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# **ARTICLE TYPE**

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### **KMnF3: Yb3+, Er3+ @ KMnF3: Yb3+ active-core-active-shell nanoparticles with enhanced red up-conversion fluorescence for polymer-based waveguide amplifiers operating at 650nm**

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<sup>10</sup> We demonstrated optical amplification at 650 nm in KMnF<sub>3</sub>:  $Yb^{3+}$ ,  $Er^{3+}$  @ KMnF<sub>3</sub>:  $Yb^{3+}$  active-coreactive-shell nanoparticles (NPs) doped polymer waveguides pumped by a 976 nm laser diode for the first time. KMnF<sub>3</sub>:  $Yb^{3+}$ , Er<sup>3+</sup> NPs were synthesized via a solvothermal method. With the excitation of a 976 nm laser diode, bright red upconversion (UC) fluorescence was observed from  $KMnF_3$ :  $Yb^{3+}$ ,  $Er^{3+}NPs$ owing to the existence of efficient energy transfer between  $Er^{3+}$  and  $Mn^{2+}$ :  ${}^{2}H_{11/2}$ ,  ${}^{4}S_{3/2}+{}^{6}A_{1} \rightarrow {}^{4}I_{15/2}+{}^{4}T_{1}$ ,  $^{15}$ <sup>2</sup>H<sub>9/2</sub> +<sup>6</sup>A<sub>1</sub>→<sup>4</sup>I<sub>13/2</sub>+<sup>4</sup>T<sub>1</sub> and <sup>4</sup>I<sub>15/2</sub> +<sup>4</sup>T<sub>1</sub>→<sup>4</sup>F<sub>9/2</sub>+<sup>6</sup>A<sub>1</sub>. The red UC emissions originated from the <sup>4</sup>F<sub>9/2</sub>→<sup>4</sup>I<sub>15/2</sub>

- transition of  $Er^{3+}$ . Furthermore, the red UC emissions of KMnF<sub>3</sub>: 18 mol% Yb<sup>3+</sup>, 1 mol%  $Er^{3+}$  @ KMnF<sub>3</sub>: 2 mol%  $Yb^{3+}$  NPs were enhanced by 7.5 times compared to that of KMnF<sub>3</sub>: 18 mol%  $Yb^{3+}$ , 1 mol%  $Er^{3+}$ core-only NPs after coating an active shell containing  $Yb^{3+}$  ions on the core-only NPs. The above results showed that the active-shell could be used to not only suppress surface quenching but also transfer the
- $_{20}$  pump light to the core region efficiently through Yb<sup>3+</sup> ions inside the active-shell. By using KMnF<sub>3</sub>: 18 mol%  $Yb^{3+}$ , 1 mol%  $Er^{3+}$  @ KMnF<sub>3</sub>: 2 mol%  $Yb^{3+}$  NPs as the gain medium and doping NPs into a polymer waveguide, we constructed polymer-based waveguide amplifiers. For an input signal power of 7.4 mW and a pump power of 45.2 mW, a relative optical gain of  $\sim$  3.5 dB was obtained at 650 nm in a 17 mm-long waveguide.

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### **1 Introduction**

Since lanthanide-doped upconversion (UC) nanoparticles (NPs) can be used to convert long wavelength light to short wavelength light via the sequential absorption of two or more photons 30 through intermediate energy levels, UCNPs are applied in a number of fields, such as solar battery,<sup>1</sup> photo-catalysis,<sup>2</sup> three-

- dimensional displays,<sup>3</sup> and biomedicine<sup>4-6</sup> (e.g., bioimaging biolabeling, and photodynamic therapy). Recently, many efforts have been devoted to improving the performance of UCNPs
- 35 because of their low luminescence efficiency caused by the surface quenching effect. One practical strategy is using coreshell architectures, where inert shells or active shells with similar lattice constants are grown around the core NPs and can prevent the surface defect from interaction with lanthanide ions.<sup>7-16</sup>
- <sup>40</sup>Despite recent progress in this field, one of the optical properties of UCNPs previously a little considered but now recognized as potentially useful is the optical gain. Optical gain is a measure of the ability of an optical amplifier to increase the power or amplitude of an optical signal from the input to the output by
- <sup>45</sup>adding energy converted from some power supply to the signal. By dispersing UCNPs in an optical fiber or waveguide, optical

