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1	Structural- and Optical- Properties Analysis of Single Crystalline Hematite
2	(α-Fe ₂ O ₃) Nanocubes Prepared by One-Pot Hydrothermal Approach
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14	
15	Abstract
16	
17	High quality single crystal hematite (α -Fe ₂ O ₃) nanocubes with average dimensions of 40 nm
18	were successfully synthesized by a facile one-pot hydrothermal method. Systematic analyses
19	were performed to investigate the morphological-, structural- and optical-properties of the as-
20	synthesized α -Fe ₂ O ₃ nanocubes. Continuous formation and hourly monitored towards proper
21	arrangement of single crystal α -Fe ₂ O ₃ nanocubes are observed throughout the hydrothermal
22	heating process of 180°C started from 4 h to 12 h. The probable growth mechanism on the
23	formation of cubic nanostructures is also proposed. Electron micrographs show the cubic α -
24	Fe ₂ O ₃ synthesized at the most optimum 8 h hydrothermal heating duration are indeed
25	produced in high-yield and with well-defined cubical shape. Typical rhombohedral structure
26	of cubic α -Fe ₂ O ₃ was evident by the XRD pattern. The SAED pattern indicates that the α -

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Fe₂O₃ nanocubes are single-crystalline in nature, with lattice-fringes and the *d*-spacing value of 3.6 Å. The optical characterization reveals that α -Fe₂O₃ nanocubes show strong visiblelight absorption with band gap energy of ~2.1 eV while the photoluminescence emission spectra depicts a mono-peak centered at ~590 nm. Both SAED pattern and UV-vis spectra show strong correlation with the standard α -Fe₂O₃. The as-synthesized α -Fe₂O₃ single crystal is high in quality that potentially to be used as a visible-light active nanomaterial in the renewable energy devices application.

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35 Keywords: Hematite; Single crystal; Nanocubes; Hydrothermal; Characterization

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37 **1.0 Introduction**

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39 Rational design and synthesis of metal oxide nanocrystals with tunable shape and 40 properties have attracted enormous research interest for their unique size and shape-41 dependent intrinsic physicochemical properties. In particular, metal oxide semiconductor 42 nanocrystals have been identified as important materials with potential applications in a wide range of fields (optical, electrical, magnetic, catalytic, chemical, etc).¹⁻⁵ Hematite (α -Fe₂O₃) is 43 44 among one of the most interesting n-type metal oxide semiconductor with optical bandgap of 2.1 eV.^{6,7} Due to its prevalence, hardness, chemical- and thermal-stability, and 45 46 environmentally benignity, it has become an attractive material in a spectrum of 47 semiconducting applications. In addition, its ability to absorb light made it a particularly attractive material for use in solar energy conversion.^{8,9} a-Fe₂O₃ is isostructural with 48 corundum, Al₂O₃, which is trigonal (hexagonal scalenohedral, symbol $\frac{1}{3}$ 2/m) with space 49 group R $\frac{1}{3}$ c and lattice parameters a = 5.0356 Å, c = 13.7489 Å, having Fe³⁺ ions occupy 2/3 50 of its octahedral sites that are confined by the nearly ideal hexagonal closed-pack O lattice.¹⁰ 51

52 The dense hexagonal close packing of oxygen combined with the interstitially positioned iron yields a very dense structure (5.26 g/cm^3) exhibiting a high polarizability and high refractive 53 index (3.15).¹¹ Attributed to its layered structure also generates complex behaviour when 54 55 interacting with photons and electrons, it is of interest among researchers to exploit α -Fe₂O₃ 56 promising applications, such as gas sensors,¹² nanocrystals in numerous catalysts/photocatalysts,¹³ electrode materials in lithium secondary batteries,¹⁴ magnetic 57 recording media,¹⁵ photo-assisted electrolysis of water,¹⁶ and optical and electromagnetic 58 devices as well as environmental remediation in wastewater treatment.¹⁷⁻¹⁹ 59

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It is noted that the morphology and size of α -Fe₂O₃ have a great impact on their 61 intrinsic physicochemical properties and thus determine their application.²⁰ Therefore, much 62 effort has been made in the design of α -Fe₂O₃ materials with a desired structure and 63 morphology such as zero-dimensional (0D) nanoparticles,²¹ 64 one-dimensional (1D) nanowires,²² nanobelts,²³ nanorods,²⁴ nanotubes,²⁵ two-dimensional (2D) nanorings,²⁶ 65 nanoflakes.²⁷ three-dimensional (3D) nanocubes.²⁸ urchin-like nanostructures.²⁹ 66 nanoflowers,³⁰ and etc. In particular, well-defined single crystalline α -Fe₂O₃ nanocubes 67 exposing specific facets are demonstrated to be extremely notable due to the highly reactive 68 surfaces and excellent electron mobility.³¹⁻³³ In terms of crystallinity nature, a single 69 70 crystalline α -Fe₂O₃ has a continuous crystal lattice for the entire nanostructure. The crystal 71 lattice arrangement is endless until the edge of the atomic arrays, with no grain boundaries 72 observed. Such continuous atomic array indeed renders several advantages especially on the 73 aspect of its congruent electronic properties. For instance, the absence of grain boundaries in 74 the crystal structure are found to be able to accelerate the movement of electric charges in a circuit especially in solar cells and PEC cells.³⁴ Additionally, single crystallinity also allows 75 76 longer lifetime of electron-hole pair which could enhance the efficiency in the aforementioned applications.³⁵ As in the case of gas sensor application, single crystalline α -77

Fe₂O₃ nanostructure is found to be able to reduce instability problems associated with grain coalescence and drift in electrical properties,³⁶ which alternatively improve its sensitivity and stability. Therefore, it is worth-noted that the notable characteristics can be harnessed through such structure that would enhance and diversify its performance.

