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Design and Synthesis of New Ruthenium Complex for Dye-Sensitized Solar Cells

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Abstract

A series of ruthenium complexes (MC1–MC3), incorporated with carbazole, fluorene and phenothiazine units with dipyrido[3,2-a:2',3'-c]phenazine is synthesized, characterized and their optical, electrochemical and photovoltaic properties are investigated. The observed differences in light-harvesting ability of the sensitizers are associated with the electron donor strength of the ancillary ligand used for preparing complexes. The dye-sensitized solar cell fabricated from complex MC–1 exhibited a power conversion efficiency of 6.18%. It is demonstrated that new molecular design and increase in molar absorption coefficient of the sensitizer improved the device performance.

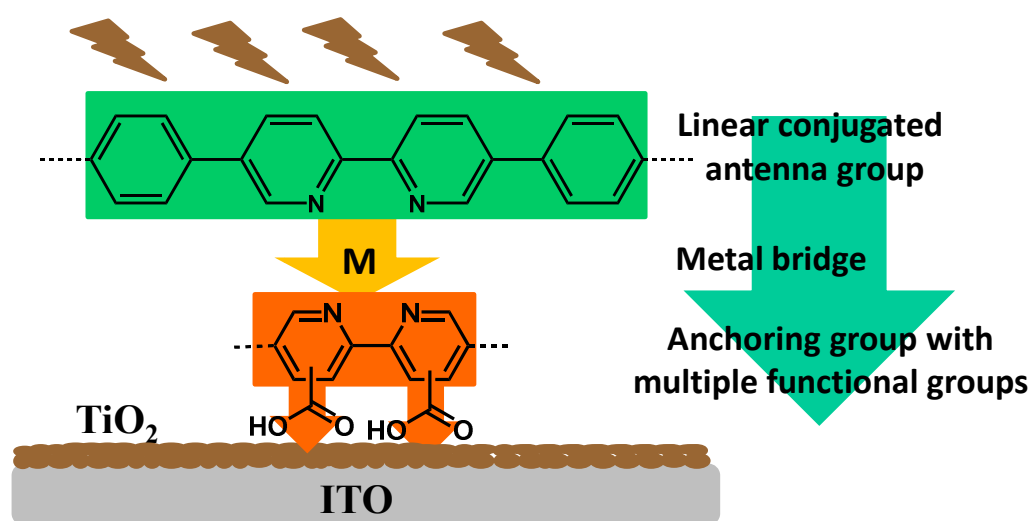
Introduction

Dye-sensitized solar cells (DSSCs) have attracted considerable attention as a potential source of renewable energy owing to their low-cost of production and readily available components.^{1,2} DSSCs were fabricated by adsorbing the dye molecules on a mesoporous oxide films such as TiO₂, ZnO or Nb₂O₅. The dye molecules acted as a sensitizer which broaden the light absorption window of the photoanode in the solar spectral region.^{3–6} A few ruthenium complexes,^{7–10} metal free organic dyes^{11–15} and metalloporphyrins^{12,16–18} have been developed and used as sensitizers in DSSCs. However, low power conversion efficiencies and shorter life time need to be improved for practical applications of DSSCs.¹⁹

Ruthenium complexes exhibit broad absorption and enhanced molar absorptivity with long-term stability.²⁰ One approach to improve the light-harvesting efficiency of the ruthenium complex is via extending the absorption range in the visible region via increasing the conjugation

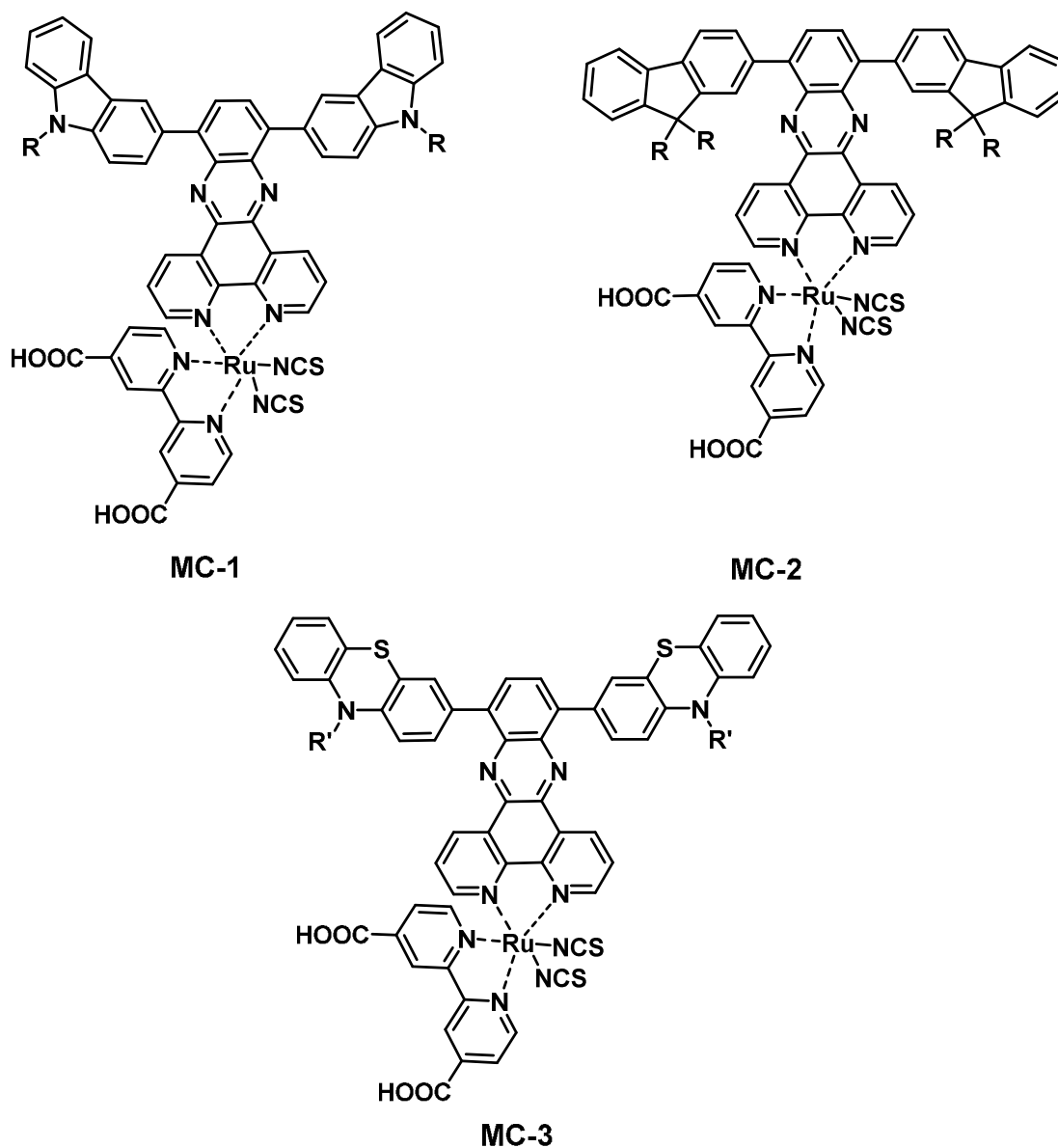
34 length of the ligands used in metal complexes.^{21,22} For example, replacing one of the 2,2'-
 35 bipyridyl-4,4'-dicarboxylic acid ligand (dcbpy) in the ruthenium compound of N719 and N3 with
 36 an extended π -conjugated ancillary ligand containing thiophene or alkoxy benzene derivatives,
 37 the absorption maximum was extended up to the longer wavelength region.^{23,24} The compound
 38 dcbpy was used as a common anchoring group for metal complexes on TiO₂ substrate.²⁵