fiber or waveguide amplifiers can be constructed and used in various fields, such as telecommunications, sensing, and optical instrumentation.17,18 Since UCNPs with strong UC fluorescence <sup>50</sup>can be synthesized by flexible design of composition, phase structure,<sup>19-21</sup> it is very important to explore the potential of UCNPs for constructing optical amplifiers operating at short wavelengths. The potential application of visible optical amplifiers is to overcome the loss in automobile optical local area  $55$  networks (LANs).<sup>22</sup> In recent years, to meet the requirements of high-speed information transmission in the aspects of automobile technology, automobile optical LANs have been developed rapidly. The combination of plastic optical fibers and visible light-emitting diode played an important role in the field of <sup>60</sup>automobile optical LANs owing to its low-loss in the visible light region and low cost of plastic optical fibers.<sup>23</sup> Optical amplification is still necessary when the optical signal is distributed, although automobile optical LAN is a short-distance optical communication system.

<sup>65</sup>In recent years, since polymethyl methacrylate (PMMA) polymers have a low loss in visible wavelength region and are relatively cheap, there is an increased interest in constructing PMMA polymer optical amplifiers.<sup>24</sup> For example, a signal gain of  $\sim$ 5.7 dB at 613 nm was obtained for a 30 cm long device using

[Eu(DBM)<sub>3</sub>(Phen)]-doped PMMA polymer fiber.<sup>25</sup> However, in those works, ultra-violet light was commonly used as pumping source,<sup>25-27</sup> if the waveguide amplifiers were exposed under ultraviolet light for a long time, the organic matrix would be damaged.

- <sup>5</sup>It was an efficient way to resolve the above problem by using UCNPs doped polymer waveguide amplifiers. The UCNPs can absorb near infrared (NIR) light and emit visible light. The NIR light causes little damage to the devices. To our best knowledge, visible optical waveguide amplifiers based on UCNPs have not 10 yet been reported.
- In this paper, we reported optical amplification at 650 nm in a polymer waveguide amplifier based on KMnF<sub>3</sub>:  $Yb^{3+}$ ,  $Er^{3+}$  @  $KMnF_3$ :  $Yb^{3+}$  active-core-active-shell NPs for the first time. A relative optical gain of  $\sim$  3.5 dB was obtained at 650 nm in a 17 15 mm-long waveguide pumped by a 976 nm laser diode (LD) with
- a power of 45.2 mW.

### **2 Materials and Methods**

### **2.1 Materials and general procedures**

99.99% YbCl<sub>3</sub>•6H<sub>2</sub>O and 99.9% ErCl<sub>3</sub>•6H<sub>2</sub>O were obtained from <sup>20</sup>Beijing Chemicals Reagents. Oleic acid (OA) was purchased from Alfa Aesar Company. MnCl<sub>2</sub>•4H<sub>2</sub>O (98%), KOH (98%), KF (99%), Methylmethacrylate (MMA), and ethanol were bought from Beijing Fine Chemical Company. All other reagent were used without further operation.

### **2.2 Synthesis of KMnF<sup>3</sup> : 18 mol% Yb3+, 1 mol% Er3+** <sup>25</sup>**Core Nanocrystals**

5 ml of deionized water and 12 mmol KOH were added into a 50 mL beaker containing 10 ml OA and 5 ml ethanol under stirring at room temperature (RT).  $MnCl<sub>2</sub>$  (0.324 mmol), YbCl<sub>3</sub> (0.072

30 mmol) and ErCl<sub>3</sub> (0.004 mmol) and KF (3.5 mmol) were added into 50 mL beaker under stirring for 0.5 hour. Finally, the solution was heated to 200 °C and kept for 1 h. The production was cooled to RT naturally. These NPs were acted as cores for subsequent shell coating (shown in **Fig. S1**).28,29

### **2.3 Synthesis of KMnF<sup>3</sup> : 18 mol% Yb3+, 1 mol% Er3+** <sup>35</sup>**@ KMnF<sup>3</sup> : 2 mol% Yb3+ Core−Shell Nanoparticle**

5 ml of deionized water and KOH (12 mmol) were added to a 50 mL beaker containing 10 ml OA and 5 ml ethanol under stirring

at RT.  $MnCl<sub>2</sub>(0.392 mmol)$  and  $YbCl<sub>3</sub>(0.008 mmol)$  were added <sup>40</sup>into 50 mL beaker under stirring for 0.5 hour. Then the core-only NPs was added to the system under stirring for 0.5 hour. Later, KF (3.5 mmol) was added to the system under stirring for 0.5 hour. Finally, the solution was heated to 200 °C and kept for 12 h. The solution was cooled to RT naturally. The core-shell NPs was <sup>45</sup>washed with ethanol and cyclohexane via centrifugalization (shown in **Fig.** S2).<sup>30,31</sup>

