# Chemical Science

# Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about *Accepted Manuscripts* in the **Information for Authors**.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard <u>Terms & Conditions</u> and the <u>Ethical guidelines</u> still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.



www.rsc.org/chemicalscience

# Journal Name

# ARTICLE

Received 00th January 20xx, Accepted 00th January 20xx

DOI: 10.1039/x0xx00000x

www.rsc.org/

# Chiral Brønsted Acid-Catalyzed Enantioselective Friedel-Crafts Reaction of 2-Methoxyfuran with Aliphatic Ketimines Generated in Situ

Azusa Kondoh,<sup>a</sup> Yusuke Ota,<sup>b</sup> Takazumi Komuro,<sup>b</sup> Fuyuki Egawa,<sup>b</sup> Kyohei Kanomata,<sup>b</sup> and Masahiro Terada<sup>\*a,b</sup>

An enantioselective Friedel-Crafts reaction with aliphatic ketimines generated in situ from hemiaminal ethers catalyzed by a chiral Brønsted acid was investigated. Reaction of 2-methoxyfuran with (thio)hydantoin-derived hemiaminal methyl ether proceeded under the influence of a chiral phosphoric acid catalyst to afford the corresponding adduct possessing a quaternary stereogenic center in high yield with high enantioselectivity. Theoretical studies were also conducted to clarify the mechanism of the stereochemical outcome and the major factors contributing to the efficient enantioselection.

The Friedel-Crafts reaction is one of the most important carbon-carbon bond-forming reactions in organic chemistry. In recent years, the enantioselective Friedel-Crafts reactions using organocatalysis have emerged as a powerful method for the synthesis of enantio-enriched compounds.<sup>1</sup> In particular, reactions employing unsymmetrical ketimines as an electrophile have received much attention because of the utility of the adducts, chiral amines possessing a quaternary stereogenic center. Although a number of reactions have been developed to date,<sup>2</sup> limitations remain on the applicable ketimines and nucleophiles. For instance, the reactions employing aliphatic ketimines have largely been unexploited. Hence the development of reactions applicable to a range of ketimines substituted by alkyl group is still a challenging topic.<sup>3</sup> In addition, indoles and pyrroles are the only nucleophiles to achieve high enantioselectivity so far. In this context, we envisaged to develop a new enantioselective Friedel-Crafts reaction of aliphatic ketimines having a variety of alkyl substituents with the expansion of the scope of the nucleophiles using chiral phosphoric acids (CPA) as a chiral Brønsted acid catalyst.<sup>4</sup> From a mechanistic viewpoint of the chiral phosphoric acid-catalyzed reaction, the origin of the stereochemical outcome in carbon-carbon (C-C) bond-forming reaction of the aliphatic ketimine has scarcely been investigated<sup>5</sup> despite conducting the detailed studies of enantioselective reduction of ketimines.<sup>6</sup> To establish the enantioselective Friedel-Crafts reaction of aliphatic ketimines



For the development of the reaction employing aliphatic ketimines as a substrate, one often encounters the problem based on not only poor reactivity of aliphatic ketimines but also their stability and synthetic difficulty. Thus we envisioned utilizing thiohydantoin derivatives **4** and hydantoin derivatives **5**, which possess a hemiaminal ether moiety, as a precursor of aliphatic ketimines. With these substrates, the corresponding ketimines are generated in situ through the elimination of alcohols under the influence of Brønsted acid catalyst,<sup>7</sup> and have an imine carbon activated by an electron-withdrawing group and sterically less congested environment around the imine carbon because of their planarity. Utilization of (thio)hydantoin derivatives as substrates is also attractive from



Scheme 1. Reaction Design.



<sup>&</sup>lt;sup>a</sup> Research and Analytical Center for Giant Molecules, Graduate School of Science, Tohoku University, Aoba-ku, Sendai 980-8578, Japan. Email:

mterada@m.tohoku.ac.jp

<sup>&</sup>lt;sup>b.</sup> Department of Chemistry, Graduate School of Science, Tohoku University, Aobaku, Sendai 980-8578, Japan

