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PAPER

Supramolecular Self-Assembly of Linear Oligosilsesquioxanes on Mica – AFM Surface Imaging and Hydrophilicity Studies

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Linear oligomeric [2-(carboxymethylthio)ethylsilsesquioxanes] (LPSQ-COOH) adsorb spontaneously on muscovite mica and form smooth, well-ordered lamellar structures at the liquid-solid interface. Side carboxylic groups, having donor-acceptor character with regard to hydrogen bonds, are engaged both in multipoint molecule-to-substrate interactions and intermolecular cross-linking. The unique arrangement of silsesquioxane macromolecules, with COOH groups situated at the interface with air, produces highly hydrophilic surfaces of good thermal and solvolytic stability. Supramolecular assemblies of LPSQ-COOH were studied using Atomic Force Microscopy (AFM), Angle-resolved X-ray Photoelectron Spectroscopy (ARXPS) and Attenuated Total Reflectance (ATR) FTIR spectroscopy. Comparative height profile analysis, combined with ATR-FTIR studies of the region characteristic of carboxylic groups and C1s core level envelope by XPS, confirmed specific interactions between LPSQ-COOH and mica.

Introduction

Surface properties (wettability, chemical functionalities, surface charge density) are extremely important for applications of biomedical and biotechnological importance¹⁻⁴. Modulation of adsorption of biomolecules to evoke diverse cellular responses can be an example. Biospecific materials should resemble natural extracellular matrix (ECM), with which cell adhesion molecules interact. Typical chemical groups involved in immobilizing ligands for cell-surface studies are hydroxyls, amines and carboxylic acids that belong to the RGD sequence. Materials bearing carboxylic moieties are of particular interest since COOH groups provide an appropriate wettability for adhesion of specific cell types^{3,5}. It was also observed that some type of cells can interact more strongly with secreted fibronectin, when adsorbed on surfaces bearing COOH groups⁶⁻¹³. As a consequence, chondrogenic differentiation of human mesenchymal stem cells was observed^{12,13}.

Surface properties can be enhanced by an appropriate modification and structuring^{14,15}. Self-assembled materials mimicking ECM have emerged as promising bioengineering tools as they can provide precisely tailored, chemically well-defined substrates. Self-assembled monolayers (SAMs) made of amphiphilic molecules^{16,17} can be used for preparation of bioactive substrates via surface-specific adsorption or covalent immobilization². Analogously, physiochemical adsorption of surface-active polymers at solid/liquid interface can produce polymeric self-assembled monolayers (PSAMs) that offer a variety of unique functionalities as well as improved surface stability and processability¹⁸⁻²¹. PSAMs differ from typical SAMs because of conformational characteristics of macromolecular chains that depend on the type and density of grafting as well as

polymer-substrate affinity. PSAMs can be prepared by grafting side- and end-functionalized copolymers onto a surface ("grafting-to" or surface-initiated polymerization methods)^{20,21}.

Both techniques have some disadvantages (steric factors can influence both grafting density and monomer diffusion to active polymerization sites). Thickness of end-grafted PSAMs can vary not only with the type and density of grafting but also with the energy of polymer-substrate interactions. Polymers consisting of surface-reactive repeating units tend to adsorb with chain axes extended parallel to the surface¹⁸. The thickness of such planar PSAMs is close to the diameter of polymer chain but can depend on the flexibility of the polymer backbone.

Structure of SAMs and PSAMs strongly depends on chemical properties of the solid surface, its morphology, adsorption mechanism and nature of forces operating at the boundary^{22,23}.

For example, muscovite mica, that is a layered aluminosilicate [KAl₂(Si₃AlO₁₀)(OH)₂], can provide specific assistance in surface-assembling of biomacromolecules²⁴⁻²⁶. Muscovite is very susceptible to cleavage along the plane located in the potassium layer. Exfoliation along that plane exposes large areas of molecularly smooth surface covered with potassium ions. The operating surface-induced mechanism of adsorption on mica includes cooperative, K⁺ ions mediated, surface-adsorbate interactions (adsorption, surface diffusion and 2-D growth)²⁴. Formation of surface salts between COOH groups and K⁺ ions was proposed in adsorption of *n*-alkanoic carboxylic acids²⁷⁻³⁰ and oligothiophene derivatives of butyric acid³¹. Chemical modification of mica using reactive silanes was also reported³²⁻³⁵. However, the process is difficult to control and sensitive to the applied reaction conditions³⁶⁻⁴⁰.

We have found that muscovite mica can be easily modified using new linear oligosilsesquioxanes (LPSQ-COOH), functionalized

with side 2-(carboxymethylthio)ethyl groups at their double-strand backbone. LPSQ-COOH can form spontaneously well-ordered and stable, PSAM-type, 2D nanolayers at the liquid-solid interface. The oligomers are immobilized unilaterally, with siloxane backbone parallel to the surface, due to both multipoint substrate-adsorbate and adsorbate-adsorbate interactions. As a consequence, carboxylic groups attached at one side of the polymer chain are directed uniquely to the interface with air (Scheme 1). It makes the surface exceptionally hydrophilic and potentially chemoreactive. To our best knowledge such structures have not been observed for any PSAMs before.

The proposed, very simple method of modification of muscovite mica offers better stability of the adsorbed layer comparing to those prepared with small molecules. The arrangement of side carboxylic groups in LPSQ-COOH about the main backbone allows for its very efficient anchoring on the surface. The structure of LPSQ-COOH makes possible the formation of layered morphologies and for accessibility of carboxylic groups, which cannot be obtained with mono-acids.

It is also possible to control the structure of the coating by adjusting the parameters of the coating process (concentration of the solution, composition of solvents, method and time of coating). The specific properties of self-assembled structures of LPSQ-COOH make the studied system very attractive for surface modification (including micropatterning) and bioengineering.

Scheme 1. Arrangement of oligo[2-(carboxymethylthio)ethylsilsesquioxane] chains adsorbed on the surface of muscovite mica.

Experimental

1. General Information

Materials: Precursory oligo(vinylsilsesquioxanes) (LPSQ-Vi) (SEC in CH₂Cl₂ (RI): Mn=1000, Mw=1200, PDI=1.2, (MALLS): Mn=2000, Mw=3200, PDI=1.6.) were obtained with 67.5% yield by in situ-polycondensation of cyclic tetravinylsiloxanetetraol⁴¹. MALDI-TOF mass spectrometry was used as a method for determination of the structure of LPSQ-Vi. Linear oligomers, with chain ends terminated with trimethylsilyl groups were obtained. The results are consistent with those already reported by us⁴¹. LPSQ-Vi were used as substrates for the synthesis of oligo[2-(carboxymethylthio)ethylsilsesquioxanes] (LPSQ-COOH) via UV initiated thiol-ene addition of thioglycolic acid (all synthetic details and structural characterization (NMR) as well as simulated structures and additional data concerning surface characterization, and behavior of LPSQ-COOH in solution [dynamic light scattering studies (DLS)] can be found in the Supporting Information). Structure of LPSQ-COOH oligomers self-assembled on mica was constructed on HyperChem platform and modelled in vacuo using Molecular Mechanics Force Field MM⁺ method (Polak-Ribiere/conjugate gradient optimization algorithm) and Semi-empirical PM3 method (single point energy calculations).

Muscovite mica tiles (V-1 grade, SPI Supplies/Structure Probe, Inc.) were freshly cleaved before use by removing the top layer with an adhesive tape.

2. Experimental Procedures

Preparation of thin films of oligomeric linear silsesquioxanes

on solid supports: Polymers (LPSQ-COOH or LPSQ-Vi) were dissolved in dry solvent [pure THF or a mixture of toluene and dioxane (v/v=1:2)] at a given concentration. The solutions were filtered through a 0.2 μm PTFE filter and placed in a vessel. Dip coating was carried out at room temperature by immersion of a freshly cleaved muscovite mica support in the polymer solution for a given time (t_i). Supports were mounted and moved vertically with a motorized linear slide (Zaber Technologies Inc.) (rate of immersion/extraction = 4 mm/s). The volume of liquid and the immersion level were constant. Dip-coated supports were then placed in a closed container and left for drying at room temperature for one day. Their surface was analyzed with AFM (tapping mode). In the spin coating technique the dissolved polymer was spun onto cleaned mica supports horizontally attached on a rotating chuck (Bidtec SP100, spinning rate 700 rpm). The experiments were carried out in air at room temperature (24°C).

Washing tests were made using mica supports covered with LPSQ-COOH (0.04% wt solution in THF, t_i=15 min, dried for 1 hour at ambient conditions) and immersed in pure THF for a given time. The samples were dried under ambient conditions for 24 hours and used for contact angle measurements.

