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1                   **Dendrite growth in the recharging process of**  
2                                   **zinc-air battery**

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6

7   **Abstract**

8       To improve cycling performance of rechargeable zinc-air batteries, dendritic  
9   morphology of electrodeposited zinc should be effectively controlled. It is of  
10   crucial importance to understand the formation mechanism of zinc dendritic  
11   structure. Here we show that a phase-field model of electrochemistry is  
12   established to simulate dendrite growth of electrodeposited zinc, and several  
13   measures including the pulsating current and the electrolyte flow are taken to  
14   suppress dendrite growth in the charging process. The results demonstrate  
15   that dendrite propagation is mainly controlled by diffusion dependent upon  
16   overpotential and surface energy anisotropy, and dendritic morphology can  
17   also give rise to non-uniform distribution of electric field and ion concentration  
18   in the electrolyte. The proposed model and solutions will be available for  
19   studying dendrite growth of metal-air batteries as well as metal

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20 electrodeposition.

21 *Keywords:* Dendrite growth; Phase-field model; Mechanism; Solutions

## 22 **1. Introduction**

23 Recently, zinc-air batteries have received more attention mainly because of  
24 their higher energy density,<sup>1-4</sup> which can be applied to a variety of fields  
25 including stationary energy storage,<sup>5, 6</sup> consumer electronics,<sup>7</sup> transport,<sup>8</sup> and  
26 defense.<sup>9</sup> However, lifetime problem of rechargeable zinc-air batteries limits its  
27 commercial application.<sup>10, 11</sup> Dendrite growth is one of key factors influencing  
28 cycle life of the battery, which has always been the research focus.<sup>12, 13</sup>

29 Although dendrite growth of electrodeposited zinc has been widely studied  
30 for nearly 50 years, the mechanism of dendrite growth has yet to be  
31 understood. To study dendrite formation of electrochemistry, a few numerical  
32 models were developed. Matsushita et al. utilized the diffusion-limited  
33 aggregation model to simulate zinc electrodeposition process from the  
34 perspective of one dimension.<sup>14, 15</sup> Guyer et al. addressed a thin-interface  
35 phase-field model for electrochemical process to study the effect of the applied  
36 voltage on the morphological transition.<sup>16-19</sup> Chen et al. proposed a nonlinear  
37 phase field model for electrodeposition.<sup>20-23</sup> To facilitate observation on growth  
38 behavior of dendrites and morphological characteristics in the process of  
39 electrodeposition, some kinds of instruments can be employed such as  
40 scanning electron microscopy,<sup>24-26</sup> transmission electron microscopy,<sup>27</sup> optical

41 microscopy,<sup>28</sup> and atomic force microscopy.<sup>29</sup> Some researchers have already  
42 conducted investigations on the mechanism of zinc dendrite growth. Diggle et  
43 al.<sup>30</sup> proposed that dendrite growth of electrodeposited zinc would be  
44 controlled by activation, while Wang<sup>31</sup> stated that dendrite growth was  
45 controlled by diffusion. Other researchers are committed to studying  
46 morphological control of electrodeposited zinc by means of charging modes  
47 and electrolyte management. Shaigan et al.<sup>32</sup> employed pulsating currents to  
48 suppress the dendrite growth of electrodeposited zinc. Naybour<sup>33</sup> studied the  
49 effect of electrolyte flow on morphologies of electrodeposited zinc. Wang et  
50 al.<sup>34</sup> studied morphological control of zinc regeneration in the recharging  
51 process. Banik et al.<sup>35</sup> reported the use of branched polyethylenimine as an  
52 effective electrolyte additive for suppressing dendrite growth during  
53 electrodeposition.

54 In this work, a phase-field model for dendrite growth of electrodeposited zinc  
55 was established by means of COMSOL software, and effective solutions were  
56 also proposed to inhibit dendrite growth on the basis of the model. Meanwhile  
57 the mechanism of dendrite growth was further investigated based on the  
58 numerical simulation and experimental analysis.

## 59 **2. Methodology**

### 60 **2.1. Numerical model**

61 To deeply delve into dendritic growth of electrodeposited zinc, we

62 established a phase-field model of electrochemical reaction in COMSOL  
 63 Multiphysics 4.3 based on Ginzburg-Landau free energy function. Here the  
 64 phase-field model is under the assumption of the solid-liquid interface  
 65 evolution proportional to interface free energy combined with electric energy. A  
 66 series of governing equations including the phase-field equation (1), the ion  
 67 diffusion equation (2), and the conservation of charge equation (3) can be  
 68 expressed as follows:

$$69 \quad \frac{\partial \xi}{\partial t} = L_{\sigma} \left[ -\frac{\partial}{\partial x} \left( \kappa \kappa' \frac{\partial \xi}{\partial y} \right) + \frac{\partial}{\partial y} \left( \kappa \kappa' \frac{\partial \xi}{\partial x} \right) + \nabla \cdot \left[ \kappa^2 \nabla \xi \right] + \frac{\partial g(\xi)}{\partial \xi} + \frac{\partial h(\xi)}{\partial \xi} \xi (1-\xi)(\xi-0.5+\eta) \right] \quad (1)$$