### **2.4 Characterization**

The crystalline phase of these samples was performed by X-ray powder diffraction (XRD) (Model Rigaku Ru-200b), using a 50 nickel-filtered Cu-K α radiation in the scope of  $10^{\circ} \le 2\theta \le 70^{\circ}$  (λ  $= 1.5406$  Å). The morphology of these samples was analyzed by a JEM-2100F electron microscope and scanning electron microscope. FT-IR (Fourier Transform infrared spectroscopy) spectra were measured with a BioRad Fourier transform infrared <sup>55</sup>spectrometer, using the KBr method. The fluorescence spectrum were obtained by a Hitachi F-4500 fluorescence spectrophotometer, using a 976 nm laser device as the excitation source at Room Temperature (RT). The lifetime of these samples was measured by using a 953.6 nm Raman shifter laser and an <sup>60</sup>oscillograph at RT.



**Fig. 1** XRD patterns of (a) standard KMnF3 NPs, (b) KMnF<sub>3</sub>: 18 mol% Yb<sup>3+</sup>, 1 mol% Er $^{3+}$  core-only NPs, (c) KMnF $_3$ : 18 mol% Yb $^{3+}$ , 1 mol% Er $^{3+}$  @ KMnF $_3$ active-core- inert -shell NPs, (d) KMnF<sub>3</sub>: 18 mol% Yb<sup>3+</sup>, 1 mol% Er<sup>3+</sup> @ KMnF<sub>3</sub>: 2 mol% $Yb^{3+}$  active-core-active-shell NPs.

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**Fig. 2** TEM images and size distribution of (A) KMnF<sub>3</sub> core-only NPs, (B) KMnF<sub>3</sub>: 18 mol% Yb<sup>3+</sup>, 1 mol% Er<sup>3+</sup> @ KMnF<sub>3</sub> active-core-inert-shell NPs, (C) KMnF<sub>3</sub>: 18 mol% Yb<sup>3+</sup>, 1 mol% Er<sup>3+</sup> @ KMnF<sub>3</sub>: 2 mol%Yb<sup>3+</sup> active-core-active-shell NPs. (Insets: corresponding Schematic illustration of (A) KMnF<sub>3</sub> core-only NPs, (B) active-coreinert-shell NPs, (C) active-core-active-shell NPs).

We successfully synthesized  $KMnF_3: Yb^{3+}$ ,  $Er^{3+}$  (core-only) NPs via a typical solvothermal method, and further prepared KMnF<sub>3</sub>: 18 mol%  $Yb^{3+}$ , 1 mol%  $Er^{3+}$  @KMnF<sub>3</sub> (active-core-inert-shell) NPs and KMnF<sub>3</sub>: 18 mol% Yb<sup>3+</sup>, 1 mol%  $Er^{3+}$  @KMnF<sub>3</sub>: 2 mol%  $5 \text{ Yb}^{3+}$  (active-core-active-shell) NPs via a coating method. The Xray powder diffraction (XRD) patterns of the as-synthesized of the samples were showed in **Fig. 1**. The diffraction peaks of the samples can be indexed to cubic phase KMnF<sub>3</sub>. The diffraction peaks coincide well with the literature values (JCPDS file no. 82-

- 10 1334).<sup>28</sup> The diffraction peaks at 21.2°, 31.2°, 37.2°, 43.2°, 48.6°, 53.6° and 67° can be corresponding to (100), (110), (111), (200), (210), (211), and (220) planes (**Fig. 1**). To further confirm the composition of the samples, the energy dispersive X-ray spectrometry (EDX) of the KMnF<sub>3</sub>: 18 mol%  $Yb^{3+}$ , 1 mol%  $Er^{3+}$
- 15  $@KMnF_3$ : 2 mol%  $Yb^{3+}$  NPs was measured and shown in **Fig. S3**. The existence of K, Mn, Yb and F elements was clarified by the EDX spectrum (**Fig. S3)**. The transmission electron microscopy (TEM) images, high-resolution TEM images, and selected area electron diffraction (SAED) of these samples were
- <sup>20</sup>showed in **Fig. 2**. The core-only NPs were roughly cube and had uniform morphology. The average size of the core-only NPs, the active-core-inert-shell NPs, and the active-core-active-shell NPs is about  $23 \pm 5$  nm,  $65 \pm 20$  nm, and  $65 \pm 20$  nm respectively, as shown in **Fig. 2**. Note that, the average size of active-core-inert-
- <sup>25</sup>shell NPs and active-core-active-shell NPs increase obviously after the growth of a shell layer and both the two kinds of coreshell NPs have the similar shell thickness of  $\sim$  40 nm.

