

# Soft Matter

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16 **Abstract**

17           The aim of the present study was to explore the potential use of chitin nanocrystals,  
18 as colloidal rod-like particles, to stabilize aqueous foams. Chitin nanocrystals (ChN) were  
19 prepared by acid hydrolysis of crude chitin and foams were generated mainly by sonicating  
20 the respective dispersions. The foamability of the chitin nanocrystals was evaluated and the  
21 resulting foams were assessed for their stability, in terms of foam volume reduction and  
22 serum release patterns, during storage. Additionally, the samples were studied with light  
23 scattering and optical microscopy in order to explore the bubble size distribution and  
24 morphology of the foam. Nanocrystal concentration and charge density was varied to alter  
25 the packing of the crystals at the interface. At low concentrations of ChNs, foams were  
26 stable against coalescence and disproportionation for a period of three hours, whereas at  
27 higher concentrations, the foams were stable for several days. The enhanced stability of  
28 foams prepared with ChNs, compared to surfactant-stabilized foams, can be mainly  
29 attributed to the irreversible adsorption of the ChNs at the air-water interface, thereby  
30 providing Pickering stabilization. Both foam volume and stability of the foam were increased  
31 with an increase in ChNs concentration, and at pH values around the chitin's  $pK_a$  (pH 7.0).  
32 Under these conditions, the ChNs show minimal electrostatic repulsion and therefore a  
33 higher packing of the nanocrystals is promoted. Moreover, decreased electrostatic repulsion  
34 enhances network formation between the ChNs in the aqueous films, thereby providing  
35 additional stability by gel formation. Overall, ChNs were proven to be effective in stabilizing  
36 foams, and may be useful in the design of Pickering-stabilized food grade foams.

37

38

## 1. Introduction

39  
40 Foams are of great practical interest because of their extensive occurrence in the  
41 food, pharmaceutical and cosmetic industry. These aerated systems, especially aqueous  
42 foams, are usually stabilized by low molecular weight (LMW) surfactants or proteins, but  
43 they often suffer from low stability due to many destabilization mechanisms that take  
44 place.<sup>1,2</sup> A promising alternative for enhancing foam stability is the utilization of solid  
45 particles. In the last years some considerable amount of work has been performed on  
46 investigating the potential of particles as foam stabilizers.<sup>3-7</sup> The ability of particles to  
47 stabilize interfaces, either oil-water or air-water, has been known already for more than a  
48 century, and particle-stabilized interfaces were first reported by Ramsden in 1903.<sup>8</sup> The work  
49 was then continued by Pickering in 1908,<sup>9</sup> after whom this stabilization mechanism was  
50 named.

51 The most striking feature of colloidal particles is their ability to adsorb irreversibly at  
52 interfaces,<sup>10</sup> rendering them extremely effective as foam stabilizers. The free energy of  
53 spontaneous desorption is predicted to be of the order of several thousand kT.<sup>11-13</sup> The  
54 energy of adsorption, apart from the size, is significantly determined by the wetting  
55 properties of the solid particles, expressed as a contact angle,  $\theta$ , at the interface. For an  
56 angle of  $90^\circ$  the highest degree of stabilization is obtained. The angle depends mainly on the  
57 hydrophobicity of the particles. For proper adsorption, the particles should not be too  
58 hydrophilic, contact angles  $< 90^\circ$ , and not too hydrophobic, contact angles  $> 90^\circ$ . For proper  
59 wetting ability, this denotes that once the particles are at the interface, they are effectively  
60 and irreversibly adsorbed, in marked contrast to surfactant molecules that adsorb and  
61 desorb reversibly. As the particles are adsorbed at the interface, they have the ability to  
62 form a close-packed structure at the gas-liquid interface, creating a 'colloidal barrier'. This  
63 densely packed interfacial layer can retard or even completely inhibit the destabilization of  
64 foams caused by bubble coalescence and coarsening (disproportionation).<sup>3,13-17</sup> It has been  
65 shown that the particle-stabilized bubbles can resist disproportionation for days or  
66 weeks,<sup>14,15</sup> compared to a life time of less than an hour for the respective surfactant or  
67 protein-stabilized bubbles.<sup>14</sup> Another distinct difference compared to surfactants, is that the  
68 adsorption of solid particles to fluid/liquid interfaces does not always cause a drop in the  
69 interfacial tension.<sup>18</sup>

70 The particles that have been mostly used for Pickering stabilization are commonly of  
71 inorganic nature, like silica,<sup>10,19,20</sup> clays<sup>21</sup> and latexes.<sup>22</sup> Beside different materials, also  
72 particles with different geometry have been studied in literature,<sup>23</sup> and so far the majority of  
73 the studies deal with spherical particles, while few examples of anisotropic particles have  
74 been reported. Additionally, there are very few cases of particles that are already food-  
75 grade, like fat crystals,<sup>24,25</sup> as the majority of the particles used so far cannot be used in the  
76 food industry. An attractive alternative source to these non-food grade materials are natural  
77 biopolymers, such as polysaccharides. Some examples of such polysaccharide-based  
78 particles as Pickering stabilizers have been reported in literature, like cellulose fibres<sup>26-28</sup> and  
79 modified starch granules.<sup>29</sup> Recently, also other biomaterials, such as shell-fish waste and  
80 insects, have been used to derive colloidal particles, namely chitin nanocrystals. These  
81 nanocrystals have been shown to exhibit large capacity in stabilizing oil-in water emulsions  
82 even with relatively large oil droplet sizes.<sup>30</sup> Moreover, these emulsions were shown to be  
83 particularly stable under digestive conditions and able to slow down the lipid digestion  
84 process, indicating the presence of very stable and dense interfaces.<sup>31</sup> The large stability was  
85 attributed to the Pickering mechanism. The chitin nanocrystals can be produced from  
86 hydrolysis of raw chitin in acidic aqueous solutions, and the resulting dispersions consist of  
87 rod-like particles with average dimensions around 240 nm in length and 18 nm in diameter,  
88 as determined in the work of Tzoumaki et al..<sup>32</sup> The chitin particles may possess positive  
89 charges at their surface due to the protonation of aminogroups present.<sup>32</sup> Although their  
90 ability to stabilize oil-water interfaces has been reported, no information is yet available  
91 about the ability of these nanoparticles to stabilize air-water surfaces.