Surface Characterization: AFM images were recorded under ambient atmosphere using Nanoscope IIIa, MultiMode microscope (Veeco, Santa Barbara, CA) equipped with hot stage probe. The analysis (tapping mode) was carried out at room temperature (unless otherwise indicated). The probes were commercially available rectangular silicon cantilevers (RTESP from Veeco) with nominal radius of curvature in the 10 nm range spring constant 20–80 N/m, a resonance frequency lying in the 264–369 kHz. The images were recorded with the highest available sampling resolution, that is, 512 x 512 data points.

FTIR spectra were collected on a Nicolet 6700 spectrometer. The technique of Attenuated Total Reflectance (ATR) was used for IR measurements, using germanium crystal attachment together with the mercury cadmium telluride detector (MCTD). The spectra were obtained by adding 64 scans at a resolution of 2 cm⁻¹.

Angle-resolved X-ray Photoelectron Spectra were recorded with a PHI 5000 VersaProbe™ - Scanning ESCA Microprobe™ (ULVAC-PHI, Japan/USA) equipped with a high resolution 180° spherical capacitor energy analyzer (sample-analyser Z axis tilt Θ of 15°, 25°, 35° and 45° with respect to the surface plane, samples were not pre-treated with argon sputter ion gun). The mica support in the analysed sample was covered with LPSQ-COOH by immersion in 0.06% wt solution in THF (t_i=15 min). The spectra were recorded using monochromatic Al-Kα radiation (hν = 1486.6 eV) from an X-ray source operating at 100 μm spot size, 25 W, and 15 kV. The high-resolution XPS spectra were collected with the analyzer pass energy of 23.5 eV, the energy step 0.1 eV. The experimental data were processed using PHI MultiPak (Physical Electronics) software. The peaks were identified using NIST X-ray Photoelectron Spectroscopy Database 20 (Version 4.1). A standard line shape analysis with a

Gaussian fitting function was applied. The analysis was carried out at Mazovia Center for Surface Analysis (Institute of Physical Chemistry, Polish Academy of Sciences in Warsaw).

5 Contact-angle measurements: Static contact angles of sessile droplets of deionized water and glycerol (Chempur, pure p.a., anhydrous) at the film–air interface were measured at room temperature with a Rame-Hart NRL contact-angle goniometer equipped with a video camera JVC KYF 70B and drop-shape analysis software. The measurements were performed on untreated bare mica and on surfaces coated with LPSQ-COOH using dip-coating method as well as LPSQ-COOH and LPSQ-Vi cast with a slit applicator (film thickness of 60 μm). Static, advancing, and receding contact angles were measured right after deposition of the liquid onto the film surface. The values of contact angles (standard deviation estimated) are an average of at least three measurements taken on different areas of the same sample. They are reported for a short contact time, strictly observed to diminish the effect of surface accommodation. Surface energies were estimated by the Owens-Wendt method using the values of advancing angles.

Results

1. Synthesis and properties of oligo[2-(carboxymethylthio)ethylsilsesquioxanes]

Linear oligosilsesquioxanes functionalized with side 2-(carboxymethylthio)ethyl groups and terminated with Me₃SiO units were prepared by photoinitiated thiol-ene addition of thioglycolic acid⁴² to their vinyl precursors (LPSQ-Vi) (Scheme 2). NMR spectroscopy confirmed that the addition proceeds regioselectively to give exclusively anti-Markovnikov product: 2-(carboxymethylthio)ethyl moieties (all the pertinent synthetic and spectroscopic data can be found in the Supporting Information). Structure simulations (Figure 1) confirmed that side 2-(carboxymethylthio)ethyl groups are placed perpendicularly to the double silsesquioxane chain that occupies equatorial position. The product is well soluble in some organic solvents (THF, dioxane) but insoluble in toluene and hexane.

Scheme 2. Synthesis of LPSQ-COOH [i: potassium hydroxide, ii: acetic acid, hexamethyldisiloxane (HMDS), iii: thioglycolic acid, 2,2-dimethoxy-2-phenylacetophenone (DMPA), UV 365 nm].

Figure 1. Simulated structures of LPSQ-COOH oligomers self-assembled on mica, (a) vertical arrangement (b) tilted arrangement of the top layer.

Flexibility of the double-strand siloxane backbone in ladder silsesquioxanes, especially those bearing bulky side substituents⁴³, is smaller than that of their linear single-chain analogs. DSC measurements carried out for LPSQ-COOH and LPSQ-Vi (Figure ESI-8) have shown that their devitrification occurs at temperatures higher than that typical for polysiloxanes⁴⁴. However, siloxane bonds in the studied materials retain to some extent their ease for reorientation. Glass transition temperatures found for LPSQ-COOH and LPSQ-Vi are almost

the same, which suggests that both polymers have a similar degree of rotational freedom. It allows self-assembling species for adjustment and formation of well-organized nanolayers at solid/liquid interface. LPSQ-COOH undergoes several additional endothermic transitions which can be linked to a change of polymer chains packing at increased temperatures and rearrangement of inter- and intramolecular H-bonding between COOH groups in the polymer matrix. Such variability in strength is characteristic for hydrogen bonds and depends on their geometry^{45,46}.

2. Supramolecular assemblies of LPSQ-COOH on mica – AFM studies:

Adsorption of polymers on solid surfaces from their solutions is governed by the conditions under which the polymer, surface and solvent interact. Formation of an ordered layered structure (including multilayer adsorption) at liquid-solid interface is entropically disfavored and possible only if compensated by an energetic advantage of binding due to specific molecule-molecule and molecule-substrate interactions⁴⁷⁻⁴⁹. Modeled processes of polymer adsorption⁵⁰⁻⁵² indicate that polymers can adopt a large number of configurations depending on the intrinsic flexibility of the polymer backbone and its affinity for the surface. The specific structure of LPSQ-COOH makes the polymer suitable for planar adsorption on reactive surfaces. Muscovite mica was chosen for the studies due to chemical specificity and its surface properties. Potassium ions bind electrostatically the alternating mica sheets and neutralize the net negative charge associated with the presence of Brønsted acid sites [Si-O(H)-Al] within the basal (001) plane. Exfoliation of mica along the plane located in the K⁺ layer exposes large areas of molecularly smooth surface³⁸. It makes mica an ideal AFM imaging substrate for biological systems^{24,25} and polymers at interfaces⁵³⁻⁵⁵. Potassium ions can form surface salts (e.g. carboxylates). Mica substrates were thus covered with thin layers of LPSQ-COOH by vertical immersion into a diluted solution or by deposition of the dissolved material by spin-coating.

2.1. Analysis of polymer-substrate interactions and interlayer bonding:

Adsorption of LPSQ-COOH onto mica and self-assembly into specifically arranged, layered structures was confirmed by analysis of the respective AFM height profiles. The mechanism of adsorption of LPSQ-COOH on mica needs to be considered with respect to the molecular structure of polymer and possible intermolecular interactions. Structure simulations of LPSQ-COOH (Figure 1) exhibit specific arrangement of side chains terminated with COOH groups with respect to the silsesquioxane backbone. While the above mentioned thermodynamic principles are obeyed for rigid LPSQ-COOH, our studies showed that the configuration adjustment phase can be less decisive in the mechanism of adsorption. Topographic AFM images and height profile analysis suggest close packing within the strata, which is typical for high-affinity adsorption of macromolecules⁵⁶. A maximum contact with the solid surface and compensation of repulsive forces between single adsorbed molecules is provided. It appears that macromolecules of LPSQ-COOH adsorb directly

as they approach the surface, and extend parallel to it with no loops and tails. The thickness of nanolayers (average 1.6 nm for a single layer, Figure 2) is in good agreement with the estimated molecule width (the distance between carboxylic groups placed at the opposite sides of silsesquioxane chain is constant). As all other products of polycondensation, LPSQ-COOH exhibit a certain distribution of chain length and molecular weight. The oligomeric species are terminated with surface-inactive trimethylsilyl groups which excludes possibility of perpendicular orientation of macromolecules.

Figure 2. AFM height image and the corresponding surface profiles of LPSQ-COOH dip coated on muscovite mica (0.045%wt solution in THF, $t_i=5$ s) (a) bilayer islands (b) monolayer.

