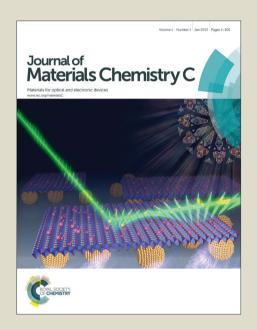
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## **RESEARCH ARTICLE**

## Fabrication of highly-Efficient resonant structure assisted ultrathin artificially stacked Ag/ZnS/Ag multilayer films for color filters applications

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We introduce a novel strategy for the fabrication of mechanically and thermally stable highly-efficient resonant structure assisted ultrathin artificially stacked Ag/ZnS/Ag multilayer films on cleaned glass substrates using thermal evaporation technique. The application of fabricated color filters has been studied in the visible range.

These multilayers have good adhesion to each other and to the glass substrate, resulting in mechanical and thermal stability. The stability has been examined by "Scotch-tape" test and ultrasonication process at 37 kHz frequency upon 40°C temperature as well as by Thermo-Gravimetric Analysis (TGA). The results of the structure analysis, surface morphology and atomic force microscopy of these filters confirm the good crystallinity with low value of surface roughness. The effect of thickness of artificially stacked metal (Ag) and dielectric (ZnS) layers has been examined in terms of optical properties by several spectroscopic techniques. These ingenious filters exhibit a large and deep stop band in the visible wavelength region. Thus blue, green and red color filters, centered at 460, 540 and 620 nm, with bandwidths of about 25, 44 and 35 nm, respectively, were achieved. Moreover, the statistical outcomes of all the three filters (blue, green and red) show that the peak transmission efficiencies are consistently 73%, 70% and 63%, respectively. Additionally, the effect of different angle of

<sup>20</sup> incidence on the transmittance spectra has also been presented. Hence, the obtained results strongly suggest that these filters can be potentially used for tuning the color of optical filters according to the desired applications.

## 1. Introduction

Color filter devices possessing the characteristic of selective 25 transmittance are capable of passing a certain portion of visible wavelength spectrum while suppressing the other part of spectrum. These filters have been regarded as an indispensable component in a variety of applications, such as fluorescence imaging, multi-spectral sensors, display devices and light 30 emitting diodes. 1-4 The current research on the color filters is mainly focused on developing a smaller, faster and lower power design as compared to the commonly accessible designs. There are various important factors played key role for color filters in which, the most important aspect of color filters is the efficient 35 use of light and enhancement of color saturation. Mainly, this research is based on the fundamental concept of Fabry- Perot principle<sup>5-7</sup> to design artificially stacked ultrathin color filters of high transmissivity so as to promote their competitiveness in terms of their portable size, cost-effective fabrication and high 40 packing density with lower gravimetric mass. Additionally, the ultrathin multilayers having strong in plane bonding forces offer harder coating resistance to extract individual layer as compared to bulk materials. 8 It consists of both periodic and symmetric

geometry of metal (M)/dielectric (D)/metal (M) multilayer resonant structures. It is also known as transparent metallic structure due to highly transmissive window within a visible range of electromagnetic wavelength spectrum. 9-10

Additionally, highly transparent metallic structures have attractive potential applications as optical filters with high out-of-50 band rejection<sup>5-6, 11-12</sup>, thin film metal electrodes in organic light emitting diodes<sup>13</sup>, nonlinear photonics<sup>14</sup>, electromagnetic shielding<sup>15</sup>, Plasmonic nanoresonators mediated spectral imaging<sup>16-18</sup>, waveguides<sup>19</sup> and cavity induced optical filters<sup>20</sup>. These films are of great interest because (i) metal is very efficient 55 to reflect light at infrared wavelengths and beyond and (ii) at the same time, it is possible to make fairly efficient band pass filters with minimum band width, despite the high imaginary part of refractive index of metals9-10 and provides a large index contrast to low-absorptive dielectrics. Thus, a proper thickness of 60 dielectric layer into two high reflective metal layers structures exhibit the resonant tunneling mechanism to open up the windows for allowing the extraordinary transmission within a controllable spectral range, even though the total thickness of the metal layers significantly exceeds the skin

depth. 10,11 These devices take advantage of the fact that the resonator structures filter out the colors on the basis of multiple reflections, interferences and resonances between the optical modes of the cavity layer. The dielectric layer (that acts as a high 5 transmittance for the visible region) sandwiched between the two highly reflective metal layers (that would otherwise be opaque to the visible region) improves the high optical transmittance in the visible wavelengths region because of the refractive index discrepancy between the dielectric and thin metal layers.<sup>21</sup> This 10 enhancement of optical transmittance characteristic is a function of the collective properties of the individual components of the layered coatings (e.g. density, refractive index and homogeneity) and their physical configuration (e.g. layer thickness and microstructure). The thickness also plays a direct impact on the 15 filter's band-width and maximum peak transmittance. The choice of materials also plays an important role for the fabrication of color filters using ultrathin multilayer films. It depends on the adhesion between the thin layers and to the substrate, environmental stability, reproducibility, required spectral range 20 and width.

