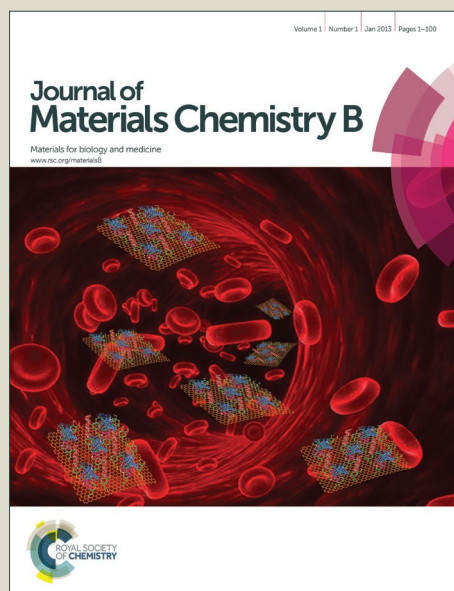


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1 **Preparation of optimized lipid-coated calcium phosphate nanoparticles for**
2 **enhanced in vitro gene delivery to breast cancer cells**

3

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16

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18 loading efficiency; Cellular uptake

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26 **Abstract**

27 Lipid coated calcium phosphate (LCP) nanoparticles (NPs) remain an attractive option for
28 siRNA systemic delivery. Previous research has shown that the stoichiometry of reactants
29 affects the size and morphology of nanostructured calcium phosphate (CaP) particles.
30 However, it is unclear how synthesis parameters such as the Ca/P molar ratio and mixing
31 style influence the siRNA loading and protection by LCP NPs, and subsequent siRNA
32 delivery efficiency. In this research, we found that the Ca/P molar ratio is critical in
33 controlling the size, zeta potential, dispersion state, siRNA loading and protection. Based on
34 the siRNA loading efficiency and capacity as well as siRNA protection effectiveness, we
35 suggested an optimized LCP NPs delivery system. The optimized LCP NPs had a hollow,
36 spherical structure with the average particle size of ~40 nm and were able to maintain their
37 stability in serum containing media and PBS for over 24 h, with a pH-sensitive dissolution
38 property. The superior ability of optimized LCP NPs to maintain the integrity of encapsulated
39 siRNA and the colloidal stability in culture medium allow this formulation to achieve
40 improved cellular accumulation of siRNA and enhanced growth inhibition of human breast
41 cancer cells *in vitro*, compared with the commercial transfection agent OligofectamineTM.

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51 1. Introduction

52 RNA interference technology has stood out as one of the most attractive anti-tumor
53 therapeutics because of its revolutionary potency and selectivity for targeted gene silencing.¹
54 Although siRNA offers several advantages as potential new drugs, challenges for overcoming
55 its inherent instability against nucleases and poor bioavailability remain unsolved
56 effectively.^{2,3} Therefore, efficient and biocompatible delivery vectors of siRNA are required
57 to achieve its full therapeutic potential. It is true that siRNA-based therapeutics share the
58 physicochemical characteristics similar to plasmid DNA, the short and unbendable structure
59 of double-stranded siRNA/dsDNA does not allow it to form nano-scale complexes with
60 cationic polymers as tight as plasmid DNA, resulting in loose siRNA-polymer complexes and
61 reduced delivery efficacy.⁴⁻⁶ Moreover, issues of potential toxicity of these conventional
62 carriers have not been resolved yet.⁷⁻⁹

63 Calcium phosphate (CaP) in the nanomaterial form has excellent properties as a
64 nanocarrier of siRNA for cancer gene therapy.¹⁰⁻¹² Calcium phosphates are of high
65 biocompatibility and good biodegradability as they constitute the major inorganic phase of
66 human hard tissues.¹³ Calcium ions are also known to form complexes with the nucleic acid
67 backbone and thus may protect the double-stranded siRNA products from attack by serum
68 nucleases.^{14, 15} Moreover, CaPs are dissolvable at low pH (around 4-5), e.g. in lysosomes
69 after the cellular uptake or in the environment of solid tumors, thereby releasing incorporated
70 therapeutics.^{10, 16-18} Recently, by stabilizing the CaP core with cationic lipid layer, Huang *et*
71 *al.* developed a lipid-coated calcium phosphate nanoparticles (LCP NPs), which possess a
72 colloidal stability in aqueous solution and demonstrate a significant (~40-fold *in vitro* and ~4-
73 fold *in vivo*) improvement in siRNA delivery compared with their previous
74 lipid/protamine/DNA (LPD) formulation.^{19, 20}

75 The LCP NP was prepared by using microemulsion technology to form calcium phosphate

76 (CaP) core and then coated with a second lipid.^{19, 20} During the synthesis of CaP NPs, an
77 amphiphilic anionic lipid, dioleoylphosphatidic acid (DOPA), was added into the
78 microemulsion. The amphiphilic DOPA stays at the interface of microemulsions and interacts
79 with the precipitated CaP NPs through binding with the surface Ca^{2+} . The NPs are then
80 coated with a second layer of lipids. The formation of CaP nanoprecipitate in microemulsions
81 has been described as a fairly complicated process, dependent on several preparation
82 parameters, such as calcium and phosphate ion concentration, ionic strength, pH, temperature,
83 and nature and concentration of surfactants.^{14, 21} The ratio of Ca^{2+} to $\text{H}_x\text{PO}_4^{x-3}$ (Ca/P) is also
84 important because the ratio may trigger precipitation of a specific phase combination and thus
85 initiate different kinetic pathways for the reaction, leading to precipitation with different
86 properties.^{14, 21} Previous reports indicate the influence of the stoichiometry of reactants on
87 pure nanostructured CaP, while it is not clear how the Ca/P ratio affects the LCP properties in
88 the presence of DOPA because DOPA may substitute a part of phosphate ions.^{10, 20, 22} More
89 importantly, the influence of preparation parameters on siRNA loading and protection by
90 LCP NP-based delivery vectors is not well understood.

91 In this study, we aimed to elucidate the effects of the Ca/P molar ratio as well as the
92 siRNA loading way on (1) the particle size and dispersion state of synthesized LCP NPs; (2)
93 the siRNA loading efficiency and capacity by LCP NPs; and (3) the protection of loaded
94 siRNA from enzyme degradation by LCP NPs. Based on these data, we tentatively
95 determined an optimal LCP NP delivery system, which showed significantly improved
96 cellular uptake efficiency and growth inhibition of human breast cancer cells (MDA-MB-468)
97 when cell death inducing siRNA (CD siRNA) was loaded by LCP NPs. Thus the optimized
98 LCP NPs are potential highly efficient siRNA delivery vectors for cancer treatments *in vitro*
99 as well as *in vivo*.