70 where  $\xi$  is the order parameter for distinguishing the electrode ( $\xi=1$ ) and the  
 71 electrolyte ( $\xi=0$ ),  $L_{\sigma}$  is the interface mobility,  $\eta$  is the activation  
 72 overpotential.  $\kappa = \bar{\kappa} (1 + \delta \cos(\lambda \theta))$  is anisotropy surface energy for the  
 73 depositing morphology, where  $\bar{\kappa}$  is a constant,  $\theta$  is the angle between the  
 74 normal vector of interface and a certain direction,  $\delta$  is the strength of surface  
 75 energy anisotropy and  $\lambda$  is a mode number of the anisotropy.  
 76  $g(\xi) = W \xi^2 (1-\xi)^2$  is a double-well free energy function describing the two  
 77 equilibrium states for the electrode and the electrolyte in case of no electric  
 78 field.  $h(\xi) = \xi^3 (10 - 15\xi + 6\xi^2)$  is an interpolating function for the  
 79 electrode-electrolyte interface.

$$80 \quad \frac{\partial C_{Zn^{2+}}}{\partial t} = \nabla \cdot \left( D_{Zn^{2+}}(\xi) \nabla C_{Zn^{2+}} \right) - C_{Zn^{2+}} \frac{\partial \xi}{\partial t} \quad (2)$$

81 where  $D_{Zn^{2+}}(\xi) = (D_{Zn^{2+}}^S - D_{Zn^{2+}}^L) h(\xi) + D_{Zn^{2+}}^L$  is the diffusivity of zinc ion,  $D_{Zn^{2+}}^L$  is

82 the diffusivity of zinc ion at the electrode surface,  $D_{Zn^{2+}}^L$  is the diffusivity of zinc  
 83 ion in the electrolyte,  $C_{Zn^{2+}}$  is the concentration of zinc ion in the electrolyte.

$$84 \quad \frac{\partial \phi}{\partial t} = \gamma \frac{\partial \xi}{\partial t} - \nabla \cdot [\sigma(\xi) \nabla \phi] \quad (3)$$

85 where  $\sigma(\xi) = (\sigma^S - \sigma^L)h(\xi) + \sigma^L$  is the conductivity,  $\sigma^S$  is the conductivity of  
 86 the electrode,  $\sigma^L$  is the conductivity of the electrolyte,  $\phi$  is the potential in  
 87 the electrolyte, and  $\gamma$  is the constant.

88 The boundary conditions were assumed in the phase-field model, where  
 89 zinc ion concentration is applied at the electrolyte side in equation (2), and  
 90 electric potentials are constant at both sides of the electrode and the  
 91 electrolyte in equation (3).

92 Ions transport in the electrolyte is mainly caused by migration, diffusion, and  
 93 convection, where migration is dependent upon electric field, diffusion upon  
 94 ion concentration gradient, and convection upon electrolyte hydrodynamics.

95 The material balance equation can be written by:

$$96 \quad \nabla \cdot \nabla C_{Zn^{2+}} - \nabla \cdot \left( D_{Zn^{2+}} \nabla C_{Zn^{2+}} + z \mu_{Zn^{2+}} F C_{Zn^{2+}} \nabla \phi_l \right) = R_{Zn^{2+}} \quad (4)$$

97 where  $z$  is the valence of zinc,  $\mu_{Zn^{2+}}$  is the mobility of the charged species,  
 98  $F$  is Faraday's constant,  $\phi_l$  is electrolyte potential, and  $R_{Zn^{2+}}$  is zinc ion  
 99 reaction rate.

100 The model of electrolyte movement employs Navier-Stokes equation,  
 101 assuming that the electrolyte flow is incompressible and Newtonian.

102  $\rho(\nu \nabla \nu) = -\nabla p + \mu \nabla^2 \nu + f$  (5)

103 where  $\rho$  is the electrolyte density,  $\mu$  is dynamic viscosity, and  $f$  is the  
104 driving force.

## 105 2.2. Experimental

106 To further study the mechanism of dendrite growth, a set of experiments of  
107 electrodeposited zinc was carried out under different conditions of current  
108 densities, flowing velocities. In addition, the morphologies of electrodeposited  
109 zinc were examined by scanning electron microscopy (TS5136XM,  
110 JSM-6460).

111 The cycling performance of rechargeable zinc-air battery in a tri-electrode  
112 configuration was tested, where a nickel mesh was employed as the third  
113 electrode, stainless steel as zinc electrode, three layers structure as the air  
114 electrode, and a 7M potassium hydroxide mixed with 0.6M zinc oxide as the  
115 electrolyte. The effective area of electrodes was about 900 mm<sup>2</sup>, and the  
116 distance between the anode and the cathode was 5 mm. The experiment on  
117 the internal resistance of the battery was conducted by means of a CHI660D  
118 electrochemical workstation. The impedance spectra were recorded by  
119 sweeping frequencies over the range of 20000 Hz-0.1 Hz with the amplitude of  
120 0.05 V.