Macromolecules of LPSQ-COOH within a single monolayer are arranged horizontally with respect to the substrate surface due to interactions between side groups and mica (Scheme 1). It can be assumed that, analogously to earlier reports concerning SAMs²⁷⁻³⁰, LPSQ-COOH are adsorbed on mica through specific ionic interactions between K^+ ions and side COOH groups. Their importance in the adsorption process can be confirmed by ATR-FTIR and ARXPS (Sections 3 and 4) as well as by an exceptional difference in behavior of LPSQ-COOH and LPSQ-Vi dip coated on mica from a solution in THF of the same concentration (Figures ESI-12 and ESI-13). Hydrophobic material functionalized with vinyl groups forms droplets of 2.4 nm height ($t_i=5$ s), scarcely distributed over the surface, that grow in their height on increase of t_i . LPSQ-COOH does not form ordered nanolayers on bare glass or hydrophobic surfaces (HF-treated silicon and APTES silanized glass) (Figure ESI-14). COOH groups situated at one side of the plane formed by double-strand silsesquioxane chain are involved in the interaction with mica. Other forced conformations are improbable, since otherwise the self-assembling system would be perturbed by strata of different symmetry. Cyclic dimers, trimers, and linear catemeric structures (Scheme ESI-1) are common binding arrangements for resonance-assisted hydrogen bonding in carboxylic groups, both on surfaces and in molecular crystals^{57,58}. They can guide reorientation of molecules to optimize attractive/repulsive forces. Molecular modeling has shown that although the orientation of side 2-(carboxymethylthio)ethyl groups with reference to silsesquioxane chain is defined, they can slightly recline in relation to the axis perpendicular to the polymer backbone and become available for lateral molecule-molecule interactions (intra-molecular hydrogen bonding) within a single stratum. Hydroxyl oxygen atom in such catemeric form is sterically accessible. It can act as an acceptor of additional hydrogen bonds from other molecules of LPSQ-COOH, resulting in formation of multilayered structures (inter-layer hydrogen bonding).

2.2. Effect of coating parameters on the structure of the overlayer:

The rate of adsorption of polymers is determined by concentration and viscosity of their solutions. It was thus of question if macromolecules of LPSQ-COOH adsorb from

solution separately or as aggregated clusters that can be more easily formed in concentrated solutions. Dynamic light scattering measurements (Figure ESI-15) did not detect defined agglomerates of LPSQ-COOH in solutions in THF of different concentration. The structure of adsorbed nanolayers (coverage, density, size and number of layers) was found to be very slightly concentration dependent. It was observed that even a short immersion in a very dilute solution (0.0022% wt) results in complete monolayer coverage, decorated sparsely with subsequent (top) layer (Figure 3). Multilayer model of adsorption that does not require completion of the first layer before the formation of the next one seems to be obeyed. Various density of surface coverage can be observed in different areas. The main part of the monolayer has a less compact structure but patches surrounding the areas covered with the top layer are packed more tightly. These domains seem to grow from crystallization centers to the periphery, typically for films self-assembled from solutions. Self-assembling systems can accommodate by tilting chains on decrease of local packing density. Surface coverage and the density of packing increased with the polymer concentration and immersion time. It results in the formation of a well-organized surface (Figure 4).

Figure 3. Structure of LPSQ-COOH film dip coated on muscovite mica (0.0022%wt in THF, $t_i=5$ s, AFM height image and surface profile). Height histogram obtained from the AFM image shows the population of the top self-assembled layer (Sigma Scan analysis of a black/white version of the image indicate that brightest terraces (top layer) extent over ~3% of the analyzed surface.

Figure 4. High coverage of mica surface by LPSQ-COOH (0.051%wt in THF, $t_i=5$ min, dip coating, AFM height image and surface profile). The covering of analyzed surface (~80%) was estimated by Sigma Scan analysis of a black/white image.

The nature of the adsorbate determines the packing density of monolayers and AFM data obtained for LPSQ-COOH/THF system show similar morphology of deposits for different coating conditions. Moreover, films of LPSQ-COOH, obtained by spin coating of solutions in THF (Figure 5a) resemble closely those prepared by dip coating. The thickness of the main layer, that covers most of the surface, is 1.8 nm. It is partly covered with top layer in the form of tilted islands of average 1.3 nm height.

Figure 5. Morphology of deposits of LPSQ-COOH (AFM topography and surface profile) spin coated onto mica plate (a) 0.06%wt solution in THF; (b) 0.06%wt solution in toluene/dioxane (1:2 v/v).

To estimate the effect of solvent for the structure of adsorbed material we have studied morphology of LPSQ-COOH films spin-coated onto mica from 0.06%wt solution of LPSQ-COOH in a mixture of toluene and dioxane (1:2 v/v). Solvents ratio was selected to provide good solubility of LPSQ-COOH using the lowest amount of polar solvent. It should be noted that LPSQ-COOH dissolves well in pure dioxane and toluene/dioxane mixtures (at given ratio). The solutions are clear and transparent

for at least 30 hours. However, DLS profiles of samples dissolved in dioxane or mixtures of dioxane/toluene are different than those in THF and THF/toluene (Figure ESI-16). The polymer tends to form discrete micelles (solution is stable for 30 hours) that finally sediment with time (>48 h).

Morphological comparison of micrographs indicates a considerable change in the structure of film deposited from the freshly prepared solution in toluene/dioxane in a non-equilibrium spin-coating process (Figure 5b). Mica is covered completely with the base layer, but the top surface is not smooth. In this case, deposits formed misshapen spikes instead of lamellar structures. It is known that the type of monolayer growth can be stabilized by an appropriate solvent (solvent-induced polymorphism⁵⁷). Dip-coating experiments (Figure 6) confirmed the importance of polymer substrate interactions and suggest that the lower rate of solvent evaporation could determine the structure obtained in the spin-coating process. Samples prepared with 0.06%wt solution in toluene/dioxane (1:2 v/v) are similar to those prepared using THF solutions. Micrographs exhibited also the effect of immersion time on the amount of adsorbed LPSQ-COOH. Concluding, the studied material can aggregate in a poor solvent but the specific surface interactions play more important role in the assembly process.

Figure 6. Structure of self-assembled deposits of LPSQ-COOH dip-coated onto mica using 0.06%wt solution in toluene/dioxane (1:2 v/v) (a) $t_i=5$ s; (b) $t_i=5$ min.

3. Analysis of polymer-substrate interactions by ATR-FTIR

Infrared spectrometry can be an excellent diagnostic tool for identification of C=O groups, since their chemical behaviour is dependent on the structural environment⁵⁹⁻⁶¹. ATR-FTIR measurements were thus carried out to understand better the mechanism of adsorption of LPSQ-COOH on the surface of mica. Spectra were measured for the native LPSQ-COOH in bulk and after its adsorption on mica. The regions near 1700 cm^{-1} (C=O stretch), 1400 cm^{-1} (in plane O-H deformation), 1250 cm^{-1} (C-O stretch) and 920 cm^{-1} (out of plane O-H deformation) were used for the identification. Figure 7 represents FTIR spectrum of the pure LPSQ-COOH in bulk. The assignments of bands characteristic for pure LPSQ-COOH are given in Table ESI-1. The C-S linkages and methyl rocking modes cannot be readily identified since their characteristic bands can be expected at wavelengths <850 cm^{-1} ⁵⁹. LPSQ-COOH dissolves only in polar solvent (strong solvent interaction effects can be expected) and it is impossible to obtain its non-hydrogen bonded spectra in dilute solutions.

Figure 7. ATR FT-IR spectra of native LPSQ-COOH (bulk) [the insert represents compared spectra of mica covered with LPSQ-COOH (a) freshly air-cleaved mica support (b) and native LPSQ-COOH (c)].

Carboxylic acids exist normally in stable dimeric form with very strong hydrogen bridges between the carbonyl and hydroxyl groups of the two molecules. This association can persist even in the vapour state or in dilute solution in certain solvents. The

shape of the C=O FTIR band (centered at 1710 cm^{-1}) in bulk LPSQ-COOH is clearly asymmetric with noticeable broadening at lower frequencies. Vibrations of carboxylic groups can be seen as two overlapping bands at 1721 and 1707 cm^{-1} . The shift of the C=O vibrational bands to lower wavenumbers is caused by hydrogen bonding. It can be thus surmised that carboxylic groups in bulk LPSQ-COOH are both in form of hydrogen-bonded dimers as well as monomers. Alternatively, the 1721 cm^{-1} peak may correspond to hydrogen-bonded -COOH of different geometry (looser forms of association). Formation of acyclic head-to-tail dimers with one strong hydrogen bridge and a secondary weak CH \cdots O=C interaction was postulated as the initial step for the formation of their cyclic counterparts^{62, 63, 64}. FTIR spectrum of the acyclic form shows O-H stretching frequencies intermediate between that of the monomer and the cyclic dimer. We were unable to distinguish between these two contributions at this point. However, as it was earlier discussed, such interactions could be geometrically feasible in LPSQ-COOH.

Figure 8. ATR-FTIR spectra [(a-e) normalized to $\nu(\text{Si-O})$ 975 cm^{-1}] of the characteristic regions for C=O and C-O stretching and the -OH bending regions at 1750-1200 cm^{-1} for native LPSQ-COOH (f) and after its coating on mica using dip coating technique [a] clean mica support, coated using: b) 0.002%wt (as in Figure 3), c) 0.022%wt, d) 0.045%wt, e) 0.051%wt solution in THF]. The spectrum of freshly cleaved mica was used as a reference.