100

101 2. Materials and methods

102 2.1 Materials

103 Double stranded DNA labelled with Cyanine3 (Cy3-dsDNA) and cell death siRNA (CD-
104 siRNA) were purchased from GeneWorks, phospholipids (DOPA, DOPC) from Avanti Polar
105 Lipid, and other chemicals and reagents from Sigma-Aldrich if not illustrated specifically.
106 Water used in experiments was deionised Milli-Q water.

107

108 2.2. LCP NP preparation

109 LCP NPs were prepared by a modified two-step method based on the previous report.²⁰ The
110 anionic lipid coated CaP cores were prepared by a water-in-oil microemulsion method, and
111 then the second lipid layer was used to coat the CaP core to form the bilayer lipid-coated CaP
112 (LCP) nanoparticles (NPs) via the film-rehydration method. Briefly, 150 μL of 2.5 M CaCl_2
113 was dispersed in 5 mL of mixed cyclohexane/Igepal CO-520 (7/3, v/v,) to form a well-
114 dispersed water-in-oil microemulsion. The similar microemulsion containing sodium
115 phosphate was prepared by dispersing 150 μL Na_2HPO_4 (pH = 9.0) in another 5 mL of oil
116 phase with the Ca/P molar ratio = 25-400. The sodium phosphate emulsion was first added
117 with 50 μL (20 mM) DOPA in chloroform and then the as-prepared CaCl_2 emulsion,
118 followed by stirring for 20 min. The CaP-DOPA cores were collected by adding 10 mL of
119 absolute ethanol and centrifuging at 10,000g for 20 min, followed by washing with ethanol
120 for 3 times. The collected CaP core pellets were then dispersed in 1 mL of chloroform, and
121 mixed with 70 μL of 20 mM DOPC/Cholesterol (1:1). After chloroform evaporation under
122 reduced pressure, the lipid film was then hydrated in PBS buffer (pH = 7.4) or water to obtain
123 LCP NPs, which were normally well dispersed under gentle ultrasound treatment.

124

125 2.3. Loading siRNA/dsDNA into LCP NPs

126 LCP NPs were used to encapsulate siRNA-mimicking Cy3-dsDNA with 4 different loading
127 methods at a fixed Ca/P molar ratio of 100. Briefly, in method 1 (Ca), 40 μg Cy3-dsDNA
128 was first mixed with CaCl_2 solution only, and in method 2 (P), Cy3-dsDNA with Na_2HPO_4
129 solution only. In method 3 (Ca&P), half amount of Cy3-dsDNA was first mixed with both
130 CaCl_2 and Na_2HPO_4 solution, respectively. The subsequent procedure for making LCP-Cy3-
131 dsDNA NPs was the same as described in section 2.2.

132 The efficiency of dsDNA encapsulation and the dsDNA-loading capacity in LCP NPs were
133 determined as follows. After LCP-Cy3-dsDNA particles were dissolved in the lysis buffer (2
134 mM EDTA and 0.05% Triton X-100 in pH 7.8 Tris buffer) by incubating at 65 °C for 10 min,
135 the concentration of Cy3-dsDNA released from dissolved LCP was determined by measuring
136 the fluorescence intensity, and then the amount of dsDNA loaded into the LCP NPs was
137 calculated. All data were reported as the mean value plus the standard deviation in three
138 parallel experiments.

139

140 **2.4. Serum stability of siRNA encapsulated in LCP NPs**

141 The ability of LCP NPs synthesized at different Ca/P ratios to protect siRNA from
142 degradation by serum enzymes was studied by agarose gel electrophoresis. LCP-CD siRNA
143 NPs were incubated in DMEM medium containing 50% fetal bovine serum (FBS) at 37°C.
144 Then, 20 μL of aliquot was withdrawn at 0, 1, 2 or 4 h, to which an equal volume of lysis
145 buffer (2 mM EDTA and 0.05% Triton X-100 in pH 7.8 Tris buffer) was immediately added
146 to stop the degradation and release the entrapped CD siRNA. After complete dissolution of
147 LCP NPs, 10 μL solution (corresponding to 50 pmol of CD siRNA at 0 h) was loaded to each
148 well and then analyzed by 0.8% agarose gel in TBE buffer (89 mM Tris, 89 mM Boric Acid,
149 and 2 mM EDTA, pH 8.4) containing 5 μL Gel staining safe dye (GelRedTM Nucleic Acid
150 Gel Stain, 10,000 \times in water, Biotium, USA). Electrophoresis was carried out at a constant

151 voltage of 90 V for 40 min. The image under the fluorescent light was captured by a gel
152 documentation system (Bio-Rad Laboratories, Inc., Hercules, CA).

153

154 **2.5. Characterization of LCP NPs**

155 The hydrodynamic diameter and zeta potential of LCP NPs were determined at room
156 temperature using a dynamic light scattering device (DLS, Zetasizer Nano, Malvern, UK). To
157 visualize the morphology of CaP cores and LCP NPs, the nanoparticle suspension was
158 dropped onto a 300-mesh carbon coated copper grid and dried on a filter paper at room
159 temperature. The grid was then stained with 2% uranyl acetate and observed in a transmission
160 electron microscope (TEM, JEM-3010, ZEOL, Tokyo, Japan). The crystallinity and
161 composition of LCP NPs were examined by X-Ray Diffraction (XRD) pattern and Fourier
162 transform infrared spectroscopy (FTIR).

163

164 **2.6. Colloidal stability of LCP NPs**

165 The colloidal stability of LCP NPs (Ca/P molar ratio = 100) was assessed in phosphate
166 buffered saline (PBS, pH 7.4) and medium with 10% FBS by monitoring changes of the
167 average particle size at predetermined time points (0, 0.5, 1, 5, 10, and 24 h, 1 and 2 weeks).
168 Briefly, the samples were prepared by diluting 100 μ L of as-prepared LCP NP suspension
169 with medium containing 10% FBS or PBS buffer (pH 7.4) to 1.0 mL and incubated at 4 or 37
170 $^{\circ}$ C for a period of predetermined time, and the particle size was measured by DLS.

