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1	Internal Electric Fields in Small Water Clusters $[(H_2O)_n; n=2-6]$
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12 TOC Graphic

The stabilization energies and the average red-shifts in the O–H stretching frequencies in the water clusters correlate linearly with the electric field derived from the molecular electrostatic potential.



1	Δ	

Abstract

The electric field experienced by a water molecule within a water cluster depends on its 15 position relative to the rest of the water molecules. The stabilization energies and the red-16 17 shifts in the donor O-H stretching vibrations in the water clusters increase with the cluster size which is concomitant with the increase in the electric field experienced by 18 the donor O–H of a particular water molecule due to the hydrogen bonding network. The 19 red-shifts in O–H stretching frequencies show a spread of about ± 100 cm⁻¹ against the 20 21 corresponding electric fields. Deviations from linearity were marked in the region of 100 - 160 MV cm⁻¹, which can be attributed to the strain in the hydrogen bonding network, 22 23 especially structures with DDAA and DDA motifs. The linear Stark effect holds up to 200 MV cm⁻¹ of internal electric field for the average red-shifts in the O-H stretching 24 frequencies, with Stark tuning rate of 2.4 cm⁻¹/(MV cm⁻¹), suggests the validity of the 25 classical model in small water clusters. 26

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40 **1. Introduction**

Understanding hydrogen bonding has been a subject of investigation starting from the 41 landmark article by Latimer and Rodebush in 1920,¹ where they have proposed the 42 concept of hydrogen bonding in water. Ever since, large numbers of reports have 43 explored the hydrogen bonding in water. Based on the bottom-up approach, water 44 45 clusters have been used to model the structure, dynamics and the cooperative effects of hydrogen bonding.² Numerous theoretical reports in the literature address the structures. 46 47 energetics, morphology and various properties such as dipole moments, polarizabilities of small water clusters $[(H_2O)_n; n=2-6]$.³ On the experimental front, IR spectroscopy has 48 been widely used to investigate water clusters and the experimentally observed spectra 49 could be assigned to some of the low energy structures predicted by theoretical 50 calculations.⁴ More recently, the dissociation energies of the water -dimer and -trimer 51 52 have been reported, which are in good agreement with the calculated stabilization energies.5 53

An alternate, but an exceedingly effective way to understand the hydrogen 54 55 bonding in water clusters is by evaluating the electric field experienced by a particular water molecule due to the rest of the water molecules that make up the cluster. More 56 importantly, the electric field experienced by a particular water molecule will depend on 57 its environment and thus reports the local structure around the water molecule in 58 question.⁶ Boxer and co-workers have established that the electric field experienced by a 59 60 molecule due to its environment can be experimentally measured using vibrational Stark spectroscopy.⁶ Further, it was also shown for several hydrogen-bonded systems that the 61 62 shift in the donor (X-H; X = O, N, S) stretching frequency is proportional to the electric field experienced by the X–H bond due to the hydrogen bond acceptor.⁷ In this work we 63

68 **2. Computational Methods**

To begin with, structures of small water clusters $[(H_2O)_n; n = 2-6]$ were optimized using 69 MP2/aug-cc-pVDZ and B3LYP/6-311++G(d,p) levels of theory using GAUSSIAN-09⁸ 70 with its graphical interface GaussView $5.^9$ The geometry optimization of various 71 72 structures of the tetramer, pentamer and the hexamer was carried out starting from the coordinates reported earlier for these structures.^{3e,g,h} Frequency calculations followed the 73 74 geometry optimization at the same level of theory to evaluate the zero-point energies and 75 the vibrational frequencies of the systems, and to ensure that all the structures correspond 76 to true minima. The calculated stabilization energies were corrected for the vibrational 77 zero-point energy (ZPE) and the basis-set superposition error (BSSE) using counterpoise 78 method. The BSSE correction was made after geometry optimization. The stabilization 79 energy for all the water clusters was calculated as the difference between the energy of *n*water cluster and energy of *n* water molecules. 80

