

**On Structure and Phase Transformation of Uranium Doped  
La<sub>2</sub>Hf<sub>2</sub>O<sub>7</sub> Nanoparticles as an Efficient Nuclear Waste Host**

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# 1 On Structure and Phase Transformation of Uranium Doped $\text{La}_2\text{Hf}_2\text{O}_7$ 2 Nanoparticles as an Efficient Nuclear Waste Host

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12

## 13 Abstract

14 The design and development of efficient and stable nuclear waste hosts has drawn intensive  
15 interest for long-lived lanthanides and actinides. A detailed investigation of their structure and  
16 potential structural evolution are crucial. In this study, we have synthesized lanthanum hafnate  
17  $\text{La}_2\text{Hf}_2\text{O}_7$  nanoparticles (NPs) doped with uranium at different concentrations (0 – 10%) and  
18 investigated their structural transition. We have discovered that in our  $\text{La}_2\text{Hf}_2\text{O}_7:\text{U}$  NPs, the  
19 uranium dopants are stabilized at both  $\text{U}^{4+}$  and  $\text{U}^{6+}$  oxidation states in which the  $\text{U}^{6+}$  oxidation  
20 state exists in octahedral uranate  $\text{UO}_6^{6-}$  form. We also confirmed that the  $\text{U}^{4+}$  ions substituted the  
21  $\text{Hf}^{4+}$  ions with a lifetime of  $\sim 1.0 \mu\text{s}$  and the  $\text{UO}_6^{6-}$  ions resided at the  $\text{La}^{3+}$  sites with a lifetime of  
22  $\sim 9.0 \mu\text{s}$ . More interestingly, the proportion of the  $\text{U}^{4+}$  ions in the  $\text{La}_2\text{Hf}_2\text{O}_7:\text{U}$  NPs was higher  
23 than that of the  $\text{UO}_6^{6-}$  ions at low doping level, but at the doping level higher than 2.5%, the  
24 fraction of the  $\text{UO}_6^{6-}$  ions was greater than that of the  $\text{U}^{4+}$  ions. Furthermore, we studied the  
25 structural phase transformation from order pyrochlore to cotunnite of these  $\text{La}_2\text{Hf}_2\text{O}_7:\text{U}$  NPs with  
26 increasing uranium doping level, and found that ordered pyrochlore phase favors the  $\text{U}^{4+}$  ions  
27 whereas disordered cotunnite phase favors the  $\text{UO}_6^{6-}$  ions. We further used in-situ Raman  
28 spectroscopy to confirm the reversible cotunnite to pyrochlore phase transformation of the  
29  $\text{La}_2\text{Hf}_2\text{O}_7:10\%\text{U}$  NPs at  $900^\circ\text{C}$ . Therefore, this work demonstrated the successful development of  
30 uranium doped  $\text{La}_2\text{Hf}_2\text{O}_7$  NPs and thorough characterization of the fundamental spectra of  
31 uranium ions, doping induced phase transformation, and structure-optical property correlation.

32

33 **Keywords:** Uranium;  $\text{La}_2\text{Hf}_2\text{O}_7$ ; Phase transformation; Speciation; Photoluminescence

34

35

## 36 1. Introduction

37 Compounds with a general formula of  $A_2B_2O_7$  have received intense attention due to their high  
38 thermodynamic stability, high radiation stability, capability to incorporate lanthanides and  
39 actinides, and ability to form antisite defects by swapping A and B positions.<sup>1-5,6</sup> Among these  
40 compounds, rare-earth hafnates  $RE_2Hf_2O_7$  possess various desirable properties, which are very  
41 important for different technological applications, such as computer tomography (CT),<sup>7</sup> positron  
42 emission tomography (PET),<sup>8</sup> high-energy radiation detectors,<sup>9</sup> scintillation host materials,<sup>10</sup>  
43 magnetic materials,<sup>11</sup> among others.<sup>4, 9, 12, 13, 14, 15</sup>

44 Uranium and its radioactive isotopes contribute to a high-level of nuclear waste, which needs to  
45 be properly disposed. Uranium ion has multiple oxidation states (i.e. +3, +4, +5 and +6), all of  
46 which are luminescence active with characteristic emission.<sup>16-19</sup> Speciation studies of uranium  
47 ion in  $A_2B_2O_7$  compounds will be highly beneficial for fundamental uranium chemistry and  
48 nuclear industry. However, because of its complex nature and various existing valence states, the  
49 incorporation mechanism, oxidation state and structural environment of uranium ions in  $A_2B_2O_7$   
50 compounds are uncharted and vague. For example, Zhang and coworkers investigated the phase  
51 evolution of U doped  $Y_2Ti_2O_7$  and  $Gd_2Ti_2O_7$ .<sup>20</sup> They have carried out detailed phase evolution  
52 investigation from  $Ln_2Ti_2O_7$  pyrochlores to  $Ln_{0.5}U_{0.5}Ti_2O_6$  ( $Ln = Y$  and  $Gd$ ) brannerites in  
53 glasses using various techniques such as X-ray diffraction, Raman spectroscopy, diffuse  
54 reflectance spectroscopy and electron microscopy. Shu et al. studied the effect of alpha  
55 irradiation on U doped  $Gd_2Zr_2O_7$ .<sup>21</sup> Their study revealed that main crystal structure does not  
56 change but weak structural ordering takes place on alpha irradiation. They have also found  
57 increase in radiation stability of  $Gd_2Zr_2O_7$  at high uranium concentrations. Lu *et al.* explored the  
58 effects of  $U_3O_8$  on the phase and microstructure evolution of  $Gd_2Zr_2O_7$  and found that uranium is  
59 homogeneously distributed in +4 and +6 oxidation states at  $Gd^{3+}$  and  $Zr^{4+}$  sites, respectively.<sup>22</sup>  
60 One of us studied the speciation of uranium using luminescence spectroscopy for  $A_2Zr_2O_7$  ( $A =$   
61 La, Nd and Gd) and the results show that uranium is stabilized as  $U^{4+}$  and  $UO_6^{6-}$  in  $Gd_2Zr_2O_7$ ,  
62 only as  $UO_6^{6-}$  in  $Nd_2Zr_2O_7$ , and as both  $UO_6^{6-}$  and  $UO_2^{2+}$  in  $La_2Zr_2O_7$ .<sup>23-25</sup> There are few more  
63 reports on uranium doped  $Gd_2Zr_2O_7$  wherein speciation of uranium was carried out in bulk phase  
64 using X-ray diffraction, Raman spectroscopy and X-ray photoelectron spectroscopy along with  
65 other suitable techniques.<sup>26-28</sup> However, none of these reports includes studies on structure and  
66 phase evolution of uranium doped  $La_2Hf_2O_7$  nanoparticles (NPs).

67 Photoluminescence (PL) spectroscopy is an indispensable technique to probe optical properties  
68 and local structure of phosphors. It is also the most acceptable technique for detecting and  
69 estimating uranium in ultra-trace level in both solids as well as aqueous media.<sup>29</sup> Meanwhile,  
70 Raman spectroscopy has been widely utilized as a tool to distinguish between the disordered  
71 fluorite and the ordered pyrochlore phases of  $A_2B_2O_7$  compounds. Due to its high sensitivity to  
72 oxygen-cation vibrations, Raman spectroscopy has the capability to probe local structure and to  
73 further identify disorder within the pyrochlore structure, which emerge from vacancies and  
74 defects that disrupt the translational symmetry. Moreover, X-ray photoelectron spectroscopy  
75 (XPS) is a surface-sensitive quantitative spectroscopic technique that measures the elemental  
76 composition, empirical formula, chemical state and electronic state of the elements that exist  
77 within a material. It is also one of the highly sensitive methods to probe oxygen vacancies.  
78 Therefore, in this work, we have used these three techniques together along with other traditional  
79 materials characterization techniques complementarily to investigate the structure and phase  
80 transition of the  $La_2Hf_2O_7$  NPs with different uranium doping levels. Lastly, for the first time, we  
81 observed reversible phase transformation in the  $La_2Hf_2O_7:10\%U$  NPs using in-situ Raman  
82 measurement. Therefore, through the successful development of uranium doped  $La_2Hf_2O_7$  NPs  
83 and their thorough characterization of the fundamental spectra of uranium ions, doping induced  
84 phase transformation, and structure-optical property correlation, we believe this work open new  
85 research areas important for safe nuclear energy and sustainable environment.