171

172 **2.7. pH-sensitive dissolution of LCP NPs**

173 To examine the pH-sensitive gene release, the leaching of Ca^{2+} from the as-prepared LCP
174 NPs was investigated. A typical experiment was performed as follows. About 10 mg of dry
175 LCP NP powder was dispersed in 50 mL buffer solution with pH of 4.5, 6.5 and 7.4.

176 Thereafter, the resulting suspension was shaken in a sealed vessel at 37°C with a constant
177 shaking rate (140 rpm). The medium (0.4 mL) was withdrawn at given time points (0, 0.25,
178 0.5, 1, 2, 3, 4, and 24 h) and replaced with the same volume of fresh buffer with the same pH
179 value. The withdrawn liquid was then filtered by 0.22 µm filter and the Ca²⁺ concentration
180 was determined by inductively coupled plasma optical emission spectrometry (ICP-OES,
181 JY2000-2, Horiba).

182

183 **2.8. In vitro cellular uptake**

184 The cellular uptake of LCP NPs was quantitatively assessed in the human breast cancer cell
185 line MDA-MB-468, using flow cytometry analysis. Cy3-dsDNA was used to track LCP NPs.
186 MDA-MB-468 cells were seeded at the density of 1.5×10^5 cells/well in 6-well plates and
187 incubated overnight. Then the culture medium was replaced by 1.0 mL of fresh DMEM (10%
188 FBS) containing LCP NPs (loaded with 5, 25, 50, 150, and 200 nM of Cy3-dsDNA) in each
189 well and the cells were incubated at 37 °C for 4 h. The experiment was terminated by
190 washing the cells 3 times with phosphate-buffered saline (PBS, pH 7.4) to eliminate excess
191 particles. The cells were then fixed with 1.0 mL of 3.8% paraformaldehyde in PBS at room
192 temperature for 20 min, and subsequently analyzed by flow cytometry (Accuri C6 flow
193 cytometer, BD Biosciences). Untreated cells were used to gate the population of viable cells
194 and the gate was applied to the subsequent assays. The mean fluorescence intensity (MFI)
195 was used to indicate the cellular uptake efficiency of LCP-Cy3-dsDNA NPs.

196 For confocal microscopy, MDA-MB-468 cells were seeded on coverslips at a density of
197 1.5×10^5 cells per well in a 6-well plate. After 24 h cultivation, the cells were treated by LCP
198 NPs with 150 nM Cy3-dsDNA for 4 h. Then the cells were washed 3 times with cold PBS
199 (pH 7.4) and fixed with 4% paraformaldehyde. The cell nuclei were stained with 4,6-
200 diamidino-2-phenylindole (DAPI, Invitrogen) for 5 min, followed by another washing with

201 PBS for 3 times. Coverslips were mounted cell-side down with slides and visualized using a
202 Zeiss LSM 510 laser scanning confocal fluorescence microscope (Carl Zeiss MicroImaging
203 GmbH, Oberkochen, Germany).

204

205 **2.9. In vitro inhibition of cancer cell growth**

206 The growth inhibition of MDA-MB-468 cell line by LCP-CD-siRNA NPs was assessed using
207 MTT assay. Briefly, 2×10^3 cells per well were incubated in 200 μL of medium in a 96-well
208 plate overnight (37 °C, 5% CO_2). Then, fresh medium containing a range of concentrations of
209 LCP-CD-siRNA NPs (5-80 nM) was added into the plate wells. The wells cultured with
210 fresh media and commercial transfection reagent Oligofectamine™ (Life Technologies,
211 Carlsbad, CA, USA) were used as negative and positive control, respectively. After treatment
212 for 48 h, 20 μL of MTT solution (5 mg mL^{-1} in PBS) was added into each well. After
213 incubation for 4 h at 37 °C, 100 μL DMSO (Sigma-Aldrich, Castle Hill, Australia,
214 BioReagent, $\geq 99.9\%$) was added to dissolve the formazan product. Absorbance readings at
215 490 nm were measured using a plate reader (Bio-Tek, Winooski, VT, USA). The cell
216 viability (%) was calculated to determine the cell growth inhibitory effects in each group.
217 Every experiment was performed in triplicate and the mean value was reported.

218 To determine the condition of viable cells in culture media with a range of concentration of
219 LCP-CD-siRNA NPs, cell morphology after siRNA transfection was further observed.
220 Briefly, the cells were plated at the density of 5×10^3 cells per well in a 96-well plate
221 overnight. Then, the cells were treated with fresh culture media containing various
222 concentrations of LCP-CD siRNA NPs for 48 h. Cells were washed 3 times with PBS and
223 then stained with 0.4% trypan blue (Sigma, USA) for 3 min to show the cell viability. After
224 staining, the samples were imaged under 10 \times in bright field (Olympus, Japan).

225 The cytotoxicity of blank LCP NP on MDA-MB-468 cells was further investigated. Briefly,
226 2×10^3 cells per well were incubated in 200 μL of medium in a 96-well plate overnight (37 $^\circ\text{C}$,
227 5% CO_2), and fresh media containing a range of concentrations of blank LCP NPs (0~400 μg
228 mL^{-1}) was added. After treatment for 48 h, MTT assay was performed to determine the cell
229 viability. Every experiment was performed in triplicate and the mean value was reported.

230

231 2.10. Statistical analysis

232 Data presented as the mean \pm SEM or the mean \pm SE were analyzed by two-way ANOVA
233 using GraphPad Prism software; a p value < 0.05 was considered statistically significant. *, P
234 < 0.05 ; **, P < 0.01 ; ***, P < 0.001 .

235

236 3. Results and discussion

237 3.1. The effect of the Ca/P molar ratio on particle size and zeta potential

238 As shown in Fig. 1, the number-mean particle size of LCP NPs decreased with the increase of
239 Ca/P ratio from 25 to 100, and did not change in the Ca/P ratio from 100 to 400. When Ca/P
240 ratio was 25, the number-mean particle size was 194.4 ± 78.0 nm, which decreased to
241 73.6 ± 11.2 and 48.4 ± 3.9 nm at the Ca/P ratio of 50 and 100, respectively. The particle size of
242 LCPs synthesized at Ca/P ratios of 200 and 400 (e.g. 45.4 ± 2.0 nm and 47.8 ± 1.9 nm) was
243 similar to that at 100. It has been reported that the calcium to phosphate (Ca/P) molar ratio
244 affects the CaP particle size to some degree,^{10, 14} in consistence with our observation that the
245 average particle size for the LCP NPs was related to the Ca/P molar ratio.

