



Impact of delivery system format on curcumin bioaccessibility: Nanocrystals, nanoemulsion droplets, and natural oil bodies

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Complete List of Authors:	Zheng, Bingjing ; University of Massachusetts, Food Science Zhang, Xiaoyun ; University of Massachusetts, Food Science Peng, Shengfeng; Nanchang University - Qingshanhu Campus North, State Key Laboratory of Food Science and Technology McClements, David; University of Massachusetts, Food Science

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4	Bingjing Zheng ^a , Xiaoyun Zhang ^a , Shengfeng Peng ^b , and David Julian McClements ^a
5	
6	^a Biopolymers and Colloids Laboratory, Department of Food Science, University of
7	Massachusetts Amherst, Massachusetts 01003, United States
8	^b State Key Laboratory of Food Science and Technology, Nanchang University, Nanchang,
9	Jiangxi, China
10	
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12	
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17	Corresponding author:
18	David Julian McClements, E-mail address: mcclements@foodsci.umass.edu; Telephone: +1413
19	545 2275
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21 Abstract

22 Curcumin, a hydrophobic yellow-orange crystalline substance derived from plants, is 23 claimed to exhibit a broad range of biological activities. Its application in functional foods and 24 beverages is often limited by its low solubility in aqueous media, chemical instability, and low 25 bioavailability. Previously, we have shown that curcumin can be successfully loaded into 26 emulsions using the pH-shift method. In this study, we compared the efficacy of curcumin 27 crystals dispersed in water (control) with three delivery systems produced using the pH-shift 28 method: curcumin nanocrystals; curcumin-loaded nanoemulsions; and curcumin-loaded soy oil 29 bodies. The nanoemulsions and oil bodies formed creamy yellow dispersions that were stable to 30 creaming, whereas the nanocrystals formed a cloudy yellow-orange suspension that was prone to 31 sedimentation. The gastrointestinal fate of the delivery systems was assessed using a static *in* 32 vitro digestion model consisting of mouth, stomach, and small intestine phases. The 33 nanoemulsions and oil bodies were rapidly and fully digested, while the nanocrystals were not. 34 All three systems were relatively stable to chemical transformation in the *in vitro* digestion 35 model. The nanocrystals gave a low bioaccessibility but the other two systems gave a high 36 bioaccessibility, which was attributed to their ability to form mixed micelles to solubilize the 37 curcumin. These results have important implications for the creation of more effective delivery 38 systems for curcumin.

39

40 *Keywords:* curcumin; nanocrystals; soymilk; nanoemulsions; bioaccessibility; bioavailability;
41 nutraceuticals.

42 **1. Introduction**

43 Turmeric is commonly used in Asian cuisine as a natural pigment and spice due to its distinctive vellow-orange color and unique flavor profile¹. It has also been utilized for 44 thousands of years as a therapeutic agent in traditional Chinese and Indian medicine ^{2, 3}. 45 46 Curcumin is one of the principal bioactive compounds found in turmeric and is claimed to 47 exhibit a range of health benefits, including the ability to prevent or treat cancer, depression, diabetes, obesity, pain, and stroke ^{4, 5}. Many researchers are now using the modern techniques 48 49 and approaches of science and biomedicine to determine the molecular basis of its effects and to 50 establish the veracity of these health claims. The results of mechanistic studies, mainly carried 51 out in laboratories, suggest that curcumin does have antioxidant, anti-inflammatory, and 52 antimicrobial properties, as well as modulating biochemical pathways that influence our health status ^{4, 6}. The findings from randomized clinical trials (RCTs), however, are inconclusive, with 53 54 some suggesting that consumption of curcumin has beneficial effects and others not ⁷. 55 There are numerous challenges that food formulators face when trying to incorporate 56 curcumin into functional foods and beverages, which are associated with its strong color, low water-solubility, poor chemical stability, and low bioavailability⁸. These same factors may also 57 58 contribute to the inconsistent results obtained in clinical trials of curcumin's efficacy, since the 59 solubility, stability, and bioavailability of curcumin are rarely measured or controlled in these studies ⁹. Effective delivery systems are therefore needed to encapsulate and protect curcumin so 60 61 that it can be successfully introduced into commercial foods and beverages in a bioactive form ¹⁰. Many different kinds of curcumin delivery system have been developed, including micelles, 62 63 nanoemulsions, emulsions, liposomes, biopolymer nanoparticles, microgels, and molecular 64 complexes, which have been reviewed in detail elsewhere ¹⁰⁻¹³. Each of these systems has its

