This is an Accepted Manuscript, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about Accepted Manuscripts in the Information for Authors.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal’s standard Terms & Conditions and the Ethical guidelines still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this Accepted Manuscript or any consequences arising from the use of any information it contains.
Photon-induced generation and spatial control of extreme pressure at the nanoscale with a gold bowtie nano-antenna platform

Christos Boutopoulos\textsuperscript{a,b}, Adrien Dagallier\textsuperscript{c}, Maria Sansone\textsuperscript{a,c}, Andre-Pierre Blanchard-Dionne\textsuperscript{a}, Évelyne LeCavalier-Hurtubise\textsuperscript{d}, Étienne Boulais\textsuperscript{a,d}, Michel Meunier\textsuperscript{a}

Precise spatial and temporal control of pressure stimulation at the nanometer scale is essential for the fabrication and manipulation of nano-objects, and for exploring single-molecule behaviour of matter under extreme conditions. However, state-of-the-art nano-mechanical transducers require sophisticated driving hardware and are currently limited to moderate pressure regimes. Here we report a gold plasmonic bowtie (AuBT) nano-antennas array that can generate extreme pressure stimulus of ~100 GPa in the ps (10\textsuperscript{-12} s) time scale with sub-wavelength resolution upon irradiation with ultra-short laser pulses. Our method leverages the non-linear interaction of photons with water molecules to excite a nano-plasma in the plasmon-enhanced near-field and induce extreme thermodynamic states. The proposed method utilizes laser pulses, which in contrast to micro- and nano-mechanical actuators offers simplicity and versatility. We present time-resolved shadowgraphic imaging, electron microscopy and simulation data that suggest that our platform can efficiently create cavitation nano-bubbles and generate intense pressure in specific patterns, which can be controlled by the selective excitation of plasmon modes of distinct polarizations. This novel platform should enable probing non-invasively the mechanical response of cells and single-molecules at time and pressure regimes that are currently difficult to reach with other methods.

Introduction

Photons are unique vectors of energy and momentum that can be precisely directed and delivered to interact mechanically with micro and nano-sized objects. For instance, optical tweezers\textsuperscript{1} are capable of trapping dielectric objects via light-induced forces, providing unique opportunities for cell manipulation in biological research. The interaction of photons with metallic nanostructures can confine electromagnetic fields to a subwavelength volume, enabling light matter interactions with unprecedented resolution. Based on this concept, researchers have developed near-field optical nanotweezers\textsuperscript{2,3} and non-linear interaction of high intensity ultra-short laser pulses and nanoscale plasmonic motors\textsuperscript{4,5} that demonstrate the non-invasive manipulation of individual nano-objects with light.

While these applications are fundamental to nanotechnology, they all are based on weak light-matter interactions and are therefore limited to the generation of relatively low magnitude pressure and forces, typically in the ~100 Pa and pN regimes (1 pN = 10\textsuperscript{-12} Newton)\textsuperscript{6}. On the other hand, the standard diamond anvil cell technique for high-pressure studies reaches extreme pressure values of ~600 GPa\textsuperscript{7}, but it is however limited to micro-scale resolution. The ability to efficiently generate and control high pressure stimulus at the nanoscale would be transformative to variety of applications that demand strong mechanical interactions, including the investigation of cell biomechanics\textsuperscript{8}. Most importantly, it can benefit fields of enormous interest for fundamental physics and chemistry, such as exploration of molecular and biomolecular materials response to extreme thermodynamic conditions\textsuperscript{9-12}. For instance, exotic phase transitions, altered vibrational dynamics, and modified excited electronic states all typically occur in the range of~100 GPa, and need precise nanoscale control of pressure generation to be studied efficiently at the single-molecule level.

This regime of high pressure can be obtained by leveraging non-linear interaction of high intensity ultra-short laser pulses...
with metallic nanostructures, which is known to lead to extremely confined, plasmon-enhanced energy deposition in the near field. Using gold nanospheres and nanorods, this type of non-linear interaction has been shown to induce the controlled nanoablation of surfaces, as well as optical breakdown and production of nanoscale bubbles in aqueous environment, with pressures reaching values of ~100 GPa. However, these systems lack the stability and uniformity required for reliable stress induction. In addition, nanospheres and nanorods are highly symmetrical structures with a limited number of optically active plasmon modes, which restrain the diversity of configurations, patterns and conditions in which mechanical stress can be generated.