246 Furthermore, the polydispersity index (PDI) value of LCP NPs synthesized at the Ca/P
247 ratio of 100 to 400 was 0.263 ± 0.014 , 0.310 ± 0.055 and 0.441 ± 0.141 (Table S1, ESI[†]),
248 respectively. The PDI value of nanoparticles with the Ca/P ratio < 100 was bigger (Table S1,
249 ESI[†]), indicating that the particle size distribution was much broader, largely attributed to the

250 formation of aggregates (Fig. S1, ESI†). These data suggest that as the phosphate
251 concentration decreased, the particle size became less fluctuated, yielding smaller and more
252 colloiddally stable LCP particles.

253 Meanwhile, the zeta potential values slightly decreased from -7.5 to -14.7 mV with the
254 increase of the Ca/P ratio (Fig. 1), which may be relevant to the relative amount of DOPA.
255 Since the phospholipid DOPC in the outer layer is charge neutral at pH 7, the possible reason
256 for more negative charges carried by LCP is that there is more anionic lipid DOPA in the
257 inner coating layer. When the Ca/P ratio is high (e.g. 400), the amount of phosphate is far
258 from enough, so more DOPA (with a phosphate group $-\text{OPO}_3\text{H}^-$) would combine Ca ions on
259 the CaP core surface. Since this phosphate-Ca complex ($-\text{OPO}_3\text{H}-\text{Ca}$) can also be
260 deprotonated as $-\text{OPO}_3^--\text{Ca}$ with a negative charge, thus increased amount of DOPA on the
261 LCP NP inner coating layer would lead to an increased negative zeta potential in the case of
262 high Ca/P ratios.

263

264 3.2. The effect of the Ca/P molar ratio on siRNA loading and protection

265 As shown in Fig. 2A, the loading efficiency of Cy3-dsDNA using 3 methods was $39.8 \pm 1.2\%$,
266 $42.4 \pm 1.2\%$, and $66.6 \pm 2.4\%$, respectively, at the Ca/P molar ratio of 100. Obviously, Method
267 3 (Ca&P) led to the highest encapsulation efficiency ($P < 0.001$), where half amount of Cy3-
268 dsDNA was separately mixed with calcium and phosphate solution. There was no obvious
269 difference in the loading efficiency between method 1 and 2. A similar loading capacity was
270 reported by Li *et al.* for LCP particles synthesized using loading method 1.¹⁶ Owing to the
271 affinity of Ca^{2+} ions for PO_4^{3-} groups in helical dsDNA and free phosphate ions in solution,
272 dsDNA/CaP composites can be simultaneously formed during the CaP crystal formation.²¹
273 When dsDNA is pre-incubated with calcium and phosphate solution, respectively (method 3),
274 there might be some dsDNA- Ca^{2+} and dsDNA- $\text{H}_x\text{PO}_4^{x-3}$ ion-pairs formed, which probably

275 provide more opportunities for dsDNA to be compacted by CaP precipitates than that for
276 individual dsDNA-Ca²⁺ or dsDNA-H_xPO₄^{x-3} ion-pairs (method 1 or 2). Since method 3
277 yielded the highest encapsulation efficiency, it was used as the optimal siRNA loading way in
278 the following LCP NP preparation.

279 Next, the loading efficiency and amount of dsDNA were determined for the LCP NPs
280 synthesized with method 3 at various Ca/P ratios (Fig. 2B). The encapsulation efficiency of
281 dsDNA by LCP NPs synthesized at the Ca/P ratio of 50 and 100 was 72.8±4.9% and
282 66.6±2.4%, respectively, much higher than that at the Ca/P ratio of 200 and 400 (36.9±6.4%
283 and 32.9±5.2%). At a higher Ca/P ratio, fewer CaP particles are formed and as such a smaller
284 amount of dsDNA is encapsulated, leading to a lower encapsulation efficiency. The similar
285 decline in dsDNA binding capacity was also observed by Jordan and Olton for calcium
286 phosphate particles synthesized using low amounts of phosphate.^{10, 23} These data clearly
287 indicate that the Ca/P ratio is critical in controlling the encapsulate efficiency of siRNA
288 mimicking dsDNA by the LCP particles.

289 On the other hand, the loading capacity of LCP NPs increased from 32.1±2.2 to
290 116.1±18.2 μg mg⁻¹ with the Ca/P molar ratio increasing from 50 to 400. It is believed that
291 the gene loading capacity is directly related to the amount of phosphate ions present in the
292 reaction mixture.²¹ At a higher Ca/P ratio, there are fewer phosphates available, and thus
293 more dsDNA molecules are encapsulated by one CaP particle, leading to a higher
294 encapsulation capacity. Reversely, the lower Ca/P ratio results in higher encapsulation
295 efficiency and more LCP NPs, but the loading capacity per CaP particles is relatively low.
296 As a trade-off, LCP NPs synthesized at the Ca/P ratio of 100 seem to be optimal to load
297 siRNA with a reasonably high encapsulation efficiency (66.6±2.4%) and loading capacity
298 (58.7±2.1 μg mg⁻¹).

299

300 **3.3. Protection of siRNA from serum RNase degradation**

301 Fig. 3 presents the biological stability of siRNA encapsulated in LCP NPs in serum. As
302 clearly shown, 1 h incubation with the serum largely degraded naked siRNA and there was no
303 siRNA left after 2 h incubation. We also observed that siRNA encapsulated in LCP NPs
304 prepared at the Ca/P ratio of 400 was degraded quickly and almost no siRNA was protected
305 after 4 h incubation. Relatively, there was a large proportion of siRNA protected by LCP NPs
306 prepared at Ca/P ratios of 50, 100 and 200 at 1 h, and the protection was extended to 4 h.
307 Interestingly, the siRNA protection by the LCP NPs prepared at Ca/P = 100 seems to be the
308 highest.

309 It is believed that protection of siRNA from RNase degradation in serum is mainly
310 provided by the CaP cores of LCP NPs, in comparison with the naked siRNA. During
311 formation of CaP crystals, the affinity of Ca^{2+} ions for the helical PO_3^{4-} groups of gene helps
312 trap dsDNA or siRNA within the crystals or anchor on the CaP surface.¹⁰ At a higher Ca/P
313 ratio (i.e. 400), more siRNA is loaded onto each LCP NP, and in particular, to compensate for
314 more surface Ca^{2+} , i.e. there is more siRNA attached to the particle surface, thus enabling
315 them more vulnerable to the RNase. In contrast, with the increase of phosphate concentration
316 (i.e. lower Ca/P ratio), more siRNA is efficaciously encapsulated into the CaP cores, leading
317 to an increased resistance of siRNA with respect to enzymatic degradation.

