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Butadiene dyes based on 3-(dicyanomethylidene)indan-1-one and 1,3-bis(dicyanomethylidene)indane: Synthesis, characterization and solvatochromic behaviour

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Novel donor-acceptor butadiene chromophores were synthesized by Knoevenagel condensation of different diarylsubstituted enals with 3-(dicyanomethylidene)indan-1-one and 1,3-bis(dicyanomethylidene)indane. The structures of two of these compounds were unambiguously confirmed by means of single-crystal X-ray diffraction. The absorption spectra of these dyes, as well as their solvatochromic behaviour, were studied in detail. In addition, DFT and TD-DFT quantum chemical calculations were performed to assess information regarding the topologies and absolute energies of their frontier molecular orbitals, as well as their absorption spectra.

Introduction

The design, synthesis and photophysical evaluation of organic π -conjugated systems has been deeply studied during the last decades due to the enormous potential of these materials for electronic, optoelectronic, and photonic applications.¹ Push-pull molecules, *i.e.* organic molecules end-capped with electron-donor (D) and electron-acceptor (A) units at the ends of a π -conjugated spacer, are representative examples of this class of compounds, whose properties lie in the intramolecular charge-transfer (ITC) between the donor and acceptor groups.² ITC provides the D- π -A systems with additional properties such as dipolar character, intense colour, crystallinity, and chemical and thermal robustness.

The indan-1,3-dione unit is one of the most common acceptor groups employed in the preparation of push-pull molecules (Fig. 1). Hundreds of such chromophores have been described in the literature, as well as applications thereof in diverse fields: nonlinear optics (NLO),³ organic light-emitting diodes (OLEDs),⁴ dye-sensitized solar cells (DSSCs),⁵ organic photovoltaic cells (OPVs),⁶ anion sensing and recognition,⁷ or as photoinitiators in polymerization processes,⁸ to name a few.

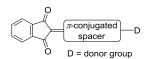
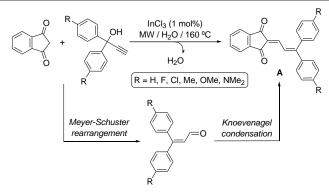


Fig. 1 Generic structure for push-pull molecules based on indan-1,3-dione.

In this context, we recently reported the preparation of a series of butadiene dyes **A** by dehydrative coupling of indan-1,3-dione with different diaryl-substituted terminal propargylic alcohols (Scheme 1).⁹ The process involves the initial indiumcatalyzed Meyer-Schuster rearrangement of the alkynol,^{10,11} followed by the Knoevenagel condensation of the resulting enals with the 1,3-dicarbonyl compound. An evaluation of the optical properties of these species showed that they exhibit intense absorption bands in the visible region (405-565 nm), along with a pronounced solvatochromic behaviour.⁹



Scheme 1 Synthesis of butadiene dyes from indan-1,3-dione and terminal alkynols.

It is well-known that the electron withdrawing ability of the indan-1,3-dione unit can be enhanced by replacing one or both carbonyls by the dicyanomethylidene group.¹² This fact

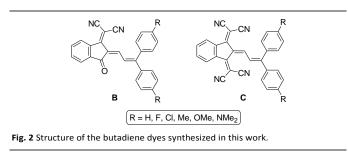
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[†] Electronic Supplementary Information (ESI) available: Figures showing the optimized structures, cartesian coordinates and electronic energies for the computed species (*E*)-4a, (*Z*)-4a, 4f and 5f, a plot of λ_{max} (CH₂Cl₂ solution) vs Hammett coefficients for compounds 5a-f, and a table giving melting point data for 4-5a-f. CDC 1442704 (4d) and 1442705 (5b). See DOI: 10.1039/x0xx00000x

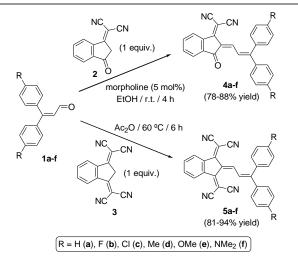
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prompted us to study the preparation, and evaluation of the optical properties, of related butadienic compounds containing 3-(dicyanomethylidene)indan-1-one **B** and 1,3-bis(dicyanomethylidene)indane **C** as the acceptor groups (Fig. 2). We would like to remark at this point that this type of 1,1-diaryl-substituted butadiene dyes have virtually no precedents in the literature.^{13,14} The results from this study are presented herein.



