changes (Griffiths and Preston, 1992; Adbo and  
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27 Taber, 2009), electronic transition and atomic emission (Taber, 2002; Bretz and Murata Mayo,  
28  
29 2018), and chemical bonding and reactions (Griffiths and Preston, 1992; Sewell, 2002; McKagan  
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31 *et al.*, 2008). More research is needed to investigate how student thinking with multiple  
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33 520 representations of the atom changes as students progress from first year university courses to  
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35 physical chemistry and perhaps even beyond to graduate school. Even though the number of  
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37 PC/BPC students in this sample was small, the findings from this study provide important  
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39 insights into these students' thinking as students who are just months from completing their  
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43 525 undergraduate degrees.

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47 The research protocol for this study asked students to rank and interpret representations  
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49 independent of any specific context in order to examine how students think with these  
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51 representations so as to not predispose students to think that any particular interpretation was  
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53 desired by the researchers. The group of students who insisted on ranking the representations  
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55 530 twice – both as *they thought about them* and as they were *taught by faculty to think about them* –



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3 suggests that how students invoke and reason with models depends on the nature of the task.

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5 Researchers need to give careful thought to the nature of tasks designed to elicit student thinking  
6 during interviews. Some students expressed conflicting ideas about the purpose of specific  
7 representations. Although some research has been done on task-dependent use of atomic  
8 representations (Papageorgiou *et al.*, 2016; Zarkadis *et al.*, 2017) with secondary students, future  
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12 535 research should investigate university chemistry students' ideas as to the intent of different  
13 representations and which representations students prefer to use for a given task.  
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20 In the classroom, teachers should assess students' prior knowledge about the atom before  
21 introducing them to any representations. Rather than emphasize only which representation of the  
22 atom is considered to be most scientifically accurate, teachers should create opportunities for  
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24 540 students to discuss both the strengths *and the limitations* of representations, as well as their  
25 purpose. Even so, the findings of this study reveal that students cannot adequately integrate  
26 quantum ideas into existing prior knowledge. Students need opportunities to explore how energy  
27 quantization and probability are depicted in the representations in Figure 1. After learning about  
28 the quantum model, students may hold personal models of the atom (e.g., Bohr) and offer  
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35 545 quantum ideas only in response to assessments. Teachers need to examine their classroom  
36 assessments to determine to what extent they can detect and measure the challenges identified in  
37 this research. Chemistry education research studies are needed to design assessment tools that  
38 capable of measuring thinking with representations of energy quantization and probability that  
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46 550 teachers can use in their classrooms.  
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5 555 of the authors and do not necessarily reflect the views of the National Science Foundations.  
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7

