

1 **Teratogen Screening With Human Pluripotent Stem Cells**

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22 Abstract:

23 Birth defects are a common occurrence in the United States and worldwide. Currently,
24 evaluation of potential developmental toxicants (*i.e.*, teratogens) relies heavily on animal-based
25 models which do not always adequately mimic human development. In order to address this,
26 researchers are developing *in vitro* human-based models which utilize human pluripotent stem
27 cells (hPSCs) to assess the teratogenic potential of chemical substances. The field of human
28 developmental toxicity assays includes a variety of platforms including monolayer, micropattern,
29 embryoid body, and 3D organoid cultures. In this review, we will overview the field of human
30 teratogenic assays, detail the most recent advances, and discuss current limitations and future
31 perspectives.

32

33 **Keywords:** Development, Cell Microenvironment, Teratogens, Drug Screening Platforms,
34 Microcontact Printing, Whole Embryo Cultures

35

36 Insight, Innovation, Integration

37 Development of *in vitro* human models for embryonic toxicity assessment is imperative to
38 accurately recapitulating human development and reducing animal usage. This review addresses
39 the topic of developmental toxicity assessment through discussion of biologically relevant
40 models and endpoints (*e.g.*, gene expression, protein production, cell proliferation) as well as
41 various advances in technologies which can increase throughput and ensure accuracy,
42 consistency, and uniformity. Additionally, we review studies focusing on generating predictive
43 comparative models based on characteristic features of endpoints with compounds of varying
44 teratogenicity using large-scale screening.

45 **Introduction**

46 In the United States, approximately one in 25 infants are affected by congenital
47 abnormalities, with these birth defects accounting for roughly 20% of infant deaths annually.¹
48 The adverse effects of environmental factors account for an estimated 10 – 15% of birth defects.²
49 These environmental factors are referred to as teratogens, which are defined as any chemical,
50 drug, infection or factor that interferes with normal embryonic development, but show little or no
51 toxicity in adults. Despite the prevalence of these potentially avoidable birth defects, barriers still
52 remain in teratogen identification and assessment.

53 The Food and Drug Administration (FDA) previously categorized drugs into five
54 different categories as they relate to teratogenic potential (A, B, C, D and X) with A and B
55 indicating no or minimal risk, C signifying undetermined risk, D demonstrating small, moderate,
56 or high risk, but with potential benefits that may outweigh the risks, and X having shown
57 definitive fetal abnormalities in animal models or humans.³ This classification system was
58 discontinued in 2015 in favor of requiring changes in prescription drug labeling, wherein
59 sections on effects on pregnancy, lactation, and reproductive potential are to be added and
60 updated as information becomes available.⁴ However, pregnancy risk assessment itself is not
61 required for drug approval. This potentially results in up to 80% of approved prescriptions drugs
62 having unknown effects on pregnancy; approximately 40% of drugs were categorized as
63 Category C (undetermined risk) and an additional 40% had no category listed.³ Ultimately,
64 physicians and patients have to rely on limited safety information in order to make decisions
65 regarding medication usage during pregnancy.

66 Existing regulatory guidelines from the FDA and the Organization for Economic
67 Cooperation and Development (OECD) recommend the use of animal models for teratogen

68 testing.^{5,6} Nevertheless, animal models are both labor intensive and costly to use, thus leading
69 researchers to explore alternatives. Early efforts included developing whole embryo culture
70 (WEC) for rodents and utilizing embryos of aquatic animals such as zebrafish.⁷⁻⁹ WEC systems
71 are highly advantageous because they can be easily manipulated and assessed for specific
72 developmental and teratogenic endpoints.¹⁰ Although a considerably lower number of animals
73 are required, the system still requires the use of rodents.¹⁰ Similarly, the zebrafish embryo model
74 has been extensively used as zebrafish are easy to raise and maintain, imaging-compatible due to
75 their transparency, and straightforward to genetically modify.^{9,11,12} While these systems are an
76 improvement over previous animal models, moving toward human *in vitro* models would likely
77 allow for more representative developmental outcomes. Currently, human cell-based assays are
78 being developed and optimized in order to move away from these animal models. The use of
79 human pluripotent stem cells (hPSCs) has allowed for innovation in the area of toxicity assays,
80 resulting in more accurate high-throughput assays.

81 In this review, we will provide an overview of recent and influential studies focusing on
82 human-based *in vitro* models for assessing developmental toxicity. There are a variety of
83 platforms currently being evaluated, ranging from human embryonic stem cell (hESC)
84 monolayer to micropattern cultures to embryoid bodies to 3D organoids (**Figure 1**). We will
85 introduce the field of human teratogenic assays, explore the most recent advances, and discuss
86 current limitations.

