

Environmental Science Water Research & Technology

N-Nitrosodimethylamine (NDMA) Formation and Mitigation in Potable Reuse Treatment Trains Employing Ozone and Biofiltration

Journal:	Environmental Science: Water Research & Technology
Manuscript ID	EW-ART-12-2018-000926.R1
Article Type:	Paper
Date Submitted by the Author:	13-Feb-2019
Complete List of Authors:	Bacaro, Fernanda; University of Nevada Las Vegas; Trussell Technologies, Inc. Dickenson, Eric; Southern Nevada Water Authority, Trenholm, Rebecca; Southern Nevada Water Authority, Water Quality Research and Development Gerrity, Daniel; University of Nevada, Las Vegas, Civil and Environmental Engineering and Construction



N-nitrosodimethylamine (NDMA) Formation and Mitigation in Potable Reuse Treatment Trains Employing Ozone and Biofiltration

Water Impact Statement

The carcinogenic disinfection byproduct NDMA is one of the few compounds with adverse public health impacts at the ng/L level. Some advanced treatment processes used in potable reuse applications are linked to NDMA formation, thereby requiring downstream mitigation measures. This paper evaluates the potential for optimization of biofiltration to remove NDMA and its ozone- and chloramine-reactive precursors.

1	N-Nitrosodimethylamine (NDMA) Formation and Mitigation in Potable Reuse
2	Treatment Trains Employing Ozone and Biofiltration
3	
4	FERNANDA BACARO ^{1,2} , ERIC DICKENSON ³ , REBECCA A. TRENHOLM ³ , DANIEL
5	GERRITY ¹ *
6	
7	¹ Department of Civil and Environmental Engineering and Construction, University of Nevada,
8	Las Vegas, Box 454015, 4505 S. Maryland Parkway, Las Vegas, NV 89154-4015, United States
9	² Trussell Technologies, Inc., 232 N. Lake Avenue Suite 300, Pasadena, CA 91101, United States.
10	³ Applied Research and Development Center, Southern Nevada Water Authority, P.O. Box 99954,
11	Las Vegas, NV 89193, United States
12	
13	*Corresponding author. Mailing address: Department of Civil and Environmental Engineering
14	and Construction, University of Nevada, Las Vegas, Box 454015, 4505 S. Maryland Parkway,
15	Las Vegas, NV 89154-4015, United States. Phone: (702) 895-3955. Fax: (702) 895-3936. Email:
16	Daniel.Gerrity@unlv.edu.
17	

18 Abstract

19 Ozone and chloramines are critically important for achieving stringent public health 20 criteria and operational water quality objectives in potable reuse treatments trains, but these 21 disinfectants are also linked to the formation of N-nitrosodimethylamine (NDMA). In the current 22 study, a pilot-scale ozone-biofiltration system was used to treat membrane bioreactor (MBR) 23 filtrate from a full-scale water reclamation facility. Experiments were designed to assess the roles 24 of preoxidation, empty bed contact time (EBCT), and media type on NDMA formation and 25 removal. In biological activated carbon (BAC) and anthracite columns receiving pre-ozonated 26 MBR filtrate, EBCTs ≥10 min achieved >90% NDMA removal, while an EBCT of 2 min 27 achieved only 30-40% NDMA removal. A control BAC column receiving non-ozonated MBR filtrate achieved a maximum removal of ~45%, even with an EBCT of 20 min. Moreover, this 28 29 non-ozonated BAC column still exhibited inferior performance during a short-term transition to 30 pre-oxygenated or pre-ozonated MBR filtrate. This suggests that media conditioning with preozonated MBR filtrate selected for a microbial community that was better adapted to NDMA 31 32 biodegradation. The presence of monooxygenase genes and microbial taxa suspected to be 33 involved in NDMA biodegradation was also confirmed in the biofiltration columns. When 34 subjected to final chloramination, pre-ozonation (but not biofiltration alone) was effective in 35 transforming NDMA precursors and reducing NDMA formation by up to 96%. Ancillary 36 monitoring of trace organic compounds (TOrCs) also highlighted potential concerns related to 37 the persistence of perfluoroalkyl acids (PFAAs) in potable reuse applications. 38

39 Keywords: Potable reuse; ozone; biofiltration; *N*-nitrosodimethylamine (NDMA);

40 monooxygenase; perfluoroalkyl acid (PFAA).

41 **1.0 Introduction**

42	To ensure adequate public health protection in potable reuse systems, regulatory
43	frameworks often mandate the use of a multi-barrier treatment train capable of mitigating risks
44	associated with a wide range of chemical and biological constituents. ¹ In many potable reuse
45	systems, wastewater effluent is purified at an advanced water treatment facility (AWTF)
46	employing microfiltration (MF) or ultrafiltration (UF), reverse osmosis (RO), and a UV
47	advanced oxidation process (AOP)-a combination described as full advanced treatment (FAT). ²
48	However, FAT is energy-intensive, costly from a capital and operational perspective, and
49	requires disposal of concentrated brine streams. ³
50	As an alternative to FAT, treatment trains employing ozone-biofiltration are gaining
51	interest in the water reuse industry due to their 'equivalency' in the context of public health
52	protection, ⁴ but more specifically because of their lower costs. ⁵⁻⁶ Ozone-biofiltration has been
53	shown to transform and/or remove significant quantities of trace organic compounds (TOrCs)
54	and bulk organics, ⁷⁻¹⁰ in part by increasing the level of biodegradable dissolved organic carbon
55	(BDOC). Specifically, oxidation by ozone and hydroxyl radicals transforms effluent organic
56	matter (EfOM)-measured as total organic carbon (TOC) or dissolved organic carbon (DOC)-
57	into smaller, more assimilable compounds. ¹¹ This decreases the aromaticity of the water as the
58	EfOM is transformed into short-chain molecules (e.g., aldehydes and ketones) that are
59	subsequently removed during downstream biofiltration. ¹²⁻¹³ This has the added benefit of
60	reducing disinfection byproduct (DBP) formation during final disinfection. ^{2,14-16}
61	However, ozone-biofiltration has a practical limit with respect to overall TOC removal.
62	FAT is capable of removing more than 90% of the TOC present in the wastewater-derived
63	feed, ¹⁷ while TOC removal with ozone-biofiltration ranges from only 5% to 50%, ^{8-9,16,18}

depending on operational conditions and biofiltration media type and age. This presents an issue
in jurisdictions with stringent TOC guidelines, such as California's limit of 0.5 mg/L of
wastewater-derived TOC.¹⁹ In order to maximize TOC removal, minimize DBP formation
potential, and reduce concentrations of recalcitrant TOrCs [e.g., perfluoroalkyl acids (PFAAs)],
some ozone-biofiltration systems are being supplemented with granular activated carbon (GAC)
for final 'polishing'.²⁰

70 Another critical public health concern for potable reuse is the formation of the DBP and 71 probable human carcinogen N-nitrosodimethylamine (NDMA), which has a notification level of 72 10 ng/L in California¹⁹ and a 10⁻⁶ risk level of 0.69 ng/L.²¹ NDMA has been shown to form 73 during chloramination^{15,22-24} and ozonation^{15,24-25} of wastewater effluents. Because there are 74 distinct groups of precursors for each oxidant,²⁶ pre-ozonation can achieve net NDMA reductions in some chloraminated systems,²⁷ but systems with high concentrations of ozone-75 reactive precursors may experience net increases in NDMA.^{5,24} 76 77 For some DBPs, specifically trihalomethanes (THMs) and haloacetic acids (HAAs), TOC 78 concentration can be a useful predictor of DBP formation potential.^{16,28} However, the wide range of precursors present at trace concentrations and with varying molar yields²⁶ makes it difficult to 79 80 predict NDMA formation potential with easily measured surrogates, thereby necessitating 81 multiple treatment barriers for NDMA mitigation. High-dose UV irradiation is often identified as the best available treatment technology for NDMA,²⁹ but biofiltration has also been shown to 82 achieve NDMA concentrations <10 ng/L.^{2,7,15,24} 83 84 In addition to the knowledge gap related to NDMA formation mechanisms and major

precursors, NDMA biodegradation has not yet been fully elucidated. Some pathways for NDMA
biodegradation are known,³⁰⁻³¹ with many studies focusing on individual bacterial strains and

their respective enzymes (e.g., monooxygenases).³⁰⁻³⁴ Although these studies are useful for a 87 88 fundamental understanding of the co-metabolic pathway, they may not be broadly applicable to 89 environmental systems (e.g., wastewater biofiltration) harboring diverse microbial 90 communities³⁵⁻³⁶ and potentially containing promoting or inhibitory substances. 91 Therefore, additional studies are needed to understand the role of individual unit 92 processes and operational conditions in potable reuse treatment trains on the formation and 93 attenuation of NDMA. Ultimately, this knowledge can be leveraged to further enhance, 94 stimulate, or select for conditions that minimize NDMA concentrations in potable reuse product 95 waters. Within this context, the aim of this study was to investigate the role of different 96 operational parameters, including empty bed contact time (EBCT), dissolved oxygen (DO), 97 BDOC, and media type, on NDMA formation (with ozone and chloramines) and attenuation in a 98 pilot-scale ozone-biofiltration system. Ancillary TOrC monitoring was also performed to 99 validate the performance of the system with respect to well-characterized indicator compounds 100 and to characterize the occurrence of recalcitrant PFAAs. This study also evaluated microbial 101 community structure and the presence of monooxygenase genes within the biofiltration system. 102 2.0 Material and methods 103 2.1 Study site and pilot-scale ozone-biofiltration system 104 A 1-liter-per-minute pilot-scale ozone-biofiltration system was constructed and operated 105 at a full-scale water reclamation facility in Nevada. The full-scale facility employs a membrane 106 bioreactor (MBR) with full nitrification (solids retention time of 8-10 days) and partial 107 denitrification, and solids separation is achieved with a 0.04-um membrane. Ozonated MBR 108 filtrate was fed to two biofilters: one containing anthracite with a diameter of 1.2 mm and

another containing biological activated carbon (BAC) with a diameter of 0.95 mm. The