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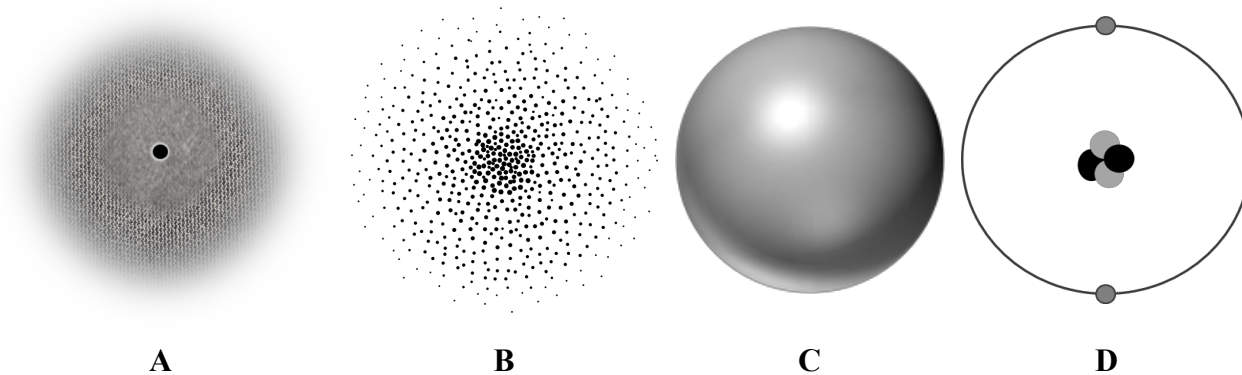
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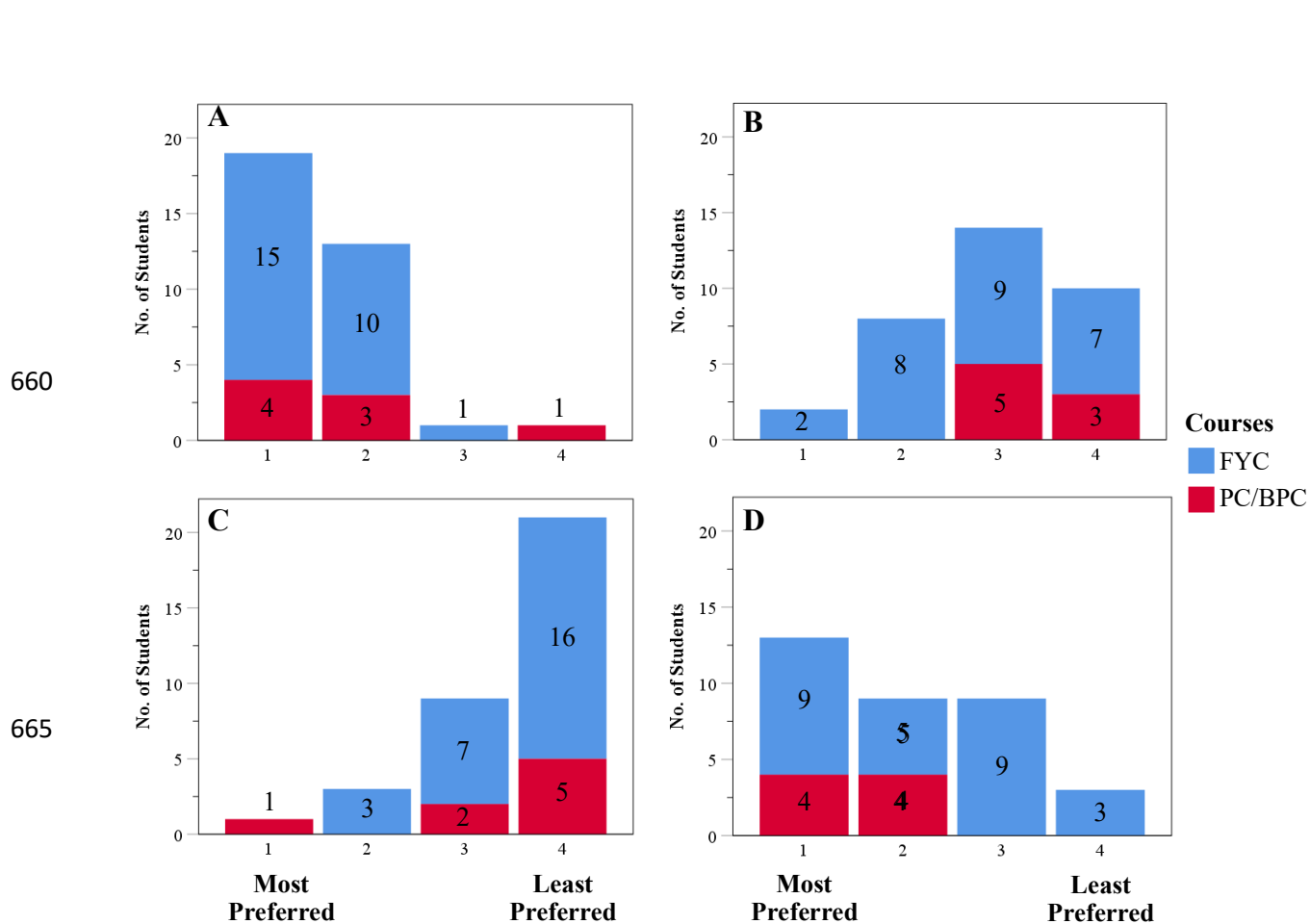
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**Figure 1:** Four representations of the atom created by the authors shown to both First-Year University Chemistry and Physical/Biophysical Chemistry students during Phase 3 of the semi-structured interviews: (A) electron cloud (B) electron probability diagram (C) boundary surface (D) Bohr model.



670 **Table 1:** Demographic data about interviewed students.

Students	Gender	College Level	Race/Ethnicity	Major
FYC ( <i>n</i> = 26)	Females <i>n</i> = 13 Males <i>n</i> = 13	Freshmen <i>n</i> = 22 Sophomores <i>n</i> = 4	White <i>n</i> = 21 Asian <i>n</i> = 2 Hispanic <i>n</i> = 2 Am. Indian/Alaska <i>n</i> = 1	Science Discipline <i>n</i> = 21 Chemistry <i>n</i> = 4 Biochemistry <i>n</i> = 1
PC/BPC ( <i>n</i> = 8)	Females <i>n</i> = 7 Males <i>n</i> = 1	Juniors <i>n</i> = 3 Seniors <i>n</i> = 5	White <i>n</i> = 5 Asian <i>n</i> = 2 African Am. <i>n</i> = 1	Biochemistry <i>n</i> = 5 Chemistry <i>n</i> = 3

**Table 2:** First year university chemistry and physical/biophysical chemistry students' interpretations of the main features of four atomic structures.

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Representation	Students' Interpretation	Total	FYC	PC/BPC	
A	A.1	Probability or likeness of finding electrons	20	14	6
	A.2	Location and/or movement of electrons	5	4	1
	A.3	Number of electrons present in the atom	4	4	—
	A.4	Connection (or lack of) to energy quantization	3	2	1
	A.5	Presence of matter	3	3	—
	A.6	Energy (or force of attraction) within the atom	3	3	—
	A.7	Charges within the atom	1	—	1
B	B.1	Probability or likeliness of finding electrons	16	13	3
	B.2	Multiple particles	7	5	2
	B.3	Location of electrons over time	6	4	2
	B.4	Location of electrons	1	1	—
	B.5	Mass of the nucleus	1	1	—
	B.6	Forces with the atom	1	1	—
C	C.1	Size/shape of an atom	11	7	4
	C.2	Not representative of an atom	9	6	3
	C.3	Location of electrons	8	8	—
	C.4	Even probability/likelihood of finding electrons	6	3	3
D	D.1	Movement of electrons	15	10	5
	D.2	Orbital where electrons are (most likely to be)	12	10	2
	D.3	Energy level (shell) within orbital	6	3	3
	D.4	Distance between the electrons and nucleus	2	2	—