Results and discussion

Initially, we attempted the preparation of the target butadienes by applying the methodology previously employed for the synthesis of the related indan-1,3-dione derivatives A (see Scheme 1), starting from the corresponding propargylic alcohols and 3-(dicyanomethylidene)indan-1-one (2), or 1,3bis(dicyanomethylidene)indane (3). Disappointingly, under the same reaction conditions, mixtures of the desired compounds and butadienes A, resulting from the partial hydrolysis of the dicyanomethylidene moieties, were obtained. Fortunately, compounds 4-5a-f could be selectively obtained (78-94% yield) through a classical Knoevenagel condensation of the corresponding enals 1a-f with 2 and 3, respectively, in a nonaqueous environment (Scheme 2). In the case of 4a-f, the reaction took place smoothly in ethanol, at r.t., in the presence of catalytic amounts of morpholine. Although this procedure allows also the access to butadienes 5a-f, much higher yields were in this case attained performing the Knoevenagel reaction in acetic anhydride at 60 °C (Scheme 2).





Characterization of compounds **4-5a-f** was achieved by means of standard spectroscopic techniques (HRMS, IR as well as multinuclear NMR) and elemental analyses, all data being fully consistent with the proposed formulations (details are given in the Experimental Section). In this regard, key spectroscopic features are the following: (*i*) (IR) the presence of characteristic absorption bands at 1686-1699 cm⁻¹ and 2191-2234 cm⁻¹ for the carbonyl and cyano groups, respectively; (*ii*) (¹H NMR) typical doublet signals (³J_{HH} = 11.4-12.6 Hz) for the olefinic protons of the butadiene chain, which resonate at *ca*. $\delta_{\rm H}$ 8.40 and 8.80 ppm for **4a-f**, and 6.90 and 8.00 ppm for **5a-f**; (*iii*) (¹³C{¹H} NMR) characteristic singlet resonances for the C=O and C=N carbons in the δ_c ranges 189.1-191.8 and 112.6-118.0 ppm, respectively.

Interestingly, the NMR spectra obtained for the 3-(dicyanomethylidene)indan-1-one derivatives 4a-f showed in all cases the formation of a single stereoisomer. A X-ray diffraction study on compound 4d revealed a Z-configuration for the carbon-carbon double bond generated during the Knoevenagel condensation process (see Fig. 3). This selectivity is probably associated with steric grounds, since in the Zconfiguration the steric repulsions between the dicyanomethylidene group (much bulkier than the carbonyl oxygen atom) and the aryl substituents are minimized.¹⁵ In the structure, the butadiene moiety reflects to some extent the π electron delocalization that exists in the molecule, as indicated by the slightly longer C(1)-C(13) (1.376(2) Å) and C(14)-C(15) (1.373(2) Å), and shorter C(13)-C(14) (1.417(2) Å), bond lengths in comparison to those found for the C=C and C-C bonds in the parent unsubstituted 1,3-butadiene (1.341 and 1.463 Å, respectively).¹⁶

