

### Unlocking the photobiological conversion of CO<sub>2</sub> to (*R*)-3hydroxybutyrate in cyanobacteria

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Complete List of Authors:	Wang, Bo; Arizona State University; National Renewable Energy Laboratory, Biosciences Center Xiong, Wei; National Renewable Energy Laboratory, Biosciences Center Yu, Jianping; DOE National Renewable Energy Laboratory, Maness, Pinching; NREL, Meldrum, Deirdre; Arizona State University, Biodesign Institute, Center for Biosignatures Discovery Automation

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#### Unlocking the photobiological conversion of $CO_2$ to (R)-3-1

# hydroxybutyrate in cyanobacteria Bo Wang <sup>1,2,‡,\*</sup>, Wei Xiong <sup>2,‡</sup>, Jianping Yu <sup>2</sup>, Pin-Ching Maness <sup>2</sup>, Deirdre R. Meldrum <sup>1,\*</sup> 3 <sup>1</sup> Center for Biosignatures Discovery Automation, The Biodesign Institute, Arizona State University, 4 Tempe, AZ 85287-6501, USA. 5 <sup>2</sup> Biosciences Center, National Renewable Energy Laboratory, 15013 Denver West Parkway, Golden, CO 6 7 80401, USA. <sup>‡</sup> Co-first authors. 8 \* Corresponding authors: <a href="mailto:bo.wang@nrel.gov">bo.wang@nrel.gov</a> (B. Wang); <a href="mailto:deirdre.meldrum@asu.edu">deirdre.meldrum@asu.edu</a> (D.R. Meldrum) 9 10 11 12 13 14 15

### 16 Abstract

Escalating concerns about CO<sub>2</sub> emission from fossil fuels utilization and environmental pollution 17 from fossil-derived plastic waste call for the sustainable production and utilization of renewable 18 19 biodegradable plastic materials. Polyhydroxyalkanoates (PHAs) are biodegradable and biocompatible thermoplastics with thermal and mechanical properties comparable to 20 conventional plastics; thus, they are promising materials to mitigate environmental pollution. 21 (R)-3-Hydroxybutyrate (3HB), which is the most common building-block for PHAs, has the 22 potential to be utilized in various medical applications and can also serve as a precursor to a 23 variety of value-added stereospecific chemicals. In addition, it can be produced by 24 25 microorganisms, such as engineered cyanobacteria, from inexpensive renewable resources such as waste  $CO_2$ . However, higher titer and rate of (R)-3HBproduction by cyanobacteria 26 beyond that found in the current literature are critical for commercial applications. Herein, we 27 employed a facile strategy to identify the rate-limiting step in photoautotrophic production of 28 (R)-3HB by the cyanobacterium Synechocystis and found that acetoacetyl-CoA reductase 29 activity is the bottleneck in the process. Optimization of the gene's ribosome binding site led to 30 a 2.2-fold increase in enzyme activity. In the engineered organism, the (R)-3HB titer reached 31 1.84 g L<sup>-1</sup> within 10 days, with peak productivity of 263 mg L<sup>-1</sup> day<sup>-1</sup>, using CO<sub>2</sub> and light as the 32 sole carbon and energy sources. Moreover, dramatic changes in carbon partition were 33 discovered in the (*R*)-3HB-producing cells along the course of cultivation using  $^{13}$ C-metabolic 34 flux analysis; after the rapid growth phase, a majority of carbon flux was redirected from the 35 cell mass formation to the production of (R)-3HB in the engineered Synechocystis under the 36

37 examined experimental conditions.

38

### 39 Introduction

Conventional petroleum-derived plastics have become one of the most popular materials in 40 modern society, with applications across domestic, medical and industrial domains. However, 41 their various "single-use" or "short-life" applications and the concomitant plastic waste 42 43 generated are causing escalating environmental problems due to their extremely slow degradation rates.<sup>1, 2</sup> Polyhydroxyalkanoates (PHAs) are biodegradable and biocompatible 44 thermoplastics that show thermal and mechanical properties comparable to conventional 45 plastics.<sup>1-3</sup> Therefore, PHAs have huge potential market in "short-life" applications, such as 46 medical devices and food packaging.<sup>2, 4-8</sup> In nature, production of PHAs occurs in a variety of 47 microorganisms as a means for carbon and energy storage under nutrient-unbalanced growth 48 conditions.<sup>9</sup> Nevertheless, many efforts towards engineering microorganisms to synthesize 49 PHAs in recent years demonstrate the difficulty in controlling the monomer composition (with 50 several monomer of various carbon chain length), which is critical to the material functionality.<sup>2,</sup> 51 <sup>4, 6, 10</sup> Additionally, since PHAs are macromolecules generated intracellularly, harvesting PHAs 52 requires energy-intensive cell lysis.<sup>11</sup> One potential solution is to engineer organisms to produce 53 54 PHA building-block molecules that can be excreted and then polymerized or co-polymerized at defined ratios to yield PHAs with the desirable thermal and mechanical properties.<sup>12-15</sup> (R)-3-55 Hydroxybutyrate (3HB), which is the most common building-block for PHAs,<sup>2, 4, 6, 8-10, 16, 17</sup> can 56 potentially be used in various medical applications<sup>15</sup> and serve as a precursor for an array of 57 stereo-specific, fine chemicals such as antibiotics, pheromones, and amino acids.<sup>12, 15</sup> 58