In this work, we demonstrate a platform to control pressure stimulation at the nanoscale that is based on an array of plasmonic gold bowtie (AuBT) nano-antennas. This platform uses AuBT to confine electromagnetic fields to a nano-sized gap between the two plasmonic nano-triangles, creating very large field enhancement. Similar platforms have been previously used for a variety of applications demanding precise control of intense fields, including high-harmonic generation, nanoscale optical trapping and single molecule fluorescence. Importantly, the resonance wavelength and near-field intensity can be engineered by modifying the AuBT gap size, length, thickness and radius of curvature, which provide important tunability for a wide range of the electromagnetic spectra. In addition, due to their relative asymmetry, AuBT exhibit polarization-dependent plasmon modes that can be exploited to precisely control the spatial distribution of pressure stimulus at the nanoscale. Because of these characteristics, AuBT is a perfect structure for the development of a tunable mechanical stress induction platform.

To demonstrate the utility of this platform, we designed and fabricated a durable AuBT array that maximizes near-field enhancement and non-linear interaction with its environment, and minimizes direct light absorption to avoid thermal damage to the structure. We then investigated the pressure generated by this AuBT array following excitation with polarized near-infrared femtosecond (fs) laser pulses. By tuning the laser fluence, we observed the formation of nanoscale bubbles around the AuBT using time-resolved imaging, which strongly suggest that intense pressure and thermodynamic states are generated by the platform. Scanning Electron Microscopy (SEM) characterization of AuBTs post irradiation revealed a laser fluence threshold beyond which their morphology is modified, showing strongly polarization-dependent attributes. SEM observations and simulation data suggest that these morphological modifications are due to intense pressure in the range of ~1TPa, which was generated in the AuBT near-field, in patterns that depend on the laser polarization and the array geometry. The generation of nanobubbles by the structure was invariably connected to significant damage to the platform, setting an upper limit of ~70 mJ/cm² for sustainable use. Our simulation data however suggest that pressures in the ~100 GPa regime can still be generated by the AuBT array at laser fluence (30 mJ/cm²) well below the damage threshold, suggesting that this platform could be used as an efficient and durable extreme pressure stimulator at the nanoscale.

**Results and Discussion**

**Design of the gold bowtie nano-antenna array**

We targeted an AuBT array that can efficiently generate high pressure stimuli while avoiding damaging the antenna for a sufficient laser fluence processing window. First, we designed a periodic array of gold nano-triangle dimers on top of an indium tin oxide (ITO) layer, immersed in water, which is intended to be irradiated from the top with a linearly polarized laser beam (Figure 1a). The periodicity of the structure was set to 5 μm in both longitudinal and transversal axes to avoid plasmon coupling between individual dimers. In accordance with our fabrication constraints (see Methods), the thicknesses of the ITO layer and glass substrate were fixed to 100 nm and 1 mm, respectively. The thickness of the gold layer was fixed to 50 nm, which is a reasonable value to attain 20 nm fabrication resolution with electron beam lithography (EBL). Note that since we apply normal incidence laser excitation, the lateral and not the vertical dimension of the AuBT is important for obtaining the desired optical properties. The radii of curvature of the nano-triangle vertexes were evaluated from representative SEM images of fabricated AuBT samples, yielding a typical radius of 20 nm.

Then, we used computational electromagnetic calculations to optimize the design, namely the edge length of the two equilateral nano-triangles forming the bowtie antenna (L: 60 nm - 220 nm) and their separation distance (G: 10 nm - 60 nm). The objective of this optimization process is to (i) minimize the absorption cross-section to avoid thermal damage (ii) maximize the near-field enhancement to enhance non-linear interaction with water molecules and pressure generation and (iii) keep our design within the limits of reliable fabrication. This optimization process is not trivial, due to the intricate dependence of the optical properties on the geometry of the AuBT. For instance, reducing the gap size (G) increases near-field enhancement (Figure 1b), but on the other hand increases the fabrication complexity and absorption cross-section for 0 < L < ~115 nm (Figure 1c).