To account for the effect of charge distribution on the stabilization energies and the shifts in the O–H stretching frequencies in the water clusters electric field calculations were carried out using the procedure laid out by Boxer and co-workers.⁷ To calculate the electric field on a specific OH group of a water molecule in the hydrogenbonded network the O and H atoms were replaced with ghost atoms and the second H atom was removed. Following this, the molecular electrostatic potential (MESP) arising from the hydrogen bond acceptor was calculated at the position of O and H atoms of the hydrogen bond donor. The MESP on the atom O/H located at the position \vec{R}_0 / \vec{R}_H is given by the equation (1),¹⁰

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$$V_{\text{O/H}} = \sum_{A \neq O,H} \frac{Z_A}{|\vec{R}_A - \vec{R}_{\text{O/H}}|} - \int \frac{\rho(\vec{r}) d^3 \vec{r}}{|\vec{r} - \vec{R}_{\text{O/H}}|}$$
(1)

91 where Z_A is the nuclear charge of atom A located at \vec{R}_A and $\rho(\vec{r})$ is the electron density 92 of the molecule and \vec{r} is a dummy integration variable. The projection of electric field 93 onto the bond was calculated as the gradient of the electrostatic potential along the bond 94 axis along $O \rightarrow H$ direction, which is given by the equation (2)

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$$\vec{F} = -\nabla V = \frac{-(V_{\rm O} - V_{\rm H})}{\left| \left(\vec{R}_{\rm O} - \vec{R}_{\rm H} \right) \right|}$$
 (2)

In the equation (2) $V_{\rm o}$ and $V_{\rm H}$ are the measure of the electrostatic potential at the position 96 of atoms O and H due to all the electrons and rest of the nuclei in the system of interest. 97 For the hydrogen-bonded water clusters, starting from the optimized geometry, each 98 99 donor O-H group was sequentially replaced with the dummy atoms and the MESP 100 potential was calculated at the positions of O and H atoms using both MP2/aug-cc-pVDZ and B3LYP/6-311++G(d,p) levels of theory. MESP at atom centres were obtained from 101 the standard output of *GAUSSIAN-09*.⁸ The projection of the electric field was calculated 102 on each of the donor O–H groups in the hydrogen-bonded water clusters with number of 103 104 water molecules ranging from two (dimer) to six (hexamer).

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106 **3. Results and Discussion**

107 The optimized structures water –dimer, –trimer, –tetramer and –pentamer are shown in 108 Fig. 1 at both MP2/aug-cc-pVDZ and B3LYP/6-311++G(d,p) levels of theory. A single 109 stable structure was found for the water–dimer and two for water–trimer. In the case of

110 water-tetramer, six structures were obtained at the MP2 level, while seven structures 111 were obtained at the B3LYP level. For the water-pentamer the number of structures obtained at the MP2 and the B3LYP levels are eight and thirteen, respectively. Fig. 2 112 113 shows the optimized structures of the water-hexamer. In this case a total of thirty 114 structures were obtained, with twenty-three structures at the MP2 level and twenty-five 115 at the B3LYP level. Cumulatively, forty structures were obtained at MP2 level and forty-116 eight structures were obtained at the B3LYP level. Table 1 lists the vibrational zero-point energy (ZPE) and the basis-set superposition error (BSSE) corrected stabilization energy 117 118 for all the water clusters shown in Figs. 1 and 2. The stabilization energies calculated at 119 the B3LYP level were on the average 14% higher than the corresponding MP2 values 120 (see Fig. S1; ESI). At MP2/aug-cc-pVDZ level the water-dimer and -trimer are stabilized by 9.7 and 35.8 kJ mol⁻¹, respectively (see Table 1). In comparison, the 121 122 corresponding experimentally determined dissociation energies are 13.2 and 31.7 kJ $mo1^{-1.5}$ Therefore, the present method underestimates the stabilization energy of the 123 124 water-dimer and overestimates the stabilization energy of the water-trimer.

