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Hydrogenation of oxalic acid using light-assisted water electrolysis for the production of an alcoholic compound

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We demonstrate the production of glycolic acid, an industrially important alcoholic compound, via the electrochemical reduction of oxalic acid, which is procurable from biomass, and electro-oxidation of water with the help of renewable light energy for the first time. In principle, this new synthesis system is achievable while minimizing the consumption of fossil resources. We built a precious-metal free electrosynthesis system by employing a TiO₂ cathode for oxalic acid reduction and a WO₃ photoanode for water oxidation. The alcohol production proceeds during the application of electric power above 2.1 V in the dark. Notably, UV-visible light irradiation of the WO₃ photoanode enables glycolic acid electrosynthesis above 0.5 V, which is lower (by 0.6 V) than the theoretical bias, i.e., 1.1 V. The glycolic acid electrosynthesis with an 80% high Faradaic efficiency was achieved when applying a bias of 1.5 V under UV-visible irradiation ($\lambda > 300$ nm).

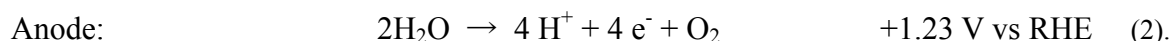
Currently, the chemical industry largely depends on fossil fuels, which serve not only to generate power but also as hydrogen and carbon sources; fossil fuels are also believed to be a contributing factor to the global warming due to the increase of CO₂ concentrations. Efficient utilization of biomass resources derived from atmospheric CO₂ could be a useful strategy to curbing global warming by suppressing the consumption of fossil resources as a raw material.¹⁻⁴ Recently, bio-alcohols, such as ethanol⁵ and ethylene glycol,⁶ have been commercialized and utilized as a fuel⁷ and feedstock.⁸ However, carbon resources included in biomass are not efficiently converted into alcohols, i.e., the carbon yield in the bio-alcohol production through fermentation is not beyond 50% and the residual carbon is released back into the air.⁹ Besides, the low production rate is due to the slow enzyme reaction.¹⁰

Catalytic hydrogenation of carboxylic acids has attracted much attention as a novel synthetic route for the production of alcoholic compounds from bio-derived materials.¹¹ Several groups have succeeded in the catalytic hydrogenation of organic acids, such as acetic acid,¹² stearic acid¹³ and aromatic carboxylic acid,^{14, 15} to produce corresponding alcoholic compounds. However, severe reaction conditions are required for the catalytic hydrogenation of organic acids, i.e., high pressure (2-6 MPa) and temperature (100-380 °C)¹⁵ with use of H₂ gas. The other drawback of the organic hydrogenations process is the utilization of highly reactive metal hydrides derived from fossil fuels as a hydrogen source, e.g., LiAlH₄,¹⁶ (BH₃)₂^{17, 18} and HSiEt₃,¹⁹ to activate highly stable carboxyl groups due to their low electrophilicity²⁰ in organic solvents, resulting in the formation of large amounts of waste.²¹

Alternatively, light-energy-driven electrochemical water splitting using a photoelectrode, such as oxide,²²⁻²⁷ (oxy)nitride²⁸⁻³¹ and sulfide³² is regarded as a clean process for producing hydrogen from water using renewable solar energy and for providing

an effective means of energy storage. In this study, we focus on the utilization the hydrogen generated photocatalytically from water in chemical syntheses and photovoltaic effects to accelerate electrochemical reactions. Thus, the electrochemical reduction of carboxylic acids using water as a hydrogen source with the assistance of light energy would achieve the highly efficient hydrogenation of carboxylic acid with fossil-free hydrogen, i.e., *light-energy-driven alcohol synthesis from fossil-free carboxylic acid and water*, as shown in Fig. 1. This process is expected to become an excellent, environmentally friendly alcohol production route, if it progresses under largely milder conditions (0.1 MPa, <100 °C) than those of hydrogenation reactions.

Previously, we have demonstrated the electrochemical reduction of oxalic acid, (COOH)₂, **OX**, a divalent carboxylic acid, to produce glycolic acid, HOOC-CH₂OH, **GC**, an alcoholic compound (α-hydroxy carboxylic acid)³³ through the 4-electron reduction of **OX** with oxidation of water as described in equation 1-3.



The **OX** is first reduced to GO (HOOC-COH, GO) through two-electron reduction, and then **GC** is produced from GO through further two-electron reduction^{34,35} (see the scheme S1 in ESI†). The **GC** formation was found to proceed with high selectivity (>98%) and Faradaic efficiency (>95%) in an electrochemical cell equipped with a titanium (IV) dioxide (TiO₂) cathode for **OX** reduction and a Pt wire anode for water oxidation, which corresponds to a direct electric power charge into an alcoholic compound.