65 own benefits and limitations for specific applications, which are influenced by numerous factors, 66 including the ease of manufacture, cost, robustness, physicochemical properties, sensory attributes, loading capacity, and bioavailability¹⁴. Curcumin can be loaded into the particles 67 68 present in colloidal delivery systems using a variety of methods. For instance, in the case of 69 emulsions, curcumin can be dissolved into the oil phase before homogenization or incorporated 70 into the oil droplets after homogenization. Recently, a simple low-cost method has been 71 developed to load curcumin into preformed colloidal particles, which is based on the pH-72 dependence of its water-solubility ¹⁵. Curcumin is non-charged at low pH values (< pH 8) and 73 has a low water-solubility but it is negatively charged at higher pH values and so has a high 74 water-solubility. The pH-shift method utilizes this phenomenon to load curcumin into the 75 hydrophobic interiors of colloidal particles, such as casein micelles ¹⁵, biosurfactant micelles ^{16, 17}, 76 and emulsions ¹⁸. Typically, the curcumin is dissolved in a strongly alkaline solution which is 77 then mixed with an acidic colloidal dispersion. The reduction in the water-solubility of curcumin 78 at lower pH values drives it into the hydrophobic interior of the colloidal particles. 79 Previously, we have demonstrated that curcumin can be successfully loaded into emulsions 80 using a pH-shift method, and that the resulting system has good bioaccessibility as measured 81 using an *in vitro* digestion model¹⁸. In the current study, we used the pH-shift method to create 82 three different kinds of curcumin-loaded delivery systems: curcumin nanocrystals: curcumin-83 loaded oil bodies (soy milk); and curcumin-loaded nanoemulsions. The formation, 84 physicochemical stability, and gastrointestinal fate of these delivery systems was then measured, 85 as well as their impact on the bioaccessibility of the encapsulated curcumin. This study shows 86 that the pH-shift approach is a simple and versatile method that can be used to load curcumin

87 into a variety of different colloidal delivery systems, including plant-based milks and88 nanoemulsions.

89 2. Material & Methods

90 **2.1 Materials**

91 Corn oil (Mazola, ACH Foods, Cordova, TN) and soymilk (Dairy-free Soy Creamer, Silk, 92 Broomfield, CO) were purchased from a local supermarket and used without further purification. 93 Curcumin (purity 95%) was obtained from Tokyo Chemistry Industries Company (Tokyo, Japan). 94 The following chemicals were purchased from the Sigma-Aldrich Chemical Company (St. Louis, 95 MO): mucin from porcine stomach (M2378-100G); pepsin from porcine gastric mucosa (P7000-96 25G); lipase from porcine pancreas pancreatin (P8096-100G); porcine bile extract (B8831-100G); 97 sodium hydroxide (SS266-4L); sodium chloride (S640-3); ammonium nitrate (A9642-500G); 98 potassium phosphate (P285-500); Nile Red (N3013-100MG); potassium citrate in basic 99 monohydrate (P1722-100G); uric acid sodium salt (U2875-5G); urea (51456-500G); lactic acid 100 sodium salt (71718-10G); and, hydrochloric acid (A144212-2.5L). Potassium chloride (P217-101 500G) and calcium chloride (C1016-500G) were purchased from Fisher Scientific (Fair Lawn, 102 NJ). Quillaja saponin (Q-Naturale® 200) was provided by Ingredion Inc. (Westchester, IL). 103 Chloroform and other reagents were all of analytical grade.

104 **2.2 Preparation protocol**

105The pH-driven method was used to produce three different kinds of colloidal delivery

106 system: curcumin nanocrystals; curcumin-loaded lipid droplets; and, curcumin-loaded oil bodies.

107 This method required the use of a stock alkaline curcumin solution (6 mg/g), which was prepared

108 by dissolving powdered curcumin into sodium hydroxide solution (0.1 N, pH 12.5) for 2 min in

109	the dark at room temperature. The behavior of the delivery systems was compared to that of a					
110	control, which consisted of curcumin powder dispersed directly into water. All systems were					
111	prepared so they had the same final curcumin concentration: 0.25 mg/g.					
112	2.2.1 Control					
113	The curcumin control was prepared by dispersing 7.5 mg of curcumin powder into 30 g of					
114	double distilled water and then stirring.					
115	2.2.2 Curcumin nanocrystals					
116	Suspensions of curcumin nanocrystals in water were prepared using the pH-driven method.					
117	The stock alkaline curcumin solution (6 mg/g) was diluted with double distilled water to reach a					
118	final concentration of 0.25 mg curcumin per g liquid. The resulting mixture was then					
119	immediately adjusted to pH 6.8 and stirred for 10 min in the dark at ambient temperature, which					
120	led to the spontaneous formation of curcumin nanocrystals.					
121	2.2.3 Curcumin-loaded lipid droplets					
122	Curcumin-loaded nanoemulsions were also prepared using the pH-driven method. The					
123	nanoemulsions used consisted of 10% (w/w) corn oil and 90% (w/w) aqueous emulsifier solution					
124	(2% Q-Naturale with 5 mM phosphate buffer solution, pH 6.8). Initially, a coarse emulsion was					
125	prepared using a high-shear mixer to blend the oil and aqueous phases together for 2 min					
126	(M122.1281-0, Biospec Products, Inc., ESGC, Switzerland). These systems were then passed					
127	five times through a high-pressure homogenizer (Microfluidizer M110Y, Microfluidics, Newton,					
128	MA) with a 75- μ m interaction chamber (F20Y) at an operational pressure of 12,000 psi (83					
129	MPa). The resulting nanoemulsions were then mixed with the stock alkaline curcumin solution					
130	and the mixture was immediately adjusted to pH 6.8 and stirred for 10 min in the dark at ambient					

131	temperature. Double distilled water was used to dilute the curcumin-loaded nanoemulsions so
132	the final system contained 5% oil and 0.25 mg of curcumin per g emulsion.

133 2.2.4 Curcumin-loaded oil bodies

Curcumin was loaded into commercial soymilk, which consists of soy oil bodies dispersed within a compositionally complex aqueous solution, using a similar protocol as used to load the nanoemulsions. A known amount of stock curcumin alkaline solution (1.25 g per 30 mL soymilk) was mixed with soymilk and then the mixture was immediately adjusted to pH 6.8. The resulting mixture was then stirred for 10 min in the dark at ambient temperature. The final system was diluted with double distilled water to reach a final concentration of 5% oil and 0.25 mg curcumin per g soymilk.