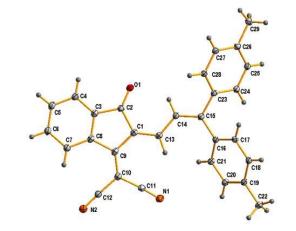


Fig. 3 ORTEP-type view of the structure of 4d showing the crystallographic labelling scheme. Thermal ellipsoids are drawn at 30% probability level. Selected bond distances (Å): C(2)-O(1) = 1.221(2), C(9)-C(10) = 1.369(2), C(10)-C(11) = 1.444(2), C(10)-C(12) = 1.437(2), C(11)-N(1) = 1.154(2), C(12)-N(2) = 1.417(2), C(1)-C(13) = 1.376(2), C(13)-C(14) = 1.417(2), C(14)-C(15) = 1.373(2). Selected bond angles (*): C(2)-C(1)-C(9) = 106.9(1), C(2)-C(1)-C(13) = 124.6(2), C(9)-C(1)-C(13) = 128.3(2), C(1)-C(13)-C(14) = 123.6(1), C(13)-C(14)-C(15) = 127.4(1), C(14)-C(15)-C(16) = 125.8(1), C(14)-C(15)-C(23) = 116.8(1), C(10)-C(11)-N(1) = 176.2(2), C(10)-C(12)-N(2) = 177.1(2).

The structure of the 1,3-bis(dicyanomethylidene)indane derivative **5b** was also unambiguously confirmed by X-ray diffraction (see Fig. 4), the bond distances within the

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butadiene chain being in this case 1.359(2) Å (C(1)-C(16)), 1.430(2) Å (C(16)-C(17)) and 1.360(2) Å (C(17)-C(18)).

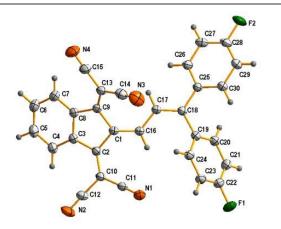
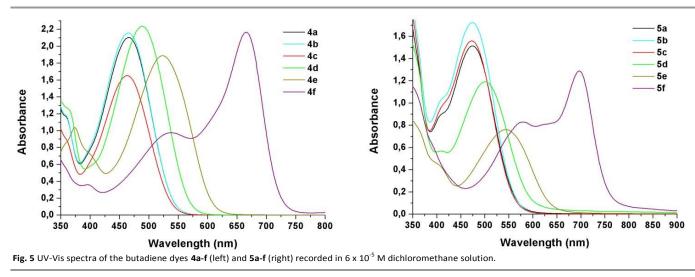


Fig. 4 ORTEP-type view of the structure of **5b** showing the crystallographic labelling scheme. Thermal ellipsoids are drawn at 30% probability level. Selected bond distances (Å): C(2)-C(10) = 1.358(2), C(10)-C(11) = 1.431(2), C(10)-C(12) = 1.428(2), C(11)-N(1) = 1.148(2), C(12)-N(2) = 1.137(2), C(9)-C(13) = 1.360(2), C(13)-C(14) = 1.429(2), C(13)-C(15) = 1.431(2), C(14)-N(3) = 1.143(2), C(15)-N(4) = 1.136(2), C(1)-C(16) = 1.359(2), C(16)-C(17) = 1.430(2), C(17)-C(18) = 1.360(2). Selected bond angles (*): C(2)-C(1)-C(9) = 105.3(1), C(9)-C(1)-C(16) = 128.1(1), C(2)-C(1)-C(16) = 124.5(1), C(1)-C(16)-C(17) = 126.5(1), C(16)-C(17)-C(18) = 124.9(1), C(17)-C(18)-C(19) = 121.4(1), C(17)-C(18)-C(25) = 120.3(1), C(10)-C(11)-N(1) = 176.9(1), C(10)-C(12)-N(2) = 176.8(2), C(13)-C(14)-N(3) = 175.7(2), C(13)-C(15)-N(4) = 178.6(2).

Similarly to other push-pull butadienes,^{9,14,17} compounds **4-5a-f** are strongly colored materials in the solid state (from red to blue) that display intense absorption bands in the visible region of their electronic spectra (see Fig. 5). Data of the absorption maxima and molar absorption coefficients measured in dichloromethane solution are collected in Table 1. Compared to the indan-1,3-dione-based butadiene dyes **A** previously reported by us (Scheme 1),⁹ the introduction of the dicyanomethylidene groups caused a marked red-shift of the absorption wavelengths. In particular, the replacement of one of the keto units in **A** by the dicyanomethylidene fragment (compounds **4a-f**) results in a bathochromic shift of the maximum absorption band of 59-101 nm, pointing clearly out the strong influence that the acceptor group exerts in the optical properties of these diaryl-substituted butadienes. However, we must note that the effect of replacing the keto group in **4a-f** by an additional dicyanomethylidene moiety, *i.e.* compounds **5a-f**, is not so marked ($\Delta\lambda_{max} = 9-31$ nm). This fact may be ascribed to the loss of π -conjugation in **5a-f** caused by the intramolecular repulsion between the two electron-withdrawing dicyanomethylidene units.¹⁸