110	anthracite was obtained from the San Jose Creek Water Reclamation Plant in Los Angeles, CA,
111	and the BAC was exhausted GAC (Norit 820, Cabot Corporation, Alpharetta, GA) with over 10
112	years of use at the F. Wayne Hill Water Resources Center in Gwinnett County, GA. A separate
113	column filled with the same BAC received non-ozonated MBR filtrate and served as the
114	experimental control. All three columns were 2.5 cm in diameter and packed with media to a
115	depth of approximately 70 cm. Filter media sampling ports were located at bed depths of 7.6 cm
116	and 42 cm. A more detailed description of the ozone-biofiltration system is provided in Text S1
117	in the Supplementary Information (SI).
118	2.2. Analytical methods
119	2.2.1 Bulk organic matter characterization and nutrient quantification
120	Text S2 provides a summary of the methods used for TOC quantification, bulk organic
121	matter characterization with UV absorbance and fluorescence, and nutrient quantification.
122	2.2.2 NDMA
123	Reagent grade NDMA was purchased from Sigma-Aldrich (St. Louis, MO) and used to
124	spike the non-ozonated and ozonated MBR filtrate in a subset of the experiments. Samples
125	intended for NDMA analysis were collected in 1-L amber glass bottles containing 1 g/L of
126	sodium azide for preservation and 80 mg/L of sodium thiosulfate for quenching. NDMA was
127	analyzed using gas chromatography tandem mass spectrometry (GC-MS/MS) and isotope
128	dilution based on a previously described modification of U.S. EPA method 521. ^{24,37-38} Briefly,
129	automated solid phase extraction was performed using a Dionex AutoTrace workstation (Thermo
130	Scientific, Sunnyvale, CA, USA). Analyses were performed using an Agilent 7890B GC with a
131	PAL RSI 85 autosampler and a 7010 triple quadrupole MS (Agilent, Santa Clara, CA, USA).
132	NDMA was monitored by multiple reaction monitoring in electron ionization mode using ion

133 transitions 74 \rightarrow 44 m/z for quantification and 74 \rightarrow 42 m/z for confirmation. Quantification was 134 done using isotope dilution with d_6 -NDMA (80 \rightarrow 50 m/z). Method reporting limits (MRLs) for 135 NDMA ranged from 2.9 to 28 ng/L, depending on the sample matrix and dilution. 136 **Indicator TOrCs and PFAAs** 2.2.3 137 Samples for TOrC or PFAA analysis were collected in 1-L amber glass or high density 138 polyethylene bottles, respectively, containing 1 g/L of sodium azide for preservation and 50 139 mg/L of ascorbic acid for quenching. The target TOrCs included acetaminophen, atenolol, 140 caffeine, carbamazepine, N,N-diethyl-meta-toluamide (DEET), fluoxetine, gemfibrozil, 141 ibuprofen, meprobamate, naproxen, primidone, sucralose, sulfamethoxazole, tris(2-chloroethyl) 142 phosphate (TCEP), triclocarban, triclosan, and trimethoprim. The target PFAAs included 143 perfluorodecane sulfonic acid (PFDS), perfluorodecanoic acid (PFDA), perfluorononanoic acid 144 (PFNA), perfluorooctane sulfonic acid (PFOS), perfluorooctanoic acid (PFOA), 145 perfluoroheptanoic acid (PFHpA), perfluorohexane sulfonic acid (PFHxS), perfluorohexanoic 146 acid (PFHxA), perfluoropentanoic acid (PFPeA), perfluorobutane sulfonic acid (PFBS), and 147 perfluorobutanoic acid (PFBA). TOrCs were analyzed using liquid chromatography tandem mass spectrometry (LC-MS/MS) with isotope dilution according to Vanderford et al.³⁹ and Vanderford 148 149 and Snyder.⁴⁰ The PFAAs were analyzed using LC-MS/MS with isotope dilution, surrogate 150 standard, or external calibration according to Appleman et al.⁴¹ and Pisarenko et al.³⁸ LC-MS/MS 151 was performed with an API 4000 triple quadrupole mass spectrometer (AB SCIEX, Foster City, 152 CA) operated in positive (TOrCs) and negative (TOrCs and PFAAs) ionization modes. MRLs 153 were established at 3-5 times the method detection limits and ranged from 0.25 ng/L to 10,000 154 ng/L for the TOrCs and 0.5 ng/L to 5 ng/L for the PFAAs, depending on the target compound, 155 sample matrix, and dilution.

156 2.3. Microbiological analyses

157 **2.3.1** Attached ATP

158 Attached adenosine triphosphate (ATP) was used as a surrogate for biomass density on 159 the biofiltration media. The Deposit and Surface Analysis ATP test kit (Hach, Loveland, CO) 160 was used to extract ATP from the attached biomass, and a PhotonMaster Luminometer 161 (LuminUltra Technologies Ltd, New Brunswick, Canada) was used to measure the ATP in each 162 sample via luminescence. Duplicate samples of 1 gram of wet BAC and anthracite were dried at 163 105°C for 24 hours to determine the average moisture content for each media type (57% for 164 BAC and 33% for anthracite). These values were then used to adjust and report the ATP 165 concentrations based on dry media weight.

166 **2.3.2 Monooxygenase genes**

167 BAC and anthracite media were collected from both sampling depths in the biofilter 168 columns (7.6 cm and 42 cm), and DNA was extracted using a DNeasy PowerBiofilm DNA 169 Isolation Kit (QIAGEN, Hilden, Germany) according to manufacturer instructions. Purified 170 DNA was quantified using a Nanodrop 1000 (ThermoFischer Scientific, Waltham, MA) and a 171 Qubit 3.0 Fluorometer (Life Technologies, Carlsbad, CA). Target DNA sequences were then 172 quantified with quantitative polymerase chain reaction (qPCR) using a CFX96 Touch Real-Time 173 PCR Detection System (Bio-RAD, Hercules, CA) with the following temperature profile: 2 min 174 of initial denaturation at 95°C; 40 cycles of 15 s of denaturation at 95°C, 30 s of annealing at 55°C, and 30 s of extension at 72°C; and 5 min of final extension at 72°C. The target 16S rRNA 175 176 and monooxygenase genes and associated primers are summarized in Table 1, and additional 177 details are included in Text S3.

178

2.3.3 Microbial community structure

179 The same DNA extracts were frozen and shipped to RTLGenomics (Lubbock, TX), where 180 the samples were amplified using degenerate primers targeting the V1-2 region of the 16S rRNA 181 gene (28F and 388R; Table 1) and then sequenced using a MiSeq sequencer (Illumina, San 182 Diego, CA). The raw sequencing data provided by RTLGenomics were deposited in NCBI's 183 Sequence Read Archive (SRA) under BioProject ID PRJNA521999. Additional details related to 184 DNA extraction, primer coverage, sequencing, and data analysis were described previously in Gerrity et al.³⁶ and are also summarized in Text S5. 185 186 2.4. **Experimental approach** 187 2.4.1. Phase 1: NDMA biodegradation as a function of EBCT 188 All experimental phases were performed once consistent TOC removal was observed 189 through each of the biofilter columns. The primary focus of phase 1 testing was evaluating 190 NDMA biodegradation as a function of EBCT, pre-ozonation, and media type. Ozonated $(O_3/TOC = 1.0)$ and non-ozonated MBR filtrate were spiked with NDMA to target a final 191 192 concentration of ~300 ng/L. This allowed for quantification across a wider range of 193 concentrations. The 300-ng/L target is also representative of systems with extremely high 194 concentrations of ozone-reactive NDMA precursors.⁴ EBCTs of 2, 10, and 20 min were tested in 195 the ozonated BAC, ozonated anthracite, and non-ozonated BAC. The biofiltration columns were 196 operated for three times the target EBCT to promote steady state conditions prior to sample 197 collection. Each condition was tested with experimental duplicates, and removal percentages 198 were calculated relative to the actual concentrations in the feed tank, which were evaluated at the 199 beginning and end of each experiment. The feed concentrations were consistent across each 200 testing phase.