92 Therefore, the aim of the present work was to investigate the potential of chitin  
93 nanocrystals (ChNs) as foam stabilizers. We investigated the factors that may influence the  
94 properties of the respective foams, such as ChN concentration and charge density to reveal  
95 possible mechanisms behind their ability to stabilize air-water interfaces. Charge density was  
96 varied by changing pH. Foams were produced by applying sonication to aqueous ChN  
97 dispersions, and the produced foams were studied for their stability by means of visual  
98 observations, optical microscopy and light scattering, in an attempt to understand the  
99 underlying stabilization mechanism(s).

100

## 101 2. Materials Methods

102

### 103 2.1. Materials

104 Crude chitin from shrimp shells, as well as all other chemicals, such as potassium  
105 hydroxide, sodium acetate, glacial acetic acid, sodium chlorite, sodium chloride, hydrochloric  
106 acid (concentrated 37% v/v), sodium azide, absolute ethanol were purchased from Sigma  
107 Chemicals (St Louis, USA). A dialysis bag (Spectra/Por® 4 with MWCO 12-14kD) was obtained  
108 from Spectrum laboratories (California, USA).

109

### 110 2.2. Chitin nanocrystal (ChN) preparation

111 Aqueous stock dispersions of chitin nanocrystals (ChN) were prepared by acid  
112 hydrolysis (3 N HCl, 95°C, 90 min) of the original raw material of crude chitin from shrimp  
113 shells. Detailed information on bleaching (with sodium chlorite) and acid hydrolysis are given  
114 elsewhere.<sup>32</sup> The solid content of the stock dispersion was determined gravimetrically by  
115 drying the samples at 50°C until a constant weight was obtained; the total solids content of  
116 the stock dispersion was approximately 6%. The final pH of the stock dispersion was  
117 adjusted to 3.0 with 1 N HCl and the dispersion was sonicated for 4 minutes before any use,  
118 in order to assure that the ChN nanocrystals are not aggregated.

119 Samples with ChN concentrations ranging from 0.005 to 5% were prepared by adding  
120 acidified distilled water with pH 3.0. The pH of the samples was further adjusted by either  
121 adding 0.1 N HCl and/or 0.1 N NaOH.

122

### 123 2.3. Foam generation

124 Preliminary experiments showed that foams cannot effectively be produced by low  
125 energy input techniques, such as air injection. Therefore, a more efficient method was  
126 selected, and for most of the experiments this was found to be ultrasonication. ChN  
127 dispersions were subjected to ultrasonication treatments using a long sonication horn (1/8"  
128 Tapered Microtip© 101-148-062, Branson, USA). The duty cycle was adjusted at 90%, the  
129 frequency at 60 kHz and treatment duration was 20 s. All the experiments were performed  
130 at room temperature (~25°C). To increase the initial adsorption of the nanocrystals onto the  
131 interfaces, a small amount of ethanol (1%) was added. We assume that the ethanol

132 evaporates relatively fast after frothing, without affecting the final properties of the  
133 produced foams.

134

#### 135 **2.4. Particle charge**

136 The zeta potential of ChN dispersions was determined with dynamic light scattering  
137 (Zetasizer ZS, Malvern, UK) and the utilization of a disposable capillary cell (DTS1070) at  
138 20°C. The ChN concentration of the samples was adjusted to 0.1 % (w/w) by diluting the  
139 samples with an aqueous solution of the respective pH and the experiments were performed  
140 in triplicates.

141

#### 142 **2.5. Maximum foamability**

143 After the foams from different dispersions were produced under the same  
144 conditions, their height (in cm) was recorded. The dispersion volume for all the  
145 measurements was kept constant (60mL). All the experiments were performed at constant  
146 temperature (~25°C) and in triplicates. The foamability was then expressed as the foam  
147 volume, FA, in percentage of the initial dispersion volume as:

148

$$149 \quad FA_{\text{volumetric}} = \frac{\text{FoamVolume}}{\text{DispersionVolume}} \times 100 (\%) \quad (1)$$

150

151

#### 152 **2.6. Foam stability**

153 The resistance of the produced foams towards destabilisation was monitored visually  
154 (using graduated tubes), with light scattering (Foam scan, Teclis Instruments, France) and  
155 microscopy. For visual observations, a fixed sample volume (60 mL) was transferred into the  
156 graduated tubes and the sample was sonicated (10s) until a foam height of 25cm was  
157 reached. The height of the foam was visually recorded every few minutes, over a three hour  
158 period. Foam stability, FS, is expressed as:

159

$$160 \quad FS_{\text{volumetric}} = \frac{\text{FoamVolume}}{\text{InitialFoamVolume}} \quad (2)$$

161

162

163 Moreover, the level of the serum was recorded in time and the results were expressed as  
164 the serum volume height (meniscus height) over time.

165 Regarding the foam scan measurements, samples were initially prepared by  
166 sonication and subsequently poured into the foam scan column where a video camera  
167 recorded the structure of the foam through time. Using an image analysis software (Cell Size  
168 Analysis, CSA, Teclis Instruments) the number and the size of the bubbles were calculated,  
169 and the changes in bubble size distribution were determined both at the time of preparation  
170 and after 1 hr.