59 Chemical synthesis of chiral (R)-3HB utilizes a complex of 2,2'-bis(diphenylphosphino)-1,1'-

binaphthyl (BINAP)-coordinated Ruthenium (Ru) as a catalyst.<sup>18</sup> Ruthenium is one of the 60 platinum group metals rarely found on earth, with world reserves estimated at only 5,000 61 tons.<sup>19</sup> BINAP is a complex aromatic molecule and its synthesis and utilization also have 62 negative environmental impact. In recent years, biotechnological synthesis of (R)-3HB has been 63 proposed as an alternative to chemical synthesis,<sup>12</sup> and (R)-3HB production by genetically 64 engineered E. coli has been reported.<sup>20-23</sup> However, biosynthesized (R)-3HB or PHAs are 65 expensive owing to many factors, including consumption of glucose by *E. coli*, which contributes 66 to 60% of the PHA cost.<sup>3</sup> The high cost of biologically produced PHAs not only limits their 67 application to medical devices but also prohibits their broader applications in production of 68 other disposable products such as food packaging. To address the cost issue, efforts have been 69 made to convert waste organic carbon or  $CO_2$  (instead of glucose) to PHAs.<sup>3, 24</sup> In particular, 70 cyanobacterial production of PHAs has gained recent attention.<sup>16, 17</sup> Cyanobacteria are capable 71 of using solar energy for CO<sub>2</sub> fixation, and are amenable to genetic manipulation.<sup>25-28</sup> Although 72 photosynthetic production of (R)-3HB was demonstrated recently,  $2^{29,30}$  the reported titers and 73 productivities were relatively low, *e.q.*, 533 mg  $L^{-1}$  and 25 mg  $L^{-1}$  day<sup>-1</sup> by engineered 74 Synechocystis sp. PCC 6803 (hereafter Synechocystis)<sup>29</sup> and 1.22 g L<sup>-1</sup> and 44 mg L<sup>-1</sup> day<sup>-1</sup> by 75 engineered Synechococcus elongatus PCC 7942 (hereafter S. elongatus),<sup>30</sup> and improvements 76 are needed to attain economic feasibility. 77

The goal of this study is to identify and mitigate the rate-limiting steps in (*R*)-3HB production in *Synechocystis*. We first designed and implemented a facile experiment to probe the kinetic bottleneck and the potential for photosynthetic (*R*)-3HB production in *Synechocystis*, and subsequently were able to mitigate the identified rate-limiting step via genetic engineering. The dramatic changes in carbon partitioning in the engineered (R)-3HB-producing cells along the

<sup>83</sup> course of cultivation were also quantitatively delineated by <sup>13</sup>C-metabolic flux analysis. day-1

### 84 **Results and Discussion**

#### 85 A facile method to identify the bottleneck in cyanobacterial production of (*R*)-3HB

A variety of chemicals are toxic to cyanobacteria, which limits their production by these 86 organisms.<sup>31-33</sup> Thus, we first assessed the tolerance of *Synechocystis* to 3HB. Wild-type 87 88 Synechocystis was cultivated in BG11 medium supplemented with (±)-3HB at concentrations of up to 50 g  $L^{-1}$  inside a growth chamber containing 5% CO<sub>2</sub>. As shown in Figure S1, Synechocystis 89 was able to grow at 3HB concentrations as high as 50 g  $L^{-1}$ , though its growth rate was severely 90 impaired at this level. Supplementation with 25 g L<sup>-1</sup> 3HB only slightly hampered cell growth, 91 whereas 3HB supplemented at or below  $10g L^{-1}$  had no apparent effect on cell growth. Overall, 92 our results indicate that 3HB at up to 25 g L<sup>-1</sup> has almost no toxicity to the growth of 93 Synechocystis, which suggests that Synechocystis is a suitable host for photosynthetic 94 production of these high levels of 3HB. 95

*In vivo*, the stereospecific (*R*)-3HB is biosynthesized from the central carbon metabolite, acetyl-CoA, two molecules of which are first condensed to form acetoacetyl-CoA in a reaction catalyzed by a thiolase (PhaA). Thereafter, acetoacetyl-CoA is reduced by acetoacetyl-CoA reductase (PhaB), the subsequently the coenzyme A group is removed, catalyzed by a thioesterase (TesB; Figure 1). Rational design and successful engineering efforts towards enhanced production of a compound, herein (*R*)-3HB, rely heavily on precise identification of

6

the rate-limiting steps.<sup>26, 34</sup> The traditional trial-and-error genetic engineering approach is time-102 103 consuming, given that the doubling time of *Synechocystis* is about 8 to 12 hours, which is much longer than that of *E. coli* (20 – 30 min). Kinetic flux profiling (KFP) is a powerful tool to identify 104 bottlenecks in a biosynthetic pathway. Recently <sup>13</sup>C KFP was successfully applied to characterize 105 the metabolic flux profiles of several recombinant cyanobacteria.<sup>35, 36</sup> Nevertheless, it might not 106 have been effective in our case, due to the low abundance of the coenzyme A- associated 107 intermediate compounds in the 3HB biosynthesis pathway and instrument's low sensitivity for 108 detecting them.<sup>36</sup> 109

110

### [Figure 1 to be inserted here]

To circumvent these issues, a facile method was designed to probe the metabolic bottleneck 111 in cyanobacterial (R)-3HB production, the principle of which is depicted in Figure 2. It was 112 demonstrated in previous studies that the TesB enzyme from E. coli exhibits relatively high 113 activity towards the medium-chain-length acyl-CoAs over the two-carbon acetvl-CoA.<sup>29, 37</sup> 114 Under photoautotrophic growth conditions, wild-type Synechocystis does not produce acetate, 115 whereas heterologous expression of the E. coli TesB leads to acetate production and its 116 excretion, likely owing to TesB's activity on acetyl-CoA (Figure 1).<sup>29, 37</sup> Thus, it would be 117 expected that if TesB activity were the rate-limiting step, the (R)-3HB productivity would 118 increase upon higher expression of TesB (Figure 2A, B). On the other hand, if PhaAB activities 119 were the bottlenecks in (R)-3HB production, increased TesB activity would not affect 120 production of (R)-3HB but would rather lead to an elevated ratio of acetate: (R)-3HB (Figure 2B, 121 C). To this end, we devised a promoter library to tune the expression level of TesB in a phaAB-122 expressing Synechocystis strain. As shown in Figure 3, six natural and synthetic promoters, 123

including the *E. coli*  $\sigma^{70}$  promoter P<sub>tac</sub>, the *Synechocystis* native promoter P<sub>psbA2</sub> and four of their 124 125 derivatives, were compared in expressing TesB in Synechocystis. The rrnB T1 terminator was added downstream from the tesB gene in all six constructs (Table 1), which apparently did not 126 affect the production of (R)-3HB or acetate under the examined condition (Figure S2). The P<sub>tac</sub> 127 promoter is a strong and near-constitutive promoter in Synechocystis.<sup>38</sup> The native 128 Synechocystis psbA2 promoter (hereafter P<sub>psbA12</sub>) is light inducible and its 5'-untranslated region 129 (UTR) plays an important role in stabilizing the *psbA2* mRNA.<sup>39</sup> Therefore, the whole 5'-UTR or 130 131 merely the ribosome binding site (RBS, including the Shine-Dalgarno [SD] sequence and the spacer sequence between the SD region and the start codon) of the P<sub>nsbA12</sub> was placed 132 downstream of the P<sub>tac</sub>, resulting in promoters P<sub>tac</sub>-UTR or P<sub>tac</sub>-SD. Because the AU-box in the 5'-133 UTR of P<sub>psbA12</sub> was a negative element for gene expression,<sup>40</sup> it was deleted from the P<sub>psbA12</sub> 134 promoter, leading to promoter P<sub>psbA14</sub> (Figure 3). Moreover, promoters P<sub>psbA12</sub> and P<sub>tac</sub> were 135 136 fused together to form the dual promoter, P<sub>psbA16</sub>-P<sub>tac</sub>. The TesB expression cassettes were each inserted into the Synechocystis strain Abd which expresses PhaAB under the control of Ptac 137 promoter (Table 1), resulting in strains TTrK, SDTrK, UTRTrK, PTrK12, PTrK14 and PTrK16, 138 respectively (Figure 3; Table 1). 139

