**Vertical vs Adiabatic Ionization Energies in Solution and Gas-Phase: Probing Ionization-Induced Reorganization in Conformationally-Mobile Bichromophoric Actuators using Photoelectron Spectroscopy, Electrochemistry and Theory**

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Vertical vs Adiabatic Ionization Energies in Solution and Gas-Phase: Probing Ionization-Induced Reorganization in Conformationally-Mobile Bichromophoric Actuators using Photoelectron Spectroscopy, Electrochemistry and Theory

Maxim V. Ivanov, Denan Wang, Depeng Zhang, Rajendra Rathore* and Scott A. Reid*

Ionization-induced structural and conformational reorganization in various π-stacked dimers and covalently linked bichromophores is relevant to many processes in biological systems and functional materials. In this work, we examine the role of structural, conformational, and solvent reorganization in a set of conformationally mobile bichromophoric donors, using a combination of gas-phase photoelectron spectroscopy, solution-phase electrochemistry, and density functional theory (DFT) calculations. Photoelectron spectral analysis yields both adiabatic and vertical ionization energies (AIE/VIE), which are compared with measured (adiabatic) solution-phase oxidation potentials (E_{ox}). Importantly, we find a strong correlation of E_{ox} with AIE, but not VIE, reflecting variations in the attendant structural/conformational reorganization upon ionization. A careful comparison of the experimental data with the DFT calculations allowed us to probe the extent of charge stabilization in gas phase and solution and to parse the reorganizational energy into its various components. This study highlights the importance of a synergistic approach of experiment and theory to study ionization-induced structural and conformational reorganization.

Introduction

Charge and energy delocalization across π-stacked assemblies is of critical importance in a variety of biological systems and functional materials.1-5 Various π-stacked dimers and covalently linked bichromophores undergo appreciable ionization-induced structural (e.g., bond length) changes, as well as conformational reorganization, driven by charge-resonance interactions.6-9 Past studies have established that upon ionization, π-stacked assemblies adopt a cofacial arrangement, where through-space orbital overlap and interchromophoric electronic coupling are maximal. While the enthalpy gain of a complete cofacial approach of two aromatic systems may reach ~0.35 V,7 the overall free energy gain depends significantly on the linker connecting the two chromophores as well as the surrounding environment (i.e., solvent). In this context, an oxidation-induced molecular actuation,10-12 i.e., reversible change in structure, conformation and/or physical properties in response to external stimuli,13-15 represents an ideal case to probe the extent of cationic charge (i.e., hole) stabilization and structural/conformational reorganization in a set of model bichromophoric electron donors.

As illustrated with a model “clam-like” donor in Fig. 1, following Koopman’s theorem and the Franck-Condon principle, initial vertical ionization from the HOMO occurs rapidly on the timescale of nuclear motion, producing a highly vibrationally excited cation radical in the D_0 state, which reorganizes on a timescale of ps-μs.10 In solution, the solvent serves as a sink of the initial vibrational excitation, and reorganizes to accommodate the relaxed solute. Fundamentally, we seek to understand the dynamics of structural, conformational, and solvent reorganization, which accompanies ionization in a set of model bichromophoric electron donors.

Fig. 1. Illustration of the actuation in a model bichromophoric donor initiated by ionization. The panel at right illustrates the ground (S_0) and ionized (D_0) potential energy surfaces.

The solution phase oxidation potential (E_{ox}) and gas-phase adiabatic ionization energy (AIE) are expected to linearly
correlate with the gas-phase vertical ionization energy (VIE) in a set of structurally similar molecules, bearing similar ionization-induced reorganization.16-18 A linear correlation with near-unity slope further evidences a similar degree of solvent reorganization.16,17 However, in cases of significant structural and/or conformational reorganization, one cannot a priori expect a linear relationship between these variables – indeed, it is not obvious how these will correlate.

In this manuscript, we examine a set of bichromophoric actuators and their model compounds using photoelectron spectroscopy, electrochemistry, and DFT calculations, to probe the correlation between adiabatic and vertical IEs measured in the gas-phase and adiabatic \( E_{\text{ox}} \) in solution. Importantly, we find that the AIEs are linearly correlated with \( E_{\text{ox}} \) while the VIEs are not well correlated, as the reorganization energy is species dependent. The variety of donors employed allows us to parse the reorganization energy into its various components, providing deeper insight into the mechanisms of actuation in these prototypical systems.