### 30

### **3. Results and discussions**

**3.1 Dependence of the UC properties of KMnF<sup>3</sup> : 18% Yb3+ , x% Er3+ NPs on the Er3+** <sup>35</sup>**concentration** 

It is known that  $Yb^{3+}$  is commonly chosen as a sensitizer for UC



**Fig. 3** Energy-level diagram of  $KMMF_3$ :  $Yb^{3+}$ ,  $Er^{3+}NPs$ , possible up-conversion processes under excitation of a 976 nm laser diode.

materials owing to its large absorption cross-section at 976 nm. In KMnF<sub>3</sub>:  $Yb^{3+}$ ,  $Er^{3+}$  systems (shown in **Fig. 3**), with the excitation of a 976 nm laser diode, energy transfer from  $Yb^{3+}$  to  $Er^{3+}$  occurs <sup>40</sup> because of the large spectral overlap between the <sup>2</sup> $F_{5/2} \rightarrow {}^{2}F_{7/2}$ transition of  $Yb^{3+}$  and the  ${}^4I_{15/2} \rightarrow {}^4I_{11/2}$  absorption of  $Er^{3+}$ , which results in the population of the  ${}^{4}I_{11/2}$  level. Furthermore,  $Yb^{3+}$  ions continuously absorb 976 nm photons and transfer the energy to

- $Er^{3+}$  to populate applied high energy levels of  $Er^{3+}$  ions:  ${}^{4}H_{11/2}$ level,  ${}^{4}F_{9/2}$ ,  ${}^{4}F_{7/2}$ , and  ${}^{2}H_{9/2}$ . The transitions from the high energy levels to the ground state of  $Er<sup>3+</sup>$  give various UC emissions. Interestingly, by introducing  $Mn^{2+}$  into  $Yb^{3+}$  and  $Er^{3+}$  codoped s systems, the  ${}^{2}H_{11/2} \rightarrow {}^{4}I_{15/2}$ ,  ${}^{4}S_{3/2} \rightarrow {}^{4}I_{15/2}$ , and  ${}^{2}H_{9/2} \rightarrow {}^{4}I_{15/2}$ transitions of  $Er<sup>3+</sup>$  are quenched by the following energy transfer between  $Er^{3+}$  and  $Mn^{2+}$ ;  ${}^{2}H_{11/2}$  ( ${}^{4}S_{3/2}$ ) +  ${}^{6}A_{1} \rightarrow {}^{4}I_{15/2}$  +  ${}^{4}T_{1}$ ,  ${}^{2}H_{9/2}$  +  ${}^6A_1 \rightarrow {}^4I_{13/2} + {}^4T_1$  and  ${}^4I_{15/2} + {}^4T_1 \rightarrow {}^4F_{9/2} + {}^6A_1. {}^{28,32,33}$  As a result, red UC emission originated from the  ${}^{4}F_{9/2} \rightarrow {}^{4}I_{15/2}$  transition of  $10 Er^{3+}$  becomes stronger than that without  $Mn^{2+}$ . It is clearly that
- UC properties of  $Yb^{3+} Er^{3+}$  co-doped materials can be affected by the doping concentration of  $Yb^{3+}$  and  $Er^{3+}$  ions. In our experiments, we first optimized the doping concentration of  $Yb<sup>3</sup>$ ions in KMnF<sub>3</sub> core NPs for obtaining strong red UC emission. <sup>15</sup> The optimized value of molar ratio for  $Yb^{3+}$  is about 18% (as
- shown in **Fig. S4**).