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83 To date, a myriad of chemical and physical methods has been developed for the 84 synthesis of single crystalline α -Fe₂O₃ nanocubes. These methods include sonochemical route,³⁷ thermal decomposition of inorganic precursors,³⁸ forced hydrolysis,³⁹ 85 electrospinning,⁴⁰ and hydrothermal approach.⁴¹. Compared with other fabrication techniques, 86 87 hydrothermal synthesis is considered as the most robust method and has attracted rapidly 88 increasing interest for the preparation of single crystalline α -Fe₂O₃ nanocubes due to several 89 merits: the products with high purity and excellent crystallinity, morphologies easy to be 90 tuned, relatively low reaction temperature and availableness for scale-up production. For 91 example, Pu and co-workers have successfully synthesized α -Fe₂O₃ nanocubes by a 92 templating method, in which they employed cationic capping agent-surfactant cetyl 93 trimethylammonium bromide (CTAB) and showed that concentration variation of the 94 precursor could lead to different size and shape of α -Fe₂O₃. In this study, the rod-like β -95 FeOOH precursors were firstly obtained by varying FeCl₃ concentration ranged from 0.01 to 0.05 M at 120 °C, and then the precursors aggregated into raft-like particles and thereby 96 transformed to α -Fe₂O₃ cubic particles.⁵ Mitra et al. have reported the controlled synthesis of 97 98 α -Fe₂O₃ microcubic particles by pH- controlled hydrolysis of Fe(NO₃)₃.9H₂O with the use of ethylenediamine as basic ligand to facilitate the formation of cubic samples,⁴² whereas Su et 99 100 al. used ammonium acetate in FeCl_{3.6}H₂O aqueous solution as capping agent to produce α -Fe₂O₃ at 160 °C for 24 h.⁴³ In addition to this, Ma and colleagues synthesized single-crystal 101 α-Fe₂O₃ nanocubes by the use of diethylene glycol (DEG) at 200 °C for 10 h in Li ion 102 batteries application.⁴⁴ Very recently, Patra and his group used proportional amount of 103

104 sodium salicylate and NaOH/water to successfully prepare single crystalline α-Fe₂O₃ 105 nanocubic particles via hydrothermal route for 36 h at 423 K and investigated the facetdependent photoredox catalytic activity.⁴⁵ However, most of the aforementioned synthetic 106 107 routes tend to involve the use of organic surfactants, which means a much more complicated 108 process including complete template removal at elevated temperature is needed. Furthermore, 109 solvents used in either potentially hazardous or rather complicated protocol involved, and 110 some synthesis methods are often time-consuming (>24 h). It is therefore of anticipation to 111 further discover the growth of single crystalline α -Fe₂O₃ nanocubes and conveniently sort the 112 particle size and desired morphology through a facile, simple, economic, and straightforward 113 strategy in hydrothermal method.

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115 In the experiment reported herein, driven by the demand for one pot facile 116 hydrothermal synthesis of single crystalline α -Fe₂O₃ nanocubes with well-defined 117 morphology and scrutinizing its size- and morphology-dependent properties, we employed the chloride-based cation Fe^{3+} that was reacted with oleate functional group in the presence of 118 119 oleylamine (OAm). In the present work, it is noteworthy that: (i) Current synthetic method is 120 very simple and straightforward. The synthetic scheme involved only one-step process that 121 does not require high temperature or high pressure. (ii) It is particularly interesting to 122 highlight that only the reaction time was varied in the range of 4 h to 12 h at a constant 123 temperature, in contrast to other typical hydrothermal synthesis of 24 h or a few days. The 124 morphological evolution of the nanostructures and their corresponding growth processes were 125 able to be elucidated. Thereafter, detailed discussion based on the insightful analysis of the 126 shape and structure was also presented. (iii) We account on the shape- and size- selective 127 synthesis of single crystalline α -Fe₂O₃ nanocubes with good reproducibility without the use 128 additional capping agent or surfactant. Instead, we have used a mixture of solvents with OAm

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129 as one of the key elements to determine the final shape of single crystalline α -Fe₂O₃ 130 nanocubes.⁴⁶

131

132 Previously, many experimental and theoretical studies primarily focused on the size and morphology of the α -Fe₂O₃ nanostructures.⁴⁷⁻⁴⁹ Since α -Fe₂O₃ is a well-known visible 133 134 active for its various applications. Hence, one of the most important aims of this paper was to 135 present a study of the time-dependent morphological evolution of α -Fe₂O₃ and its 136 transformation from 0 D to 3 D (cubic shape). Finally, the high quality single crystalline α -137 Fe₂O₃ nanocubes are characterized by using various analytical tools to investigate the 138 structural- and optical-properties of α -Fe₂O₃ nanocubes in a much detailed manner. The as-139 obtained information is compared with that of commercial α -Fe₂O₃ nanoparticles to show the 140 novelty of the as-synthesized product. In addition, the possible transformation mechanism of the α -Fe₂O₃ through a "1D \rightarrow 3D" mode was also proposed in the following sections. The 141 142 detailed crystallographic-, structural- and optical- properties of the as-prepared α -Fe₂O₃ 143 nanocubes presented are of fundamental importance to comprehend the shape and growth of 144 single crystallinity of α -Fe₂O₃ nanocubes and thus provide direct correlation between the 145 shape and exposed facet-controlled properties to its application in future study.

146

147 **2.0 Experimental**

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All the chemicals used in the experiments were of analytical grade and no further purification is required. Potassium oleate ($C_{18}H_{33}KO_2$, Sigma Aldrich, ≥ 87 %), ferric chloride hexahydrate (FeCl₃.6H₂O, Merck), Oleylamine ($C_{18}H_{35}NH_2$, Sigma Aldrich, 70%) and absolute ethanol (C_2H_5OH , HmbG Chemicals) were obtained. In this study, all the chemical reactions were carried out in deionized water.