39 In the present study, the area of coverage on the TiO₂ surface was extended by the design
 40 of linear dye molecules with metal coordinating sites which form complexes with ruthenium
 41 (Ru) metal ions. The 2,2'-bipyridine-4,4'-dicarboxylic acid is used as anchoring group for the
 42 metal complex. Such linear dye helps to cover a large area on the electrode, reduce aggregation,
 43 improve the stability, and enhance the efficiency of energy capture and transfer processes. The
 44 conceptual diagram is given in Figure 1.



45
 46 **Figure 1** Conceptual diagram for the DSSC dyes designed and investigated in this study

47 Molecular structures of three new ruthenium complexes (MC1 – MC3) with linear light
 48 harvesting units such as carbazole, fluorene and phenothiazine attached to the dipyrro[3,2-
 49 a:2',3'-c]phenazine units are given in Figure 2. The optical, electrochemical properties, electron
 50 distribution of frontier orbital of the metal complexes and energy conversion performance of the
 51 synthesized ruthenium complex are investigated in detail.



52

53 **Figure 2** Target metal complexes (MC1 – MC3) synthesized and used for the DSSC studies.

54 **Experimental section**

55 **Materials and instruments**

56 The starting materials, 3-bromocarbazole, 2-bromofluorene, 3-bromo-10H-phenothiazine and
 57 4,7-dibromobenzo[c]-1,2,5-thiadiazole were purchased from Sigma Aldrich and used as
 58 received. 3-Bromo-9-(2-ethylhexyl)-9H-carbazole (2A)²⁶, 9-(2-ethylhexyl)-3-(4,4,5,5-tetra
 59 methyl-1,3,2-dioxaborolane-2-yl)-9H-carbazole (3A)²⁶, 4,7-bis(9-(2-ethylhexyl)-9H-carbazol-3-
 60 yl)benzo[c][1,2,5]thiadiazole²⁷ and 1,10-phenanthroline-5,6-dione²⁸ were synthesized according
 61 to the reported procedure. All other chemicals and reagents were obtained from Sigma Aldrich

62 Ltd and used without further purification. Reactions were monitored by thin layer
63 chromatography (TLC) using silica gel plates and products were purified on silica gel column
64 using different solvents.

65 ^1H and ^{13}C NMR spectra were recorded using a Bruker Avance AV300 (300/500/75
66 MHz) NMR spectrometer with TMS as internal reference. The following abbreviations were
67 used to explain the signal multiplicities: s = singlet, d = doublet, t = triplet, m = multiplet, br =
68 broad. ESI/EI/APCI mass spectrometry was used to confirm the mass of compound. UV-Vis
69 absorption spectra were measured using a UV-1800 Shimadzu UV-VIS spectrophotometer with
70 an optical filter that is calibrated at a bandwidth of 1 nm. Structural optimization and frontier
71 orbital energy levels were calculated using density functional (DFT) model at B3LYP/6-31G(d)
72 level. The LUMO and HOMO electron density plots were generated from the optimized
73 structures using GaussView 5. Electrochemical studies of the metal complex were carried out
74 using a CHI electrochemical analyzer. Cyclic voltammograms were recorded using a three-
75 electrode cell system, with dye sensitized photoanode as working electrode, platinum wire as
76 counter electrode and Ag/AgNO₃ as reference electrode (0.1M electrolyte solution with 0.01M
77 AgNO₃ in CAN). Photocurrent-voltage characteristics under AM 1.5G illumination at 95 mW
78 cm⁻² were carried out with a Keithley 2400 source meter and PVIV software package from
79 Newport. Sunlight was obtained from a solar simulator (450 W, Newportclass A), and power
80 was calibrated using a silicon reference cell. The photocurrent density – voltage traces of metal
81 complex were measured under ambient conditions.²⁹ The active area of the cells was 0.119 cm²
82 defined by a mask.

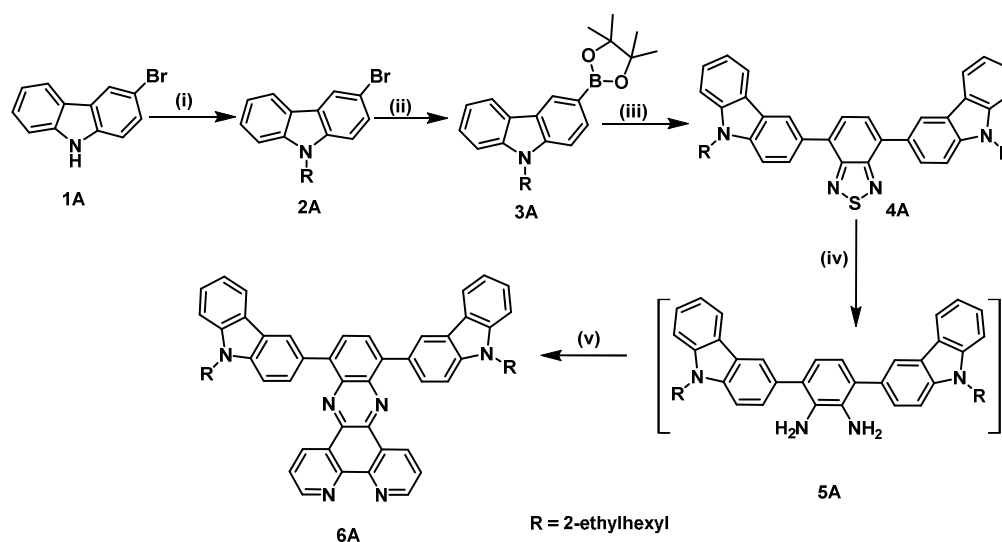
83 **Preparation of TiO₂ electrode and DSSC device fabrication**

84 Fluorine-doped indium tin oxide (FTO with a sheet resistivity of 8 – 10 Ω square⁻¹) coated glass
85 plate was cleaned using 5% Decon 90 solution, deionized water and ethanol sequentially in an
86 ultrasonic bath sequentially. On FTO plate, nanocrystalline TiO₂ (20 nm particle size) layers
87 were deposited by successive screen printing using a TiO₂ paste (Dyesol, DSL 18-NR). A layer
88 of larger TiO₂ particles (400 nm particle size, WER-O paste, Dyesol) was deposited on top of the
89 transparent layer to increase light scattering on the TiO₂ layer. Thereafter, the electrode was
90 gradually heated at 125 °C for 10 min, at 325 °C for 10 min, at 375 °C for 10 min, at 450 °C for
91 15 min and at 500 °C for 15 min. The electrodes were soaked in 40 mM solution of TiCl₄ in
92 distilled water for 30 min at 75 °C, washed with water and dried under ambient condition. The