87

88 **2. Stem Cell Monolayer-based Toxicity Assays**

89 Stem cells possess unique properties that qualify them for self-renewal, proliferation,
90 migration, and differentiation in a progressive sequence of states. Failure to follow these

91 sequences due to exposure to pharmaceutical drugs, toxicants, and chemical compounds can
92 severely affect the formation of a complex organism, leading to birth defects. Recent advances in
93 drug screening and discovery consist of high-throughput screening (HTS) of chemical libraries
94 using human *in vitro* models such as hESCs and induced pluripotent stem cells (iPSCs).¹³⁻¹⁶ In
95 this section, several seminal studies on culturing monolayers of stem cells as tools to assess
96 toxicity at various stages in development including stem cell renewal, germ layer differentiation,
97 and terminal differentiation will be described in detail.

98 **2.1 Screening Assays that Detect hESCs Self-renewal and Pluripotency**

99 Some studies have demonstrated the impact of adapting hESCs to HTS assays by
100 focusing on germ layer differentiation. For example, Desbordes *et al.* introduced the first HTS
101 study that identified marketed drugs and natural compounds which promoted short-term self-
102 renewal and directed early lineage differentiation of hESCs.¹⁷ In their study, H1 and H9 cells
103 were plated onto Matrigel-coated 384-well plates at high densities, and exposed to 2,880
104 compounds for over a week.¹⁷ In addition, they performed immunocytochemistry for important
105 pluripotency markers (Oct4, Nanog, and Sox2) and differentiation markers (Pax6 for
106 neuroectoderm; SMA for mesoderm; Sox17 for endoderm differentiation).¹⁷ Several compounds
107 such as theanine, sinomenine, gatifloxacin, and flurbiprofen were found to significantly promote
108 hESCs self-renewal in a dose-dependent manner (0 – 100 μ M).¹⁷ Conversely, exposure to
109 chemicals such as tretinoin, selegiline, cymarin, and sarmentogenin (0 – 100 μ M) significantly
110 upregulated genes that drove hESC differentiation.¹⁷

111 HTS assays on hESCs have also detected changes in cell survival, pluripotency, and
112 proliferation as well as the morphology of stem cell colonies. For instance, Barbaric *et al.*
113 developed an image-based, high-content phenotype screening assay that measured the percentage

114 of cells within a colony that express TRA-1-60, a pluripotency marker that is downregulated
115 during differentiation.¹⁸ The assay was used to screen 1,040 diverse compounds (960 compounds
116 from marketed drugs and 80 compounds from a kinase library). Shef4 hESCs were plated in 96-
117 well plates, and treated with compounds for 5 days before the high-content screen.¹⁸ The cells
118 were analyzed for TRA-1-60 expression as well as the number of cells, colony geometry and
119 intensity of nuclear staining.¹⁸ The results showed that steroids (*e.g.*, betamethasone,
120 dexamethasone, prednisolone, and 6- α methylprednisolone) at high dosages (100 μ M)
121 significantly promoted hESC differentiation with high expression levels of mesoderm and
122 trophoblast markers, while reducing TRA-1-60, SSEA3, and Oct4 expression levels.¹⁸ An
123 increased survival rate of different hESC cell lines (H7S14 and Shef4 to Sheft7) was attributed to
124 regulation of activities of various kinases through treatment with 25 μ M of Y-27362, HA1077,
125 HA1004, or H-89, or through treatment with 100 μ M pinacidil, an ATP-sensitive potassium
126 channel agonist.¹⁸ One of the strengths of this assay was its potential to assess several effects of a
127 compound on the phenotype of hESCs colonies in an automated and reproducible manner.

128 **2.2 Screening Platforms to Detect Terminal Differentiation of hESCs**

129 Other screening methods have focused on detecting substances that affect specific
130 differentiation such as cardiovascular differentiation. Many studies use hPSCs-derived
131 cardiomyocytes for toxicity screening,^{19,20} but only a few have looked into the possible effects of
132 chemical substances on cardiac malformations of an embryo. Kameoka *et al.* developed a
133 teratogenic assay utilizing 3-day directed differentiation of hESC monolayers with reduction in
134 nuclear translocation of SOX17 as an endpoint.⁶ This rapid differentiation assay allowed for a
135 HTS with 15 environmental toxicants and 71 synthesized drugs from Hoffmann-La Roche.⁶ The
136 assay was able to predict the risk of teratogenicity of the compounds tested with high accuracy

137 values indicating sensitivity and specificity.⁶ Despite the potential of this assay, additional work
138 will be required to enhance the throughput by automating image analysis.

