

Reconsideration of the relaxational and vibrational line shapes of liquid water based on ultrabroadband dielectric spectroscopy

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Footnotes Electronic Supplementary Information (ESI) available.

Abstract

The Debye relaxation function is widely used to describe the large dielectric dispersion of ambient water around 20 GHz. However, from a theoretical point of view, this function is supposed to give incorrect predictions at high frequencies owing to the inappropriate assumption that inertial effects and intermolecular interactions do not affect the relaxation dynamics. Our ultrabroadband spectroscopy of liquid water ranging from 500 MHz to 400 THz did demonstrate that the Debye is inaccurate far above the microwave region. As an alternative, we tried a stochastic frequency modulation (SFM) model assuming instantaneous modification of the line shapes by the with the surrounding system. The SFM relaxation model reproduced the experimental dielectric spectra up to 400 THz, showing that the hydrogen-bond dynamics are associated with the inertial effect that causes the non-exponential relaxation behaviour in a very short time (typically 25 fs). Within the framework of this relaxation model, the hindered translation modes are able to be approximated as fast modulation (homogeneous) line shapes because the interaction time with frequency modulation is too short. Compared with them, the libation mode is found to have a relatively slow modulation (inhomogeneous) origin, where disturbance of water hydrogen bonds induced by the hindered translations leads to fluctuations in the libration frequency.

1. Introduction

54 over a range of 500 MHz and 400 THz $(0.017~13333~cm^{-1}$ in wavenumber and 600 mm $~1750~\text{nm}$ in

127 ment),⁴⁶ and the rest are intramolecular modes such as H-O-H bending (δ_{HOH} , 49 THz) and O-H

stretching (v_{OH} , ~100 THz). In addition to the $\delta_{HOH} + \rho_L$ band (~65 THz), the overtone and com-

- 129 bination bands above 120 THz are also recognized.
- 130 Whereas the intramolecular bands, especially the O-H stretching one, have been extensively ex-
- 131 amined using both linear and nonlinear spectroscopy so far , $47-52$ the origin of the complex spectrum

132 line shapes below the FIR region remains unclear. The most widely adopted approach to unravel-

133 ling their band shapes behind a broad spectrum is a nonlinear fitting based on the Debye and

134 damped harmonic oscillator (DHO) functions:

$$
\tilde{\varepsilon}(\omega) = \tilde{\chi}_{\text{slow}}(\omega) + \tilde{\chi}_{\text{fast}}(\omega) + \tilde{\chi}_{\text{B}}(\omega) + \tilde{\chi}_{\text{S}}(\omega) + \tilde{\chi}_{\text{L1}}(\omega) + \tilde{\chi}_{\text{L2}}(\omega) + \varepsilon_{\infty}
$$
\n
$$
= \frac{\Delta \varepsilon_{\text{slow}}}{1 + i\omega \tau_{\text{slow}}} + \frac{\Delta \varepsilon_{\text{fast}}}{1 + i\omega \tau_{\text{fast}}} + \frac{\Delta V_{\text{B}} \omega_{\text{B}}^2}{\omega_{\text{B}}^2 - \omega^2 + i\omega \gamma_{\text{B}}} + \frac{\Delta V_{\text{S}} \omega_{\text{S}}^2}{\omega_{\text{S}}^2 - \omega^2 + i\omega \gamma_{\text{S}}}
$$
\n
$$
+ \frac{\Delta V_{\text{L1}} \omega_{\text{L1}}^2}{\omega_{\text{L1}}^2 - \omega^2 + i\omega \gamma_{\text{L1}}} + \frac{\Delta V_{\text{L2}} \omega_{\text{L2}}^2}{\omega_{\text{L2}}^2 - \omega^2 + i\omega \gamma_{\text{L2}}} + \varepsilon_{\infty} \tag{1}
$$