FTIR spectrum of mica exhibits a strong Si-O apical vibration with a single mode evolution⁶⁵ and could not be subtracted as background. Consequently, the wavelength region >1200 cm^{-1} could not be analyzed. However, some important specific interactions in the multilayer structure could be observed on analysis of FTIR spectra (wavelength range 1850-1200 cm^{-1}) of samples prepared with solutions of LPSQ-COOH in THF of increasing concentration (Figure 8). The spectrum of the first monolayer prepared with the least concentrated solutions [Figure 8(b)] shows diffuse bands at 1750-1600 cm^{-1} and 1500-1350 cm^{-1} and a small peak at about 1260 cm^{-1} . The position of the latter did not change with increasing thickness of the adsorbed layer. It corresponds to Si-CH₃ band in bulk LPSQ-COOH (Table ESI-1) and confirms adsorption of the polymer on mica.

Ionization of a carboxylic acid moiety (formation of COO-group) results in equilibration of two oxygen atoms attached to the carbon (resonance between the two C-O bonds) with the disappearance of C=O absorption. Two broad bands near 1550 cm^{-1} (anti-symmetrical) and 1400-1300 cm^{-1} (symmetrical vibration)^{59, 60, 66} should appear in the spectrum on formation of carboxylate groups, as in the proposed model of adsorption. Indeed, they can be observed, especially on formation of monolayers from diluted solution (Figure 8, traces b-d). It suggests that LPSQ-COOH can be chemisorbed as a carboxylate onto the mica surface.

The C=O stretch band of dimeric species (1707 cm^{-1}) is substantially diminished in the spectrum of the coated samples. However, the C=O band at 1721 cm^{-1} becomes more defined on increase of the silsesquioxane layer thickness. This can be explained by the relative increase of the amount of -COOH

groups arranged in hydrogen-bonded layers versus carboxylates involved in ionic interactions with surface. This finding is in agreement with the proposed model of adsorption. The type of interaction between the carboxylate moiety and the metal atom can be diagnosed by FTIR⁶⁷⁻⁶⁹. Unfortunately, the intensity of peaks in the recorded spectra is too low to conclude whether carboxylate groups of LPSQ-COOH are coordinated symmetrically to the K atoms.

4. Structure of LPSQ-COOH films analyzed by ARXPS.

Photoelectron spectroscopy analysis was used to characterize LPSQ-COOH and the layers adsorbed on mica surfaces (Figure 9). XPS spectrum of mica was used as a reference. The elements emitting photoelectrons in LPSQ-COOH are specified as silicon [Figure ESI-17, Si2p core-level at 101.0 eV with Si2p_{3/2} (100.8 eV) and Si2p_{1/2} (101.4 eV) components], carbon [Figure 10, C1s envelope that can be resolved into four contributions referred to neutral sp³ carbon (C-C) at 283.3 eV and three peaks with modified electron environment positioned at 284.2 eV (C-S), 285.2 eV (C-O) and 288.1 eV (C=O)], oxygen (Figure ESI-18 O1s, 530.9 eV, with C=O bonding at 531.9 eV, H-O-C bonding at 532.8 eV) and sulphur [Figure 11, S2p, with closely spaced spin-orbit components S2p_{3/2} (162.2 eV) and S2p_{1/2} (163.5 eV)].

Figure 9. XPS spectra of LPSQ-COOH before and after adsorption on mica compared to a reference XPS spectrum of air-cleaved mica.

Figure 10. XPS C1s peak deconvolution in the studied samples (native and adsorbed LPSQ-COOH compared to bare, air-cleaved mica).

Figure 11. XPS Sp2 peak deconvolution and binding energy differences observed for bulk and adsorbed LPSQ-COOH.

XPS spectrum of air-cleaved mica surfaces includes peaks corresponding to silicon (Si2p 101.2 eV), oxygen (O1s 530.4 eV), potassium (K2p, 292.4 eV) and aluminium (Al2p, 73.6 eV). A broad C1s peak at 285.2 eV in mica grazing emission XPS spectrum indicates the presence of adventitious carbon species⁷⁰. Apparent shifts in binding energy (comparing to C1s of LPSQ-COOH) are due to the existence of strong dipole fields at the surface⁷¹.

The expected (C1s, O1s, Si2p, S2p) core level peaks were detected in the ARXPS spectra of adsorbed LPSQ-COOH. Due to the surface adsorption effects, the resolution of XPS spectra is poor and it is difficult to differentiate Si and O atoms contained in the silsesquioxane overlayer from those belonging to the adsorbent. All peaks are slightly shifted to higher energies. It is postulated that LPSQ-COOH attachment to mica is due to formation of a surface salt – potassium carboxylate. As shown by analysis of model compounds⁷², the two oxygen atoms in the carboxylate group [–C(O)O– K⁺] would have the same physical and chemical properties due to the effect of charge delocalization; thus they should have the same binding energy (about 532.4 eV).

However, only 3 peaks were observed in the C1s spectrum of LPSQ-COOH adsorbed on mica. A general broadening of the C1s core level envelope and the fitted peaks is observed. Distinctively, the primary C=O peak (288.1 eV) disappeared,

which could be due to the formation of carboxylate (–COO–) moieties (shifting the characteristic peak, widened by the effect of surface adsorption, to slightly higher binding energies⁶⁹). C1s envelope is similar in XPS spectra recorded at different take-off angles, which points to the surface adsorption effect as an origin of peak widening. The O1s peak asymmetry observed for native LPSQ-COOH is not retained and photoelectron peaks corresponding to C=O and H-O-C groups cannot be distinguished in the adsorbed samples.

The surface effect is quite pronounced and it can be observed also for atoms not involved directly in interactions with the mica surface. The closely spaced spin-orbit components S2p_{3/2} (162.2 eV) and S2p_{1/2} (163.5 eV) of the peak corresponding to sulphur atoms are narrow in the spectrum of native LPSQ-COOH. Their width increases significantly in the spectrum of adsorbed oligosilsesquioxane (Figure 11).

Figure 12. XPS element concentration plots in native LPSQ-COOH (take-off angle Θ 45°), air-cleaved muscovite mica (take-off angle Θ 15°) and a sample of mica coated with self-assembled layers of LPSQ-COOH (at increasing Θ and beam sampling depth).

Additionally to the basic structural parameters, XPS results related to other features of the coating layers were analysed. ARXPS spectra taken for several photoelectron take-off angles are sensitive to changes in atomic concentration within the sample depth. The ratios of normalized intensities of the characteristic photoelectrons obtained with ARXPS are presented on Figure 12 for the native LPSQ-COOH, mica substrate and the LPSQ-COOH overlayer adsorbed on mica (plotted versus the take-off angle function Θ). The atomic concentrations of adsorbed LPSQ-COOH presented in Figure 12, differ from those of the bulk sample and vary with Θ . Potassium and sulphur can be found uniquely in the substrate or in the overlayer and can be used as indicators of the finite thickness of the coating layer. The concentration of carbon decreases with increasing of the effective sampling depth (to the value smaller than that observed for air-cleaved mica, which supports adventitious origin of the latter). As expected, molar fraction of the elements shared in the coating and the substrate (Si, O) tends to rise with the increase of the take-off angle.

5. Thermal and solvolytical stability of LPSQ-COOH-mica system:

Macroscopic control of surface properties requires stability at molecular level. Rearrangement of surface structures can occur under specific conditions (e.g. upon exposure to a good solvent or surface diffusion phenomena at heating) due to increasing degree of freedom, if the entropic penalty of chain extension is more significant than polymer–surface interactions. The layered structure of spin-coated samples of LPSQ-COOH on mica is retained under ambient conditions (Figure ESI-19). Further studies suggested that nanolayers of LPSQ-COOH adsorbed and self-assembled on mica via hydrogen bonding are also thermally stable in air.

Figure 13. AFM height images and surface profiles of LPSQ-COOH (0.022% in THF, $t_i=5s$) dip coated onto mica, recorded at a) 24°C (equilibrium structure), b) 38°C, c) 60°C and d) after cooling to 30°C.

Thickness and shape of the top layers were analyzed using hot-stage AFM. The temperatures were chosen with respect to thermal transitions characteristic for the studied polymer (Figure ESI-8). Mica plates covered with LPSQ-COOH were first scanned at room temperature (Figure 13a). The sample was then heated (at rate 5°C/min) to 38°C (Figure 13b) and then to 60°C (Figure 13c). The sample surface was scanned with an AFM probe after a short incubation time (3 minutes). Roughness profiles showed that the surface structure remained smooth within the studied temperature range. Nanolayers are defined and firmly bound to the surface of mica and the underlying polymeric material. On increasing the temperature above that defined by the second endothermic peak, one can observe a slight softening of the top layer. Despite the increased softness, the topographic pattern on the surface remains unchanged. The sample was then cooled to 30°C. The cooling was inertial and it took ~15 minutes to change the temperature of the sample. The studied topographic motif endures and lamellar organization of the material is evident.