139 Teratogen screening for neural development is another important field of research, as the
140 developing central nervous system is one of the most susceptible targets of toxicity due to the
141 complex and highly orchestrated nature of its development.²¹⁻²³ For example, a study by
142 Stummann *et al.* found that methylmercury downregulated genes associated with neuronal
143 precursor formation, but showed no effects on markers associated with later stages of neuronal
144 differentiation.²⁴ Interestingly, Colleoni *et al.* designed an *in vitro* neuronal teratogenicity test by
145 inducing hESC embryoid bodies into neuronal rosettes on 2D Matrigel-coated plates to model
146 neural plate and tube development.²⁵ Neural rosettes are structures with similar properties to the
147 neural plate and can recapitulate the formation and closure of the neural tube as well as the
148 emergence of the neural crest.^{26,27} Human ESCs were exposed to different concentrations (2 nM
149 - 2 μ M) of retinoic acid (RA) during neuronal rosette formation for one week, then evaluated via
150 changes in morphology and gene expression of genes relevant to neural development such as
151 homeobox family genes (e.g., HoxA1, HoxA3, HoxB1, and HoxB4) as well as FoxA2, FoxC1
152 and Otx2. The authors found that these neuronal rosettes responded to RA in a similar
153 concentration-dependent manner as those in the developing neural tube *in vivo*.²⁵ Although only
154 one teratogen was explored, this neural rosette model has the potential to be used for teratogenic
155 screening specific to neural development at a large scale for other pharmacological treatments.

156 Besides genomics, metabolomics of hESCs have also been evaluated as possible
157 endpoints for teratogenicity. West *et al.* dosed WA09 cells with 26 drugs of known
158 teratogenicity, and performed mass spectrometry analysis to measure changes in abundance
159 levels of small molecules in response to drug dosing.²⁸ With statistical analyses, the authors were

160 able to select specific mass features as a predictive biomarker for teratogenicity. They showed a
161 correlation between teratogenicity and changes in the ratio of the metabolite arginine to
162 asymmetric dimethylarginine levels.²⁸ This finding was further examined with two blinded
163 studies. Based on these promising results, the authors proposed that combining hESCs culture
164 and metabolomics can be used as a reliable and predictive model for detecting toxins during
165 development.²⁸

166 Despite the use of *in vitro* human models with similar properties to *in vivo* conditions,
167 several limitations exist with current screening platforms. The limited number of compounds
168 tested do not definitively demonstrate the high-throughput or toxicity prediction capabilities of
169 these platforms. HTS platforms are a valuable tool, but presently only recapitulate individual
170 complex stem cell organization events which do not reflect *in vivo* developmental processes that
171 occur simultaneously. Additionally, the development of new screening assays using hPSCs is
172 challenging due to difficulties in plating, survival, and maintenance of pluripotency of these cells
173 on 2D substrates. Finally, validation of screening results with established assays, such as those
174 done with animal models, is urgently needed to determine consistencies and differences in order
175 to further enhance our understanding in the field.

176

177 **3. Teratogen Assays Using hESC Derived Embryoid Bodies**

178 In addition to monolayer based hESC culture, hESC models for tetragon screening have
179 also utilized embryoid bodies (EBs). EBs are a useful model due to their ability to differentiate
180 into the three germ layers spontaneously.²⁹ Additionally, the advent of technologies allowing for
181 consistency in larger-scale production³⁰ increases their suitability as a platform for teratogen
182 evaluation. There are several different methods for EB formation, including but not limited to:

183 suspension culture, hanging drop culture, and methylcellulose culture.³⁰ Suspension culture
184 methods in low-adherence vessels (*e.g.*, round-bottom 96-well plates) have been utilized to
185 create EBs of consistent size, which is essential for high-throughput applications.^{30,31}

186 **3.1 Embryoid Body Models for Developmental Toxicity**

187 Past works have used hESC derived EBs in conjunction with known-teratogens as a
188 model for developing and evaluating toxicity endpoints. Key gene expression markers were
189 identified using two well-known developmental toxicants, 5-Fluorouracil (0 - 25 μ M) and RA (0
190 - 300 μ M).³² Several of these crucial marker genes included genes for early differentiation
191 (Oct4, hTert, and Dusp6) and cardiac differentiation (GATA-4 and Brachyury).³² Another study
192 demonstrated that toxic insults could be observed in EBs as early as seven days following
193 treatment with busulfan (IC₅₀ = 0.38 μ g/mL) or hydroxyurea (IC₅₀ = 2.33 μ g/mL), two strongly
194 embryo-toxic compounds.³³ Similar responses were observed among weakly teratogenic
195 compounds, but only at much higher doses (caffeine: IC₅₀ = 81.6 μ g/mL; indomethacin: IC₅₀ =
196 38.9 μ g/mL).³³ Subsequent analysis of gene expression patterns indicated significant down-
197 regulation of markers representative for all three germ layers following exposure to both weakly
198 and strongly embryo-toxic compounds.³³ This assay was also used as a model to study the
199 teratogenic effects of arsenic.³⁴ Following arsenic treatment (IC₅₀ = 5.99x10³ μ g/mL) a
200 considerable number of genes representing the three germ layers were found to be significantly
201 downregulated.³⁴ In addition, genes associated with cell cycle regulation experienced a
202 significant decrease while apoptotic gene expression increased.³⁴ Recent efforts by Flamier *et al.*
203 have resulted in a standardized human EB culture system through magnetic bead selection of
204 highly pluripotent hESCs and aggregation of hESCs into uniformly sized EBs.³⁵ To evaluate the
205 sensitivity and accuracy of their EB systems, the authors studied three different compounds of