Based on the mapping of the absorption cross-section and near-field enhancement in function of the design parameters (L and G), we selected a specific AuBT geometry that meets all design constraints. This geometry (G = 20 nm, L = 100 nm) yields a relatively low absorption cross-section (Cabs,G=20,L=100 = 16700 nm²) and a relatively high maximal near-field enhancement (|E|/|E₀| = 23.0 at 800 nm). The AuBT flare angle (as defined in Figure 1a) was fixed to $\phi=60^\circ$ as this value represents a good compromise based on our optimization criteria (Figure S1). This nanostructure in addition exhibits a strongly polarization-dependent near-field distribution (Figure 1d-f), as illustrated by their mapping for three different polarizations (longitudinal (LP), diagonal (DP) and transversal (TP)), which shows unique
geometrical features, and maximal field enhancement varying from 23.0 (LP) to 17.9 (DP) and 6.8 (TP).

We fabricated the AuBT arrays on transparent glass substrates using electron beam lithography (EBL) (see Methods). Briefly, a standard lift-off nanofabrication process was performed using a positive resist (polymethyl methacrylate (PMMA)) and commercial ITO coated glass substrates. Figure 2a shows dark-field optical microscopy images of a typical 20x20 AuBT array. The fabricated platform reproduced the design accurately, resulting in slight differences between the targeted and fabricated geometries, less than 3% and 10% for the AuBT length (L) and gap (G), respectively (Figure 2b).

The coupling plasmonic behaviour of the AuBTs was verified by optical characterization. Non polarization-resolved spectra of individual AuBTs immersed in water were obtained using dark-field microscopy, showing the existence of two peaks at 716 nm and 770 nm, which correspond to the transverse nano-triangle resonance mode and the coupled longitudinal AuBT resonance mode, respectively (Figure S2). These results indicated the plasmonic behaviour of the AuBT, in agreement with simulation predictions.

**Generation and detection of arrays of nanobubbles**

Pressure stress at the nanoscale is challenging to measure directly. While piezo-resistive sensors and hydrophones can be used to directly measure pressure waves generated in confined area, they do not offer the necessary resolution to reliably measure pressure in nano-sized volumes. One of the most striking effects of stress generation in a liquid environment is cavitation, i.e. the formation of bubbles due to intense thermo-mechanical stress that ruptures the hydrogen bonds network between water molecules. Moreover, bubble collapse may also result in intense pressure stress and shock waves emission, and therefore act as a secondary source of localized pressure generation. Water cavitation around laser-irradiated plasmonic nanoparticles has been intensively studied in the past few years, and extensively used in the context of cell nanosurgery. Recently, cavitation resulting from the interaction of ultrashort laser pulses with plasmonic nanospheres and nanorods have been associated to the excitation of nanoplasmas in the plasmon-enhanced near-field around the nanoparticles, which provides a mechanism by which our platform should generate strong pressure waves.

To test the ability of the AuBT platform to generate intense mechanical stress and pressure at the nanoscale, we therefore...
investigated the generation of nanobubbles in the plasmon-enhanced near-field around the structure. Because the typical lifetime of a nanobubble is under ~100 ns, conventional imaging techniques and commercial cameras cannot be used for their reliable detection. Therefore, we employed a time-resolved shadowgraphic imaging technique that enables direct detection and measurement of transient nanometer-sized bubbles with a spatial and time resolution of ~600 nm and ~1 ns, respectively (see Methods and Figure S3). Figure 3a shows a schematic representation of the AuBT platform and the working principle of the time-resolved shadowgraphic imaging. Arrays of nanobubbles were generated and detected following the excitation of the AuBT with a single ultrashort laser pulse (800 nm, 70 fs) for LP and TP polarizations (Figures 3b). Nanobubbles were detected 15 ns after their generation.

The threshold for cavitation was evaluated to be ~70 mJ/cm², regardless of the laser beam polarization (LP or TP). This result is surprising given the predicted near-field enhancement around the AuBT, which was previously shown to be highly dependent on the laser polarization (Figures 2d-f). To explain this apparent discrepancy, we analyzed the AuBT antenna using a finite-element based model that simulates the interaction of ultrashort laser pulses with plasmonic nanostructures in water.\textsuperscript{16,17} (see Methods). Briefly, in addition to the linear absorption of photons by the plasmonic nanostructure, this model simulates the non-linear interaction of plasmon-enhanced strong electromagnetic fields with surrounding water molecules, and the possible excitation of nanoscale plasmas as an extra channel for energy deposition into the system.