171

## 172 **2.7. Optical microscopy**

173 The respective aqueous foams prepared by handshaking were observed using an  
174 optical microscope with a polarized lens (Axioskop, Carl Zeiss AG, Oberkochen, Germany),  
175 equipped with a camera (AxioCam HRc, Carl Zeiss AG, Oberkochen, Germany).

176

## 177 **2.8. Scanning Electron Microscope observations**

178 Scanning Electron Microscopy (SEM) (FEI Magellan 400, Hillsboro, OR, USA) was  
179 conducted on dried samples sputter-coated with platinum or iridium, operated at 2 kV.

180

# 181 **3. Results and discussion**

182

## 183 **3.1. Effect of ChN concentration**

184 The first attempts to produce foams from ChN aqueous dispersions were based on  
185 incorporating air through simple air injection. For dispersions with low ChN concentrations  
186 (<1% w/w), foam fabrication was not possible. When dispersions of higher ChN  
187 concentrations were used (>2%), foams could be produced, but with relatively large bubble  
188 size. It is worth mentioning that even though the bubble size was large, these samples were  
189 stable over a period of a few days. This finding indicates that the chitin nanocrystals stay at  
190 the interface for long periods once adsorbed, but the adsorption process may not be  
191 sufficient. The low foamability of particles is not uncommon, and in general, particle-

192 stabilized foams are more difficult to be prepared compared to foams stabilized by common  
193 surfactants by simply blowing air into the dispersion. Colloidal particles are much larger than  
194 common surfactants and therefore their diffusion rate is lower. Particles therefore have less  
195 probability to adsorb to the interface while the foam and the accompanying interface is  
196 created. Additionally, as the particles are also heavier than common surfactants, they are  
197 more prone to be influenced by gravity and drainage phenomena.

198 To increase the foamability of particle dispersions, other techniques involving larger  
199 energy inputs are required, like energetic shaking and turbulent mixing.<sup>33</sup> Here, we have  
200 used sonication as a high energy input technique in order to produce a better dispersion of  
201 ChNs which might lead to a higher surface coverage (packing). In Fig. 1, a characteristic foam  
202 produced from a 3% ChN aqueous dispersions by air injection (Fig. 1a) and sonication (Fig.  
203 1b) is shown. It can clearly be seen that the bubble size of the foams prepared with air  
204 injection is in the range 0.2-0.5 cm, while the bubbles created by sonication appear to be  
205 considerably smaller.

206 Therefore, to increase the foamability of the dispersions, sonication was used as a  
207 more effective foaming method in the rest of the experimentations. In order to explore the  
208 effect of ChN concentration on the foam properties, a wide range of ChN concentrations was  
209 used for producing foams. The pH of the dispersions was set at 7.0, which is close to the iso-  
210 electric point of chitin. At this pH, the nanocrystals have limited charge density, and  
211 therefore no large electrostatic repulsion between the particles is expected. The lower limit  
212 was set at 0.1% (w/w) of ChN, as the foams collapsed almost immediately for lower  
213 concentrations. The higher limit was set at 1%, as for higher concentrations, the continuous  
214 phase viscosity was increased to such extent that foam formation was partially restricted.  
215 Consequently, a set of ChN foams with a range of concentrations from 0.1 to 1.0% was  
216 produced. After preparation, air bubbles rapidly started to accumulate at the top of the tube  
217 (i.e., creaming) due to the high density difference between the phases. As can be seen in Fig.  
218 2, foamability was related to ChN concentration. As the ChN concentration increased, an  
219 increase in the aqueous foam volume was observed. A higher ChN concentration possibly  
220 enabled the local adsorption of a greater amount of particles at the air-water interface,  
221 leading to the fabrication of a larger air-water interface. An increase in foamability as a  
222 function of colloidal particle concentration has been reported in many works; e.g. for  
223 partially hydrophobic silica spheres,<sup>3,15</sup> crystalline sodium chloride particles,<sup>34</sup> laponite disk-

224 like particles,<sup>35</sup> zirconium phosphate nano-sheets,<sup>5</sup> microparticles from hydrophobic  
225 cellulose,<sup>27</sup> and solid particles from surfactants.<sup>36</sup>

226 The foamability in terms of their initial bubble size was also examined. Fig. 3 shows  
227 images of foams with different ChN concentrations ranging from 0.1 to 0.7% (w/w), obtained  
228 by foamscan, and the corresponding size distribution of the air bubbles. With increasing ChN  
229 concentration (Fig. 3a to d), it is obvious that the number of larger air bubbles, as  
230 represented by the right peak in the bimodal size distribution profile, decreases and at the  
231 same time, the left peak becomes more and more distinct, indicating a shift towards smaller  
232 bubble sizes. In general, a smaller bubble size is related to a larger total air-water surface  
233 and therefore indicates that more particles are available for adsorption. Indeed, the results  
234 show that the ChN concentration strongly influenced the resulting bubble size. For a  
235 concentration of 0.7%, surface coverage was already sufficient to provide relatively small  
236 bubbles.

237 Furthermore, in order to analyze the stability of the ChN-stabilized foams, the foam  
238 volume, expressed as the fraction FS, (Fig. 4a) and the serum volume (Fig. 4b) were followed  
239 in time. In general, there is a clear dependence of the changes in foam volume and serum  
240 volume with time on ChN concentration. As it is depicted in Fig. 4a and 4b, the higher the  
241 ChN concentration the slower the foam collapse, and therefore a higher foam stability and a  
242 corresponding slower serum release. For ChN concentrations of 1.0 %, it can be seen that  
243 the foam volume remained almost constant for more than 180 min, and even for several  
244 days (data not shown). This is much longer than foams prepared with proteins or low  
245 molecular weight surfactants, which are generally stable for minutes only.<sup>2</sup> At this high  
246 concentration of ChN, the solution was very opaque, hindering the observation of the serum  
247 release levels. However, in general we see that for higher concentrations, less changes in  
248 serum volumes are observed. This indicates that more liquid remains present in the aqueous  
249 films between the air bubbles, and drainage is slowed down. These results show that there is  
250 a relation between the initial bubble size and the foam stability. For a higher interfacial  
251 coverage, smaller bubbles are obtained, serum release is mostly prevented, and higher foam  
252 stability is obtained.