125 The stable water-dimer, W2, is a single hydrogen-bonded structure and the 126 electric field along the donor O-H group at the MP2 level was calculated as -73.79 MV cm⁻¹. On the other hand, the lowest energy structure of water-trimer, W3 1, is a cyclic 127 structure with C_1 symmetry. In this case the electric field along the three donor O–H 128 groups were calculated as -124.07, -123.76 and -122.08 MV cm⁻¹ (at the MP2 level; see 129 130 Table S1). The differences in the electric field of the three donor O–H groups are very marginal. The total (cumulative) electric field, $\Sigma \vec{F}$, experienced by hydrogen bonding 131 network of W3 1 water-trimer is the sum of the electric fields along the three individual 132 donor O-H groups, which is -369.92 MV cm⁻¹. The total electric field experienced by 133 hydrogen bonding network for each of the structures shown in Figs. 1 and 2 is listed in 134

Table 1. The stabilization energy of water dimer can be attributed to a single O-H···O 135 hydrogen bond. On the other hand, for the W3 1 water-trimer the total stabilization 136 energy is due to three O-H…O hydrogen bonds. Therefore a relationship between total 137 electric field and the stabilization energy is explored by plotting these two quantities in 138 Fig. 3. A straight-line fit to the data points clearly illustrates that the stabilization of the 139 140 water cluster is directly proportional to the total electric field in the cluster. Calculations 141 using B3LYP/6-311++G(d,p) level also yields similar results (see Fig. S2; ESI). 142 Moreover, the plot of average stabilization energy against average electric field also 143 shows a linear correlation, and is shown in Fig. S3 (se ESI). The observed results are in 144 agreement with the linear correlation between the stabilization energies and the electric fields for the π hydrogen-bonded complexes of phenol, indole and thiophenol.^{7b} 145

146 Contrary to the simpler analysis of the stabilization energies, the analysis of the 147 O-H stretching vibrational frequencies in the water clusters is convoluted due to 148 coupling of the O-H oscillators. While several strategies may be adapted to analyse the O-H stretching vibrations, a simplest model is to segregate the free and the hydrogen-149 150 bonded OH groups. For instance, in the case of water-dimer only one O-H group is 151 involved in hydrogen bond formation while the remaining three O-H groups are free. 152 Similarly in the case of W3 1 water-trimer the numbers of O-H groups that are 153 hydrogen-bonded and free are three each. In almost all the cases the free and the 154 hydrogen-bonded O-H stretching frequencies are separated on the frequency scale, with 155 the unscaled free and hydrogen-bonded O-H stretching frequencies typically around 3800 cm⁻¹ or higher and 3700 cm⁻¹ or lower, respectively, as shown in Table S1 (see 156 157 ESI). Therefore for a given water cluster an average value of the red-shift in the O-H stretching frequencies, $\overline{\Delta v_{0H}}$, due to formation of hydrogen bonding network can be 158 defined as the difference between the mean of the free, $\overline{\overline{\nu}_{\text{O-H(F)}}}$, and mean of the 159

hydrogen-bonded, $\overline{v}_{\text{O-H(HB)}}$, O–H stretching frequencies. An average of electric fields, $\overline{\vec{F}}$, along all the hydrogen-bonded O–H groups for a water cluster can also be evaluated, values of which are also listed in Table S2 (see ESI). Fig. 5 shows the plot of average red-shift in the hydrogen-bonded O–H stretching frequencies, $\overline{\Delta v}_{\text{O-H}}$, against the average electric field, $\overline{\vec{F}}$, in several water clusters. A linear relationship between $\overline{\Delta v}_{\text{O-H}}$ and $\overline{\vec{F}}$ is clearly evident from Fig. 4. The slope of the line in Fig. 4 is termed as Stark tuning rate, $\Delta \mu$, and is given by the equation (3)^{6,7}

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$$\overline{\Delta \overline{v}} = \Delta \overline{\mu} \cdot \overline{F}$$
(3)

which is a measure of the change in the dipole moments in the vibrationally excited state relative to the ground state. Linear fit to the data points in Fig. 4 yields Stark tuning rates of 2.53 cm⁻¹/(MV cm⁻¹). A similar plot for the values calculated at B3LYP/6-311++G(d,p) level calculation (see Fig. S4, ESI) gives the Stark tuning rates of 2.60 cm⁻¹/(MV cm⁻¹).