Results and discussion

Synthesis.

A series of similar veratrole- and acetal-based actuator molecules (\( V_2, V_2\)-locked, Ac2-C2H4, Ac2-CH2) and model compound \( V_1 \) were synthesized from previously reported procedures10-12 and were fully characterized by \(^1\)H/\(^13\)C NMR spectroscopy. Gas-phase photoelectron spectra of all compounds were recorded at the Center for Gas-Phase Electron Spectroscopy at The University of Arizona.

Adiabatic ionization in solution.

A detailed investigation of the ionization-induced actuation of \( V_2, V_2\)-locked, Ac2-C2H4 and Ac2-CH2 bichromophores in CH2Cl2 solution has been established in previous studies,10-12 which showed that \( V_2 \) in solution exists in an open conformation and undergoes ionization-induced folding into a closed conformation on a μs timescale (Fig. 2).10,11 Electrochemical analysis showed that a cofacial arrangement of veratroles in the closed conformation effectively stabilizes cationic charge (i.e., hole), as evidenced by a significant (\( \Delta E_{\text{ox}} = -0.26 \) V) lowering of its first oxidation potential (\( E_{\text{ox}} \)) in comparison with the monochromophoric \( V_1 \) (Fig. 2).

Furthermore, analysis of the X-ray structures of neutral \( V_2 \) and its cation radical salt [\( V_2^{+}\text{SbCl}_5^- \)] showed that in addition to the ionization-induced conformational reorganization, structural reorganization occurs in the form of the contraction and elongation of the bond lengths, and this structural reorganization is evenly distributed over both veratrole moieties. Although the relative energetic contributions of structural and conformational reorganizations may not be a priori apparent, both contribute toward the observed lowering of the oxidation potential.

In contrast, the bichromophore \( V_2\)-locked (Fig. 2) is a rigid structure that exists in a (closed) cofacial arrangement in both neutral and cation radical states. Interestingly, the X-ray structure of neutral \( V_2\)-locked showed that one of the methoxy groups exists in an out-of-plane arrangement (C-O-C\(_\text{Ar}\)-C\(_\text{Ar}\) dihedral angle \( \phi = 66.9^\circ \)) stabilized by intramolecular hydrogen bond interactions. It is then expected that upon ionization of \( V_2\)-locked in solution, in addition to the structural reorganization in the form of bond length changes, the planarization of the methoxy group will also occur.18,19 We note that the methoxy-group orientation in the neutral state of veratrole-containing species is occasionally found out of the aromatic plane. For example, analysis of crystal structures from the CCDC database20 revealed that among 2,774 structures containing the veratrole moiety, 44 structures (or 1.6%) have a C-O-C\(_\text{Ar}\)-C\(_\text{Ar}\) dihedral angle in the 54°-110° range (Fig. 3), suggesting that in the neutral state the orientation of the methoxy group is dependent on the local environment and packing. In contrast, available crystal structures of the dimethoxybenzene-based cation radicals showed that methoxy groups exist exclusively in the in-plane arrangement.7,10,11,18,19
especially in the cases when the conformations are nearly isoenergetic and environment-dependent.

The conformations of the title compound in various environments have been determined by X-ray crystallography. A total of 2,774 crystal structures containing veratrole moiety from the CCDC database contain at least one C-O-C\_Ar-C\_Ar dihedral angle in the range of 167°-180°. The distribution of the C-O-C\_Ar-C\_Ar dihedral angle is shown in Fig. 3.

**Table 1.** Experimental adiabatic oxidation potentials (E\text{ox}) in CH\textsubscript{2}Cl\textsubscript{2}, vertical and adiabatic ionization energies (VIE and AIE, respectively) in the gas phase. VIE and AIE are reproducible to 0.02 and 0.04 eV, respectively.