201 2.4.2. Phase 2: NDMA biodegradation as a function of pretreatment

202 Phase 2 testing aimed to compare the effects of pre-ozonation (high BDOC and high 203 DO), pre-oxygenation (oxygen concentrator on but ozone generator off; low BDOC and high 204 DO), and no pretreatment (low BDOC and low DO) on NDMA biodegradation with BAC only. 205 Pre-ozonation was qualitatively assumed to achieve 'high' BDOC concentrations, which is 206 supported by the greater TOC removal observed during biofiltration in the presence vs. absence 207 of pre-ozonation (shown later in Figure 1). DO concentrations in the biofilter feed ranged from 208 16-21 mg/L for pre-ozonation and pre-oxygenation and 4-5 mg/L for the ambient MBR filtrate. 209 The feed waters were again spiked with NDMA to a final concentration of $\sim 300 \text{ ng/L}$, and the 210 biofiltration system was operated for three times the target EBCT prior to sample collection. The 211 O₃/TOC was 1.2 for the ozonated feed water, and the EBCT was fixed at 10 minutes. Each water 212 was fed to the typically ozonated BAC column and the typically non-ozonated BAC column, and 213 each condition was evaluated using experimental triplicates.

214 **2.4.3.** Phase 3: NDMA formation upon final chloramination

215 Phase 3 testing focused on NDMA formation during chloramination and the role of ozone 216 and/or biofiltration in oxidizing/removing chloramine-reactive NDMA precursors. Chloramine 217 dosing followed the uniform formation conditions (UFC) approach, which targets a total chlorine 218 residual of 1.0 ± 0.4 mg/L as Cl₂ after 3 days in the dark at room temperature.⁴²⁻⁴³ UFC dosing 219 was performed in duplicate for each sample. The O₃/TOC for these experiments was 1.6, and the 220 EBCT was fixed at 10 minutes for the ozonated BAC, ozonated anthracite, and non-ozonated 221 BAC columns.

222 2.4.4. Phase 4: Evaluation of TOrC and PFAA attenuation

223 Phase 4 testing focused on attenuation of the target TOrCs and PFAAs. The O_3 /TOC was

1.3, and the EBCT was fixed at 10 min for the ozonated BAC and non-ozonated BAC columns.

225 Only single samples were analyzed for each experimental condition.

- 226 **3.0** Results and discussion
- 227 **3.1.** Ger

. General water quality parameters

228 Table 2 summarizes the results from weekly monitoring of general water quality 229 parameters during long-term operation of the pilot-scale ozone-biofiltration system. Ozone alone 230 generally resulted in a minimal reduction in TOC (5% on average) but a clear transformation of 231 bulk organic matter, as demonstrated by the reduction in UV₂₅₄ absorbance (Table 2) and 232 changes in EEM 'fingerprints' (Figure S2). Biofiltration was critical for the removal of TOC, 233 with pre-ozonation coupled with BAC or anthracite achieving average TOC reductions of 22% 234 and 15%, respectively. The superior performance of BAC relative to anthracite has often been attributed to greater biomass density.⁴⁴ However, recent studies suspect that differences in 235 236 performance may actually be attributable to adsorption/desorption dynamics or differences in microbial community structure.¹⁶ This is because biomass density, as measured by attached ATP, 237 238 is not always greater in ozonated BAC systems and does not always correlate with TOC removal.¹⁶ In the current study, total attached ATP levels were estimated to be $(7.71\pm5.74)\times10^{10}$ 239 240 pg, $(3.82\pm2.11)\times10^{10}$ pg, and $(4.01\pm2.23)\times10^{11}$ pg in the ozonated BAC, ozonated anthracite, and 241 non-ozonated BAC columns, respectively (Table S4). 242 In reuse applications, TOC removal has also been shown to be a function of O₃/TOC and EBCT.¹⁶ although the 'optimal' EBCT for TOC removal is generally less than 15 min.⁴⁵ In 243

conjunction with phase 1 testing, TOC removal was also evaluated as a function of EBCT but

with a constant O_3/TOC of 1.0 (Figure 1). The raw data for Figure 1 are summarized in Table S1.

246	Consistent with the literature and the data in Table 2, TOC removal followed a trend of O_3 -
247	BAC≥O ₃ -ANT>BAC, and TOC removal plateaued at an EBCT of 10 min. For this particular
248	experiment, maximum TOC removal ranged from ~10% for biofiltration to 35-40% for ozone-
249	biofiltration, thereby resulting in minimum effluent TOC concentrations of 4.5-5.0 mg-C/L. Both
250	ozone-biofiltration effluents actually contained higher TOC concentrations with an EBCT of 20
251	min, either due to experimental variability (e.g., changes in TOC concentration of the feed water)
252	or potentially the release of soluble microbial products (SMPs) by the microbial community. The
253	latter theory is supported by the increase in fluorescence observed in the ozone-BAC effluent
254	relative to the ozonated MBR filtrate (Figure S2).
255	Maximum TOC concentrations are not always stipulated in potable reuse regulations, but
256	regulatory limits have been established in some states in the U.S., ranging from 0.5 mg/L in
257	California ¹⁹ to 3 mg/L in Florida. ¹ Consistent with U.S. EPA recommendations, ¹ Arnold et al. ¹⁶
258	identified a target effluent TOC concentration of 2.0-3.3 mg/L to ensure compliance with the 80-
259	μ g/L maximum contaminant level (MCL) for total THMs. Therefore, additional treatment (e.g.,
260	GAC) or dilution with low-TOC surface or ground water would likely be necessary to mitigate
261	DBP concerns if this ozone-biofiltration effluent was intended for a potable reuse application.
262	3.2. Phase 1: NDMA biodegradation as a function of EBCT
263	Based on recent literature, there appears to be a weak relationship between direct NDMA
264	formation and ozone dose. Figure 2 provides a summary of bench-scale data from the literature
265	for a range of secondary effluent water qualities, including both non-nitrified (F) and fully
266	nitrified (A-E) wastewaters. ^{5,46} The data indicate that direct formation during ozonation ranges

- from the low ng/L level to more than 100 ng/L and is not necessarily a function of nitrification.
- 268 Moreover, NDMA formation seems to be independent of ozone dose beyond an O₃/TOC of 0.5;

Pisarenko et al.³⁸ showed a weak relationship for $O_3/TOC < 0.5$ and minimal impact from H_2O_2 269 270 addition. Considering that many potable reuse systems will employ an O₃/TOC of at least 0.5,⁵ at 271 which point NDMA formation has reached a maximum, the current study focused only on the 272 effects of EBCT for NDMA attenuation during biofiltration. 273 During phase 1 testing, the ambient NDMA concentration in the MBR filtrate was 7 274 ng/L, and direct NDMA formation with an O₃/TOC of 1.0 was 26 ng/L, thereby suggesting a 275 moderate concentration of ozone-reactive NDMA precursors. Figure 3 illustrates NDMA 276 removal as a function of EBCT; again, the feed waters had been spiked with \sim 300 ng/L of 277 NDMA prior to biofiltration. Interestingly, the ozonated BAC and ozonated anthracite achieved 278 similar NDMA removal (maximum removal > 90%), but there were diminishing returns beyond 279 an EBCT of 10 min. This is consistent with the TOC removal and reductions in DBP formation potential observed in Gifford et al.⁴⁵ and Arnold et al.¹⁶ Wu and Xie⁴⁷ observed improvements in 280 281 HAA mitigation with longer EBCTs but only at low temperatures (<10°C). Despite a lower 282 overall removal (maximum removal <50%), longer EBCTs were advantageous for the non-283 ozonated BAC, as NDMA removal doubled for an EBCT of 20 min vs. 10 min. Assuming first 284 order kinetics (Eq. 1), the rate constants describing biodegradation of NDMA relative to EBCT 285 (k_{NDMA}) were 0.20 min⁻¹, 0.19 min⁻¹, and 0.03 min⁻¹ for ozonated BAC, ozonated anthracite, and 286 non-ozonated BAC, respectively.