In order to decouple the vibrations of the O-H oscillators in the water clusters, all 173 but one hydrogen atoms were replaced with deuterium atoms and the vibrational 174 175 frequency calculation was carried out. This calculation yields the O-H stretching 176 frequency of a single O-H group in the deuterated water cluster. The O-H stretching 177 frequencies of all the hydrogen-bonded O-H groups in a given cluster, one at a time, were systematically calculated. Similarly one of the hydrogen bonded hydrogen atom 178 179 was replaced with the deuterium atom and the O-D stretching frequencies of all the 180 hydrogen-bonded O-D groups were calculated. For the 40 structures optimized at 181 MP2/aug-cc-pVDZ level (see Figs. 1 and 2) 249 independent hydrogen bonded O-H / 182 O–D groups were identified. (see Table S1; ESI). The shift in the O–H / O–D stretching frequency is the difference between the corresponding stretching frequencies in the 183

partially deuterated water (HOD). The results of these calculations are listed in Table S2 184 (see ESI) and the plots of shifts in the $\Delta v_{\text{O-H}}$ and $\Delta v_{\text{O-D}}$ against the corresponding electric 185 186 field are shown in Fig. 5. These plots show considerable spread in the data points with largest spread in the region corresponding to local electric fields of 100 to 160 MV cm⁻¹. 187 A linear fit to the data points in the shaded region with 206 data points (see Fig. S7; ESI) 188 yields a Stark tuning rate of 2.7 cm⁻¹/(MV cm⁻¹). However, the corresponding residuals 189 are within ± 100 cm⁻¹. The data points in the electric field regions of 100 to 160 MV cm⁻¹ 190 191 (encircled) show larger deviations. Since the deviations in this region correspond to 192 smaller frequency shifts, these can be attributed to increased anharmonicity for these 193 vibrations. These data points correspond to the water-hexamer structures of W6 3, W6 8, W6 10, W6 11 and several others (see Fig. 2) in which at least one of the OH 194 195 groups has strained hydrogen bond and is part of the DDAA (double donor double 196 acceptor) or DDA (double donor single acceptor) structural motif. On the other hand the data points above 200 MV cm⁻¹ show deviations corresponding to larger frequency 197 198 shifts, which suggests that the classical model may no longer be adequate.

Fig. 6 shows the average shifts $(\overline{\Delta v_{0,H/D}})$ in the O–H and O–D stretching vibrations 199 in each cluster plotted against the average electric field. Once again a linear correlation is 200 observed with Stark tuning rates of 2.41 and 1.76 cm⁻¹/(MV cm⁻¹) for the O-H and O-D 201 202 stretching vibrations, respectively. The Stark tuning rate for the O-H stretching 203 vibrations obtained by two different methodologies have similar values (2.53 and 2.41 cm⁻¹/(MV cm⁻¹)). Interestingly, the Stark tuning rate of 2.6 cm⁻¹/(MV cm⁻¹) was reported 204 for the O–H··· π hydrogen-bonded complexes of phenol,⁷ and the present values are in 205 206 close agreement.

207 One of the significant observations in the present work is the linearity of the 208 average shifts in the O–H stretching vibrations against the local electric fields up to 200

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MV cm⁻¹. However, in the case of $O-H\cdots\pi$ hydrogen-bonded complexes of phenol, it was 209 210 observed for modest electric fields the red-shifts in the O-H stretching deviate from 211 linearity. The deviations from the linearity in the case of O–H··· π hydrogen-bonded complexes of phenol has been attributed to the quadratic Stark effect.⁷ On the other hand 212 213 the C=O stretching frequencies in the ketosteroid isomerase show frequency shift commensurate with the electric fields of up to 150 MV cm⁻¹ in water, which includes the 214 215 solvent field.^{6e} The linear correlation of average red-shifts in the O-H stretching vibrations up to 200 MV cm⁻¹ clearly suggests the validity of classical model linear Stark 216 effect in small water clusters. 217