## 121 3. Results and discussion

### 122 3.1. Formation mechanism of zinc dendrite

123 Dendrite growth evolution can be simulated by means of the phase-field  
124 model, as illustrated in Fig. 1, where a plurality of nuclei at the bottom edge  
125 gradually grows into dendrites perpendicular to the cathode surface. The  
126 bonding energy of zinc atom in a certain direction is stronger than that in other  
127 directions, leading to dendrite formation<sup>31</sup>. As time goes on, the tip of  
128 protrusion starts to split, and branches grow symmetrically on the main stem.  
129 More importantly, dendritic morphology is agreed with experimental  
130 observation at upper right corner, namely dendrite structure consists of a  
131 backbone parallel to the electric field direction and many a branches adherent  
132 to both sides of the backbone at a certain angle.

133 Several studies have shown that dendritic morphology of electrodeposited  
134 zinc would occur when the overpotential reaches a certain value.[30, 31]  
135 Dendrite growth depends on local overpotential which is related to electric  
136 potential in the electrolyte, so dendrite can grow faster when the larger voltage  
137 is applied between the anode and the cathode. Fig. 2 (a) demonstrates that the  
138 overpotential would be larger at the high voltages, and dendrite grows more  
139 quickly. This also means that the higher the overpotential is, the shorter  
140 initiation time of dendrite growth becomes. Fig. 2 (b) shows the effect of  
141 interface energy anisotropy on dendritic morphology based on the phase-field  
142 model, where dendrites grow higher at the large strength of surface energy  
143 anisotropy  $\delta$ .

144 The equilibrium system of the electrode-electrolyte interface is broken down



145 due to electrochemical reaction ( $Zn^{2+} + 2e^- \rightarrow Zn$ ). Fig. 3 (a) shows the  
146 evolution of phase variables including the electric potential  $\phi$ , zinc ion  
147 concentration  $C_{Zn^{2+}}$  and the phase parameter  $\xi$  across the interface during  
148 electrodeposition, where the electric potential is able to decrease because of  
149 activation impedance and ohmic resistance, and concentration gradient of zinc  
150 ion will be formed on account of electrochemical reaction and ion diffusion as  
151 the phase parameter varies from the liquid phase to the solid phase. Fig. 3 (b-d)  
152 demonstrates the effect of electrodepositing morphology on the electric field  
153 and zinc ion concentration based on the phase-field simulation. It can be found  
154 that zinc ion concentration and electric potential at the tip of deposits are  
155 higher than those at other positions, leading to concentration gradient and  
156 heterogeneity of local current densities in the neighborhood of epitaxial  
157 morphology. Additionally, non-uniformity of the electric potential and ion  
158 concentration can also promote dendrite growth.

### 159 **3.2. Influence factors of dendrite growth**

160 Dendritic morphology gives rise to ion concentration gradient and  
161 non-uniform distribution of electric potential according to the phase-field model.  
162 As a result, it is necessary to increase electrolyte hydrodynamics and change  
163 the charging mode for suppressing dendrite growth.

164 Fig. 4 shows zinc ion concentration distribution and the local current density  
165 for different Reynolds numbers. The higher flow velocity for the higher  
166 Reynolds number can not only reduce zinc ion concentration gradient, but

167 might also increase the local current density significantly due to the increased  
168 transport velocity of zinc ions. This will cause much more ions to participate in  
169 the electrochemical reaction and the depositing morphology more uniformly. In  
170 addition, the electrolyte flow can also increase ions diffusion, resulting in  
171 reduction of the electrolyte resistance. Fig. 5 displays total ohmic resistances  
172 of zinc-air battery during charging, where ohmic resistance in the electrolyte  
173 reduces with the increase of Reynolds number.

174 Fig. 6 shows that different morphologies of electrodeposited zinc can be  
175 obtained at different charging current densities, where leaf-like morphology  
176 would be easily formed at the high current density of  $100 \text{ mA cm}^{-2}$  in Fig. 6 (a),  
177 demonstrating that dendrites symmetrically grow on both sides of main stems.  
178 Such fractal growth may be stemmed from powerful surface energy anisotropy,  
179 leading to limited diffusion of atoms. It is well-known that electrochemical  
180 reaction rate will reduce with the decrease of current density, and the effect of  
181 electric field force on depositing atoms becomes weak, thereby these atoms  
182 have much more possibility to transfer. It can be found in Fig. 6(b)-(d) that  
183 dendritic morphology does not happen at low current densities, and activation  
184 energy need to be overcome by the depositing atoms.

185 The depositing morphology behaves like uniform and compact at low current  
186 densities. However, the dendritic morphology of electrodeposited zinc is more  
187 evenly but uncompacted at large current densities, as shown in Fig. 7 (a). To  
188 solve the problem of dendrite growth in the case of fast charge, several

189 solutions like electrolyte management and charging modes were employed,  
190 namely electrolyte flow and pulsating current. It can be seen from Fig. 7 (b)  
191 that orientation of dendrite growth is not perpendicular to the electrode surface.  
192 The orientation change may be caused by the flowing electrolyte shifting the  
193 electrodeposited atoms of low energy. If the flowing velocity is too high, a large  
194 amount of deposited zinc might be scraped off the cathode surface, thus  
195 decreasing the charging efficiency. Fig. 7 (c) illustrates the boulder-like  
196 morphology of deposited zinc under the condition of pulsating current of 20%  
197 duty cycle. Atoms have much more time to diffuse under the condition of the  
198 pulsating current, meaning that dendritic morphology is controlled by diffusion.  
199 In addition, zinc ions in the electrolyte can obtain more energy at high  
200 temperature, which can overcome the obstacles of electric field force and  
201 electrochemical reaction of concentration gradient, thus retarding dendrite  
202 growth to some extent (Fig. 7(d)).