Zinc sulfide (ZnS) has a high refractive index (n = 2.35 at wavelength of 560 nm), high transmittance (>90% in the visible wavelength range) and high electrical conductivity.<sup>22</sup> Furthermore, ZnS films can be deposited directly through 25 vacuum heat evaporation with economic production cost and facile synthesis process which can be used for mass-scale. It can be potentially used as a dielectric material for M/D/M multilayer structures. In this series, silver (Ag) can be better alternative and suitable metal material due to its lower absorption in the visible 30 part, frequency dependent refractive index and small thin-film resistivity for multilayer films. 23-24 Selectively, Ag is interesting to use because its inter-band absorption peaks lies above the visible spectrum which opens the possibility of broadband applications in the visible part of the spectrum. 9-10 The optical 35 properties are also strongly influence by the thickness, deposition conditions and dispersion of Ag film.<sup>25-26</sup> The Ag film should be thin, uniform and continuous for high transmittance in multilayer structures. In addition to this, the material and deposition procedure of Ag and ZnS thin films can be compatible with the 40 state-of-the-art thin film color filters design process. The Ag/ZnS/Ag multilayer film composition has been investigated and reported by previous researchers.<sup>27-29</sup> The optical properties of Ag/ZnS/Ag multilayer structure usually depend on the positions of the metal layers and on the thicknesses of metal and 45 dielectric layers. The photoluminescence (PL) emission of the surface plasmon metals (Ag, Al etc.) are also another subject of interest in current scenario. 30-33 More recently, a plasmonic color filters was demonstrated by few research groups, where they exhibited filtering functionality in the visible range.<sup>5,34-37</sup> 50 However, to the best of our knowledge, the dependences of the optical properties of Ag/ZnS/Ag with varying thickness of metal and dielectric layers have not been studied till date, even when such artificially stacked layers can play a key role in the design of color filters with high optical transmissivity. The configuration of 55 proposed color filter is illustrated in Fig. S1 (see Supplementary

Information). When light passes through this color filter, it reflects light of other wavelengths which is further recycled; however, when the light reaches a specific wavelength, then it is transmitted through the medium. In the designed color filter, an ultrathin ZnS dielectric film is formed between the Ag films. The top and bottom Ag film is in contact with air and a glass substrate, having nearly constant refractive indices of 1 and 1.5 with negligible loss, respectively. The notations of structural parameters are denoted as t and d, where t represents the thickness of Ag and d corresponds to the thickness of ZnS layer. The refractive indices for the dielectric and metal films represent as  $n_d$  and  $n_m$ , respectively.

In this paper, we report on mechanically and thermally stable ultrathin visible wavelength color filters that take advantage of a 70 symmetric metal/dielectric/metal (Ag/ZnS/Ag)multilayer resonant structure films. Thermal and mechanical stability are highly desired for long term practical application for these filter on optoelectronic devices to avoid any kind of degradation in optical filter due to the thermal and mechanical damage causes. 75 We have addressed such issues in our present investigation, which is merely reported so far. The transmittance of multilayer resonant structure is rigorously analyzed by taking into account the different thickness of metal and dielectric layers. Furthermore, we have also studied and discussed the angular 80 dependency on the transmittance spectra. We have also focused on the design and fabrication of three different blue, green and red color filters relying on an ultrathin metal/dielectric/metal resonant structure (Ag/ZnS/Ag), exhibiting polarization dependence and a high transmission efficiencies ranging from 85 73% - 63% for blue to red color filters. Additionally, we also explored the PL emission properties of ultrathin artificially stacked Ag/ZnS/Ag multilayer film.

## 2. Experimental

## 2.1 Fabrication of ultrathin Ag/ZnS/Ag multilayer films

90 The ultrathin Ag/ZnS/Ag multilayer films were prepared using thermal evaporation technique under high vacuum on cleaned glass substrates. ZnS (99.99%, high purity) in granular form and Ag (99%, high purity) in wire form with 1 mm diameter were kept on tantalum boats separately. The deposition rates for ZnS 95 and Ag were (2.00±0.10) Å/s and (3.00±0.15) Å/s, respectively. The thickness of dielectric layer in the center of structure was set higher than that of two outer metal layers. This design structure is based on the theory that when a light beam is incident on the structure; it induced a transmittance at certain wavelengths and 100 rejected other wavelengths, by metal layers. The film thickness was controlled during the deposition by quartz crystal thickness monitor. In order to gain a better understanding of the M/D/M multilayer resonant structures and the effect of individual design parameter on the resulting transmittance and reflectance spectra, 105 the systematic studies were first performed by varying the individual thickness of each layer. This was followed up with an optimization process that improved the transmittance spectrum by

independently adjusting the thickness of each layer in the structures. Thus, the color filters were obtained at a particular thickness of dielectric and metal layers. The optimized design of ultrathin Ag/ZnS/Ag multilayer films on glass substrates is 5 presented in Table 1. In this study, the monochromatic wavelength spectrum values for blue, green and red light are defined as 460, 540 and 620 nm, respectively, to design the color filters.