318 Considering the loading efficiency, the loading capacity and the protection of loaded
319 siRNA from enzyme degradation all together, we suggest that siRNA-LCP NPs prepared at
320 Ca/P = 100 are the optimised delivery system, and used in the subsequent testings.

321

322 **3.4. Physicochemical properties of optimized LCP NPs**

323 Some characteristics of optimized LCP NPs are presented in Fig. 4. The mean particle size of
324 CaP core was ~20 nm, and the average size of LCP NPs increased to ~40 nm after coated

325 with the second lipid layer (Fig. 4A). When CD siRNA was loaded, the average particle size
326 was unchanged, with the zeta potential of around -15 mV. The LCP NPs were well dispersed
327 sphere-like particles, as observed by TEM (Fig. 4B). The TEM image confirmed the typical
328 hollow structure of CaP cores (Fig. 4Ba) and the coating lipid membrane of LCPs after
329 negative staining (Fig. 4Bc), a salient feature of LCP NPs.¹⁹ Relatively, LCP NPs prepared at
330 Ca/P = 400 were more porous (Fig. S2, ESI†), so the DNase enzyme could more easily
331 access and degrade the loaded siRNA, which may be the other reason that these LCP NPs
332 provide less protection of loaded siRNA (Fig. 3).

333 In addition, the XRD pattern (Fig. S3, ESI†) and FT-IR spectrum (Fig. S4, ESI†) together
334 confirm that (1) calcium phosphate (CaP) is precipitated; (2) CaP precipitate is amorphous;
335 and (3) CaP precipitate is lipid-coated, as explained in the ESI†. These features are very
336 similar to the previous reports.^{20, 24, 25}

337 Consequently, the colloidal stability of LCP NPs in PBS at 4 °C as well as in the medium
338 with 10% FBS at 37 °C were tested. As shown in Fig. 5, LCP NPs maintained their size and
339 narrow distribution unchanged for 24 h in the serum-containing medium and even after a
340 week in PBS ($P > 0.05$). After 1 week incubation in medium with 10% FBS, the particle size
341 of LCP NPs increased ($P < 0.05$). The lipid bilayer outside the CaP core provides effective
342 surface shielding via its hydration layer, and thus prevents the particle growth and inter-
343 particular aggregation. These together improve the colloidal stability of the LCP NPs in an
344 aqueous medium via hydrogen bonding.²⁶ The increase in size of the nanoparticles in
345 medium with 10% FBS after 1 week may be due to the formation of secondary aggregates as
346 part of the lipid layer may be detached from the LCP NP surface. Thus, LCP NPs are
347 colloidal stable for an extended time (a week) in PBS at 4 °C and stable enough to be used
348 in the following in vitro experiments (24 h at 37 °C).

349 As shown in Fig. 6, the LCP NPs exhibited a certain degree of dissolution in aqueous
350 solution depending on the pH value. The cumulative dissolution of LCP NPs was 15%, 37%
351 and 93% in terms of the Ca^{2+} concentration at a release time of 30 min with the pH value of
352 7.4, 6.5 and 4.5, respectively. Thereafter, the dissolution of LCP NPs within 24 h slowly
353 increased to 40% in aqueous solution with pH 6.5 while LCP NPs were much less soluble in
354 aqueous solution with pH 7.4 (15-20% dissolution). The experimental data suggest that as-
355 prepared LCP NPs have a favourable property of pH-controlled dissolution, which can induce
356 a pH sensitive drug release. It is well known that the pH value in the endosome can be as low
357 as 4.5. Thus, the LCP NPs would mostly dissolve at this pH and release the cargo, i.e. siRNA.
358 The pH-responsive drug delivery system is also regarded as a promising strategy for tumor
359 therapy because of the acidic environment in solid tumors.

360

361 **3.5. Cellular uptake and siRNA delivery efficacy of LCP NPs**

362 As shown in Fig. 7, the cellular uptake of LCP NPs by MDA-MB-468 cells, represented by
363 the mean fluorescence intensity (MFI), increased gradually with the concentration of LCP
364 NPs in the culture medium, indicating that the cellular uptake of LCP is dose-dependent.
365 Similarly, the positive cell percentage increased from a few percent to >40% with the LCP
366 NP dose increasing from 25 to 200 nM (represented by the loaded dsDNA). As reported
367 elsewhere, the cellular uptake of LCP NPs probably undergoes the clathrin-mediated
368 endocytosis.²⁷

369 Confocal microscopy image using fluorescence-labelled dsDNA also shows the enhanced
370 efficiency in the cellular uptake of Cy3-dsDNA via LCP NPs (Fig. 8). As can be clearly seen,
371 under the same experiment conditions the cells show no red signal in the case of free Cy3-
372 dsDNA. The higher fluorescence intensity observed in the Cy3-dsDNA loaded LCP NPs
373 group further confirms that more genes were internalized into MDA-MB-468 cells via LCP

374 NPs, which is consistent with the quantitative measurements of cellular uptake shown in Fig.
375 7.

376 The high cancer cell growth inhibition has been further demonstrated by the LCP-CD
377 siRNA NPs. As shown in Fig. 9, the cell viability was CD siRNA dose dependent. As a
378 comparison, the commercial delivery system OligofectamineTM reduced the cell viability to
379 ~60% ($P < 0.001$) at 80 nM, which is corresponding to that when treated with 20 nM CD
380 siRNA loaded in LCP NPs. At the CD siRNA dose of 40 and 80 nM, LCP-CD siRNA NPs
381 were able to kill 65% and 83% MDA-MD-468 cells, with ~1.6- and 2.0-fold higher inhibition,
382 respectively, than the commercial transfection reagent OligofectamineTM with 80 nM CD
383 siRNA (Fig. 9). The morphology change and much less MDA-MB-468 cells in the LCP-CD
384 siRNA NP-treated groups further confirmed the cell growth inhibitory effect of LCP-CD
385 siRNA NPs (Fig. S5, ESI[†]). It is worth mentioning that LCP NPs had a low toxicity (Fig. S6,
386 ESI[†]). An LCP NP dose less than 400 $\mu\text{g mL}^{-1}$ did not obviously affect the cell viability. CaP
387 and lipid have long been used as biomaterials for clinical purposes due to their low toxicity,
388 excellent biocompatibility and biodegradability.²² In the case of 80 nM CD siRNA in LCP
389 NPs, there was ~40 $\mu\text{g mL}^{-1}$ of LCP NPs. This low dose of LCP NPs thus clearly shows that
390 the inhibition is solely attributed to the high delivery efficacy of CD siRNA using LCP NPs.