203 It can be found that dendrite growth of electrodeposited zinc is controlled by  
204 diffusion in accordance with the above mentioned. Furthermore, exterior  
205 electrolyte environment can only suppress but not eradicate dendritic growth,  
206 while charging modes could fundamentally change the morphology of  
207 deposited zinc. Besides, the electrolyte flow can shape the orientation of  
208 dendrite growth, and pulsating currents or low current can change the  
209 morphology of electrodeposited zinc.

210

### 211 3.3. Cycling performance

212 Fig. 8(a) shows the charge-discharge polarization curve of rechargeable  
213 zinc-air battery in a tri-electrode configuration. Dendrites grow rapidly under  
214 the condition of fast charge, leading to the short circuit between the anode and  
215 the cathode, and thus reducing cycle life of rechargeable zinc-air battery. To  
216 highlight the effect of dendritic morphology on the cycling performance of  
217 rechargeable zinc-air battery, the large charging current density of  $50 \text{ mA cm}^{-2}$   
218 was used in this experiment. Fig. 8(b) shows that the lifetime of the battery is  
219 very short for the lower Reynolds number, and discharging voltage sharply  
220 decrease due to morphological change. This implies that the flow velocity of  
221 the electrolyte plays a great role in retarding dendrite growth. In addition, the  
222 electrolyte flow can also carry off oxygen bubbles, keeping the voltage stable  
223 in the recharging process of the battery. The uniform morphology change of  
224 zinc electrode in the charge-discharge process is of great importance to the  
225 lifetime of zinc-air batteries, so it is necessary to inhibit dendrite growth.  
226 Moreover, the charging capacity is related to morphologies of electrodeposited  
227 zinc.

### 228 4. Conclusions

229 The mechanism of dendrite growth of electrodeposited zinc was investigated  
230 by means of phase-field simulation and electrodeposition experiment, and the  
231 related solutions to suppressing dendrite growth were also put forward, which  
232 can be conducive to studying on the cycle life of zinc-air batteries or other

233 metal-air batteries. The conclusions in detail are as follows:

234 1) The phase-field model for dendrite growth of electrodeposited zinc was  
235 developed, where the liquid-solid interface transformation can display  
236 dendritic morphology and dendrite growth evolution. In addition, the model  
237 can be used for analyzing the effect of dendrite growth on the electric  
238 potential and zinc ion concentration gradient in the electrolyte.

239 2) Solutions to dendrite growth were proposed including charging modes and  
240 electrolyte management, where low current can inhibit dendrite growth due  
241 to low activation overpotential, and pulsating current and electrolyte flow  
242 can retard dendrite growth or shape the direction of dendrite growth  
243 because of zinc ion diffusion enhancement in the electrolyte.

244 3) The morphology of electrodeposited zinc at the early stage is mainly  
245 dependent upon activation control, while dendrite growth at the late stage is  
246 stemmed from diffusion-limited control of zinc ions.

### 247 **Acknowledgements**

248 This work is supported by National Natural Science Foundation of China  
249 (21376138), National Basic Research Program of China (973 Program)  
250 (2012CB215505), and Tsinghua University Initiative Scientific Research  
251 Program

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**Fig. 1. Dendrite growth evolution of electrodeposited zinc by means of the phase-field simulation**

**Fig. 2. The mechanism for dendrite growth, (a) the relationship between dendrite growth and the applied voltage under the same electric quantity condition, (b) the effect of surface energy anisotropy on dendritic morphology of electrodeposited zinc**

**Fig. 3. The effects of depositing morphology, (a) a phase-field model of zinc electrodeposition, (b) the depositing morphology of the phase-field simulation, (c) zinc ion concentration distribution, (d) the electric potential distribution**

**Fig. 4. The effect of the flowing electrolyte with several Reynolds numbers on the distribution of zinc ion concentration and local current density**

**Fig. 5. Nyquist plot of rechargeable zinc-air battery at different Reynolds numbers during charging**

**Fig. 6. Morphologies of electrodeposited zinc under the conditions of different current densities in 7M KOH with 0.6 M zinc oxide, (a) 100 mA cm<sup>-2</sup> for 10 min, (b) 50 mA cm<sup>-2</sup> for 20 min, (c) 20 mA cm<sup>-2</sup> for 50 min, (d) 10 mA cm<sup>-2</sup> for 100 min**

**Fig. 7. Morphological control of zinc regeneration at the current density of 100 mA cm<sup>-2</sup> for 10 min, (a) dendritic morphology of electrodeposited zinc in the static electrolyte, (b) the morphology of electrodeposited zinc in the flowing electrolyte (0.05 m s<sup>-1</sup>), (c) boulder-like morphology of zinc at the pulsating current of 20% duty cycle in the static electrolyte, (d) the morphology of electrodeposited zinc at the high temperature (60 °C).**

**Fig. 8. Performance of rechargeable zinc-air battery, (a) charge and discharge polarization curves of the battery, (b) cycling performance of the battery at different Reynolds numbers**