86

## 87 **2. Experimental**

88 **Figure S1** depicts the schematic of the combined co-precipitation and molten-salt synthesis  
89 (MSS) procedure adopted to synthesize the  $La_2Hf_2O_7:xmol\%U$  NPs ( $x = 0.5 - 10.0$ ).<sup>4, 9, 30-31</sup>  
90 Additional synthesis and characterization details are provided in ESI (S.2.1 and S.2.2).

91

## 92 **3. Results and discussion**

### 93 **3.1 Phase, Structure and morphological analysis:**

94 XRD is used to confirm the phase of the  $La_2Hf_2O_7:x\%U$  ( $x = 0, 0.5, 1, 2.5, 5, 7.5, \text{ and } 10$ ) NPs  
95 (**Figure S2**). Fourier transformed infrared (FTIR) spectra were collected to identify the phase and  
96 rule out the formation of any additional phase of the  $La_2Hf_2O_7:x\%U$  NPs. Morphostructural  
97 characterization of the synthesized NPs was performed with scanning electron microscopy

98 (SEM) and high-resolution transmission electron microscopy (HRTEM) as shown in in Figures  
99 S4 and S5. The presence of uranium dopant was confirmed by energy dispersive spectroscopy  
100 (EDS) (Figure S6). The doping efficiency and uniform distribution of constituent element were  
101 investigated using elemental mapping (Figure S7).

102

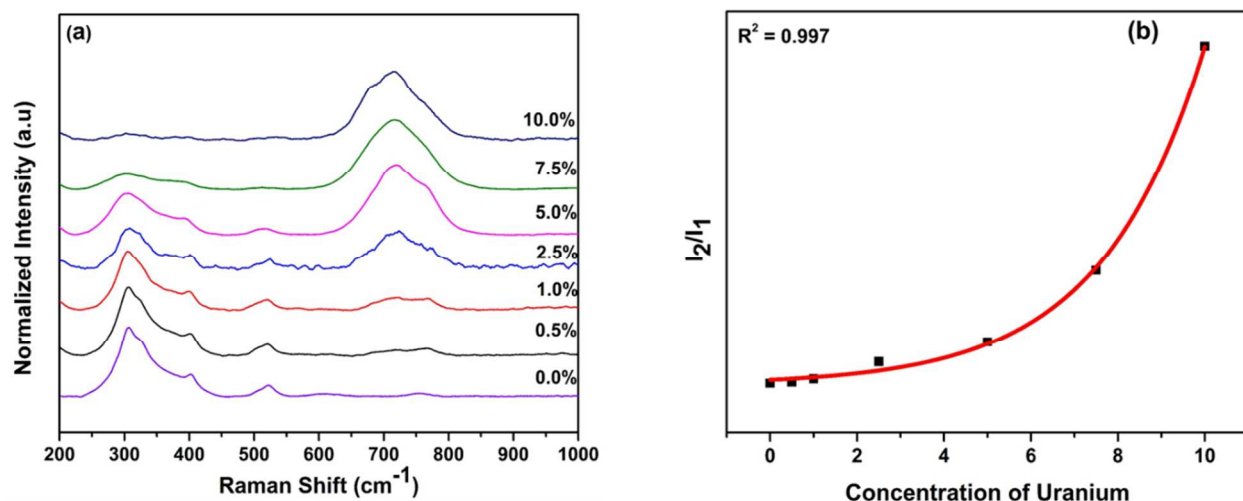
### 103 3.2 Raman spectroscopy

104 It is well known that the ideal pyrochlore phase has six well-resolved Raman active vibrational  
105 modes in the range of 200-1000  $\text{cm}^{-1}$  which are represented as  $\Gamma_P = A_{1g} + E_g + 4F_{2g}$ , whereas the  
106 fluorite phase has mainly one Raman active mode that is  $\Gamma_F = F_{2g}$ .<sup>32</sup> This is because seven  
107 oxygen ions are randomly distributed at eight anion positions, which leads to structural  
108 disordering in the fluorite phase, and hence all the fine peaks of pyrochlore collapsed into one  
109 broader peak. More specifically, phase transformation from  $A_2B_2O_6O'$  pyrochlore (Fd-3m space  
110 group) to  $AO_2$  fluorite (Fm3m,  $Z = 4$ ) structure takes place through the disappearance of  $A_{1g}$  and  
111  $E_g$  Raman modes and decrease in the number of  $F_{2g}$  modes from 4 to 1.

112 Radius ratio ( $r_A/r_B$ ) plays an important role in determining which structure of  $A_2B_2O_7$   
113 compounds attain.<sup>33</sup> It has been reported that fluorite phase is more likely to form if  $r_A/r_B < 1.46$   
114 and while ordered pyrochlore phase is more likely to be stabilized if  $r_A/r_B$  is greater than 1.46 at  
115 room temperature. It was propose that  $r_A/r_B$  for different  $A_2B_2O_7$  compositions follows this trend:  
116 disordered fluorite phase (DFP)  $r_A/r_B < 1.21 < \delta$ -phase  $r_A/r_B < 1.42 - 1.44 < ordered pyrochlore$   
117 phase (OPP)  $r_A/r_B < 1.78 - 1.83 < monoclinic pyrochlore$   $r_A/r_B < 1.92$ .<sup>34</sup>  $La_2Hf_2O_7$  is the most  
118 favorable candidate with radius ratio of 1.45 to be stabilized in pyrochlore phase. **Figure 1a**  
119 shows the Raman spectra of the as-synthesized  $La_2Hf_2O_7:U$  NPs with different uranium  
120 concentrations. Undoped sample has six well-resolved Raman peaks at 306, 324, 402, 501, 521  
121 and 601  $\text{cm}^{-1}$  pertaining to the vibrations of La-O and Hf-O bonds. These peaks are assigned to  
122  $F_{2g}$ ,  $E_g$ ,  $F_{2g}$ ,  $A_{1g}$   $F_{2g}$  and  $F_{2g}$  modes, respectively.<sup>35</sup> The vibrational modes of  $F_{2g}$ ,  $E_g$ , and  $F_{2g}$  at  
123 low frequency region of 300-400  $\text{cm}^{-1}$  arise from vibrations of the La-O and Hf-O bonds. On the  
124 other hand, the high frequency band at 501, 522 and 601  $\text{cm}^{-1}$  arise from the stretching of the Hf-  
125 O bonds.<sup>35</sup> However, the complete pyrochlore phase is preserved only up to 1.0% uranium  
126 doping level. After that, the fine OPP structure starts to collapse into broader peaks and  
127 disordered fluorite phase or cotunnite phase evolves and coexists with OPP. At 2.5% uranium  
128 doping level and above, there is complete disordering of the  $La_2Hf_2O_7$  pyrochlore phase and a