The composition of the soymilk reported on its label was: soymilk (filtered water, soybeans),
cane sugar, palm oil, maltodextrin, contains 2% or less of soy lecithin, natural flavor, tapioca
starch, locust bean gum, dipotassium phosphate.

144 **2.3 Optical properties**

The optical properties of the different systems were characterized using a colorimeter and digital camara. The instrumental colorimeter (ColorFlex EZ 45/0-LAV, Hunter Associates Laboratory Inc., Virginia, USA) was used to determine the color coordinates: L^* (darkness / lightness); a* (redness / greenness); and b* (yellowness / blueness). A test sample (10 mL) was placed in a petri dish and illuminated with a D65-artificial daylight (10° standard angle) with a black background. The final values were obtained by averaging three replicate measurements per sample.

152 **2.4 Simulated gastrointestinal tract model**

A stimulated gastrointestinal tract (GIT), designed to mimic mouth, stomach, and small intestine stages of the human gut, was used to analyze the potential gastrointestinal fate of the curcumin-loaded delivery systems. An equal amount of each sample (30 mL), which contained 0.25 mg of curcumin per gram of sample, was transferred into a glass beaker for analysis. The nanoemulsion and soymilk contained the same level of oil (5% w/w). This method was slightly modified from the one used in our previous studies ¹⁹⁻²¹

159 2.4.1 Solution preparation

160 For the oral phase, the artificial saliva stock solution (ASSS) and the stock simulated saliva 161 fluid (SSF) were prepared two days and one day before the study, respectively. The ASSS was 162 produced by dispersing sodium chloride (1.594 g/L), ammonium nitrate (0.328 g/L), potassium 163 phosphate (0.636 g/L), potassium chloride (0.202 g/L), potassium citrate (0.308 g/L), uric acid 164 sodium salt (0.021 g/L), urea (0.198 g/L), and lactic acid sodium salt (0.146 g/L) into double 165 distilled water (1 L) at 4°C overnight. A stock simulated saliva fluid (SSF) was prepared by 166 mixing 90 mg of mucin into 30 g of ASSS and storing the mixture at 4°C overnight before 167 carrying out the digestions.

For the gastric phase, a simulated gastric fluid (SGF) and simulated gastric fluid work solution (SGFWS) were prepared. The SGF was prepared by fully dissolving sodium chloride (2 g/L) and hydrochloric acid (83.3 mM/L) into double distilled water at ambient temperature and then stored at 4°C overnight before being used. The SGF was warmed to room temperature and then the SGFWS was prepared by adding pepsin (3.2 mg/g) into the SGF with continuous stirring for 30 min.

For the small intestinal phase, stock simulated intestinal fluids (SIF), bile salt solution, and pancreatic lipase solution were prepared. The stock SIF was produced by dissolving calcium

176 chloride (5.5 g) and sodium chloride (32.87 g) in 150 ml in the double distilled water. The bile 177 salt solution was prepared by continuously stirring porcine bile extract (53.57 mg/mL) with 178 phosphate buffer (5 mM, pH 7) overnight at room temperature. Both stock solutions were stored 179 at room temperature before being used. The lipase solution was prepared by mixing 0.9 mg of 180 pancreatic lipase into 5 ml phosphate buffer (pH 7) immediately before adding to the sample. 181 2.4.2. GIT study 182 Curcumin-loaded samples were passed through stimulated mouth, stomach, and small 183 intestine phases. To stimulate the mouth phase, the initial sample (30 mL) and SSF (30 mL) 184 were preheated to 37 °C and transferred into a glass beaker. The mixture was adjusted to pH 6.8 185 and placed into a shaking incubator (Innova Incubator Shaker, Model 4080, New Brunswick 186 Scientific, New Jersey, USA) with an operation of 100 rpm and 37 °C for 2 min. 40 ml of the 187 "bolus" sample collected from the mouth phase was then mixed with preheated SGFWS (40 mL) 188 and adjusted the pH to 2.5. The resulting mixture was agitated for 2 hours using the same 189 incubator shaker. Finally, the "chyme" (60 mL) samples collected from the stomach phase were 190 transferred to a fresh beaker and incubated in a water bath set at 37 °C. The pH of the sample

191 was adjusted to neutral (pH 7.0) to create a small intestinal environment. Preheated SIF (3 mL)

and bile salt solution (7 mL) were then added into the sample. The pH was adjusted back to

193 neutral. Freshly prepared pancreatic lipase (5 mL) was then added to the mixture and the pH was

again altered back to neutral. An automatic titration unit (Metrohm, USA Inc.) was used to

195 monitor and maintain the sample at pH 7.0 by addition of sodium hydroxide solution (0.25 M).

196 The volume of NaOH (V_{NaOH}) required to neutralize the solution was used to calculate the

197 percentage of free fatty acids released:

198
$$FFA(\%) = 100 \times \frac{V_{NaOH}m_{NaOH}M_{lipid}}{2 W_{liquid}}$$

Here, m_{NaOH} is the molarity of sodium hydroxide solution (0.25 M); M_{lipid} is the molecular
weight of the oil used; and, W_{lipid} is the weight of the oil used in the digestion system (gram).
This equation assumes that two fatty acids are released per triglyceride molecule if the reaction

202 goes to completion.