Furthermore, in this study, a light-assisted electrochemical alcohol production system was fabricated by adapting oxide semiconductor photoelectrodes as the anode for water oxidation to supply protons and electrons for the hydrogenation of a carboxylic acid,

1 which enables the direct conversion of light energy into low-carbon alcoholic chemicals.
2 Here, we applied Ga_2O_3 ,^{36, 37} BiVO_4 ³⁸ and WO_3 ^{24, 39} particles, which are known as highly
3 active photocatalysts for water oxidation, as the photoanode to oxidize water and to provide
4 electrons and protons for the electro-reduction of **OX** on an anatase-type TiO_2 cathode
5 under UV-visible light irradiation. We successfully produced **GC** from **OX** through an
6 electrochemical reaction in the remarkably low bias potential range, i.e., ~ 1.5 V, compared
7 with the potentials without light irradiation, ~ 2.5 V.

8 Commercially supplied Ga_2O_3 and WO_3 powders were used in the electrochemical
9 experiments. The BiVO_4 powders were prepared by using the homogeneous-precipitation
10 method according to a previous report (see the Experimental section in ESI†).⁴⁰ All samples
11 were characterized by X-ray powder diffraction (XRD) and UV-visible diffuse reflection
12 measurements. The Ga_2O_3 , BiVO_4 and WO_3 powders showed XRD patterns attributable to
13 monoclinic structures and photoabsorption spectra, which coincide with the structure and
14 spectrum of materials reported as highly active photocatalysts for O_2 evolution by water
15 splitting (Figs. S1 and S2†).^{24, 36-40} Anodes were prepared by applying a suspended mixture
16 of water, acetylacetone (disperser), Toriton-X (thickener) and the oxide powders on
17 conductive glass using a squeegee method,⁴¹ followed by calcination at 450°C for 4 h. The
18 TiO_2 cathode was prepared by drying a methanolic suspension of TiO_2 nanoparticles (Japan
19 Reference Catalyst of TiO_2 : JRC-TIO-8, an anatase-type TiO_2) dropped onto Ti foil,
20 followed by calcination at 450°C for 0.5 h. Two-electrode systems were equipped using
21 two-compartment cells, where the cathode and anode were separately mounted in each cell
22 to evaluate an applied bias for **OX** reduction without re-oxidation of the reduced product at
23 the anode as shown in Fig. 1. All electro-reduction experiments were conducted by
24 introducing 0.16 M **OX** cathode solution containing 0.16 M Na_2SO_4 (pH 1.2) and 0.16 M
25 Na_2SO_4 anode solution (pH 5.8) at 25°C . Fig. 2 and Fig. S3† show the current-voltage

curves for the electro-reduction of **OX** on Ga₂O₃, BiVO₄ and WO₃ photoanodes in the dark, and under UV-visible light ($\lambda > 200$ nm) irradiation. We could observe reductive currents on all three anodes by applying external bias above 2.1 V in the dark, as shown in Fig. 2, indicating that the application of 1.0 V as a total over potential, in addition to 1.1 V of the theoretical cell voltage (equation 3), is a requisite for initiating the **GC** production through **OX** reduction and water oxidation without light irradiation. Under light irradiation, minimal biases applied to flow reductive current in the system were drastically decreased compared with those observed in the dark. These results clearly indicate that the external applied bias can be enhanced by photoirradiation, causing photoexcitation over photoanodes absorbing UV-visible light energy. The chemical bias in the two-electrode system employing cathode (pH=1.2) and anode (pH=5.8) solutions is calculated to be 0.27 V based on the following equation:

$$\text{Chemical bias (V)} = 0.059 \times |\text{pH}_{\text{anode}} - \text{pH}_{\text{cathode}}| = 0.27 \text{ V}$$

Thus, we can beneficially utilize the chemical bias to lower the applied bias required for the reactions. On the other hand, we conducted **OX** reduction experiments both under light irradiation and in the dark to investigate the effects of light irradiation on the applied bias under the same experimental conditions. In acidic aqueous solutions having pH < 2, the photoanode composed of Ga₂O₃ or BiVO₄ dissolves and photocatalytic oxidation of co-existing electrolyte anions, SO₄²⁻, proceeds on the WO₃. Dissolution of the WO₃ also occurs in strong alkaline solutions. Thus, in this study, we utilize an aqueous solution of Na₂SO₄ without pH control, which exhibits neutral pH condition, for the efficient water oxidation reaction.