| Table 1 Light absorption properties of the butadiene dyes 4-5a-f ^a | | | |
|---|----------------------|---------------------------------------|--|
| Compound | λ_{max} (nm) | ε (M ⁻¹ cm ⁻¹) | |
| 4a | 466 | 34660 | |
| 4b | 465 | 36380 | |
| 4c | 463 | 28240 | |
| 4d | 490 | 38010 | |
| 4e | 523 | 31770 | |
| 4f | 666 | 39570 | |
| 5a | 476 | 25160 | |
| 5b | 474 | 28760 | |
| 5c | 474 | 26220 | |
| 5d | 501 | 20000 | |
| 5e | 544 | 12680 | |
| 5f | 697 | 21750 | |

With regard to the effect of the *para*-substituents in the phenyl rings, strong bathochromic shifts are observed in both families when the electron-donating groups Me, OMe and NMe₂ are present (compounds **4-5d-f** vs **4-5a-c**), existing good linear correlations between Hammett coefficients¹⁹ and λ_{max} values (r = 0.9652 for **4a-f** and 0.9643 for **5a-f**; see Fig. 6 and Fig. S2 in the ESI). In this regard, it is worthy of note that compounds **4-5f**, containing the strongest electron-donor dimethylamino group, absorb almost in the near-IR (NIR) region ($\lambda_{max} = 666$ and 697 nm, respectively).²⁰



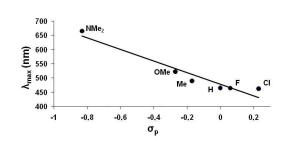


Fig. 6 Plot of λ_{max} vs Hammett coefficients for compounds 4a-f.

The solvatochromic properties of these new push-pull butadiene dyes were evaluated using compounds **4-5f**, absorbing in the longest wavelength region, as models. To this end, UV-Vis spectra were recorded in 23 solvents with different polarities. Although the individual bands in the spectra are overlapped (see the absorption spectra of **4-5f** depicted in Fig. 5), they could be resolved by decomposition of the spectrum in the sum of Gaussian functions, after applying a smoothing spline algorithm to the observed data, followed by a derivative spectroscopy numerical method (only the negative peaks of the second derivatives of the smoothed spectra were used for the estimation of the position of the bands).²¹

Table 2 λ_{max} (nm) values of butadiene dyes 4f and 5f in different solvents together with SPP values of the solvents "

| Entry | Solvent | 4f | 5f | SPP |
|---|------------------------|-----|-----|-------|
| 1 | <i>n</i> -Hexane | 633 | 669 | 0.519 |
| 2 | Carbon tetrachloride | 648 | 683 | 0.632 |
| 3 | Toluene | 658 | 691 | 0.655 |
| 4 | Benzene | 660 | 693 | 0.667 |
| 5 | Diethyl ether | 652 | 686 | 0.694 |
| 6 | 1,4-Dioxane | 656 | 690 | 0.701 |
| 7 | Chloroform | 671 | 700 | 0.786 |
| 8 | Ethyl acetate | 664 | 695 | 0.795 |
| 9 | Chlorobenzene | 673 | 702 | 0.824 |
| 10 | 1-Butanol | 673 | 702 | 0.837 |
| 11 | Tetrahydrofuran | 671 | 700 | 0.838 |
| 12 | 2-Propanol | 671 | 700 | 0.848 |
| 13 | Ethanol | 672 | 701 | 0.853 |
| 14 | Methanol | 671 | 700 | 0.857 |
| 15 | Dichloromethane | 673 | 703 | 0.876 |
| 16 | Acetone | 674 | 702 | 0.881 |
| 17 | 2-Butanone | 675 | 703 | 0.881 |
| 18 | Acetonitrile | 673 | 702 | 0.895 |
| 19 | Pyridine | 679 | 712 | 0.922 |
| 20 | N, N-Dimethylformamide | 688 | 713 | 0.954 |
| 21 | Benzonitrile | 681 | 712 | 0.960 |
| 22 | Nitrobenzene | 694 | 715 | 0.968 |
| 23 | Dimethyl sufoxide | 693 | 718 | 1.00 |
| ^{a} Spectra recorded using 6 x 10 ⁻⁵ M solutions. SPP values were taken from | | | | |