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155 156

2.1 Synthesis of a-Fe₂O₃ nanocubes

157 Hematite $(\alpha$ -Fe₂O₃) cubic nanocrystals were synthesized by using hydrothermal method. This 158 experimental method of synthesis was carried out at the different duration and the samples 159 were collected every 2 h. The remaining factors were kept constant while synthesizing the 160 nanocrystals. Typically, a mixture consisting of 3.33 mmol of potassium oleate was dissolved 161 in 5.34 mL ethanol with the addition of 1 mL oleylamine (OAm). Subsequently, the mixture 162 was transferred into a Teflon-lined stainless steel autoclave that has been pre-loaded with 163 10.67 mL deionized water and 1.11 mmol of FeCl₃.6H₂O aqueous solution. The resultant 164 reagents were stirred continuously at room temperature for 2 hours until a reddish solution 165 was formed. Then, the autoclave was sealed and hydrothermally treated at 180 °C for 166 different heating time (4 h, 6 h, 8 h, 10 h and 12 h). After the finite induction period, the 167 autoclave was let to cool down naturally. The resulting dark red precipitate was collected by 168 centrifugation and washed several times with ethanol. Finally, this synthesized material was 169 dried in the oven at the temperature of 55 °C for 24 h.

170

171 2.2 Characterizations

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The size, shape, morphology, chemical composition, structural features and optical properties of the nanocrystals were investigated by using different analytical characterization techniques. We systematically observe the time-dependent morphological transformation of α -Fe₂O₃ nanocrystal structure via TEM images and SAED patterns performed by JEOL JEM-2100F that was operated at 200 kV. In order to observe the yield of α -Fe₂O₃ nanocubes in the large quantity, field-emission scanning electron microscope (FESEM, Hitachi SU8000 with the operation voltage of 2 kV) was employed. Information related to the elemental mapping and

180 elemental composition was studied by using energy dispersive X-ray analysis (EDXA, 181 Oxford Instrument). The bulk-crystallinity and phase identification of the samples were 182 characterized by X-ray powder diffractometer (XRD, PANalytical EMPYREAN, 40 kV/35 183 mA with Cu K α irradiation at λ = 1.5406 Å). The scanning process covered angular range 184 from 10° to 80° with the scanning rate of 0.02° per minute. In addition, the study of phonon 185 vibration mode was conducted by means of Renishaw inVia Raman Microscope equipped 186 with Leica DMLM microscope (objective lens of 50x (UV)). 514 nm HeCd laser source was 187 subjected onto the samples for 30 s at 50 mW of laser power. The spectra were collected within the range of 150 to 800 cm⁻¹ by subjecting the samples to the beam source at room 188 189 temperature. The X-ray photoelectron spectroscopy (XPS) measurement was performed by 190 using synchrotron radiation from photoemission spectroscopy (PES) beamline no.3.2a at 191 Synchrotron Light Research Institute, Thailand in order to further study the chemical 192 elements and bonding of the single crystalline α -Fe₂O₃ nanocubes. The PES system is 193 employed with a Thermo VG Scientific CLAM2 electron spectrometer and functioned in the 194 conditions of maximum photon energy of 600 eV with the kinetic energy step of 1 eV for a 195 wide scan and 0.1 eV for a narrow scan. Optical absorption spectra were analyzed by the ultra 196 violet-near infrared spectrophotometer (UV-vis-NIR, Perkin Elmer Lamda 950). The 197 photoluminescence (PL) spectra were obtained by using Ar⁺ laser as the excitation source 198 operated at the wavelength of 325 nm.

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200 **3.0 Results and Discussion**

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To acquire insight into the details of morphological evolutionary growth event and the formation of single crystalline α -Fe₂O₃ nanocubes, we have performed a time-dependent observation of the crystals growth in FeCl₃ solution under the hydrothermal condition at 180 °C. We have withdrawn samples out of the reaction solution at various intervals (4 h, 6 h,

206 8 h, 10 h, and 12 h). By then, a series of TEM images of the as-prepared samples are 207 presented in Fig. 1a - e. Continuous formation towards the proper arrangement of α -Fe₂O₃ 208 nanocubes from 0-D quantum dot to 3-D cubic shape was observed throughout the heating process starting from 4th h until 8th h at a constant temperature of 180 °C. At 4th hour, it is 209 210 clear that a large number of quantum dots with particle size ranging from 2 to 5 nm were 211 synthesized (Fig. 1a). Many tiny spots with a clear contrast difference in each spherical 212 particle were acquired. A closer inspection of a selected particle reveals that the interplanar 213 distance of 2.05 Å is belong to (202) plane of α -Fe₂O₃ as depicted in Fig. 1f. The 214 corresponding selected area electron diffraction (SAED) rings pattern together with the 215 intensity profile (Fig. 1k) of the spherical α -Fe₂O₃ particle also reveals a set of diffraction 216 spots of (104), (110), (006), (024), (116) and (300) planes. As the SAED pattern can provide 217 the internal crystal information of the whole particle, it can thus be concluded that the 218 quantum dots are of α -Fe₂O₃ primary particles while no detectable amount of impurities has 219 yet been produced. After 6 h, these individual particles started to undergo coalescence and 220 spontaneously aggregate into a larger size of particle to form cluster. The corresponding d-221 spacing values of (012) planes obtained from different individual particles (Fig. 1g) reveals 222 that the cluster indeed is composed of α -Fe₂O₃ primary nanoparticles by attaching intimately 223 with each other. Thus, these interconnected particles can serve as building blocks for the 224 transformation of nanocrystals into various shape and size during the later development. 225 When the hydrothermal heating duration is further prolonged to 8 h, a distinctive cubic 226 crystal with the edge length of ~ 40 nm is obtained and presented by TEM microscopic in Fig. 227 1c. Each individual crystal appears to be a well-defined cubical shape and it is found to be 228 attached at the flat edges to its adjacent crystal. This preferential arrangement minimizes the 229 total surface energy as well as surface area of as-synthesis single crystal α -Fe₂O₃ nanocubes.^{50,51} The HRTEM image (Fig. 1h) and SAED pattern (Fig. 1m) of the selected 230 231 region, respectively confirms the presence of α -Fe₂O₃ It is noteworthy that the diffraction