203 **2.5 Particle characterization**

Two light scattering instruments were used to determine the particle characteristics during digestion. The mean particle diameter and particle size distribution were analyzed using a laser

206 light scattering instrument (Mastersizer 2000, Malvern Instruments Ltd., Malvern,

207 Worcestershire, U.K.). The ζ-potential values were determined using a particle electrophoresis

208 device (Zetasizer Nano, Malvern Instruments, Worcestershire, U.K.). pH-adjusted double

209 distilled water was used to dilute the samples collected from the mouth, stomach, and small

210 intestinal phases, which had the same pH as the sample.

211 **2.6 Microstructure analysis**

212 The microstructure of the samples was characterized using light and confocal scanning 213 fluorescence microscopy (Nikon D-Eclipse C1 80i, Nikon, Melville, NY, USA). The properties 214 of the crystalline curcumin in the initial samples was determined using light microscopy with a 215 cross-polarized lens (C1 Digital Eclipse, Nikon, Tokyo, Japan). Confocal scanning laser 216 microscopy with a 200-fold magnification ($20 \times$ objective lens, $10 \times$ eveplece lens) was used to 217 determine the location of the oils and proteins in the samples. Nile red (1 mg/mL ethanol) and 218 FITC (1mg/mL DMSO) dye solutions were used to stain the oils (red) and proteins (green) in the 219 samples, respectively. The microstructure images were taken and analyzed using analysis 220 software (NIS-Elements, Nikon, Melville, NY).

2.7 Determination of curcumin concentration
A UV-visible spectrophotometer (Cary 100 UV-Vis, Agilent Technologies, Santa Clara, CA,
USA) was used to determine the curcumin concentration in the initial samples, mixed micelle
fraction, and total digest fraction. An organic solvent, chloroform, was used to extract curcumin
from each sample by centrifuging at 3000 rpm for 10 min. The hydrophobic curcumin was
transferred into the chloroform layer. The curcumin concentration was then determined using
the UV-Vis spectrophotometer at a wavelength of 419 nm, and calculated based on a standard
curve prepared by measuring the absorbance of the known curcumin amounts (Supplementary
information Fig. 1).
2.7.1. Encapsulation Efficiency
The encapsulation efficiency of each delivery systems was determined using the following
expression:
Encapsulation Efficiency = $100 \times C_{encapsulated} / C_{initial}$
Here C _{initial} and C _{encapsulated} are the concentrations of curcumin initially added to the system and
that was encapsulated within the delivery system.
2.7.2. Bioaccessibility and Stability
After the small intestine phase, the samples were divided into two fractions: a micelle
sample and a total digest sample. The micelle sample was transferred into a centrifuge tube and
centrifuged (18,000 rpm, 25 °C) for 50 min (Thermo Scientific, Waltham, MA). The resulting
mixed micelle fraction was then collected as the clear supernatant of the samples. The total
digest sample and the initial sample were analyzed without any further processing. The
concentrations of curcumin in the mixed micelle ($C_{micelle}$) and total digest (C_{digest}) samples were
then measured using the spectrometry method described in Section 2.7. These values were then

used to calculate the *bioaccessibility* and *stability* of the curcumin-loaded samples. Here, $C_{micelle}$ represents the amount of curcumin solubilized within the hydrophobic interior of the micelles and vesicles formed in the small intestine, which is therefore in a form that is suitable for absorption. The value of C_{digest} represents the total amount of curcumin measured in the small intestine phase after digestion. The *bioaccessibility* was taken to be the percentage of curcumin solubilized in the small intestine that was solubilized within the mixed micelle phase, whereas the *stability* was taken to be the percentage of total curcumin that remained in the small intestine:

251
$$Bioaccessibility (\%) = 100 \times \frac{C_{micelle}}{C_{digest}}$$

252
$$Stability(\%) = 100 \times \frac{C_{digest}}{C_{initial}}$$

The stability therefore provides information about the potential degradation of curcumin withinthe simulated GIT.

255 **2.8 Statistical analysis**

Each experiment was repeated on at least three freshly prepared samples and the mean and

standard deviation were calculated from these values. Statistical differences among samples

258 were determined using statistical analysis software (SPSS, IBM Corporation, Armonk, NY,

USA), and significant difference was considered to be p < 0.05.

260 3. Results & Discussion

261 **3.1 properties of initial curcumin-containing food matrices**

Initially, the pH-driven method was used to form curcumin nanocrystals, curcumin-loadedoil droplets, and curcumin-loaded oil bodies.

264 *3.1.1 Encapsulation efficiency*

265 The initial concentrations (C_1) of curcumin in the three samples produced using the pH-266 driven method were fairly similar to each other (Table 1), being around 230 µg/mL sample. The 267 fact that the curcumin level in the samples was slightly less than the starting value (250 μ g/mL) 268 can be attributed to some curcumin degradation during sample preparation. Previous studies 269 have reported that a small amount of curcumin is lost when it is solubilized in the strong alkaline 270 solutions used in the pH-driven method ^{22, 23}. These values correspond to an encapsulation 271 efficiency (EE) of around 93%, which is relatively high for colloidal delivery systems. 272 Overall, the pH-driven method was shown to be capable of successfully loading curcumin 273 into both fabricated oil-in-water emulsions and commercial soymilk samples with a high 274 encapsulation efficiency.