Simulation results suggest that this model can explain the vanishing difference of cavitation threshold between LP and TP polarizations. Indeed, our results show that plasmon modes and near-field intensity are heavily modified by a nanoplasma generated around the AuBT during the pulse, a phenomenon known as “plasmon shielding”\textsuperscript{17}. Our model accounts for the time-dependent permittivity of the AuBT surrounding medium, enabling the calculation of a time-dependent near-field and absorption cross section (see SI). For instance, at a fluence of 120 mJ/cm², our simulation results show that the maximal field enhancement is being reduced from 23 to 4.6 and from 6.8 to 2.9 at a time corresponding to the peak of laser intensity for the LP and TP polarizations, respectively (Figures 3c and 3d). A similar effect is observed on the time-dependent AuBT absorption cross-section, being reduced from 16700 nm² to 2100 nm² and from 6900 nm² to 3800 nm² for the LP and TP polarizations, respectively (Figure S4). Plasmon shielding strongly influence the amount of energy deposited in the water.
molecules, and thus the bubble nucleation (Figure 3e). In particular, near the cavitation threshold (~70 ml/cm²), our simulation suggests that the deposited energy is only weakly dependent on laser polarization, in agreement with experimental observations. These results therefore demonstrate that intense pressure waves and extreme thermodynamic states are generated as a result of the laser interaction with the AuBT arrays, and strongly suggest that these states are produced by the non-linear excitation of a nanoplasma in the AuBT plasmon-enhanced near-field.

**Generation of extreme pressure stimuli with controlled spatial localization**

While the presence of large mechanical stress can be deduced from the presence of nanoscale bubbles, the magnitude of the pressure reached in the near-field cannot be directly measured. In addition, time-resolved imaging does not provide sufficient resolution to investigate localization of high pressure in discrete AuBT near-filed regimes spaced ~100 nm apart. Some indirect method must therefore be designed to infer the nanoscale localization of the high-pressure, which is expected considering the distribution of the near-field. Using the finite-element based model discussed previously, we discovered that the excitation of plasma and generation of pressure in the plasmon-enhanced near-field should result in a net force on the AuBT nano-triangles with a well-defined orientation determined by the incident laser polarization (Figure 4a and b, Figure S5) in good agreement with simulations. These results thus strongly suggest that the observed morphological changes are direct consequences of the highly localized pressurized regions in the liquid environment that surrounds the antenna.

The interaction of the AuBT with laser pulses of relatively high fluences (~120 ml/cm²) provided a unique, experimentally measurable signature of the localized pressure generation at the nanoscale and validated our model. However, for some applications, especially in cell stimulation studies, the damage of the platform shall be avoided. In this context, we experimentally investigated the AuBT damage process to identify a safe pressure generation operating window for our platform.

To demonstrate this, the morphology of individual damaged AuBT was thoroughly investigated using SEM, following irradiation at 120 ml/cm². In agreement with simulations, we observed that the individual triangles that form the AuBT structure are moved on the surface, with an angle that varies with the incident laser polarization (Figure 4a, bottom row). While laser induced gold nano-triangle morphological alteration (i.e., melting) has been previously observed when hexagonally ordered nanostructures were irradiated with either ns34 or fs35 laser pulses in air, no directional displacement of the melted gold was ever observed. In our case, the rapid and localized heating of water molecules in the near-field generate pressure that provide an impulse to the nano-triangles, resulting in a displacement with a direction (Figure 4b) and amplitude (see SI, Figure S5) in good agreement with simulations. These results thus strongly suggest that the observed morphological changes are direct consequences of the highly localized pressurized regions in the liquid environment that surrounds the antenna.