140

- [Figure 2 to be inserted here]
- 141 [Figure 3 to be inserted here]
- 142

When the engineered strains were grown under photoautotrophic conditions, the cultures reached the same cell densities in five days (Figure 4A). Strains TTrK and PTrK16 exhibited similar growth rate, (*R*)-3HB and acetate productivity, *tesB* transcript level and TesB enzyme

activity (Figure S3). However, the tesB transcript level in strain TTrK was much higher compared 146 147 to all four other strains tested. The tesB transcript levels in strains SDTrK, UTRTrK, PTrK12 and PTrK14 were 33%, 56%, 46% and 40% of that in strain TTrK (Figure 4B). Since modification of 148 149 the 5'-UTR was assumed to have little impact on gene transcription, the much lower tesB 150 transcript levels in strains SDTrK and UTRTrK compared to that in strain TTrK might be attributed to the poor stability of the tesB mRNA product. The finding is consistent with a 151 previous report that the RBS of the P<sub>psbA12</sub> promoter might be a target for the RNase E/G in 152 Synechocystis.<sup>39, 41</sup> Additionally, removing the AU-box, a possible target for the RNase E,<sup>40</sup> from 153 P<sub>nshA12</sub> did not lead to a higher level of *tesB* transcript in *Synechocystis* strain PTrK14 (with 154 promoter P<sub>psbA14</sub>) (Figure 4B). 155

156

#### [Figure 4 to be inserted here]

157

158 We analyzed the (R)-3HB and acetate production levels in the same strains above and found that strain SDTrK produced the least amounts of (R)-3HB and acetate, 70.2 and 2.55 mg L-1, 159 respectively (Figure 4C); the (R)-3HB titers for PTrk14, UTRTrK and PTrK12 were 141.3, 147.5, 160 166.1 mg L-1, respectively, which were 2.0-2.4 times higher than that for SDTrK; the acetate 161 titers reached 1.2-2.7 times that measured in SDTrK (Figure 4C). While the (R)-3HB titer for 162 163 strain TTrK, 174.6 mg L-1, was only slightly higher than those for strains PTrk14, UTRTrK and 164 PTrK12, the acetate titer produced by strain TTrK was over five-fold higher than all other strains (Figure 4C). The well-maintained cell growth rate (Figure 4A) and the excessively produced 165 acetate by strain TTrK (Figure 4C) implied that supply of acetyl-CoA was sufficient and was 166 probably upregulated for biosynthesis of (R)-3HB, as evidenced by a previous study.  $^{36}$  The 167

scenarios depicted in Figure 4C-D were in line with our models, as illustrated in Figure 2. (R)-168 169 3HB biosynthesis in SDTrK represented limited TesB activity (Figure 2A); PTrk14, UTRTrK and PTrK12 represented matched PhaAB and TesB activities (Figure 2B); and TTrK stood for 170 excessive TesB but limited PhaAB activities (Figure 2C). From another perspective, the ratio of 171 172 acetate to (R)-3HB may serve as an indicator to probe an excess of the TesB activity: when TesB activity becomes excessive, the acetate to (R)-3HB ratio increases significantly (Figure 2, 5D). 173 Overall, we were able to demonstrate that tuning down TesB activity by a factor of at least two 174 175 had little impact on (R)-3HB production, whereas given high enough TesB activity (*i.e.*, in the case of TTrK), the PhaAB activity which converts acetyl-CoA to (R)-3HB-CoA became the 176 bottleneck for (*R*)-3HB biosynthesis in *Synechocystis*. 177

In our previous study, the *in vitro* activity of thiolase PhaA was approximately 200-fold higher than that of the acetoacetyl-CoA reductase PhaB.<sup>29</sup> Therefore, we inferred that the activity of the acetoacetyl-CoA reductase (PhaB) was likely the bottleneck for (*R*)-3HB biosynthesis in strain TTrK.

### 182 **Optimization of the ribosome binding site for** *phaB1*

Optimizing RBS is an efficient strategy for enhancing expression of a target gene in cyanobacteria. <sup>38, 42, 43</sup> Therefore, the RBS upstream of the *phaB1* gene was optimized to enhance the expression of acetoacetyl-CoA reductase for (*R*)-3HB biosynthesis in *Synechocystis*. It has been reported that the SD sequence UAAGGAGG, which is perfectly complementary to the 3'-terminal sequence of the 16S rRNA in the *Escherichia coli* K12 strain, enabled 3- to 6-fold higher translation efficiency than the SD sequence AAGGA, regardless of the spacing between

189	the SD sequence and the translation start codon – ATG. <sup>44</sup> In this study, the RBS upstream of the
190	phaB1 gene was examined, and it was found that the original SD sequence, 5'-AAGGAGTGG-3',
191	did not perfectly complement the 3'-terminal sequence (5'-ACCUCCUUU-3') of the 16S rRNA in
192	Synechocystis. The original RBS sequence, AAGGAGTGGAC, for phaB1 was therefore replaced by
193	sequence AAGGAGGTAAC (RBS <sub>opt</sub> ) which was fully complementary to the 3'-terminal sequence
194	of Synechocystis 16S rRNA (Figure 5A). The resultant strain was denominated strain R154 (Table
195	1).
196	The acetoacetyl-CoA reductase (PhaB) activity in strain R154 was 2.2-fold higher than that in
197	strain TTrK (Table 2; Figure 5B). While the growth of strain R154 was similar to that of strain
198	TTrK under the examined culture condition (Figure 5C), strain R154 was able to produce (R)-3HB
199	at a titer of 280.2 mg L-1, 1.6-fold higher than that of strain TTrK, after five days of
200	photoautotrophic growth with NaHCO $_3$ as the sole carbon source (Figure 5D). Our results
201	confirmed that PhaB activity was indeed the bottleneck for (R)-3HB production in Synechocystis,
202	and they suggest that the new RBS, <i>i.e.</i> , RBS <sub>opt</sub> , is much more efficient in expressing the phaB1
203	gene compared to the original RBS in our engineered Synechocystis strains.
204	
205	[Figure 5 to be inserted here]