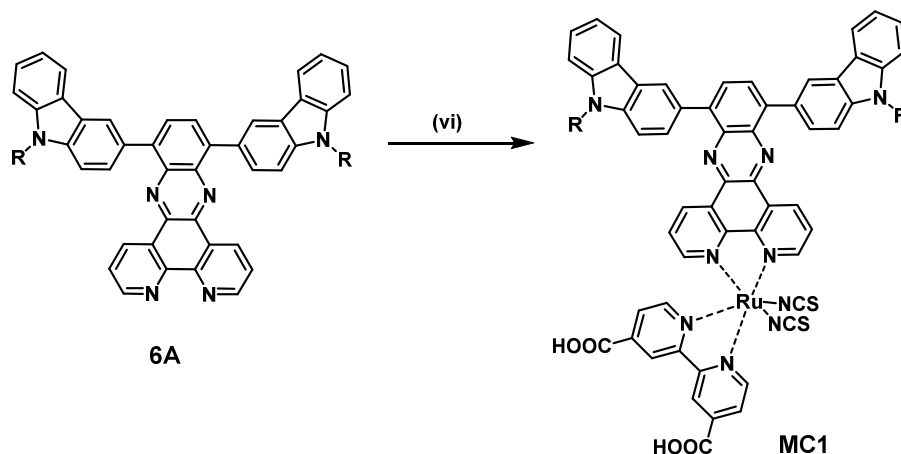
93 prepared electrodes were immersed in a sensitizer (0.3 mmol) solution in DMF : *t*-BuOH mixture
 94 (3 : 1 v/v) for 24 h, followed by washing with DMF prior to cell assembly. A platinum thin film
 95 (thickness = 100 nm) deposited on a FTO coated glass plate was used as the counter electrode.
 96 The electrolyte composed of 0.5 M lithium iodide, 0.05 M iodine and 0.5 M 4-*tert*-butylpyridine
 97 in CH₃CN, was injected through a hole at the platinized FTO in a partial vacuum. Finally, the
 98 device was used for testing the performance of DSSCs.

99 Synthesis of ligands and the metal complex

100 Synthesis and characterization data of compounds, 2A – 2C, 3A – 3C and 4A – 4C are achieved
 101 using reported procedures and the details are summarized in the supplementary information. The
 102 synthetic route for compound 6A and target sensitizer, MC1 is given in Scheme 1. Similar
 103 synthetic schemes were used for MC2 and MC3 (see supporting information, Scheme 2 and
 104 Scheme 3).



105



106

107 **Scheme 1.** Synthetic route for MC1; (i) KOH, RBr, DMF, room temperature, 12 h; (ii)
108 Bis(pinacolato)diboron, CH₃COOK, Pd(dppf)Cl₂.CH₂Cl₂, 1,4-dioxane, 80 °C, 18 h, (iii) 4,7-
109 dibromo-2,1,3-benzothiadiazole, K₂CO₃, Pd(PPh₃)₄, THF:H₂O, reflux, 24 h; (iv) Zn, acetic acid,
110 70 °C, 6 h; (v) 1,10-phenanthroline-5,6-dione, acetic acid, 70 °C, 12 h, (vi) Dichloro(p-
111 cymene)ruthenium(II) dimer, 2,2'-bipyridine-4,4'-dicarboxylic acid, NH₄NCS, DMF, reflux.

112 Synthesis of Compound 6A

113 Compound 4A (0.28 g, 0.4 mmol) was dissolved in 10 ml of glacial acetic acid, followed by
114 adding zinc dust (1.02 g, 16 mmol) in one portion, stirred at 70 °C for 6 h and filtered to remove
115 the solid residue. The obtained crude compound, diamine 5A was treated with 1,10-
116 phenanthroline-5,6-dione (85 mg, 0.4 mmol) and the resulting solution was stirred at 70 °C for
117 12 h. Reaction mixture was poured into ice water and extracted with chloroform (3 x 25 ml). The
118 organic phase was washed with water several times, dried over anhydrous Na₂SO₄ and
119 concentrated. The crude product was purified using silica gel column chromatography with
120 dichloromethane: methanol (99:1) as eluent to obtain compound 6A as a red solid (0.2 g, yield 62
121 %). Similar procedure was followed for the synthesis of ligand 6B and 6C.

122 **Compound 6A:** ¹H NMR (CDCl₃, 300 MHz, δ ppm): 9.4 (d, 2H), 9.2 (d, 2H), 8.8 (d, 2H), 8.24
123 (s, 2H), 8.19 (d, 2H), 8.1 (d, 2H), 7.66 (d, 4H), 7.54 (d, 4H), 7.32 (d, 2H), 4.34 (d, 4H), 2.27–
124 2.20 (m, 2H), 1.52–1.25 (m, 16H), 1.01 (t, 6H), 0.92 (t, 6H). ¹³C NMR (CDCl₃, 75 MHz,
125 δ ppm): 152.08, 148.36, 141.41, 140.71, 140.64, 139.66, 139.56, 133.80, 130.65, 129.08, 127.87,
126 125.77, 124.01, 123.35, 123.21, 122.85, 120.26, 119.13, 109.26, 108.44, 47.67, 39.59, 31.16,
127 28.93, 24.51, 23.19, 14.16, 11.02. HR-MS (APCI, *m/z*): calcd. for C₅₈H₅₇N₆ ([M+1]), 837.4639;
128 found, 837.4646.

129 **Compound 6B:** ¹H NMR (CDCl₃, 300 MHz, δ ppm): 9.4 (d, 2H), 9.24 (d, 2H), 8.08 (d, 2H),
130 7.96 (d, 2H), 7.82 (d, 4H), 7.68 (d, 2H), 7.44 (d, 4H), 7.32 (d, 4H), 2.1 (t, 8H), 0.72 (br s, 4H),
131 0.9–0.86 (m, 32H), 0.59 (t, 12H), 0.46 (t, 12H). ¹³C NMR (CDCl₃, 75 MHz, δ ppm): 152.44,
132 150.93, 148.25, 141.14, 140.01, 137.10, 134.27, 130.71, 128.20, 126.92, 126.62, 125.70, 124.45,
133 119.89, 119.16, 55.21, 44.81, 35.04, 34.08, 32.22, 31.42, 29.82, 28.46, 27.33, 22.85, 14.14,
134 14.07, 10.57. HR-MS (APCI, *m/z*): calcd. for C₇₆H₉₁N₄ ([M+1]), 1059.7238; found, 1059.7245
135 (error: -0.7 ppm).