The onset potential for the reductive current flow under UV-visible irradiation light depended on the photoanodes; the order of onset bias was as follows: WO₃ < BiVO₄ < Ga₂O₃. This order coincides with the photovoltaic performance of photoanodes, i.e., WO₃ >

BiVO₄ > Ga₂O₃. An observed decrease of the onset biases for the electro-reduction of **OX** is therefore associated with the photovoltaic performance, which depends on the amount of optically excited electrons and holes and recombination probabilities between such excited species, and correspond to the activity of photoanodes for water oxidation. Photoexcitation probabilities for the generation of active specie strongly depend on the range of photoabsorption wavelengths of photoanodes.⁴² A wider absorption range of the photoanodes achieves more efficient photoexcitation within the entire irradiation range ($\lambda > 200$ nm), generating a larger amount of excited electrons and holes. All excited electrons and holes, however, are not available because the recombination between some parts of electrons and holes occurs before the water oxidation proceeds.⁴³ Lower recombination probability enables higher reaction probability of holes and water molecules, resulting in higher activity of water oxidation and a larger amount of available excited electrons, i.e., higher photovoltaic performance.⁴⁴ Therefore, the photoanode, which shows a wide photoabsorption spectrum and low recombination probability, is expected to exhibit large bias decrease due to the high activity for water oxidation and photovoltaic performance.

The Ga₂O₃ photoanode exhibited the smallest bias decrease under light irradiation (0.15 V, as shown in Fig. 2) because the narrowest range of photoabsorption wavelengths of the Ga₂O₃ photoanode (up to 270 nm, as shown in Fig. S2†) corresponds to the smallest amount of excited electrons and holes (as illustrated in Fig. 2), thus, resulting in the low activity for water oxidation and photovoltaic performance. Although the BiVO₄ photoanode showed the widest absorption spectrum (up to 550 nm) of all the photoanodes, **OX** reduction over the photoanode required the application of a relatively high bias potential, i.e., 1.7 V, compared with 0.7 V, which was observed over the WO₃ anode. This observation clearly shows that the recombination probability is more crucial for water oxidation over the BiVO₄ anode. It is known that the BiVO₄ photoanode shows a high recombination

probability due to its poor mobility for excited electrons (Fig. 2).⁴⁵⁻⁴⁸ By contrast, the WO₃ photoanode, absorbing photons at wavelengths less than 480 nm, exhibited the largest bias decrease of all the anodes, as shown in Fig. 2 and Fig. S2†. Therefore, the largest decrease of applied bias for **OX** reduction observed on the WO₃ photoanode can be attributed to the relatively wide absorption spectrum and low recombination probability for efficient water oxidation and high photovoltaic performance.²⁴

Reaction conditions of the most active WO₃ catalyst were optimized to minimize the applied bias by changing pH values over the anode and cathode to achieve efficient alcohol electrosynthesis. For this purpose, a three-electrode system, as described in Fig. S4†, was applied to determine an applied potential on the TiO₂ cathode by comparing the cathode potential with a reference electrode potential, whereas we could measure the bias applied between a cathode and an anode in the two-electrode system. Electrochemical cells were filled with Na₂SO₄ aqueous solution (40 ml, 0.2 M) and the pH value in the cathode cell including **OX** (0.03 M) was controlled to be either 1.0 or 11 by adding H₂SO₄ or NaOH solution. TiO₂ is known as a sufficiently stable oxide compound in both acidic and alkaline conditions and the TiO₂ cathode stably worked during catalytic experiments conducted in this study.

Fig. S5† shows the influence of the pH value on the activity for **OX** reduction and a product distribution in chronoamperometric electro-reduction with application of a constant cathode potential, i.e., -0.7 V vs the reversible hydrogen electrode (RHE) at 50°C for 2 h. At pH values higher than or equal to 7, we could not observe any reduction products, i.e., 0% **OX** conversion. Decreasing the pH value to less than or equal to 4 led to the generation of both 2- and 4-electron reduction products, i.e., GO and **GC**, respectively; yields of both reduction products and the percentage of **GC** yield increased with decreasing pH value. Considering that the production of **GC** and GO are attained via the hydrogenation of **OX**, a

1 higher proton concentration under lower pH conditions confers a favorable condition for
2 the hydrogenation reaction.⁴⁹