206

## 207 Enhanced production of (*R*)-3HB from CO<sub>2</sub>

208 Since the bottleneck of (*R*)-3HB biosynthesis in *Synechocystis*, the acetoacetyl-CoA reductase 209 activity, has been mitigated (at least partially) in strain R154 (Figure 5), it would be interesting

to examine if this strain R154 shows higher photosynthetic productivity of (R)-3HB directly from 210 CO<sub>2</sub> (rather than daily addition of bicarbonate) than the parental strain.<sup>29</sup> As shown in Figure 6A, 211 strain R154 first showed a relatively fast "growth phase" during the first two days, and then cell 212 growth slowed down in the "production phase". (R)-3HB quickly accumulated in the production 213 phase (Figure 6A), consistent with the previous observation that 3HB started to accumulate 214 following the depletion of phosphate and the onset of slowed-down cell growth.<sup>29</sup> During the 215 production phase (starting from day 2 until day 10), strain R154 continuously produced (R)-3HB 216 at an average rate of 203 mg L-1 day<sup>-1</sup> (Figure 6B). Notably, the volumetric productivity peaked 217 on days 6~8, reaching 263 mg  $L^{-1}$  day<sup>-1</sup> on day 7, and the highest specific productivity reached 218 50 mg  $L^{-1}$  day<sup>-1</sup> OD<sub>730</sub><sup>-1</sup> on day 2 and declined thereafter to 11.5 mg  $L^{-1}$  day<sup>-1</sup> OD<sub>730</sub><sup>-1</sup> on day 10 219 (Figure 6B). The (*R*)-3HB titer reached 1845 mg  $L^{-1}$  at the end of the 10-day photoautotrophic 220 cultivation period (Figure 6A). Compared to the literature,<sup>29</sup> the titer achieved in this study is 221 222 3.5-fold higher and the average productivity is 7.3-fold higher than previously reported values. To our knowledge, this result is, to date, the highest titer and productivity achieved in 223

photoautotrophic production of 3-hydroxyalkanoic acids from CO<sub>2</sub>. The productivity is also 224 higher than other compounds branching from the metabolic node of acetyl-CoA (Table S2). 225 Without adding any organic carbon sources into the culture medium, the titer of the excreted 226 (R)-3HB achieved in this study, equivalent to  $\sim$ 35% of the dry weight of the cells, has reached 227 the same level as what was reported previously on cyanobacterial mixotrophic production of 228 PHB that was biosynthesized as intracellular granules.<sup>45</sup> The demonstrated (*R*)-3HB productivity 229 has therefore shed light on the potential capacity of engineered cyanobacteria in 230 photoautotrophic production of acetyl-CoA-derived chemicals or biofuels using CO<sub>2</sub> as the only 231

carbon source.

233

### [Figure 6 to be inserted here]

234

The dramatic increase of the (R)-3HB production rate compared to that in the previous study 235 can probably be attributed to the following reasons. First, the bottleneck in the (R)-3HB 236 biosynthesis pathway, i.e., the relatively low enzyme activity of acetoacetyl-CoA reductase 237 (encoded by phaB), was identified and subsequently alleviated by optimizing the RBS which 238 239 increased the PhaB activity by 2.2-fold in strain R154 (Figure 5B; Table 2). Second, the culture in current study was aerated with 5% CO<sub>2</sub> instead of air, and the pH of the culture medium was 240 stable at  $\sim$ 8.0 during the entire cultivation process, indicating that the CO<sub>2</sub> supply was sufficient. 241 In contrast, in the previous study when the culture was aerated with ambient air (0.04% CO<sub>2</sub>), 242 the pH of the culture medium reached  $10^{-11}$ ,<sup>45</sup> which demonstrates that the CO<sub>2</sub> supply was 243 limiting. Third, while in the previous study Synechocystis cells were cultivated by simply 244 bubbling air into the flasks without shaking,<sup>45</sup> in this study the flasks containing *Synechocystis* 245 cells were placed on a rotary shaker with a rotation rate of 150 rpm, which can improve CO<sub>2</sub> 246 distribution and light delivery to the Synechocystis culture. It is noteworthy that the highest 247 titer, 1.84 g  $L^{-1}$ , of (*R*)-3HB achieved in this study is still far below the concentration of 3HB that 248 Synechocystis can tolerate without a major decrease in growth rate, *i.e.*, 25 g L<sup>-1</sup> (Figure S1). 249 Strategies to further increase the titer and productivity may include maximizing the PhaB 250 activity via systematic optimization of the gene expression level, <sup>38</sup> and redirecting the central 251 carbon flux towards (R)-3HB biosynthesis by blocking competing pathways, such as using the 252 inhibitor cerulenin to limit the amount of acetyl-CoA channeling to fatty acid synthesis (Figure 253

254 **1)**. <sup>46</sup>

255

### 256 Metabolic fluxes redistribution in (*R*)-3HB-producing strain

To better understand the physiology of the (R)-3HB-producing strain, we set up a  $^{13}$ C-tracer 257 experiment to profile the fluxome in strain R154 during different growth phases. We used a 258 steady-state <sup>13</sup>C-flux strategy which is suitable for quantitative analysis of flux partitioning on 259 metabolic branches.<sup>47</sup> The method can be applied to photomixotrophic growth of 260 cyanobacteria.<sup>48</sup> We grew the R154 strain on fully labeled sodium bicarbonate (NaH<sup>13</sup>CO<sub>3</sub>) and 261 unlabeled glucose, a mixture of isotopic carbon substrates that can be proportionally consumed 262 by the cells, hence imprinting unique isotope patterns into the metabolic intermediates. When 263 isotope pseudo-steady state was reached, we collected isotopomer information from 264 proteinogenic amino acids for <sup>13</sup>C-based Metabolic Flux Analysis (MFA). Physiological data 265 266 including cell growth and (R)-3HB production were also collected overtime.