**Fig. 4** (a) Schematic illustration and energy transfer mechanisms of the KMnF<sub>3</sub> core-only NPs. (b) UC emission spectra of KMnF<sub>3</sub>: 18% Yb<sup>3+</sup>, x% Er<sup>3+</sup> NPs. (c) Intensity enhancement of UC emission depending on the  $Er^{3+}$ concentrations in the KMnF<sub>3</sub>: 18% Yb<sup>3+</sup>, x% Er<sup>3+</sup> (x= 0.1, 0.25, 0.5, 0.75, 1, 1.25, 1.5, 1.75, 2) NPs. (d) Photoluminescence decay curves of the <sup>4</sup>F<sub>9/2</sub> level<br>of Er<sup>3+</sup> in (A-I) KMnF<sub>3</sub>: 18% Yb<sup>3+</sup>, x% Er<sup>3+</sup> (x= 0.1, 0.25, 0.5, 0.75, 1, 1.25, 1.5, 1.75, 2) NPs. (e) Decay time enhancement depending on the  $Er^{3+}$ concentrations in the KMnF<sub>3</sub>: 18% Yb<sup>3+</sup>, x% Er<sup>3+</sup> (x= 0.1, 0.25, 0.5, 0.75, 1, 1.25, 1.5, 1.75, 2) NPs.

To investigate the effects of the  $Er<sup>3+</sup>$  concentration on optical properties of NPs, we measured UC emission spectra of KMnF<sub>3</sub>: 18%  $Yb^{3+}$ , x%  $Er^{3+}$  NPs with varied  $Er^{3+}$  content under the <sup>20</sup>excitation of a 976 nm laser diode with a fixed power density of 65 W cm<sup>−</sup><sup>2</sup> , as shown in **Fig. 4**. The single band emission peaked at 655 nm was observed (shown in **Fig. 4b**), which were attributed to the  ${}^{4}F_{9/2} \rightarrow {}^{4}I_{15/2}$  transition of  $Er^{3+}$  ions. As aforementioned, the <sup>2</sup>H<sub>11/2</sub>, <sup>4</sup>S<sub>3/2</sub>  $\rightarrow$  <sup>4</sup>I<sub>15/2</sub>, <sup>2</sup>H<sub>9/2</sub>  $\rightarrow$  <sup>4</sup>I<sub>15/2</sub> transitions  $_{25}$  of  $\mathrm{Er}^{3+}$  ions were completely quenched by the following energy

- transfer between  $Er^{3+}$  and  $Mn^{2+}$ :  ${}^{2}H_{11/2}$ ,  ${}^{4}S_{3/2}$  +  ${}^{6}A_{1}$   $\rightarrow$   ${}^{4}I_{15/2}$  +  ${}^{4}T_{1}$ ,  ${}^{2}H_{9/2}$  +  ${}^{6}A_{1}$   $\rightarrow$   ${}^{4}I_{13/2}$  +  ${}^{4}T_{1}$  and  ${}^{4}I_{15/2}$  +  ${}^{4}T_{1}$   $\rightarrow$   ${}^{4}F_{9/2}$  +  ${}^{6}A_{1}$ . As a result, single-band red UC emission originated from the  ${}^{4}F_{9/2} \rightarrow$  ${}^{4}I_{15/2}$  transition of  $Er^{3+}$  was observed in the above NPs. The 30 dependence of the red emission intensity on the  $Er<sup>3+</sup>$
- concentration was shown in **Fig. 4c**. The intensity of the red UC

emission increased gradually to the maximum value with increasing the  $Er^{3+}$  concentration from 0.1 mol% to 1 mol% since more and more  $Er<sup>3+</sup>$  ions contributed to the red UC emission. 35 Furthermore, the intensity of the red UC emission decreased gradually with increasing the  $Er^{3+}$  concentration from 1 mol% to 2 mol% because of the concentration quenching effect of  $Er<sup>3+</sup>$ ions. Thus, the optimum concentration of  $Er<sup>3+</sup>$  was about 1 mol% for the above NPs.