129 broad peak around  $715\text{ cm}^{-1}$  appears. Its intensity keeps increasing and even overpowers the  
130 broad fluorite peak at 7.5% and 10% uranium doping levels. This peak is not a fundamental  
131 Raman vibrational mode of pyrochlore-structured  $A_2B_2O_7$  type oxides. It is believed to appear  
132 due to the distortions of the  $BO_6$  octahedra.<sup>35-36</sup> In  $La_2Hf_2O_7$ , it has been attributed to distortion  
133 in  $HfO_6$  octahedra and other kind of structural defects due to doping of uranium at La/Hf site.  
134 The average metal-oxygen bond lengths in the fluorite phase is close to those existing in ideal  
135 pyrochlore structure, but is relatively much smaller than those in cotunnite-type  $A_2B_2O_7$   
136 structure, suggesting that the fluorite phase has more covalent character than the cotunnite-type  
137 structure.<sup>37</sup> In the cotunnite-type structure, coordination number of metal ions is generally 8- or  
138 9-fold, whereas in the fluorite-type structures, metal ions coordinate with oxygen ion in 7- or 8-  
139 fold coordination. This suggests  $La_2Hf_2O_7$  has the tendency to form ionic bonding with higher  
140 coordination number at high uranium doping concentration. Furthermore, based on Raman  
141 spectroscopy data, the increase of uranium doping level induces the gradual phase transformation  
142 from pyrochlore to fluorite and then cotunnite as observed by the appearance of broad peaks at  
143  $307$  and  $715\text{ cm}^{-1}$ . Zhang *et al.* have also observed such process in U doped  $Gd_2Zr_2O_7$  but by  
144 applying pressure of 22 GPa, which finally transformed into a disordered fluorite structure on  
145 release of pressure.<sup>28</sup>

146 As a quantitative measure of the extent of anion disordering in the  $La_2Hf_2O_7:U$  NPs as a  
147 function of uranium doping concentration, the ratio of integrated Raman intensity between  
148 Raman active vibration mode of the distorted  $HfO_6$  octahedra and the main  $F_{2g}$  Raman mode  
149 at  $\omega_0 \approx 307\text{ cm}^{-1}$  is plotted in **Figure 1b**.<sup>38</sup> Moreover, we annealed our samples at high  
150 temperature to enhance cation ordering and reduce strain, but there was little effect on the  
151 Raman spectra of our  $La_2Hf_2O_7:U$  NPs.<sup>39</sup> Thus, this ratio selectively indicates disorder on the  
152 anion sub-lattice.



153  
 154 **Figure 1.** (a) Raman spectra of the  $\text{La}_2\text{Hf}_2\text{O}_7:x\%\text{U}$  NPs ( $x = 0, 0.5, 1, 2.5, 5, 7.5,$  and  $10$ ) and (b)  
 155 corresponding integrated Raman intensity ratio of the distorted  $\text{HfO}_6$  octahedra ( $I_2$ ) and the main  
 156  $\text{F}_{2g}$  Raman mode of the ordered pyrochlore ( $I_1$ ). This Raman intensity ratio serves as a qualitative  
 157 indicator of the progression of anion disorder as a function of uranium doping concentration in  
 158 the  $\text{La}_2\text{Hf}_2\text{O}_7$  host.

159

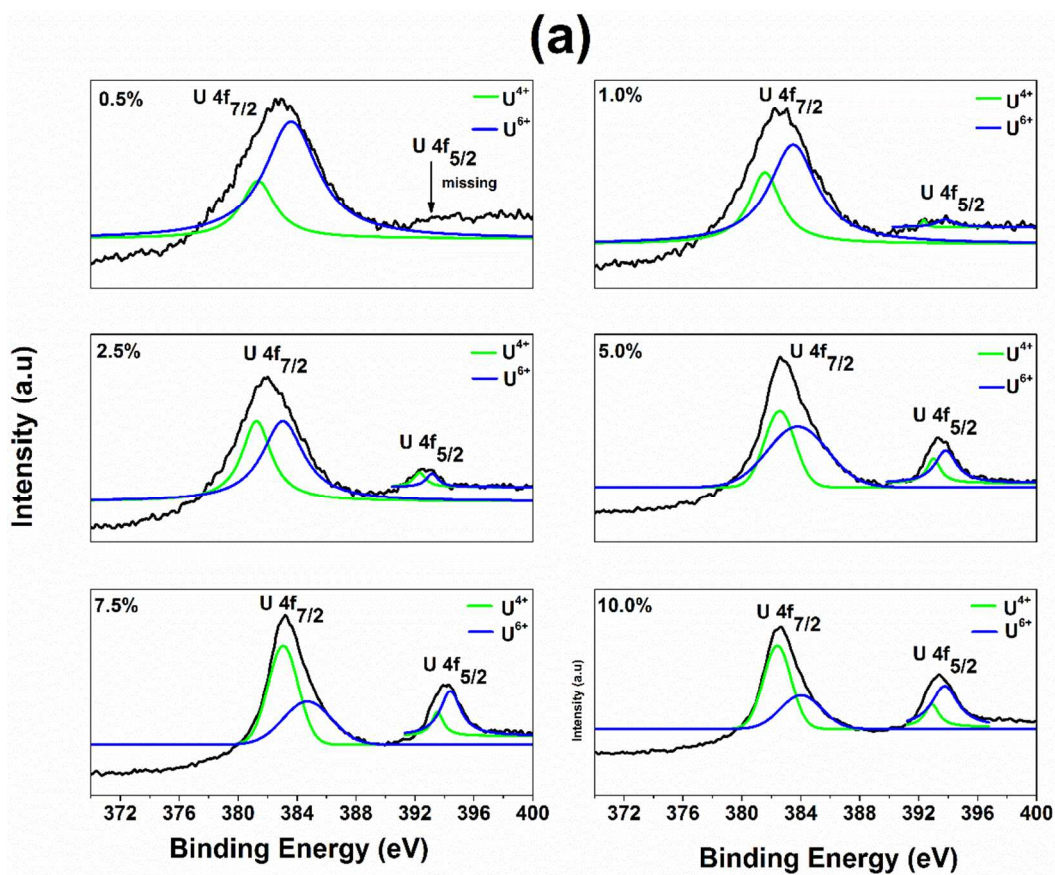
### 160 3.3 XPS Analysis

161 To confirm the oxidation state of uranium ion and other constituent elements in the  $\text{La}_2\text{Hf}_2\text{O}_7:\text{U}$   
 162 NPs, XPS was carried out for the lowest and highest composition. **Figure S8** depicts the XPS  
 163 spectra of La 3d, Hf 4f and O 1s core level electron for the  $\text{La}_2\text{Hf}_2\text{O}_7:\text{U}$  NPs at various doping  
 164 levels. **Figure S8a** shows the La 3d XPS spectra that indicated the binding energies (BE) values  
 165 for La  $3d_{5/2}$  and La  $3d_{3/2}$  are approximately 839.7 and 852 eV in addition to satellite peaks at  
 166 865.6 and 877.4 eV at 0.5 % uranium doping. The La 3d X-ray photoelectron peak splits into  
 167  $3d_{5/2}$  and  $3d_{3/2}$  due to strong orbit coupling. There may be some additional contribution of ligand  
 168 to metal charge transfer (oxygen to hafnium) which cause further splitting to these lines.<sup>40</sup> The  
 169 BE difference in  $3d_{3/2}$  and  $3d_{5/2}$  states is around 16.5 eV, which indicates the stabilization of  
 170 lanthanum ion in +3 oxidation state.<sup>41</sup> Most of the report on XPS spectra of  $\text{HfO}_2$ <sup>42-44</sup> clearly  
 171 shows spin-orbit coupling induced splitting in Hf 4f peak to  $4f_{7/2}$  and  $4f_{5/2}$  similar to what we  
 172 have observed in our  $\text{La}_2\text{Hf}_2\text{O}_7:\text{U}$  NPs (**Figure S8b**). The BE values for these two peaks is  
 173 approximately around BE = 18.9 eV and BE = 20.6 eV for 0.5 % uranium doped  $\text{La}_2\text{Hf}_2\text{O}_7$  NPs  
 174 with 4f spin-orbit splitting energy values around  $\sim 1.92$  eV. All these data are in concordance  
 175 with Hf in +4-oxidation state. Although it can be seen from **Figure S8b** that the Hf 4f peaks