136 **Compound 6C:** ¹H NMR (CDCl₃, 300 MHz, δ ppm): 9.09 (t, 5H), 7.85 (s, 2H), 7.64–7.59 (m,
137 8H), 7.0 (br, s, 7H), 3.98 (t, 4H), 1.96 (br, s, 4H), 1.41–1.25 (m, 12H), 0.95 (t, 6H). ¹³C NMR
138 (CDCl₃, 75 MHz, δ ppm): 151.60, 146.66, 139.37, 138.49, 133.71, 129.82, 127.23, 127.11,

139 124.16, 115.38, 114.48, 66.94, 60.24, 31.44, 29.55, 26.66, 22.53, 14.06, 13.92. HR-MS (APCI,
140 m/z): calcd. for $C_{54}H_{49}N_6S_2([M+1])$, 845.3455; found, 845.3452.

141 Synthesis of target compound MC-1

142 Compound 6A (0.1 g, 0.11 mmol) and dichloro(*p*-cymene)ruthenium(II) dimer (36.5 mg, 0.055
143 mmol) were dissolved in 5 ml anhydrous DMF under inert atmosphere. The reaction mixture was
144 heated at 80 °C for 4 h, followed by the addition of 2,2'-bipyridine-4,4'-dicarboxylic acid (26
145 mg, 0.11 mmol). Reaction mixture was heated at 150 °C for another 4 h under dark, excess
146 NH_4NCS (91 mg, 1.2 mmol) was added, stirred for 5 h, poured into ice water and the black
147 residue was filtered off. The excess NH_4NCS was removed by washing with water, followed by
148 methanol. The crude product was further purified by repeated re-precipitation from chloroform:
149 methanol (1:9) mixture to obtain the target compound MC-1 as a black powder (60 mg, yield 38
150 %). MC-2 and MC-3 were synthesized using similar procedures.

151 **MC-1:** 1H NMR (DMF, 500 MHz, δ ppm): 9.88 (s, 1H), 9.58 (s, 1H), 9.31 (s, 1H), 9.1 (s, 1H),
152 8.77 (s, 1H), 8.48 (d, 1H), 8.29 (d, 2H), 8.12 (s, 2H), 7.87 (d, 2H), 7.81 (d, 2H), 7.73 (d, 4H),
153 7.65–7.6 (m, 4H), 7.53 (t, 4H), 7.26 (t, 2H), 4.55 (d, 4H), 1.99–1.93 (m, 2H), 1.44–1.4 (m, 16H),
154 0.9–0.82 (m, 12H). MS (ESI): ($M_w=1298.5$) found $m/z = 1297.2 [M+]$

155 **MC-2:** 1H NMR (DMF, 500 MHz, δ ppm): 9.85 (s, 1H), 9.8 (d, 1H), 9.61 (d, 1H), 9.33 (s, 1H),
156 9.15 (s, 1H), 8.56 (d, 1H), 8.51 (d, 1H), 8.54–8.51 (m, 4H), 8.01 (d, 1H), 7.85–7.81 (m, 4H),
157 7.72 (d, 2H), 7.66 (d, 2H), 7.59 (s, 2H), 7.54 (t, 2H), 7.70–7.42 (m, 4H), 2.3–2.24 (m, 8H), 0.98–
158 0.9 (m, 32H), 0.76 (br s, 4H), 0.68–0.64 (m, 12H), 0.61–0.56 (m, 12H). MS (ESI): ($M_w=1521$)
159 found $m/z = 1519.7$

160 **MC-3:** 1H NMR (DMF, 500 MHz, δ ppm): 9.79 (s, 1H), 9.5 (s, 1H), 9.17 (s, 1H), 9.07–8.97
161 (m, 4H), 8.37 (s, 1H), 8.10 (d, 2H), 7.79–7.71 (m, 4H), 7.44–7.36 (m, 4H), 7.28–7.24 (m, 4H),
162 7.19–7.09 (m, 6H), 4.04 (t, 4H), 1.86–1.80 (m, 4H), 1.26–1.16 (m, 12H), 0.82–0.74 (t, 6H). MS
163 (ESI): ($M_w=1306.5$) found $m/z = 1305.1 [M+]$.

164 Results and Discussion

165 Synthesis of target molecules

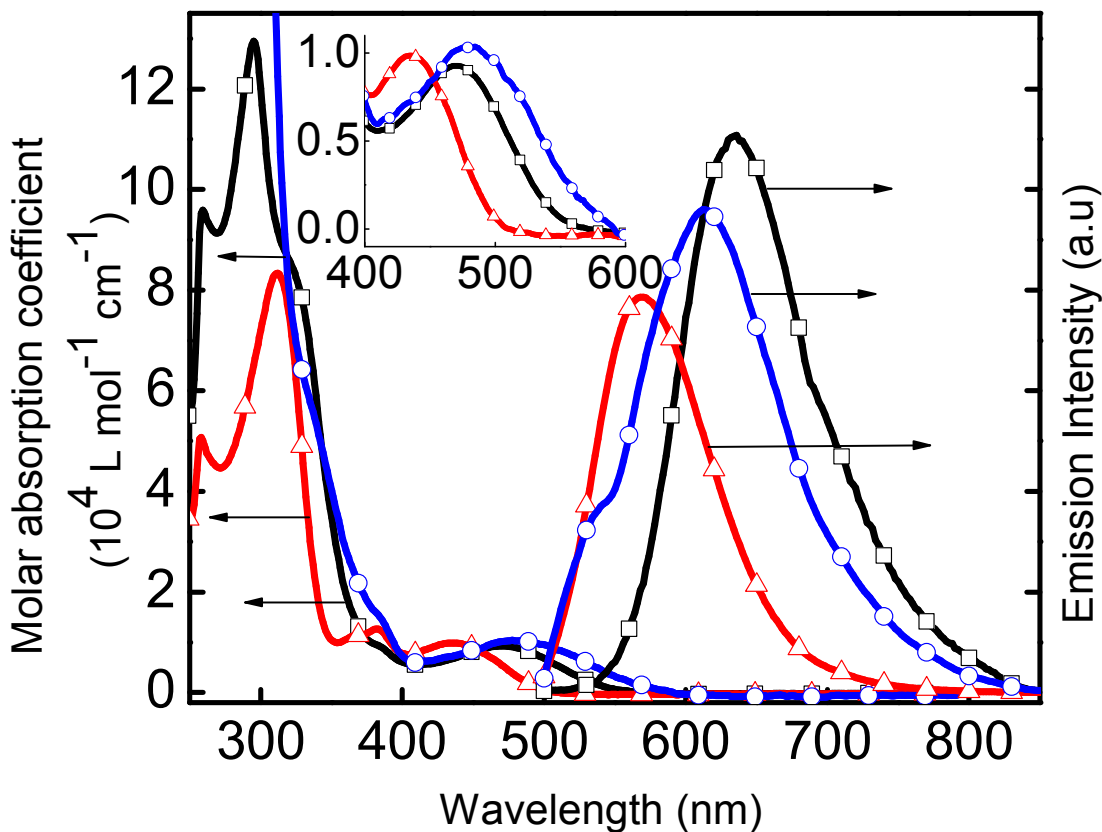
166 Three new precursor compounds, 6A – 6C, were synthesized using established procedures and
167 used to prepare the target dye molecules, MC1 – MC3. In a typical procedure, N-alkylation of 3-
168 bromocarbazole (1A) using KOH as a base in DMF solvent at room temperature to get 3-bromo-