206 varying teratogenicity: caffeine (a weak teratogen, 0.26 mM), penicillin-G (a moderate teratogen,
207 2.8 mM) and valproic acid (VPA, a strong teratogen, 1 mM) in three culture conditions (single
208 EBs, pools of EBs, and EBs on Matrigel).³⁵ Both single EBs and pools of EBs, but not EBs on
209 Matrigel, were able to distinguish between the compounds, demonstrating key differences in EB
210 growth and shape in different compounds. For the pools of EBs, differences in mesoderm and
211 ectoderm gene expression were obtained between compounds.³⁵ EBs have shown the capacity to
212 be a useful tool for evaluating early embryonic toxicity and standardization of EB platforms
213 would allow for high-throughput assays to be developed. However, current endpoints are often
214 limited to a handful of pluripotency and developmental genes which restricts the ability to create
215 predictive models.

216 **3.2 Advancing Endpoints Using –Omic Based Approaches**

217 In order to improve the accuracy and predictivity of teratogenic assays, -omic based
218 approaches have been explored as alternative methodologies in developing more precise
219 endpoints. The rationale of these approaches stems from the fact that thousands of genes are
220 distinctly expressed over the course of differentiation.³⁶ The -omic based approaches allow for
221 more complete detection of mRNA or protein markers which are expressed at a select period in
222 time.³⁶ Transcriptomic approaches have been used to assess specific transcriptional responses to
223 various teratogens in differentiating hESCs. Low concentrations (1 nM) of cytosine arabinoside
224 (Ara-C) were found to induce ectodermal markers in EBs, while mesodermal markers were
225 inhibited.³⁶ Subsequent gene ontology indicated these changes potentially led to the
226 dysregulation of processes related to neuronal differentiation, mesoderm development, and
227 axonal guidance.³⁶ A similar approach by Mayshar *et al.* showed that ethanol exposure (0.5%)
228 led to an increase in endodermal differentiation markers.³⁷ Additionally, RA (1 μ M) led to

229 misregulation in the neural development pathway, while thalidomide (10 $\mu\text{g}/\text{mL}$) had an adverse
230 effect on both the aforementioned processes.³⁷ Combinatorial -omic approaches have also been
231 trialed with success. Meganathan *et al.* utilized both transcriptomic and proteomic approaches to
232 study the effects of thalidomide (0.01 mM - 70 mM) on EBs.³⁸ Proteomic analysis of
233 thalidomide treated 14 day EBs indicated a loss of POU5F1 regulatory proteins and an
234 overexpression of proteins involved in neuronal development.³⁸ The use of -omic approaches
235 has allowed for a more complete look into the influence of teratogens on both gene and protein
236 expression in EB assays. Future studies will benefit from data sets that offer a broad spectrum of
237 assessment, allowing for optimization and standardization of prospective teratogenic assays.

238

239 **4. Geometric Confinements for Teratogen Screening**

240 Engineered micropatterns, or microscale geometric confinements, are highly efficient
241 biomedical tools to control and reproduce complex and dynamic *in vitro* cell niches to
242 understand fundamentals of cell proliferation, migration, differentiation, and tissue-like
243 morphogenesis.^{31,39} Micropatterns are usually fabricated using photolithography.⁴⁰
244 Polydimethylsiloxane (PDMS) stamps are then prepared, which consist of patterns of different
245 geometries.⁴⁰ These geometries are transferred to 2D substrates for cell attachments, allowing for
246 tight control of cell-cell and cell-extracellular matrix interactions and better recapitulation of *in*
247 *vivo* development.^{40,41}

248 Specific lineage differentiation has been the endpoint of several micro-contact printing
249 screening assays. For instance, Nazareth *et al.* developed a high-throughput assay that patterned
250 hPSCs colonies into standard 96-well plates to characterize single-cell protein expression.⁴² The
251 use of a micro-contact printing (μCP) system allows for the control of the size, shape, and