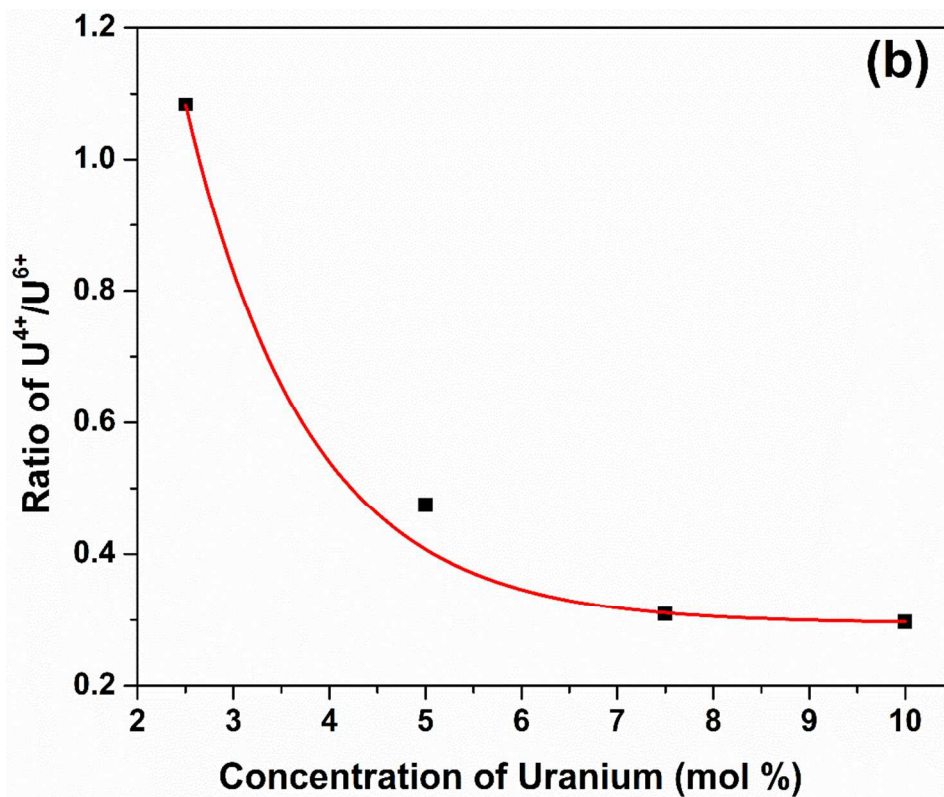
176 become more pronounced for the higher doping concentrations of U, due to the overlap between  
177 the La 5*p* and Hf 4*f* spectra, the peak contributions are not clear. On increasing the concentration  
178 of uranium ion, there is slight variation in peak position for x-ray photoelectron of La-3*d* and Hf-  
179 4*f* due to slight doping inducing change in electronic localization as well as density. The Hf 4*p*  
180 and U 4*f* spectra have overlapping peaks. The O1s XPS spectra are shown in **Figure S3c**. As to-  
181 be-confirmed by PL data that there is uranium distribution (both U<sup>4+</sup> and U<sup>6+</sup>) at both La<sup>3+</sup>/Hf<sup>4+</sup>  
182 site, there are large density of charge compensating defect formation in our La<sub>2</sub>Hf<sub>2</sub>O<sub>7</sub>:U NPs to  
183 take care of charge neutrality. One of predominant defects would be oxygen vacancy. The area  
184 under the surface hydroxyl/under-coordinated O 1s peak (O<sub>v</sub>) is increasing with uranium doping  
185 concentration, suggesting the formation of oxygen vacancies due to charge neutrality.

186 Since XPS studies can distinguish different oxidation states of uranium according to the binding  
187 energy of 4*f* orbit, we have used XPS to confirm the oxidation state of uranium in our La<sub>2</sub>Hf<sub>2</sub>O<sub>7</sub>  
188 NPs (**Figure 2a**). From the XPS analysis, we confirmed the presence of both U<sup>4+</sup> and U<sup>6+</sup> in all  
189 samples with different concentrations. At higher concentrations the XPS spectra displayed two  
190 peaks at binding energies values of 382.5 and 393.3 corresponding to U 4*f*<sub>7/2</sub> and U 4*f*<sub>5/2</sub>,  
191 respectively. This difference is because of spin orbit coupling. However, at lower doping  
192 concentrations (0.5%, and 1%) the U 4*f*<sub>5/2</sub> peak is was absent. Because the U 4*f*<sub>5/2</sub> peak was not  
193 observed at lower concentrations, we were unable to calculate the fractional ratio of U<sup>4+</sup> and U<sup>6+</sup>  
194 species in the 0.5% and 1% uranium doped La<sub>2</sub>Hf<sub>2</sub>O<sub>7</sub>:U samples. The XPS parameters as  
195 calculated for the rest of the samples are shown in **Table S2**. From the ratio analysis, it is clearly  
196 evident that as the concentration of uranium dopant increases, there is an exponential decrease in  
197 the U<sup>4+</sup>/U<sup>6+</sup> ratio (**Figure 2b**), confirming that U<sup>4+</sup> is dominating at lower concentrations, while  
198 at higher concentrations it is U<sup>6+</sup>. This observation is consistent with our PL emission  
199 spectroscopy and lifetime studies as discussed in the next section.





200



201

202 **Figure 2.** (a) Uranium  $4f_{7/2}$  XPS spectra of the  $\text{La}_2\text{Hf}_2\text{O}_7:\text{U}$  NPs at different doping levels and  
203 (b) variation of the  $\text{U}^{4+}/\text{U}^{6+}$  ratio as a function of uranium doping levels (2.5-10 %).

204

### 205 **3.4 Photoluminescence**

#### 206 **3.4.1 Emission and excitation spectroscopy**

207 PL emission spectra (Figure 3a) of the  $\text{La}_2\text{Hf}_2\text{O}_7:x\%\text{U}$  ( $x = 0.5, 1.0, 2.5, 5.0, 7.5,$  and  $10$ ) NPs at  
208  $\lambda_{\text{ex}} = 230$  nm showed two different features in region a (Ra: 440 - 500 nm) and region b (Rb: 540 –  
209 570 nm). In Ra, there is a cluster of four observable featureless bands centered at 451, 468, 482 and  
210 493 nm in the blue region. In Rb, there is a single broadband at 550 nm in the green region.