169 9-(2-ethylhexyl)-9H-carbazole 2A. Subsequent Miyaura borylation reaction²⁶ of compound 2A
170 (2B for MC-2 and 2C for MC-3) with bis(pinacolato)diborane was carried out using KOAc as a
171 base and Pd(dppf)Cl₂.CH₂Cl₂ as a catalyst in 1,4-dioxane to obtain compound 3A in good yield.
172 Compounds 4A was prepared via Suzuki Miyaura coupling reaction²⁷ of corresponding mono
173 boronic acid pinacol ester compound 3A and 4,7-dibromobenzo[c]-1,2,5-thiadiazole. The
174 compound 4A was reduced with zinc dust in glacial acetic acid to yield corresponding diamine,
175 5A. Since the diamine was unstable, it was used as such for the next step and treated with 1,10-
176 phenanthroline-5,6-dione in glacial acetic acid at 70 °C for 12 h to yield ligand 6A. Similarly,
177 ligand 6B and 6C were prepared from appropriate starting materials. All intermediate
178 compounds and ligands were purified using silica column chromatography. ¹H NMR and mass
179 spectra of free ligands are presented in the supplementary data (Figure S1 and S2).

180 To prepare the target molecules, MC1 – MC3, dichloro(p-cymene)ruthenium(II) dimer
181 and 2,2'-bipyridine-4,4'-dicarboxylic acid were treated with appropriate amounts of the ligands
182 (6A – 6C) in anhydrous DMF under inert atmosphere (Scheme 1).^{20,22} The crude complexes were
183 further purified by successive re-precipitation using chloroform: methanol solvent mixture. The
184 products were partially soluble in solvents such as chloroform, dichloromethane, THF, methanol,
185 DMSO and completely soluble in DMF. The sensitizers (MC1 – MC3) were characterized using
186 ¹H NMR and electrospray ionization (ESI) mass spectrometry.

187 **Optical properties**

188 The absorption and emission spectra of compounds 6A – 6C in DMF solution are given in Figure
189 3. The ligands exhibited two high energy absorption bands between 300 – 390 nm region (at 295
190 and 383 nm for 6A, 310 and 385 nm for 6B, 337 and ~382 for 6C) are assigned to the intra-
191 ligand $\pi-\pi^*$ transitions of electron donor chromophores,³⁰ whereas, the absorption maxima
192 observed at 472, 436 and 490 nm in the longer wavelength region, are assigned to $n-\pi^*$
193 absorption bands.^{20,22} Further, $n-\pi^*$ absorption band of compound 6C is red shifted by 18 and 54
194 nm as compared to that of compound 6A and 6B, respectively. The observed red shift could be
195 attributed to the stronger electron donating ability of phenothiazine unit in compound 6C.³¹ Also,
196 emission maxima were observed at 632, 565 and 614 nm, for 6A, 6B and 6C, respectively.

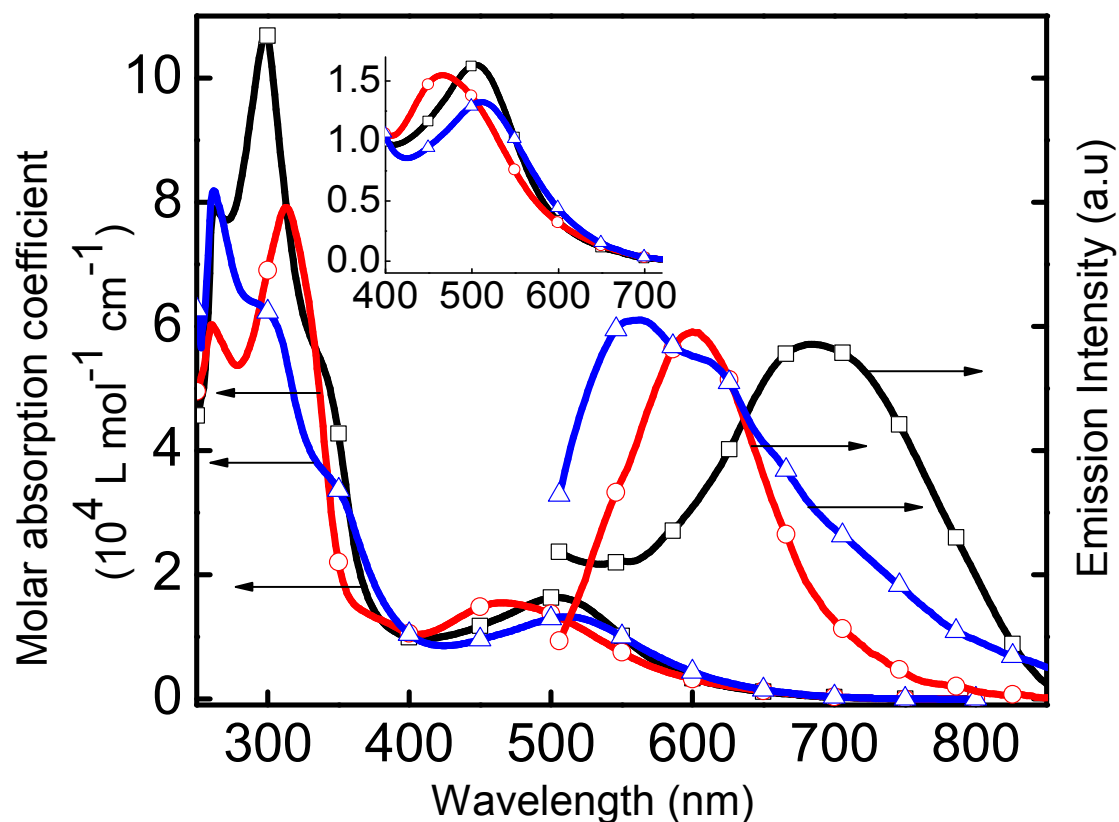


197

198 **Figure 3** UV-Vis absorption (left) and emission (right) spectra of free ligands, 6A – 6C in DMF,
 199 (–□– 6A, –△– 6B, –○– 6C, inset: part of absorption spectra from 400 – 600 nm is enlarged
 200 for clarity).