**Table 1:** The optimized design of Ag/ZnS/Ag multilayer thin film structures

Sample	Optimized structures thickness (nm)				
No.	Air/Ag	ZnS	Ag/Substrate		
1	25	85	25		
2	30	85	30		
3	35	85	35		
4	40	85	40		
5	32	55	32		
6	32	65	32		
7	32	75	32		
8	32	85	32		
9	32	95	32		

## 2.2 Characterizations

The structure of thin films was studied in terms of X-ray diffraction (XRD) pattern, recorded through a PANalytical X''pert PRO X-ray diffractometer with Cu Kα<sub>1</sub> radiation 15 ( $\lambda$ =1.5406 Å). The surface morphology of thin films was investigated by atomic force microscopy (AFM) with Vecco Instruments CP II. The surface topography was characterized using field emission scanning electron microscopic (FESEM) image with a microscope of Carl ZEISS-SUPRA 40 at 5 kV 20 operating voltage. The microstructural studies were carried out using high-resolution transmission electron microscopy (HRTEM, Model No. Technai G20-twin, 300 kv with super twin lenses having point and line resolution of 0.144 nm and 0.232 nm, respectively) equipped with energy dispersive x-ray analysis 25 (EDAX) facility. Raman scattering experiments were carried out at room temperature using a Renishaw 1000 with Leica Microscope DM LM. The light was focused to a  $\sim 1 \mu m$  spot and the backscattered light was analyzed using laser excitation at 514.5 nm from Ar<sup>+</sup> laser. The photoluminescence (PL) 30 characterization of thin films was carried out using luminescence spectrometer (Edinburgh, FLSP - 920) with EPL 375 nm pico second pulsed diode laser as a source of excitation. The PL mapping of thin films was performed by WITech alpha 300R+ Confocal PL microscope system (WITech GnBH, Ulm, 35 Germeny), where 375 nm diode laser was used as a source of excitation. The UV-Vis spectra of multilayer thin films were recorded using the dual beam Hitachi U3300 spectrophotometer in the visible spectral range with different angle of incidence. The contact angle measurement was carried out using a drop shape analysis system, DSA 10 Mk2. TGA was performed by Perkin-Elmer TGA-7 in air atmosphere at a heating rate of 10°C/ min from 30-800°C. The surface roughness was recorded using atomic force microscopy (AFM) (model: NT-MDT Solver Scanning probe Microscope). The ultrasonication bath treatment 45 was performed by S15 H Elmasonic instrument at 37 kHz frequency upon 40°C temperature.

## 3. Results and discussion

The gross structural analysis and phase purity of ultrathin artificially stacked Ag/ZnS/Ag multilayer film were determined 50 by XRD to exploit the presence of Ag and ZnS lattice. Prior to the XRD measurements, the calibration of diffractometer was done with silicon powder  $(d_{111} = 3.1353 \text{ Å})$ . Fig. 1a shows the XRD pattern of Ag/ZnS/Ag multilayer thin film (sample 2; details are given in Table 1) and inset show the photograph image 55 of Ag/ZnS/Ag multilayer thin film. The XRD pattern exhibits peaks at 20 angles of 28.775°, 47.956°, 56.765° corresponding to the (002), (110) and (112) planes of the hexagonal wurtzite phase of ZnS, respectively. It is in well agreement with the standard data (JCPCS card no. 36-1450, lattice parameter a = b = 0.3820<sub>60</sub> nm, c = 0.6257 nm). The diffraction peaks at 20 angles of 38.440°, 44.547°, 64.750° corresponds to the (111), (200) and (220) plane orientations of cubic phase of Ag, respectively, which is comparable to the standard value (JCPDS card no. 04-0783, lattice parameter a = b = c = 0.4086 nm). The peaks represent the 65 crystallographic nature of multilayer thin film. No additional peaks as well as secondary phases are found in the combined multilayer structure of Ag/ZnS/Ag film, except pristine ZnS and Ag peaks, which shows the purity of multilayer thin film. Lattice diffusion doesn't occur during the fabrication of multilayers, 70 which is further evidenced by SEM image. The particle size is estimated using Scherrer's formula and is found to be 10-15 nm with respect to highest peak intensity observed for the multilayer

Raman spectroscopy was examined to confirm the crystalline 75 structure of multilayer films. Fig. 1b shows the Raman spectrum of Ag/ZnS/Ag multilayer thin film (sample 2). The dominant peak at 348 cm<sup>-1</sup> and weaker peak at 267 cm<sup>-1</sup> are associated with the longitudinal optical (LO) phonon and transverse optical (TO) phonon peak of hexagonal phase of ZnS, respectively. In 80 addition, a broader band peak near 443 cm<sup>-1</sup> is also observed with zone boundary (ZB) phonon, due to the plasma-enhanced resonant Raman effect. Only the peaks which correspond to hexagonal wurtzite phase of ZnS has appeared. Metal Ag has a cubic structure that does not show any first order Raman 85 spectrum which was expected. The appearance of these phonon features such as phonon softening and line broadening of the Raman peaks are due to the quantum confinement effects in nanocrystals thin films. 39 2D AFM surface topological image at a scale size of 1 x 1 µm of artificially stacked Ag/ZnS/Ag 90 multilayer thin film (sample 2) is shown in Fig. 1c. The surface of thin film shows a uniform and smooth surface with mixture of small and large grains. The average root mean square (RMS) roughness value is estimated around 4-6 nm. The roughness of surface plays an important role in determining the optical properties of multilayer film. Because, the nature of the surface features provide valuable information about the interactions of 5 light with the surface of layer that how light will be absorbed and scattered or diffracted by such a surface.