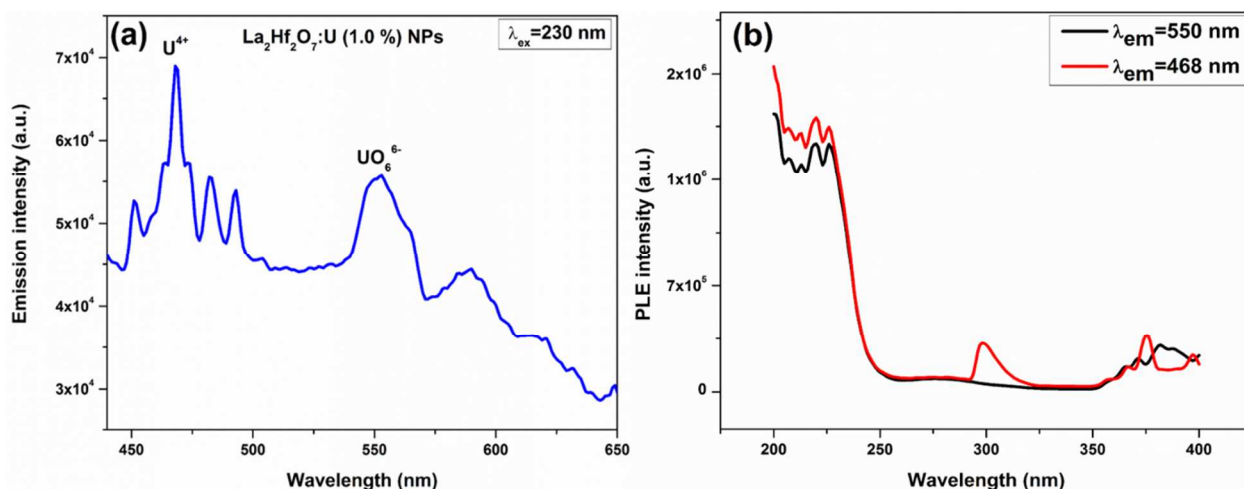
211 The cluster of four bands in blue region is definitely not arising because of uranyl ions as they  
212 have unique signature of equally spaced vibronically coupled emission band whose value is close  
213 to symmetric stretching Raman mode of uranyl ion. Moreover, the position of the first band in  
214 the emission spectrum ( $\nu_{0-0}$ ) is a unique signature for deciding the number of oxygen atom  
215 coordinated to uranium and its bond order, which is known as zero phonon line (ZPL). It  
216 corresponds to the electronic transition from triplet  $^3\Pi_u$  state to singlet  $^1\Sigma_g^+$  ( $D_{\infty h}$ ) state from  
217 oxygen to the non-bonding orbital of uranium ( $5f\delta_u$  and  $5f\phi_u$ ). In our spectrum, it is located at  
218 451 nm, which is much lower than the normally observed in case of  $\text{UO}_2^{2+}$ .<sup>45-47</sup> This feature  
219 further supports the fact that the sharp features in Ra region corresponds to uranium in +4  
220 oxidation state. It has been also reported previously that uranium has high tendency to be  
221 stabilized in both  $\text{U}^{4+}$  and  $\text{U}^{5+}/\text{U}^{6+}$  in  $\text{A}_2\text{B}_2\text{O}_7$  type pyrochlore oxides.<sup>48-49</sup> Indeed, in our earlier  
222 work on U doped  $\text{Gd}_2\text{Zr}_2\text{O}_7$ , we could confirm that uranium has a tendency to stabilize in both  
223  $\text{U}(4+)$  and  $\text{U}(6+)$  states.<sup>24</sup> In our case here, the emission peaks in region Ra are attributed to  $f \rightarrow f$   
224 transitions of the  $\text{U}^{4+} 5f^2$  ion.<sup>24, 50-52</sup> The spectral splitting of the  $\text{U}(\text{IV})$  bands is the result of ligand  
225 field induced by hafnate ion. This particular emission is attributed to the relaxation of the highest  
226 energy  $^3F_2$  charge-transfer excited-state manifold ( $5f^16d^1$ ) to Russell-Saunders coupled  $^3H_4(5f^2)$   
227 ground state and higher lying energy states derived from the  $5f^2$  electronic configuration.<sup>24</sup>

228 On the other hand, the broad peak in the green emission zone Rb at 550 nm is originated from  
229 uranium ion in +6 oxidation state, which allowed for oxygen to uranium charge transfer.<sup>23-25, 45-47,</sup>  
230 <sup>53-54</sup> Therefore, it is due to ligand to metal charge transfer (LMCT) transition and is typical of  
231 uranium stabilizing in octahedral uranate ion  $\text{UO}_6^{6-}$ .<sup>23, 53</sup> Visible emission in the green region

232 emerges due to ligand to metal charge transfer (LMCT) involving bonding oxygen orbitals ( $\Pi_u$ ,  
233  $\Pi_g$ ,  $\Omega_g$ , and  $\Omega_u$ ) to nonbonding  $5f_\delta$  and  $5f_\phi$  orbitals of uranium ion.  
234 Apparently, uranyl ion ( $\text{UO}_2^{2+}$ ) vibronic features are very distinct from those that arises due to  
235 LMCT transitions. Normally LMCT induced vibronic transitions are very broad and often  
236 structureless. It is very difficult to identify the zero-phonon lines (ZPLs) and vibration modes  
237 from there. This is because of the fact that broadening due to LMCT induces lattice  
238 displacements and charge-hole relaxation in the valence band.<sup>55</sup> Previously published work has  
239 shown that uranyl ion has a unique emission peak with constant spacing.<sup>23-25, 45-47, 53-54</sup> The origin  
240 of such vibronic coupling is the strong interaction of the ground state Raman active O=U=O  
241 symmetric stretching mode with the  $^3\Pi_u$  electronic triplet excited state (generally observed  
242 between 780 and 900  $\text{cm}^{-1}$ ). Isolated uranyl ion has three Raman active modes at ground state  
243 vibrational frequencies of 830 ( $\omega_2$ ), 920 ( $\omega_2$ ) and 250 ( $\omega_3$ )  $\text{cm}^{-1}$  due to symmetric stretching,  
244 asymmetric stretching and bending, respectively. However, based on Franck Condon principle  
245 only symmetric stretching modes are allowed to couple with the electric dipole transition of  
246 uranyl ion.<sup>56</sup> Therefore, as a unique signature of the uranyl ion, vibronic band progresses  
247 harmonically in the frequency of the O=U=O symmetric stretching. These are known as false  
248 origins.<sup>57</sup>  $\omega_2$  and  $\omega_2$  are coupled to the vibronic transitions of the symmetric stretching mode that  
249 progress in the frequency of  $\omega_1$ . No harmonics of asymmetric stretching  $\omega_2$  and bending mode  $\omega_3$   
250 appear in the uranyl vibronic spectra because they are non Franck-Condon mode. Absence of  
251 such signature clearly rules out the stabilization of uranium ion as  $\text{UO}_2^{2+}$  in  $\text{La}_2\text{Hf}_2\text{O}_7$ .  
252 Among various stable forms, hexavalent uranium ions depending on the conditions of  
253 concentration, annealing temperature, and structure of host can have different molecular  
254 structures leading to tetrahedral uranate  $\text{UO}_4^{2-}$ , octahedral uranate  $\text{UO}_6^{6-}$  or uranyl  $\text{UO}_2^{2+}$  species.  
255 Of these uranyl ions,  $\text{UO}_2^{2+}$  ions have been studied most extensively, because it is the most  
256 prevalent form of natural uranium in the ecological system. Uranyl ions are characterized by  
257 uranium-oxygen partial triple bond character, whereas octahedral and tetrahedral uranate ions are  
258 characterized by uranium-oxygen single bond. Crystal lattice hosts that favor the formation of U-  
259 O bond (singly bonded) will stabilize uranium ion in the form of uranate ions while those that  
260 offer close packed environments favor shorter triply bonded uranium-oxygen bonds to stabilize  
261 uranium in the form of  $\text{UO}_2^{2+}$  ions.<sup>58</sup> From our earlier work on magnesium aluminate spinel and  
262 strontium silicate,<sup>45-46</sup> uranium stabilizes as  $\text{UO}_2^{2+}$  in  $\text{MgAl}_2\text{O}_4$  whereas as both U (+4) and

263  $\text{UO}_6^{6-}$  in  $\text{Gd}_2\text{Zr}_2\text{O}_7$ .<sup>24</sup> On the other hand, it tends to stabilize as  $\text{UO}_6^{6-}$  in  $\text{SrZrO}_3$ ,  $\text{Nd}_2\text{Zr}_2\text{O}_7$ , and  
 264  $\text{Sr}_2\text{CeO}_4$ .<sup>23, 53-54</sup> In this case here, uranium stabilizes as both +4 and +6 oxidation state in the  
 265 form of  $\text{U}^{4+}$  ion as well as  $\text{UO}_6^{6-}$  ion at 1.0 % uranium doped  $\text{La}_2\text{Hf}_2\text{O}_7$ .