Electronic Supplementary Information (ESI) available: experimental procedures, exploratory investigation of the reaction conditions, characterization data and DFT studies. See DOI: 10.1039/x0xx00000x

the synthetic point of view. The product of the designed reaction is (thio)hydantoin derivatives having a quaternary stereogenic center at the 5-position, which are known as an important class of biologically active molecules with broad medicinal and agrochemical applications,<sup>8-11</sup> and can act as a precursor of  $\alpha$ -amino acid derivatives by hydrolysis. Furthermore, 2-methoxyfuran (6) was chosen as a reactant to expand the scope of nucleophiles, of which the product subunit can potentially function as a handle for further manipulation of the products.<sup>12</sup> Herein we report the enantioselective Friedel-Crafts reaction of 2-methoxyfuran with aliphatic ketimines generated in situ catalyzed by chiral phosphoric acid to provide (thio)hydantoin derivatives containing a quaternary stereogenic center in a highly stereoselective manner. Theoretical studies were also conducted to clarify the mechanism of the stereochemical outcome and the major factors contributing to the efficient enantioselection.

The initial experiment was performed with racemic hemiaminal methyl ether 4a having a methyl group as a substituent on the imine carbon and 2-methoxyfuran (6) in the presence of a catalytic amount of chiral BINOL-derived phosphoric acid (R)-1a in toluene (Table 1, entry 1). Pleasingly, the reaction proceeded smoothly in the presence of molecular sieves (MS) 5A which was employed to scavenge methanol generated during formation of the ketimine. The desired product 7a was obtained in high yield albeit with moderate enantioselectivity. Evaluation of several phosphoric acids including chiral BINOL-derived (R)-1 having different substituents at the 3 and 3'-positions, chiral H<sub>8</sub>-BINOL-derived (R)-2, and chiral SPINOL-derived (S)-3, revealed that (S)-3c was optimal and resulted in 94% yield with 92% ee (entry 7). Further improvement in both yield and ee value was achieved by using benzene as the solvent instead of toluene (entry 8).<sup>13</sup>

Table 1.	Screening	of Reaction	Conditions
----------	-----------	-------------	------------

Ph-N-NH O-Me (±)-4a	+ O OMe 6 1.1 eq.	CPA (5 mo toluene (0.2 MS 5A, rt	1%) 2 M) 2 M) (S)-7	
entry	CPA	time (h)	yield (%) <sup>b</sup>	ee (%) <sup>c</sup>
1	(R)- <b>1a</b>	4	92	57
2	(R)- <b>1b</b>	6	84	84
3	(R)- <b>1c</b>	4	68	75
4	(R)- <b>2b</b>	4	76	76
5	(R)- <b>2c</b>	6	68	70
6	(S)- <b>3b</b>	4	86	68
7	(S)- <b>3c</b>	4	94	92
8 <sup>d</sup>	(5)-30	4	>99	93

<sup>*a*</sup>Reaction conditions: **4a** (0.10 mmol), **6** (0.11 mmol), CPA (5.0  $\mu$ mol), MS 5A (100 mg), toluene (0.50 mL). <sup>*b*</sup>Isolated yields. <sup>*c*</sup>Enantiomeric excess of **7a** was determined by chiral stationary phase HPLC analysis. Absolute configuration of **7a** was determined to be *S* by X-ray crystallographic analysis.<sup>14</sup> See the supporting information for detail. <sup>*d*</sup>Benzene was used as a solvent instead of toluene.

Page 2 of 5

In order to clarify the origin of the stereochemical outcome, we then conducted theoretical studies of the transition states of the stereo-determining C-C bond-forming step. Four transition structures of the C-C bond-forming step were possible through the combination of the re- and si-faces of pro-chiral reactants, the ketimine and 2-methoxyfuran (6) (Figure 1). In the transition states TSss affording (S)-7a, the siface of the ketimine reacts with the re- and si-faces of 2methoxyfuran (6), generating TSs-re and TSs-si, respectively. Similarly, TSr-si and TSr-re were generated for TSrs, which results in the formation of (R)-7a. The geometries of TSs and TSrs were fully optimized and characterized using frequency calculations at the B3LYP density functional theory with the 6-31G\* basis set.<sup>15,16</sup> After thorough screening of plausible transition structures to determine the relative location of the reactants and the chiral phosphoric acid catalyst, four transition structures of the corresponding configurations were localized. In each optimized structure, the ketimine and 2methoxyfuran (6) interact with the catalyst through O···H···N hydrogen bond and C-H…O hydrogen bond, respectively.<sup>17</sup> The TSs-si and TSr-re were energetically less favorable than TSs-re and TSr-si, presumably due to the steric repulsion between the N-phenyl substituent of the ketimine and the methoxy group of 6 (dashed curves in Figure 1). More importantly, transition state TSs-re [which affords (S)-7a] was more stable than TSr-si [which affords (R)-7a]. The (S)-selective pathway is energetically favorable for the reaction catalyzed by (S)-3c, which is consistent with the experimental results.