3 The influence of the wavelength of irradiation light on the WO₃ anode were
4 examined by applying a two-electrode system, which comprised a TiO₂ cathode in a
5 solution containing **OX** (0.03 M) and Na₂SO₄ solution (pH 1.0, 40 ml, 0.2 M) and a WO₃
6 photoanode in a Na₂SO₄ solution (pH 5.6, 40 ml, 0.2 M) at 50°C. Fig. 3 shows the
7 current-voltage curves both in the dark and under the irradiation of light of various
8 wavelengths, such as UV-visible light with $\lambda > 200$ and 300 nm and visible light with $\lambda >$
9 400 nm. We observed onsets of approximately 0.5 V under the irradiation of light at all
10 tested wavelengths, which are 0.6 V smaller than the theoretical bias required for **GC**
11 production via **OX** reduction and water oxidation, i.e., 1.1 V, whereas the onset potentials
12 were observed at approximately 2.1 V in the dark, suggesting that the remarkable bias
13 decrease of 1.6 V was attained by light irradiation. Irradiations of light with $\lambda > 200$ nm and
14 300 nm cover wide energy regions of absorption spectrum of WO₃. Therefore, the rate
15 determining-step for **OX** reduction under UV-visible light irradiation is probably not the
16 water oxidation over the WO₃ anode, where holes with sufficient positive potentials⁵⁰ are
17 generated, but the electro-reduction of **OX** on the cathode. Thus, we conclude that the
18 irradiation of UV-visible light with $\lambda > 300$ nm efficiently works in the system.
19 Furthermore, almost the same onset potential of reductive current was observed under
20 visible light irradiation, indicating that the WO₃ photoanode could efficiently work for the
21 bias decrease under visible light irradiation. These results indicate that the system has
22 applicability under both the irradiation of solar light and only visible light.

23 Chronoamperometric electro-reduction of **OX** was conducted while applying an
24 external bias of 1.0 or 1.5 V with a two-electrode system employing the TiO₂ cathode in
25 **OX** solution (pH 1.0, 40 ml, 0.03 M **OX**, 0.2 M Na₂SO₄) and the WO₃ photoanode in

1 Na_2SO_4 solution (pH 5.6, 40 ml, 0.2 M) at 50°C for 2 h under irradiation of UV-visible light
2 with $\lambda > 300$ nm, visible light with $\lambda > 400$ nm or in the dark. Fig. 4 shows the Faradaic
3 efficiencies calculated based on the amount of GO and **GC** produced under various
4 conditions. No products were detected in the dark even for an external bias below 1.5 V,
5 which is in accordance with the result that no reductive current was observed in the
6 current-voltage curve below 1.5 V, as shown in Fig. 3, and indicates that the system does
7 not work below 1.5 V without irradiation. By contrast, GO and **GC** production was
8 achieved under irradiations of both UV-visible and visible light, indicating that **OX** can be
9 reduced with the assistance of light energy absorbed by the WO_3 photoanode through an
10 electrochemical reaction system. Higher Faradaic efficiencies for GO and **GC** production
11 were achieved through the irradiation of UV-visible light and the application of a bias larger
12 than 1.5 V, compared with efficiencies under the irradiation of visible light at 1.0 V. Note
13 that GO and **GC** were produced with an 80% Faradaic efficiency in total when applying a
14 bias of 1.5 V under the irradiation of UV-visible light. The anode potential when applying a
15 bias of 1.5 V under the irradiation of UV-visible light was observed to be 1.05 V vs RHE,
16 which is 0.18 V more negative than the theoretical potential of water oxidation, 1.23 V vs
17 RHE, indicating that the hole generated by photoabsorption oxidized water molecules.^{51,52}
18 Furthermore, constant reductive-current flow at approximately 0.5 mA cm^{-1} was observed
19 with applying a bias of 1.5 V under the irradiation of UV-visible light for 2 h, except for the
20 initial period, as shown in Fig. S7†, indicating that the system can stably work and
21 reductive products are continuously obtained under the examined conditions. Gaseous
22 products generated in both cathode and anode cells were also analyzed to clarify the entire
23 Faradaic efficiency of the system. Fig. S8† shows the Faradaic efficiencies determined
24 based on the amount of GO, **GC** and H_2 that formed in the cathode cell in **OX** reduction
25 and O_2 that formed in water oxidation in the anode cell when applying a bias of -1.5 V