252 spacing of heterogeneous colonies of hPSCs. Immunofluorescence co-staining of Oct4 and Sox2
253 in the cells permitted discrimination of several stem cell fates. Through the screening of 27
254 developmental signaling factors, several signaling inhibitors were identified for pluripotency
255 (*e.g.*, TGF β 1, FGF2, IGF1, Noggin), neuroectoderm (*e.g.*, SB431542, PD0325901, LDN-
256 193189), primitive streak formation (*e.g.*, Activin A, and BMP4), and extraembryonic cells (*e.g.*,
257 BMP4, CHIR99021, SB203580).⁴² This approach allowed for exploration of multiple
258 developmental events within complex stem cell microenvironments in a comprehensive manner.
259 A follow-up study from the same group used the μ CP system to measure the effects of 400
260 small-molecule kinase inhibitors on hPSC fates.⁴³ Their results suggested that mammalian target
261 of rapamycin (mTOR) inhibitors had a strong effect on inducing the mesendoderm
262 differentiation of hPSCs alone, and therefore enhancing the formation of blood progenitor cells.⁴³
263 In this study the yield and purity output in terms of mesendoderm differentiation of hPSCs were
264 determined for each of the inhibitors at various concentrations.⁴³ At high mTOR concentrations
265 (1 μ M), low levels of pluripotency and definitive endoderm markers were reported, while
266 mesendoderm differentiation markers were significantly higher by almost 2-fold. The μ CP
267 system enabled rapid responses within a robust high-throughput screening, which reasonably
268 discriminated complex and highly regulated early developmental processes.

269 Xing and colleagues used a similar method for human teratogen detection by patterning
270 hPSCs onto circular Matrigel islands (diameter of 1 mm) with a PDMS stencil.⁴⁴ The cells were
271 induced with BMP4, Activin A, and FGF2 to direct mesoendoderm differentiation, transition
272 into epithelial-mesenchymal cells, and prompt migration on the micropatterned geometries via
273 the micropatterned human pluripotent stem cell test (μ P-hPST).⁴⁴ In this study, a few known
274 teratogens and non-teratogens were tested and classified based on their ability to disrupt the

275 differentiation of the mesoendoderm layer.⁴⁴ The results from this patterned screening platform
276 were compared with *in vivo* animal and human data as well as the mouse embryonic stem cell
277 test (mEST).⁴⁴ One of the highlights of this study was its sensitivity to morphological changes in
278 the patterned hPSCs in response to the dosage-dependency of known teratogens. A follow up
279 study from the same group used the same μ P-hPST platform to expose hPSCs and adult dermal
280 fibroblast cells to 30 pharmaceutical compounds to determine their effects on mesoendoderm
281 differentiation.⁴⁵ In this study, authors simplified the method of classification via a two-step
282 teratogen classification assay, and results were within the FDA guidelines for pregnancy
283 classification of drugs.⁴⁵ Their screening results were compared to *in vivo* teratogenicity results,
284 and generated 97% accuracy to classify the compounds, with 100% specificity and 93%
285 sensitivity.⁴⁵ Unlike the previously mentioned patterned platforms, this μ P-hPST assay allowed
286 for spatial and temporal control of the mesoendoderm formation process despite the low number
287 of compounds so far examined and classified.

288 These micropatterned screens allowed for rapid and robust responses compared to non-
289 patterned-based screening platforms since these introduced not only exogenous regulators, but
290 also endogenous physical cues into the system. Thus, complex microenvironment events such as
291 specific lineage differentiation and control over heterogeneous populations of hPSC colonies
292 were observed and quantified at the microscale level. However, a plethora of new studies are
293 urgently needed to overcome the limitations of these systems such as the inability to control and
294 measure the effects of chemical gradients onto patterned cells. Other micropatterned platforms
295 designed to recapitulate specific developmental events have the potential to further study the
296 effects of teratogens on hPSCs. For instance, some of these platforms have mimicked the
297 formation of the primitive streak and differentiation of the germ layers. Warmflash *et al.*

298 introduced a method to culture hESCs on circular geometries (1000 μm in diameter), which
299 spatially patterned differentiated cells in a self-organized manner under prolonged BMP4
300 treatments.⁴⁶ This method not only allowed observation of the different germ layers, but also a
301 trophoblast-like cell layer, similarly to the outermost layer in the embryo.⁴⁶ A followed-up study
302 used the same circular micropatterns as Warmflash and colleagues to generate regionalized cell
303 identities that collectively contributed to the process of gastrulation.⁴⁷ In this study, mESCs were
304 patterned onto circular islands (1000 μm in diameter), and supplemented with various signaling
305 factors (e.g., FGF, Activin A, BMP4, and Wnt3a) to induce different cell fates and
306 developmental regions (e.g., anterior- and posterior-epiblast, primitive streak, definitive
307 endoderm, extraembryonic mesoderm and embryonic mesoderm).⁴⁷ Both micropatterned
308 platforms have the potential to study the effects of teratogens with a robust and quantitative
309 micropatterning system that recapitulated several developmental events and regions at the
310 microscale level.