287

$$\ln\left(\frac{\text{NDMA}_{f}}{\text{NDMA}_{0}}\right) = -k_{\text{NDMA}} \times \text{EBCT}$$
(Eq. 1)

Although there were only small improvements in removal percentage for the 20-min EBCTs in the ozonated biofiltration columns, those improvements might be necessary when targeting stringent potable reuse regulations (e.g., the 10-ng/L notification level) in systems with high concentrations of ozone-reactive NDMA precursors. For ozone-biofiltration, the 10-min 292

EBCT achieved an average effluent NDMA concentrations of ~ 30 ng/L, whereas the 20-min

293	EBCT achieved effluent NDMA concentrations <10 ng/L. The non-ozonated BAC column
294	achieved a minimum effluent NDMA concentration of 130 ng/L. The superior performance of
295	the ozonated biofiltration columns suggested a positive correlation between BDOC and/or DO
296	(byproducts of ozonation) and NDMA removal, which was the focus of phase 2 testing.
297	3.3 Phase 2: NDMA biodegradation as a function of pretreatment
298	3.3.1 Phase 2a: NDMA biodegradation profile
299	The defining feature of phase 2 was the fact that MBR filtrate with three different
300	pretreatment conditions (high BDOC/high DO, low BDOC/high DO, low BDOC/low DO) was
301	fed to the typically ozonated BAC column and the typically non-ozonated BAC column. Figure 4
302	shows that NDMA removal for the typically ozonated BAC column was consistent with the 10-
303	min EBCT data from phase 1 (i.e., ~90% removal for ozone-biofiltration), but interestingly,
304	NDMA removal was independent of pretreatment. As expected, the greatest level of TOC
305	removal was observed for the ozonated feed water ($31\pm1.4\%$), but the oxygenated ($24\pm1.0\%$)
306	and untreated (22±1.4%) MBR filtrates also exhibited relatively high TOC removals for that
307	column. As this was only a short-term test, TOC removal (and possibly NDMA removal) would
308	be expected to decrease for the oxygenated and untreated MBR filtrates over a longer operational
309	period, but this was not confirmed in the current study.
310	The typically non-ozonated column exhibited considerably different removal profiles.
311	With respect to TOC, the ozonated feed water exhibited the greatest removal (30±1.0%),
312	presumably due to its higher BDOC concentration, while the oxygenated (11±3.9%) and
313	untreated (22±0.4%) MBR filtrates exhibited expectedly lower removals. With respect to the
314	relatively poor performance for the oxygenated MBR filtrate, it is possible that the microbial

315 community was actually inhibited by the 4-fold increase in DO (up to 20 mg/L), particularly 316 when also challenged by the limited BDOC content relative to the ozonated feed water. Under 317 supersaturated conditions, accumulation of reactive oxygen species (e.g., hydrogen peroxide and superoxide) has been shown to be toxic to microorganisms.⁴⁸⁻⁴⁹ although this was not observed 318 319 for pre-ozonation. Since NDMA biodegradation is proposed as a cometabolic pathway, ³² NDMA 320 removal was expected to be higher for the ozonated feed water, but the opposite trend was 321 actually observed. NDMA removal was lowest for the ozonated feed water (36±0.0%) and then 322 increased for the oxygenated ($45\pm2.3\%$) and untreated MBR filtrate ($51\pm4.3\%$). The 51%323 removal for the MBR filtrate was also more than double the removal observed for the 10-min 324 EBCT in phase 1. 325 Collectively, these data suggest that TOC removal, BDOC concentration, and DO 326 concentration are unreliable surrogates/predictors of NDMA removal during biofiltration. 327 Instead, long-term exposure to higher concentrations of NDMA and/or ozone transformation 328 products may be a more significant factor affecting NDMA removal in biofiltration systems. In 329 support of this hypothesis, a recent study demonstrated changes in the microbiota of BAC 330 biofilters over 60 days of exposure to 1 μ g/L of NDMA and other nitrosamines, although the 331 study did not evaluate changes in gene expression that could be explicitly linked to NDMA

biodegradation.⁵⁰ Using soil columns receiving chloraminated/dechlorinated secondary effluent

333 with nitrification and denitrification, Trussell et al.⁵¹ also showed increases in NDMA and TOC

removal over time, thereby suggesting microbial community acclimation, selection of relevant

335 microbiota, or upregulation of relevant gene expression.

336 **3.3.2** Phase 2b: Microbial community characterization

337 Propane monooxygenases have been linked to NDMA cometabolism (Text S3), thereby 338 making them a potentially important target for characterizing NDMA biodegradation potential. 339 As such, media samples were collected from each of the biofilter columns with the intent of 340 comparing relative abundance of monooxygenase genes and overall microbial community 341 structure. However, following extraction and purification, DNA quantification indicated high 342 levels of interference and/or extraction efficiency issues for the ozonated BAC and non-ozonated 343 BAC samples (Table S5). This was observed with repeated extractions using multiple kits, with 344 varying quantities of BAC media, with a supplemental freeze/thaw step, and with bead beating. 345 The UV₂₆₀ absorbance measurements by Nanodrop indicated relatively high concentrations of 346 DNA for all samples (13.7 \pm 1.1 ng/µL), which were expected based on the aforementioned ATP 347 data (Table S4). In contrast, the fluorescence-based Qubit measurements indicated high DNA 348 concentrations in the anthracite extracts (12.2 \pm 4.2 ng/µL) but very low DNA concentrations for 349 the BAC extracts (0.08 ± 0.05 ng/ μ L). This was confirmed by quantification of the 16S rRNA 350 gene in each sample, with anthracite yielding $(5.7\pm4.4)\times10^7$ gene copies per gram and BAC 351 yielding only $(3.2\pm0.17)\times10^4$ gene copies per gram (Table S6). Possible reasons for these 352 discrepancies include stronger adsorption/biofilm attachment to the activated carbon and/or the 353 presence of inhibitory substances adsorbed onto the BAC (e.g., organics, heavy metals, etc.) that 354 may have interfered with DNA extraction.⁵² Unfortunately, this meant that the molecular 355 analyses were effectively limited to the ozonated anthracite media. 356 Figure 5 summarizes the results from the qPCR assays for the 16s rRNA gene (as a 357 surrogate for overall biomass) and the *prm*A, *prm*B, and *prm*E monooxygenase genes. All four 358 target sequences exhibited higher counts in the upper portion of the biofiltration column,

359 consistent with the ATP data in Table S4. More importantly, all three monooxygenase genes

Page 18 of 43

360 appeared to be abundant, ranging from 0.01% to 1% relative abundance. Their detection also

361 provides support for the theory that monooxygenases may be responsible for NDMA

362 biodegradation in environmental systems.

363	Text S5 summarizes the results of the 16S rRNA gene sequencing analysis. Among
364	genus-level taxa, Mycobacterium was differentially abundant in the upper portion of the
365	anthracite biofilter (high = 31%, low = 8%), and Bradyrhizobiaceae (family; unclassified at the
366	genus level) (high = 6%, low = 13%) was differentially abundant in the lower portion of the
367	anthracite biofilter (Table S8). In a previous study of this same system, Bradyrhizobium was
368	highly prevalent ³⁶ and was suspected in generating ammonia during biofiltration; ¹⁶ this genus is
369	capable of nitrogen fixation. ⁵³ The high relative prevalence of <i>Mycobacterium</i> is significant
370	because previous studies have linked several species of Mycobacterium to NDMA
371	biodegradation (Table S2). Interestingly, Mycobacterium also comprised ~2-5% of the sequences
372	from the ozonated BAC samples but 0% of the sequences from the non-ozonated BAC samples
373	(data not shown). These BAC data are potentially unreliable because of the DNA extraction
374	issue, but they may provide insight into the superior performance of the ozonated vs. non-
375	ozonated BAC column. Rhodococcus is also important for NDMA biodegradation (Table S2) but
376	was detected in only the lower ozonated BAC sample (not in the ozonated anthracite, upper
377	ozonated BAC, or non-ozonated BAC samples). Rhodococcus is similar to Mycobacterium and
378	other members of the order Corynebacterium,54 which were highly prevalent in all ozonated
379	media but not in the non-ozonated BAC.

Therefore, monooxygenase genes and/or taxa that are known to possess these genes were detected in the ozonated anthracite and ozonated BAC biofilter media. However, additional metagenomics testing would be needed to simultaneously detect the observed taxa and confirm

383 that they possessed those specific genes within their genomes. Alternatively, qPCR assays 384 targeting species/strains linked to NDMA biodegradation (Table S2) could provide a valuable 385 point of comparison for systems exhibiting differing NDMA biodegradation rates. This could 386 also be accomplished with detection and quantification of mRNA to demonstrate differential 387 expression of monooxygenase genes. In the current study, these types of comparisons could not 388 be performed with confidence because of the nucleic acid extraction issues for the BAC. 389 Nevertheless, these findings highlight existing knowledge gaps related to NDMA biodegradation 390 and leave room for further research in this area.

391

3.4. Phase 3: NDMA formation upon final chloramination

392 As illustrated in Figure 6, the ambient NDMA concentration in the MBR filtrate was 393 <MRL during phase 3 testing, but upon chloramination, the MBR filtrate contained 960 ng/L of 394 NDMA. This was higher than secondary effluent D presented earlier in Figure 2 (590 ng/L) but 395 lower than secondary effluent F (1,600 ng/L). It must be noted that the data in Figure 2 are based 396 on 'formation potential' conditions^{43,55} as compared with the 'uniform formation conditions' in 397 the current study. Using the UFC approach, Zeng et al.⁴³ reported NDMA formation for a range 398 of wastewater effluents ranging from as low as 14 ng/L to as high as 833 ng/L. These 399 comparisons confirm that the MBR filtrate in the current study contained exceptionally high 400 concentrations of chloramine-reactive precursors.