**Figure 1.** Schematic representation models of **TSs**s and **TSr**s. Relative energies of the optimized structures in the gas phase are shown in kcal/mol, with relative Gibbs free energies (kcal/mol) in parentheses. Relative energies (kcal/mol) obtained by single-point energy calculations at the B3LYP/6-311+G\*\* level with the SCRF method based on PCM ( $\epsilon$  = 2.2706 for benzene) are shown in brackets.<sup>18</sup> Steric repulsions are indicated by dashed curves.

### Journal Name

Further structural analysis of TSs-re and TSr-si allowed identification of the major factors contributing to the efficient enantioselection. Three-dimensional transition structures of TSs-re and TSr-si are illustrated in Figure 2. As pointed out in Figure 1, the hydrogen atom at the 5-position of 2methoxyfuran (6) interacts with chiral phosphoric acid (S)-3c through the C-H-O hydrogen bond (dashed blue lines in Figure 2). In fact, the distances between the hydrogen and oxygen atoms (1.99 Å in TSs-re and 2.05 Å in TSr-si) are significantly shorter than the sum of the van der Waals radii of hydrogen and oxygen atoms (ca. 2.7 Å). Furthermore, the ketimine is activated via protonation by chiral phosphoric acid (S)-3c to form the O···H···N hydrogen bond (dashed blue lines in Figure 2). More interestingly, in both transition states, an additional C-H···O hydrogen bond forms between the  $\alpha$ hydrogen atom of the methyl group attached to the ketimine and the phosphoryl oxygen of (S)-3c (2.25 Å in TSs-re and 2.14 Å in TSr-si) (dashed red lines in Figure 2). It can be considered that these two O···H···N and C-H···O hydrogen bonds fix the relative location between the ketimine and chiral phosphoric



**Figure 2.** Three-dimensional structures of transition states (a) **TS***s*-*re* and (b) **TS***r*-*si*. Relative energies (in kcal/mol) obtained by single-point energy calculations at the B3LYP/6-311+G\*\* level and the M06-2X/6-311+G\*\* level with the SCRF method based on PCM ( $\epsilon$  = 2.2706 for benzene) are shown in brackets and double parentheses, respectively.<sup>18</sup> Bond lengths are shown in red (Å).

acid (S)-3c. It is obvious that the observed high enantioselectivity stems from the formation of the hydrogen bond network among the triad components, resulting in conformational fixation of the transition states. In the energetically favorable **TSs-re**, the ketimine and **6** are nearly parallel to the phenanthryl plane of the catalyst substituent to avoid steric congestion (Figure 2a). In contrast, in the lessfavorable **TSr-si**, both the ketimine and **6** are inserted perpendicularly between two phenanthryl planes (Figure 2b), in which the methyl group of the ketimine locates close to the bottom phenanthryl substituent. This unfavorable interaction results in steric repulsion between reactant and catalyst (Figure 2b), which would destabilize **TSr-si**.

The scope of the thiohydantoin derivatives was further investigated under the optimized reaction conditions (Table 2, entries 1-12). Initially, different alkyl substituents at the 5-position were examined (entries 1-4). The reaction of isobutyl-substituted **4b** proceeded smoothly to provide **7b** in high yield with high ee (entry 1).<sup>19</sup> In contrast, benzyl-substituted **4c** required a longer reaction time for full conversion of the substrate, and **7c** was obtained in moderate yield with moderate enantioselectivity (entry 2). On the basis of the