253 The increased stability of the ChN-stabilized foams can be attributed to the ability of  
254 ChN particles to irreversibly adsorb at the air-water interface that renders them stable  
255 against destabilizing mechanisms, such as coalescence and disproportionation. As previously

256 mentioned, the adsorption energy required to remove the particles from the interface is of  
257 the order of several thousands of  $k_B T$ , making them very difficult to desorb from the  
258 interface.<sup>5,37,38</sup> A decrease in interfacial area by merging of bubbles is therefore restricted, as  
259 decrease in area would require desorption from the interface. Even if the interface is not  
260 completely covered, the pressure in the air bubbles (exerted by the curvature to provide a  
261 capillary pressure) would not be sufficient to break the interfacial film. As the ChN  
262 concentration increases, adsorption of a larger amount of particles leads to an increased  
263 interfacial coverage and closer packing of the particles. The close packing leads in this way to  
264 the formation of a colloidal armor, thus leading to more foam stabilization. As the interface  
265 becomes more packed and the distance between the particles decreases, the interface  
266 becomes more resistant to folding, and gas diffusion is decreased, thereby inhibiting both  
267 coalesce and disproportionation. For all concentrations of ChN used, the experiments show  
268 that the bubble size and the bubble size distribution did not change within the time span of  
269 the experiments (1 hr), indicating that they were fairly stable against disproportionation and  
270 coalescence (data not shown). Thus, even though maximum packing of particles at the  
271 surface may not have been obtained yet, partial packing provided already the required  
272 interfacial properties to prevent the destabilization mechanisms.

273 Stability against mechanisms as bubble coalescence and disproportionation as well as  
274 prolonged lifetimes have also been observed in many cases of hydrophobic silica-stabilized  
275 foams.<sup>14,15,17</sup> To study the changes in the interfacial area, techniques such as X-ray  
276 tomography and multiple light scattering have been used to follow the ageing of different  
277 foams. In the case of hydrophobically modified silica nanoparticles, these techniques  
278 showed that bubble size evolves little with time provided the concentration of the silica  
279 particles in the aqueous bulk phase is high enough.<sup>38</sup> This indicates that indeed the  
280 interfacial area does not change anymore once the particles are adsorbed. It is worth  
281 mentioning that ChNs possess a characteristic that the commonly used spherical particles do  
282 not have, and that is their rod-like anisotropic shape.<sup>32</sup> Up to now, it has been shown that  
283 the shape of the particle plays a dominant role in the stability of interfaces, since the  
284 attractive interactions among anisotropic particles are higher compared to spherical ones,  
285 leading in this way to higher interfacial packing and higher mechanical rigidity.<sup>39-43</sup> Even for  
286 particles with similar wettability and size, ellipsoidal particles were shown to produce more

287 stable interfaces than spherical particles.<sup>40</sup> There are examples of anisotropic particles that  
288 have been used such as nano-sheets,<sup>5,6</sup> microrods,<sup>41,44</sup> and ellipsoids.<sup>40</sup>

289 Besides the interfacial stabilization, another important factor that may play a role in  
290 the enhanced stability of the ChN foams, is the network formation by ChNs within the liquid  
291 lamellae. Due to the low adsorption kinetics,<sup>31</sup> the particles will partly remain present in the  
292 aqueous bulk phase. During the foam formation, these particles are transferred into the  
293 liquid films separating the air bubbles. Upon partial drainage of the liquid from the films, the  
294 concentration of the ChNs may increase. As is already known, ChN aqueous dispersions  
295 transform from an isotropic-liquid towards a nematic gel-like behaviour with increasing solid  
296 particle concentration. Such a isotropic-nematic phase transition has been shown to occur at  
297 ChN concentrations of approximately 1.8% (for pH values close to 7), slightly higher than the  
298 concentrations used in our foams.<sup>32</sup> In the case of ChN-stabilized emulsions, such a gel-like  
299 behavior was already observed at concentrations of 0.3%, indicating that such nematic  
300 structures may already be formed at lower concentrations when the particle orientation can  
301 be influenced by the presence of an interface.<sup>30</sup> The ChN particles have the tendency to align  
302 parallel due to entropic reasons, and it has been found that in such a parallel configuration  
303 attractive interactions, mainly van der Waals, are further enhanced.<sup>32</sup> The presence of a  
304 network within the liquid lamellae may limit liquid drainage from the film, thereby retaining  
305 high film thickness, leading to a higher stability of the aqueous foams. To test the presence  
306 of such nematic structures within the liquid films, we have investigated the optical  
307 properties of a foam, created from hand-shaking a 1% ChN dispersion. In Fig. 5, a  
308 characteristic image of the foam from crossed polarized microscopy, is shown. Intense  
309 birefringent regions are observed at the bubbles' surface, indicating that the ChN are  
310 present in an orderly fashion at the air-water interface. Additionally, some strong  
311 birefringent regions are observed in the continuous phase, similar to the ones observed for  
312 gel-like ChN dispersions.<sup>32</sup> The ChN particles appear to form nematic structures within the  
313 liquid lamellae, and may aid in the stability of the foams. The formation of nematic  
314 structures leads to enhanced viscosity of the liquid phase, and may lead to more gel-like  
315 properties when the structures are able to form a connecting network. At this point, it is  
316 worth pointing out that such network formation is a typical characteristic of particles in  
317 general, but is more pronounced in the case of anisotropic particles, compared to spherical  
318 particles.<sup>14,41</sup> For anisotropic particles, like sheets or polymer microrods<sup>41</sup> network formation

319 can occur, as they can entangle, overlap, or form orientated domains at relatively low  
320 concentrations, as is shown for the rod-like ChNs in the present study. This network  
321 formation provides an extra barrier against drainage and collapse of the foam. During  
322 drainage of the liquid film and consequent increase of the ChN concentration, such a  
323 network could be formed, and may even lead to formation of solid-like lamellae.