391 Therefore, our current research has demonstrated that the optimized LCP NPs are a
392 promising platform to carry and effectively deliver siRNA in the anticancer treatments.

393

394 4. Conclusions

395 We have demonstrated that nano-sized and mono-dispersed lipid coated calcium phosphate
396 nanoparticles (LCP NPs) were achieved by controlling the Ca/P ratio. The particle size and
397 zeta potential were predominantly determined by the Ca/P ratio. More interestingly, the
398 loading efficiency of siRNA and the protection of the loaded siRNA from enzyme

399 degradation were also significantly determined by the Ca/P ratio. Based on these data, we
400 suggested an optimized LCP NP delivery system that can be prepared at the Ca/P = 100 with
401 the average particle size of ~40 nm and the zeta potential from -10 to -15 mV (with or
402 without CD siRNA). Our *in vitro* tests further demonstrated that this optimized LCP NP
403 system can efficiently deliver the functional CD siRNA to MDA-MB-468 cancer cells and
404 more effectively inhibit the cell growth in comparison with the commercial transfection agent.
405 Thus the current research has revealed that the LCP NP system can be optimized to further
406 improve gene transfection for *in vivo* anti-tumor treatments.

407

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460

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Figure captions

- Fig. 1 The average particle size and the zeta potential of LCP NPs prepared at varied Ca/P ratios. The data presented as the mean \pm SE (n = 3).
- Fig. 2 (A) Encapsulation efficiency of dsDNA via different loading methods at the Ca/P ratio of 100; (B) Effect of the Ca/P ratio on gene encapsulation efficiency and loading capacity. Values presented as the mean \pm SE from 3 independent experiments.
- Fig. 3 Effect of the Ca/P ratio on serum stability of siRNA in LCP-CD siRNA NPs.
- Fig. 4 (A) The particle size distribution of optimized LCP NP and LCP-CD siRNA NP (Ca/P ratio = 100); (B) TEM images of CaP cores (a), LCP NPs before (b) and after negative staining (c).
- Fig. 5 Colloidal stability of LCP NPs in PBS and medium with 10% FBS (37°C, 5% CO₂) as a function of time. Data given as the mean \pm SE (n = 3).
- Fig. 6 The dissolution profile of LCP NPs in aqueous solutions with different pHs.
- Fig. 7 (A) The effect of LCP-Cy3-dsDNA dose on the cellular uptake, represented by the mean fluorescent intensity (MFI) of viable cells; (B) the percentage of positive cells after incubation for 4 h.
- Fig. 8 Fluorescence photographs of cultured MDA-MB-468 cells after treatment with LCP-Cy3-dsDNA NPs for 4 h.
- Fig. 9 Viability of MDA-MB-468 cells in the presence of LCP-CD siRNA NPs at different concentrations. Data presented as the mean \pm SE from 3 independent experiments.

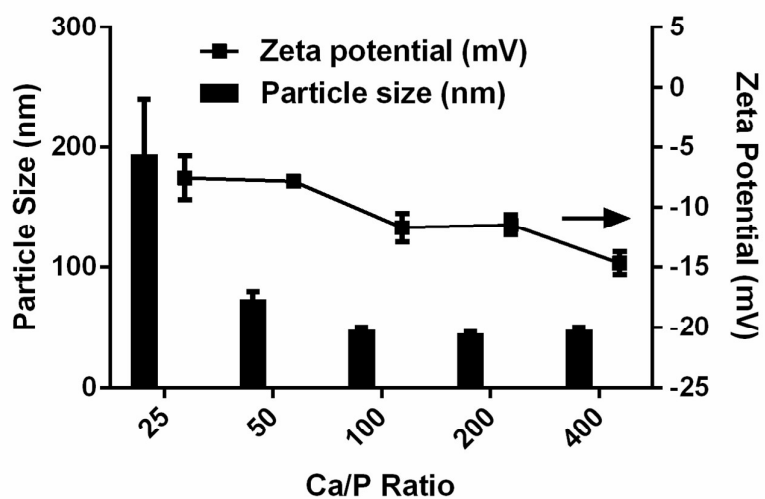


Fig. 1 The average particle size and the zeta potential of LCP NPs prepared at varied Ca/P ratios. The data presented as the mean \pm standard error ($n = 3$).