Table 2. Substrate Scope<sup>a</sup>



entry	<b>4</b> or <b>5</b>	$R^1$	R <sup>2</sup>	<b>7</b> or <b>8</b>	time (h)	yield (%) <sup>b</sup>	ee (%)
1	4b	<i>i</i> Bu	Ph	7b	4	98	86
2	4c	Bn	Ph	7c	48	67	65
3	4d	<i>i</i> Pr	Ph	7d	48	$50^d$	85
4 <sup>e</sup>	4d	<i>i</i> Pr	Ph	7d	24	89	78
$5^{f}$	4e	Me	$4-MeOC_6H_4$	7e	4	87	90
6	4f	<i>i</i> Bu	$4-MeOC_6H_4$	7f	4	98	90
7	4g	Me	$4-BrC_6H_4$	7g	4	97	90
8	4h	<i>i</i> Bu	$4-BrC_6H_4$	7h	4	99	88
9	4i	Me	$3-BrC_6H_4$	7i	4	99	88
10	4:	N/a	2.0-0.11		6	86	67/94
10	4j	ivie	2-ыс <sub>6</sub> п <sub>4</sub>	/]		(dr = 3:2)	
11	4k	Me	Bn	7k	4	98	90
12	41	<i>i</i> Bu	Bn	71	4	99	90
13	5a	Me	Ph	8a	24	86	93
14	5b	<i>i</i> Bu	Ph	8b	24	98	92
$15^{g}$	5c	<i>i</i> Pr	Ph	8c	48	51	89
16	5d	Me	Bn	8d	36	95	93
17	5e	<i>i</i> Bu	Bn	8e	36	97	92

<sup>*a*</sup>Reaction conditions: **4** or **5** (0.10 mmol), **6** (0.11 mmol), (*S*)-**3c** (5.0 µmol), MS 5A (100 mg), benzene (0.50 mL). <sup>*b*</sup>Isolated yields. <sup>*c*</sup>Enantiomeric excess of **7** and **8** were determined by chiral stationary phase HPLC analysis. <sup>*d*</sup>35% of **4d** was recovered with 99% ee. <sup>*e*</sup>Reaction was performed at 50 °C. <sup>*f*</sup>1.0 mL of benzene was used. <sup>*g*</sup>10 µmol of (*S*)-**3c** (10 mol %) was used.

favorable transition state TSs-re as shown in Figure 2a, the observed stereochemical outcome presumably arises from the steric repulsion between the phenanthryl substituent of catalyst 3c and the benzyl moiety introduced to substrate 4c. In this case, a substantial amount of enamide was formed via tautomerization of the imine generated in situ. The isopropylsubstituted 4d also could be applied to this reaction to yield 7d with high enantioselectivity;<sup>19</sup> however, the reaction at room temperature did not achieve full conversion of the substrate, even after 24 h, and a considerable amount of 4d was recovered (entry 3). The ee of recovered 4d showed that it was enantiomerically pure, indicating that kinetic resolution of 4d occurred during the elimination of methanol to generate ketimine under the influence of the chiral phosphoric acid catalyst.<sup>20</sup> The higher temperature accelerated the reaction, however ee was reduced (entry 4). Next, the effect of a substituent on the nitrogen at the 3-position was investigated. Substrates having an electron-donating group as well as an electron-withdrawing group at the *para* position of the phenyl group underwent reaction to provide the corresponding products in high yields with high enantioselectivities (entries 5-8). Meta-bromophenyl-substituted 4i was also applicable to the reaction without any problem (entry 9). Reaction with ortho-bromo-substituted **4j** provided a mixture of diastereomers due to the central chirality at the 5-position and the axial chirality around the C-N bond between orthobromophenyl group and the nitrogen at the 3-position (entry 10). The ee of the major diastereomer was moderate while that of the minor diastereomer was very high. Benzyl group was also a suitable substituent on the nitrogen, and the corresponding product was obtained in high yield with high ee (entries 11 and 12). The scope of this reaction was expanded by using the hydantoin derivatives 5 in addition to the thiohydantoin derivatives 4 (entries 13-17). Although the reaction of 5 required a longer reaction time compared with that of 4, the corresponding products 8 were obtained in high yields with high enantioselectivities, except for 8c having an isopropyl group (entry 15).

Finally, derivatization of the product based on the 2methoxyfuryl moiety was performed (Scheme 2). The cleavage of the furan ring of 8a proceeded smoothly under Achmatowicz type reaction conditions,<sup>21</sup> and subsequent chemoselective reduction of the keto moiety under Luche



Scheme 2. Derivatization of 8a. Reagents and conditions: a) NBS (1.1 eq.), CPME/H<sub>2</sub>O, 0 °C, 30 min. b) CeCl<sub>3</sub>·7H<sub>2</sub>O (1.5 eq.), NaBH<sub>4</sub> (1.0 eq.), -78 °C to rt, 3 h, 78% (over 2 steps), dr = 2:1, 95% ee/ 94% ee.