324         Apart from the optical microscopy, scanning electron microscopy studies were  
325 performed, in order to clarify further the stabilization mechanism of the ChN foams. Fig. 6a  
326 gives a representation of a foam prepared at pH 7.0, and in Fig. 6b the respective  
327 magnification near the interface is shown. The image reveals that the ChNs have a parallel  
328 nematic orientation at the interface and is extended in the continuous phase, which was also  
329 concluded from the polarized optical micrographs. However, no direct conclusions can be  
330 drawn, as these interfaces were partly manipulated by a drying step used to prepare the  
331 samples. The drying step may induce strong aggregation of the ChN particles at the interface  
332 and the bulk phase and could induce artefacts in the micrograph obtained with SEM.  
333 Therefore, it may not represent the situation of the liquid foams. Moreover, due to the high  
334 degree of aggregation, it is difficult to distinguish the particle situated at the interface from  
335 the particles in the continuous bulk phase, and to extract information on their packing  
336 orientation.

337         In Fig. 7, a representative image of a fresh foam (left) is given, and images of foams  
338 after 1 day of preparation for different ChN concentrations (right). For freshly prepared  
339 foams, the visual appearance of the foam is independent on the ChN concentration (in the  
340 range of 0.1-1.0%). However, after 1 day the samples with relatively low (0.2%) ChN  
341 concentration, presented a typical foam evolution pattern, for which larger bubbles cream  
342 towards the top, and the liquid drains down. In this case, the concentration of ChN was  
343 probably not high enough to gain a more viscous or gel-like behavior in the liquid phase and  
344 thereby reduce drainage and other destabilization mechanisms. In the case of higher ChN  
345 concentration (1.0%), a different behavior was observed. The total volume of the foam  
346 remained practically unchanged, even after a few days, but the foam became sticky and  
347 solid-like over time. This is likely to be due to the increased viscosity of the liquid films and  
348 subsequent network formation of the ChN in the liquid film. Besides wall-foam stickiness,  
349 foam solidification was observed as drainage was slowly completed, and the resulting film  
350 gelled (and solidified). The formation of such a solid foam has been reported in similar

351 systems, where foams were stabilized solely with polymer latex particles.<sup>45</sup> The formation  
352 of a more solid foam confirms that indeed network formation of ChN nanocrystals takes  
353 place within the liquid lamellae, thereby providing additional stability to the foam.

354

### 355 **3.2. Effect of pH**

356 As the packing of the ChNs seems to play an important role, repulsive  
357 (electrostatic) interactions between the nanocrystals may influence the foam stability.  
358 Electrostatic interactions can be tuned by altering the pH, as this changes the charge density  
359 of chitin. Increased electrostatic repulsions would probably lead to less packing of the ChNs  
360 at the interface and less network formation within the lamellae, rendering the respective  
361 foams less stable. The zeta-potential of the samples at pH values between 3.0 and 8.0 was  
362 initially measured, in order to investigate the effect of pH of the aqueous medium on the  
363 ChNs' charge density (Fig. 8). At acidic pH values the particles bear strong positive charges;  
364 i.e. 50 mV at pH 3.0. These results were expected since it is known that chitin possesses  
365 amino groups in its structure, and in acidic media these are protonated to form  $-\text{NH}_3^+$ . The  
366 charge of the dispersion was neutralised as the pH increased to values of 7.0 as a result of  
367 approaching the chitin's  $\text{pK}_a$  value of 7, as reported in literature.<sup>46</sup> Surprisingly, the net  
368 charge presented negative values as the pH increased to 8.0, i.e., the zeta potential was  
369 found to be -20 mV. Although these negative values have not been reported extensively in  
370 literature, there are a few cases for which negatively charged chitin at pH levels above the  
371  $\text{pK}_a$  were reported.<sup>47,48</sup> Liu and co-workers reported values around -30 mV at pH 10.0, and  
372 they attributed the heteropolyelectrolyte property to both the amino groups (positively  
373 charged at acidic pH), and carboxylate groups (negatively charged at alkali pH) generated  
374 from the oxidation of alcoholic groups during bleaching.<sup>48</sup>

375 Foams at different pH values were produced at a constant ChN concentration of  
376 0.7% w/w, and the total foam volume (foamability FA) and foam volume decay over time  
377 (foam stability FS) were recorded. For samples with pH values of 3 and 4, foam formation  
378 was not possible. At these pH values, the charge density is high, and therefore the strong  
379 electrostatic repulsion between the particles prevents sufficient particle packing. Fig. 9  
380 shows the results for conditions that did lead to foam formation. It is shown that the  
381 samples with pH values of 5 and 6 exhibited low foamability, while the maximum foamability

382 was observed for the samples with pH of 7 and 8. In the case of the foam stability, presented  
383 in Fig. 10, we see a similar trend. The foams were more stable as the pH value increased  
384 from 5 up to 7, related to a decrease in the positive charge density. At higher pH values, both  
385 the foam fraction (Fig. 10a) and the serum release (Fig. 10b) showed smaller changes. For  
386 samples with pH 8, when the samples became slightly negative, the foam stability was  
387 slightly lower compared to the foam formed at pH 7.