<table>
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<tr>
<th>Compound</th>
<th>E\text{ox}, V vs Fc/Fc\textsuperscript{+}</th>
<th>VIE, eV</th>
<th>AIE, eV</th>
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<tr>
<td>V1</td>
<td>0.77</td>
<td>7.45</td>
<td>7.16</td>
</tr>
<tr>
<td>V2</td>
<td>0.51</td>
<td>7.25</td>
<td>6.71</td>
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<tr>
<td>V2_locked</td>
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<tr>
<td>Ac2_CH2</td>
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<td>7.47</td>
<td>6.99</td>
</tr>
<tr>
<td>Ac2_C2H4</td>
<td>0.81</td>
<td>7.45</td>
<td>7.09</td>
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**Vertical and adiabatic ionization energies in gas phase.**

Panels A, B and C in Fig. 4 present a schematic of a gas-phase photoelectron experiment, illustrating the difference between vertical and adiabatic ionization energies (VIE and AIE, respectively), a point central to this work. Panel A shows potential curves for a model one-dimensional (i.e., diatomic) case, and transition energies associated with adiabatic and vertical ionization. In a model photoelectron spectrum, panel B, individual vibrational features are distinguished, readily affording AIE and VIE determination. A more realistic case, panel C, shows unresolved features — here, the VIE corresponds to the peak in the photoelectron spectrum, while the AIE can be estimated by linear extrapolation of the rising edge back to the baseline. As such, difference between AIE and VIE measures the extent of combined structural and conformational reorganization upon ionization.

Following this procedure, photoelectron spectra of the gas-phase molecules were analyzed and the values of VIE and AIE were obtained (Table 1). In each case the photoelectron spectrum shows a series of broad transitions (Fig. 4D) reflecting electron ejection from various molecular orbitals (MOs), or from different conformations. In particular, analysis of the photoelectron spectrum of V1 showed that it can be best reproduced if two Gaussian functions are centered at 7.45 and 7.77 eV corresponding to two conformations with the in-plane (V1\_in) and out-of-plane (V1\_out) orientation of the methoxy group (Fig. S17 in ESI) as further supported by the DFT calculations.
The correlation plot between solution-phase E_{ox} and gas-phase AIE shows a clear linear correlation ($R^2 = 0.96$, red circles in Fig. 5), suggesting that the combined structural and conformational reorganization in the gas phase is energetically similar to that in the solution, while a non-linearity of 0.68 reflects some variance in solvent reorganization. In contrast, the correlation between E_{ox} and VIE shows a scattered plot, which can be separated into a roughly linear trend for compounds that undergo conformational reorganization such as folding and methoxy group rotation (i.e., V1-out, V2, V2-locked, and Ac2-CH2, see blue circles in Fig. 5), and a cluster of two molecules where ionization-induced reorganization is limited to the structural reorganization of a single aromatic moiety (i.e., V1-in, Ac2-C2H4, see blue squares in Fig. 5).

Overall, the difference in ionization energies ($\Delta$IE - VIE) reflects the geometrical (structural and conformational) reorganization attendant to ionization, while the value of the slopes in $E_{ox}$-vs-AIE and $E_{ox}$-vs-VIE dependences reflect solvent reorganization. In order to correctly assess the ionization-induced reorganization, we must identify the global-minimum structures for neutral and cation radical potential energy surfaces in both gas phase and solution. Although the global minimum structures have been experimentally identified in solution, the experimental identification of the global minimum structures in the gas phase is less obvious and, therefore, we resorted to density functional theory calculations.

**Computational modelling of the adiabatic and vertical ionizations in the gas phase and solution.**

Accurate modeling of the ionization-induced actuation in the systems studied in this work presents a challenge for electronic structure calculations, and density functional theory (DFT) in particular, for several reasons. First, local and gradient-corrected density functionals cannot describe (attractive) long-range dispersion interactions and therefore total energies of the closed conformations of V2, Ac2-C2H4 and Ac2-CH2 are expected to be significantly overestimated using these functionals. Second, in the ionized state self-interaction error (SIE) may cause an artificial delocalization of the cationic charge leading to underestimated ionization energies of both open and closed conformations. Third, basis set superposition error (BSSE) is expected to be highly conformation-dependent, with the total energies of the closed conformations being underestimated as compared to the open conformations.