- <sup>40</sup> We also measured the lifetime of the  ${}^{4}F_{9/2}$  level of  $Er^{3+}$  in KMnF<sub>3</sub>:  $18\% \text{ Yb}^{3+}$ ,  $x\% \text{ Er}^{3+}$  (x = 0.1, 0.25, 0.5, 0.75, 1, 1.25, 1.5, 1.75, 2) NPs by using a 953.6 nm pulsed laser with a pulse width of 10 ns and a repetition rate of 10 Hz as the excitation source. The measured data were shown in **Fig. 4d**. Each of the decay curves
- 45 can be fitted well with a single-exponential function as  $I = I_0$  $exp(-t/\tau)$ , where  $I_0$  is the initial emission intensity at  $t = 0$  and  $\tau$  is the lifetime of the monitored level. The lifetime of the  ${}^{4}F_{9/2}$  level was extended from 75.8  $\mu$ s to 179  $\mu$ s with increasing the Er<sup>3</sup> concentration from 0.1 % to 1 % and increased to the maximum
- so value when the  $Er^{3+}$  concentration was 1 mol% (Fig. 4e) owing to the existence of the back energy transfer from  $Mn^{2+}$  to  $Er^{3+}$ :  $^{4}I_{15/2}$  $+{}^{4}T_{1} \rightarrow {}^{4}F_{9/2} + {}^{6}A_{1}$ <sup>28,32,33</sup> However, the lifetime of the  ${}^{4}F_{9/2}$  level decreased from 179  $\mu$ s to 80.9  $\mu$ s with increasing the Er<sup>3+</sup> concentration from 1 % to 2 % (**Fig. 4e**) due to the concentration
- <sup>55</sup>quenching effect. The above results coincided well with the dependence of the red emission intensity on the  $Er<sup>3+</sup>$ concentration (shown in **Fig. 4b**).

**3.2 Dependence of the UC properties of KMnF<sup>3</sup> : 18% Yb3+ , 1% Er<sup>3+</sup> NPs@ KMnF**<sub>3</sub>:  $x\%$  Yb<sup>3+</sup> on the Yb<sup>3+</sup> concentration <sup>60</sup>**of the shell** 

By using KMnF<sub>3</sub>: 18 mol%  $Yb^{3+}$ , 1 mol%  $Er^{3+}$  NPs as the core,



**Fig. 5** (a) Schematic illustration and energy transfer mechanisms of (A) active-core-inert-shell NPs, (B) active-core-active-shell NPs. (b) UC emission spectra of KMnF<sub>3</sub>: 18% Yb<sup>3+</sup>, 1% Er<sup>3+</sup>@ KMnF<sub>3</sub>: x% Yb<sup>3+</sup> NPs. (c) Intensity enhancement of UC emission depending on the  $Yb<sup>3+</sup>$  concentrations in the KMnF<sub>3</sub>: 18% Yb<sup>3+</sup>, 1% Er<sup>3+</sup>@ KMnF<sub>3</sub>: x% Yb<sup>3+</sup> (x= 0, 0.5, 1, 1.5, 2, 2.5, 3, 3.5, 4) NPs. (d) Photoluminescence decay curves of the  ${}^{4}F_{9/2}$  level of Er<sup>3+</sup> in (A-I)  $KMnF_3: 18\% Yb^{3+}$ , 1%  $Er^{3+}$ @ KMnF<sub>3</sub>: x% Yb<sup>3+</sup> (x= 0, 0.5, 1, 1.5, 2, 2.5, 3, 3.5, 4) NPs. (e) Decay time enhancement depending on the  $Er<sup>3+</sup>$  concentrations in the KMnF<sub>3</sub>: 18% Yb<sup>3+</sup>, 1% Er<sup>3+</sup>@ KMnF<sub>3</sub>: x% Yb<sup>3+</sup> (x= 0, 0.5, 1, 1.5, 2, 2.5, 3, 3.5, 4) NPs.