388 At pH values around 7, the ChNs present minimal charge and this may influence  
389 the foam formation and stability due to different reasons. At low or minimal charge, the  
390 particles might present a more hydrophobic character. A higher hydrophobicity would  
391 decrease the solubility in the aqueous bulk phase, at the same time increase the adsorption  
392 onto the air-water surface, thus rendering in this way the less charged ChNs more capable to  
393 form foams. This effect of changes in solvent affinity has also been discussed for  
394 ethylcellulose particle foams.<sup>37</sup> Jin and co-workers proposed that changes in pH or ionic  
395 strength can lead to changes in the particle contact angle, thus making the particles more  
396 hydrophobic. Another reason would be the packing ability of the particles. At pH 7, the  
397 particles have reduced electrostatic repulsion, and are therefore able to pack closer at the  
398 interface. As discussed before, closer packing leads to ordering of the nanocrystals and the  
399 ChN dispersions are known to exhibit a stronger gel-like behaviour.<sup>32</sup> The closer packing will  
400 lead to more surface coverage at the interface, and thereby providing a more efficient  
401 colloidal barrier against air diffusion and coalescence. As already discussed, enhanced  
402 network formation in the liquid lamellae also plays an important role in the stability of the  
403 ChN foams. For the samples with increased charge density of the chitin nanocrystals, lower  
404 foamability and stability was noted, even though the same concentration of nanocrystals  
405 was used. The increased electrostatic repulsion between the ChNs reduce their ability to  
406 adsorb closely at the interface. Additionally, no network formation is present in the lamellae,  
407 and therefore they retain their liquid behavior. The absence of gel formation in the lamellae  
408 and a less efficient interfacial barrier results in foams with much lower stability. Moreover,  
409 once a few ChNs particles are absorbed at the interface, they may repel the new particles  
410 approaching the surface. This indicates that for particle-stabilized foams, the packing ability  
411 and the concomitant high viscosity in the liquid film is the dominant factor determining their  
412 stability (shelf-life).

413

#### 414 **4. Conclusions**

415 The aim of this study was to investigate the potential of chitin nanocrystals (ChNs) as  
416 foams stabilizers. In general, the results show that ChNs, even without the presence of  
417 surfactants, are quite effective in stabilizing aqueous foams against coalescence and  
418 disproportionation, over a period of three hours, and at higher ChNs concentrations even for  
419 days. The enhanced stability of foams prepared with ChNs, compared to surfactant-  
420 stabilized foams, can mainly be attributed to a Pickering mechanism, according to which the  
421 ChNs are irreversibly adsorbed at the air-water interface. Both foamability and foam stability  
422 were mainly governed by the ability of the nanocrystals to pack closely at the interface to  
423 provide a colloidal barrier, as well as to provide gel-like properties in the liquid lamellae. The  
424 highest foamability and stability of the foams was observed at increased ChNs  
425 concentrations, and at pH values around the chitin's  $pK_a$  (pH 7.0). Under these conditions,  
426 the ChNs exhibit minimal electrostatic repulsion, and therefore packing of the nanocrystals is  
427 promoted. The results of the present study might provide opportunities for the design of  
428 novel food grade aqueous foams, with enhanced stability, that could be used in the food, the  
429 pharmaceutical or cosmetics industry.

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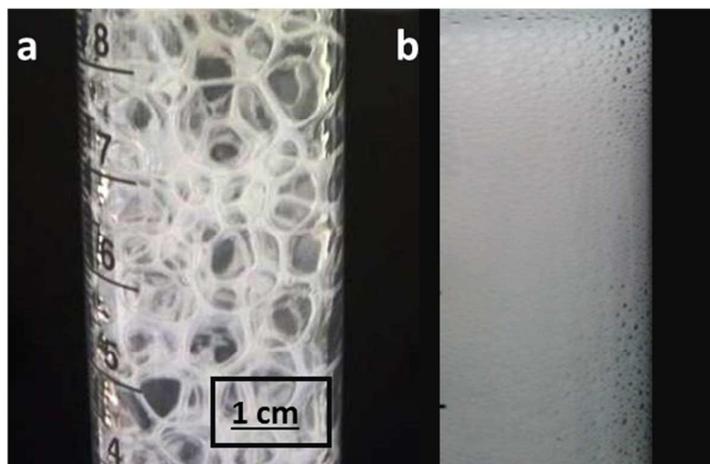
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512 **Figures**

513 Fig. 1: Foam produced by a) air injection and b) by sonication, of a 3.0% (w/w) ChN aqueous  
514 dispersion (pH 7.0)



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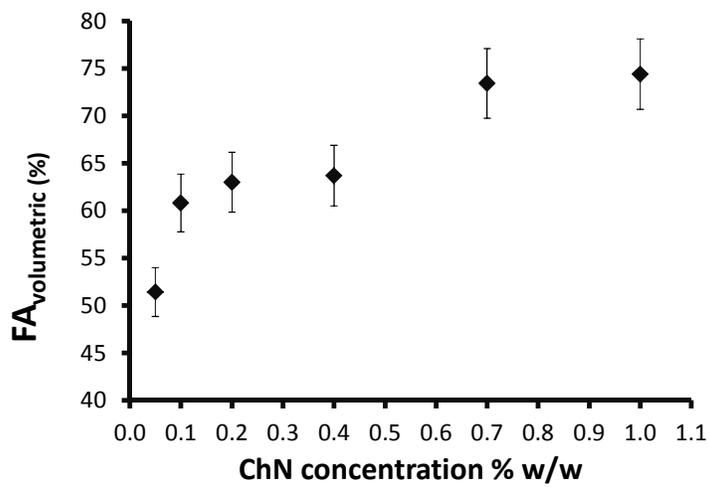
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519 Fig. 2: Foamability of ChN aqueous dispersions with different concentrations at pH 7.0.