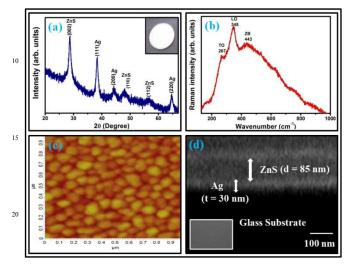
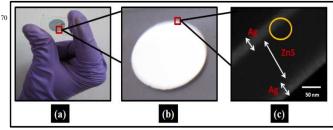


Fig. 1: (a) XRD pattern; inset shows the deposited film, (b) Raman spectrum, (c) 2D AFM image (sample 2) and (d) cross sectional SEM image; inset shows the top surface image of Ag/ZnS/Ag multilayer thin film of sample 2 (details are given in Table 1).

2D AFM surface topological image of artificially stacked Ag/ZnS/Ag ultrathin multilayer film (sample 2) is also obtained 30 after 24 hours and 3 months of deposition time, which are shown in Fig. S2a and S2b (see details in the Supplementary Information). It reveals that the surface roughness doesn't effect after the 3 months of deposition time. It means that the ultrathin films are stable after 3 months. The top-view SEM images of 35 Ag/ZnS and Ag/ZnS/Ag are also depicted in Fig. S3a and S3b (see details in the Supplementary Information). It is observed that both films confirm the uniform and homogeneous surface and composed by closed packed nanometric grains or crystallites. Fig. 1d shows a cross sectional backscatter scanning electron 40 microscopic (BSD SEM) image of Ag/ZnS/Ag multilayer thin film (sample 2). This image confirms the three alternate artificially stacked layers of Ag and ZnS which are uniformly deposited on glass substrates. The light grey band represents the typical SEM image of Ag layer and the dark grey band exhibits 45 the ZnS layer, with a thickness of  $\sim 30 \pm 2$  nm and  $\sim 85 \pm 2$  nm for the Ag and ZnS layer, respectively. The inset of Fig. 1d shows the SEM image of top surface of Ag/ZnS/Ag multilayer thin film which represents the Ag particles are uniformly distributed on the ZnS thin layer. We have also investigated the cross sectional 50 morphology of another artificially stacked multilayer film through the SEM technique (Fig. S4; see details in Supplementary Information) in which this image confirms the uniform deposition of three layers Ag/ZnS/Ag on glass substrates. In Fig. S4, 1 and 3 represent the Ag layers and 2

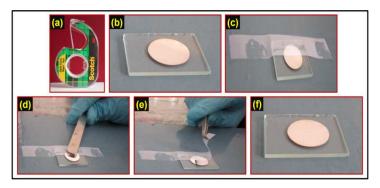
55 indicate the ZnS layer. The light grey parts show the interface between the two deposited layers.

To examine the detailed microstructure information, we have performed high-resolution transmission electron microscopy on ultrathin multilayer films. Fig. 2a represents the optical picture of artificially stacked Ag/ZnS/Ag film and close view of optical filter is exhibited in Fig. 2b. Fig. 2c clearly shows the cross-sectional TEM image of artificially stacked multilayer alternate films of Ag and ZnS (sample 2). The bright and dark bands represent Ag and ZnS layer, respectively. The estimated thicknesses of Ag and ZnS layer in composite system are ~30 nm and ~90 nm, respectively. It clearly shows that the thicknesses of designed and deposited films are quite consistent. The interface between the two deposited layers is well-defined, which can be clearly seen in Fig. 2c, by the yellow marked circle.



**Fig. 2:** (a) optical picture of Ag/ZnS/Ag multilayer thin film and <sup>75</sup> (b) close view of thin film of Fig. 2a and (c) cross-sectional TEM image of multilayer alternate films of Ag and ZnS of sample 2 (details are given in Table 1). The yellow marked circle (in Fig. 2c) represents the interface between Ag and ZnS layers.

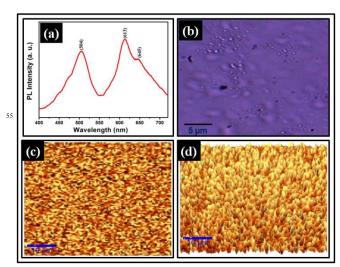
Mechanical stability has been performed by "Scotch-tape" test. 80 The "Scotch-tape" test was an effective tool for demonstrating the stark difference in adhesion between the layers. The "Scotchtape" test was used to examine the adhesive strength of the artificially stacked Ag/ZnS/Ag multilayer film (sample 2) to the glass substrates (Fig. 3a and 3b). The "Scotch-tape" was applied 85 to the surface of multilayer film (Fig. 3c) and coating film was pressed by forceps (Fig. 3d) and then, slowly pulled off the tape using forceps through hand (Fig. 3e). After removed the tape, the coating layer doesn't affected (Fig. 3f). Any delaminating parts are not removed from the substrate, which is left on the adhesive 90 tape if coating layer is not stable with the glass substrate. As a result, the adhesiveness of the coating thin film to the glass substrate is very strong. The stability adhesion of the ultrathin film with the glass substrate and between the Ag and ZnS layers are observed. Even after repeating the "Scotch-tape" test multiple 95 times, the tape remained clean and no damage to the multilayer film coatings is noticeable. Thermal stability of ultrathin multilayer films has been analyzed by Thermo-Gravimetric Analysis (TGA), as shown in Fig. S5 (see Supplementary Information). The hydrophobic nature of artificially stacked 100 Ag/ZnS/Ag multilayer film and the ultrasonication bath treatment to the artificially stacked Ag/ZnS/Ag multilayer film for