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we prepared KMnF<sub>3</sub>: 18 mol%  $Yb^{3+}$ , 1 mol%  $Er^{3+}$ @KMnF<sub>3</sub>:  $Yb^{3+}$ active-core-active-shell NPs with varied  $Yb^{3+}$  concentration in the shell. To clarify the effects of the  $Yb<sup>3+</sup>$  concentration in the shell on optical properties of NPs, we measured UC emission spectra s of  $\widehat{K}MnF_3$ : 18 mol%  $Yb^{3+}$ , 1 mol%  $\widehat{Er}^{3+}\widehat{\omega}KMnF_3$ :  $Yb^{3+}NPs$  with varied  $Yb^{3+}$  concentration in the shell under the excitation of a 976 nm laser diode, as shown in **Fig. 5**. After coating an inert  $shell$  KMnF<sub>3</sub> on the core NPs, the red UC emission were enhanced by 3.1 times compared to that of the core-only NPs 10 owing to the well-known surface passivation effect of the shell.<sup>34</sup> In the case of KMnF<sub>3</sub>: 18 mol%  $Yb^{3+}$ , 1 mol%  $Er^{3+}@KMnF_3$ :  $Yb^{3+}$  active-core-active-shell NPs, the intensity of the red UC emissions increased monotonically to the corresponding maximum value with increasing the  $Yb^{3+}$  concentration of the <sup>15</sup>shell from zero to 2 mol%. Furthermore, the intensity of the UC emissions decreased gradually with increasing the  $Yb^{3+}$ concentration of the shell from 2 % to 4 %. Thus, the optimum concentration of  $Yb^{3+}$  in the shell was about 2 mol% for the active-core-active-shell NPs. The above results showed that by 20 doping a certain amount (e.g.,  $\sim$  2 mol%) of Yb<sup>3+</sup> ions into the shell, the red UC emissions of the active-core-active-shell NPs was further improved by 2.4 times compared to that of the activecore-inert-shell NPs since the  $Yb<sup>3+</sup>$  ions in the shell could transfer energy from the pump source to the core and make a contribution 25 to the red UC emissions.<sup>8,35</sup> However, if the  $Yb^{3+}$  concentration in the shell was too high ( $> 2$  mol%), the Yb<sup>3+</sup> ions in the shell would preclude the core region from 976 nm excitation and also the concentration quenching effect occurred, causing the weakening of the red UC emissions. In addition, we also 30 measured the lifetime of the  ${}^{4}F_{9/2}$  level of  $Er^{3+}$  in KMnF<sub>3</sub>: 18 mol%  $Yb^{3+}$ , 1 mol%  $Er^{3+}\omega KMnF_3$ :  $Yb^{3+}$  NPs with varied  $Yb^{3+}$ concentration in the shell by using a 953.6 nm pulsed laser with a pulse width of 10 ns and a repetition rate of 10 Hz as the excitation source. The lifetimes were shown in **Fig. 5d**. By 35 growing an inert shell on the core NP, the lifetime of the  ${}^{4}F_{9/2}$ 

- level was extended from 179 µs to 646 µs, owing to the reduction of the nonradiative relaxation rate caused by the surface passivation effect. Interestingly, for the active-core-active-shell NPs, the lifetime of the  ${}^{4}F_{9/2}$  level increased monotonically to the
- 40 corresponding maximum value with increasing the  $Yb^{3+}$ concentration of the shell from zero to 2 mol%. In addition, the lifetime decreased gradually with increasing the  $Yb<sup>3+</sup>$ concentration of the shell from 2 % to 4 % (**Fig. 5d** and **Fig. 5e**). The above results showed that by doping a certain amount (e.g.,  $\sim$
- 45 2 mol%) of  $Yb^{3+}$  ions into the shell, the lifetime of the  ${}^{4}F_{9/2}$  level of  $Er<sup>3+</sup>$  ions in the active-core-active-shell NPs was further increased to 763 µs by introducing  $Yb^{3+}$  ions into the shell to form the active shell. In this case, there are two factors that contribute to the increase of the lifetime. One is the surface
- $50$  passivation effect. The other is originated from  $Yb^{3+}$  ions inside the shell. Since the  $Yb^{3+}$  concentration of the shell was lower than that of the core region, the lifetime of the  ${}^{2}F_{5/2}$  level of  $Yb^{3+}$  in the shell would be longer than that in the core region.<sup>36,37</sup> Generally, the measured lifetime of the  ${}^{4}F_{9/2}$  level of  $Er^{3+}$  in  $Yb^{3+}$  and  $Er^{3+}$
- 55 codoped systems pumped at 953.6 nm is related to the lifetime of the  ${}^{2}F_{5/2}$  level of Yb<sup>3+</sup> owing to the existence of energy transfer from  $Mn^{2+}$  to  $Er^{3+}$ . The above results showed that strong red UC emission could be achieved in the active-core-active-shell NPs by growing the active shell layer on the core-only NPs.

# **ARTICLE TYPE**

**3.3 Optical amplification at 650 nm in a polymer-based waveguide amplifier based on KMnF<sup>3</sup> : Yb3+, Er3+ @ KMnF<sup>3</sup> : Yb3+ active-core-active-shell NPs** 



**Fig. 6** (a) Experimental setup for measuring the optical gain of waveguide amplifiers. SEM micrograph of the waveguide. (b) The cross section of the waveguide after embedding the active-core-active-shell NPs-PMMA into the groove. (c) Gain as a function of pump power (976 nm) in in a 17 mm-long the active-core-active-shell NPs-doped waveguide (Insets: corresponding luminescent photos of waveguide amplifiers).