Biofiltration removed only a small portion of the chloramine-reactive precursors, which reduced NDMA formation from 960 ng/L to 930 ng/L. Consistent with the data from phase 1, ozonation resulted in direct formation of 20 ng/L but dramatically reduced formation during chloramination. Assuming 20 ng/L resulted from ozonation, chloramination generated only an additional 21 ng/L—an overall reduction in NDMA of 96% relative to the chloraminated MBR

406	filtrate. Krasner et al. ⁵⁶ and Marti et al. ⁵⁷ also demonstrated that pre-oxidants (e.g., ozone, free
407	chlorine, chlorine dioxide) reduce overall NDMA levels in some treated wastewaters, depending
408	on the composition of the precursors. Ozone is known to rapidly oxidize primary, secondary, and
409	tertiary amines-typical chloramine-reactive precursors-into nitrated byproducts and N-
410	oxides,58 thereby reducing NDMA formation during chloramination. However, since each pre-
411	oxidant results in a unique DBP profile, the risks posed by other DBPs (e.g., bromate during pre-
412	ozonation)59 must be evaluated against the potential formation of NDMA to identify the
413	preferred treatment sequence for a given matrix. ¹⁵
414	Finally, the ozone-biofiltration data in Figure 6 demonstrate that biofiltration was able to
415	eliminate the low levels of NDMA formed during ozonation (i.e., ~20 ng/L). However, ozone-
416	biofiltration was unable to eliminate all of the chloramine-reactive NDMA precursors, as there
417	was still 17 ng/L and 23 ng/L of NDMA formation upon chloramination of the ozone-BAC and
418	ozone-anthracite effluents, respectively. This may have been due to the release of SMPs during
419	biofiltration, which are known to be chloramine-reactive precursors. ⁶⁰ Nevertheless, the
420	combination of ozone-biofiltration-chloramination resulted in a 98% reduction in NDMA
421	formation relative to the MBR filtrate, consistent with other studies in the literature. ⁶¹
422	The final concentrations observed in the current study would still exceed the 10-ng/L
423	notification level (NL) in California potable reuse applications, which might necessitate an
424	alternative residual disinfection strategy (e.g., free chlorine) in a potable reuse application.
425	Again, this would require an evaluation of the overall DBP profile because the TOC
426	concentration in the ozone-BAC effluent was 4.6 mg-C/L, which would likely create THM
427	compliance issues with free chlorine. ¹⁶ Alternatively, a potable reuse system could implement an
428	additional polishing step (e.g., GAC and/or UV/H ₂ O ₂). Zeng et al. ² demonstrated that ambient

429 NDMA concentrations could be reduced to <MRL with UV/H₂O₂, but they still observed some 430 reformation due to reactions between residual chloramines and recalcitrant chloramine-reactive 431 precursors. These additional polishing steps might also be warranted to address recalcitrant 432 TOrCs that persist through typical ozone-biofiltration systems,^{20,62} as noted in the following 433 section.

434 **3.5.** Phase 4: Evaluation of TOrC and PFAA attenuation

435 As expected, compounds susceptible to biological treatment, such as acetaminophen, 436 caffeine, and ibuprofen, were present at low concentrations in the MBR filtrate (<5 ng/L), 437 whereas biologically recalcitrant compounds, such as sucralose and sulfamethoxazole, were 438 found at higher concentrations (i.e., $>1-50 \mu g/L$) (Table 3). Some of the biologically recalcitrant 439 compounds typically found in secondary wastewater effluents are effectively oxidized during 440 ozonation, particularly with high ozone doses ($O_3/TOC = 1.3$ in phase 4). This includes 441 compounds that are susceptible to ozone (e.g., carbamazepine, fluoxetine, naproxen, 442 sulfamethoxazole, triclocarban, triclosan, and trimethoprim) and compounds that are susceptible 443 to hydroxyl radicals (e.g., atenolol and gemfibrozil).¹⁰ All of these compounds were detected in 444 the MBR filtrate but were <MRL after ozonation. However, there are also compounds that are 445 resistant to biodegradation, ozone, and hydroxyl radicals (e.g., DEET, primidone, meprobamate, 446 sucralose, and TCEP),¹⁰ and they are often detected in ozonated secondary effluents, including 447 the ozonated MBR filtrate in the current study.

In wastewater/reuse applications, activated carbon is quickly exhausted by high bulk organic loadings, thereby limiting TOrC adsorption in BAC systems. Moreover, because many biodegradable TOrCs are removed/transformed in the upstream activated sludge process and then further oxidized during pre-ozonation, minimal TOrC attenuation is expected in

452 downstream biofiltration processes. In other words, biofiltration is critically important for the 453 removal of bulk organic matter that is transformed during pre-ozonation, but it is often 454 inconsequential in terms of TOrC removal, except in the case of NDMA that forms during pre-455 ozonation. In the current study, biofiltration actually resulted in increases in the concentrations of 456 some TOrCs, presumably due to temporal variability and/or desorption from the BAC. This was 457 more apparent with biofiltration alone (i.e., MBR+BAC) than with biofiltration following pre-458 ozonation (i.e., MBR+O₃+BAC). Desorption can occur when there is a concentration gradient in 459 the water promoting the release of adsorbed compounds from the activated carbon.⁶³⁻⁶⁴ For 460 example, after discontinuing their TOrC feed to a pilot-scale biofiltration system, Greenstein et 461 al.⁶⁵ observed an increase in effluent TOrC concentrations as the system established a new 462 equilibrium condition. This can also occur with a longer EBCT, which allows more time for the 463 system to equilibrate with variable feedwater concentrations.⁶³ These data also suggest that 464 biofiltration systems exhibiting high removals of biologically recalcitrant, oxidant-resistant 465 TOrCs (e.g., TCEP) might still possess adsorption capacity for trace organics even if they appear 466 to be exhausted toward bulk organic matter.8-9

467 Ambient PFAA concentrations in the MBR filtrate were relatively low, and there was no 468 apparent removal by ozone and/or biofiltration (Table 3). PFBS and PFHpA both increased in 469 concentration by a factor of ~10 with biofiltration alone (i.e., 3-4 ng/L to 23-45 ng/L), but 470 additional sampling would be needed to confirm whether the increase was due to temporal 471 variability, desorption, or precursor transformation. Oxidation and biological treatment have 472 been shown to increase PFAA concentrations in some matrices due to degradation of higher-473 chain precursors.^{20,37,66} From a public health perspective, the combined concentration of PFOS 474 and PFOA was ≤ 23 ng/L in all samples, which is less than the U.S. EPA Health Advisory Level

475of 70 ng/L.67 However, California also has notification levels of 13 ng/L and 14 ng/L for PFOS476and PFOA, respectively,68 and all samples exceeded the PFOA notification level with477concentrations \geq 20 ng/L. Again, this would likely necessitate an additional adsorption-based478GAC 'polishing' step, as GAC is often identified as the best available technology for PFAA479attenuation.69-71 Other treatment processes, including nanofiltration, RO, and ion exchange, have480also been evaluated for PFAA abatement.20,70-72

481 4.0 Conclusions

482 Ozone-biofiltration is an established and viable alternative to reverse osmosis in some 483 potable reuse applications, but there are still opportunities to improve the design and 484 performance of ozone-biofiltration with respect to certain treatment objectives, including NDMA 485 attenuation. Longer EBCTs achieved greater NDMA removal, but short-term changes in DO and 486 BDOC had minimal impact on NDMA attenuation. Instead, the current study identified long-487 term exposure of biofiltration systems to ozonated water as being the critical factor affecting 488 NDMA biodegradation rates, presumably due to shifts in microbial community structure and 489 function. The qPCR assays detected the presence of monooxygenase genes that have been linked 490 to NDMA biodegradation, but additional studies are needed to confirm that these genes are 491 responsible for NDMA attenuation in complex environmental systems. Ozonation was also 492 effective for the oxidation of chloramine-reactive NDMA precursors, which might be important 493 for systems trying to control membrane biofouling or targeting final disinfection with 494 chloramines. However, the benefits for chloramination must be weighed against the potential for 495 ozone-induced NDMA formation and other potential byproducts. In most potable reuse systems, 496 ozone-biofiltration must be coupled with additional treatment barriers to achieve additional

- 497 pathogen log removal credits or attenuation of recalcitrant organics, including PFAAs, residual
- 498 NDMA, and even bulk organic matter.
- 499

500 Conflicts of Interest Statement

- 501 There are no conflicts to declare.
- 502

503 Acknowledgements

504 This publication was made possible by U.S. EPA grant R835823 (Early Career Award -505 Framework for Quantifying Microbial Risk and Sustainability of Potable Reuse Systems in the 506 United States) and USGS grant G16AP00069. Its contents are solely the responsibility of the 507 grantee and do not necessarily represent the official views of the U.S. EPA or USGS. We would 508 also like to thank the following personnel from UNLV and SNWA for their assistance: Peter 509 Faught, Katerina Papp, Brett Vanderford, Oscar Quinones, Janie Zeigler-Holady, and Jennifer 510 Fuel. 511 512 References 513 1. EPA, 2017 Potable Reuse Compendium, United States Environmental Protection Agency, 514 2017, https://www.epa.gov/sites/production/files/2018-515 01/documents/potablereusecompendium 3.pdf (accessed February 2019). 516 2. T. Zeng, M. J. Plewa, and W. A. Mitch, N-Nitrosamines and halogenated disinfection

517 byproducts in U.S. full advanced treatment trains for potable reuse, *Water Res.*, 2016, 101,
518 176-186.