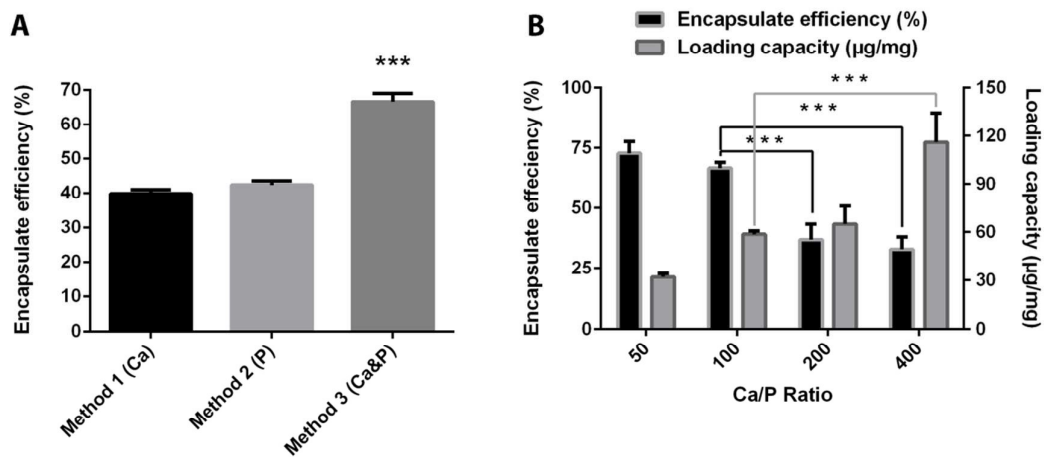


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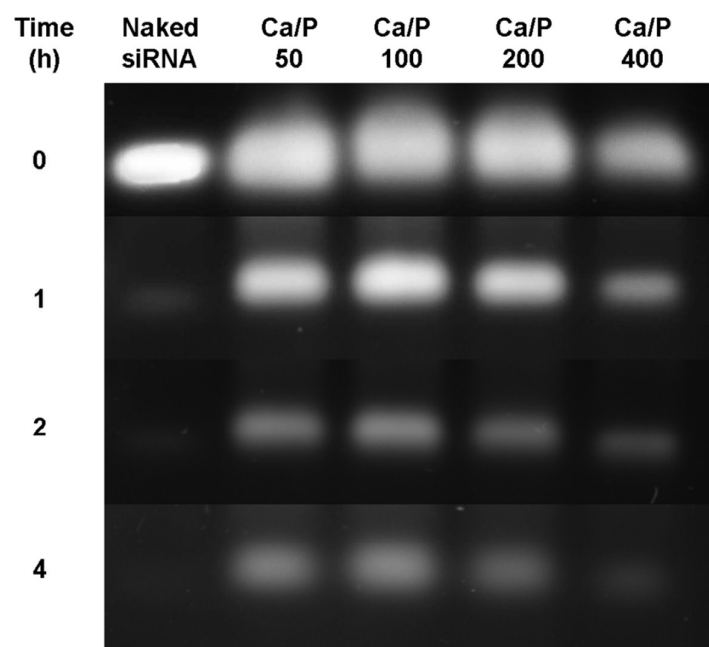


Fig. 3 Effect of the Ca/P ratio on serum stability of siRNA in LCP-CD siRNA NPs.

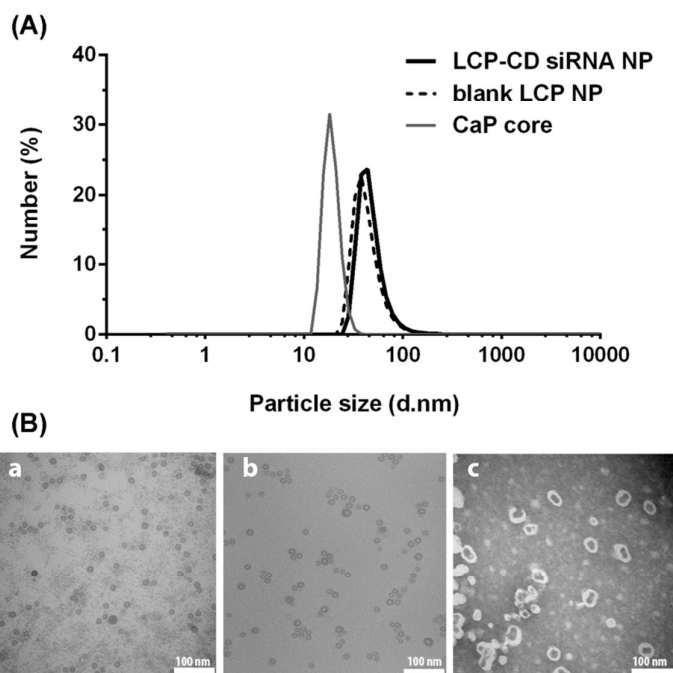


Fig. 4 (A) The particle size distribution of optimized CaP core, LCP NP and LCP-CD siRNA NP (Ca/P ratio = 100); (B) TEM images of CaP cores (a), LCP NPs before (b) and after negative staining (c).

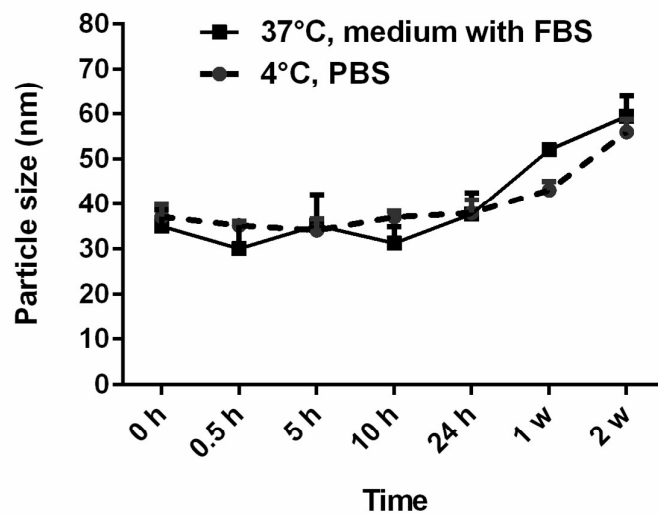


Fig. 5 Colloidal stability of LCP NPs in PBS (4 °C) and medium with 10% FBS (37°C, 5% CO₂) as a function of time. Data given as the mean \pm SE (n = 3).

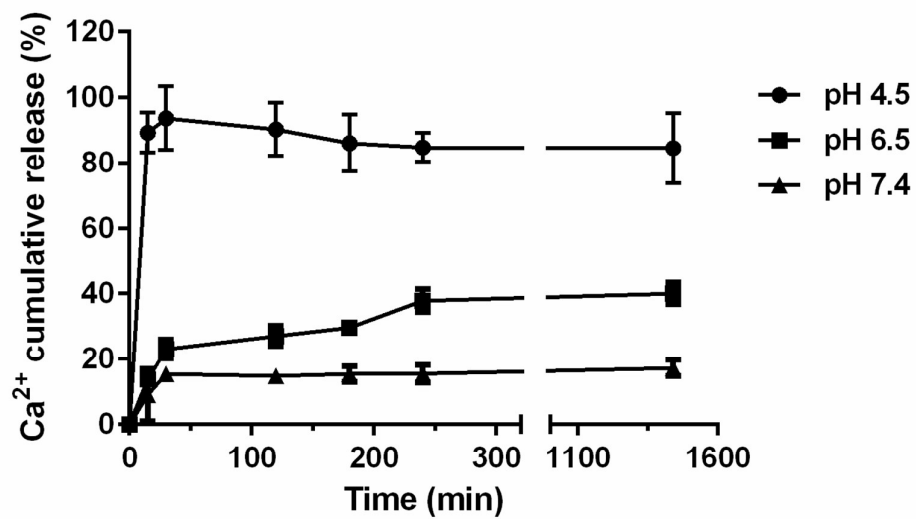


Fig. 6 The dissolution profile of LCP NPs in aqueous solutions with different pHs.