275 *3.1.2 Curcumin structure and physical stability*

The structure and location of the curcumin within the different samples were investigated using optical microscopy and digital photography (Fig. 1). The microscopy images showed that the control sample contained relatively large curcumin crystals (Fig. 1b), while the photographs showed that these crystals rapidly sedimented to the bottom of the test tubes (Fig. 1a).

280 Presumably, this phenomenon occurred because the curcumin crystals were relatively large and 281 denser than water. Consequently, they were particularly prone to gravitational separation in the 282 form of sedimentation.

As expected, the curcumin nanocrystals formed by mixing the alkaline curcumin solution with water were much smaller than the curcumin crystals in the control (Fig. 1b), highlighting the ability of the pH-driven method to generate minute nutraceutical crystals. The nanocrystals appeared to have thin needle-like structures that tended to associate with each other, probably

because of hydrophobic attraction. The curcumin nanoparticle suspensions were relatively stable
to gravitational separation immediately after fabrication (Fig 1a), forming a cloudy dispersion
with a relatively uniform appearance. Even so, when they were allowed to stand for 2 hours an
orange sediment was observed at the bottom of the test tubes (Fig. 1a), suggesting that
sedimentation still occurred, albeit at a slower rate than for the control. This phenomenon can be
attributed to the weaker gravitational forces acting on the nanocrystals.
Both the curcumin-loaded nanoemulsion and soymilk formed creamy yellow-orange

dispersions with a uniform appearance, indicating that the oil droplets and oil bodies were stable to gravitational separation. No curcumin crystals were seen at the bottom of the test tubes or in the optical microscopy images when these samples were observed by visual inspection and optical microscopy (Figs. 1a and 1b). These results suggest that the pH-driven method was successful in loading the curcumin into the hydrophobic interiors of the lipid droplets and oil bodies.

300 3.1.3 Color coordinates

The color of the samples was quantified against a black background using an instrumental colorimetry (**Table 1**). Here, L^* is the light/dark axis, which varies from pure black (0) to pure white (100); a^* is the red-green axis, which varies from strongly red (positive) to strongly green (negative); and, b^* is the yellow-blue axis, which varies from strongly yellow (positive) to strongly blue (negative).

After stirring, the control, which consisted of curcumin powder dispersed in water, had a low lightness ($L^{*}=+6.64$), a moderate yellowness ($b^{*}=+7.2$) and a slight redness ($a^{*}=+2.96$) (Table 1). These color parameters can be attributed to the yellow-orange color brought by the curcumin crystals. The suspension of curcumin nanocrystals had a higher lightness ($L^{*}=+25.81$)

310 and yellowness ($b^{*}=+38.80$) than the control, and had a slight greenness ($a^{*}=-2.62$) rather than 311 redness (Table 1). This effect can be attributed to the fact that the curcumin crystals were much 312 smaller in this sample and so they scattered light more strongly and at different wavelengths ²⁴. 313 As a result, more light was reflected from the surface of the samples, leading to a higher 314 lightness. Moreover, the scattered light encountered a higher number of curcumin crystals and so 315 there was a greater degree of selective absorption of the light waves, leading to a more intense 316 vellow color and a change from reddish to greenish. 317 Interestingly, the curcumin-loaded nanoemulsions and oil bodies prepared using the pH-318 driven method had very similar color coordinates (Table 1). They both had relatively high L^* 319 values, strongly positive b^* values, and slightly negative a^* values, which suggests they had a 320 bright yellow color with a tinge of green. These effects can be attributed to the ability of the lipid 321 droplets and oil bodies to scatter light waves strongly, as well as to the ability of the dissolved

322 curcumin molecules to selectively absorb light waves ^{24, 25}.

323 *3.1.4 Particle characteristics*

It was not possible to determine the characteristics of the particles in the samples containing curcumin crystals using light scattering because they were highly non-spherical, having a needlelike structure. One of the assumptions in the analysis of the data for both static and dynamic light scattering instruments is that the particles are spherical. For this reason, only the particle characteristics of the curcumin-loaded lipid droplets and oil bodies were measured.

The static light scattering measurements indicated that the nanoemulsions had a monomodal particle size distribution (PSD) and contained small lipid droplets, *i.e.*, $d_{32} < 200$ nm (Table 1 and Fig. 2). This indicates that the combination of emulsifier and microfluidizer used to prepare the nanoemulsions was effective at generating small lipid droplets. The oil bodies in the soymilk

had a bimodal PSD and contained somewhat larger particles ($d_{32} > 400$ nm) than those found in the nanoemulsions. The relatively large particles found in this commercial product are probably because the size of the oil bodies is determined by their natural origin, rather than homogenization. The broad particle size distribution may also have been because the soymilk contains a range of different types of colloidal particles and biopolymers, which could all have contributed to the light scattering signal. As mentioned earlier, both the lipid droplets and oil bodies exhibited good stability against

340 gravitational separation during storage. According to Stokes's Law, the velocity that a spherical 341 particle moves through a fluid decreases as the particle size decreases, the fluid viscosity 342 increases, and the density contrast decreases ^{26, 27}. The primary reason that the curcumin-loaded 343 nanoemulsions were stable to creaming is the small size of the lipid droplets then contain. 344 Conversely, the good stability of the curcumin-loaded the soymilk may have been because of the 345 fairly small size of the oil bodies, as well as the increase in viscosity associated with the tapioca 346 starch and locust bean gum used as thickening agents in this product.