We performed thorough correlation of SEM morphology and time-resolved nanobubble images of individual AuBTs. The results showed that the AuBT damage was invariably correlated with the observation of nanobubbles (see SI and Figure S6) and revealed that the AuBT remained intact when irradiated below cavitation threshold (70 ml/cm²). The pressure that can be
generated at these low flumes is challenging to measure, but can be estimated from our finite element based model. Simulations data suggest that intense pressure waves can still be generated in the near-field (Figure 5a). For instance, excitation at 30 mJ/cm², well below the nanobubble generation threshold, should induce pressures with magnitudes of ~700 GPa and ~500 GPa, for LP and TP polarizations, respectively (Figure 5a and b). This initial pressure, maximal at the ITO interface, will decay very rapidly in a few tens of picoseconds as the shockwave propagates in the medium.

These results suggest that our platform can generate extreme pressure waves with magnitudes well beyond 100 GPa without damaging the AuBTs. This high-pressure regime reaches the pressure standards of the diamond anvil cell technique, which is the traditional method for static high-pressure studies at the macro- and micro-scale. Photon-induced generation of sub-TPa pressure in the ps time scale has been previously demonstrated in bulk materials, using standard focusing of ultra-fast laser pulses with high numerical aperture lenses, for nanofabrication (Mazur group) and studies of new material phases (Rode group). Compared to these systems, our plasmonic platform utilizes almost one order of magnitude lower laser pulse energy density and attains one order of magnitude higher localization of the pressure stimulus because of the strong near-field enhancement and confinement of the fs laser irradiation. This platform thus provides a unique, programmable way of generating extreme pressure at specific, light polarization controlled points in nanoscale volumes, which can benefit the exploration of exotic phase transitions and chemical reactions in liquids single-molecule level.

Note that when the required spatial resolution for the pressure stimulus is above ~λ/2, UV photolithography may be used as a more cost and time efficient method to fabricate the platform. However, extreme confinement requires fabrication resolution as low as 20 nm, which can be attained with EBL.

Conclusions
In summary, we demonstrated a new platform made of highly polarisable non-symmetric AuBT that can be excited with linearly polarized light to generate ~100GPa pressure waves at the nanoscale. The generation of mechanical stress in the medium was shown to result from the non-linear photoexcitation of a plasma in the plasmon-enhanced near-field around the nanostructures. Based on the highly polarisable nature of the plasmon modes of non-symmetric AuBT, we demonstrate that tuning the laser polarization can control the magnitude and spatial localization of pressure stimulation. In contrast to heat dissipation driven bubble and pressure generation, optical breakdown driven bubble generation can be extremely confined, and therefore lead to extremely high pressure regimes that cannot be reached with other methods. Based on this principle, sophisticated pressure stimulus like nano-jets and nano-turbulences might in addition be generated by exciting specifically designed non-symmetric nanostructures. This kind of opto-mechanical transducers could be exploited to non-invasively and spatiotemporally probe the mechanical response of cells and single-molecules in time and pressure regimes that remain largely unexplored, with interesting and exciting perspectives for biophysics, physical chemistry and nanotechnology.

Methods
Gold bowtie nano-antenna fabrication and characterization
AuBT were fabricated using electronic beam lithography (EBL). First, PMMA resist was spin coated (4000rpm) on indium tin oxide (ITO) coated glass slides (Sigma-Aldrich, surface resistivity 30-60 Q/sq, 100 nm thick ITO layer on a 1.1 mm thick glass support). The resulting thickness of PMMA layer was 150 nm and soft baking of the resist was carried out at 170°C for 30 minutes. Then, EBL exposition was performed and followed by sample development in a 1:3 methyl isobutyl ketone solution (isopropanol) for 105 seconds. Then, the samples were coated with a 2 nm thick Cr (adhesion layer) layer and a 50 nm Au thick layer by evaporation. Sonication of the samples in acetone for 20 minutes was performed in order to wash off the resist and any metal deposited outside of the exposed zone. The AuBTs were organized in 0.5 mm x 0.5 mm blocks with 5µm periodicity. Each AuBT unit consisted of pairs of 100 ± 3 nm...
sized nano-triangles separated by 20 ± 2 nm gap. Morphological characterization of the AuBT samples prior and after irradiation was performed using a Field Emission SEM (Hitachi S-4700). Spectroscopic characterization of single AuBT was performed using an inverted microscope (Eclipse Ti-U, Nikon) and non-polarization-resolved dark-field excitation. We used a standard halogen lamp (Nikon) and a dark-field condenser (Nikon, 0.95-0.80 NA) for the excitation of the AuBTs, while a 60X objective lens (Nikon, Plan Fluor ELWD) was used to collect the scattering signal. Scattering signals from individual AuBTs were recorded with an imaging spectrophotograph (Shamrock 550, Andor Technology) equipped with a charge-coupled device detecting camera (Newton 940).