Page 4 of 5

conditions resulted in the formation of butenolide 10 in good yield over two steps. In the course of the derivatization, the loss of enantiomeric purity did not occur.<sup>22</sup>

## Conclusions

In conclusion, we have successfully developed an enantioselective Friedel-Crafts reaction of 2-methoxyfuran with aliphatic ketimines generated in situ from thiohydantoinand hydantoin-derived hemiaminal methyl ether under the influence of a chiral phosphoric acid catalyst. This reaction is a rare example of the Friedel-Crafts reaction involving ketimines possessing alkyl substituents, such as isobutyl and isopropyl groups, but is also an attractive method for the synthesis of (thio)hydantoin derivatives having a quaternary stereogenic center at the 5-position. In addition, theoretical studies were conducted to clarify the origin of the stereochemical outcome as well as the major factors contributing to the efficient enantioselection, which would be contributory to developing new enantioselective reactions catalyzed by chiral phosphoric acid.

### Acknowledgements

This research was partially supported by a Grant-in-Aid for Scientific Research on Innovative Areas "Advanced Molecular Transformations by Organocatalysts" from MEXT (Japan) and a Grant-in-Aid for Scientific Research from the JSPS.

### Notes and references

- 1 (a) V. Terrasson, R. M. de Figueiredo and J. M. Campagne, Eur. J. Org. Chem., 2010, 2635; (b) S.-L. You, Q. Cai and M. Zeng, Chem. Soc. Rev., 2009, 38, 2190; (c) M. Zeng and S.-L. You, Synlett, 2010, 1289; (d) M. Bandini and A. Eichholzer, Angew. Chem. Int. Ed., 2009, 48, 9608; (e) M. Bandini, A. Melloni and A. Umani-Ronchi, Angew. Chem. Int. Ed., 2004, **43**. 550.
- (a) M. Abid, L. Teixeira and B. Török, Org. Lett., 2008, 10, 2 933; (b) M. Rueping, S. Raja and A. Núñez, Adv. Synth. Catal., 2011, 353, 563; (c) R. Husmann, E. Sugiono, S. Mersmann, G. Raabe, M. Rueping and C. Bolm, Org. Lett., 2011, 13, 1044; (d) Y. Qian, C. Jing, C. Zhai and W.-h. Hu, Adv. Synth. Catal., 2012, 354, 301; (e) J. Feng, W. Yan, D. Wang, P. Li, Q. Sun and R. Wang, Chem. Commun., 2012, 48, 8003; (f) E. Aranzamendi, N. Sotomayor and E. Lete, J. Org. Chem., 2012, 77, 2986; (g) K.-F. Zhang, J. Nie, R. Guo, Y. Zheng and J.-A. Ma, Adv. Synth. Catal., 2013, 355, 3497; (h) T. Kano, R. Takechi, R. Kobayashi and K. Maruoka, Org. Biomol. Chem., 2014, 12, 724.
- 3 (a) Y.-X. Jia, J. Zhong, S.-F. Zhu, C.-M. Zhang and Q.-L. Zhou, Angew. Chem. Int. Ed., 2007, 46, 5565; (b) M. Rueping and B. J. Nachtsheim, Synlett, 2010, 119; (c) Q. Yin and S.-L. You, Chem. Sci., 2011, 2, 1344; (d) X. Yu, Y. Wang, G. Wu, H. Song, Z. Zhou and C. Tang, Eur. J. Org. Chem., 2011, 3060; (e) M. Righi, F. Bartoccini, S. Lucarini and G. Piersanti, Tetrahedron, 2011, 67, 7923.
- Δ For reviews on chiral Brønsted acid catalysis, see: (a) T. Akiyama, Chem. Rev., 2007, 107, 5744; (b) T. Akiyama, J. Itoh and K. Fuchibe, Adv. Synth. Catal., 2006, 348, 999; (c) M. S. Taylor and E. N. Jacobsen, Angew. Chem. Int. Ed., 2006, 45,

Journal Name

1520; (d) A. G. Doyle and E. N. Jacobsen, *Chem. Rev.*, 2007, **107**, 5713; (e) H. Yamamoto and N. Payette, in *Hydrogen Bonding in Organic Synthesis* (Ed.: P. M. Pihko), Wiley-VCH, Weinheim, 2009, p. 73; (f) D. Kampen, C. M. Reisinger and B. List, *Top. Curr. Chem.*, 2010, **291**, 395; (g) M. Terada, *Synthesis* **2010**, 1929; (h) D. Parmar, E. Sugiono, S. Raja and M. Rueping, *Chem. Rev.* 2014, **114**, 9047. For seminal studies, see: (i) T. Akiyama, J. Itoh, K. Yokota and K. Fuchibe, *Angew. Chem., Int. Ed.* 2004, **43**, 1566; (j) D. Uraguchi and M. Terada, *J. Am. Chem. Soc.* 2004, **126**, 5356.