Another important aspect of interfacial self-assembly is thermodynamic control of adsorbed systems and equilibrium between the molecules adsorbed at the surface and those dissolved in the supernatant liquid phase. Transport phenomena and degrafting of polymers from the surface can impact the process. However, experimental results suggest that the stability of layered structures of LPSQ-COOH is reinforced by chemisorption and self-assembly on mica. Mica tiles, already coated with LPSQ-COOH (dip-coating, 0.04%wt in THF, $t_i=15$ min), were immersed in pure THF or pure toluene for 15 minutes. AFM studies showed good surface coverage after the washing test. Wettability studies (part 6) suggest that the lamellar arrangement in thin layers is durable and resist solvolysis by THF for at least 15 minutes.

An experiment was carried out to analyze stability of the adsorbed layer on prolonged immersion time. A sample of mica was deposited for 10 h in the solution of LPSQ-COOH (0.045%wt in THF) placed in a tightly closed container. AFM image shows that the adsorbed polymer is arranged in smooth, layered structures and regions overspread with granular patterns made of the same material (Figure 14). It can be explained by a limited range of surface controlled adsorption. In such a case macromolecules tend to adsorb disorderly in the outermost layers (the effect can be augmented by decreasing concentration of the solution). It can be also expected that detachment associated with solvolysis of the least bonded macromolecules after a long immersion time is easier at certain thickness level.

Figure 14. AFM height image and surface profile of LPSQ-COOH on muscovite mica (0.045%wt in THF, 27°C, $t_i=10$ h, dip coating).

6. Wettability of LPSQ-COOH coated on mica:

Wettability of the studied surfaces (bare mica, samples of LPSQ-COOH dip-coated or cast onto mica, and LPSQ-Vi cast onto mica) was determined by contact-angle measurements (sessile drop technique) using water and glycerol as reference liquids. Static, advancing and receding contact angles were measured and the surface free energy (polar and dispersive components) was calculated. It was found that all LPSQ-COOH samples are hydrophilic. Part of the polar component in their surface energy is much larger than the dispersive one (Figure 15). A significant difference in wettability has been reproducibly observed depending on the method of coating. Surface energy of a film of LPSQ-COOH cast on mica with a slit applicator (film thickness ~60 μm) is smaller than that of the dip-coated sample (0.04%wt solution in THF, $t_i=15$ minutes). It can be explained by different arrangement of molecules of LPSQ-COOH in the coating. Polar carboxylic groups situated at the top side of adsorbed nanolayers are forced to adopt an unfavorable orientation with respect to hydrophobic air, but it makes the surface exceptionally hydrophilic. COOH groups in macromolecules that are not arranged in a lamellar way (slit-coated layer) are less accessible for the formation of hydrogen bonds with reference liquids during the measurement. It was also found that the surface energy of hydrophobic LPSQ-Vi is similar to that of slit-cast LPSQ-COOH except that its major part is formed by dispersive forces. The difference between receding and advancing angle is smaller than that recorded for LPSQ-COOH. The energy of hydrophilic mica is comparable to the reported data⁷³.

Lamellar organization of LPSQ-COOH and firm interactions with mica are important for the surface solvolytic stability profile. Dip-coated samples (0.04%wt solution in THF, $t_i=15$ minutes) were immersed in pure THF and toluene for a given time (5 s and 15 minutes) at room temperature. Contact-angle measurements show that the surface properties did not change (Figure 16).

Figure 15. Surface energy of studied coatings determined by wetting angle measurements.

Figure 16. Solvolytic stability of LPSQ-COOH adsorbed on mica (samples immersed in THF).

Conclusions

In this study we have combined different experimental and computational techniques including atomic force microscopy, XPS and ATR-FTIR spectroscopy, as well as molecular structure simulations to analyse the process of self-assembling of ladder oligosilsesquioxanes bearing side carboxylic groups (LPSQ-COOH) on mica. AFM studies have shown that linear macromolecules of LPSQ-COOH can adsorb horizontally on the surface of muscovite mica and self-assemble into layered structures. Alternative adsorption mechanism and orientation with brush-like conformation of the silsesquioxane chains is excluded. The ATR-FTIR spectra confirmed interactions between -COOH groups of LPSQ-COOH and the surface of mica. The XPS spectra demonstrated the variation of C1s and O1s binding in C=O groups before and after adsorption.

Organization of LPSQ-COOH within the adsorbed overlayer results from both molecule-to-substrate and molecule-to-

molecule bonding. The molecular interactions are determined by the specific position of functional groups with respect to the rigid double-chain silsesquioxane backbone. Individual layers of LPSQ-COOH are stacked due to formation of hydrogen bonds

5 between carboxylic groups at interfaces.

Surface energy measurements confirmed horizontal arrangement of LPSQ chains suggested by AFM, with COOH groups in the interlayers and pointing away from the surface. The specific arrangement of COOH groups makes the surface highly

10 hydrophilic and solvolytically stable. Self-assembled structures anchored to the surface do not undergo rearrangements due to surface diffusion, even at increased temperatures. The particular properties and stability of LPSQ-COOH-mica system are promising for the preparation of patterned surfaces with

15 immobilized COOH groups as specific ligands for cell-culture studies. LPSQ-COOH can be used not only for surface coating but also as ink for micropatterning of mica (the results in preparation for publication).

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Notes and references

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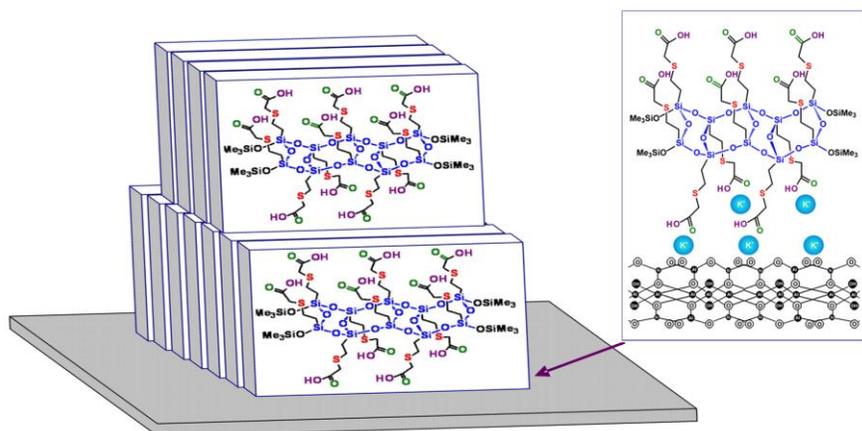
† Electronic Supplementary Information (ESI) available: [all synthetic details and structural characterization (NMR) as well as additional data concerning surface characterization and behavior of LPSQ-COOH in

35 solution (DLS studies)]. See DOI: 10.1039/b000000x/

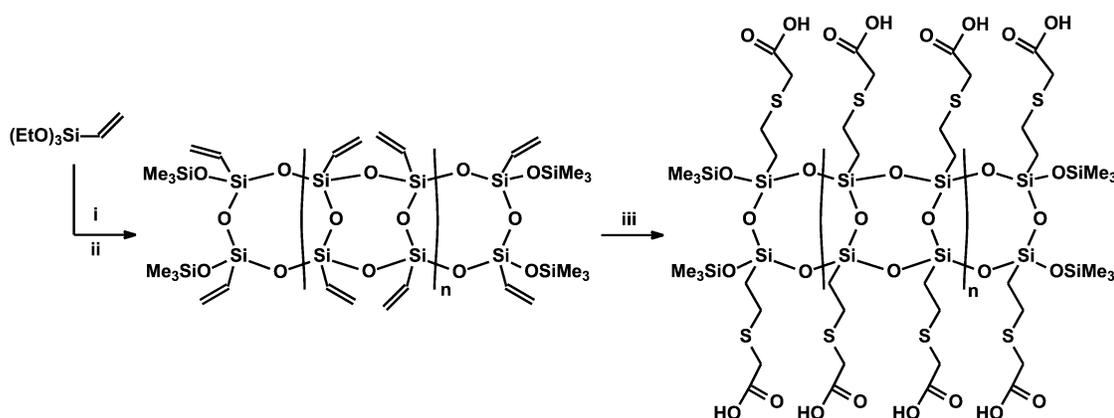
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Scheme 1. Arrangement of oligo[2-(carboxymethylthio)-ethylsilsesquioxane] chains adsorbed on the surface of muscovite mica.



Scheme 2. Synthesis of LPSQ-COOH [i: potassium hydroxide, ii: acetic acid, hexamethyldisiloxane (HMDS), iii: thioglycolic acid, 2,2-dimethoxy-2-phenylacetophenone (DMPA), UV 365 nm].