519	3.	R. W. Holloway, L. Miller-Robbie, M. Patel, J. R. Stokes, J. Munakata-Marr, J. Dadakis, and
520		T. Y. Cath, Life-cycle assessment of two potable water reuse technologies: MF/RO/UV-AOP
521		treatment and hybrid osmotic membrane bioreactors. J. Membrane Sci., 2016, 507, 165-178.
522	4.	R. R. Trussell, A. Salveson, S. Snyder, R. S. Trussell, and D. Gerrity, Equivalency of
523		advanced treatment trains for potable reuse, Final Report for Reuse-11-02, Water
524		Environment & Reuse Foundation, 2016, Alexandria, VA.
525	5.	D. Gerrity, E. Owens-Bennett, T. Venezia, B. D. Stanford, M. H. Plumlee, J. Debroux, and
526		R. S. Trussell. Applicability of ozone and biological activated carbon for potable reuse,
527		Ozone Sci. Eng., 2014, 36(2) , 123-137.
528	6.	M. H. Plumlee, B. D. Stanford, J. Debroux, D. C. Hopkins, and S. A. Snyder, Costs of
529		advanced treatment in water reclamation, Ozone Sci. Eng., 2014, 36(5), 485-495.
530	7.	J. Hollender, S. G. Zimmermann, S. Koepke, M. Krauss, C. S. McArdell, C. Ort, H. Singer,
531		U. von Gunten, and H. Seigrist, Elimination of organic micropollutants in a municipal
532		wastewater treatment plant upgraded with a full-scale post-ozonation followed by sand
533		filtration, Environ. Sci. Technol., 2009, 43, 7862-7869.
534	8.	D. Gerrity, S. Gamage, J. C. Holady, D. B. Mawhinney, O. Quiñones, R. A. Trenholm, and
535		S. A. Snyder, Pilot-scale evaluation of ozone and biological activated carbon for trace
536		organic contaminant mitigation and disinfection, Water Res., 2011, 45(5), 2155-2165.
537	9.	J. Reungoat, B. I. Escher, M. Macova, F. X. Argaud, W. Gernjak, and J. Keller, Ozonation
538		and biological activated carbon filtration of wastewater treatment plant effluents, Water Res.,
539		2012, 46(3) , 863-872.
540	10	. Y. Lee, D. Gerrity, M. Lee, A. E. Bogeat, E. Salhi, S. Gamage, R. A. Trenholm, E. C. Wert,
541		S. A. Snyder, and U. von Gunten, Prediction of micropollutant elimination during ozonation

- of municipal wastewater effluents: Use of kinetic and water specific information, *Environ*. *Sci. Technol.*, 2013, 47(11), 5872-5881.
- 544 11. B. S. Sidhu, L. Taylor-Edmonds, M. J. McKie, and R. C. Andrews, Pre-oxidation strategies
- for biofiltration performance improvement, J. Water Process Eng., 2018, 26, 116-123.
- 546 12. S. D. Richardson, A. D. Thruston, T. V. Caughran, P. H. Chen, T. W. Collette, T. L. Floyd,
- 547 K. M. Schenck, B. W. Lykins, G. Sun, and G. Majetich, Identification of new ozone
- disinfection byproducts in drinking water, *Environ. Sci. Technol.*, 1999, **33(19)**, 3368-3377.
- 549 13. C. von Sonntag and U. von Gunten, Chemistry of Ozone in Water and Wastewater
- 550 Treatment, *IWA Publishing*, 2012, London.
- 551 14. M. J. Farré, K. Döderer, L. Hearn, Y. Poussade, J. Keller, and W. Gernjak, Understanding the
- 552 operational parameters affecting NDMA formation at advanced water treatment plants, *J*.
- 553 Hazard. Mater., 2011, **185(2-3)**, 1575-1581.
- 554 15. Y. Chuang and W.A. Mitch, Effect of ozonation and biological activated carbon treatment of
- 555 wastewater effluents on formation of *N*-nitrosamines and halogenated disinfection
- 556 byproducts, *Environ. Sci. Technol.*, 2017, **51**, 2329-2338.
- 557 16. M. Arnold, J. Batista, E. Dickenson, and D. Gerrity, Use of ozone-biofiltration for bulk
- organic removal and disinfection byproduct mitigation in potable reuse applications,
- 559 *Chemosphere*, 2018, **202**, 228-237.
- 560 17. S. L. Kim, J. P. Chen, and Y. P. Ting, Study on feed pretreatment for membrane filtration of
 561 secondary effluent, *Sep. Purif. Technol.*, 2002, 29(2), 171-179.
- 562 18. C. O. Lee, K. J. Howe, and B. M. Thomson, Ozone and biofiltration as an alternative to
- 563 reverse osmosis for removing PPCPs and micropollutants from treated wastewater, *Water*
- 564 *Res.*, 2012, **46(4)**, 1005-1014.

565	19. CDPH, NDMA and Other Nitrosamines - Drinking Water Issues, California Department of
566	Public Health, 2014,
567	http://www.waterboards.ca.gov/drinking_water/certlic/drinkingwater/NDMA.shtml
568	(accessed August 2018).
569	20. C. M. Glover, O. Quinones, and E. R. V. Dickenson, Removal of perfluoroalkyl and
570	polyfluoroalkyl substances in potable reuse systems. Water Res., 2018, 144, 454-461.
571	21. EPA, Integrated Risk Information System, United States Environmental Protection Agency,
572	2018, https://www.epa.gov/iris (accessed August 2018).
573	22. J. Choi and R. L. Valentine, Formation of N-nitrosodimethylamine (NDMA) from reaction of
574	monochloramine: A new disinfection by-product, Water Res., 2002, 36(4), 817-824.
575	23. W. A. Mitch and D. L. Sedlak, Formation of N-nitrosodimethylamine from dimethylamine
576	during chlorination. Environ. Sci. Technol., 2002, 36(4), 588-595.
577	24. D. Gerrity, A. N. Pisarenko, E. Marti, R. A. Trenholm, F. Gerringer, J. Reungoat, and E.
578	Dickenson, Nitrosamines in pilot-scale and full-scale wastewater treatment plants with
579	ozonation, Water Res., 2015, 72, 251-261.
580	25. C. Lee, C. Schmidt, J. Yoon, and U. von Gunten, Oxidation of N-nitrosodimethylamine
581	(NDMA) precursors with ozone and chlorine dioxide: Kinetics and effect on NDMA
582	formation potential, Environ. Sci. Technol., 2007, 41(6), 2056-2063.
583	26. E. J. Marti, A. N. Pisarenko, J. R. Peller, and E. R. V. Dickenson, N-Nitrosodimethylamine
584	(NDMA) formation from the ozonation of model compounds, <i>Water Res.</i> , 2015, 72, 262-270.
585	27. S. Eden, S. B. Megdal, and J. McLain, Potable reuse of water: A view from Arizona, Water
586	Resour. Impact, 2016, 18(4), 10-11.