347 The surface potential of the lipid droplets in the nanoemulsions ($\zeta = -55.8 \text{ mV}$) and the oil bodies in the soymilk ($\zeta = -30$ mV) were both strongly negative (Table 1). A high surface 348 349 potential is important for inhibiting particle aggregation in colloidal dispersions since it leads to a 350 strong electrostatic repulsion ^{26, 27}. The high negative charge on the lipid droplets in the 351 nanoemulsions was attributed to the fact that they were coated with a layer of quillaja saponins, 352 which are known to be strongly anionic due to the presence of carboxylic acid groups on their 353 surfaces. The high negative charge on the oil bodies in the soymilk may have been due to the 354 fact that they are naturally coated by a layer of phospholipids and proteins that are anionic at neutral pH^{28, 29}. 355

356 The microstructure images of the nanoemulsion and soymilk further demonstrated the good 357 aggregation stability of the lipid droplets and oil bodies (Figs.1 b and 5). Both confocal 358 fluorescence and light microscopy images of the curcumin-loaded colloidal systems indicated 359 that no aggregation occurred. However, the sovmilk was seen to contain particles that had a 360 broad range of sizes (Fig 1.b), which agrees with the PSD measurements. The wide range of 361 particles in the soymilk may have been because of the natural variation in oil body dimensions ³⁰ 362 or because it contained a range of other types of colloidal particles and biopolymers, such as 363 starch and locust bean gum.

364

3.2 Gastrointestinal fate of delivery systems

The curcumin-loaded nanoemulsion and soymilk samples were sequentially passed through artificial mouth, stomach, and small intestinal fluids to simulate passage through the human gut. Changes in the structural and physical properties of the samples were measured after exposure to each gastrointestinal stage. The two samples containing curcumin crystals dispersed in water, namely the control and nanocrystal samples, were not analyzed in these experiments because of difficulties in reliably characterizing their properties using light scattering techniques.

371 *3.2.1. Influence of the GIT on particle properties*

Oral phase: After exposure to the oral phase, there was a small but significant increase in the mean diameter of the particles in the nanoemulsions (Figs. 2 and 3). In addition, the magnitude of their negative charged decreased appreciably (Fig. 4). On the other hand, there appeared to be little change in the structural organization of the lipid droplets in the confocal microscopy images (Fig. 5). These results suggest that there was a small amount of lipid droplet aggregation under simulated oral conditions, which can be attributed to depletion or bridging flocculation caused by the mucin in the artificial saliva ^{31, 32}. In addition, there may have been

379 some electrostatic screening of the surface charge by electrolytes in the artificial saliva. In 380 contrast, there was little change in the mean particle size or surface potential of the oil bodies in 381 the soymilk after exposure to the simulated oral phase (Figs. 2 to 4). This suggests that the oil 382 bodies may have been more resistant to aggregation in the artificial saliva, possibly because the 383 mucin interacted with their surfaces less strongly ³²⁻³⁴. The confocal fluorescence microscopy 384 images suggest that there may have been a small amount of clumping of the oil bodies in the oral 385 phase (Fig. 5), but presumably the flocs formed were so weak that they were easily disrupted 386 when the samples were diluted for the light scattering measurements. 387 Stomach phase: The nanoemulsions and soymilk behaved very differently under simulated 388 gastric conditions. There was no significant change in the mean particle diameter of the 389 nanoemulsions when they moved from the oral to stomach phase but a huge increase in the size 390 of the particles in the soymilk (Fig. 3). The PSD measurements and confocal fluorescence 391 images indicated that there was only a small amount of lipid droplet aggregation in the 392 nanoemulsions but extensive clumping of the oil bodies in the soymilk (Figs. 2 and 5). 393 The surface potential of the particles in both the nanoemulsions and soymilk were close to 394 zero after exposure to the small intestine conditions (Fig. 4). This effect can be attributed to a 395 number of physicochemical phenomena occurring in the stomach phase. First, any carboxylic 396 acid and amino groups on the saponins, phospholipids, and proteins would have become 397 protonated in the highly acidic environment of the gastric fluids, leading to a reduction in 398 negative charge and increase in positive charge. Second, the electrolytes in the gastric fluids 399 would have reduced the magnitude of the surface potential by screening the electrostatic 400 interactions. Third, the anionic mucin molecules arising from the artificial saliva may have 401 bound to cationic patches on the surfaces of the particles.

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402	The extensive aggregation of the oil bodies in the soymilk observed under gastric conditions
403	may have been because the soy proteins on their surfaces became positively charged, thereby
404	promoting bridging flocculation by anionic mucin molecules in the surrounding aqueous fluids.
405	Moreover, there would have been little electrostatic repulsion between the oil bodies because of
406	their very low surface charge. Hence, the oil bodies may also have aggregated due to the van der
407	Waals and hydrophobic attraction between them ^{35, 36} . In addition, the proteases (pepsin) in the
408	gastric fluids may have hydrolyzed the proteins at the surfaces of the oil bodies, thereby reducing
409	their aggregation stability ²⁰ . Interestingly, the saponin-coated lipid droplets in the
410	nanoemulsions were relatively stable to aggregation in the gastric fluids (Figs. 2, 3 and 5) even
411	though they only had a very low surface potential (Fig. 4). This suggests that the saponin
412	molecules formed a coating around the lipid droplets that was resistant to disruption in the
413	gastric fluids. Furthermore, this coating may have inhibited extensive aggregation because it
414	generated a strong steric repulsion between the droplets. The good gastric stability of saponin-
415	coated lipid droplets has also been reported in previous studies ^{37, 38} .
416	Small intestine phase: After incubation in the artificial intestinal fluids, the particles in the
417	nanoemulsions remained relatively small (Figs. 2, 3 and 5). On the other hand, most of the large
418	aggregates observed in the stomach phase broke down when the soymilk was incubated in the
419	intestinal fluids. After exposure to lipase, there is likely to be many different kinds of colloidal
420	particles present in the digest, including micelles, vesicles, calcium soaps, and undigested
421	macronutrients, which all contribute to the light scattering signals used to measure the particle
422	size and charge. The confocal microscopy images also showed that both samples contained a
423	wide range of different sized particles.