311

312 **5. Developmentally Relevant 3D Organoid and Embryo Based Toxicity Assays**

313 The use of 2D and various micro-technologies has allowed for great insights into the field
314 of embryogenesis and specifically for the creation of assays to evaluate the toxicity of
315 compounds. However, these systems often do not accurately reflect conditions *in vivo*. It is
316 difficult to precisely model human development in 3D in a human-specific and ethical manner,
317 which has led to the creation of 3D organ-specific models, referred to as organoids. There are
318 several comprehensive reviews available which summarize the wide variety of organoid systems
319 available and their current uses in the field.⁴⁸⁻⁵⁰ The majority of organoid systems focus on
320 evaluating toxicity as it relates to a single adult organ tissue⁴⁸ or more recently several organ

321 tissues,^{51,52} but assessing teratogenic effects will require a developmentally relevant model that
322 focuses on tissue formation. Here we will concentrate on the use of 3D organoid systems that
323 have shown or show the capacity to be utilized in teratogenic screening, but we will also touch
324 on recent advances in embryo culture, specifically advances in human embryo culture and the
325 development of artificial embryos.

326 **5.1. Neural Organoids in Evaluating Developmental Toxicity**

327 Defects in the central nervous system are common birth defects.⁵³ The recent Zika virus
328 outbreak has spurred research in the field of neural organoids,⁵⁴ due to the increase in
329 microcephaly in the infants of exposed mothers.⁵⁵ Several works utilize neural organoids to
330 examine the effect of the Zika virus on neural development through the evaluation of organoid
331 size,⁵⁶⁻⁵⁸ apoptosis markers,⁵⁶⁻⁵⁸ and relevant immunostaining,^{56,58} as well as transcriptional
332 analysis to evaluate the similarity of the organoids to *in vivo* neural tissue.^{56,58} Additionally,
333 human iPSCs were shown to be able to generate other types of neural organoids such as motor
334 and glutamatergic neuron-specific organoids.⁵⁹ Current limitations primarily arise from
335 inconsistencies in the organoids themselves and a lack of standards for evaluation. Schwartz *et*
336 *al.* addresses consistency in neural organoids through an innovative system that combines a
337 polyethylene glycol (PEG) hydrogel platform with developmentally-relevant timed addition of
338 hESC derived cell types important to neural development.⁶⁰ More recently, work by the same
339 group further demonstrated the reproducibility of these neural organoids over the course of 47
340 days of culture.⁶¹ These constructs initially consist of PEG hydrogels seeded with neural
341 progenitor cells which are allowed to grow for several days.^{60,61} Vascular cells are then added at
342 day 9 and microglia and macrophage precursors added at day 13 to mimic recruitment of blood
343 vessels and microglia.^{60,61} This method led to self-assembly into 3D neural constructs which

344 showed distinct similarities to *in vivo* neural development.^{60,61} Ultimately, the goal was to create
345 consistent organoids in order to assess the neural organoids' potential as a toxicity assay.⁶⁰ Two
346 hundred and forty constructs were treated with 34 toxic and 26 nontoxic compounds and then
347 assessed using RNA sequencing.⁶⁰ This data was then used to create a predictive model and
348 tested in an unbiased blinded trial of ten chemicals.⁶⁰ The predictive model was able to correctly
349 classify nine out of the ten compounds, with one being a false positive.⁶⁰ This work illustrates the
350 feasibility of creating predictive models for evaluating neural toxicity. However, additional
351 work; for example, including a larger number of compounds to add to the predictive capacity,
352 will need to be done to optimization future predictive models.⁶⁰ Creating standards is important
353 for producing viable neural toxic assays, but it is equally important to continue to validate the
354 constructs' ability to recapitulate neural development and function.

355 **5.2. Heart, Kidney and Retinal Organoid Platforms for Teratogen Assays**

356 Neural organoids have seen the most recent advancement with regards to becoming
357 developmentally relevant, however there has also been progress in heart, kidney and retinal
358 organoids. Mills *et al.* derived cardiomyocytes from hPSCs and, utilizing photolithography and
359 PDMS, fabricated a 96-well functional screening device for cardiac organoids.⁶² They were able
360 to condense cardiac cells into 1 mm in length cardiac organoids between two elastic posts.⁶² This
361 platform allowed for the high-throughput screening of these organoids for evaluation of
362 contractile forces and for endpoint whole-mount immunostaining to visualize markers of interest
363 (e.g. MLC2v, Ki-67, and α -actinin).⁶² They discovered through use of this screening method that
364 mimicking the *in vivo* metabolic switch to fatty acid oxidation using palmitate treatment
365 facilitated metabolic, transcriptional, and cell cycle maturation and thus maturation of the cardiac
366 organoids.⁶² Similar work by Devarasetty *et al.* utilized cardiac organoids to examine the

367 influence of various drugs (*i.e.* 100 μ M isoproterenol, 500 nM epinephrine, 1 μ M quinidine, 100
368 nM astemizole, and 100 nM ricin A) on beat rate.⁶³ The prevalence of birth defects that are heart-
369 related⁵³ makes having platforms that are able to examine function and relevant protein
370 expression especially important for evaluating developmental toxicity.