232 pattern of this sample displays many individual spots indicating the as-synthesized nanocubes 233 are of highly crystalline α -Fe₂O₃ Intriguingly, with the increase of reaction time up to 10 h, 234 the cubical shape of α -Fe₂O₃ is no longer observable and a lot of particles with the size 235 ranging from 7 - 15 nm are started to form and the irregularity of structure can be seen in Fig. 236 1d. HRTEM image (Fig. 1i) and SAED pattern (Fig. 1n) display the mixture of different 237 phases can be found in this sample. The calculated d-spacing values of 4.78 Å and 3.78 Å can 238 be ascribed to (111) and (210) planes of maghemite (γ - Fe₂O₃). The further increment of 239 reaction time (up to 12 h) causes the particle to undergo dissolution whereby the bigger 240 crystals begin to deform and smaller size of nanocrystals with irregular shape are seen such 241 as in Fig. 1e. Similarly, we found there is a presence of γ - Fe₂O₃ phase traceable through the 242 d-spacing calculation of the selected particle in Fig. 1j and the indexed SAED pattern (Fig. 243 10). The above results indicate that the morphology of α -Fe₂O₃ nanocrystals can easily be 244 tuned and the nanocubic crystal structure is obtainable by simply adjusting the reaction time 245 at fixed temperature in this facile one-pot procedure.

246

247 The composition and phase purity of the as-prepared samples were further elucidated 248 by powder XRD technique. Fig. 2 shows the evolution of iron oxide phase and crystallinity 249 changes by analyzing the α -Fe₂O₃ nanostructures that were hydrothermally treated from 4 h 250 to 12 h (Fig. 2a – 2e). Crystalline α -Fe₂O₃ rhombohedral structures were observed at the early 251 stage of the hydrothermal heating process of 4 h to 8 h. (JCPDS: 33-0664). It can be seen that 252 all of the peaks can be well indexed as rhombohedral α -Fe₂O₃ (a = 5.035 Å and c = 13.747 Å) 253 with JCPDS: 33-0664. Distinctively, the α -Fe₂O₃ nanocubes produced at 8 h show the 254 strongest diffraction peaks at ~24.11°, 33.16° and 35.62° indicate excellent crystallinity of α-255 Fe_2O_3 phase produced in nanocubes. In particular, we have performed a crystallographic 256 calculation and the formula is given in Equation 1 as below. The lattice constants obtained

(1) e constant, and (*hkl*) tion is increased to nges from α -Fe₂O₃ $1 \sim 57.19^{\circ}$ (marked e₂O₃. These results 1d - 1e, which the

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257 mainly for α -Fe₂O₃ nanocubes are a = 5.0240 Å and c = 13.7180 Å, which agrees well with 258 the rhombohedral α -Fe₂O₃.⁵² 259

260
$$\frac{1}{d^2} = \frac{4}{3} \frac{(h^2 + hk + k^2)}{a^2} + \frac{l^2}{c^2}$$
(1)

261

where *d* is the *d*-spacing of hexagonal crystal structure, a and c is the lattice constant, and (*hkl*) is the crystal plane of (012) and (104). Furthermore, if the reaction duration is increased to 10 h and 12 h (Fig. 2d and 2e, respectively), the phase of the products changes from α -Fe₂O₃ to γ -Fe₂O₃, which evidenced from the appearance of peaks at ~30.24° and ~ 57.19° (marked with grey dotted lines) and are in consistent with JCPDS: 39-1346 of γ -Fe₂O₃. These results are also in agreement with the TEM microscopic images shown in Fig. 1d – 1e, which the shape of the product turns irregular (at 10 h and 12 h).

269

270 The possible formation mechanism of the as-prepared α -Fe₂O₃ nanocubic and its 271 morphological evolution was tentatively proposed, as shown in Fig. 3. Initially, FeCl₃ 272 precursor in the reactant mixture was first hydrolyzed in the presence of water and undergoes dissolution with ethanol, producing a large number of Fe^{3+} ions (Fig. 3a). During the first 4 h. 273 274 these ions nucleate into primary α -Fe₂O₃ quantum dots (Fig. 3b) attributed to the dissolution-275 recrystallization process and continuous growth via Ostwald ripening. The crystal growth is 276 caused by effective coarsening at which the nucleation of particle has taken place. The chemical reactions involved in this stage can be referred as follows:⁵³ 277

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- 279

280
$$\operatorname{Fe}^{3+} + 3\operatorname{H}_2\operatorname{O} \leftrightarrow \operatorname{Fe}(\operatorname{OH})_3 + 3\operatorname{H}^+$$
 (1)