under the irradiation of UV-visible light. Faradaic efficiencies for products in each cell reached 100%, indicating that the reaction substrates were only **OX** and water and all electrons formed in water oxidation were consumed for the electro-reduction of **OX** or water to produce GO, **GC** and H₂ through the circuit. H₂ generation occurred with low evolution rate (7.9 $\mu\text{mol h}^{-1}$) as a side reaction of hydrogenation of **OX** when applying a bias of 1.5 V of bias under irradiation of UV-vis light. In our electrochemical hydrogenation system, protons and electrons are provided from electrolyte and electrode separately and react with oxalic acids as shown in Scheme S1 (ESI[†]), resulting in glycolic acid production without H₂ gas evolution. Therefore, the suppression of hydrogen evolution reaction (HER) over a TiO₂ electrode is critically important to achieve high Faradaic efficiency for **OX** reduction, which has been discussed in our previous report³³. The TiO₂ electrode used in this work also exhibited high activities for **OX** reduction but low HER activities in the acidic condition, as reported in Nature Materials⁵³. Based on these results, we succeeded in the first production of alcoholic compound, **GC**, from carboxylic acid, **OX**, via water oxidation using light energy through an electrochemical reaction system.

To improve of the product selectivity, we provide an illustration of the relation between applied potentials on the TiO₂ cathode and Faradaic efficiencies for the electro-reduction of **OX** to GO and **GC** in Fig. 5. The Faradaic efficiencies for GO and **GC** production in the dark were determined using the three-electrode system, which comprised a TiO₂ cathode and Ag/AgCl reference electrode in solution containing **OX** (0.03 M) and Na₂SO₄ solution (pH 1.0, 40 ml, 0.2 M) and a Pt anode in Na₂SO₄ solution (pH 1.0, 40 ml, 0.2 M). Fig. 5 also shows the Faradaic efficiencies obtained using the two-electrode system under the irradiation of UV-visible light with $\lambda > 300$ nm and visible light with $\lambda > 400$ nm, which are displayed in Fig. 4. The Faradaic efficiencies depended on the TiO₂ cathode potential and exhibited a volcano-like tendency regardless of the type of electrode system,

1 indicating that the TiO_2 cathode potential was the determining factor in the **OX** reduction
2 system. The highest Faradaic efficiency, i.e., 99%, was achieved at approximately -0.6 V vs
3 RHE in the three-electrode system. Fig. S9† shows cyclic voltammogram curves measured
4 using a three-electrode system employing a TiO_2 cathode, Pt anode and Ag/AgCl reference
5 electrode in the dark recorded in electrolyte solutions with and without the **OX** substrate,
6 respectively. The **OX** reduction current steeply increased below -0.45 V vs RHE, which
7 implicates the larger current density of **OX** reduction at -0.6 V vs RHE of the cathode
8 potential compared to that at -0.45 V vs RHE in the two-electrode system. On the basis of
9 the results, higher Faradaic efficiencies are also expected to be realized by the negative shift
10 of the cathode potential in the two-electrode system employing the WO_3 photoanode.

11 The performances for **OX** reduction in the light-assisted system were compared with those
12 of our previous work and other catalytic hydrogenation researches. The total yields and
13 reaction rates for GO and **GC** productions in this and previous works and results in the
14 reference 12-15 are summarized in Table S1. The total yields obtained using the
15 two-electrode system employing a standard TiO_2 catalyst in this work are relatively lower
16 than those in the references because of the smaller active sites on the surface of the standard
17 catalyst. On the other hand, the reaction rate using the three-electrode system employing
18 the highly active porous TiO_2 catalyst in our previous work is comparable with those in the
19 references, indicating that the utilization of highly active TiO_2 catalyst having the
20 sufficiently large reactive surface will probably achieve more efficient **OX** reductions.

21 In conclusion, we demonstrated **GC** production through **OX** electro-reduction and
22 water oxidation using a light-assisted electrochemical reaction system that applies a TiO_2
23 cathode and semiconductor oxide photoanodes. The reaction proceeds by applying a bias
24 potential of more than 2.1 V without light irradiation. Irradiation of UV-visible light to the
25 WO_3 photoanode enables a drastic decrease of minimal bias, i.e., 0.5 V, which is 0.6 V

1 smaller than the theoretical bias potential, i.e., 1.1 V, required for **GC** production via **OX**
2 reduction and water oxidation. **GC** electrosynthesis with an 80% Faradaic efficiency was
3 achieved when applying a bias of 1.5 V under UV-visible irradiation ($\lambda > 300$ nm). These
4 results are the first demonstration of a green synthetic process for the production of an
5 alcoholic compound from an organic acid procurable from biomass via electro-oxidation of
6 water with the assistance of light energy.