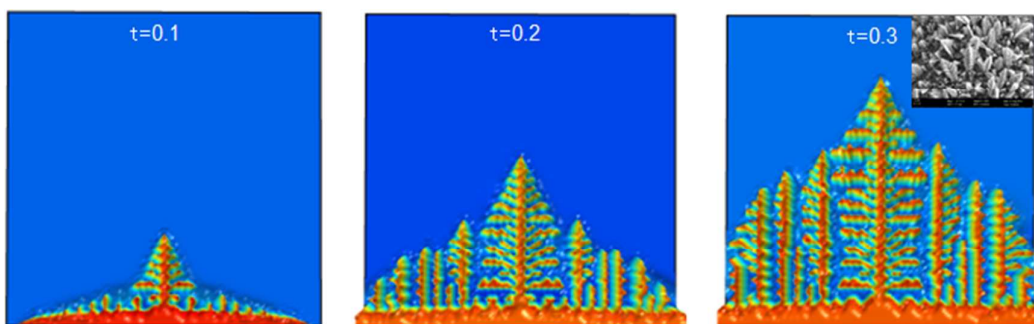


Fig. 1

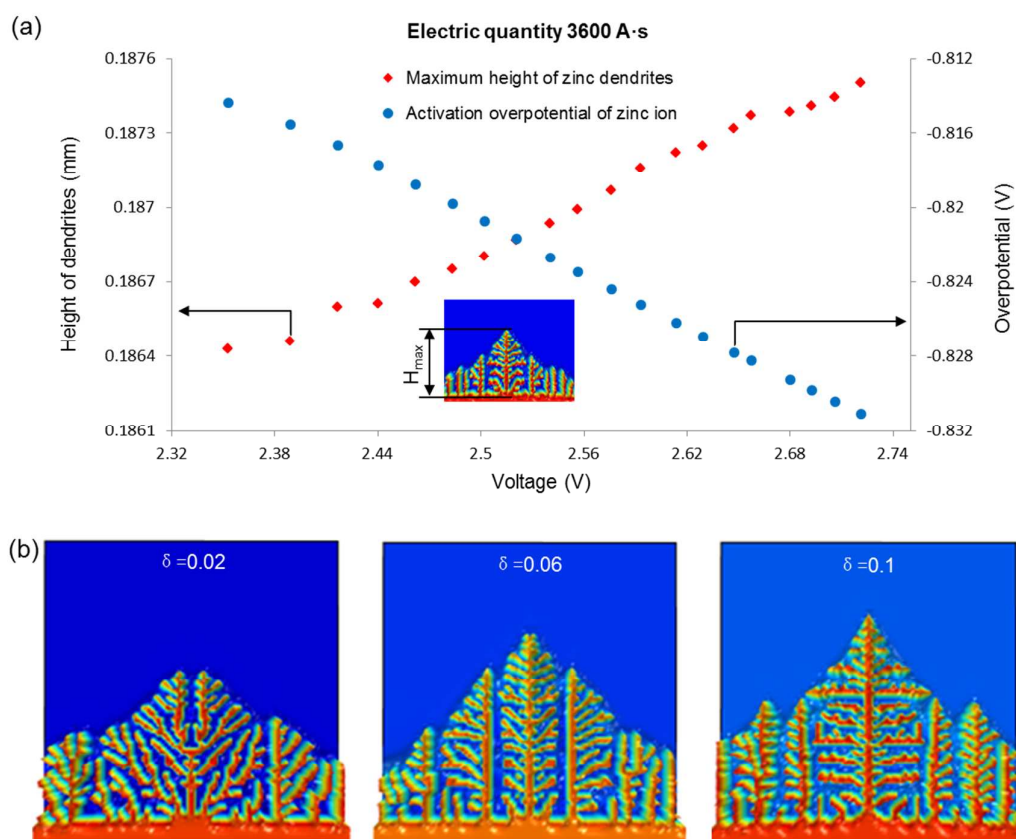


Fig. 2

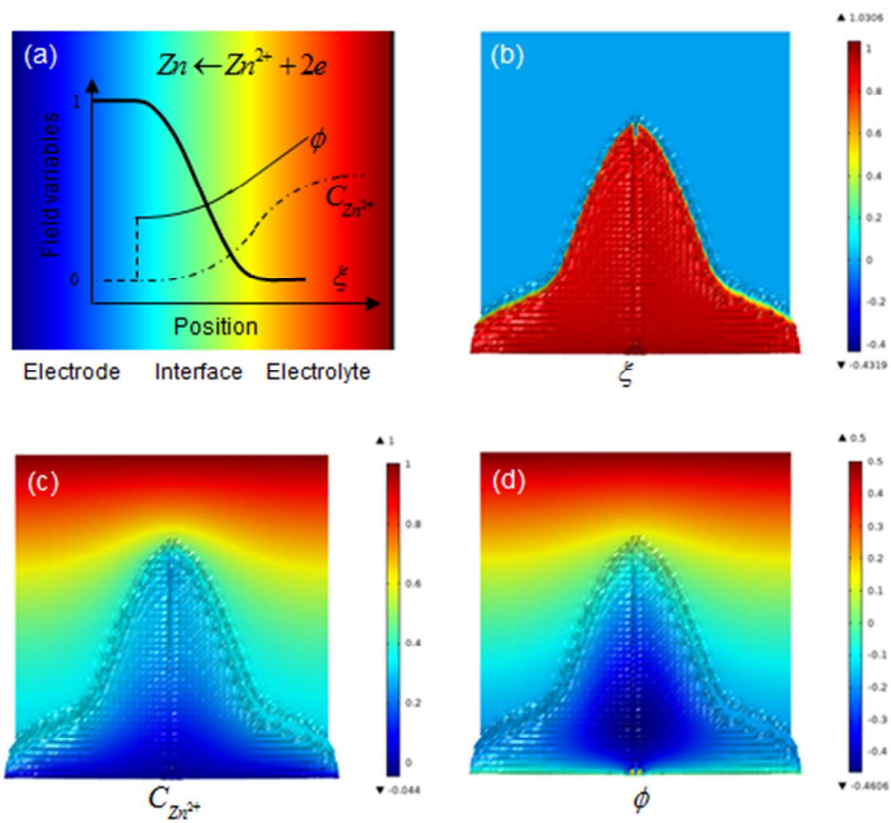


Fig. 3