**Fig. 3:** Application of the "Scotch-tape" test to the artificially stacked Ag/ZnS/Ag multilayer film for measuring the mechanical stability; optical image of (a) Scotch-tape, (b) Ag/ZnS/Ag multilayer film, (c) applied a Scotch-tape to the Ag/ZnS/Ag multilayer film, (d) pressed the coating film by forceps, (e) pulled off the tape using forceps through hand and (f) Ag/ZnS/Ag multilayer film after removed the tape, resulting in a no delamination of film and mechanical stability was observed.

measuring the mechanical stability are given in Fig. S6-S7 (see Supplementary Information). The increment in interfacial adhesion strength between the glass and metal film (silver) is due to its inherent high surface energy of silver as compared to ZnS. This is main cause to choose the ZnS as intermediate layer between the two silver layers to enhance the strength of the Ag/ZnS/Ag multilayer films. Although, Ag has good adhesion with ZnS layer, which can be clearly seen from Fig. 3e. As a result, the overall thermal stability and mechanical strength of 25 colour filters enhanced greatly as compared to individual layers of Ag and ZnS with glass.

After examined the stability, it is also interesting to explore the plasmonic PL emission of Ag in Ag/ZnS/Ag multilayer thin film (sample 2) as well as defect induced PL emission emerging from 30 interface of Ag and ZnS thin films by PL spectroscopy. Fig. 4a exhibits the PL emission spectra of multilayer thin film with xenon lamp as source of excitation at 375 nm wavelength. The PL spectrum consisted of three peaks which are centered at 504, 613 and 645 nm. The peak at 504 nm corresponds to the band to 35 band transition of Ag ultrathin film which is consistent with our earlier reported results<sup>30</sup> and another two peaks (613 and 645 nm) are related to Ag induced defects on ZnS film surface. The visible green plasmonic emission at 504 nm originates due to the recombination of excited electrons present in d orbital of Ag 40 (5s<sup>1</sup>4d<sup>10</sup>) with holes existing on surface of ultrathin Ag film. The other two defect related PL peaks (613 and 645 nm) emerges due to interface formation between Ag and ZnS alternate layers. The observation of red emissions from Ag/ZnS/Ag multilayer thin film is a very interesting result and it is merely reported till 45 date. 40 Red shift has been observed in signature peaks of ZnS(613 and 645 nm) due to interface formation between ZnS and Ag film.



60 Fig. 4: (a) PL spectrum, (b) Optical micrograph image, (c) 2D top view and (d) 3D lateral view of combined PL mapping of plasmonic emission from Ag thin film as well as defect induced emission of Ag/ZnS/Ag multilayer thin film (sample 2; details are given in Table 1).

Furthermore, we also examined the combined PL properties of plasmonic emission from Ag thin film as well as defect induced emission of Ag/ZnS/Ag multilayer thin film by PL mapping, which is recorded by confocal PL mapping instrument with a 375 nm diode laser as a source of excitation. The sample was placed horizontally on the stub of sample holder. We explored the top surface of Ag/ZnS/Ag multilayer thin film due to limitations of equipment. The optical micrograph image of Ag/ZnS/Ag multilayer thin film is shown in Fig. 4b. The two dimensional (2D), top view and three-dimensional (3D), lateral view of fluorescence mapping of Ag/ZnS/Ag multilayer thin film are shown in Fig. 4c,d, respectively. It arises due to plasmonic emission from Ag as well as defect induced emission of Ag/ZnS/Ag multilayer. The obtained result reveals the uniform distribution of PL emission intensity in multilayer thin film. The

optical micrograph image of another sample is shown in Fig. S8

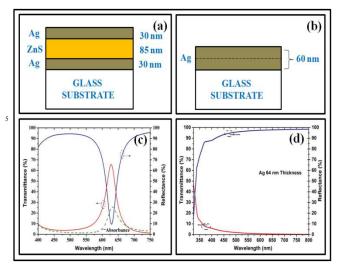
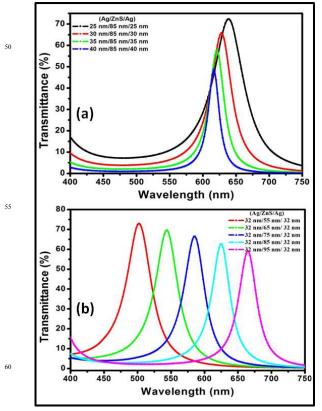


Fig. 5: (a) Schematic representation of Ag/ZnS/Ag multilayer thin film structure (sample 2, details are given in Table 1), (b) 60 nm thick Ag film on glass substrate, (c) transmittance, absorbance and reflectance spectra of Fig. (a); and (d) transmittance and reflectance spectra of Fig. (b) at normal angle of incidence.