- 5 L. Simón and J. M. Goodman, J. Org. Chem., 2010, 75, 589.
- 6 (a) L. Simón and J. M. Goodman, J. Am. Chem. Soc., 2008, 130, 8741; (b) T. Marcelli, P. Hammar and F. Himo, Chem. Eur. J., 2008, 14, 8562; (c) L. Simón, and J. M. Goodman, J. Org. Chem., 2010, 75, 589; (d) Y. Shibata and M. Yamanaka, J. Org. Chem., 2013, 78, 3731; (e) K. Saito, Y. Shibata, M. Yamanaka and T. Akiyama, J. Am. Chem. Soc., 2013, 135, 11740; (f) K. Saito, K. Horiguchi, Y. Shibata, M. Yamanaka and T. Akiyama, Chem. Eur. J., 2014, 20, 7616.
- 7 (a) M. Terada, K. Machioka and K. Sorimachi, *Angew. Chem. Int. Ed.*, 2009, **48**, 2553; (b) M. Terada and Y. Toda, *J. Am. Chem. Soc.*, 2009, **131**, 6354; (c) M. Terada, T. Komuro, Y. Toda and T. Korenaga, *J. Am. Chem. Soc.*, 2014, **136**, 7044.
- Selected examples on thiohydantoin derivatives, see: (a) T. Mendgen, C. Steuer and C. D. Klein, J. Med. Chem., 2012, 55, 743; (b) Y. Liu, J. Wu, P.-Y. Ho, L.-C. Chen, C.-T. Chen, Y.-C. Liang, C.-K. Cheng and W.-S. Lee, Cancer Lett., 2008, 271, 294; (c) M. E. Jung, S. Ouk, D. Yoo, C. L. Sawyers, C. Chen, C. Tran and J. Wongvipat, J. Med. Chem., 2010, 53, 2779; (d) A. Takahashi, H. Matsuoka, Y. Ozawa and Y. Uda, J. Agric. Food Chem., 1998, 46, 5037; (e) A. A. El-Barbary, A. I. Khodair, E. B. Pedersen and C. Nielsen, J. Med. Chem., 1994, 37, 73; (f) J. Marton, J. Enisz, S. Hosztafi and T. Timár, J. Agric. Food Chem., 1993, 41, 148; (g) J. E. Tompkins, J. Med. Chem., 1986, 29, 855; (h) J. V. Marx, D. A. Richert and W. W. Westerfeld, J. Med. Chem., 1970, 13, 1179.
- 9 For reviews on hydantoin derivatives, see: (a) E. Ware, Chem. Rev., 1950, 46, 403; (b) C. A. López and G. G. Trigo, Adv. Heterocycl. Chem., 1985, 38, 177; (c) M. Meusel and M. Gutschow, Org. Prep. Proced. Int., 2004, 36, 391.
- 10 For selected recent synthetic studies on thiohydantoin derivatives, see: (a) O. A. Attanasi, L. De Crescentini, P. Filippone, G. Giorgi, S. Nicolini, F. R. Perrulli and S. Santeusanio, Tetrahedron, 2014, 70, 7336; (b) V. Mehra, P. Singh, N. Manhas and V. Kumar, Synlett, 2014, 25, 1124; (c) S. Gosling, C. E. Amri and A. Tatibouët, Synthesis, 2014, 46, 1079; (d) V. Ceban, K. Hands, M. Meazza, M. E. Light and R. Rios, Tetrahedron Lett., 2013, 54, 7183; (e) F. Medda and C. Hulme, Tetrahedron Lett., 2012, 53, 5593; (f) V. Kumar, H. Rana, R. Sankolli and M. P. Kaushik, Tetrahedron Lett., 2012, 53, 2377; (g) G. Baccolini, C. Boga, C. Delpivo and G. Micheletti, Tetrahedron Lett., 2011, 52, 1713; (h) F. Xiang, S. Zhang, C. Lu, Z. Chen and G. Yang, Synth. Commun., 2008, 38, 953; (i) M. Carboni, J.-M. Gomis, O. Loreau and F. Taran, Synthesis, 2008, 417; (j) G. S. M. Sundaram, C. Venkatesh, H. Ila and H. Junjappa, Synlett, 2007, 251; (k) S. Porwal, R. Kumar, P. R. Maulik and P. M. S. Chauhan, Tetrahedron Lett., 2006, 47, 5863; (I) S. Reyes and K. Burgess, J. Org. Chem., 2006. 71. 2507.
- 11 For selected recent synthetic studies on hydantoin derivatives, see: (a) H. Liu, Z. Yang and Z. Pan, Org. Lett., 2014, 16, 5902; (b) M. C. Hillier, H.-H. Gong, D. S. Clyne and M. J. Babcock, Tetrahedron, 2014, 70, 9413; (c) H. Rmedi and A. Baklouti, Tetrahedron Lett., 2014, 55, 3585; (d) P. Ventosa-Andrés, J. A. González-Vera, M. T. García-López and R. Herranz, Tetrahedron, 2014, 70, 3407; (e) G. Chaubet, G. Cazals, A. Lebrun, J. Martinez and I. Parrot, Synlett, 2014, 25, 574; (f) C. Menor-Salván and M. R. Marín-Yaseli, Chem. Eur. J.