$$281 \qquad \qquad 2Fe(OH)_3 \leftrightarrow Fe_2O_3 + 3H_2O \tag{2}$$

282

283 The OH⁻ anions serve as bridging agent facilitates the formation of the Fe-O-Fe skeleton. 284 These tiny 0-D α -Fe₂O₃ quantum dots could be temporarily stabilized by oleate ions and forming coordination complexes with olevlamine (OAm). As time goes on (6th h), the OAm 285 coordinated α -Fe₂O₃ quantum dots will subsequently grow at the expense of Fe³⁺ ions from 286 287 the solution and aggregation is thus promoted. The non-aggregated quantum dots, on the 288 other hand, will grow independently of each other, slowly consuming the smaller α -Fe₂O₃ 289 particles. With the increase of reaction time, the size of aggregated particles will become a 290 cluster (Fig. 3c). During this stage, it is believed that the α -Fe₂O₃ nanocrystals collided with 291 each other and attached together by sharing a common crystallographic orientation in the initial product. This so-called topotaxial replacement⁵⁴ is the key transformation of α -Fe₂O₃ 292 293 clusters into nanocuboid shape through localized conversion of single crystal into one or 294 more nanocrystals with definite axes of symmetry. It is noteworthy that during the occurrence 295 of this event, the fusion of α -Fe₂O₃ nanocrystal into clusters may be rationalized by lowering 296 the surface energy along the [001] direction and thus promote the growth along the (001)297 plane. The following growth process from 6 h to 8 h is indeed quite interesting. Despite the 298 growth of crystals into nanocubic system is a complicated process, for the sake of 299 understanding the growth mechanism of nanocube, we have performed sample withdrawal 300 taken from the oven at every two hours for postreaction characterizations via TEM technique. 301 In the growth of nanocrystals, different facets grow at different rates. It is believed that the 302 high-energy facets grow to a greater extent than the low- energy facets causing the 303 nanocrystals terminated with low-energy facets. One can say that the final crystal shape is strongly dependent by the slow-growing facets and the shapes of the energy minima.⁵⁵⁻⁵⁷ In 304 305 the present study, OAm and potassium oleate were used as the capping agent to inhibit the 306 formation of Fe-O-Fe bonds owing to the strong covalent interaction between the oleate anions and positively charged Fe^{3+} centers with some atomic configuration happen on the 307 308 nanocrystal surfaces. In our case, crystal growth takes place along {102}, {112} and {012}

309 surfaces and its equivalent direction. This means that the OAm moiety controls growth on 310 these three facets (Fig. 3d). At this hour, the $\{012\}$ facet and its equivalent surface grew to 311 get exposed. As the duration of reaction further prolonged to 10 h, the nanocrystals of 312 irregular shape started to form due a greater number of high energy surfaces were being 313 exposed (Fig. 3e). As a result, there is a competition of crystal grows from different facets at 314 the different rate causing this irregularity. We believed that some OAm by now are 315 selectively bonded to certain crystal facet leaving the exposed facets continuous to grow. On 316 the basis of the results shown in Fig 1i - 1j, it is also important to note that starting from 10 h 317 to 12 h (Fig. 3e - 3f), one can observe the presence of γ -Fe₂O₃ in the samples indicating there 318 is also phase transformation event has taken place. The transformation from α -Fe₂O₃ to γ -319 Fe_2O_3 has been well-studied and is governed by the nature of precursor concentration, pH, 320 temperature, and other synthesis conditions. Phase transformation from α -Fe₂O₃ to γ -Fe₂O₃ is 321 favoured when α -Fe₂O₃ reaches a critical size at which the combined bulk particles and surface Gibb free energy of the γ -Fe₂O₃ is greater than that of α -Fe₂O₃ particles.⁶¹ 322

323

324 In order to clarify the construction of α -Fe₂O₃ nanocube, the nanocrystals obtained 8 h 325 of the reaction process was collected and characterized in detail by electron microscopy. The 326 representative FESEM image that was projected from the top view in order to obtain the 327 three-dimensional nature of the α -Fe₂O₃ crystals is shown in Fig. 4a. Inset of Fig. 4a depicts only a single crystalline α -Fe₂O₃ nanocube with the edge length of ~ 40 nm. Besides, Fig 4b-328 329 4c represent the TEM images of the α -Fe₂O₃ nanocubes demonstrate that the products are all 330 in cubic shape with no other morphology can be seen. The typical nanocubes of uniform size 331 are seen throughout the specimen indicates high monodispersity. Each nanocube has a 332 distinct flat-edge with average edge-length of 40 nm and the surfaces that are viewed 333 perpendicular to the direction of incident electron beam appear to be faceted. The statistical 334 edge length distribution is illustrated in Fig. 4b. The tendency for the formation of well-

335 faceted nanostructures that are equilibrium in shapes is attributed to the surface energy minimization.⁵⁷ The HRTEM image shown in Fig. 4d with the lattice spacing is measured to 336 be 0.37 nm, corresponds to (012) plane. It could be seen that these nanocubes have interfacial 337 338 dihedral angle of 86°. Fig. 4e shows a fast Fourier transformation (FFT) pattern, which is 339 obtained from the region in Fig. 4d. By examining Fig. 4e, we can also observe from the FFT that the diffraction spots are attributed to (006), (112), (202) and (012) lattice spots, along 340 the $[42_1^-]$ zone axis which is representative of the area marked with yellow square.⁴⁵ The 341 342 analysis reveals the single crystalline nature of the α -Fe₂O₃ nanocrystals, having the shape of 343 nanocuboids. Based on the above analysis, the 3D geometrical structure of the nanocube is drawn in Fig. 4f. The nanocube is enclosed by two {102}, two {012}, and two $\{1, 1, 2\}$ 344 345 exposed facets.

346

347 The SEM coupled with EDXA technique was employed to highlight the different 348 chemical element present in the synthesized powder of α -Fe₂O₃ nanocubic structures and the 349 results are depicted in Fig. 4g - 4j. The weight % and atomic % of constituents of the sample, 350 respectively is shown in the table enclosed in EDXA spectrum. The presence of Fe and O 351 components in EDXA confirms the formation of desired material. EDX analysis has also 352 shown the presence of C and Cu peaks in addition to Fe and O peaks. The existence of C 353 peak at ~ 0.2 keV in EDX spectrum is primarily due to the carbon tape that was used for 354 holding the sample during the SEM and EDXA measurements. The average atomic % 355 formation of Fe and O in α -Fe₂O₃ nanocubic structures is 38.08 % and 61.92 %, respectively. 356 This result confirms that the O/Fe atomic % ratio of the α -Fe₂O₃ nanocubes analyzed is 357 calculated to be 1.63, which is relatively consistent with the theoretical O/Fe atomic ratio of 358 1.50 for the α -Fe₂O₃. On the other hand, the elemental mapping images clearly depict that the 359 distribution for both Fe and O is highly uniform throughout the sample.