We have recently shown in a combined experimental/theoretical study that CAM-B3LYP and B1LYP with 40% of Hartree-Fock exchange (i.e., B1LYP40) accurately reproduce experimental binding energies of the van der Waals dimer of fluorene in neutral, excited and cation radical states if the missing dispersion interactions are accounted for using the empirical Grimme’s D-3 term, with BSSE correction using the counterpoise (CP) method. In intermolecular complexes the BSSE often arises due to the lack of flexibility of the basis set of each monomer leading to the wrong binding energies or even to incorrect geometries and vibrational frequencies. When calculating the binding energy of a dimer using the CP method, the energy of each monomer (or fragment) is calculated using the basis set of both monomers in a dimer. While in the case of intermolecular complexes the definition of a fragment is obvious, in the case of covalently linked bichromophores, a choice of atomic composition, charge and multiplicity of each fragment becomes ambiguous. Despite this arbitrariness, the CP method has been applied in several systems to account for intramolecular BSSE with satisfactory results.

Here, we have performed electronic structure calculations using CAM-B3LYP-D3 and B1LYP40-D3 functionals and 6-31G(d) basis set with the BSSE correction using the CP method. All actuators were separated into three fragments, i.e., two aromatic moieties and the linker (Fig. 6). To account for the solvents effects we use the polarizable continuum model (PCM) with CH2Cl2 as a solvent. See ESI for full computational details.
We first confirmed that calculations can accurately identify the global minimum structures of the actuators in solution. Indeed, in agreement with the experimental data, CAM-B3LYP-D3/6-31G(d)+PCM(CH₂Cl₂) calculations predict that actuators V₂, Ac₂-C₂H₄ and Ac₂-CH₂ in the neutral state prefer an open conformation, albeit with varied difference in free energies. For V₂ and Ac₂-C₂H₄, the open conformer is 2.1 and 3.5 kcal/mol lower in energy than closed, respectively, while in the case of Ac₂-CH₂ the two conformers are isoenergetic. As the orientation of the methoxy group in the neutral state is somewhat uncertain (Fig. 3), we have also considered several conformations of V₁, V₂ and V₂-locked with out-of-plane methoxy group orientations (Fig. 7). In agreement with the X-ray crystallography data, calculations show that V₂-locked with the methoxy group oriented out-of-plane is the most stable, while V₁ and V₂ prefer an in-plane arrangement (blue dashed squares in Fig. 7A). See Tables S1-S16 in ESI for full details.

For the cation radical state, calculations show that in all cases, except Ac₂-C₂H₄, a closed conformation with in-plane arrangement of the methoxy groups is the global minimum energy structure (red dashed squares in Fig. 7A), in complete agreement with experiment (Fig. 2). The energetic difference of the global minimum structures of the cation radical (D₀) and neutral (S₀) states provides the calculated adiabatic ionization free energy (i.e., \( G_{\text{ox}} = G(D_0) - G(S_0) \)), which upon correlation with experimental oxidation potentials shows a perfect linear trend (Fig. 7B).

In the gas phase, this picture changes significantly, as the various conformations become nearly isoenergetic, with energy differences within 1 kcal/mol or less. For example, the gas-phase energy difference of V₂ in open and closed conformations with out-of-plane methoxy groups is only 0.75 kcal/mol (Table S1 in ESI), while open and closed conformations of Ac₂-CH₂ and Ac₂-C₂H₄ differ by 0.3 and 1.1 kcal/mol, respectively (Tables S9 and S13 in ESI). In contrast, the calculated vertical ionization energies (VIE = \( E(D_0) - E(S_0) \), at the equilibrium geometry of neutral state) of various conformations depend dramatically on the conformation (e.g., Table S1 in ESI). Indeed, it has been established both experimentally and theoretically that the extent of cofaciality between aromatic moieties and the orientation of the methoxy relative to the aromatic plane are reflected in the values of VIE and \( E_{\text{ox}} \). The high sensitivity of the conformation to the VIE allowed us to pinpoint the conformations that most likely exist in the gas phase by correlating calculated VIEs against experimental values (Fig. 8A). Remarkably, conformations that show the best correlation with experiment correspond exactly to those identified as global minimum structures in solution.