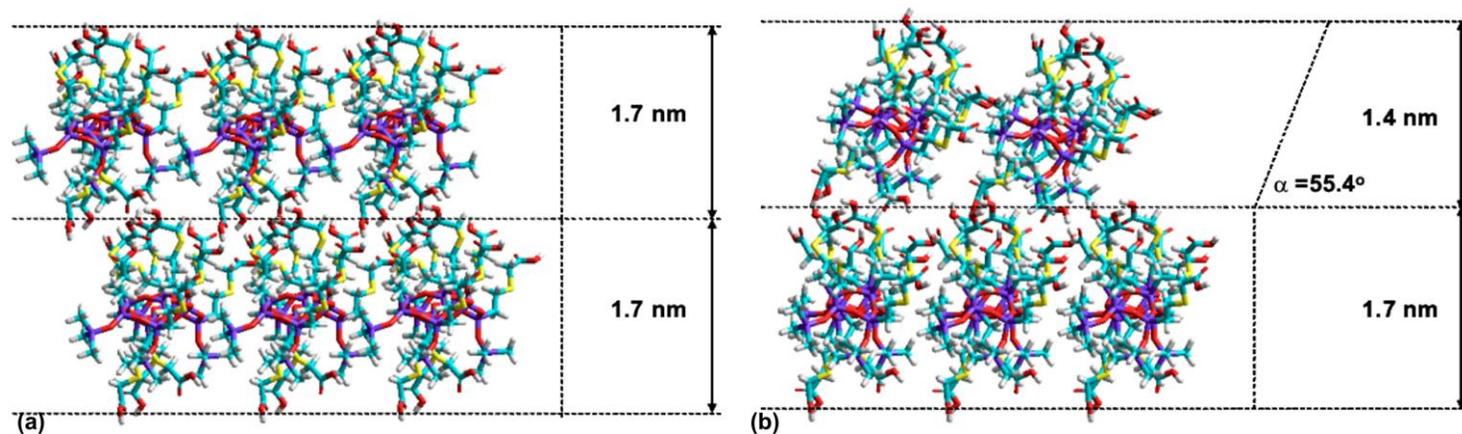


Figure 1. Simulated structures of LPSQ-COOH oligomers self-assembled on mica, (a) vertical arrangement (b) tilted arrangement of the top layer (exemplary angle $\alpha=55.5^\circ$).

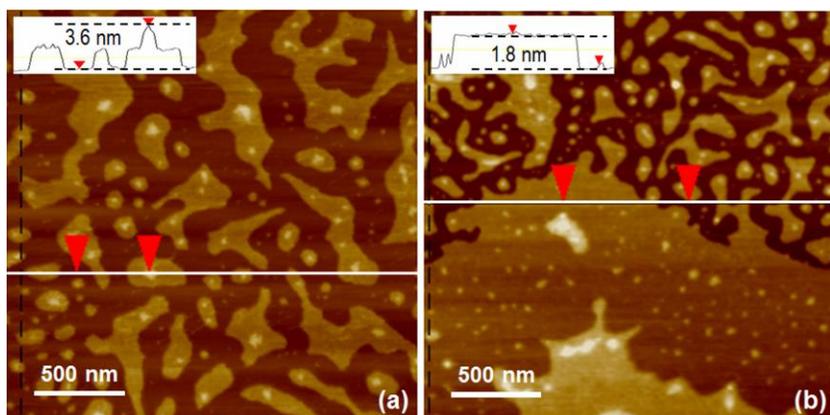


Figure 2. AFM height image and the corresponding surface profiles of LPSQ-COOH dip coated on muscovite mica (0.045%wt solution in THF, $t_i=5$ s) (a) bilayer islands (b) monolayer.

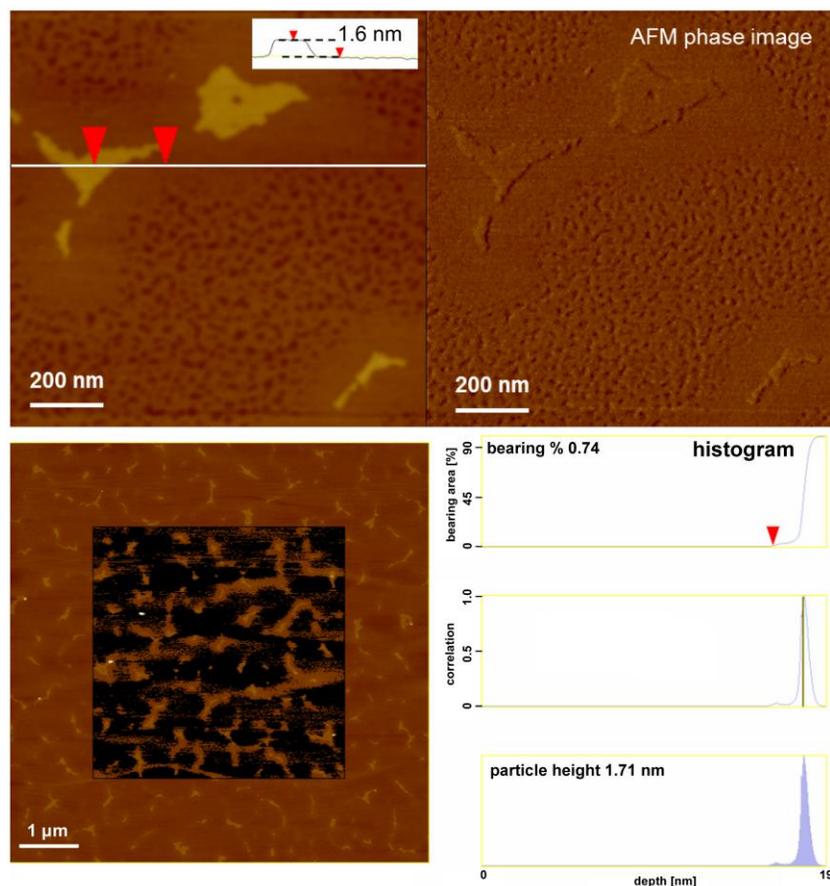


Figure 3. Structure of LPSQ-COOH film dip coated on muscovite mica (0.0022%wt in THF, $t_i=5$ s, AFM height image and surface profile). Height histogram obtained from the AFM image shows the population of the top self-assembled layer (Sigma Scan analysis of a black/white version of the image indicate that brightest terraces (top layer) extent over ~3% of the analyzed surface).

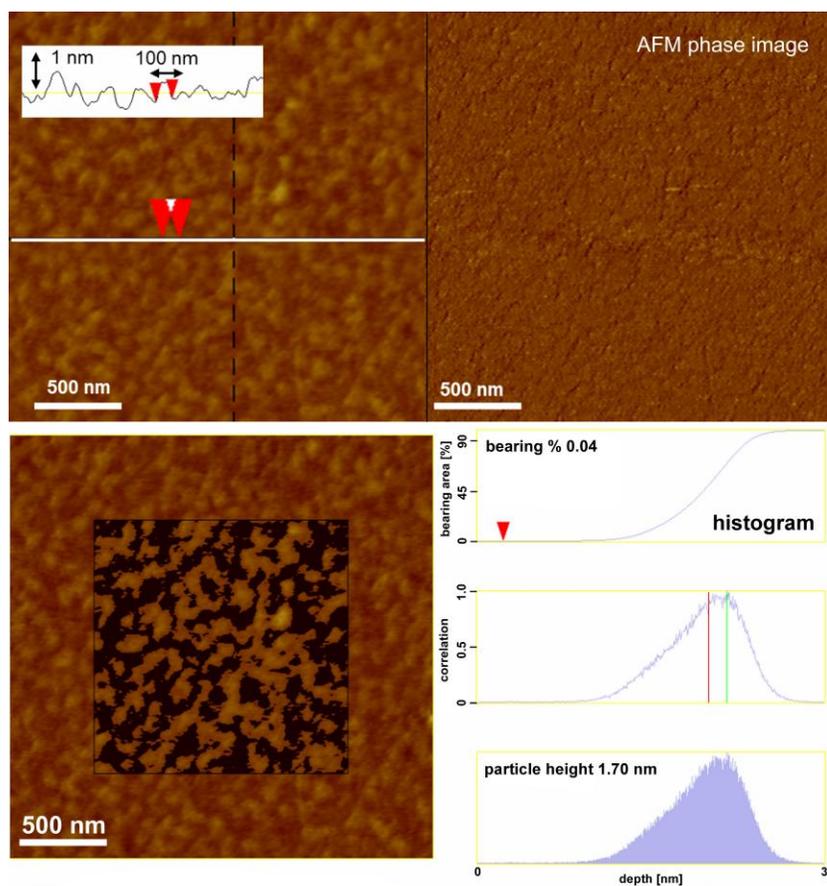


Figure 4. High coverage of mica surface by LPSQ-COOH (0.051%wt in THF, $t_d=5$ min, dip coating, AFM height image and surface profile). The covering of analyzed surface ($\sim 80\%$) was estimated by Sigma Scan analysis of a black/white image.