587	28. D. M. Golea, A. Upton, P. Jarvis, G. Moore, S. Sutherland, S. A. Parsons, and S. J. Judd,
588	THM and HAA formation from NOM in raw and treated surface waters, Water Res., 2017,
589	112 , 226-235.
590	29. C. M. Sharpless and K. G. Linden, Experimental and model comparisons of H ₂ O ₂ assisted
591	UV photodegradation of microcystin-LR in simulated drinking water, Environ. Sci. Technol.,
592	2003, 37(9) , 1933-1940.
593	30. D. Fournier, J. Hawari, S. H. Streger, K. McClay, and P. B. Hatzinger, Biotransformation of
594	N-nitrosodimethylamine by Pseudomonas mendocina KR1, Appl. Environ. Microbiol., 2006,
595	72(10) , 6693-6698.
596	31. D. Fournier, J. Hawari, A. Halasz, S. H. Streger, K. R. McClay, H. Masuda, and P. B.
597	Hatzinger, Aerobic biodegradation of N-nitrosodimethylamine by the propanotroph
598	Rhodococcus ruber ENV425, Appl. Environ. Microbiol., 2009, 75(15), 5088-5093.
599	32. J. O. Sharp, T. K. Wood, and L. Alvarez-Cohen, Aerobic biodegradation of N-
600	nitrosodimethylamine (NDMA) by axenic bacterial strains, <i>Biotechnol. Bioeng.</i> , 2005, 89(5),
601	608-618.
602	33. J. O. Sharp, C. M. Sales, J. C. LeBlanc, J. Liu, T. K. Wood, L. D. Eltis, W. W. Mohn, and L.
603	Alvarez-Cohen, An inducible propane monooxygenase is responsible for N-
604	nitrosodimethylamine degradation by Rhodococcus sp. strain RHA1, Appl. Environ.
605	<i>Microbiol.</i> , 2007, 73(21) , 6930-6938.
606	34. T. S. Webster, C. Condee, and P. B. Hatzinger, Ex situ treatment of N-nitrosodimethylamine
607	(NDMA) in groundwater using a fluidized bed reactor, Water Res., 2013, 47(2), 811-820.

608	35. Q. Li, S. Yu, L. Li, Z. Gu, M. Liu, Z. Liu, Y. Ye, Q. Xia, and L. Ren, Microbial communities
609	shaped by treatment processes in a drinking water treatment plant and their contribution and
610	threat to drinking water safety, Front. Microbiol., 2017, 8, 2465.
611	36. D. Gerrity, M. Arnold, E. Dickenson, D. Moser, J. D. Sackett, and E. C. Wert, Microbial
612	community characterization of ozone-biofiltration systems in drinking water and potable
613	reuse applications, <i>Water Res.</i> , 2018, 135 , 207-219.
614	37. J. C. Holady, R. A. Trenholm, and S. A. Snyder, Use of automated solid-phase extraction and
615	GC-MS/MS to evaluate nitrosamines in water matrices, Am. Lab., 2012, 6-13.
616	38. A. N. Pisarenko, E. J. Marti, E.J., D. Gerrity, J. R. Peller, and E. R. V. Dickenson, Effects of
617	molecular ozone and hydroxyl radical on formation of N-nitrosamines and perfluoroalkyl
618	acids during ozonation of treated wastewaters, Environ. Sci. Water Res. Technol., 2015, 1(5),
619	668-678.
620	39. B. J. Vanderford, R. A. Pearson, D. J. Rexing, and S. A. Snyder, Analysis of endocrine
621	disruptors, pharmaceuticals and personal care products in water using liquid
622	chromatography/tandem mass spectrometry, Anal. Chem., 2003, 75(22), 6265-6274.
623	40. B. J. Vanderford and S. A. Snyder, Analysis of pharmaceuticals in water by isotope dilution
624	liquid chromatography/tandem mass spectrometry, Environ. Sci. Technol., 2006, 40(23),
625	7312-20.
626	41. T. D. Appleman, C. P. Higgins, O. Quiñones, B. J. Vanderford, C. Kolstad, J. C. Zeigler-
627	Holady, and E. R. V. Dickenson, Treatment of poly- and perfluoroalkyl substances in U.S.
628	full-scale water treatment systems, Water Res., 2014, 41, 246-255.
629	42. T. Zeng and W. A. Mitch, Contribution of N-nitrosamines and their precursors to domestic
630	sewage by greywaters and blackwaters, Environ. Sci. Technol., 2015, 49(22), 13158-13167.

- 43. T. Zeng, C. M. Glover, E. J. Marti, G. C. Woods-Chabane, T. Karanfil, W. A. Mitch, and E.
- 632 R. V. Dickenson, Relative importance of different water categories as sources of N-
- nitrosamine precursors, *Environ. Sci. Technol.*, 2016, **50**, 13239-13248.
- 634 44. O. D. Basu, S. Dhawan, and K. Black, Applications of biofiltration in drinking water
- treatment–A review, J. Chem. Technol. Biotechnol., 2016, 91(3), 585-595.
- 45. M. Gifford, A. Selvy, and D. Gerrity, Optimizing ozone-biofiltration systems for organic
- 637 carbon removal in potable reuse applications, *Ozone Sci. Eng.*, 2018, **40(6)**, 427-440.
- 638 46. S. Snyder, U. von Gunten, G. Amy, J. Debroux, and D. Gerrity, Use of ozone in water
- 639 reclamation for contaminant oxidation, Final report for WRF-08-05, *WateReuse Research*
- 640 *Foundation*, 2014, Alexandria, VA.
- 47. H. Wu and Y. F. Xie, Effects of EBCT and water temperature on HAA removal using BAC, *J. Am. Water Works Assoc.*, 2005, 97(11), 94-101.
- 48. S. Korshunov and J. A. Imlay, Detection and quantification of superoxide formed within the
 periplasm of *Escherichia coli*, *J. Bacteriol.*, 2006, 188, 6326-6334.
- 645 49. J. A. Imlay, The molecular mechanisms and physiological consequences of oxidative stress:
- 646 Lessons from a model bacterium, *Nature Rev. Microbiol.*, 2013, **11**(7), 443-454.
- 647 50. W. Wang, Y. Guo, Q. Yang, Y. Huang, C. Zhu, J. Fan, and F. Pan, Characterization of the
- 648 microbial community structure and nitrosamine-reducing isolates in drinking water biofilters,
- 649 *Sci. Tot. Environ.*, 2015, **521-522**, 219-225.
- 650 51. B. Trussell, S. Trussell, Y. Qu, F. Gerringer, S. Stanczak, T. Venezia, I. Monroy, F. Bacaro,
- R. Trussell, A four-year simulation of soil aquifer treatment using columns filled with San
- 652 Gabriel Valley sand, *Water Res.*, 2018, **144**, 26-35.

653	52. J. M. Young, N. J. Rawlence, L. S. Weyrich, and A. Cooper, Limitations and
654	recommendations for successful DNA extraction from forensic soil samples: A review, Sci.
655	Justice, 2014, 54(3) , 238-244.
656	53. J. L. Grönemeyer, P. Chimwamurombe, and B. Reinhold-Hurek, Bradyrhizobium
657	subterraneum sp. nov., a symbiotic nitrogen-fixing bacterium from root nodules of
658	groundnuts, Int. J. Syst. Evol. Microbiol., 2015, 65(10), 3241-3247.
659	54. M. Cappelletti, A. Presentato, G. Milazzo, R. J. Turner, S. Fedi, D. Frascari, and D. Zannoni,
660	Growth of <i>Rhodococcus sp.</i> strain BCP1 on gaseous n-alkanes: New metabolic insights and
661	transcriptional analysis of two soluble di-iron monooxygenase genes, Front. Microbiol.,
662	2015, 6 , 393.
663	55. W. A. Mitch and D. L. Sedlak, Characterization and fate of N-nitrosodimethylamine
664	precursors in municipal wastewater treatment plants, Environ. Sci. Technol., 2004, 38, 1445-
665	1454.
666	56. S. W. Krasner, W. A. Mitch, D. L. McCurry, D. Hanigan, and P. Westerhoff, Formation,
667	precursors, control, and occurrence of nitrosamines in drinking water: A review, Water Res.,
668	2013, 47(13) , 4433-4450.
669	57. E. J. Marti, E. R. V. Dickenson, R. A. Trenholm, and J. R. Batista, Treatment of specific
670	NDMA precursors by biofiltration, J. Am. Water Works Assoc., 2017, 109(6), E273-E286.
671	58. D. L. McCurry, A. N. Quay, and W. A. Mitch, Ozone promotes chloropicrin formation by
672	oxidizing amines to nitro compounds, Environ. Sci. Technol., 2016, 50(3), 1209-1217.
673	59. Y. Lee, D. Gerrity, M. Lee, S. Gamage, A. Pisarenko, R. A. Trenholm, S. Canonica, S. A.
674	Snyder, and U. von Gunten, Organic contaminant abatement in reclaimed water by UV/H_2O_2
675	and a combined process consisting of O_3/H_2O_2 followed by UV/H ₂ O ₂ : Prediction of