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Acknowledgement

This work was supported by JST-CREST and JSPS KAKENHI Grant Numbers 25288030 and 24655040.

Figure captions

Fig. 1 Schematic illustration of light-assisted alcohol electrosynthesis from fossil-free carboxylic acid and water.

Fig. 2 (Upper) Current-voltage curves of electro-reduction of **OX** using a two-electrode system employing a TiO₂ (JRC-TIO-8) cathode in a solution containing **OX** (0.16 M) and a Na₂SO₄ solution (pH 1.2, 40 ml, 0.16 M) and Ga₂O₃, BiVO₄ and WO₃ photoanodes in Na₂SO₄ solution (pH 5.8, 40 ml, 0.16 M) at 25 °C under UV-visible light ($\lambda > 200$ nm) irradiation (solid line) or in the dark (broken line). The photographs show photoanodes used for the experiment. (Lower) Schematic illustrations of energy diagrams and photovoltaic performances for the photoanodes under UV-visible light irradiation.

Fig. 3 Current-voltage curves of electro-reduction of **OX** using a two-electrode system comprising a TiO₂ (JRC-TIO-7) cathode in solution containing **OX** (0.03 M) and Na₂SO₄ solution (pH 1.0, 40 ml, 0.2 M) and a WO₃ photoanode in Na₂SO₄ solution (pH 5.6, 40 ml, 0.2 M) under light irradiation, with wavelength > 200 nm (red), > 300 nm (blue) or > 400 nm (black), or in the dark (broken line).

Fig. 4 Faradaic efficiencies calculated based on the amount of GO and GC formed by applying an external bias of 1.0 or 1.5 V in a two-electrode system comprising a TiO₂ (JRC-TIO-7) cathode in **OX** solution (pH 1, 40 ml, 0.03 M of OX, 0.2 M Na₂SO₄) and a WO₃ photoanode in Na₂SO₄ solution (pH 5.6, 40 ml, 0.2 M) at 50°C for 2 h under irradiation of UV-visible light with $\lambda > 300$ nm, visible light with $\lambda > 400$ nm or in the dark.

Fig. 5 Faradaic efficiencies (FEs) of GO and GC production versus the TiO₂ (JRC-TIO-7) cathode potential at 50 °C for 2 h in both the three-electrode system in the dark (square) comprising a TiO₂ cathode and Ag/AgCl reference electrode in a solution containing **OX** (0.03 M) and Na₂SO₄ solution (pH 1.0, 40 ml, 0.2 M) and a Pt anode in a Na₂SO₄ solution (pH 1.0, 40 ml, 0.2 M) and in the two-electrode system comprising a TiO₂ (JRC-TIO-7) cathode in a solution containing **OX** (0.03 M) and Na₂SO₄ solution (pH 1.0, 40 ml, 0.2 M) and a WO₃ photoanode in a Na₂SO₄ solution (pH 1.0, 40 ml, 0.2 M) under irradiation of UV-visible light (triangle) and visible light (circle).