135 $\tilde{\chi}_{slow}(\omega)$ and $\tilde{\chi}_{fast}(\omega)$ are the slow and fast relaxation modes described by the Debye function 136 with the relaxation strength $\Delta \varepsilon$ and the relaxation time τ . Vibration modes such as the intermolec-137 ular bending $\tilde{\chi}_B(\omega)$, intermolecular stretching $\tilde{\chi}_S(\omega)$, low-frequency libration (L1) $\tilde{\chi}_{L1}(\omega)$, and high-frequency libration (L2) $\tilde{\chi}_{1,2}(\omega)$ are represented by the DHO model with the vibration 139 strength ΔV_j , resonant frequency $ω_j$ and damping constant $γ_j$ (the subscript $j = B$, S, L1 or L2). 140 In this study, the region of interest for the fitting was limited to between 500 MHz and 23 THz, be-

141 cause the satellite component $\delta_{HOH} - v_L$ has a weak contribution above 25 THz.⁴⁷ Since the 142 high-frequency modes including intramolecular bands and electron transitions can be regarded as a 143 baseline of the real part, these modes can be overall treated as a high-frequency limit ε_{∞} . Taking 144 the low-frequency limit $\omega \to 0$, eqn (1) gives the static permittivity: $\varepsilon_S = \Delta \varepsilon_{slow} + \Delta \varepsilon_{fast} + \Delta V_B +$ 145 $\Delta V_{\rm S} + \Delta V_{\rm L1} + \Delta V_{\rm L2} + \varepsilon_{\infty}$.

As shown in Fig. 2, eqn (1) superficially provides a good fit for liquid water at 300 K. Regarding consistency with the available previous data,^{1,2,5,22,53-55} reliability of our fitting procedure gives rea-148 sonable fitted parameters of $\tilde{\chi}_{slow}(\omega)$, $\tilde{\chi}_{fast}(\omega)$, $\tilde{\chi}_{s}(\omega)$ and ε_{s} (Table 1 and 2.) With regard to 149 the slow relaxation mode, the Debye model well replicates the experimental $\tilde{\varepsilon}(\omega)$ in the micro-wave frequency range. However, it is worthy of note that at much higher frequencies, the Debye function considerably exceeds the actual imaginary part in the MIR and NIR regions (see Fig. 4). As well as the Debye model, defect-migration theory is also inconsistent with the experimental re-sult above 60 THz. The reason is that this theory is based on a Debye function whose 154 high-frequency tail is slightly modified by the global vibration of the HB network.⁸ Concerning the libration mode, the dual-DHO function gives rise to much larger fitting residuals compared with the dual-Gaussian one in the imaginary part, suggesting that the libration mode is composed of two in-homogeneous sub-bands (see Fig. S4 of the ESI). The incompatibility of the DHO functions with 158 the libration band is also reflected in overly large vibration strength, $\Delta V_{L1} + \Delta V_{L2} = 0.83$ for H₂O

159 and 0.93 for D₂O. These values are obviously unacceptable because the large $\Delta V_{L1} + \Delta V_{L2}$ leads to 160 an unnaturally small ε_{∞} (i.e. $\varepsilon_{\infty} = 1.75$ for H₂O), which is smaller than the actual real part in the 161 visible region ($\varepsilon_{\text{vis}} = n_{\text{vis}}^2 \approx 1.78$). Thus, it seems incorrect to use the DHO functions to describe 162 the libration band of water. 163 In summary, the conventional Debye-DHO fitting model is insufficient in the light of the 164 high-frequency behaviour of the Debye model and inaccurate libration band shape. In the next sec-165 tion, we tried to resolve these issues by demonstrating the applicability of the SFM model. 166 **Refined fitting with the stochastic frequency modulation (SFM) model.** The stochastic fre-167 quency modulation (SFM) model assumes a stochastic process in which the oscillation ω_0 is sub-168 ject to frequency modulation with the amplitude of ω_{Δ} . Providing that the process is Markovian, 169 the frequency fluctuation of the system given by $\omega(t) = \omega_0 + \delta \omega(t)$ undergoes an exponential 170 time correlation characterised as $\langle δω(t)δω(0) \rangle = ω_Δ^2 exp[-\Gamma|t|]$, where Γ is the rate of fre-171 quency modulation.^{17,56} With the aid of ω_{Δ} and Γ , it is useful to define the modulation degree 172 $\alpha_K = \omega_\delta / \Gamma^{17}$ The negligible modulation (i.e. $\alpha_K \to 0$) is nothing but the fast modulation or mo-173 tional narrowing limit whose oscillation line shape is characterised as homogeneous broadening, 174 while the slow modulation $\alpha_K \gg 1$ yields an inhomogeneous line width.⁵⁷ Thus, the SFM model 175 smoothly bridges the extremes of the fast to slow modulation limit. Since the fluctuation amplitude 176 ω_{Δ} is associated with the moment of inertia, and the inverse modulation rate corresponds to the