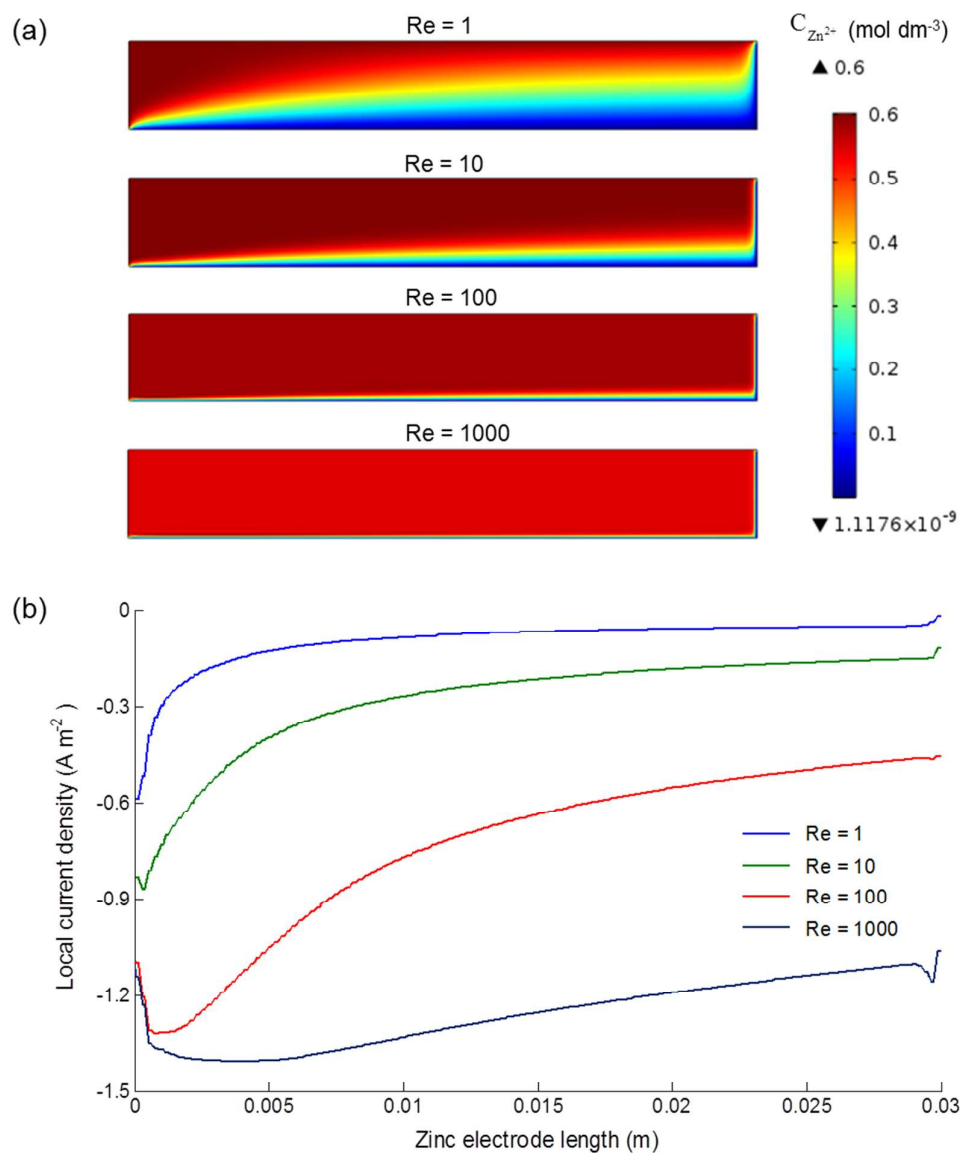


Fig. 4

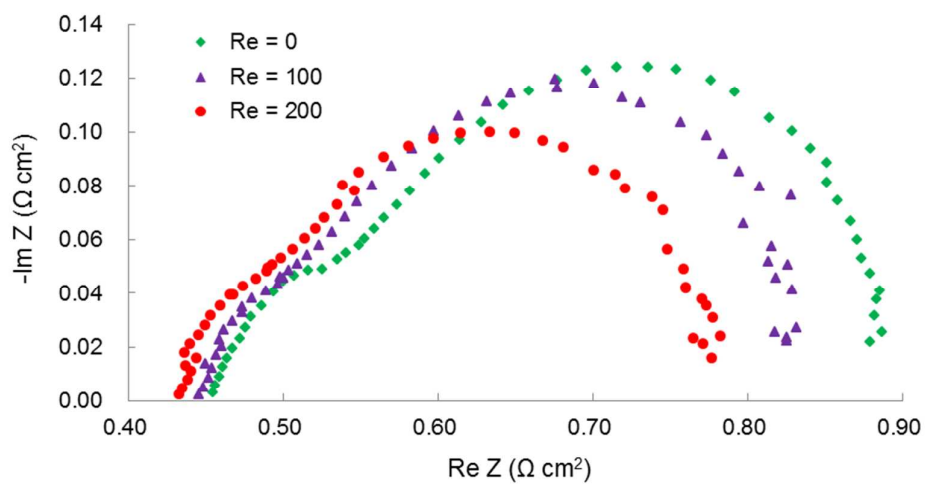


Fig. 5

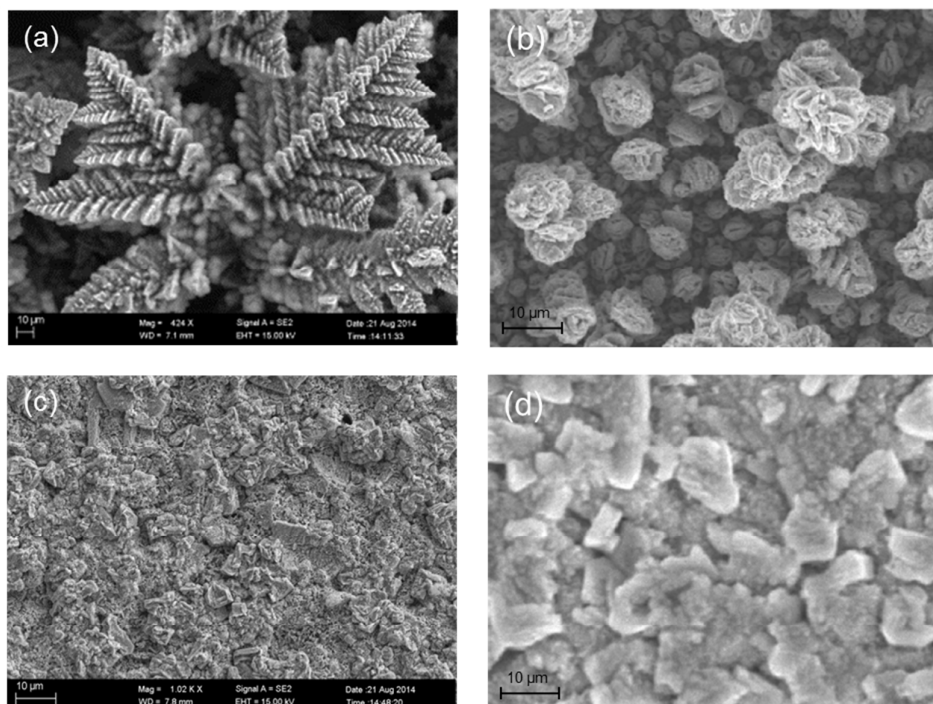