360 In addition to FESEM and TEM analyses, Raman spectroscopy has been performed to 361 give further insight into the quality and crystal phase of α -Fe₂O₃ nanocubes. It is an 362 established, simple and most direct tool to distinguish the iron oxide polymorphs, which consist of α -Fe₂O₃, β -Fe₂O₃, γ -Fe₂O₃ and ϵ -Fe₂O₃; as well as other impurities.⁶⁴⁻⁶⁶ A 363 364 representative Raman spectrum of the as-synthesized single crystalline α -Fe₂O₃ nanocubes 365 and commercial α -Fe₂O₃ is presented in Fig. 5. There are no peaks associated with any 366 impurities can be observed. By adopting the spectral signature band of typical α -Fe₂O₃ in 367 Table 1 to the present study, it is perceptible that the value of Raman peaks obtained for both 368 commercial and single crystal α -Fe₂O₃ nanocubes are shifted towards lower wavenumbers. 369 However, on the whole, one can see that the spectrum is well-correlated with commercial α -370 Fe₂O₃ nanoparticles reference spectrum. Typical α -Fe₂O₃ signature bands can be observed in the region of 150 to 800 cm⁻¹. Typically, there are two classes of Raman-active vibrational 371 modes (A_{1g} modes and E_{g} modes) can be observed at 200 to 700 cm⁻¹, which attributed to the 372 appearance of the major α -Fe₂O₃ characteristic within these bands.⁶⁷ The values of the 373 374 spectral signature peaks that deem to be characteristic for typical α -Fe₂O₃ are presented in 375 Table 1. Indications of the apparent spectra are as follows: Due the fact that Fe atoms are much heavier than the O atoms, peak lines between 200 and 300 cm⁻¹ are of Fe atom 376 vibrations. Meanwhile, bands between 350 to 600 cm⁻¹ is due to the O atom vibrations. 377 Further elucidations describe that A_{1g} band at 212 cm⁻¹ is assigned to the movements of iron 378 cations along c-axis while Eg mode at 384 cm⁻¹ is assigned to be the symmetric breathing 379 380 mode of the O atoms relative to each cation in the plane perpendicular to the crystallographic c-axis.⁶⁸ The red shift of the Raman lines for both commercial and single crystal α -Fe₂O₃ 381 nanocubes was reported to be due to heating during the Raman scanning process.⁶⁹ Since the 382 383 laser source of 514 nm was used, higher laser energy that highly focused on the surface of the 384 samples could induce an increase in temperature. The high activation energy projected to the

- 385 surface of the particles could cause dehydration that consequently increases the interfacial
- energy of commercial and single crystalline α -Fe₂O₃ nanocubes.

UV-vis spectrophotometry was used to study the optical absorption properties of single crystal α -Fe₂O₃ nanocubes at room temperature. Fig. 7a shows absorption spectrum of single crystal α -Fe₂O₃ nanocubes within near UV and visible region from 250 – 1100 nm. Noticeably, three peaks have been identified, which fall within the range of far UV (250 - 300 nm) and visible region (425 - 500 nm and 520 - 650 nm). In order to explain both of these absorption phenomena, a molecular orbital theory called ligand field model that describes the bonding, orbital arrangement and other characteristics of coordination complex will be employed.⁷² In general, the band assignments of these absorption spectra can be made by using this ligand field theory. According to Sherman et al. (1985), it is well-established that

411 there are three types of electronic transition inclusive of Fe^{3+} ligand field or the *d-d* 412 transitions, pair excitation or double exciton processes and ligand to metal charge transitions 413 (LMCT).⁷³ In general, the ligand field transition parameter is the orbital energy separation 414 between two sets of Fe (*3d*) orbitals or the crystal field splitting.

415

416 As shown in Fig.7b, the region includes 250-300 nm can mainly be assigned to 417 LMCT since the energy of the transition during the photoexcitation process is greater than 4.59 eV (λ = 270nm). At this transition energy, charge transfer may occur from the non-418 419 bonding ligand molecular orbitals (O2p) to the antibonding partially filled metal d-orbitals (Fe3d).⁷⁴ On the other hand, the second absorption region (Fig. 7c) that lies in the range of 420 425-500 nm can be ascribed to ligand field transitions of Fe^{3+} , which can be assigned to ${}^{6}A_{1}$ 421 $\rightarrow {}^{4}E_{1}, {}^{4}A_{1} ({}^{4}G).^{73}$ The transition of the ground state (${}^{6}A_{1}$) within the crystal structure can 422 take place with only two conditions. Firstly, the Fe³⁺ ions species must present. Secondly, 423 424 there must be formation of binuclear inorganic complexes through the magnetic coupling of electronic spins of next-nearest neighbour Fe³⁺ cations. With respect to the region between 425 426 520-650 nm, the transitions are caused by 'double exciton processes' that involves strongly coupled Fe³⁺ cations rather than single Fe³⁺ cation as stated by spectroscopic selection rule.⁷⁵ 427 The excitations of Fe^{3+} - Fe^{3+} pairs are attributed to sum of two single ion Fe^{3+} ligand field 428 transitions that can be assigned to ${}^{6}A_{1} + {}^{6}A_{1} \rightarrow {}^{4}T_{1} ({}^{4}G) + {}^{4}T_{1} ({}^{4}G)$.⁷³ Regarding to the trend 429 of the absorbance characteristics, the 'double exciton processes' has trigger the progressively-430 431 steep onset absorption from the wavelength of 770 nm and resulting in a strongest absorption in the region of 520 - 650 nm. The peak (571 nm) within this region is highest among three of 432 433 the interband transition that being observed. Such steep absorption edge in the visible range 434 of 580-770 nm is responsible to the reddish colour for the as-synthesized single crystal α -Fe₂O₃ nanocubes (inset of Fig 7a), which is well-complement with literature reports.⁷³⁻⁷⁶ The 435 436 determination of bandgap for α -Fe₂O₃ nanocubes from spectroscopic absorbance