Consistent with our observation of cultures grown under photoautotrophic conditions 267 (Figure 6), R154 batch culture under photomixotrophic conditions showed a typical two-phase 268 metabolism: the growth phase and the production phase (Figure S4). During the growth phase 269 (day 1-2), R154 exhibited a specific growth rate of 0.049 and the excreted (R)-3HB was below 270 271 the detection limit. Upon transition into the production phase (day 3-5), cell growth slowed down significantly and (R)-3HB accumulated in the medium (Figure S4). Figure 7 shows a 272 snapshot of quantitative flux distribution during the production phase, and Figure 8 delineates 273 the up- and down-regulation of the metabolic flux during the production phase when compared 274 to that during the growth phase. The relative flux values, the exchange coefficients for 275

276	reversible reactions, and the 95% confidence intervals are shown in Supplementary file 2 and
277	fitting results are shown in Figure S5. During the growth phase, <sup>13</sup> C-MFA revealed highly active
278	Calvin-Benson-Bassham (CBB) cycle, glycolytic pathway, C4 pathway and relatively moderate
279	fluxes through the TCA cycle in R154. These results were comparable with the previous report
280	on the fluxome in wild type Synechocystis, <sup>48</sup> reflecting a relatively stable architecture of
281	Synechocystis metabolic network for light-driven growth. However, during the production
282	phase, the fluxes were altered globally for the biosynthesis of $(R)$ -3HB. Fluxes in the TCA cycle,
283	photorespiration, and amino acid biosynthesis were 1000-fold lower, whereas the relative flux
284	from 3PGA to (R)-3HB and part of the oxidative pentose phosphate (OPP) and CBB pathway was
285	higher (Figure 8). Consequently, during the production phase, fluxes in pathways leading to
286	intermediate compounds, e.g., glyceraldehyde-3-phosphate (GAP) and amino acids (Figure 7),
287	required for biomass formation were down significantly, while the flux from 3-
288	phosphoglycerate (PGA) to acetyl-CoA and (R)-3HB production increased. This is consistent with
289	our previous observation that the intracellular acetyl-CoA concentration was about two times
290	higher in the production phase than that in the growth phase. <sup>29</sup>

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[Figure 7 to be inserted here]

[Figure 8 to be inserted here]

293

<sup>13</sup>C-MFA results showed that 68.8% of the carbon input was redirected to (*R*)-3HB (51.3%) and the by-product acetate (17.5%) on day 4 (when cells were in the production phase) of the cultivation process. To our knowledge, this is the first report detailing that a cyanobacterium redistributes a majority of its carbon flux from biomass formation to the biosynthesis of chemicals and fuels during different metabolic phases, which corroborates the remarkable
 flexibility of cyanobacterial carbon metabolism. <sup>26, 49</sup>

### 300 **Conclusions**

We first demonstrated that *Synechocystis* was able to tolerate as high as 50 g L<sup>-1</sup> of 3HB, with 301 25 g L<sup>-1</sup> displaying minimal impact on cell growth, which implies that *Synechocystis* is a logical 302 candidate to be engineered for high-level production of 3HB without compromising cell fitness. 303 Subsequently, a facile method was employed to identify the bottleneck in biosynthesis of (R)-304 3HB in Synechocystis, which was found to be the enzyme activity of acetoacetyl-CoA reductase 305 (PhaB). Through optimization of its gene's ribosome binding site, the acetoacetyl-CoA reductase 306 activity was increased by 2.2-fold, leading to a dramatic increase in (R)-3HB production. The (R)-307 3HB titer reached 1845 mg  $L^{-1}$  within 10 days, with a peak productivity of 263 mg  $L^{-1}$  day<sup>-1</sup>, using 308  $CO_2$  and light as the sole carbon and energy sources. The average (R)-3HB productivity of 184 309 mg  $L^{-1}$  day<sup>-1</sup> is four times higher than that of an engineered *S. elongatus* strain reported 310 recently,<sup>29</sup> and it represents to date the highest yield and productivity of any phototrophically 311 produced compound derived from acetyl-CoA (Table S2). <sup>13</sup>C-metabolic flux analysis 312 quantitatively delineated the sequential two-phase metabolism profile in the (R)-3HB-313 producing cells. During the early growth phase, carbon fluxes primarily contribute to biomass 314 formation, whereas when cells subsequently enter the production phase, the cyanobacterium 315 redistributed most of carbon fluxes from biomass formation to the heterologous pathway, with 316 68.8% carbon input redirected to (R)-3HB (51.3%) and acetate (17.5%) formation under the 317 investigated experimental conditions. The significantly improved titer and rate in production of 318

(R)-3HB directly from CO<sub>2</sub> and sunlight, and the quantitative delineation of metabolic fluxes for cells along the course of cultivation should be seen as an important step in the potential commercialization of this technology for production of renewable (*R*)-3HB and PHAs.

### 323 Materials and methods

### 324 Culture conditions

All recombinant plasmids were constructed and stored using *E. coli* XL1-Blue MRF' (Stratagene, La Jolla, CA, USA) as the host strain. *Synechocystis* strains were grown in BG11 medium supplemented with 50 mM NaHCO<sub>3</sub> under a light intensity of 60  $\mu$ E m<sup>-2</sup> s<sup>-1</sup> supplied by cool white fluorescent bulbs unless otherwise specified. For BG11-agar plates, 10 mM TES (pH 8.0), 3 g L<sup>-1</sup> thiosulfate and 1.5% agar were supplemented into BG11 medium before autoclaving.

### 330 Modification of *Synechocystis* genome

The chromosome of *Synechocystis* strains was modified using methods described previously.<sup>38</sup> Basically, recombinant integration plasmids carrying the desired expression cassettes (Table 1) were constructed using pBluescript II SK(+) as the backbone. Each integration plasmid was then used to transform *Synechocystis* using a natural transformation protocol. Premethylation using the cytosine-specific methyltransferase, Slr0214, was used to treat the donor DNA prior to transforming *Synechocystis* whenever necessary.<sup>50</sup> The genotype of each engineered *Synechocystis* strain is described in Table 1. The genotypic purity of each strain was confirmed 338 by colony PCR.