The particles in the digests arising from the nanoemulsions (-68 mV) and the soymilk (-49
mV) had a strong negative charge. This effect can be attributed to the fact that many of the
constituents in the digestion are anionic at neutral pH, including the bile acids, free fatty acids,
saponins, and peptides. *3.2.2. Lipid digestion profiles*The digestion of the components in the different delivery systems was measured during
incubation in the small intestine phase using a pH-stat automatic titration unit (Fig. 6). The

431 volume of NaOH solution that had to be added to maintain a neutral pH within the reaction432 chamber was highly dependent on sample type. There was little change for both samples

containing only curcumin crystals dispersed in water (control and nanocrystals), which should be
expected because they did not contain any digestible materials. Conversely, there was a rapid
increase in the volume of NaOH solution added to the nanoemulsion and soymilk samples during
digestion, which suggests that the lipids in these samples had been easily digested by the lipase.
Presumably, the lipase molecules adsorbed to the surfaces of the lipids droplets or oil bodies and
hydrolyzed the triglycerides inside.

The percentage of free fatty acids (FFAs) released from these systems was calculated from the titration data (Fig. 6). These results show that the lipid phase was rapidly digested within the first 20 minutes of incubation in the small intestine phase, with slower digestion occurring at later times. By the end of the small intestine phase, most of the lipids in the nanoemulsions (> 86%) and soymilk (> 84%) had been digested. This finding can be attributed to the relatively small particle diameter of these colloidal systems, which leads to a large oil-water surface area for the digestive enzymes to attach and hydrolyze the triglycerides ^{39, 40}. These results suggest

that the small triglyceride particles in both the nanoemulsions and soymilk were easily accessibleto the lipase and effectively hydrolyzed.

448 **3.3.** Bioaccessibility and transformation of curcumin in different delivery systems

Finally, the curcumin concentration in the mixed micelles and in the total digest collected at the end of the small intestine phase was measured for the various samples, and then the stability and bioaccessibility of the curcumin was determined (Fig. 7). This information is important because curcumin in a hydrophobic nutraceutical with a low water-solubility and poor chemical stability. The concentration of curcumin in the total digest represents the fraction that survived throughout the entire simulated GIT model. The concentration of curcumin in the mixed micelles provides an indication of the fraction available for uptake by the epithelium cells.

456 The level of curcumin in the total digest was significantly lower for the control samples than 457 for any of the other samples (Fig 7a). This was probably because some of the large crystals in 458 the curcumin did not make it to the reaction chamber because they stuck to the side of the 459 containers or remained at the bottom of the samples. One would have expected the curcumin to 460 be most chemically stable in the large crystals in the control sample because they have the lowest 461 surface area exposed to water. There was no significant difference in the levels of curcumin in 462 the total digest for the nanocrystals, nanoemulsions, or soymilk (Fig. 7a), suggesting they all had 463 a similar effectiveness at protecting curcumin from chemical degradation. Nevertheless, there 464 was still a reduction in the total level of curcumin present in these samples compared to the 465 amount added initially, with only about 71%-75% remaining (Fig. 7b). This suggests that there 466 may have been some chemical degradation of the curcumin during its passage through the 467 simulated human gut. Curcumin is known to be highly unstable to degradation under neutral and

468 basic conditions, so it is possible that some transformation of the curcumin molecules occurred469 during incubation in the simulated mouth and small intestine conditions.

470 The bioaccessibility was calculated from the ratio of curcumin in the mixed micelle phase to 471 the total digest (Fig. 7b). The bioaccessibility of the curcumin was much higher in the 472 nanoemulsions and soymilk than in the nanocrystal dispersions. This effect can be partly 473 attributed to the fact that the free fatty acids and monoglycerides generated during lipid digestion 474 combined with the phospholipids and bile salts in the small intestinal fluids to form mixed 475 micelles with a higher solubilization capacity for curcumin. Moreover, the transfer of curcumin 476 molecules from the colloidal particles into the micelles may have been easier when they were 477 solubilized in the hydrophobic interiors of the lipid droplets and oil bodies than when they were 478 present in a crystalline form. Interestingly, the bioaccessibility of the curcumin was slightly 479 higher in the soymilk than in the nanoemulsions (Fig. 7b). This suggests that the transfer of the 480 curcumin molecules from the oil bodies to the mixed micelles may have been easier than from 481 the saponin-coated oil droplets.

It should be noted that the commercial soy milk was reported to contain some lecithin and palm oil on its ingredient list. These ingredients might also have contributed to the bioaccessibility of curcumin measured for the soymilk. For instance, the phospholipids in the lecithin, as well as free fatty acids and monoglycerides resulting from digestion of the palm oil, could lead to the formation of more mixed micelles. In future studies, it would be useful to utilize pure oil bodies to investigate their encapsulation efficiency so as to avoid this effect.