Fig. 6

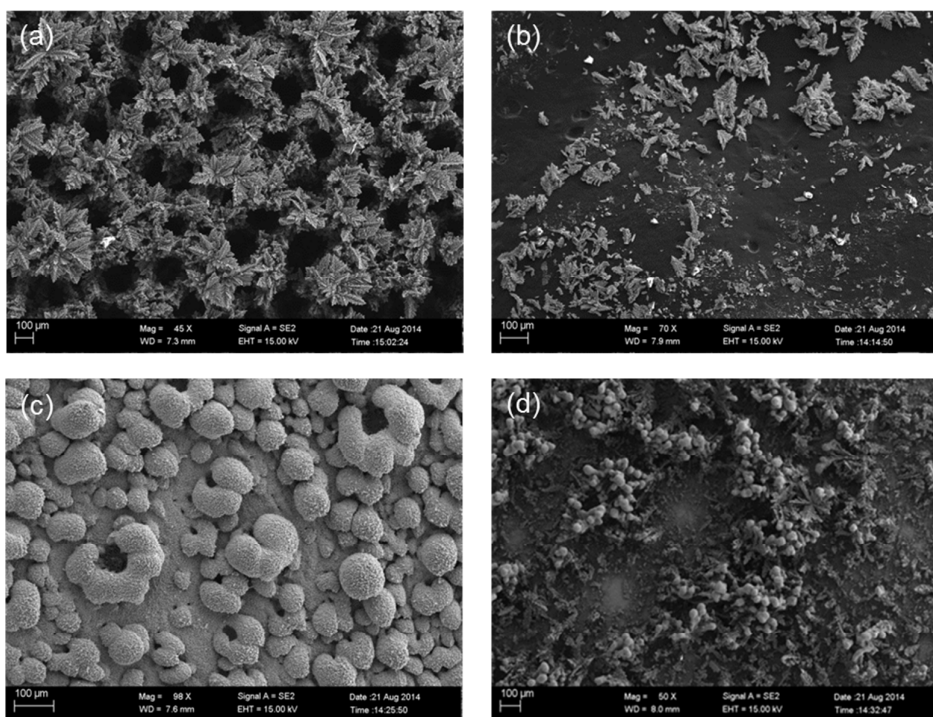


Fig. 7

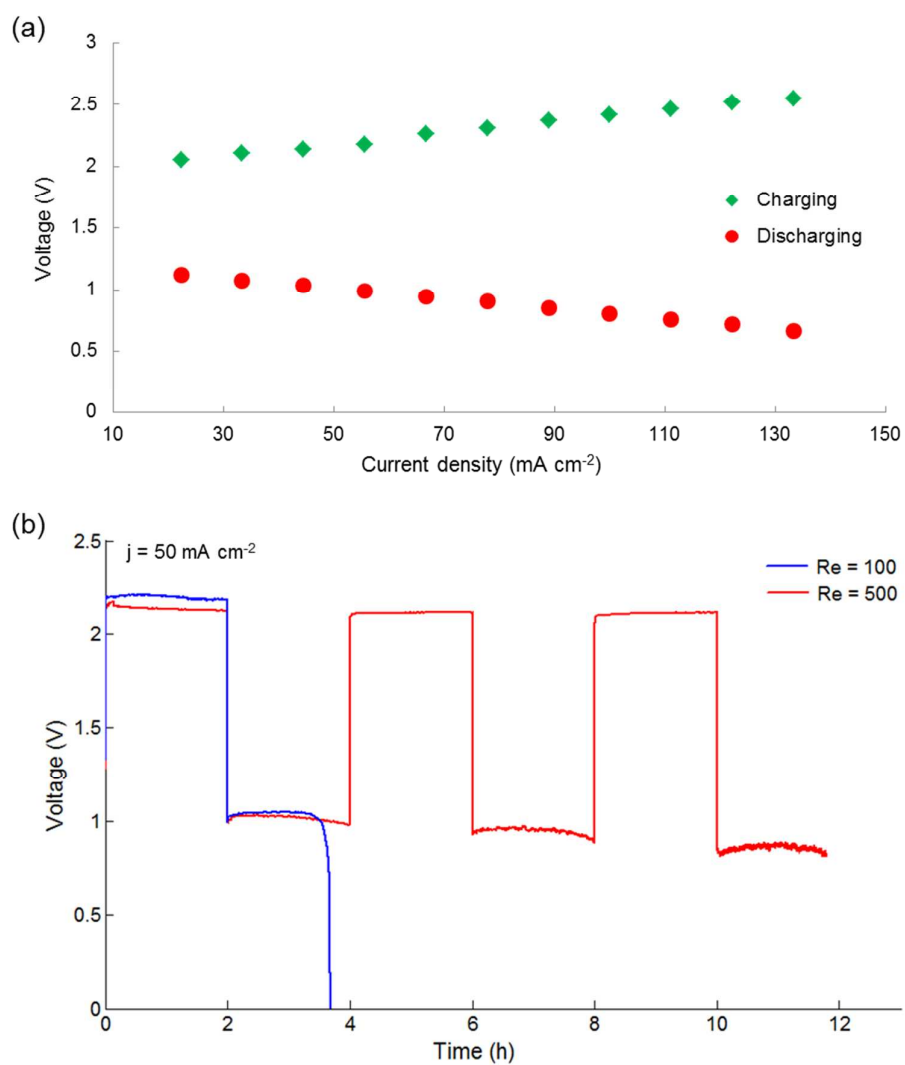
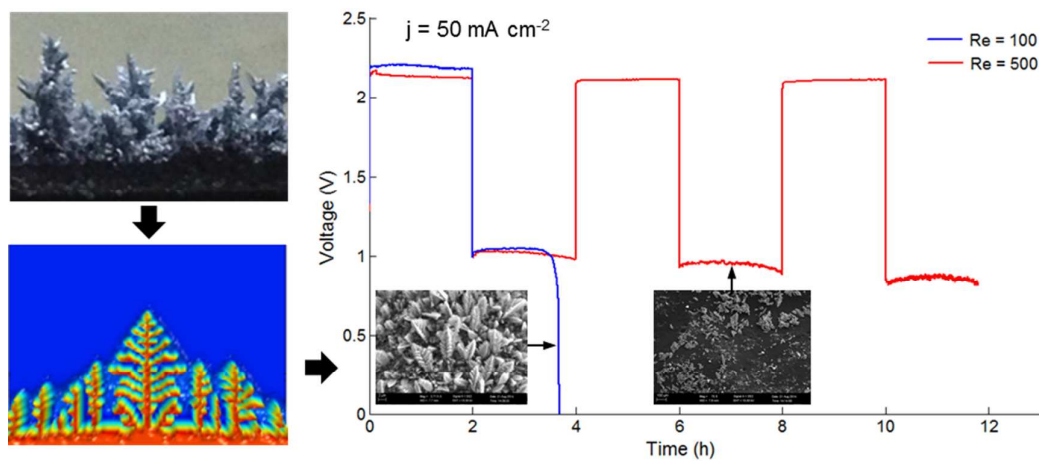


Fig. 8



Zinc dendrite growth is controlled by electrolyte flow at high current densities, effectively extending cycle life of rechargeable zinc-air battery.