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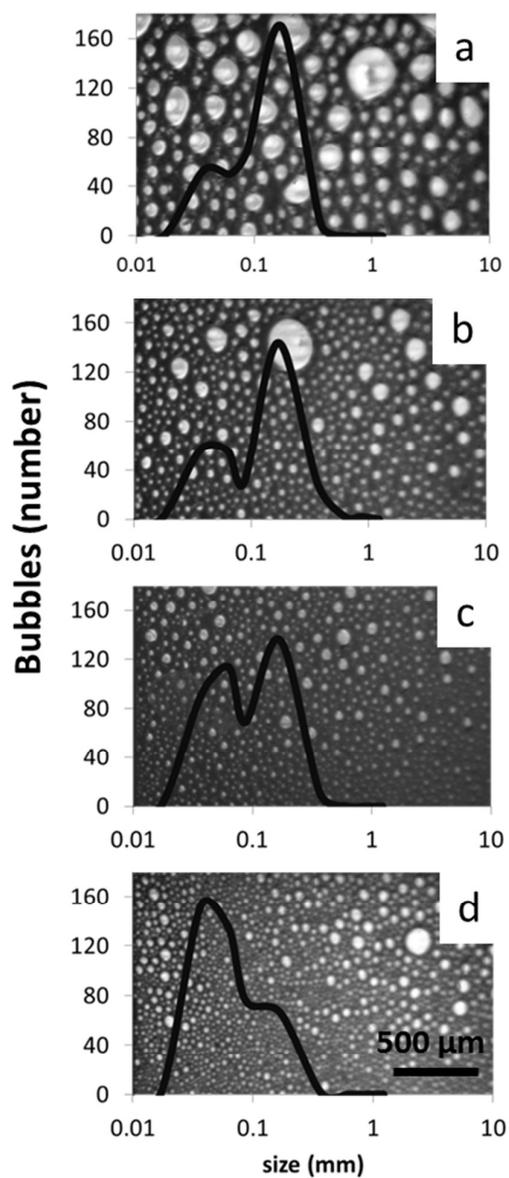


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524 Fig. 3: Bubble size distribution of freshly prepared foam from ChN aqueous dispersions at pH  
525 7.0, with different concentrations a) 0.1 , b) 0.2 , c) 0.4 and d) 0.7% w/w. On the  
526 background, the corresponding snapshots from the foam scan are shown.



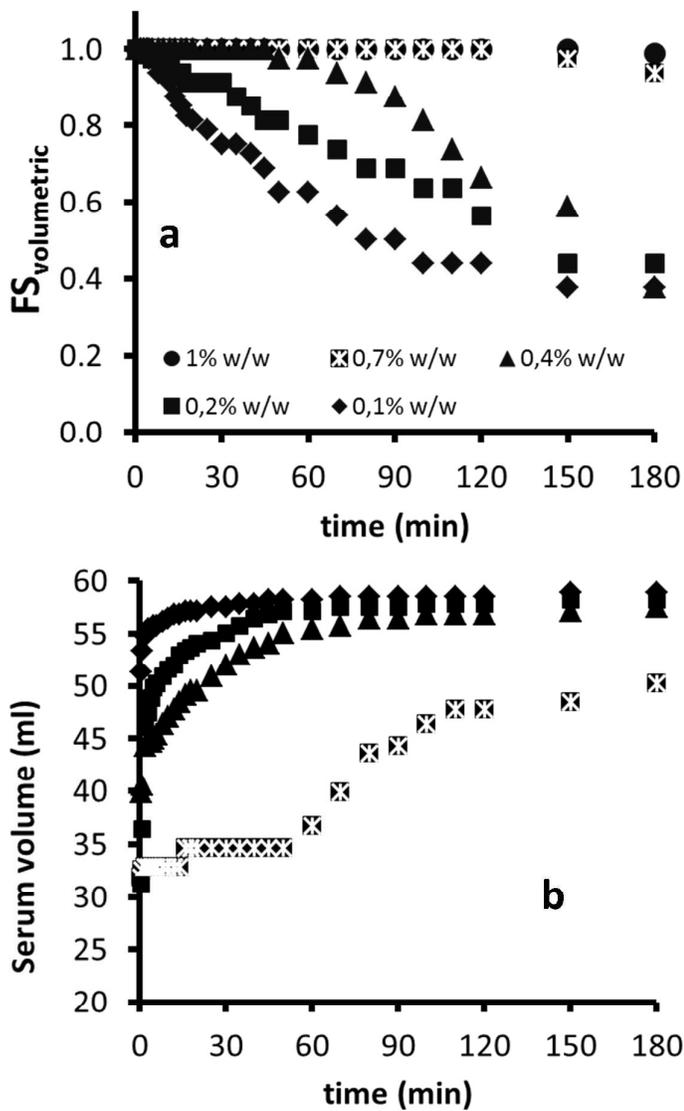
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531 Fig. 4: a) Foam fraction degradation and b) reflux velocity presented as the serum volume as  
532 a function of time for samples with increasing ChN concentration (at constant pH 7.0). For a  
533 concentration of 1% ChN, no serum volume is given, as a clear interface was not visible.



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538 Fig. 5. Typical crossed-polarized optical micrograph of a hand-shaken ChN foam (1% w/w  
539 ChN, pH 7.0) Scale bar 100  $\mu\text{m}$ .

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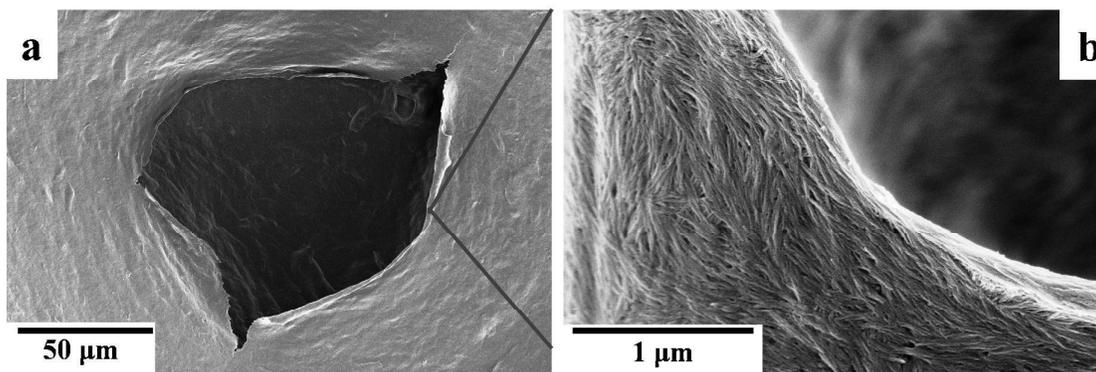
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546 Fig. 6. SEM images of foams stabilized with ChNs after drying, (pH 7.0) (b is the magnified  
547 section of a)



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552 Fig. 7: ChN foams 1 day after preparation (pH 7.0).