488 4. Conclusions

In conclusion, this study demonstrated the effectiveness of the pH-driven method to loadcurcumin into the lipid droplets in nanoemulsions as well as the oil bodies in commercial

491 soymilks. Moreover, it showed that the pH-driven method could also be used to form curcumin
492 nanocrystals dispersed in water. The pH-driven method there appears to be a versatile tool for
493 creating different kinds of curcumin delivery systems.

494 The curcumin-loaded nanoemulsion and soymilk had a homogeneous appearance and good 495 stability to aggregation, which was attributed to the strong negative charge on the lipid droplets 496 and oil bodies. Moreover, the curcumin appeared to remain solubilized within the hydrophobic 497 domains of the lipid droplets and oil bodies, without any evidence of curcumin crystals being 498 formed. There were appreciable differences in the behavior of the nanoemulsions and soymilk in 499 the simulated GIT. In particular, the nanoemulsions appeared to be more susceptible to 500 aggregation in the mouth phase, whereas the soymilk was much more susceptible to aggregation 501 in the stomach phase. Nevertheless, both systems led to a relatively high stability and 502 bioaccessibility of the curcumin at the end of the small GIT model. In contrast, the curcumin 503 nanocrystals had a relatively low bioaccessibility because there were fewer mixed micelles to 504 solubilize the curcumin molecules.

505 Overall, our results show that curcumin can be loaded into different kinds of colloidal 506 delivery system using the pH-driven method and that these systems can have a high 507 bioaccessibility. Consequently, the nanoemulsions and plant-based milk used in this system may 508 be effective delivery systems for curcumin in functional food and beverage applications. It 509 should be noted that there are a number of limitations of the current study. We did not include 510 Phase I and II enzymes in our simulated gastrointestinal model, yet these are known to cause 511 extensive metabolism of curcumin within the human gut. In future studies, it will be important 512 to test the curcumin-loaded delivery systems using more realistic in vitro models or, better still, 513 using in vivo animal or human feeding studies. It will also be important to ensure that the

- 514 delivery systems can survive the harsh conditions that commercial foods and beverages
- 515 experience throughout their lifetime.

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	Control	Nanocrystals	Nanoemulsions	Oil Bodies
$C_{\rm I}$ (µg/mL)	250.00 ± 0^{a}	233.0± 7.2 ^b	233±11 ^b	234.6 ± 9.0^{b}
EE (%)	100 ± 0^{a}	93.2 ± 3.1 b	93.2 ± 4.8 ^b	94 ± 3.8 b
L*	6.64 ±0.80 ^a	25.81 ± 0.24 ^b	84.41 ±0.17 °	82.41± 0.10 °
a*	2.96 ± 0.74 °	-2.62 ± 0.13 °	-6.85 ± 0.06 b	-8.01 ± 0.09 a
<i>b</i> *	7.2 ± 1.3 ª	38.80 ± 0.75 b	80.5 ± 1.1 °	80.62 ± 0.48 °
ζ- potential (mV)	-		-55.8 ± 1.5 ª	-30.3 ± 1.9 ^b
D ₃₂ (µm)	-	_	0.18 ± 0.02 b	0.41 ± 0.01 ^a

Table 1.

Table 1. The initial curcumin concentration (C_1), loading capacity, tristimulus color coordinates (L^* , a^* , b^*), mean particle diameter (D_{32}) and electrical characteristics (ζ - potential) of curcumin-loaded samples. Samples designated with different letters are significantly different (Duncan, p < 0.05).

Fig. 1a





Nanocrystal 2-hour later



Fig. 1. (a) Appearances of curcumin containing sampes (right hand image shows nanocrystals after sedimentation). (b) light microscopy images of curcumin-containing samples. The small bars in the images represent a length of $10 \mu m$.

Fig.2



Fig. 2 Particle size distribution of curcumin-loaded emulsion and oil-bodies prepared using pHdriven method after undergo the different stages of a simulated gastrointestinal tract conditions.



Fig.3 The Mean particle diameter (d_{32}) of the curcumin-loaded emulsion and oil-bodies under exposure to the simulated gastrointestinal tract model. Both Different lowercase and capital letters represent significant different (Duncan, p < 0.05) of the particle diameter between the same digestion phases

Fig.4



Fig. 4 influence of stimulated gastrointestinal tract models on the surface charge of the curcumin loaded nanoemulsion and oil-bodies. Both Different capital and lowercase letters mean significant difference (Duncan, p < 0.05) of the particle charge in samples between the same digestion phase;





Fig. 5. The microstructure of the curcumin-loaded nanoemulsion and oil bodies under exposure to gastrointestinal condition. the confocal microscope was used to obtain the photo with fluorescent dye and a scale length of 10 μ m. The red regions represent lipid and green means the protein

Fig. 6



Fig. 6 a. The Calculated free fatty acid release profile for curcumin-loaded emulsion and oil bodies; b. influence of curcumin-loaded sample with and without lipid on NaOH profile









Fig 7 (a). Influence of curcumin-loaded water, emulsion and oil bodies on curcumin concentration in mixed micelles and raw digest; (b). and bioaccessibility and stability of curcumin. Different lowercase letters and capital letters both mean significant difference (Duncan, p < 0.05) of the particle charge in samples within the same digestion phases