371 While not as prevalent as neural and heart defects, birth defects in other organs and organ
372 systems do occur and platforms to test teratogenic effects will need to be developed. Currently,
373 there are a few organoid systems which are developmentally relevant and could be used to
374 analyze potential teratogenic compounds. Morizane *et al.* derived nephron progenitor cells
375 (NPCs) from hPSCs using a chemically defined differentiation protocol that mimicked *in vivo*
376 metanephric kidney development.⁶⁴ Spontaneous morphogenesis of 2D cultured NPCs into 3D
377 PAX8⁺LHX1⁺ nephron structures occurred with low frequency, so the authors evaluated which
378 stage of NPC differentiation, when re-plated in 3D suspension culture, would result in the
379 greatest formation of kidney organoids.⁶⁴ 3D suspension culture of cells, cultured in 2D until day
380 9, resulted in organoids with nephron-like structures which exhibited features characteristic of
381 the *in vivo* nephron.⁶⁴ Additionally, NPC markers were absent from the kidney organoids after
382 day 21 of culture, indicating mature structures.⁶⁴ Disruption of kidney organoid structure was
383 examined both for addition of the Notch signaling inhibitor, DAPT (10 μ M), during organoid
384 differentiation and for a common toxicant, gentamicin (5 mg/mL), after 21 days, resulting in
385 suppression of proximal tubule formation and KIM-1 expression, a biomarker for proximal
386 tubule injury, respectively.⁶⁴ These experiments illustrate how this kidney organoid model can be
387 used for evaluation of toxicants on both kidney maturation and adult kidney function. Efficient
388 retinogenesis has also recently been achieved through the differentiation of both mouse and
389 human PSCs into retinal organoids.⁶⁵ Völkner *et al.* examined the gene expression of individual

390 organoids showing reproducible generation of retinal organoids.⁶⁵ Additionally, they were able
391 to induce enrichment of cone or rod photoreceptors based on the timing of Notch signaling
392 inhibition.⁶⁵ These results indicate significant potential for the evaluation of teratogens in
393 relation to eye defects.

394 The use of organoids to evaluate developmental toxicants specifically is a relatively new
395 area, but progress is being made to increase consistency, standardize protocols, and ensure
396 developmental relevance. 3D organoid culture systems allow for the evaluation of later stages of
397 development permitting researches to better mimic organ development. Future works will likely
398 continue to elucidate the accuracy of these organoid systems in mimicking organ development,
399 increase consistency in organoid formation, standardize for high-throughput applications and
400 start to incorporate multiple organoids in evaluations.

401 **5.3. Advances in Embryo Culture and Artificial Embryos**

402 The study of teratogenic compounds is typically thought of in terms of the effects on
403 organ systems, however the most detrimental effects are often seen when exposure occurs during
404 the first trimester. A system that can be utilized to study early embryogenesis is imperative in
405 this situation. As discussed previously, EBs are currently used to study germ layer formation,
406 however they do not adequately replicate all early embryonic events. Recent ground-breaking
407 work by Shahbazi *et al.* has shown the capability to culture human embryos up to the 14-day
408 guideline.⁶⁶ Human amniogenesis was first observed with hPSCs in 3D biomaterial.⁶⁷ While
409 exciting for the field, it does bring up ethical questions regarding the use of human embryos.
410 Doing toxicity studies with human embryos would often require a large number of embryos to be
411 used and the current 14-day rule would not allow for long-term study. However, recent work by
412 Harrison *et al.* could potentially alleviate some of these problems, while the current study uses

413 mouse embryonic stem cells and extraembryonic cells,⁶⁸ it seems likely future work will examine
414 the feasibility of using human lineage-based cells. The authors were able to combine mESCs and
415 extraembryonic trophoblast stem cells (TSCs) in a 3D Matrigel scaffold to create artificial
416 embryos (ETS-embryos) that mimic mouse embryos.⁶⁸ They were able to observe the formation
417 of the pro-amniotic cavity as well as characteristic embryo architecture, correct patterning of the
418 embryonic compartment and specification of a small cluster of primordial germ-like cells at the
419 embryonic and extraembryonic boundary.⁶⁸ Artificial embryos are an exciting new area that
420 would allow for increased embryo uniformity, since the genetic material would be the same, and
421 have the potential to create a large number of embryos for study at the same time. Both of these
422 features would be useful for high-throughput assays. However, there are ethical concerns
423 regarding transitioning from mouse to human stem cell types since it will be difficult to ascertain
424 if artificial embryos will be viable. Limitations for this method are currently related to the yield
425 of ETS-embryos, with only 22% of structures containing both ESCs and TSCs, however they
426 attained 88 useable ETS-embryos.⁶⁸ Mouse artificial ETS-embryos show great potential for
427 investigating the effects of teratogenic compounds on early embryogenesis. Further work in the
428 field will be necessary to address limitations and produce feasible assays for studying possible
429 teratogens.