characteristic time of the modulation,⁵⁸ the inertial effect and the intermolecular interactions are ef-
fectively introduced as the correlation with stochastic frequency modulation.
As a practical use for the SFM model, let us firstly consider a perturbed frequency
$$
\delta\omega(t)
$$
 un-
dergoing a *discrete* SFM process that realizes the only two discrete frequencies, $\omega_0 \pm \omega_{\delta}$, with
equal probabilities.¹⁷ Although this model is in principle available for the case of unequal probabil-
ties,⁵⁹ but we reasonably employed the "equal" *discrete* SFM process in this study (see the ESI).
Expanding this system to an *N*-independent two-state jump Markov process, in which the fluctuat-
ing frequency takes discrete values such as $\delta\omega = \pm \omega_{\delta}$, $\pm \sqrt{2}\omega_{\delta}$, ..., $\pm \sqrt{N}\omega_{\delta}$ (*N*: integer), the am-
plitude of random modulation is associated with the fluctuating frequency of the thermal bath as
 $\omega_{\Delta}^2 = N\omega_{\delta}^2$.¹⁶ In this case, the complex susceptibility of the *discrete* SFM model, $\tilde{\chi}_{\text{dSFM}}(\omega)$, is
given by¹⁶

$$
\tilde{\chi}_{\text{dSFM}}(\omega) = \Delta \chi \left\{ 1 - i\omega \frac{1}{i(\omega - \omega_0) + \frac{N\omega_{\delta}^2}{i(\omega - \omega_0) + \Gamma + \frac{2(N - 1)\omega_{\delta}^2}{i(\omega - \omega_0) + 2\Gamma + \cdots + \frac{N\omega_{\delta}^2}{i(\omega - \omega_0) + N\Gamma}}} \right\}
$$
(2)

188 where $\Delta \chi$ is the strength of the susceptibility. Since the SFM model is a generalized formula of the 189 complex susceptibility reflecting stochastic modulation from the environment, it can deal with a 190 relaxational band shape, by setting $\omega_0 = 0$:^{20,21} for instance, the fast modulation limit $\alpha_K \to 0$ co-191 incides with the Debye function at any N . Similarly, the relaxation-type discrete SFM model is hardly dependent on N under the condition of $\alpha_K \ll 1$ (see Fig. S3 of the ESI).¹⁶ Hence, a relaxa193 tion line shape bearing a similarity to the Debye model, like the dielectric relaxation of water, can

194 be approximated by substituting $N = 1$ and $\omega_0 = 0$ into eqn (2):

$$
\tilde{\chi}_{\text{dSFM}}(\omega) = \frac{\Delta \chi \omega_{\delta}^2}{\omega_{\delta}^2 - \omega^2 + i\omega \Gamma}
$$
\n(3)

The case of $\alpha_K \ll 1$ eqn (3) is equivalent to the Rocard equation:^{60,61} thus, our *discrete* SFM re-196 laxation model includes the Debye (negligible inertia and non-interacting, namely, $\omega_{\delta} \rightarrow 0$ and 197 $1/\Gamma \rightarrow 0$) and the Rocard (non-interacting, $1/\Gamma \ll 1$) models as special cases. 198 In regard to the resonant case $\omega_0 \neq 0$, the SFM model should reproduce the inhomogeneous line shapes of water libration.⁶² In spite of this, the number of the *discrete* SFM processes $(= N)$ of li-200 bration cannot be determined from only the experimental results. To resolve this issue, we alterna-201 tively fitted the libration bands with a *continuous* SFM model, in which the frequency fluctuation 202 $\delta\omega(t)$ follows a Gaussian probability distribution such that the instantaneous frequency $\omega(t)$ can 203 take continuous values.¹⁷ Defining the standard deviation of the $\delta \omega(t)$ distribution as the ampli-204 tude of stochastic modulation ($ω_Δ$), its complex susceptibility, $\tilde{\chi}_{cSFM}(\omega)$, is given by²¹