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437 measurements $(\alpha hv)^{1/2}$ is plotted against the photon energy is represented in Fig. 7d. 438 Typically, the bandgap energy was calculated from the absorbance (αhv) data of α -Fe₂O₃ 439 recorded in the wavelength range of 250 – 1100 nm using Tauc relation;

440

441
$$\alpha h v = A_0 (h v - E_g)^n$$
 (2)

442 where,
$$A_0 = \left[\frac{e^2}{nch^2 m_e^*}\right] (2m_r)^{3/2}$$
 (3)

443

Here, α is the measured absorption coefficient (cm⁻¹) near the absorption edge, A₀ is a 444 445 constant, hv is photon energy (eV), Eg is optical band (eV), n is a constant. The value of n is 446 determined from the nature of optical transition $n = \frac{1}{2}$ or 2 for direct allowed and indirect allowed transition, respectively. m_e^* and m_r are the effective and reduced masses of charge 447 448 carriers, respectively. The indirect bandgap energy was determined by extra-plotting the 449 linear fit to the experimental data to zero absorbance. Hematite has an indirect bandgap and thus we have determined its indirect bandgap energies by plotting $(\alpha h v)^{1/2}$ versus photon 450 energy for α -Fe₂O₃, respectively. The size of the bandgap is calculated to be ~2.1 eV, which 451 is correlated to the literature reported value.⁷⁷⁻⁷⁸ Fig. 7e explains the indirect transition in the 452 453 parabolic nature of the bands close to the band edges of α -Fe₂O₃ pictorially. Briefly, the 454 incoming photons (visible light) with energy in excess of the bandgap are readily absorbed, 455 as they are likely to have enough energy to excite electrons from the band edges. For an 456 allowed indirect bandgap semiconductor, the valence band energy peak and conduction band 457 energy minimum occur at two different momentums. Thus, the electrons must undergo a 458 change in momentum in addition to photon absorption in order to be fully excited into the 459 conduction band and subsequently fall back to valence band via dissipation of energy in the 460 form of photon.

461 Fig. 8 depicts the photoluminescence (PL) spectra of the nanocubes and commercial 462 α -Fe₂O₃ nanoparticles as standard reference for comparison. Intriguingly, the nanocubes only 463 exhibit single photoemission peak centered at around 590 nm, whereas the commercial 464 sample displays 6 peaks (434, 468, 591, 722, 844 and 892 nm). Basically, for the small α -465 Fe₂O₃ nanoparticles with dimension less than 20 nm only the PL phenomenon is observable due to quantum confinement effect.⁷⁹ In contrast, bulk α -Fe₂O₃ with dimension greater than 466 70 nm does not exhibit prominent PL since the optical transition is forbidden.⁸⁰ In current 467 468 study, the nanocubes appear to have dimension of 40 nm that lies between small and bulk 469 size, where the effect of size still need to be considered. According to Fu et al. (2004), the 470 sub-nanometer geometry of our nanocubes also possibly increases the Fe-O bonding 471 separation, resulting in enhancement of the magnetic coupling of the neighbouring Fe^{3+} , which is responsible for the PL of α -Fe₂O₃ nanoparticle.⁸¹ In the other way, the nanocubes 472 473 with dimension that is greater than that of smaller nanoparticles have given smaller Racah parameters which weaken the neighboring covalency than those of smaller particles.⁸² This 474 475 finally led to the red shift of emission band. The single broad PL peak emitted by as-476 synthesized nanocubes suggested that the sample has single crystal properties, which has no 477 grain boundaries compared to commercial α -Fe₂O₃ nanostructures.

478

479 **4.0 Conclusion**

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The single crystal nanometer-sized rhombohedral α -Fe₂O₃ cubic particles were successfully synthesized by a facile one-pot hydrothermal method at 180 °C for several hours to study the morphological, structural and optical properties of as-synthesized sample are thoroughly. By varying the hydrothermal heating duration from 4 h to 12 h, it is concluded that the 8 h hydrothermal heating duration is the most optimum conditions for the formation of high quality single crystal α -Fe₂O₃ cubic structure. For the 8th hour of hydrothermal treatment, the

487 as-prepared α -Fe₂O₃ comprises of numerous cubes with average edge length of 40 nm and 488 shows characteristic of single crystal structure. The cubic formation was interpreted along the diagonal $\begin{bmatrix} 42\\1 \end{bmatrix}$ zone axis. The cubes were enclosed by $\{012\}$ facet of (012), (112) and (102)489 planes with the angle of 86°. The existence of Fe³⁺-oxo complexes in single crystal α -Fe₂O₃ 490 491 nanocubes as was observed from Raman spectroscopy, which was not present in the 492 commercial α -Fe₂O₃. The PL emission of single crystal α -Fe₂O₃ nanocubes confirms the 493 single crystal characteristics with no grain boundaries. PL emission spectrum also supports the fact that cubic single crystal α -Fe₂O₃ contains Fe³⁺ ions and binuclear inorganic 494 495 complexes which allowed the optical indirect transitions to occur with the existence of magnetic coupling of electronic spins of next-nearest neighbour Fe³⁺ cations in the crystal 496 497 structure. The transition is thus supported by UV-vis spectrophotometry.