### 339 **Production of (***R***)-3HB from bicarbonate**

Synechocystis strains were inoculated in 50-mL flasks containing 20 mL BG11 (10 mM TES-340 NaOH) to an initial OD<sub>730</sub> (optical density at 730 nm) of 0.1 and were grown in a shaking bed 341 (150 rpm) at 30 °C with a light intensity of 60  $\mu$ E m<sup>-2</sup> s<sup>-1</sup> until an OD<sub>730</sub> of ~1.5. Cells were then 342 harvested by centrifugation and resuspended with 10 mL BG11 (10 mM TES-NaOH) contained in 343 50-mL flasks to an initial OD<sub>730</sub> of 2.0. Then cells were incubated under the same culture 344 condition for the following experiments unless otherwise specified. Every day, 0.05 mL cell 345 culture was sampled for OD<sub>730</sub> measurements, before 0.5 mL 1.0 M NaHCO<sub>3</sub> was added to each 346 347 culture. At that point, the pH was adjusted to ~8.0 with 10 N HCl. After 5 days of cultivation, the culture supernatant was collected after centrifugation and the (R)-3HB titers were analyzed by 348 HPLC. All cultivation experiments were conducted at least in triplicate for each strain. 349

### 350 **Production of 3HB from carbon dioxide**

*Synechocystis* was inoculated into 50 mL autoclaved BG11 (10 mM TES-NaOH) medium contained in a 250-mL baffled flask with an initial OD<sub>730</sub> of 0.4. The culture was grown at 30 °C with continuous illumination of 100  $\mu$ E m<sup>-2</sup> s<sup>-1</sup> for the first day and 300  $\mu$ E m<sup>-2</sup> s<sup>-1</sup> for the rest of the experiment. The headspace of the culture flask was aerated with 5% (v/v) CO<sub>2</sub> (balanced with ambient air) at an aeration rate of 60 mL min<sup>-1</sup>. At the end of each day, 1 mL of culture was sampled and 1 mL 5x (5-fold concentrated) BG11 medium was added back into the culture until the end of day 3. After day 3, 1 mL of culture was sampled and 1 mL of 10x BG11 medium was

358	added back into the culture each day until the end of the experiment. Additionally, 0.33 mL
359	sterilized deionized water was supplemented into the culture every day to compensate the
360	water loss due to evaporation. The experiments were conducted in duplicate.

361 Gene expression analysis by RT-qPCR

Cells were resuspended to an initial OD<sub>730</sub> of 2.0 in BG11 (10 mM TES-NaOH) medium under 362 continuous illumination of 60  $\mu$ E m<sup>-2</sup> s<sup>-1</sup>. Daily, 0.05 mL cell culture was sampled for analysis of 363 the OD<sub>730</sub> before 0.5 mL 1.0 M NaHCO<sub>3</sub> was added to each culture and the pH was adjusted to 364 ~8.0 with 10 N HCl. After 3.5 days of cultivation, approximately 1.67×10<sup>8</sup> Synechocystis cells 365 (assuming  $OD_{730}$  of 0.6 equals to  $10^8$  cells mL<sup>-1</sup>)<sup>51</sup> were collected by centrifugation at 17,000g at 366 4 °C for 1 min. The supernatant was discarded, and the cell pellet was directly used for RNA 367 extraction using ZR Fungal/Bacterial RNA MiniPrep<sup>TM</sup> Kit (ZYMO Research, Irvine, CA, USA). The 368 RNA was then quantified by RT-qPCR using methods described previously.<sup>29</sup> The primers used 369 for RT-qPCR analysis are listed in Table S1. 370

### 371 Enzyme activity assay

*Synechocystis* cells were resuspended with an initial  $OD_{730}$  of 2.0 in BG11 (10 mM TES-NaOH) supplemented with 50 mM NaHCO<sub>3</sub> and were grown under light of 60  $\mu$ E m<sup>-2</sup> s<sup>-1</sup> for 12 hours. Then, 1.67×10<sup>9</sup> *Synechocystis* cells were collected by centrifugation at 8000g at 4 °C for 5 min. The supernatant was discarded, and the cell pellets were frozen on dry ice and stored at -80 °C before the assay. For the thioesterase enzyme activity assay, the cell pellet was resuspended with 500  $\mu$ L ice-cold 0.1 M Tris-HCl (pH 7.5) and lysed by sonication (100 cycles of 3-s-on/ 3-s-

off) in an ice-water bath. The cell lysate was centrifuged at 17000g at 4 °C for 10 min before the supernatant was analyzed for the thioesterase activity, following the previously established protocols but using butyryl-CoA as the substrate.<sup>29</sup> For the acetoacetyl-CoA reductase enzyme activity assay, the cell pellet was resuspended in 500  $\mu$ L ice-cold Buffer A [50 mM K<sub>2</sub>HPO<sub>4</sub>-HCl (pH 7.5), 10% glycerol, 1 mM EDTA, 1 mM DTT] supplemented with 0.1 mM PMSF, and was lysed by sonication (20 cycles of 3-s-on/ 3-s-off) in ice-water bath. The supernatant was analyzed for acetoacetyl-CoA reductase activity using the protocol established previously.<sup>29</sup>

### 385 **Product quantification**

The (R)-3HB and acetate concentrations were quantified by 1100 series HPLC (Agilent, Santa 386 Clara, CA, USA) using the method described previously.<sup>29</sup> Briefly, samples of the *Synechocystis* 387 culture were centrifuged at 17,000q for 1-2 min at room temperature and the supernatant was 388 properly diluted before being analyzed on HPLC that was equipped with an Aminex HPX-87H 389 anion-exchange column (Bio-Rad Laboratories, Hercules, CA) and a refractive index detector 390 (Agilent, Santa Clara, CA, USA). The column temperature was maintained at 35 °C during 391 operation. The mobile phase was 5 mM H<sub>2</sub>SO<sub>4</sub> and the flow rate was set as a linear gradient 392 from 0.55 mL min<sup>-1</sup> to 0.8 mL min<sup>-1</sup> over 12 min, followed by an 8-min hold. 393

394 <sup>13</sup>C-Metabolic flux analysis (MFA)

Steady-state <sup>13</sup>C-MFA was adopted to quantify carbon fluxes through the central metabolic network in R154 strain. Cultures were illuminated at 60  $\mu$ E m<sup>-2</sup> s<sup>-1</sup> and grown on unlabeled glucose (10 mM) and NaH<sup>13</sup>CO<sub>3</sub> (60 mM). Cells were collected during the exponential growth

phase (day 2) and (R)-3HB production phase (day 4), respectively, and proteinogenic amino 398 399 acids were analyzed by a GC-MS system including a 7890A GC system and a 5975C inert XL MSD with Triple-Axis Detector (Agilent, Santa Clara, CA, USA) using the same method as previously 400 reported.<sup>52</sup> The central carbon network of *Synechocystis* was constructed based on collected 401 402 genome knowledge, which has been supported by biochemical and isotope tracer experiments.<sup>48, 53-55</sup> The network includes the Calvin Benson Cycle, the EMP pathway, the C4 403 pathway, the TCA Cycle, and photorespiration pathways (Figure 8; Supplementary File 3). The 404 cell mass composition (Figure 8; Supplementary File 3) was based on a previous report.<sup>48, 56</sup> The 405 (R)-3HB production pathway and the acetate byproduct pathway (owing to the low TesB 406 activity towards acetyl-CoA) were lumped and included into the metabolic model. INCA, a 407 recently developed <sup>13</sup>C-flux software based on the MATLAB platform<sup>57</sup> was utilized for flux 408 estimation. The calculation of <sup>13</sup>C-metabolic flux was performed by minimizing the sum-of-409 410 squared residuals (SSR) between computationally simulated and experimentally determined measurements. 411