078	00. Z. Bukhari, E. Weiniten, M. Suimeler, and K. M. Vega, impact of Fillation Media Type/A
679	on Nitrosamine Precursors, Final Report for Project 4532, Water Research Foundation,
680	2017, Denver, CO.
681	61. X. Liao, C. Chen, B. Yuan, J. Wang, and X. Zhang, Control of nitrosamines, THMs, and
682	HAAs in heavily impacted water with O ₃ -BAC, J. Am. Water Works Assoc., 2017, 109(6),
683	215-225.
684	62. D. Gerrity, Y. Lee, S. Gamage, M. Lee, A. N. Pisarenko, R. A. Trenholm, U. von Gunten,
685	and S. A. Snyder, Prediction of trace organic contaminant abatement with UV/H_2O_2 :
686	Development and validation of semi-empirical models for municipal wastewater effluents,
687	Environ. Sci. Water Res. Technol. 2016, 2, 460-473.
688	63. P. C. To, B. J. Mariñas, V. L. Snoeyink, and J. N. Wun, Effect of pore-blocking background
689	compounds on the kinetics of trace organic contaminant desorption from activated carbon,
690	Environ. Sci. Technol., 2008, 42(13), 4825-4830.
691	64. C. J. Corwin and R. S. Summers, Adsorption and desorption of trace organic contaminants
692	from granular activated carbon adsorbers after intermittent loading and throughout backwas
693	cycles, Water Res., 2011, 45(2) , 417-426.
694	65. K. E. Greenstein, J. Lew, E. R. V. Dickenson, and E. C. Wert, Investigation of
695	biotransformation, sorption, and desorption of multiple chemical contaminants in pilot-scale
696	drinking water biofilters, Chemosphere, 2018, 200, 248-256.
697	66. E. F. Houtz and D. L. Sedlak, Oxidative conversion as a means of detecting precursors of
698	perfluorolkyl acids in urban runoff, Environ. Sci. Technol., 2012, 46(17), 9342-9349.

abatement efficiency, energy consumption, and byproduct formation, Environ. Sci. Technol.,

- 2016, **50(7)**, 3809-3819.
- 60 Z. Bukhari, L. Weinrich, M. Surmeier, and R. M. Vega, Impact of Filtration Media Type/Age

- d

- sh

- e

699	67. EPA, Fact Sheet PFOA & PFOS Drinking Water Health Advisories, United States
700	Environmental Protection Agency, 2016, https://www.epa.gov/sites/production/files/2016-
701	06/documents/drinkingwaterhealthadvisories_pfoa_pfos_updated_5.31.16.pdf (accessed
702	August 2018).
703	68. DDW, State Water Board Releases Guidelines for Testing and Reporting on PFOA and
704	PFOS in Drinking Water, California Division of Drinking Water, 2018,
705	https://www.waterboards.ca.gov/press_room/press_releases/2018/pr071318_pfoa_nl.pdf
706	(accessed August 2018).
707	69. M. Inyang and E. R. V. Dickenson, The use of carbon adsorbents for the removal of
708	perfluoroalkyl acids from potable reuse systems, Chemosphere, 2017, 184, 168-175.
709	70. T. D. Appleman, E. R. V. Dickenson, C. Bellona, and C. P. Higgins, Nanofiltration and
710	granular activated carbon treatment of perfluoroalkyl acids, J. Hazard. Mater., 2013, 260,
711	740-746.
712	71. P. McCleaf, S. Englund, A. Östlund, K. Lindegren, K. Wiberg, and L. Ahrens, Removal
713	efficiency of multiple poly- and perfluoroalkyl substances (PFASs) in drinking water using
714	granular activated carbon (GAC) and anion exchange (AE) column tests, Water Res., 2017,
715	120 , 77–87.
716	72. C. Zhao, J. Zhang, G. He, T. Wang, D. Hou, and Z. Luan, Perfluorooctane sulfonate removal

by nanofiltration membrane the role of calcium ions, *Chem. Eng. J.*, 2013, **233**, 224-232.

Figure 1. TOC removal as a function of EBCT with a constant O_3/TOC of 1.0. Data represent averages ± 1 standard deviation of duplicate experimental samples. The corresponding raw data are summarized in Table S1.



Figure 2. Literature summary of NDMA formation during ozonation and chloramination of six secondary effluents.^{5,46} The first column in each group indicates the ambient NDMA concentration of each secondary effluent. The second and third columns represent the NDMA concentrations after ozonation with O_3/TOC of 0.5 and 1.0. The fourth through sixth columns represent those same samples after 10 days of chloramines exposure with an initial dose of 140 mg/L as Cl₂. The average NDMA formation during ozonation (left side of solid lines at top of figure) and the average reduction in NDMA formation following ozonation and chloramination (right side of solid lines at top of figure) are also shown for each secondary effluent.



Figure 3. NDMA removal as a function of EBCT with a constant O_3/TOC of 1.0 and NDMA spiked at ~300 ng/L. Data represent averages ±1 standard deviation of duplicate experimental samples.



Figure 4. NDMA removal as a function of pretreatment with a constant O_3/TOC of 1.4, EBCT of 10 min, and NDMA spiked at ~300 ng/L. Pre-ozonation = high BDOC/high DO; pre-oxygenation = low BDOC/high DO; MBR filtrate = low BDOC/low DOC. Data represent averages ±1 standard deviation of triplicate experimental samples.



Figure 5. Abundance of the 16S rRNA gene and a subset of the monooxygenase genes that have been linked to NDMA biodegradation. Data represent averages ± 1 standard deviation of triplicate qPCR assays for a single DNA extract from each ozonated anthracite media sample. The ozone residual in the feed water had decayed completely prior to reaching the anthracite biofilter.





Figure 6. NDMA formation after chloramination with the UFC approach. Data represent averages ±1 standard deviation of duplicate chloraminated samples.

Gene	Sequence (5' to 3')	Reference
<i>prmA</i> – f	CGCGGCGAACATCTACCT	33
<i>prmA</i> – r	TGGCTACGAACAGGGTGTTG	33
<i>prmB</i> – f	GGACGAGGATTGACGGATTTC	33
<i>prmB</i> – r	CGGCGGGTCCATCGAT	33
<i>prmE</i> – f	GGAACTACTACGTCGTCGGG	33
<i>prmE</i> – r	GAGCCGACGAGATTTCCGAT	33
16S rRNA – 28F	GAGTTTGATCNTGGCTCAG	36
16S rRNA – 388R	TGCTGCCTCCCGTAGGAGT	36

Table 1. 16S rRNA and propane monooxygenase gene targets and associated primers.

	UVA ₂₅₄	PO4 ³⁻	NO ₃ -	NO ₂ -	NH ₃	ТОС	TOC removal ¹
	cm ⁻¹	mg/L	mg-N/L	mg-N/L	mg-N/L	mg-C/L	%
MBR	0.14 ± 0.01	5.9±1.1	6.7±1.9	0.025 ± 0.009	0.01 ± 0.02	7.5±0.5	N/A
MBR+O ₃	0.07 ± 0.02	5.5±1.5	5.6 ± 2.0	< 0.005	0.01 ± 0.01	7.1±1.1	5±1
MBR+O3+BAC	0.07 ± 0.02	5.8±1.2	5.2±1.2	< 0.005	0.02 ± 0.02	5.1±0.9	22±5
MBR+O ₃ +Ant	0.07 ± 0.01	5.3±1.6	5.6 ± 2.0	0.017 ± 0.008	0.02 ± 0.02	6.2 ± 0.5	15±7
MBR+BAC	0.13±0.01	5.1±1.3	5.7±2.0	0.007 ± 0.003	< 0.02	6.3±0.3	14±5

Table 2. Summary of weekly water quality monitoring. Data represent averages ± 1 standard deviation over 17 weeks.

¹Represents an average of all TOC removal percentages during the study period

Compound	MBR	MBR+BAC	MBR+O ₃	MBR+O ₃ +BAC
TOrCs (listed alphabetically)				
Acetaminophen	<5	<5	<5	<5
Atenolol	53	160	<20	<20
Caffeine	<5	<100	<5	<100
Carbamazepine	150	220	<1	3
DEET	59	58	3	7
Fluoxetine	74	32	<1	<1
Gemfibrozil	3	16	<1	<1
Ibuprofen	3	3	<1	<1
Meprobamate	480	490	71	79
Naproxen	34	120	<1	<1
Primidone	300	390	13	16
Sucralose	51,000	61,000	19,000	21,000
Sulfamethoxazole	1,400	2,900	<5	<5
TCEP	150	280	190	270
Triclocarban	43	<2	<2	<2
Triclosan	35	24	<1	<1
Trimethoprim	60	72	<1	<1
PFAAs (listed in descending orde	er of carbon ch	ain length)		
PFDS	<1	<1	<1	<1
PFDA	4	<1	5	3
PFNA	1	1	2	1
PFOS	1	1	1	1
PFOA	22	21	22	20
PFHpA	3	23	5	5
PFHxS	<1	<1	<1	<1
PFHxA	27	22	31	33
PFPeA	48	39	47	47
PFBS	4	45	10	10
PFBA	<5	5	7	7

Table 3. Summary of trace organic compounds concentrations (ng/L). Ozone was applied at an O_3/TOC of 1.3, and the BAC biofilters were operated with an EBCT of 10 min.

N-nitrosodimethylamine (NDMA) Formation and Mitigation in Potable Reuse Treatment Trains Employing Ozone and Biofiltration

Table of Contents Entry

This paper evaluates how changes in operational conditions affect NDMA formation and biodegradation in ozone-biofiltration systems, including aspects of microbial community structure and function.