By dispersing the active-core-active-shell NPs into PMMA as the <sup>65</sup>gain medium (**Fig. S5**) and embedding this gain medium into a polymer groove, we constructed a polymer-based waveguide amplifier based on the UCNPs (**Fig. S6**).38-40 **Fig. 6a** shows the schematic of the experimental setup for the optical gain measurement of the waveguide amplifier. A 650 nm laser diode <sup>70</sup>was used as the signal source and a 976 nm laser diode was used as the pump source. The signal and pump light were launched into the channel waveguides by a 980/650 nm wavelength division multiplexing (WDM) coupler. The output light from the device was collected and coupled to an optical spectrum analyzer <sup>75</sup>(OSA, ANDO AQ-6315A). **Fig. 6b** shows the SEM micrograph of the cross-section of the waveguide after embedding the activecore-active-shell NPs dispersed PMMA into the groove. The relative gain was calculated using the formula,

$$
Gain[dB] = 10log\left(\frac{P_{s-out}^p}{P_{s-out}}\right) = 10log(P_{s-out}^p) - 10log(P_{s-out}) \qquad ,
$$

<sup>80</sup> where  $P_{s-<sub>out</sub>}^{p}$  was the output signal powers in cases with pump light and  $P_{s-out}$  was the output signal powers in cases without pump light.<sup>46</sup> **Fig. 6c** shows the relative gain as a function of pump power for the signal wavelengths. For an input signal power of 0.3 mW, the relative gain of the waveguide amplifier <sup>85</sup>increased with increasing the pump power. When the pump power was 45.2 mW, the maximum relative optical gain at 650 nm was  $\sim$  3.5 dB. This is the first report on optical amplification at 650 nm in the polymer-based waveguide amplifier based on UC NPs, to the best of our knowledge.

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**4. Conclusion** 

In summary, we constructed polymer-based waveguide amplifiers using KMnF<sub>3</sub>:  $Yb^{3+}$ ,  $Er^{3+}$  @ KMnF<sub>3</sub>:  $Yb^{3+}$  active-core-activeshell UCNPs doped polymer as gain media and got a relative 5 optical gain of  $\sim$  3.5 dB at 650 nm in the polymer waveguides pumped by a 976 nm laser diode for the first time. Compared with the traditional polymer waveguide amplifiers operating at 650 nm pumped by UV light, such UC NPs doped polymer waveguide amplifiers can be pumped by NIR light, which

- <sup>10</sup>efficiently decreases the photo damage to the polymer matrix of devices. Therefore, this kind of UC NPs doped polymer waveguide amplifiers has potential applications in short distance telecommunication systems, such as automobile optical LANs. The UC KMnF<sub>3</sub>: 18 mol%  $Yb^{3+}$ , 1 mol%  $Er^{3+}@KMnF_3$ : 2
- 15 mol%Yb<sup>3+</sup> NPs (active-core-active-shell) were synthesized by coating an active-layer shell containing  $Yb^{3+}$  ions. In comparison with optical properties of the KMnF<sub>3</sub>: 18 mol%  $Yb^{3+}$ , 1 mol%  $Er<sup>3+</sup>$  core-only NPs, the intensities of red UC fluorescence were enhanced by 7.5 times after coating active shell  $(KMnF_3: Yb^{3+})$
- zo on the KMnF<sub>3</sub>: 18 mol%  $Yb^{3+}$ , 1 mol%  $Er^{3+}$  NPs. By using the KMnF<sub>3</sub>: 18 mol% Yb<sup>3+</sup>, 1 mol% Er<sup>3+</sup> @ KMnF<sub>3</sub>: 2 mol% Yb<sup>3+</sup> NPs as the gain medium, we constructed polymer waveguide amplifiers based on UCNPs. A relative optical gain of  $\sim$  3.5 dB was obtained at 650 nm in the 17 mm-long waveguide when the
- 25 power of the 976 nm LD was increased to  $~45.2$  mW. In the future, we will explore novel ways to increase the upconversion efficiency of UCNPs or the doping concentration of UCNPs in the polymer waveguide for further improving the performance of the polymer waveguide amplifiers based on UCNPs.

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### **Notes and references**

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