(see Supplementary Information). Further, a systematic search is carried out in order to determine the effect of each layer on the optical properties of Ag/ZnS/Ag multilayer thin films. Thus, the transmissive properties of color filters are found out. The 20 schematic representation of Ag/ZnS/Ag multilayer thin film structure for sample 2, each Ag and ZnS layer is taken to be 30 and 85 nm thick, respectively, is shown in Fig. 5a. We have also compared the properties of this structure with those of single thick Ag film that contains the same amount of Ag (60 nm 25 thickness) as shown in Fig. 5b. The transmittance, reflectance and absorbance spectra of Ag/ZnS/Ag multilayer thin film (structure shown in Fig. 5a) and the transmittance and reflectance spectra of single layer Ag film (structure shown in Fig. 5b), as a function of incident wavelength for the normal angle of incidence, are shown 30 in Fig. 5c and d, respectively. It has been found that the transmittance from the solid metallic Ag layer (structure and optical spectra are shown in Fig. 5b and d, respectively) is approximately in order of  $\sim 10^{-3}$  in the visible wavelength region. It exhibits low absorption and high reflectance in the visible part 35 of the spectrum and fairly opaque to visible light and behave like a mirror. On the other hand, by embedding of dielectric layer (ZnS) between the two metal (Ag) layers (structure and optical spectra are shown in Fig. 5a and c, respectively), shows a high transmittance in visible region and blocks the ultraviolet and 40 infrared wavelengths. The reflectance from Ag layer is reduced to less than 5% and the absorbance dominated by Ag layer is on a very low level. As a result, in spite of total thickness of Ag much greater than its skin depth (~10 - 15 nm) at visible region; the proper thickness of ZnS layer between the Ag layers shows the 45 interference and resonant tunneling effects, which open the high transmission windows in the visible regions. For example, 66%

transmittance is obtained at 627 nm wavelength for sample 2, while the Ag metals are typically opaque for this wavelength. The



**Fig. 6:** Transmittance spectra of Ag/ZnS/Ag multilayer thin film structures (a) for varying the thickness of Ag (sample 1-4; details are given in Table 2) and (b) for different values of ZnS thickness (sample 5-9; details are given in Table 2) at normal angle of incidence.

**Table 2:** The optical performance of Ag/ZnS/Ag multilayer thin film structures

Sample No.	Design Structures Ag/ZnS/Ag (nm)	Peak wavelength (nm)	fwhm (nm)	Transmittance (%)
1	25/85/25	637	59	72
2	30/85/30	627	40	66
3	35/85/35	620	27	57
4	40/85/40	617	20	48
5	32/55/32	501	51	72
6	32/65/32	543	42	69
7	32/75/32	584	38	66
8	32/85/32	624	35	62
9	32/95/32	664	32	59

other structures (as mention in Table 1) also show the similar  $_{70}$  behavior.

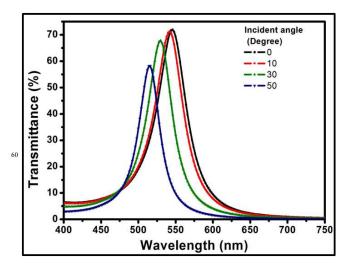
The transmittance intensity of Ag/ZnS/Ag multilayer thin film structures also depends on the thickness of ZnS and Ag layers. Fig. 6a shows the transmittance spectral characteristics of designed Ag/ZnS/Ag multilayer structures for different thickness 5 values of Ag layer. Their optical performances are summarized in Table 2. It is clear from the Table 2, for sample 1-4, the thickness of Ag layers increases from 25 to 40 nm in steps of 5 nm, under each ZnS layer thickness of 85 nm, new transmittance peaks appear one by one in the lower wavelength region of the 10 spectrum with decreasing intensity from 72% to 48% and fwhm (full width at half maxima) change from 59 to 20 nm. The absorbance and reflectance spectra corresponding to these structures are also shown in Fig. S9-S10 (see Supplementary Information). For sample 5-9, the Ag layer thickness is fixed 15 about 32 nm and the effect of variation in ZnS layer thickness from 55 to 95 nm in steps of 10 nm, on the transmittance of multilayer Ag/ZnS/Ag thin films is shown in Fig. 6b. The results are summarized in Table 2. It is clear from the table that the peaks shift towards the higher wavelength regions with 20 decreasing intensity from 72% to 59% and fwhm change from 51 to 32 nm. The absorbance and reflectance spectra corresponding to these structures are also shown in Fig. S11-S12 (see Supplementary Information). The transmittance spectrum of Ag/ZnS/Ag multilayer thin film (sample 6) also depends on the 25 oblique incidence of light, which is shown in Fig. 7. The spectral transmittance shifts toward shorter wavelengths when the angle of incidence (AOI) increases from normal incidence to higher angles (from 0° to 50°) and at the same time, the intensity of the peaks decreases from 69% to 58%. The fwhm also decrease from 30 42 to 35 nm, correspondingly. However, the filtered spectrum becomes highly distorted at higher angles, and also leads to a significant loss in performance and strong polarization dependence. When the AOI of light impinging on a filter is increased beyond normal incidence (0°), the resulting spectral shift can be 35 described in equation (1) by:

$$\lambda(\theta) = \lambda(0)\sqrt{1 - \sin^2(\theta)/n^2_{eff}} \tag{1}$$

Where,  $\theta$  is the angle of incidence and  $n_{\rm eff}$  is called the effective index of refraction; this value is unique for each filter design and polarization state. This effect can be used to tune the filter spectrum, albeit over a limited range. The blue shift is caused by the reduction of difference in the path length between the transmitted and reflected beams and the effective thickness of tilted thin film filters as compared to the horizontal films. Thus, the filter can always be adjusted to the desired spectral position by slight tilting.

Thus, from the above analysis, the ultrathin color filters can be designed using the fundamental concept of Febry Perot principle. The three optimized designs of Ag/ZnS/Ag multilayer thin film structures for the primary colors blue (B), green (G) and red (R), are listed in Table 3. The central wavelengths for B, G and R filters are at 460, 540 and 620 nm respectively, to meet satisfactory color fidelity. From the Fig. 8a, for the 460 nm wavelength, at 140 nm thickness of ZnS, 73% transmittance is

observed. For the 540 nm wavelength (Fig. 8b), at 292 nm st thickness of ZnS, there is optical transmittance at the 424 nm



**Fig. 7:** Transmittance spectra of Ag/ZnS/Ag multilayer thin film 65 (sample 6, details are given in Table 1) at different angle of incidence.

wavelength. It prevents the color from the pure green color. This may be due to the close of the interference waveguide cycle. Therefore, the best thicknesses of ZnS thin films are 64 nm and 70 178 nm. For the 620 nm wavelength, in Fig. 8c, at 84 nm thickness of ZnS, the transmittance is 63% and corresponding to 218 nm thickness of ZnS, additional peak is obtained at 438 nm wavelength whose transmittance is 66%. This partial purple color side band approximately interferes with the transmittance of 75 visible light; therefore, 84 nm thicknesses of ZnS is the better for design the red color filters. Thus, the above observations show that the best ultrathin film color filters, for blue color filter, the film thicknesses are 32 nm/140 nm/32 nm and have a maximum transmittance of 73% at 460 nm wavelength with fwhm of 25 nm. 80 For green color filter, the film thicknesses are 32 nm/64 nm/32 nm and have a maximum transmittance of 70% at 540 nm wavelengths and fwhm of 44 nm. For red color filter, the film thicknesses are 32 nm/84 nm/32 nm and have a maximum transmittance of 63% at 620 nm wavelengths and fwhm of 35 nm. 85 Thus, this study infers that the enhancement of optical transmittance in particular visible region depends on the refractive index and thickness of metal and dielectric layers and it is helpful for the design of ultrathin film RGB color filters.

Fig. 9 shows the transmittance v/s wavelength characteristics curve for green color filter at different angle of incidence. This curve shows that as the angle between the sample and beam changes by tilting the sample from 0° to 50°, the central wavelength exhibits a blue shift and at the same time, the intensity of the transmittance peaks decreases from 70% to 62%. These changes are also observed for blue and red color filters, as shown in Fig. S13–S14 (see Supplementary Information). Thus, these fabricated blue, green and red color filters could be ultimate choice for next generation tunable optical filters with portable

size and advanced optical features. Moreover, the exceptional feature of these filters is that the attenuation of light can be achieved by changing the angle of incident beam on filters.

**Table 3:** The optimized Ag/ZnS/Ag multilayer thin film s structures for RGB color filters

Color filters	Design1	Design 2	Design 3
Blue color	32 nm/46	32 nm/140	32 nm/234
filters (460	nm/32 nm	nm/32 nm	nm/32 nm
nm)			
Green color	32 nm/64	32 nm/178	32 nm/292
filters (540	nm/32 nm	nm/32 nm	nm/32 nm
nm)			
Red color	32 nm/84	32 nm/218	32 nm/352
filters (620	nm/32 nm	nm/32 nm	nm/32 nm
nm)			

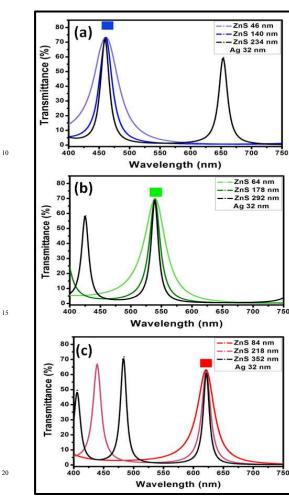


Fig. 8: Effect of the ZnS thickness on transmittance under Ag thickness of 32 nm, (a) blue (460 nm wavelength) (b) green (540

nm wavelength) and (c) red (620 nm wavelength) color filters, inset shows color photographs of the structures viewed in transmission when illuminated with a diffuse white light source.