201 The absorption and emission spectra of the complexes MC1 – MC3 in DMF solution are
 202 given in Figure 4, and summarized in Table 1. As shown in Figure 4, the sensitizers showed
 203 broader absorption maxima in the region of 300 to 400 nm owing to the intra-ligand π - π^*
 204 transition.²⁰ Further, the absorption spectra of the compound 6A – 6C are dominated by metal to
 205 ligand charge transfer transition and the maxima are centered at 505, 471 and 513 nm for MC1 –
 206 MC3, respectively.²² The lowest energy metal to ligand charge transfer transition band of MC-3
 207 is red shifted about 8 and 42 nm as compared to that of MC-1 and MC-2, respectively. Here, the
 208 stronger electron donor unit phenothiazine in the ancillary ligand 6C of MC-3 lowers the metal
 209 to ligand charge transfer between metal center and the anchoring ligand. MLCT is the
 210 dominant factor for determining the efficiency of photosensitizer in DSSCs and increasing the

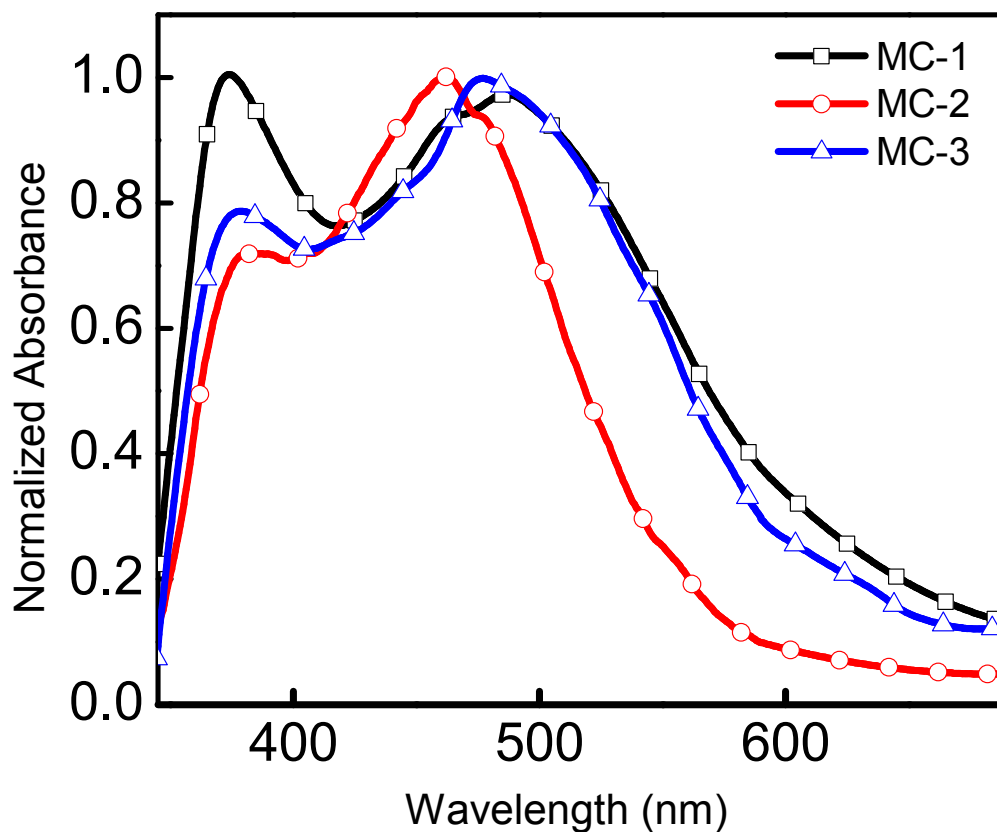
211 strength of the electron donor in the ancillary ligand of the metal complex, its MLCT transition
 212 will be driven to a lower energy and the dye will have a higher efficiency when used in DSSCs.²²
 213 On the other hand, the molar absorption coefficient of the intra-ligand $\pi-\pi^*$ and MLCT band of
 214 MC-3 is lower than those of MC-1 and MC-2 (Table 1). These results indicate that enhancing
 215 the donor strength of the ancillary ligand does not increase the intensity of MLCT band, but shift
 216 to a lower energy region. This may lead to the decrease in device performance for MC-3. The
 217 emission maxima of target molecules were obtained by exciting at the respective low energy
 218 MLCT absorption bands, emission maxima appeared at 685, 600 and 560 nm, respectively, for
 219 MC1 – MC3.



220 **Figure 4** UV-Vis absorption (left) and emission spectra (right) of MC1–MC3 in DMF (—□—
 221 MC-1,—○— MC-2, —△— MC-3, inset: part of absorption spectra from 400 – 600 nm is
 222 enlarged for clarity).
 223

224 The target molecular complexes MC1 – MC3 were adsorbed on a 2.5 μm transparent
 225 TiO_2 thin film which showed features similar to those of the corresponding absorption spectra in

226 solution state, but exhibited a blue-shift as a result of deprotonation of $-\text{COOH}$ group on TiO_2
 227 surface and presumably H-aggregate formation (Figure 5).³²



228 **Figure 5** UV-Vis absorption spectra of MC1 – MC3 adsorbed on transparent mesoporous TiO_2
 229 films
 230

231 Electrochemical properties

232 To use dye molecule as a sensitizers in DSSCs, its band structure should match with the energy
 233 level of the semiconductor anode and the redox electrolyte or the hole conductor. That is to say,
 234 to minimize energetic losses during the electron-transfer reaction, the energy level of the excited
 235 state of dye should be matched with the TiO_2 conduction band. Also its redox potential should be
 236 sufficiently positive that it can be regenerated via electron donation from a redox electrolyte,
 237 such as I_3^-/I^- . Cyclic voltammograms (CV) of MC1 – MC3 were recorded on TiO_2 film with 0.1
 238 M tetrabutylammonium hexafluorophosphate as the electrolyte at a scan rate of 100 mV s^{-1} . For
 239 calibration, the redox potential of ferrocene/ferrocenium (Fc/Fc^+) was measured under the same
 240 condition and observed at $0.14 \text{ V vs Ag}/\text{AgNO}_3$ electrode. It was assumed that the redox
 241 potential of Fc/Fc^+ has an absolute energy level of -4.80 eV in vacuum.^{33,34} The HOMO and

242 LUMO energy levels of sensitizers were derived from oxidation/reduction potentials of the target
243 molecules and summarized in Table 1.³⁵