266 The representative excitation bands corresponding to  $\text{U}^{4+}$  and  $\text{UO}_6^{6-}$  emissions were taken from  
 267 the  $\text{La}_2\text{Hf}_2\text{O}_7:1.0\text{mol}\%\text{U}$  NPs (**Figure 3b**). The distinct features in the two cases are another  
 268 indication of the fact that the emission features in blue and green region has different origin  
 269 altogether. The shoulder around 200-250 nm is attributed to oxygen to uranium charge transfer  
 270 transition whereas the fine structure from 300-400 nm is the intra f-f band of uranium ion.



271  
 272 **Figure 3.** (a) Emission spectrum and (b) excitation spectrum of the  $\text{La}_2\text{Hf}_2\text{O}_7:1.0\text{mol}\%\text{U}$  NPs.

273  
 274 **Figure 4a** depicts the emission spectra of the  $\text{La}_2\text{Hf}_2\text{O}_7:\text{U}$  NPs for various uranium  
 275 concentrations and **Figure 4b** displayed the effect of uranium ion concentration on emission  
 276 intensity for both  $\text{U}^{4+}$  emission at 458 nm and  $\text{UO}_6^{6-}$  emission at 550 nm. The emission intensity  
 277 increases up to 1.0 % uranium doping, and after that, there is an emission intensity reduction.  
 278 Such phenomenon is attributed to concentration quenching. At higher doping concentration, the  
 279 distance between two-activator ions decreased to an extent where non-radiative energy transfer  
 280 between them is facilitated. That distance in photophysical parlance is called critical distance. To  
 281 confirm the non-radiative energy transfer mechanism, which leads to concentration quenching,  
 282 critical distance ( $R_c$ ) needs to be determined using the following equation:

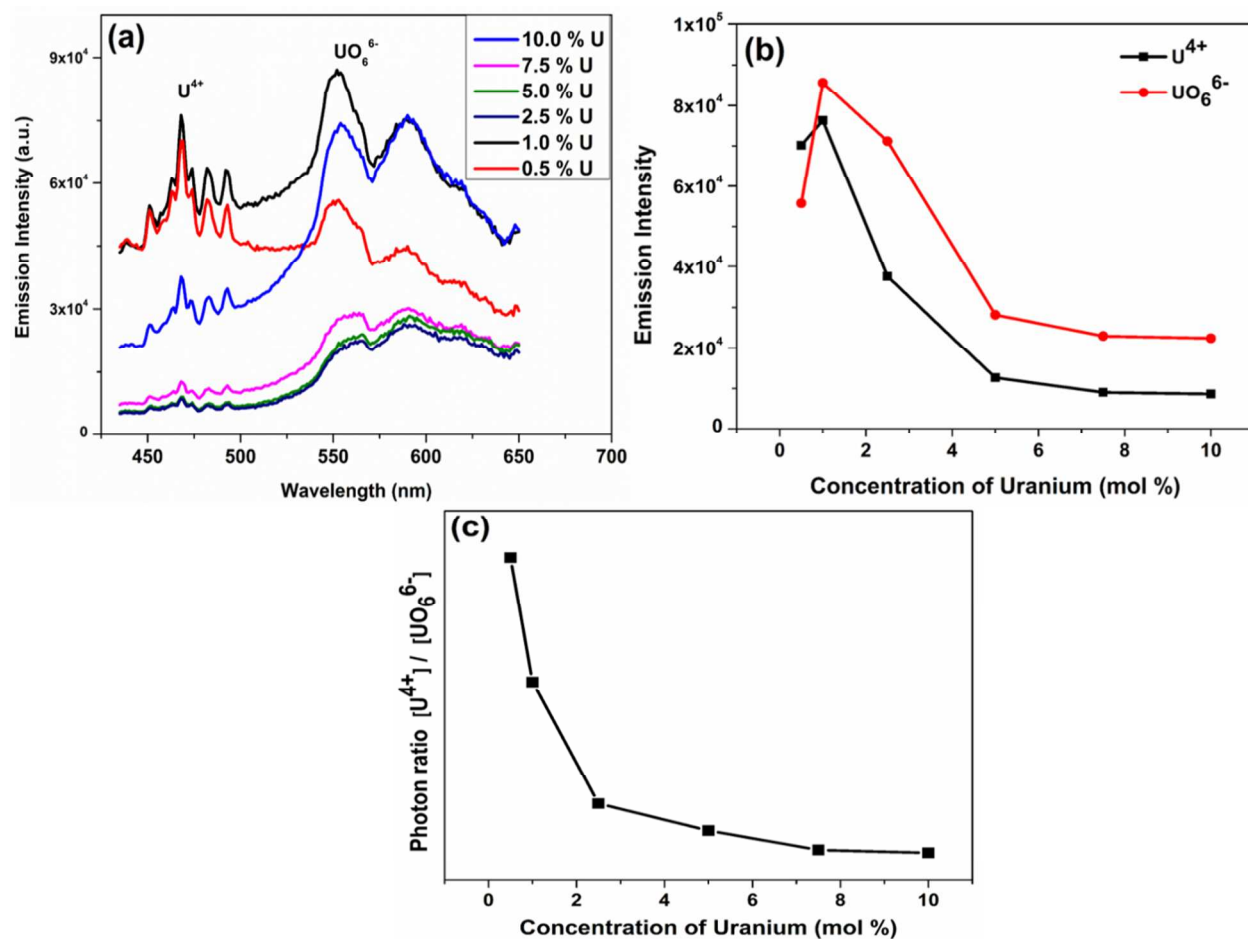
$$283 \quad R_c = 2 \left( \frac{3V}{4\pi X_c N} \right)^{\frac{1}{3}}$$

284 where  $R_c$  is called critical distance and is defined as the minimum possible distance between the  
 285 dopant ions at which non-radiative energy transfer takes place,  $V$  is the volume of the unit cell,

286  $X_c$  is the critical concentration of dopant ion, and  $N$  is the number of cations present in one  
287 formula unit of host. The values of  $V$  and  $N$  for  $\text{La}_2\text{Hf}_2\text{O}_7$  are  $1250.32 \text{ \AA}^3$  and 16, respectively.  
288 Considering  $X_c = 1.0\%$  (0.01), critical energy transfer distance  $R_c$  in the  $\text{La}_2\text{Hf}_2\text{O}_7:1.0\%\text{U}$  NPs  
289 was estimated to be  $24.62 \text{ \AA}$ . In this case, non-radiative energy transfer takes place at the U-U  
290 distance greater than  $10 \text{ \AA}$  via electric multipolar interaction.