412

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- 429
- 430

### 431 **Competing interests**

432 Part of this work has contributed to a patent application.

433

### 434 **Authors' Contributions:**

435 B.W. and D.R.M. conceived the study. B.W. and W.X. designed and carried out the experiments,

436 analyzed the data, and drafted the manuscript. B.W., W.X., J.Y., P.C.M. and D.R.M. revised the

437 manuscript. All authors read and approved the final manuscript.

438

439

### 440 **Table 1** Strains used in this study.

Strains	Genotype*	References
E. coli	$\Delta$ (mcrA)183 $\Delta$ (mcrCB-hsdSMR-mrr)173 endA1 supE44 thi-1 recA1 gyrA96 relA1	Stratagene
XL1-Blue MRF'	<i>lac</i> [F´ proAB lacl <sup>q</sup> ZΔM15 Tn10 (Tet <sup>r</sup> )]	
Synechocystis		
SPA: AphaEC	P <sub>tac</sub> -adhE2 (S2), ΔphaEC (S3)	29
ABd	Cm <sup>R</sup> -P <sub>tac</sub> -phaA-phaB1 (S1), P <sub>tac</sub> -adhE2 (S2), ΔphaEC (S3)	This study
TABd	Cm <sup>R</sup> -P <sub>tac</sub> - <i>phaA-phaB1</i> (S1), P <sub>tac</sub> - <i>tesB</i> -Kan <sup>R</sup> (S2), Δ <i>phaEC</i> (S3)	29
TTrK	Cm <sup>R</sup> -P <sub>tac</sub> - <i>phaA-phaB1</i> (S1), P <sub>tac</sub> - <i>tesB</i> -T1-Kan <sup>R</sup> (S2), Δ <i>phaEC</i> (S3)	This study
SDTrK	Cm <sup>R</sup> -P <sub>tac</sub> -phaA-phaB1 (S1), P <sub>tac</sub> -SD- <i>tesB</i> -T1-Kan <sup>R</sup> (S2), ΔphaEC (S3)	This study
UTRTrK	Cm <sup>R</sup> -P <sub>tac</sub> -phaA-phaB1 (S1), P <sub>tac</sub> -UTR-tesB-T1-Kan <sup>R</sup> (S2), ΔphaEC (S3)	This study
PTrK12	Cm <sup>R</sup> -P <sub>tac</sub> -phaA-phaB1 (S1), P <sub>psbA12</sub> -tesB-T1-Kan <sup>R</sup> (S2), ΔphaEC (S3)	This study
PTrK14	Cm <sup>R</sup> -P <sub>tac</sub> -phaA-phaB1 (S1), P <sub>psbA14</sub> -tesB-T1-Kan <sup>R</sup> (S2), ΔphaEC (S3)	This study
PTrK16	Cm <sup>R</sup> -P <sub>tac</sub> - <i>phaA-phaB1</i> (S1), P <sub>psbA12</sub> -P <sub>tac</sub> - <i>tesB</i> -T1-Kan <sup>R</sup> (S2), Δ <i>phaEC</i> (S3)	This study
R154	$Cm^{R}-P_{tac}-phaA$ -(RBS <sub>opt</sub> )-phaB1 (S1), $P_{psbA12}-P_{tac}-tesB$ -T1-Kan <sup>R</sup> (S2), $\Delta phaEC$ (S3)	This study

<sup>441</sup> \*S1, the insertion site on the chromosome of *Synechocystis* between *slr1495* and *sll1397*; S2, the insertion site

442 between *slr1362* and *sll1274*; S3, the insertion site between *slr1828* and *sll1736*.

443

### 444 **Table 2** Acetoacetyl-CoA reductase enzyme activities. \*

Strain	Expression cassette for acetoacetyl-CoA reductase	Enzyme activity
TTrK	P <sub>tac</sub> -phaA-phaB1	0.063 ± 0.013
R154	P <sub>tac</sub> -phaA-(RBS <sub>opt</sub> )-phaB1	$0.139 \pm 0.020$
* Enzyme activities were given in μmol min <sup>-1</sup> L <sup>-1</sup> cell extract.		

# 451 **Figure captions:**

- 452 **Fig. 1** Photoautotrophic production of (*R*)-3HB and the byproduct acetate in *Synechocystis*.
- 453 Abbreviations: PSI, Photosystem I; PSII, Photosystem II; Fatty acyl-ACP, fatty acyl-acyl carrier
- 454 protein; PHB, poly-3-hydroxybutyrate; 3HB, 3-hydroxybutyrate; TCA, tricarboxylic acid; *phaA*,
- the gene encoding thiolase; *phaB*, the gene encoding acetoacetyl-CoA reductase; *tesB*, the gene
   encoding thioesterase.
- 456 457

Fig. 2 Schematic illustration of the method for identifying the bottleneck in (*R*)-3HB biosynthesis.
Acetyl-CoA and (*R*)-3HB-CoA are competitive substrates for thioesterase TesB, so when the
enzyme activity of TesB is excessive (*i.e.*, the activities of thiolase and acetoacetyl-CoA
reductase are limiting) it would react extravagantly on acetyl-CoA, leading to higher amount of
acetate relative to (*R*)-3HB. Abbreviations: Acetyl-CoA, acetyl-coenzyme A; 3HB, 3bydroxybutyrate: PbaAB, thiolase and acetoacetyl-CoA reductase: TesB, thioesterase