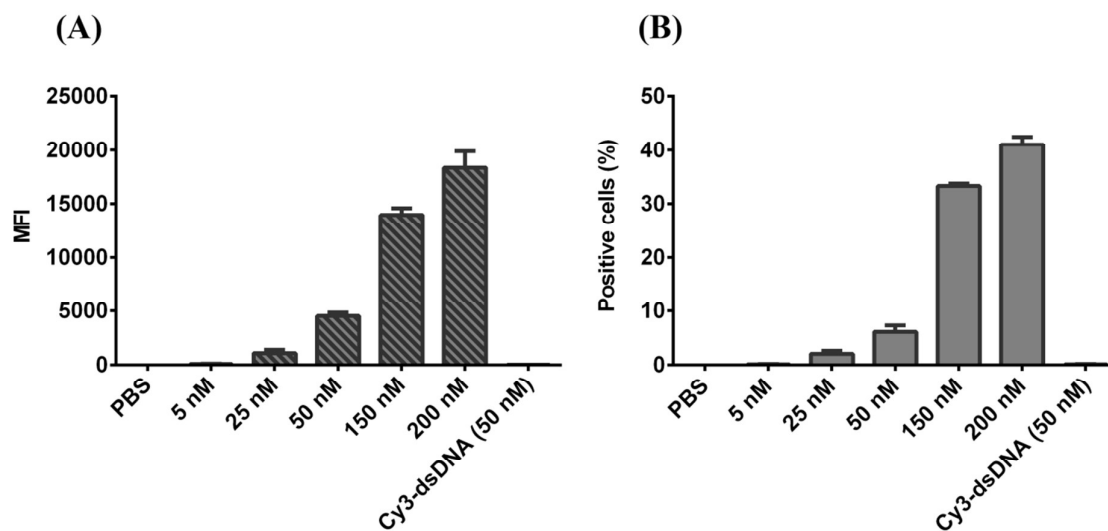


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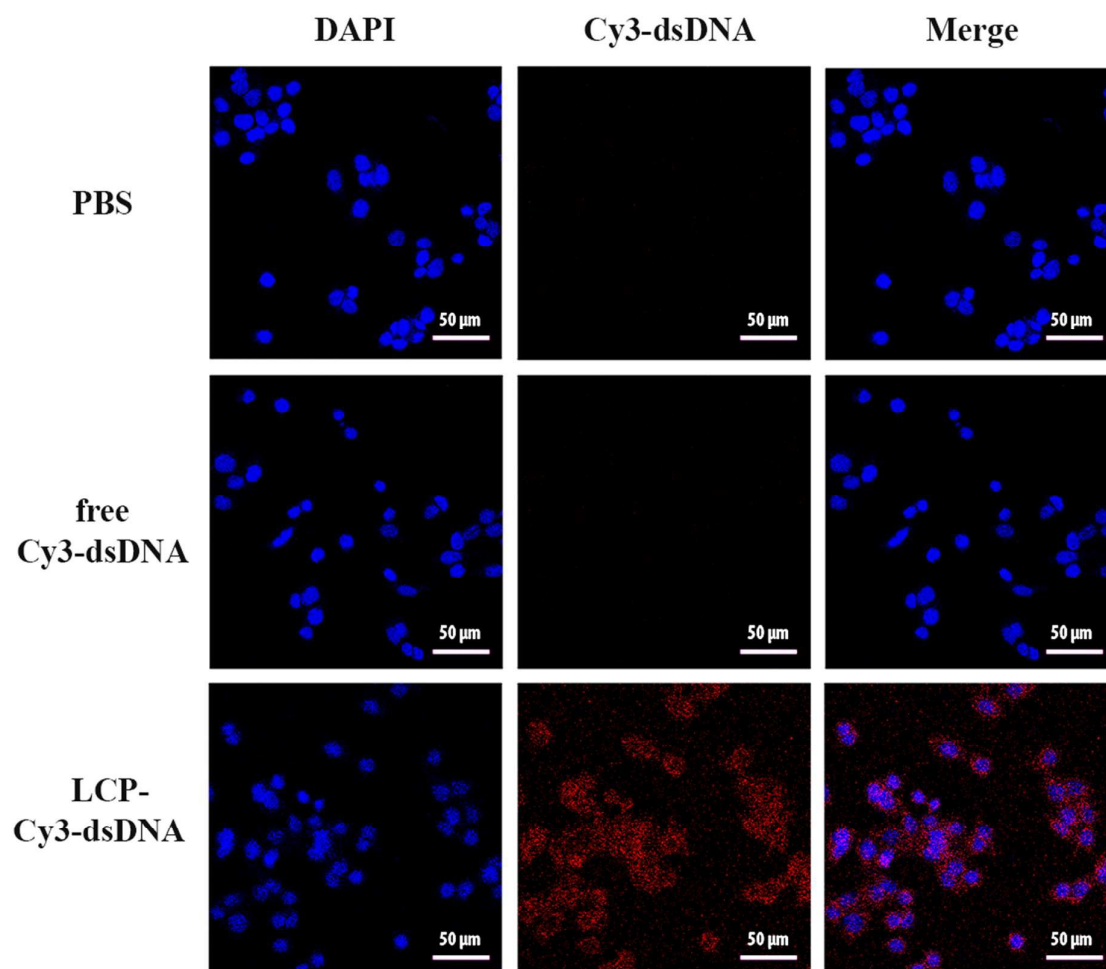


Fig. 8 Fluorescence photographs of cultured MDA-MB-468 cells after treatment with LCP-Cy3-dsDNA NPs for 4 h.

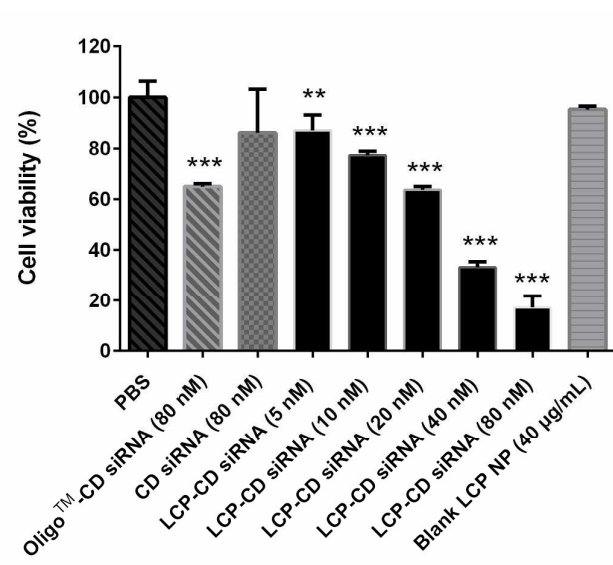


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