$$
\tilde{\chi}_{\text{CSTM}}(\omega) = \Delta \chi \left\{ 1 - i\omega \frac{1}{i(\omega - \omega_0) + \frac{\omega_{\Delta}^2}{i(\omega - \omega_0) + \Gamma + \frac{2\omega_{\Delta}^2}{i(\omega - \omega_0) + 2\Gamma + \cdots}}} \right\}
$$
(4)

205 The mathematical derivation and examples of the SFM model are shown in the ESI.

206 Because of the failure of the Debye relaxation model and incompatibility of the libration line 207 shape with the DHO model, we modified eqn (1) by applying the SFM model instead of them:

$$
\tilde{\varepsilon}(\omega) = \tilde{\chi}_{\text{slow}}(\omega) + \tilde{\chi}_{\text{fast}}(\omega) + \tilde{\chi}_{\text{B}}(\omega) + \tilde{\chi}_{\text{S}}(\omega) + \tilde{\chi}_{\text{L1}}(\omega) + \tilde{\chi}_{\text{L2}}(\omega) + \varepsilon_{\infty}
$$

$$
= \frac{\Delta \varepsilon_{slow} \omega_{\delta}^{\text{slow}^2}}{\omega_{\delta}^{\text{slow}^2} - \omega^2 + i\omega \Gamma_{slow}} + \frac{\Delta \varepsilon_{fast} \omega_{\delta}^{\text{fast}^2}}{\omega_{\delta}^{\text{fast}^2} - \omega^2 + i\omega \Gamma_{fast}} + \frac{\Delta V_{B} \omega_{B}^2}{\omega_{B}^2 - \omega^2 + i\omega \gamma_{B}} + \frac{\Delta V_{S} \omega_{S}^2}{\omega_{S}^2 - \omega^2 + i\omega \gamma_{S}}
$$

+
$$
\Delta V_{L1} \left\{ 1 - i\omega \frac{1}{i(\omega - \omega_{L1}) + \frac{\omega_{\Delta}^{L1^2}}{i(\omega - \omega_{L1}) + \Gamma_{L1} + \frac{2\omega_{\Delta}^{L1^2}}{i(\omega - \omega_{L1}) + 2\Gamma_{L1} + \cdots}} \right\}
$$

+
$$
\Delta V_{L2} \left\{ 1 - i\omega \frac{1}{i(\omega - \omega_{L2}) + \frac{\omega_{\Delta}^{L2^2}}{i(\omega - \omega_{L2}) + \Gamma_{L2} + \frac{2\omega_{\Delta}^{L2^2}}{i(\omega - \omega_{L2}) + 2\Gamma_{L2} + \cdots}} \right\} + \varepsilon_{\infty} \qquad (5)
$$

208 where, $\omega_{\delta}^{\text{slow(tast)}}$ is the modulation amplitude and $\Gamma_{\text{slow(tast)}}$ is the modulation frequency of the 209 *discrete* SFM relaxation processes, and $\omega_{\Delta}^{LL(L2)}$ is the modulation amplitude and $\Gamma_{L1(L2)}$ is the 210 modulation frequency of the *continuous* SFM resonant processes. The other parameters are identical 211 to eqn (1). For successful fits, the parameter γ_B of D₂O was fixed to be the same as that of H₂O, in 212 reference to the small isotopic dependence of γ_B ^{20,30} Moreover, $\Gamma_{L1(L2)}/2\pi$ was also constrained to be 1.4 THz by reference to the librational correlation time of 115 fs.⁶³ Fig. 3(a)(b) shows that the 214 resulting complex susceptibilities fitted with eqn (5) well replicate the experimental $\tilde{\varepsilon}(\omega)$. As can 215 be seen in Fig. 3(c), after subtracting the overwhelming contribution of the slow relaxation, the fit-216 ted results are in quite good agreement with the "residual" complex dielectric constant: this result 217 highlights the excellence of the SFM fitting of eqn (5). The best-fitted parameters are summarized 218 in Table 1 and 2.