- 463 hydroxybutyrate; PhaAB, thiolase and acetoacetyl-CoA reductase; TesB, thioesterase.
   464
- Fig. 3 Schematic structures of the constructs used to characterize a library of six promoters in
   *Synechocystis*. Each construct was integrated into the neutral site S2 (between *slr1362* and
   *sll1274*) of the chromosome of *Synechocystis* ABd [Cm<sup>R</sup>-P<sub>tac</sub>-*phaA-phaB1*, P<sub>tac</sub>-*adhE2*, Δ*phaEC*],
   resulting in strains expressing *tesB* under the control of various promoters. Abbreviations: TSS,
   transcription start site; SD, Shine-Dalgarno sequence; *rrnB* T1, the T1 terminator downstream
   from the *rrnB* gene.
- 471
- 472 Fig. 4 Characterization of a library of five promoters in *tesB*-expressing *Synechocystis* strains. (A)
  473 Cell density of strains achieved after 5 days of photoautotrophic cultivation in BG11 medium
  474 with bicarbonate as the carbon source. (B) Abundance of *tesB* mRNA. (C) Titer of (*R*)-3HB (wide
- with bicarbonate as the carbon source. (B) Abundance of *tesB* mRNA. (C) Titer of (R)-3HE
  bar) and acetate (thin bar). (D) Acetate to (R)-3HB ratio in the culture medium.
- 476

**Fig. 5** Optimization of the RBS for gene *phaB1* in *Synechocystis*. (A) The original and optimized ribosome binding sites. (B) Acetoacetyl-CoA reductase activity before (strain TTrK) and after (strain R154) RBS optimization; \*, given in  $\mu$ mol min<sup>-1</sup> L<sup>-1</sup> cell extract. (C) Cell growth curves for strains TTrK and R154 under the examined experimental conditions. (D) (*R*)-3HB titers from

- 481 strains TTrK and R154.
- 482

Fig. 6 Photosynthetic production of (*R*)-3HB from CO<sub>2</sub> by engineered *Synechocystis* strain R154.
 (A) Time courses of cell growth and (*R*)-3HB production in the culture of *Synechocystis* strain
 R154. (B) Daily volumetric and specific (*R*)-3HB productivity of strain R154.

486

**Fig. 7** Relatively quantitative flux distributions for (*R*)-3HB production in strain R154 on Day 4 (in the production phase). Arrow thickness is scaled proportionally to the flux values which are

relative to the CO<sub>2</sub> uptake rate. Abbreviations: G6P, Glucose 6-phosphate; Ru5P, Ribulose 5-489 490 phosphate; RuBP, Ribulose bisphosphate; F6P, fructose 6-phosphate; R5P, Ribose 5-phosphate; 491 FBP, Fructose bisphosphate; X5P, Xylulose 5-phosphate; E4P, Erythrose 4-phosphate; DHAP, Dihydroxyacetone phosphate; GAP, Glyceraldehyde-3-phosphate; SBP, Sedoheptulose 492 493 bisphosphate; S7P, Sedoheptulose 7-phosphate; PGA, Phosphoglycerate; PEP, 494 Phosphoenolpyruvate; PYR, pyruvate; AcCoA, Acetyl Coenzyme A; CIT, Citrate; ICT, Isocitrate; 495 2OG, 2-oxoglutarate; SSA, Succinic semialdehyde; SUC, Succinate; FUM, Fumarate; MAL, Malate; OAA, Oxaloacetate. Abbreviations for reactions: G6PD, Glucose 6-phosphate dehydrogenase; 496 497 PRK, Phosphoribulokinase; PGI, Phosphoglucose isomerase; TKT, Transketolase; PPI, Pentose phosphate isomerase; PFK, Phosphofructosekinase; PPE, Phosphopentose epimerase; FBA, 498 Fructose bisphosphate aldolase; TAL, transaldolase; SBA, Sedoheptulose bisphosphate aldolase; 499 500 TPI, Triosephosphate isomerase; SBPS, Sedoheptulose bisphosphatase; RBC, Ribulose bisphosphate carboxylase; GAPDH, Glyceraldehyde-3-phosphate dehydrogenase; ENO, Enolase; 501 502 PEPC, Phosphoenolpyruvate carboxylase; PK, pyruvate kinase; PDH, pyruvate dehydrogenase; 503 CS, citrate synthase; ME, malic enzyme; ACO, Aconitase; ICTDH, Isocitrate dehydrogenase; OGDC, 2-oxoglutarate decarboxylase; SSADH, Succinic semialdehyde dehydrogenase; SDH, 504 Succinate dehydrogenase; FUS, Fumarase; MDH, Malate dehydrogenase. 505

506

Fig. 8 Heatmap of relative flux fold changes between Growth Phase (Day 2) and Production 507 508 Phase (Day 4). Red color indicates up-regulation; green color indicates down-regulation; white 509 color indicates either no change of the reaction rate or the reaction rate was too low to be considered and compared. Abbreviations: 2PGA, 2-phosphoglyceric acid; 2PG, 2-510 511 phosphoglycolate; 3HB, 3-hydroxybutyrate; 3PGA, 3-phosphoglyceric acid; AC, acetate; ACA, acetyl-CoA; AKG, α-ketoglutarate; ALA, alanine; ARG, arginine; ASN, asparagine; CIT, citrate; CYS, 512 cysteine; DHAP, dihydroxyacetone phosphate; E4P,erythrose 4-phosphate; F6P, fructose 6-513 514 phosphate; FBP, fructose-1,6-bisphosphate; FUM, fumarate; G6P, glucose 6-phosphate; GA, glycerate; GAP, glyceraldehyde 3-phosphate; GLC, glycolate; GLN, glutamine; GLX, glyoxylate; 515 GLY, glycine; GLU, glutamate; HIS, histidine; ICI, isocitrate; ILE, isoleucine; LEU, leucine; MAL, 516 malate; MTHF, 5,10-Methylenetetrahydrofolate (5,10-CH2-THF); OAA, oxaloacetate; PEP, 517 518 phosphoenolpyruvate; PHE, phenylalanine; PRO, proline; PYR, pyruvate; R5P, ribose 5-519 phosphate; Ru5P, ribulose-5-phosphate; RuBP, ribulose-1,5-diphosphate; S7P, sedoheptulose-7-phosphate; SBP, sedoheptulose-1,7-bisphosphate; SER, serine; SUC, succinate; SucCoA, 520 succinyl-CoA; THR, threonine; TRP, tryptophan; VAL, valine; X5P, xylulose-5-phosphate. 521 522

### 523 Table of contents entry (20 words)

524 Mitigation of a bottleneck significantly improved (*R*)-3HB productivity, and metabolic flux

525 analysis delineated dramatic metabolic flux changes in cyanobacterium *Synechocystis*.

526

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