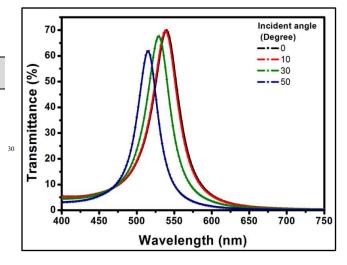
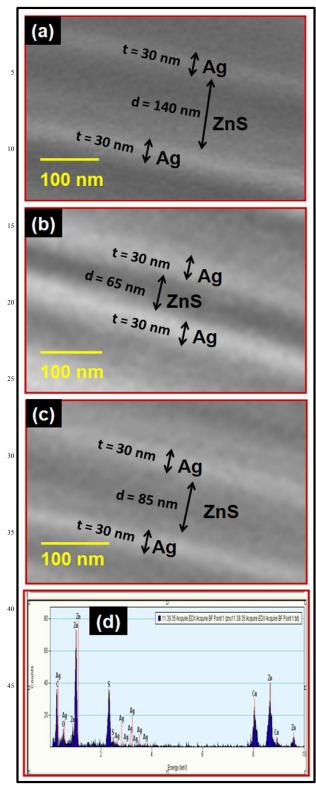


Fig. 9: Transmittance spectra for green color filter at different 35 angle of incidence.

The cross sectional scanning electron microscopic (SEM) images of Ag/ZnS/Ag multilayer thin films (blue color filter, green color filter, red color filter) are shown in Fig. 10a-c. These images confirm that Ag and ZnS are uniformly deposited on glass substrates, alternatively. The light grey band represents the typical SEM image of Ag layer having thickness of ~30 ± 2 nm, 30 ± 2 nm, 30 ± 2 nm and the dark grey band exhibits the ZnS layer with a thickness of ~140 ± 2 nm, 65± 2 nm, 85± 2 nm, for blue color filter, green color filter, red color filter, respectively. These results also clearly indicate the thickness of each layer and interface between the Ag and ZnS layers. The qualitative analysis of artificially stacked ultrathin multilayer films of red color filter was done using energy-dispersive X-ray analysis, as shown in Fig. 10d, which indicates the presence of the Ag, Zn and S elements in the multilayer structure, and Cu, C and O present due

The cross sectional SEM image of red color filter was also used to study the quantitative analysis of selectively spot area on individual layers, which has been examined on contrast individual band of Ag and ZnS, as shown in Fig. S15 (see Supplementary Information). Fig. S15a indicates the cross sectional SEM image of red color filter, indicating the thickness of each layer and interface between the Ag and ZnS layer. Fig. S15 b and S15 c exhibit the weight % of each element present in individual layer of Ag (100%), Zn (50.8%), and S (49.2%) elements, respectively, which has been taken from the Fig. S15 a, marked by the red cross colored circle.

to the carbon-coated copper grid.



**Fig. 10.** Cross sectional SEM images of Ag/ZnS/Ag multilayer <sup>50</sup> thin films; (a) blue color filter (30 nm /140 nm/ 30 nm), (b) green color filter (30 nm/ 65 nm/ 30 nm), (c) red color filter (30 nm/ 85 nm/ 30 nm) and (d) EDX spectrum of red color filter multilayer thin film.

## 55 4. Conclusions

A novel strategy for the fabrication of mechanically and thermally stable and reproducible ultrathin RGB color filters having symmetric metal/dielectric/metal (Ag/ZnS/Ag) resonant structures is demonstrated using the thermal evaporation 60 technique. These artificially stacked Ag/ZnS/Ag ultrathin multilayer structures have found to strong adhesive to the substrate and to each other, which is confirmed though the mechanical stability, thermal stability and contact angle measurements on Ag/ZnS/Ag ultrathin multilayer films. The 65 artificially stacked Ag/ZnS/Ag ultrathin multilayer structures have shown transmission bands at visible wavelength that are highly tunable with respect to the thickness of the layers. The bandwidth and peaks of the transmission spectra are sought from the resonant characteristics of coupled metal-dielectric structure. 70 The artificially stacked Ag/ZnS/Ag multilayer structures with their particular optical constants and thicknesses have shown a good performance for color filter applications. The transmittance 73%, 70% and 63% are observed for the blue, green, and red color filters at centered wavelength 460, 540 and 620 nm with 75 corresponding bandwidths 25, 44 and 35 nm, respectively. The transmittance spectra are also tunable with the change in the angle of incident beam on sample. Thus, the proposed strategy for the fabrication of artificially stacked multilayers is highly suitable for its potential applications in advanced color tunable optical

## Notes and references

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- 90 † Electronic Supplementary Information (ESI) available: [details of any supplementary information available should be included here]. See DOI: 10.1039/b0000000x/
- ‡ Footnotes should appear here. These might include comments relevant to but not central to the matter under discussion, limited experimental and 95 spectral data, and crystallographic data.

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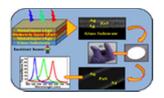
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Demonstration of highly-efficient resonant structure assisted ultrathin artificially stacked Ag/ZnS/Ag multilayer films for color filters applications.  $12x7mm \; (300 \times 300 \; DPI)$