The best-fitted parameters in Table 1 show that the relaxation time is almost model-independent

for both H2O and D2O. On the other hand, compared with the Debye model, the SFM model slight-256 ly underestimated the relaxation strengths $\Delta \varepsilon_{slow}$ and $\Delta \varepsilon_{fast}$ because the marginally decreased re-sponse function at short times diminishes the time-averaged effective dipole moment. These 258 short-time dynamics, however, have a negligible effect on the relaxation time τ as it mainly de-

pends on the long-time behaviour.

Refined band shapes of the intermolecular vibrations. As shown in Fig. 3 and Fig. S4 of the ESI, the libration band of liquid water is more accurately reproduced by the resonance-type SFM model than by the dual-DHO one. The existence of the two sub-bands points to different librational dynamics reflecting the anisotropic moment of inertia of a water molecule: along the out-of-plane direction (*x*-axis: see Fig. S4 of the ESI), along the in-plane direction perpendicular to the dipole 265 vector (*y*-axis) and along the dipole vector direction (*z*-axis).⁶⁵⁻⁶⁷ MD simulation studies showed that the *x*- and *z*-axis components of liquid H2O give rise to indistinguishable symmetric peaks in the rotational vibration density of states (VDOS) spectra around 15 THz and the *y*-axis counterpart 268 yields a distinctive peak in the higher frequency.⁶⁵⁻⁶⁷ Among them, the *z*-axis component is IR-inactive because there are small dipole moment changes induced by the rotation. The two sub-bands obtained in our SFM fitting are close in frequency to the *x*- and *y*-axis libration compo-271 nents in the VDOS spectra,⁶⁵⁻⁶⁷ which suggests that the present L1 and L2 components are due to the hindered rotations along the out-of-plane (*x*-axis) and the perpendicular to the dipole vector (*y*-axis) directions, respectively.

cient interaction time with the thermal bath.

4. Conclusions

311 In order to reexamine the relaxational and vibrational line shapes of liquid H_2O and D_2O , the com-plete dielectric constants from 500 MHz to 400 THz were measured. In the framework of the con-ventional Debye-DHO fitting, the Debye model fails above the IR region, and the DHO model does not accurately reproduce the libration band. We overcame these limitations by applying the SFM model assuming a frequency modulation through the correlation with the thermal bath. Unlike the Debye model, our SFM relaxation model was consistent with the experimental dielectric spectra up to 400 THz on account of small but non-negligible correlations with the HB dynamics. In regard to the time-domain response functions, the failure of the Debye model can be traced to its completely exponential behaviour, whereas our SFM model clearly shows that the moment of inertia hinders the water reorientation with a persistence time of typically 25 fs. By applying the SFM model to the libration mode, we showed that it consists of two sub-bands reflecting different rotational axes. It is striking that the libration sub-bands undergo slow modulation through the hindered translation modes. It follows that disturbance of the water HBs owing to the hindered translations cause fre-quency fluctuations and eventually yield slow modulation of the libration band. On the other hand, the hindered translation modes such as the intermolecular bending and stretching were closely ap-proximated by the homogeneous DHO functions, probably because fluctuations associated with the

HB dynamics are so fast that their line shapes remain fast modulation.

Conflicts of interest

There are no conflicts to declare.