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219 **4.** Conclusions

The electric field along the hydrogen bond donor O–H group was calculated for several water 220 221 clusters using molecular electrostatic potentials. Both the stabilization energy and electric 222 field increase with increase in number of hydrogen bonds in a water cluster and the 223 stabilization energy of the water clusters is directly proportional to the sum of the electric fields along all the donor O-H groups. The plot of red-shifts in O-H stretching frequencies 224 against electric fields show a spread of about ± 100 cm⁻¹ from linearity. Additionally, the data 225 points in the region of 100-160 MV cm⁻¹ show larger deviations due to anharmonicity. These 226 227 data points correspond to strained hydrogen bonds with DDAA and DDA structural motifs. 228 On the other hand the average red-shift in the O–H stretching frequencies of all the O–H…O 229 hydrogen bonds in the water cluster is linearly correlated with the average of all the electric 230 fields on the donor O–H group. The average Stark tuning rate for the O–H group in water clusters is about 2.4 cm⁻¹/(MV cm⁻¹), which is similar to the Stark tuning rate of 2.6 cm⁻¹ 231 $^{1}/(MV \text{ cm}^{-1})$ for the O–H··· π hydrogen-bonded complexes of phenol. 232

234 Author Contributions

The problem was formulated by GNP and SS. BM carried out most of the calculations with
some assistance from SVL. The results were interpreted jointly by GNP and SS along with
BM and SVL.

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249 Notes

Electronic Supplementary Information (ESI) available: List of stabilization energies, total and
average electric fields, and unscaled vibrational frequencies of free and hydrogen bonded
O-H for all the water clusters. Additionally, comparison of stabilization energies in MP2 and
B3LYP level is shown for entire data set of all the water clusters. See
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Fig. 1. Optimized structures of water –dimer, –trimer, –tetramer and –pentamer. The calculated stabilization energies are at MP2/aug-cc-pVDZ and B3LYP/6-311++G(d,p) levels of theory are shown in parenthesis. NA indicates that the structure is not a stable minimum at a given level of theory.



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Fig. 2. Optimized structures of water-hexamer. The calculated stabilization energies are at MP2/aug-cc-pVDZ and B3LYP/6-311++G(d,p) levels of theory are shown in parenthesis. NA indicates that the structure is not a stable minimum at a given level of theory.





Fig. 3. Plot of total stabilization energy against total electric field calculated at MP2/augcc-pVDZ level for several water clusters. The straight line is a linear fit ($R^2 = 0.987$) to the data points.

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Fig. 4. Plot of average red-shift in the O–H stretching frequencies against average electric field calculated at MP2/aug-cc-pVDZ level for several water clusters. The straight line is a linear fit ($R^2 = 0.971$) to the data points, which corresponds to the Stark tuning rate of 2.53 cm⁻¹/(MV cm⁻¹).

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Fig. 3. Plot of red-shift in the O–H (squares) and O–D (triangles) stretching frequencies against the corresponding electric field calculated at MP2/aug-cc-pVDZ level for several water clusters. The shaded region indicates linear trend with \pm 100 cm⁻¹ deviations. Data points in the encircled region and data points with fields above 200 MV cm⁻¹ show larger deviations from linearity.

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Fig. 7. Plot of average red-shift in the O–D (Triangles) and O–H (Squares) stretching frequencies against average electric field calculated at MP2/aug-cc-pVDZ level for several water clusters. The straight lines are linear fits to the data points with R² values of 0.963 and 0.965 and the corresponding Stark tuning rates are 1.76 and 2.41 cm⁻¹/(MV cm⁻¹) for O–D and O–H stretches, respectively.