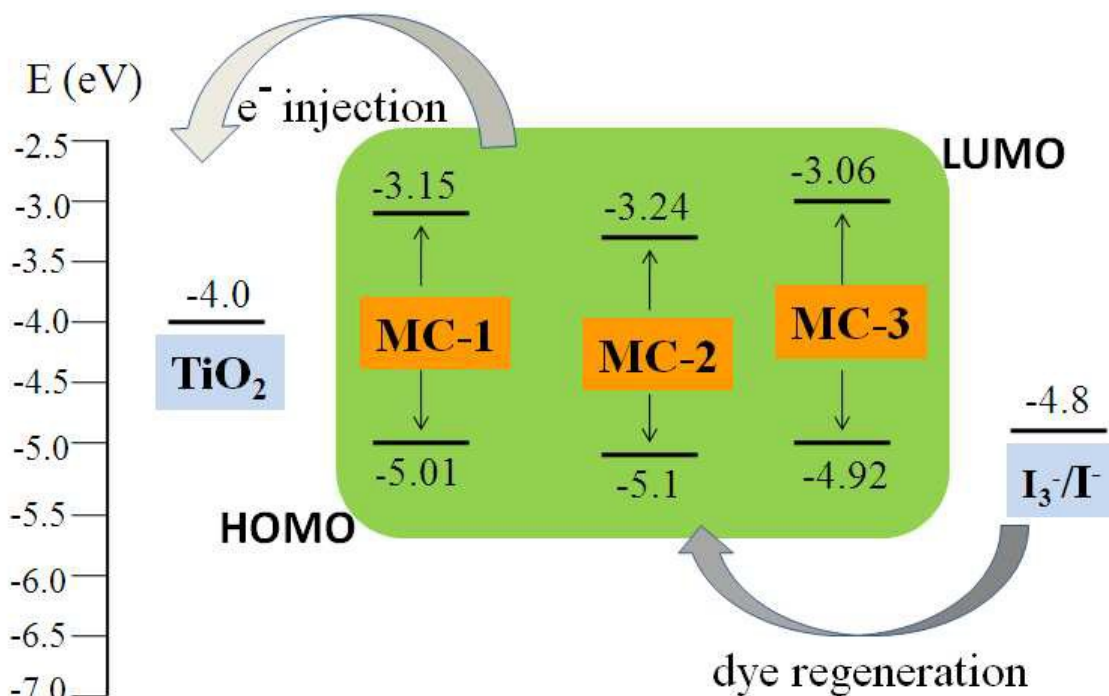
244 Two oxidative peaks are observed for the metal complex (Figure S4, supplementary
245 data). The first oxidation peak is assigned to the oxidation of the metal center, and the second
246 peak comes from the oxidation of free ligand.³⁶ The oxidation potential (E_{oxd}) of the metal center
247 is estimated to be 0.57 and 0.68 V, respectively, for MC-1 and MC-2, whereas, the oxidation
248 peak of metal centre observed for MC-3 at 0.45 V is cathodically shifted by 0.21 V indicating
249 the electron-rich nature of the compound 6C. The second oxidation potential is situated at 0.81,
250 1.02 and 0.9 V, for MC-1, MC-2 and MC-3, respectively. It was observed that CV of the metal
251 complexes is not electrochemically reversible. The reduction potentials (E_{red}) were determined
252 by subtracting the optical energy band gap of the metal complex on TiO₂ film (E_g) from E_{oxd} and
253 are found to be -1.3 V for MC-1, -1.18 V for MC-2 and -1.41 V for MC-3. The HOMO and
254 LUMO energy levels are estimated to be -5.01 and -3.15 eV for MC-1, -5.1 and -3.24 eV for
255 MC-2 and -4.92 and -3.06 eV for MC-3.

256 **Table 1.** Optical and electrochemical data of MC1–MC3

Sensitizer	$\lambda_{\text{max}}/\text{nm}$ ($\epsilon/\text{L mol}^{-1} \text{cm}^{-1}$)	$\lambda_{\text{em}}/\text{nm}$	E_{oxd} of Ru ^{III/II} [V]	$E_{\text{oxd}}^{\text{on}}(\text{V})/$ HOMO (eV)	$E_{\text{red}}(\text{V})/$ LUMO (eV)
MC-1	298 (107180 ± 80) 341 (52600 ± 60) 505 (16300 ± 30)	685	0.57	0.35/-5.01	-1.3/-3.15
MC-2	313 (78600 ± 70) 471 (15510 ± 50)	600	0.68	0.44/-5.1	-1.18/-3.24
MC-3	300 (61880 ± 90) 346 (34600 ± 60) 513 (12620 ± 45)	560	0.45	0.26/-4.92	-1.41/-3.06

257 E_{red} was calculated by the formula: $E_{\text{red}} = E_{\text{oxd}} - E_g$. The absorption onset of the each metal
258 complex on TiO₂ film was used to calculate the energy gap (E_g). HOMO and LUMO energy
259 levels were calculated using formula, HOMO [eV] = $E_{\text{oxd}}^{\text{on}} - E_{\text{Fc}/\text{Fc}^+} + 4.8$; LUMO [eV] = HOMO
260 - E_g . $E_{\text{oxd}}^{\text{on}}$ is the onset oxidation potential. i.e potential at which oxidation of the metal complex
261 starts, whereas E_{oxd} is the oxidation potential at specific voltage.

262
 263 Energy level diagrams of MC1 – MC3, TiO₂ and I₃⁻/I⁻ electrolyte are illustrated in Figure
 264 6. The position of the HOMO level of the metal complex is sufficiently below the energy level of
 265 iodide/triiodide couple redox mediator (-4.8 eV) allowing dye regeneration. Nevertheless, the
 266 position of the LUMO level is closer to the TiO₂ conduction band (-4.0 eV) to facilitate efficient
 267 electron transfer from the excited dye to TiO₂.²⁰



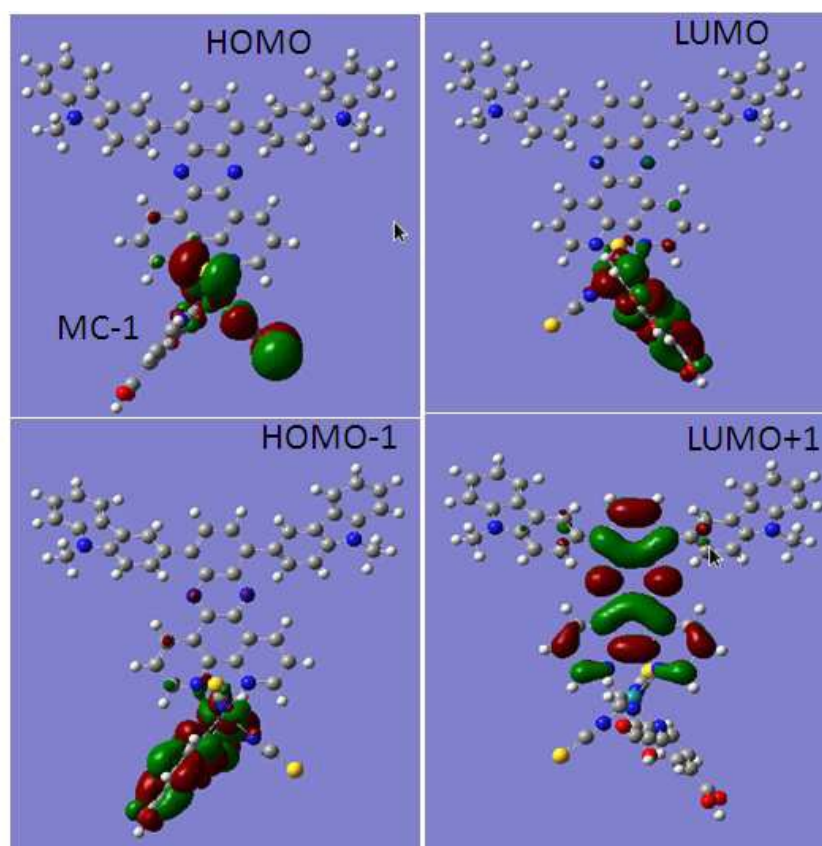
268

269 **Figure 6** Energy level diagrams of MC1 – MC3, TiO₂ and I₃⁻/I⁻

270 Density functional theory calculations

271 In order to get insight into the geometrical configuration and electron distribution of the frontier
 272 orbitals of the sensitizer, density functional theory (DFT) calculations were carried out using a
 273 B3LYP/6-31G level program.³⁷ The optimized ground state molecular structure and orbital
 274 profiles for the HOMO and LUMO of the target complex MC-1 are presented in Figure 7. It is
 275 observed that the HOMO of the target dyes is distributed on the ruthenium metal and the NCS
 276 ligands. Within the NCS ligands, the amplitude is primarily located on the sulfur atom. The
 277 LUMO and HOMO-1 of MC-1 are localized homogeneously on the dcbpy ligands, facilitating
 278 electron injection from the excited Ru metal complex to the TiO₂. Molecular orbital profile of all
 279 metal complexes is similar in structure and distribution (Figure 7 and Supporting Information,

280 Figure S5 for MC-2 and MC-3). The charge transfer between the metal and ligands is not
281 expected to contribute to the conversion efficiency of the DSCs.²² It is observed that LUMO+1 of
282 MC-1 and LUMO of MC-2 and MC-3 are localized more on their ligand part. This leads to
283 decrease in the strength of the MLCT transition between the metal and the dcby ligand, which
284 is further supported by the absorption studies of the sensitizer, where decrease in intensity of
285 MLCT band of metal complexes was observed.