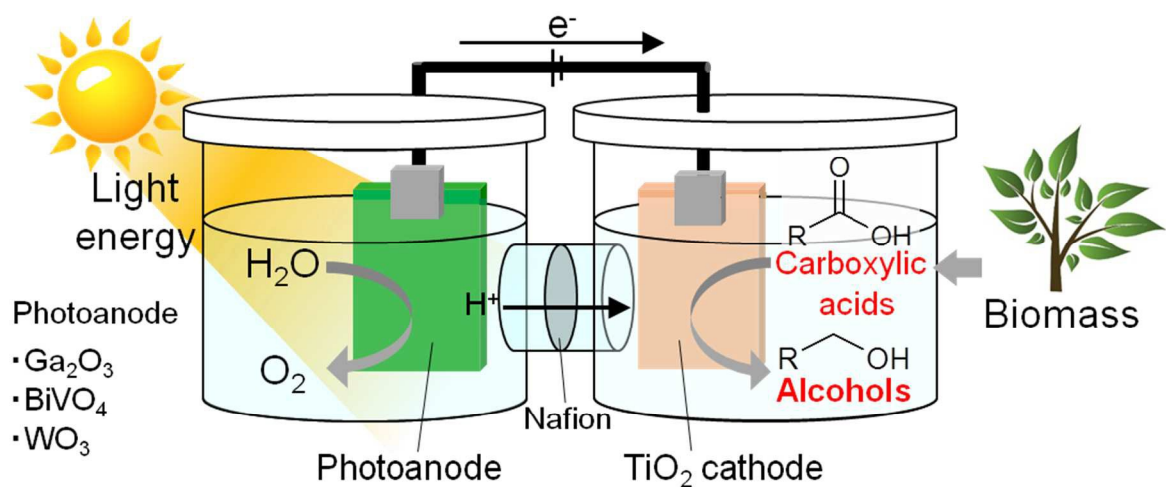


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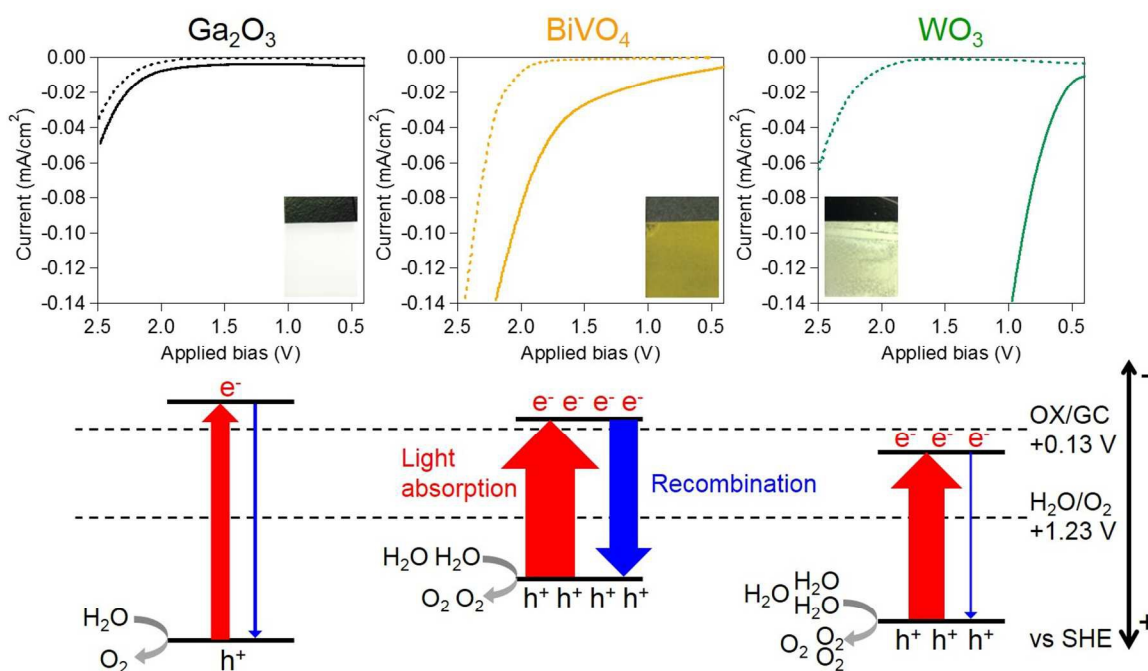


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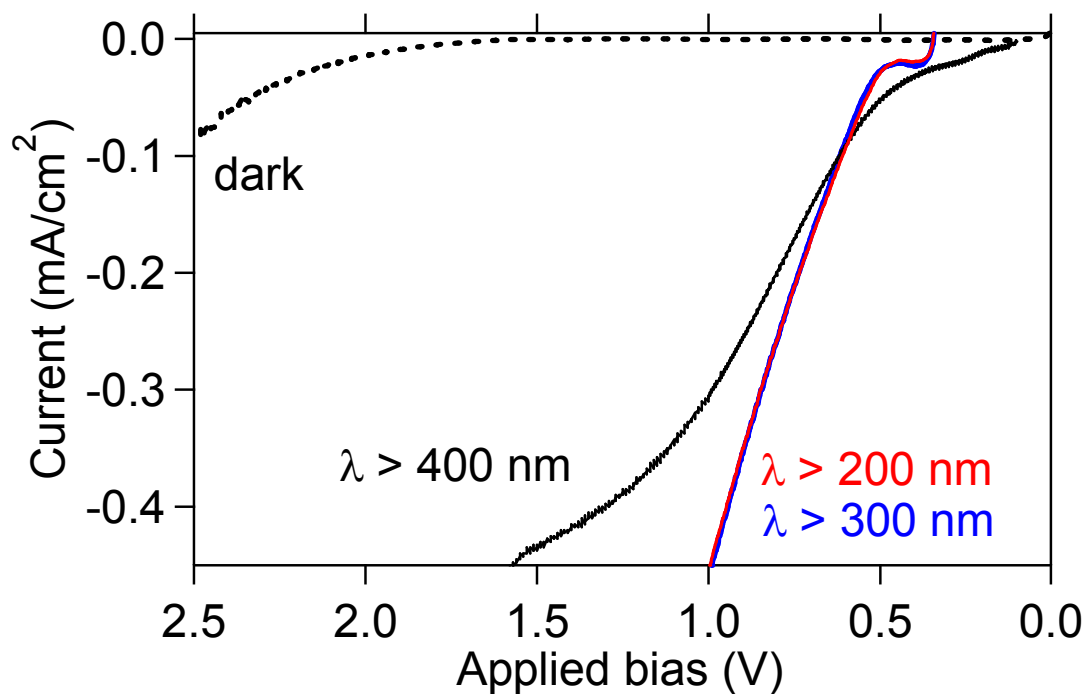