Gold bowtie nano-antenna modelling

The modeling is largely based on a previously published model 15-17. Electromagnetic field enhancement around AuBT was obtained by solving the Helmholtz equation, considering the complete AuBT geometry, including the ITO and glass layers of the supporting substrate. The electromagnetic field calculation accounts for the variation of the AuBT surrounding medium at every time step, the water permittivity being time-dependent and coupled to the “nanoplasma” calculations (see SI). The electronic and lattice temperatures inside the gold nanostructure were calculated via a parabolic two-temperature model (TTM) based on Boltzmann semi-classical transport equations 37. Photoexcitation of plasma in the near-field is calculated from the combined effect of impact ionization and strong-field photoionization, following Keldysh theory 38. Plasma dynamics is described by a set of two diffusion equations using dense plasma theory formalism 39. Water is heated from conductive heat transfer at the gold-water interface, as well as plasma relaxation and recombination. The time dependent plasma density locally and transiently alters the water electric permittivity, modifying the electromagnetic field in turn. Gold permittivity, scaled for nanometer-sized particles, is taken from ref 40 and is assumed not to vary during the fs irradiation. Water equation of state is taken from the parameterizations of the IAPWS 41 and SESAME 42 in their own domains of validity. Thermodynamic trajectory of water in the state diagram is assumed to be isochoric (constant density) due to the ultrafast nature of the laser interaction and electron-ions coupling (~1-3 ps), which is much faster than the water molecules collision time (few ps 43). The pressure was calculated using the SESAME 44 and IAPWS 42 equations of state and is a function of the temperature only, since the water density is assumed to be constant. The force was calculated as the integral of the pressure gradient on the surface. Details on plasma dynamics, TTM, ITO layer optical properties and computational domain can be found in the SI and Figure S7. The equations are solved self-consistently with the finite-elements method, using the commercial software Comsol (Comsol, Inc., Burlington MA).

Gold bowtie nano-antenna excitation and nanobubble detection

The AuBT samples were imaged using a home-made upright microscope equipped with a long working distance 50X objective lens (Mitutoyo, NA = 0.55) and a CCD camera (IMI Teck IMB-17FT). A Ti:Sapphire laser (6 mJ/pulse, 45 fs, 800 nm, 1 kHz, Spifire from Spectra-Physics) was used for the excitation of the AuBT. The laser beam was first directed to a homogenizer (Focal-piShaper 9_TiS, AdOptica) and then delivered to the sample level using a convex lens (f = 300 mm) (Figure S3). The AuBT samples were placed on the top of a glass cover slip by using a polydimethylsiloxane (PDMS) O-ring. The gap between the sample and the cover slip was filled with deionised water. A "top-hat" profile was measured (120 µm spot size) at the AuBT sample level. Single shot irradiation was applied with linear polarized light. Time-resolved imaging methodology was employed to study the nanobubbles generated around the AuBT. In particular, nanosecond (ns) pulses (Quantel, 532nm, τ = 6 ns) were used to pump a rhodamine dye placed in a transparent container. The emitted light (broad peak at 590 nm, τ ~ 6 ns) were used to pump a rhodamine dye placed in a transparent container. The emitted light (broad peak at 590 nm, τ ~ 6 ns) enabled time-resolved shadowgraphic imaging of nanobubbles 15 ns after their generation. More details on the imaging methodology can be found elsewhere 38.

Acknowledgements

CB acknowledges funding from the EU under a Marie Curie Fellowship, FP7-PEOPLE-2013-IOF, project reference 624888. We would like to thank Prof. Ali Hatef from Nipissing University for providing preliminary simulation data of the AuBT optical response and Dr. Sergiy Patkowski for his assistance on the AuBT spectra characterization.

References