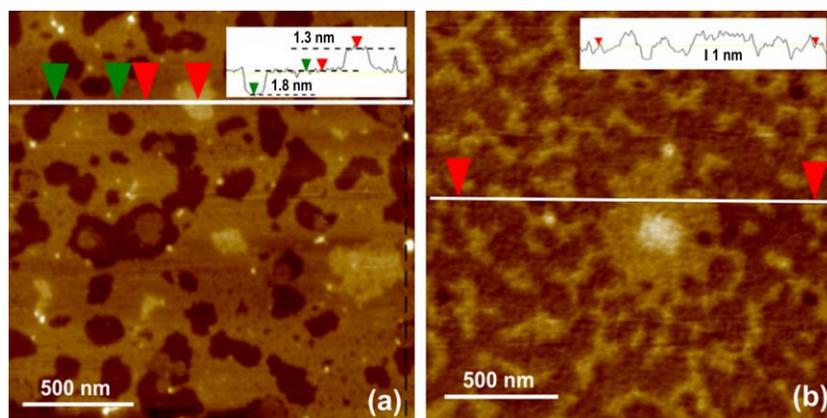


Figure 5. Morphology of deposits of LPSQ-COOH (AFM topography and surface profile) spin coated onto mica plate (a) 0.06%wt solution in THF; (b) 0.06%wt solution in toluene/dioxane (1:2 v/v).

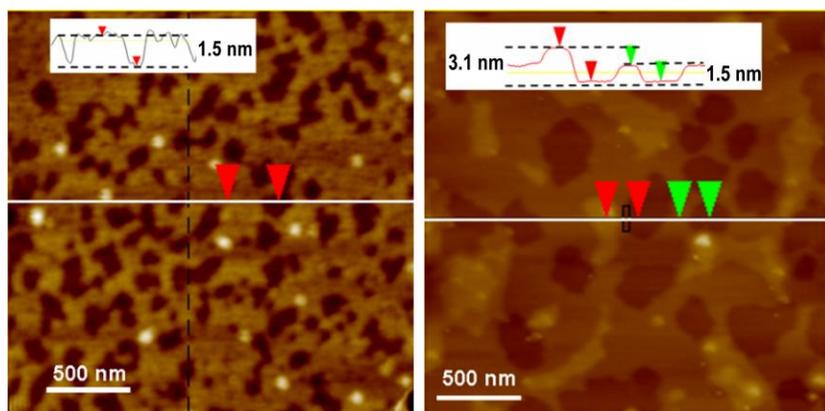


Figure 6. Structure of self-assembled deposits of LPSQ-COOH dip-coated onto mica using 0.06%wt solution in toluene/dioxane (1:2 v/v) (a) $t_i=5$ s; (b) $t_i=5$ min.

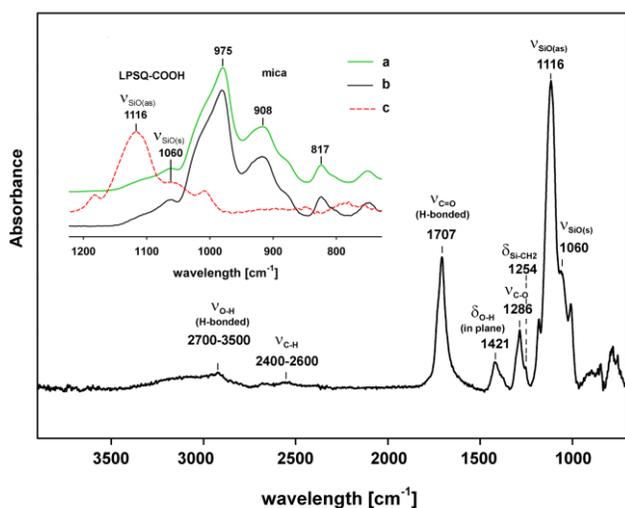


Figure 7. ATR FT-IR spectra of native LPSQ-COOH (bulk) [the insert represents compared spectra of mica covered with LPSQ-COOH (a) freshly air-cleaved mica support (b) and native LPSQ-COOH (c)].

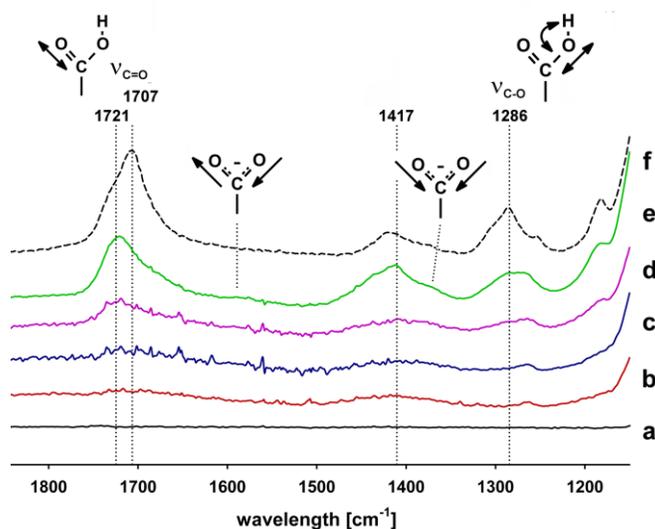


Figure 8. ATR FT-IR spectra (normalized) of the characteristic regions for C=O and C-O stretching and the -OH bending regions at $1750-1200$ cm^{-1} for native LPSQ-COOH (f) and after its coating on mica using dip coating technique [a] clean mica

support, coated using: b) 0.002%wt (as in Figure 3), c) 0.022%wt, d) 0.045%wt, e) 0.051%wt solution in THF]. The spectrum of freshly cleaved mica was used as a reference.

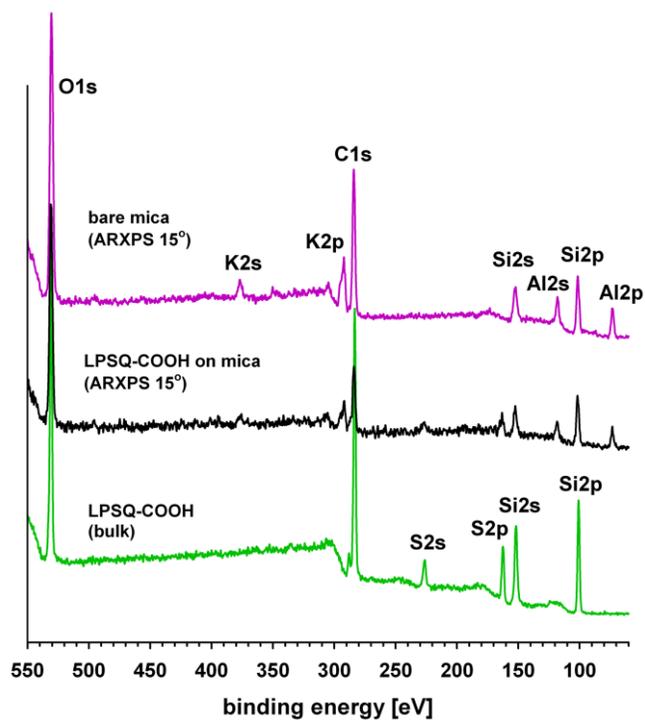


Figure 9. XPS spectra of LPSQ-COOH before and after adsorption on mica compared to a reference XPS spectrum of air-cleaved mica.

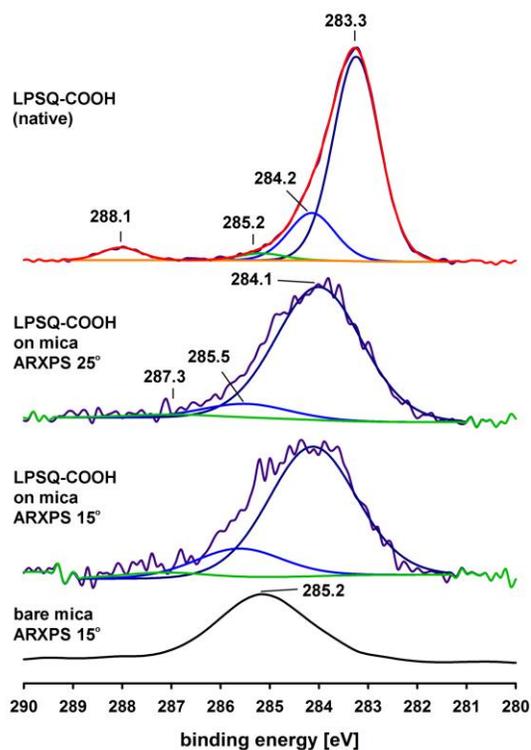


Figure 10. XPS C1s peak deconvolution in the studied samples (native and adsorbed LPSQ-COOH compared to bare, air-cleaved mica).