Structure	No. of H-	Avg. H bond Energy		Stabilization energy		Total Field	
	bond	MP2	B3LYP	MP2	B3LYP	MP2	B3LYP
		aug-cc-pVDZ	6-311++G(d,p)	aug-cc-pVDZ	6-311++G(d,p)	aug-cc-pVDZ	6-311++G(d,p)
W2	1	-9.7	-11.4	-9.7	-11.4	-73.79	-81.79
W3 1	3	-11.9	-13.5	-35.8	-40.6	-369.92	-392.72
W3_2	3	-11.3	-13.1	-33.9	-39.2	-364.17	-387.00
W4_1	4	-16.9	-19.6	-67.5	-78.2	-692.00	-719.13
W4_2	4	-16.2	-18.7	-64.8	-74.9	-682.73	-710.48
W4_3	5	-10.2	-11.1	-50.9	-55.6	-538.84	-555.65
W4_4	4	-11.9	-13.4	-47.7	-53.7	-478.33	-503.50
W4_5	4	-11.9	-13.5	-47.5	-53.9	-482.72	-506.30
W4_6	4	-7.9	-8.8	-31.6	-35.1	-179.51	-194.40
W4_7	4		-13.4		-53.4		-493.85
W5_1	5	-18.1	-21.1	-90.3	-105.6	-955.94	-992.22
W5_2	5	-17.2	-20.4	-85.9	-101.8	-923.92	-972.84
W5_3	6	-14.3	-16.1	-85.7	-96.4	-911.72	-941.97
W5_4	6	-13.8	-15.3	-82.9	-91.8	-876.19	-892.74
W5_5	5	-15.8	-18.1	-79.1	-90.6	-808.91	-837.66
W5_6	6	-12.2	-13.7	-73.4	-81.9	-784.30	-814.69
W5_7	7	-9.2	-9.7	-64.2	-67.7	-619.86	-633.93
W5_8	6	-10.6	-11.7	-63.4	-70.4	-661.47	-680.70
W5_9	5		-20.3		-101.3		-973.14
W5_10	6		-11.5		-69.0		-658.73
W5_11	5		-12.8		-64.0		-584.67
W5_12	5		-12.8		-63.9		-580.51
W5_13	5		-12.4		-62.0		-537.00
W6_1	7	-16.1	-18.4	-113.0	-128.7	-1185.34	-1215.54
W6_2	8	-14.0	-15.4	-112.0	-123.1	-1168.08	-1182.39
W6_3	9	-12.4	-13.5	-111.6	-121.3	-1207.13	-1210.07

Table 1. ZPE and BSSE corrected stabilization energies (kJ mol⁻¹), average hydrogen bond energy (kJ mol⁻¹) and total field (MV cm⁻¹) for the water clusters W_n (n = 2–6) calculated at various levels of theory

W6 4	6	-18.3	-21.6	-109.5	-129.5	-1168.43	-1222.22
W6 ⁵	6	-18.2	-21.6	-109.4	-129.5	-1167.08	-1223.46
W6 ⁶	7	-15.6	-17.8	-109.3	-124.5	-1104.29	-1190.62
W6 ⁷	7	-15.6	-17.8	-109.2	-124.3	-1178.10	-1214.99
W6 ⁸	7	-15.6	-17.7	-108.9	-124.0	-1176.83	-1215.89
W6_9	6	-18.2	-22.2	-108.9	-133.0	-1195.03	-1239.57
W6 ¹⁰	8	-13.5	-15.0	-108.2	-120.2	-1169.66	-1197.17
W6_11	8	-13.1	-14.5	-104.8	-115.8	-1150.37	-1172.39
W6_12	7	-14.9	-16.9	-104.3	-118.6	-1105.15	-1134.93
W6_13	7	-14.7	-16.6	-103.1	-116.2	-1078.77	-1118.18
W6_14	8	-12.5	-13.8	-100.1	-110.0	-1098.37	-1105.99
W6_15	7	-14.1	-15.7	-99.0	-109.6	-1028.35	-1034.71
W6_16	9	-10.9	-11.4	-97.8	-102.9	-1016.36	-1007.22
W6_17	8	-11.1	-12.2	-88.7	-97.4	-947.61	-971.33
W6_18	7	-12.1	-13.5	-84.7	-94.8	-889.10	-920.53
W6_19	8	-13.6		-108.8		-1166.09	
W6_20	7	-15.5		-108.5		-1177.30	
W6_21	9	-11.2		-100.8		-1081.64	
W6_22	8	-12.1		-96.7		-1007.08	
W6_23	7	-13.3		-93.1		-965.64	
W6_24	7		-17.8		-124.4		-1216.44
W6_25	7		-17.3		-121.3		-1195.31
W6_26	6		-19.6		-117.5		-1106.21
W6_27	8		-13.6		-109.0		-1106.05
W6_28	8		-13.6		-108.5		-991.14
W6_29	6		-17.4		-104.5		-948.86
W6_30	8		-12.3		-98.4		-928.46