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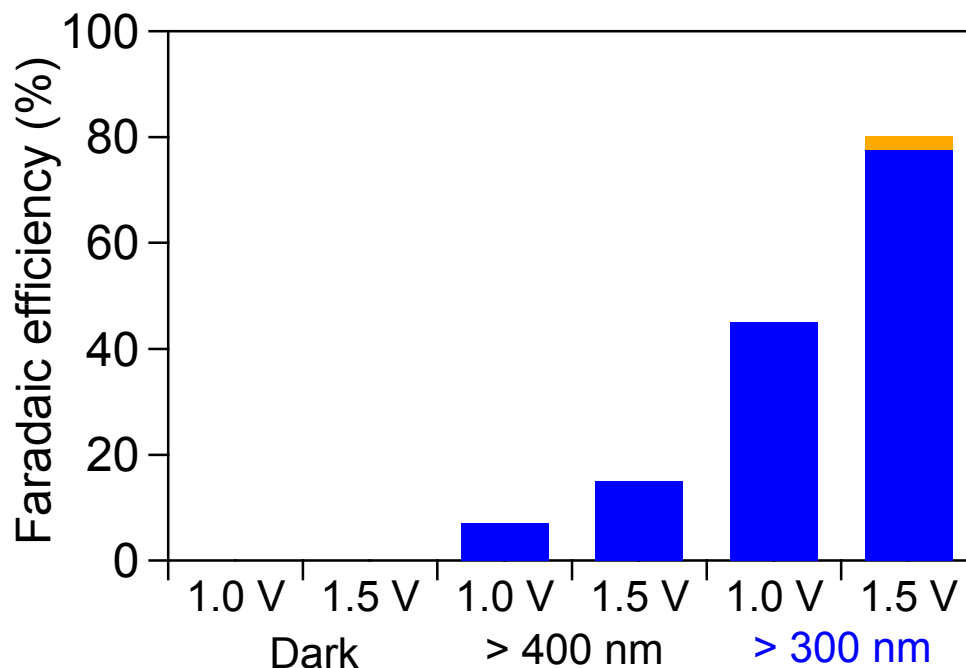


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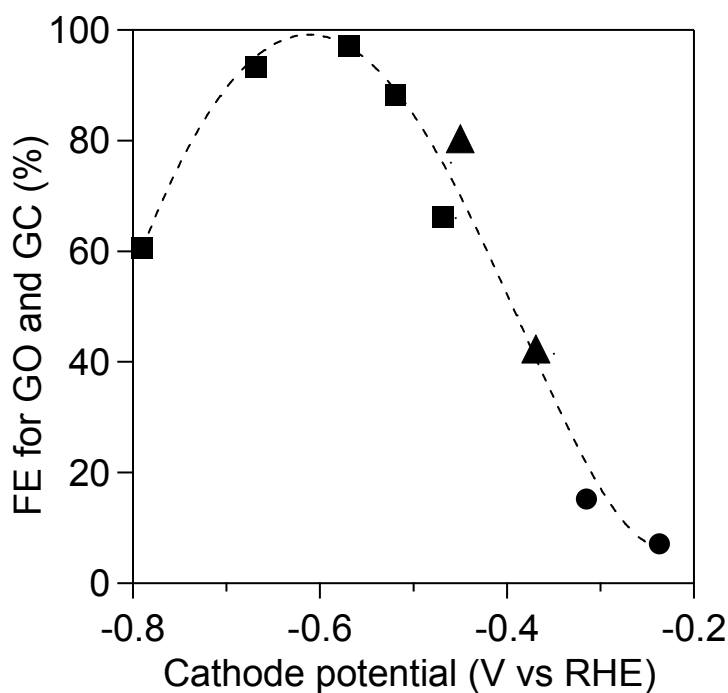


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2 Graphical abstracts

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