430

431 **Conclusions**

432 Teratogen evaluation still relies primarily on the use of *in vivo* animal-based assays
433 which are limited in their capacity to mimic human development. Recently there have been
434 advances in human *in vitro* models that can recapitulate development at different stages. Human
435 embryonic stem cell monolayer culture, micropatterning and embryoid body cultures were some

436 of the first teratogenic assays to be developed. These assays allowed scientists to revolutionize
437 the field permitting the evaluation of self-renewal, pluripotency, proliferation, migration and
438 terminal differentiation in a human model. However, these models typically replicate only early
439 embryogenesis, so therefore the most recent work has focused on simulating organ development
440 through the use of organoid cultures. Currently the use of organoid cultures for teratogen
441 evaluation is limited, but advances in mimicking the organ development of the brain, kidneys,
442 heart and eyes indicate their use is not far off. Additionally, advances in human embryo culture
443 and the advent of artificial embryos may allow for a model which more exactly mimics *in vivo*
444 development, but their use is not without ethical concern. While human teratogen assays have
445 come a long way, there is still significant room for improvement. Ultimately, the goal of these
446 models is to create an assay which is accurate, high-throughput, consistent, and uniform. It is
447 unlikely that a single assay alone will ever allow for the complete evaluation of a compound's
448 teratogenic capacity, but improving and developing assays which look at a variety of stages in
449 development is paramount to accurate classification.

450

451 **Conflicts of Interest**

452 There are no conflicts to declare.

453

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655

656 **Figure caption:**

657 **Figure 1.** Schematics of culture techniques used for developmental toxicity screening. A)

658 Monolayer culture of human pluripotent stem cells (hPSCs) commonly performed in multi-well

659 plates. B) Microcontact printing paired with immunostaining to visualize hPSC differentiation.

660 C) Embryoid body culture. D) Organoid culture allowing for distinct structural cell

661 arrangements. E) Artificial embryo displaying proper cell localization and organization. F) Table

662 compares the above culture techniques; X to XXX– defines low to high ability for throughput or

663 low to high level of *in vivo* relevance; check mark (✓) indicates the ability to measure the

664 condition directly. Graphics drawn not to scale. N/A: no data available.

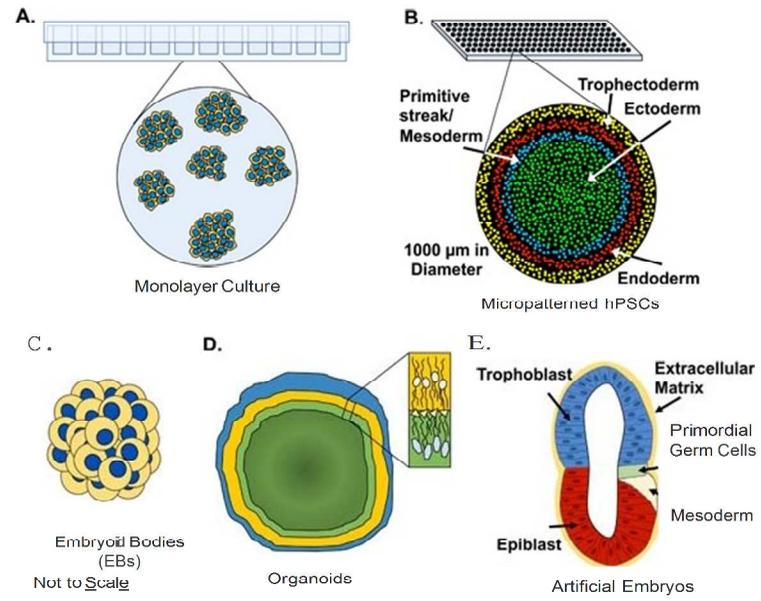
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667 **Table of Contents Entry**

668 Novel human pluripotent stem cell based assays for developmental toxicity screening

669



F. Comparisons of different culture techniques for teratogen screening

| | Throughput | Proliferation | Differentiation | <i>In Vivo</i> Relevance |
|--------------------|------------|---------------|-----------------|--------------------------|
| Monolayer | X X X | | | X |
| Micropatterns | X X X | | | X |
| EBs | X X X | Size | | X |
| Organoids | X | Size | Structure | X X X - later stages |
| Artificial Embryos | X | N/A | Structure | X X X - early stages |