For the cation radical state, our calculations show that the closed conformer bearing an in-plane arrangement of methoxy groups is the global minimum for all molecules, with other conformations being far less stable. Interestingly, in contrast to the solution phase, Ac₂-C₂H₄ likely does undergo oxidation-induced folding in gas phase, as the calculated energy of the closed conformation is 3.7 kcal/mol lower than that of the open conformer (Table S16 in ESI). The difference between energies of cation radical (D₀) and neutral (S₀) states for the identified equilibrium structures gives adiabatic ionization energies (i.e., AIE = \( E(D_0) - E(S_0) \), at the equilibrium geometries of each respective state), that upon correlation with experimental AIEs showed a perfect linear trend (Fig. 8B). Finally, correlations of the calculated VIE with AIE (Fig. 8C, red) and with \( G_{\text{ox}} \) (Fig. 8D) show a close resemblance to the analogous experimental plots (Fig. 8C, blue and Fig. 5), confirming that our assignment of the oxidation-induced conformational reorganization is consistent with experimental data. Note that although the scatter of the experimental and calculated points in Figure 8C is nearly identical, the calculated values are underestimated by a constant offset value of 0.6 eV.

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**Fig. 7.** A. Equilibrium structures optimized using CAM-B3LYP-D3/6-31G(d)+PCM(CH₂Cl₂). Blue boxes correspond to the global minimum structures of the neutral, red boxes correspond to the global minimum structures of the cation radical. See Tables S1-S16 in ESI for full details. B. Correlation plot between calculated adiabatic ionization free energies \( (G_{\text{ox}}) \) and experimental oxidation potentials. Best-fit linear trend is shown: \( G_{\text{ox}} = 1.10 \times E_{\text{ox}} + 4.50, R^2 = 0.99 \).
Probing the extent of hole stabilization and ionization-induced reorganization in the gas phase.

A detailed analysis of the experimental vertical and adiabatic ionization energies in gas phase and solution, with the aid of extensive calculations, allowed us to pinpoint conformational reorganization attendant to vertical ionization in the gas phase and solution. While the ionization-induced actuation in the gas phase involves (overall) similar conformational reorganization as in solution, there are a few distinct features. For example, the degree of cationic charge (i.e., hole) stabilization is much more prominent in the gas phase than in solution, as evidenced by a significant lowering of the AIE, as compared to V1, e.g., \( \Delta AIE[V2] = -0.45 \text{ eV} \) and \( \Delta AIE[V2-locked] = -0.49 \text{ eV} \) (Fig. 9). Analogous quantities in solution are significantly more modest, i.e., \( \Delta E_{\text{sol}}[V2] = -0.26 \text{ V} \) and \( \Delta E_{\text{sol}}[V2-locked] = -0.35 \text{ V} \), with the difference arising due to additional solvent reorganization. Furthermore, the degree of hole stabilization is highly dependent on the linker and spans a significant range, i.e., -0.49 to -0.07 eV (Fig. 9). Finally, the nearly nonexistent hole stabilization of -0.07 eV in Ac2-C2H4 in the gas-phase is reflected in the absence of oxidation-induced folding in the solution phase due to the additional solvent reorganization (compare, e.g., Figs. 2 and 9).

Although the relative energetic contributions of structural and conformational reorganizations are not a priori apparent, a close inspection of the difference in ionization energies (VIE - AIE = \( \Delta IIE \)) allows us to separate these components. Considering the monomeric models V1-in and V1-out (Table S17 in ESI), the former undergoes only structural reorganization with attendant bond length changes (\( \Delta IIE = \text{VIE} - \text{AIE} = 0.29 \text{ eV} \)), while the latter also exhibits conformational reorganization, as the methoxy group rotates into the molecular plane upon ionization (\( \Delta IIE = 0.54 \text{ eV} \)). Both of these components are present also in the rigid V2-locked, which has a somewhat similar \( \Delta IIE \) of 0.39 eV. In contrast, the foldable V2 shows a larger \( \Delta IIE \) of 0.54 eV, reflecting the additional conformational reorganization associated with folding (Fig. 9). Moving to the acetal-based actuators, Ac2-CH2 and Ac2-C2H4 exhibit relatively (e.g., to V2) smaller reorganization energy of 0.48 and 0.36 eV, respectively, despite the presence of both structural and conformational (i.e., folding) reorganization. This analysis highlights the importance of the alkyl framework linking two chromophores in controlling the extent of structural and conformational reorganization.