291 In addition, uranium was stabilized as both  $\text{U}^{4+}$  and  $\text{UO}_6^{6-}$  ions in all doping concentrations in the  
292  $\text{La}_2\text{Hf}_2\text{O}_7$  host, but their relative intensity changes (**Figure 4a**). At low concentrations, the  
293 fraction of  $\text{U}^{4+}$  is more than that of  $\text{UO}_6^{6-}$  whereas the trends reverses at high doping  
294 concentrations as plotted in **Figure 4c**. This is an interesting observation consistent with XPS  
295 data, which can be correlated to some kind of structural changes. At low uranium doping,  
296  $\text{A}_2\text{B}_2\text{O}_7$  structure favors the stabilization of  $\text{U}^{4+}$  over that of  $\text{UO}_6^{6-}$ . At high doping level,  $\text{A}_2\text{B}_2\text{O}_7$   
297 structure favors  $\text{UO}_6^{6-}$  over  $\text{U}^{4+}$ . It has been reported that the structure of host materials plays  
298 huge roles in stabilizing different oxidation states and coordinations of doping ions. Perovskite  
299 structure tends to stabilize the U(VI) oxidation state in  $\text{UO}_6^{6-}$  coordination<sup>53</sup> whereas spinel  
300 structure tends to favor the same oxidation state but in a different geometry as  $\text{UO}_2^{2+}$  ion.<sup>45</sup> On  
301 the other hand, fluorite type  $\text{A}_2\text{B}_2\text{O}_7$  composition favors the stabilization of both  $\text{U}^{4+}$  and  $\text{U}^{6+}$ .<sup>24</sup>  
302 In this case, our Raman spectroscopy results are assimilate our PL observations, supporting the  
303 fact that oxidation stabilization of uranium is dependent on the structural and phase evolution of  
304 the NPs.





305  
 306 **Figure 4.** (a) Emission spectra of the La<sub>2</sub>Hf<sub>2</sub>O<sub>7</sub>:U NPs with various uranium doping  
 307 concentrations with  $\lambda_{\text{ex}} = 230$  nm, and (b) corresponding plot of emission intensity and (c) ratio  
 308 of emission intensity from the U<sup>4+</sup> and UO<sub>6</sub><sup>6-</sup> ions as a function of the uranium doping  
 309 concentration.

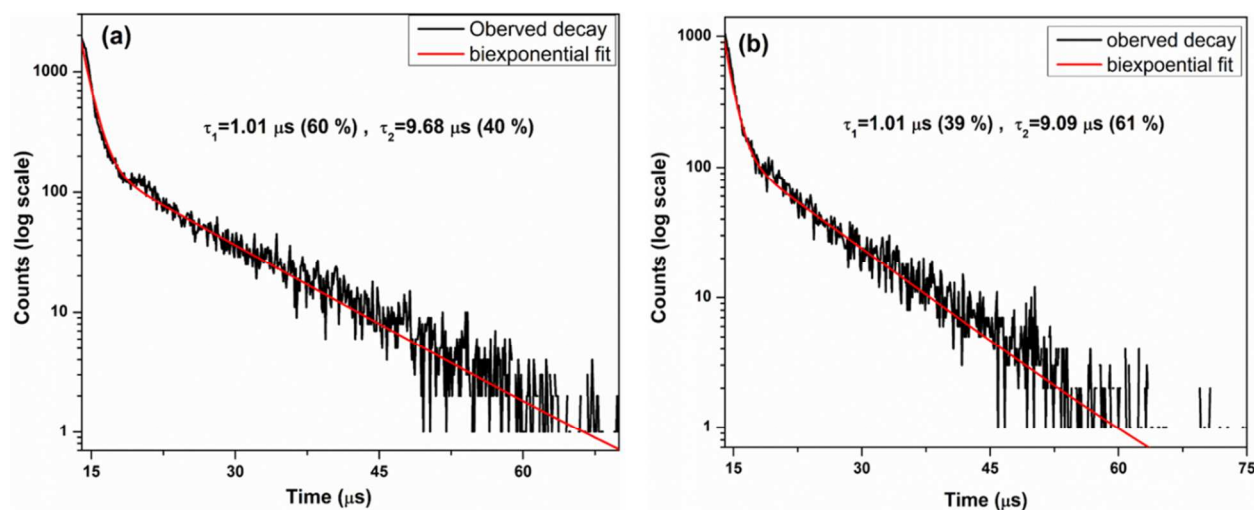
310

### 311 3.4.2 Lifetime spectroscopy

312 Investigating the local site occupied by U ions in the A<sub>2</sub>B<sub>2</sub>O<sub>7</sub> type pyrochlore structure is very  
 313 important from the point of view of nuclear waste immobilization. In ideal pyrochlore lattice,  
 314 there are two cationic sites having 16c and 16d Wyckoff positions with different coordination  
 315 numbers (CNs). In addition, there are two anionic sites at 8a and 48f Wyckoff positions. Dopant  
 316 ion occupancy on these sites depends on their ionic radii. The 16c cation site having CN of six  
 317 anions on the 48f sites and two on the 8a sites is usually occupied by large size dopant ions,  
 318 whereas the 16d cationic site having CN of six anions on the 48f sites is usually occupied by  
 319 small sized ions<sup>28</sup>.

320 As far as ionic radius is concerned, uranium in 8-coordination (100 pm) is shorter than 8-  
321 coordinated  $\text{La}^{3+}$  ion (116 pm), so it can easily reside on the lanthanum site. On the other hand,  
322 6-coordinated  $\text{Hf}^{4+}$  ion (71 pm) is smaller, and its site is difficult to accommodate large sized  
323 uranium ion (89 pm). On the other hand, for  $\text{U}^{4+}$  ion substitution,  $\text{Hf}^{4+}$  site favors charge  
324 matching whereas there is a need for charge compensation at  $\text{La}^{3+}$  site. Charge matching is  
325 energetically more favorable situation than size matching. Therefore,  $\text{U}^{4+}$  ion feels less distortion  
326 at  $\text{Hf}^{4+}$  site relative to at  $\text{La}^{3+}$  site due to its same ionic charge with  $\text{Hf}^{4+}$  ion. Therefore, room  
327 temperature luminescence decay profiles of the  $\text{La}_2\text{Hf}_2\text{O}_7:1.0\%\text{U}$  NPs were measured with  
328 emission wavelengths of 468 nm and 552 nm after excited at 230 nm (**Figure 5a and b**). The  
329 luminescence decay profile corresponding to  $\text{U}^{4+}$  ion (468 nm) displayed a biexponential  
330 behavior with two lifetime values (ESI). It indicates that  $\text{U}^{4+}$  ion is not homogeneously  
331 distributed in the  $\text{La}_2\text{Hf}_2\text{O}_7$  matrix.

332 Based on the fitting data, the decay curve shows two different lifetime values of 1.01 and 9.68  $\mu\text{s}$   
333 with magnitudes 60% and 40%, respectively. The long lifetime measured from the  
334  $\text{La}_2\text{Hf}_2\text{O}_7:1.0\%\text{U}$  NPs (9.68  $\mu\text{s}$ ) is ascribed to uranate ion localized at the  $\text{La}^{3+}$  site whereas the  
335 fast decaying uranyl ion (1.01  $\mu\text{s}$ ) is attributed to  $\text{U}^{4+}$  ion localized at  $\text{Hf}^{4+}$  site. The PL decay  
336 profile corresponding to  $\text{UO}_6^{6-}$  ion (550 nm) also displayed a biexponential behavior with  
337 lifetime values of 1.01  $\mu\text{s}$  (39%) and 9.09  $\mu\text{s}$  (61%). Here the fast decaying uranium is attributed  
338 to U(IV) ion localized at the  $\text{Hf}^{4+}$  site whereas the slow decaying uranium ion is attributed to  
339  $\text{UO}_6^{6-}$  ion at  $\text{La}^{3+}$  site. In this case, one  $\text{U}^{6+}$  ion replaces two  $\text{La}^{3+}$  sites and charge-compensating  
340 defects are cation vacancies.