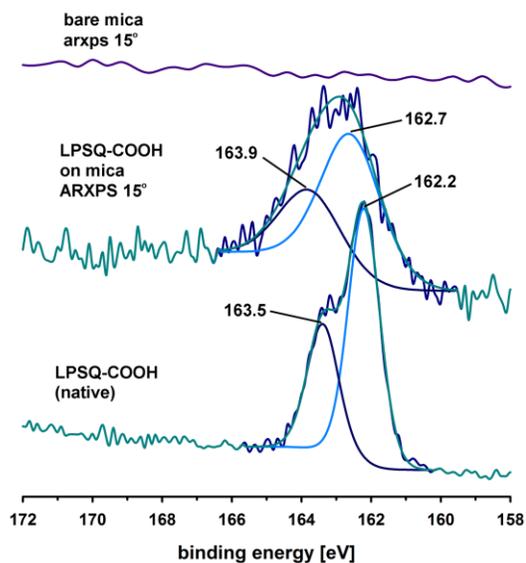


Figure 11. XPS Sp2 peak deconvolution and binding energy differences observed for bulk and adsorbed LPSQ-COOH.

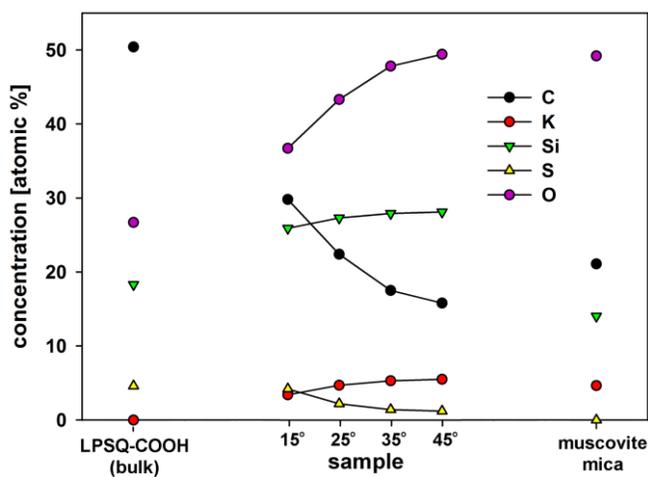


Figure 12. XPS element concentration plots in native LPSQ-COOH (take-off angle Θ 45°), air-cleaved muscovite mica (take-off angle Θ 15°) and a sample of mica coated with self-assembled layers of LPSQ-COOH (at increasing Θ and beam sampling depth).

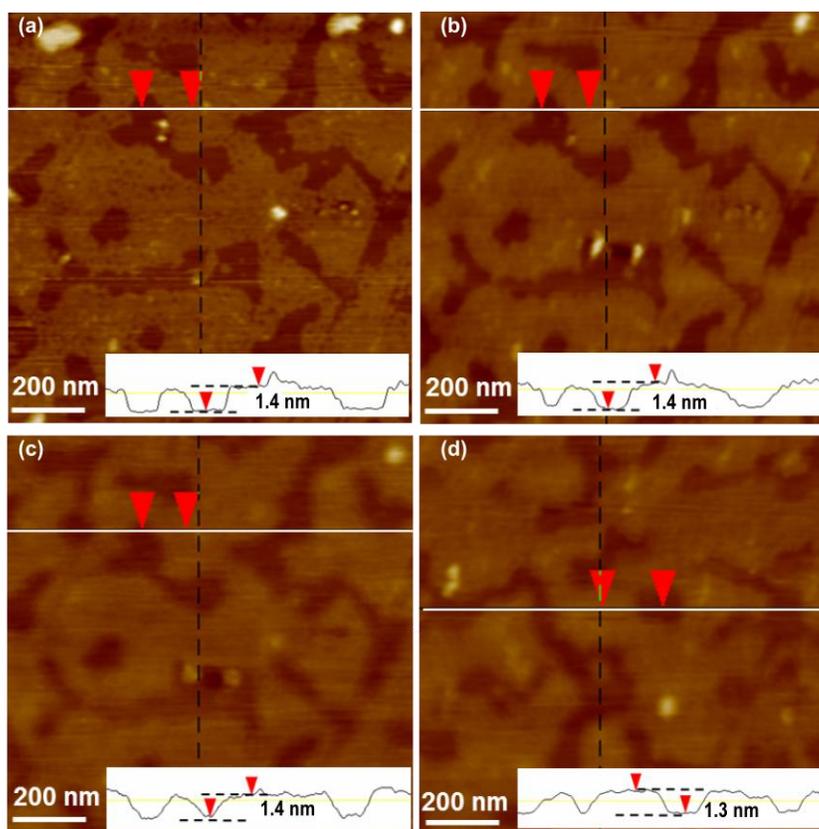


Figure 13. AFM height images and surface profiles of LPSQ-COOH (0.022% in THF, $t_i=5s$) dip coated onto mica, recorded at a) 24°C (equilibrium structure), b) 38°C, c) 60°C and d) after cooling to 30°C.

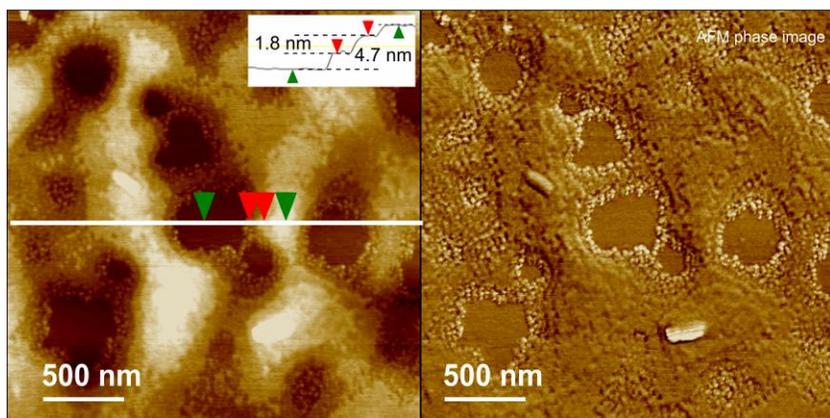


Figure 14. AFM height image and surface profile of LPSQ-COOH on muscovite mica (0.045%wt in THF, 27°C, $t_i=10$ h, dip coating).

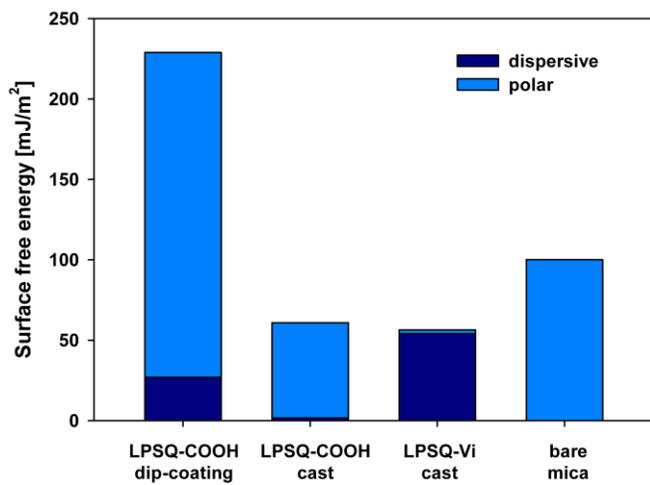


Figure 15. Surface energy of studied coatings determined by wetting angle measurements.

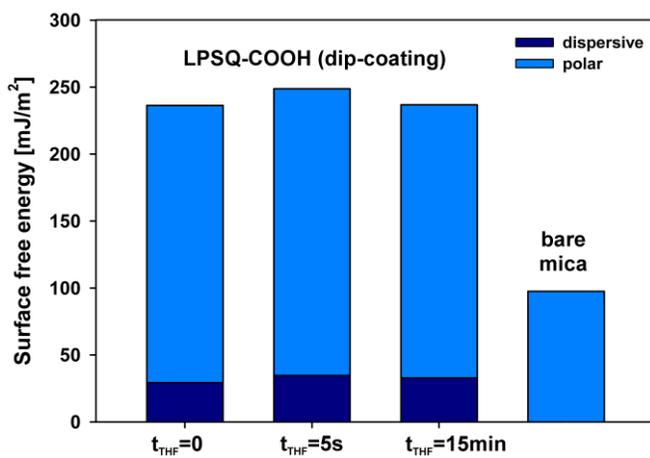


Figure 16. Solvolytic stability of LPSQ-COOH adsorbed on mica (samples immersed in THF).