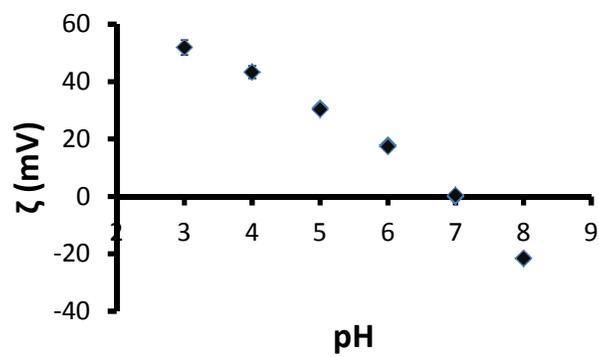


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555 Fig. 8: ChNs zeta potential at different pH values.

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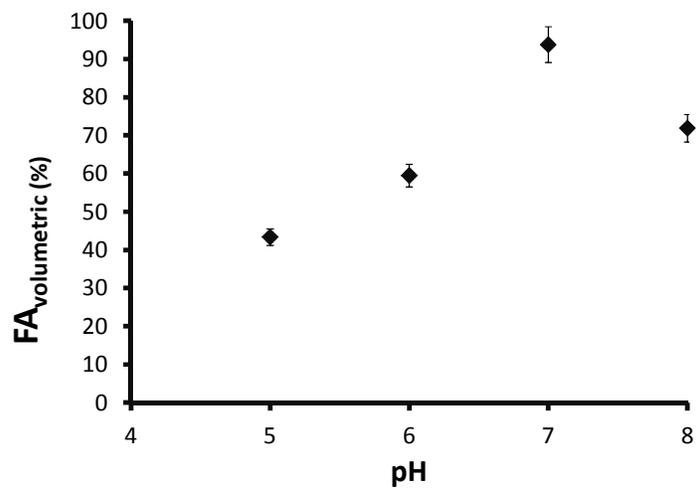


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559 Fig. 9. Influence of pH on maximum foamability of ChN dispersions at constant concentration  
560 0.7% w/w.

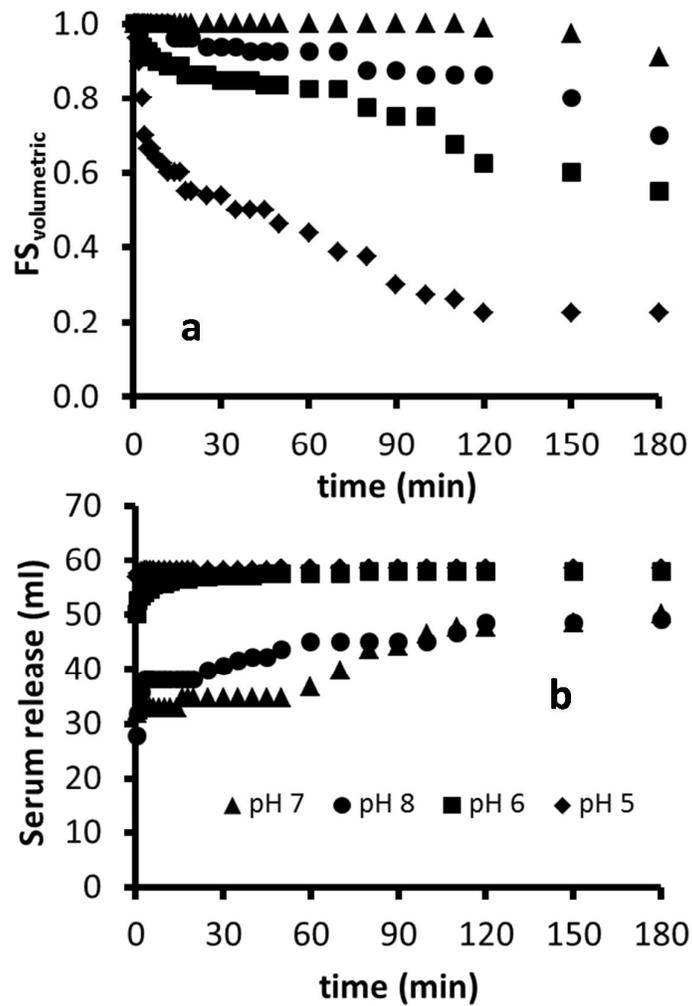
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566 Fig. 10: a) Foam fraction degradation and b) reflux velocity presented as the serum volume  
567 as a function of time for samples with a ChN concentration of 0.7% w/w at different pH  
568 values.

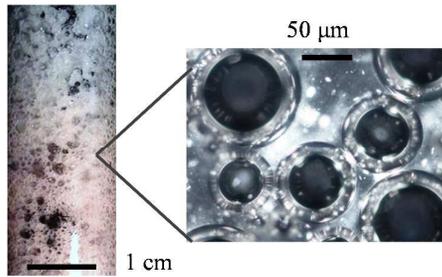


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## Table of contents



Use of rod-like chitin nanocrystals for providing Pickering stabilization to aqueous foams.