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Table 1 Best-fitted relaxation parameters of Debye and discrete SFM model for liquid H₂O and

| | Debye model, eqn (1) | | | | Discrete SFM model, eqn (5) | | | | | |
|------------------|----------------------------------------------------------------|-----------------------|--------------------------------------------------------------|------------------------------|----------------------------------------------------------------|------------------------------------|----------------------------------------------|------------------------------------------------------------|-------------------------------------------|----------------------------------------------|
| | $\Delta \varepsilon_{\mathrm{slow}}$ $\left(\cdot \right)$ | τ_{slow} (ps) | $\Delta \varepsilon_{\text{fast}}$ $\left(\cdot \right)$ | τ_{fast} (ps) | $\Delta \varepsilon_{\mathrm{slow}}$ $\left(\cdot \right)$ | τ_{slow} (p _S) | $\alpha_{K(slow)}$ $\left(\cdot \right)$ | $\Delta \varepsilon_{\mathsf{fast}}$ $(\textnormal{-})$ | τ_{fast} (p _S) | $\alpha_{K(fast)}$ $\left(\cdot \right)$ |
| H ₂ O | 71.82 | 7.96 | 1.66 | 0.53 | 71.64 | 7.98 | 0.05 | 1.59 | 0.53 | 0.21 |
| | (0.01) | (0.01) | (0.03) | (0.01) | (0.02) | (0.01) | (0.01) | (0.04) | (0.03) | (0.04) |
| D_2O | 71.74 | 9.90 | 1.67 | 0.64 | 71.56 | 9.92 | 0.05 | 1.60 | 0.64 | 0.20 |
| | (0.01) | (0.01) | (0.02) | (0.01) | (0.01) | (0.01) | (0.01) | (0.02) | (0.02) | (0.03) |

D2O at 300 K. Uncertainties are shown in parentheses.

Table 2 Best-fitted DHO parameters of eqn (1) and eqn (5) for liquid H_2O and D_2O at 300 K.

Uncertainties are shown in parentheses.

* Fixed.

Fig. 1 (a,b) Ultrabroadband complex dielectric constant (500 MHz \sim 400 THz) of liquid H₂O and D₂O at 300 K. (c) Absorption coefficient of H₂O and D₂O at 300 K up to 120 THz, determined from the complex dielectric constant.

Fig. 2 Debye-DHO fitting of the complex dielectric constant of H_2O (500 MHz \sim 23 THz) described by eqn (1). The experimental result (gray open circles) is reproduced by the fitting curve, which consists of two Debye- and four DHO-type susceptibilities.

Fig. 3 (a,b) SFM fitting of the complex dielectric constant of H₂O (500 MHz \sim 23 THz), described by eqn (5). The experimental result (gray open circles) is reproduced by the fitting curve, which consists of two relaxation-type discrete SFM, two DHO, and two resonance-type continuous SFM susceptibilities. (c) Residual complex dielectric constant of H_2O determined by subtracting the contribution of the slow relaxation process from the experimental result.

Fig. 4 Experimental imaginary part of H₂O compared with its constituent relaxation mode described by the Debye model, the defect-migration theory,⁸ and the discrete SFM model. Note that the Debye and discrete SFM model include both the slow and fast relaxation processes. The shaded area, Im[$\tilde{\varepsilon}(\omega) - \tilde{\chi}_{\text{dSFM}}(\omega)$], corresponds to the contribution of the vibration modes of water. It can be seen that the contribution of the SFM relaxations sufficiently falls below the actual imaginary part in the IR region, which ensures that the relaxations can be regarded as the absorption background.

Fig. 5 (a) Response function $\chi_{relax}(t) = \mathcal{F}^{-1}[\tilde{\chi}_{slow}(\omega) + \tilde{\chi}_{fast}(\omega)]$ of H₂O described by the Debye and discrete SFM model. The vertical axis is normalized so that the Debye model satisfies $\chi_{\text{relax}}(0) = 1$. The inset is a semi-logarithmic plot of the discrete SFM model up to 25 ps. (b) Time-domain functions $\chi_{\text{vib}}(t)$ of the intermolecular bending (dashed line), intermolecular stretching (two-dot-dashed), and two libration modes (dot-dashed) of H_2O . Note that the bending signal is amplified by a factor of 10 for clarity. The inset shows the comparison of the L2 response function expressed by the continuous SFM (eqn (5)) and DHO (eqn (1)) functions, revealing the inertial behaviour at short times.