Considering the role of solvent, previously Parker and Kochi and co-workers\(^{17}\) have shown a strong linear correlation of oxidation potentials and VIE in a set of structurally similar molecules. In the former case, a near unity slope was derived, while the latter case found a slope less than unity (~0.71), which was suggested to reflect differences in the energy of solvation. Our derived slope for the correlation of \( E_{\text{ox}} \) and AIE (Fig. 5) is of a somewhat similar magnitude (0.52), suggesting some (linear) variations in solvent reorganization amongst this set of actuators.

Role of intramolecular basis set superposition error (BSSE).

While it is widely accepted that the BSSE is critically important for accurate prediction of the binding energies in
the intermolecular complexes, the importance of intramolecular BSSE is less recognized. Here, the availability of a set of conformationally-mobile bichromophores with access to π-stacked (i.e., closed) conformations present a unique opportunity to probe the role of intramolecular BSSE; and complete data is summarized in Tables S18 and S19 in ESI.

First, we expect that the BSSE should be larger for the closed conformations as compared to open. Indeed, depending on the bichromophore the difference in BSSE, i.e., ΔBSSE = BSSE[closed] − BSSE[open], varies from 2.8 kcal/mol in V2 to 1.1 kcal/mol in Ac2-CH2 to 0.6 kcal/mol in Ac2-C2H4. These relatively minor differences are, however, sufficient to incorrectly assign global minimum conformations of V2 and Ac2-CH2 if the BSSE is not corrected. At the same time, the varied orientation of the methoxy groups adds an additional 0.0-0.4 kcal/mol to the BSSE. Interestingly, for the cation radical state the BSSE is generally smaller, by 0.6-2.4 kcal/mol, than in the neutral state. Yet, the BSSE of the cation radical state is also conformation-dependent and is the largest for closed conformations. Due to a significant overall stabilization of the closed conformation at the cation radical, absence of BSSE correction does not lead to errors in assignment of the global minimum structures.

Finally, the choice of the atomic composition, spin and multiplicity of the fragments in the counterpoise (CP) method implies uncertainty in the estimate of BSSE correction. For example, when the molecule is fragmented differently than that shown in Fig. 6 (see Fig. S18 in ESI), the BSSE differs by less than 2.1 kcal/mol in V2 and V2-locked and by 3.8-6.0 kcal/mol in Ac2-CH2 and Ac2-C2H4. Such a significant difference for Ac2-CH2 and Ac2-C2H4 arises from the completely different electronic structures of the isolated fragments in the two approaches (Fig. S18 in ESI). We thus conclude that the counterpoise correction is more reliable when the electronic structure of the isolated fragment is close to the electronic structure of that fragment within the molecule.41 Importantly, we recognize that the only method to fully eliminate BSSE is to increase the size of the basis set, which may not be practical.28

Conclusions

In this work, we have examined structural, conformational, and solvent reorganization in a set of conformationally mobile bichromophoric donors (i.e., actuators), via gas-phase photoelectron spectroscopy, solution phase electrochemistry, and DFT calculations. From gas-phase photoelectron spectra, both adiabatic and vertical ionization energies (AIE/VIE) were derived, which we compared with measured solution phase oxidation potentials (Eox). A strong correlation was found of Eox with AIE, but not VIE, reflecting variations in structural and/or conformational reorganization upon ionization. These changes were further delineated via a detailed conformational analysis, using a combination of DFT calculations and experimental data. This analysis allowed us to pinpoint the extent of hole stabilization in various actuators and parse the reorganization energy into its various components, providing deeper insight into the mechanisms of actuation in these model compounds. Finally, we noted the importance of the intramolecular basis set superposition error in accurately predicting potential energy surfaces when π-stacked (i.e., closed) conformations are present. Overall, this study highlights the importance of a synergistic approach of experiment and theory in the study of ionization-induced conformational and structural reorganization.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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Notes and references

vertical ionization structural, conformational and solvent reorganization.

$S_0$ $D_0$

open $\rightarrow$ -1 e$^-$ $\rightarrow$ open$^{+\cdot}$ $\rightarrow$ $ps$-$\mu$s $\rightarrow$ closed$^{+\cdot}$ $\rightarrow$ open