341

342 **Figure 5.** Luminescence decay profiles of the  $\text{La}_2\text{Hf}_2\text{O}_7:1.0\%\text{U}$  NPs with emission wavelengths  
343 of (a) 468 nm and (b) 552 nm after excited at 230 nm.

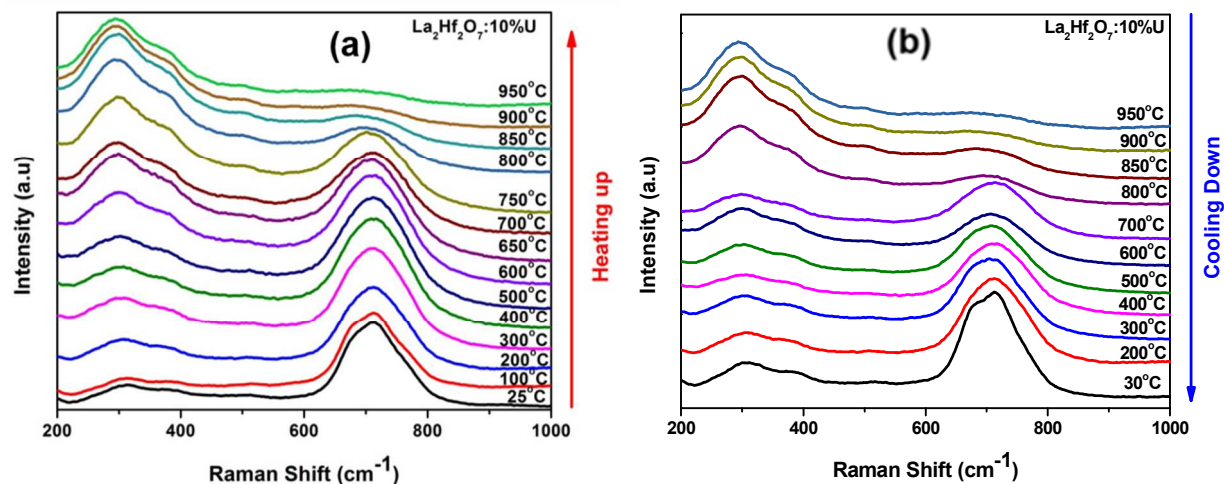
344

### 345 **3.5 Structural evolution of the $\text{La}_2\text{Hf}_2\text{O}_7:10\%\text{U}$ NPs by In-situ Raman spectroscopy**

346 To further investigate the structural evolution of our  $\text{La}_2\text{Hf}_2\text{O}_7:x\%\text{U}$  NPs, we took in-situ Raman  
347 spectra of  $\text{La}_2\text{Hf}_2\text{O}_7:10\%\text{U}$  NPs as an example with our initial effort. From the discussion above,  
348 the  $\text{La}_2\text{Hf}_2\text{O}_7:10\%\text{U}$  NPs exists in complete cotunnite structure. The average metal-oxygen bond  
349 length in the fluorite-phase is much closer to those existing in ideal pyrochlore structures, but is  
350 relatively much smaller than that in cotunnite-type  $\text{A}_2\text{B}_2\text{O}_7$  structures, suggesting that the  
351 fluorite phases have more covalent character than the cotunnite-type<sup>37</sup>. In the cotunnite-type  
352 structure though, coordination number of metal ion is generally 8 or 9-fold whereas in the  
353 fluorite-type structures metal ion coordinates with oxygen ion in 7 or 8 fold coordination. This  
354 suggest that at high uranium concentration hafnate pyrochlore has tendency to form ionic  
355 bonding with higher coordination number.

356 With increasing temperature from 25°C to 950°C (**Figure 6a**), this particular the  
357  $\text{La}_2\text{Hf}_2\text{O}_7:10\%\text{U}$  sample underwent phase transformation from cotunnite phase to ordered  
358 pyrochlore phase. At the highest reached measurement temperature, i.e. 950°C, all the Raman  
359 modes expected for ordered pyrochlore phase were found from the heated sample with the  
360 almost complete disappearance of the 715  $\text{cm}^{-1}$  peak originated from the structurally disorder  
361 cotunnite phase (top panel of **Figure 6a**) while the disordered fluorite phase was probably  
362 unseen. The complete phase transformation took place around 900°C. Even more interestingly  
363 and for the first time, we found that the phase change is reversible, i.e., the Raman band  
364 corresponding to cotunnite phase reappear after the sample was cooled down back to room  
365 temperature (**Figure 6b**). With the initial exciting Raman results collected so far, further  
366 investigations are undergoing, including in-situ Raman studies of other composition along with  
367 in-situ PL, X-ray absorption spectroscopy and neutron diffraction measurements, and will be  
368 reported separately in the near future.





369  
 370 **Figure 6.** In-situ Raman spectra of the  $\text{La}_2\text{Hf}_2\text{O}_7:10\%\text{U}$  NPs in consecutive heating and cooling  
 371 cycle.

372  
 373 **4. Conclusion**

374 In this work, uranium doped  $\text{La}_2\text{Hf}_2\text{O}_7$  nanoparticles were successfully synthesized using a  
 375 combined co-precipitation and molten salt synthesis method. XRD and FTIR demonstrated the  
 376 formation of pure  $\text{La}_2\text{Hf}_2\text{O}_7$  phase with particle size in the nanodomain as confirmed using  
 377 electron microscopy. Emission and lifetime spectroscopy further suggested the existence of  $\text{U}^{4+}$   
 378 ions along with  $\text{U}^{6+}$  ions as  $\text{UO}_6^{6-}$  species. The same has been further corroborated by more  
 379 oxidation state sensitive XPS. Luminescence lifetime measurement confirmed the stabilization of  
 380 fast decaying  $\text{U}^{4+}$  at  $\text{Hf}^{4+}$  site whereas slowly decaying  $\text{U}^{6+}$  tends to stabilize at  $\text{La}^{3+}$  site. Further  
 381 concentration dependence studies showed maximum emission output for 1.0 % uranium doped  
 382 samples and the concentration quenching in these NPs was attributed to non-radiative energy  
 383 transfer via multipolar interaction. Another interesting phenomenon was observed from the  
 384 emission spectra as well as XPS: at low uranium doping concentration, the proportion of  $\text{U}^{4+}$   
 385 was more than that of  $\text{U}^{6+}$ , whereas at high uranium doping concentration, the opposite  
 386 happened. We successfully explained this phenomenon using Raman spectroscopy, which  
 387 demonstrated a structural phase transition from order pyrochlore to cotunnite with increasing  
 388 uranium doping concentration. It was concluded that ordered pyrochlore phase favors uranium  
 389 stabilization in +4-valence state whereas disordered cotunnite phase energetically favors  
 390 octahedral uranate ions  $\text{UO}_6^{6-}$ . To further understand the structural evolution, in-situ Raman  
 391 measurements were carried out on the  $\text{La}_2\text{Hf}_2\text{O}_7:10\%\text{U}$  NPs between room temperature and

392 950°C. A reversible structural transition was found at 900°C between ordered pyrochlore phase  
393 and disorder cotunnite phase. Therefore, it is expected that this work open new research areas of  
394 fundamental solid-state spectroscopy of uranium, doping induced pyrochlore to fluorite phase  
395 transition, and structure-optical property correlation in doped  $A_2B_2O_7$  with urgent importance to  
396 nuclear power industry and sustainable environment.

397

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411

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