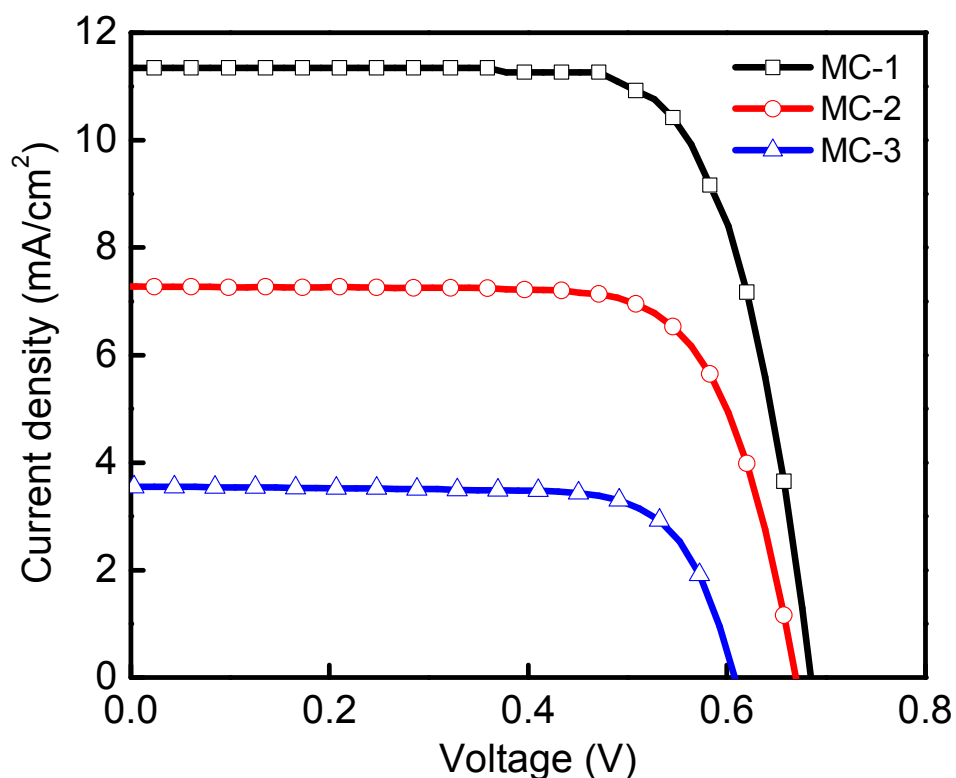
286
287
288 **Figure 7** Graphical representation of the frontier orbitals of MC-1 calculated at the B3LYP/6-
289 31G (d,p) level of theory. Atoms in red, yellow, brown, blue, and gray correspond to oxygen,
290 sulfur, carbon, nitrogen, and ruthenium, respectively.

291

292 **Solar cell performance**

293 The photocurrent density–voltage traces of MC1 – MC3 incorporated solar cells are shown in
294 Figure 8 and the detailed device data are summarized in Table 2. The sensitized solar cell of
295 MC-1 exhibit a short-circuit photocurrent density (J_{sc}) of 11.49 mA cm⁻², an open-circuit
296 potential (V_{oc}) of 0.71 V and a fill factor (FF) of 0.75, corresponding to an overall conversion
297 efficiency (η) of 6.18 % under AM 1.5 sunlight illumination. The power conversion efficiency of

MC-2 and MC-3 based solar cell is 3.57 and 1.62 %, respectively. The MC-2 based solar cell showed low device performance as compared to that of MC-1 and this could be attributed to its weak light harvesting ability in the longer wavelength region (Figure 4). A decrease in photocurrent density was observed for MC-2 (7.27 mA cm^{-2}) than that of MC-1. On the other hand, MC-3 based device showed a low device performance, though its MLCT band is at lower energy region than those of other two metal complexes. The obtained results agree with the fact that the molar absorption coefficient of MLCT band in MC-3 is lower than those of MC-1 and MC-2 (Table 1). The open-circuit voltage value of MC-1 and MC-2 based device is almost similar, but the value for MC-3 is lower than those of the other two complexes. This could be contributed to poor device performance for MC-3 based DSSC devices. It is known that the open-circuit voltage of the device depends on the Fermi level energy of TiO_2 . Higher the proton concentration on the surface, the lower the Fermi level energy of the TiO_2 nanocrystallite.^{22,38} The low efficiency for MC-3 is mainly attributed to the lower J_{sc} value and molar absorption coefficient.



312

313 **Figure 8** Current density–voltage characteristics of photovoltaic devices with MC1 – MC3 as
314 sensitizer under AM 1.5 simulated sunlight (95 mW cm^{-2}) illumination. (Cell active area: 0.119
315 cm^2)

316 **Table 2.** Photocurrent density (J_{sc}), open-circuit potential (V_{oc}), fill factor (FF) and power
 317 conversion efficiency (η) of MC1–MC3 sensitized devices
 318

Sensitizer	J_{sc} [mA/cm ²]	V_{oc} [V]	FF	η (%)
MC-1	11.49	0.71	0.75	6.18
MC-2	7.27	0.66	0.73	3.57
MC-3	3.55	0.60	0.75	1.62

319

320 Conclusions

321 In conclusion, a new series of ruthenium complexes, MC1–MC3 incorporating carbazole,
 322 fluorene and phenothiazine units attached to dipyrido[3,2-a:2',3'-c]phenazine were synthesized,
 323 characterized and employed as sensitizers in DSSCs. Increasing the electron donor strength of
 324 the ancillary ligand improved the overall spectral response of the sensitizer in the longer
 325 wavelength region. The device based on MC-1 sensitizer showed a short-circuit photocurrent
 326 density (J_{sc}) of 11.49 mA cm⁻², an open-circuit voltage (V_{oc}) of 0.71 V, and a fill factor (FF) of
 327 0.75, corresponding to an overall conversion efficiency (η) of 6.18 %. Such metal complexes are
 328 useful towards understanding the mechanism of DSSCs and enhance the performance of such
 329 devices in the future.

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Design and Synthesis of New Ruthenium Complex for Dye-Sensitized Solar Cells

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Graphical Abstract