2013, **19**, 6488; (g) M. C. Bellucci, M. Frigerio, T. Marcelli and A. Volonterio, *Synlett*, 2013, **24**, 727; (h) V. Mehra and V. Kumar, *Tetrahedron Lett.*, 2013, **54**, 6041.

- 12 D. Uraguchi, K. Sorimachi and M. Terada, J. Am. Chem. Soc., 2004, **126**, 11804.
- 13 Preliminary investigation of reaction conditions, including screening of solvents, was conducted. See the Supporting Information for details.
- 14 CCDC No. 1405286 [(S)-**7a**] contains the supplementary crystallographic data for this paper. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/data\_request/cif. Absolute configurations of other products were assigned by analogy.
- 15 All calculations were performed with the Gaussian 09 package. M. J. Frisch, et al. *Gaussian 09, Revision C.01*; Gaussian, Inc.: Wallingford, CT, 2010. See the Supporting Information for the full citation.
- 16 (a) A. D. Becke, *Phys. Rev. A*, 1988, **38**, 3098; (b) C. Lee, W. Yang and R. G. Parr, *Phys. Rev. B*, 1988, **37**, 785.
- 17 Orientation of the phenanthryl group was thoroughly optimized in the transition states **TSs-re** and **TSr-si**. See the Supporting Information for details.
- 18 B. Mennucci and J. Tomasi, J. Chem. Phys., 1997, **106**, 5151.
- 19 The stereochemical outcome of the reactions of the other ketimines having isobutyl and isopropyl substituents can be also rationalized by similar transition states shown in Figure 2. See the Supporting Information for details of three-dimensional structures and energies.
- 20 In order to experimentally validate the proposed reaction mechanism involving the formation of imine from hemiaminal ethers, we carried out a control experiment. Thus, the possibility of the other reaction mechanism such as the  $S_N2$ -type mechanism including dynamic kinetic resolution was examined. Treatment of enantiomerically-pure **4d** with a catalytic amount of achiral diphenyl phosphate, (PhO)<sub>2</sub>P(=O)OH, provided the corresponding adduct **7d** in 55% yield in completely racemic form. This result clearly reveals that the involvement of  $S_N2$ -type mechanism. The kinetic resolution through the  $S_N2$  reaction catalyzed by chiral phosphoric acid, also see: I. Čorić, J. H. Kim, T. Vlaar, M. Patil, W. Thiel and B. List, *Angew. Chem. Int. Ed.*, 2013, **52**, 3490.
- 21 For a review on aza-Achmatowicz reaction, see: F. van der Pijl, F. L. van Delft and F. P. J. T. Rutjes, *Eur. J. Org. Chem.*, 2015, 4811.
- 22 The high acidity of the proton at the  $\gamma$  position of the butenolide moiety of **10** allowed the epimerization at that position to proceed easily, even during column purification, and a 2:1 diastereomixture was obtained from each attempt for the transformation of **9** to **10** under different reaction conditions. Thus the 2:1 ratio is assumed to be thermodynamically determined.