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Figure Captions

- Fig. 1 Time-dependent morphological evolution growth of α-Fe₂O₃ nanocubes observed from 4th h to 12th h. (a)-(e) Low magnification view of α-Fe₂O₃ nanocrystals evolution, (f)-(j) HRTEM images of each corresponding hourly growth nanocrystals selected in (a)-(e), and (k)-(o) indexed selected area electron diffraction (SAED) patterns of each TEM view obtained from (a)-(e) together with their respective intensity profile.
- Fig. 2 XRD patterns of as-synthesized α -Fe₂O₃ nanocrystal prepared at 180°C for (a) 4 h, (b) 6 h, (c) 8 h, (d) 10 h, (e) 12 h
- **Fig. 3** Growth mechanism of α-Fe₂O₃ nanocubes and time-dependent shape evolutionary process under hydrothermal condition at 180 °C from (a-e) 4 h 12 h.
- **Fig. 4** (a) FESEM image low magnification (x100k) with nanocubic edge length histogram calculated for 142 nanocubes. Inset shows an enlarged FESEM image obtained with magnification (x800k), (b) bright field TEM image of self-assembled α -Fe₂O₃ nanocubes, (c) Single crystalline α -Fe₂O₃ nanocubes, (d) HRTEM image of selected region (yellow box marked in (c)) and the measured interplanar distance of d₀₁₂ = 0.37 m with planar angle of 86° suggests rhombohedral unit cell of as-prepared α -Fe₂O₃ nanocube, (e) FFT diffraction spot generated from image (d) with zone axis [42 $\frac{1}{1}$], (f) geometrical model of a single crystalline

 α -Fe₂O₃ nanocrystal with exposed facets, this nanocrystal has the shape of nanocuboid. (g) EDX spectrum, (h) Fe map, (i) O map and (j) Fe and O overlapped mixture mapping.

- Fig. 5 Raman spectra of (a) single crystal α -Fe₂O₃ nanocubes and (b) commercial α -Fe₂O₃ nanoparticles as standard reference.
- Fig. 6 (a) Wide scan XPS of the as-synthesized single crystalline α -Fe₂O₃ nanocubes, (b and c) high resolution XPS in the Fe3p (middle panel) and O1s (bottom panel) regions. The Fe3p and O1s regions obtained were peak-fit using Gaussian-Lorentzian components. Experimental data acquired is shown with red circle dotted markers and the red solid line represents the total calculated fit.
- Fig. 7 (a) UV-visible absorption spectrum of single crystalline α -Fe₂O₃ nanocubes. Inset shows the α -Fe₂O₃ nanocubes dispersed in ethanol, narrow range spectrum that covers (b) 250 300 nm, (c) 300 800 nm, (d) Plots $(\alpha h v)^{1/2}$ versus optical energy obtained due to indirect transition shows the bandgap energy of ~ 2.1 eV and (e) Indirect bandgap transition of electrons upon absorption of photon energy in the visible region.

Fig. 8 Photoluminescence (PL) spectra of (a) single crystal α -Fe₂O₃ nanocubes, and (b) commercial α -Fe₂O₃ nanoparticles as standard reference.



Fig. 1 Time-dependent morphological evolution growth of α -Fe₂O₃ nanocubes observed from 4th h to 12th h. (a)-(e) Low magnification view of α -Fe₂O₃ nanocrystals evolution, (f)-(j) HRTEM images of each corresponding hourly growth nanocrystals selected in (a)-(e), and (k)-(o) indexed selected area electron diffraction (SAED) patterns of each TEM view obtained from (a)-(e) together with their respective intensity profile.



Fig. 2 XRD patterns of as-synthesized α -Fe₂O₃ nanocrystal prepared at 180°C for (a) 4 h, (b) 6 h, (c) 8 h, (d) 10 h, (e) 12 h.



Fig. 3 Growth mechanism of α -Fe₂O₃ nanocubes and time-dependent shape evolutionary process under hydrothermal condition at 180 °C from (a) nucleation of Fe³⁺ precursor and (b-f) 4 h - 12 h.



Fig. 4 (a) FESEM image low magnification (x100k) with nanocubic edge length histogram calculated for 142 nanocubes. Inset shows an enlarged FESEM image obtained with magnification (x800k), (b) bright field TEM image of self-assembled α -Fe₂O₃ nanocubes, (c) Single crystalline α -Fe₂O₃ nanocubes, (d) HRTEM image of selected region (yellow box marked in (c)) and the measured interplanar distance of d₀₁₂ = 0.37 m with planar angle of 86° suggests rhombohedral unit cell of as-

prepared α -Fe₂O₃ nanocube, (e) FFT diffraction spot generated from image (d) with zone axis [42 $\frac{1}{1}$],

(f) geometrical model of a single crystalline α -Fe₂O₃ nanocrystal with exposed facets, this nanocrystal has the shape of nanocuboid. (g) EDX spectrum, (h) Fe map, (i) O map and (j) Fe and O overlapped mixture mapping.



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Fig. 8 Photoluminescence (PL) spectra of (a) single crystal α -Fe₂O₃ nanocubes, and (b) commercial α -Fe₂O₃ nanoparticles as reference.

Caption for table

Table 1 Band positions, corresponding intensities and assignments in the Raman spectra of typical hematite, commercial α -Fe₂O₃, and as-synthesized single crystal α -Fe₂O₃ nanocubes.

Typical Raman shift (cm ⁻¹)	Raman shift of commercial α -Fe ₂ O ₃ (cm ⁻¹)	Raman shift of as synthesized α -Fe ₂ O ₃ (cm ⁻¹)	Assignment
225	195	212	A _{1g}
247	274	274	Eg
412	388	384	Eg
498	486	472	A _{1g}
613	591	589	Eg
824	804	800	hematite

Table 1