reference 24.

As shown in Table 2, the absorption maxima undergo a large red shift for both compounds with increasing the solvent polarity, *i.e.* $\Delta\lambda_{max} = 61$ nm for **4f** on going from *n*-hexane to nitrobenzene, and 49 nm for **5f** on going from *n*-hexane to dimethyl sulfoxide. This bathochromic shift of the absorption band, which corresponds with $\pi \rightarrow \pi^*$ electronic spectral

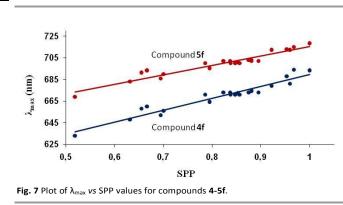
transitions (see theoretical calculations below),²² is related to a greater stabilization of the excited state relative to the ground state with increasing polarity of the solvent. Interestingly, in polar solvents, compound **5f** behaves as a real NIR-absorbing dye with λ_{max} exceeding 700 nm (entries 7 and 9-23).

Different empirical scales (single and multiparametric) of solvent polarity have been established in order to quantify, in a simple way, solvatochromic effects.²³ In this sense, the linear correlation between the solvatochromism of dyes 4-5f and the most commonly used solvent polarity scales was investigated (hypsochromic scales were excluded in this study). For both compounds the highest linear correlation was obtained with the SPP one, introduced by Catalán and co-workers and based on the positive solvatochromism of 2-(dimethylamino)-7nitrofluorene and 2-fluoro-7-nitrofluorene.²⁴ Table 3 and Fig. 7 show the results of the least-squares procedure applied to find the best λ_0 and ${\it s}$ values, being the adopted mathematical model $\lambda_{max} = \lambda_0 + sSPP.^{25}$ The linear correlation coefficient r values obtained (0.9616 (4f) and 0.9622 (5f)) clearly indicate the potential utility of these butadiene dyes as new solvent polarity indicators.23,26

Table 3 Fitting results between λ_{max} of dyes 4-5f in different solvents and SPP values of the solvents^a

| values of the solve | lues of the solvents | | | | |
|---------------------|---------------------------------|---------------------------------|------------------|--|--|
| Compound | λο | 5 | r | | |
| 4f 5f | 579.17 (11.85) 627.91 (9.32) | 110.50 (14.31) 87.57 (11.25) | 0.9616 0.9622 | | |

Values in parentheses represent the 95% confidence limits.



Finally, we performed DFT calculations on compounds 4-5f to gain a better understanding of their electronic structures and optical properties. The ground states of these systems were optimized in the gas-phase by using the B3LYP/6-31++G(d) methodology (optimized geometries are included in the ESI file; Fig. S3 and S4). The electronic distributions in the frontier molecular orbitals HOMO-1, HOMO, LUMO and LUMO+1 for 4-5f, along with their respective energy values, are shown in Fig. 8 and 9, respectively. As expected, the LUMO and LUMO+1 orbitals reside mainly on the 3-(dicyanomethylidene)indan-1-one 1,3and bis(dicyanomethylidene)indane cores, while the HOMO and HOMO-1 are predominantly located on the dimethylaminosubstituted phenyl rings. The gas-phase computed HOMO-

LUMO band gaps of 2.44 (4f) and 2.42 eV (5f) showed a satisfactory correlation with the UV-Vis data experimentally obtained for these compounds, in which, regardless of the solvent, 5f absorbed at higher λ_{max} .

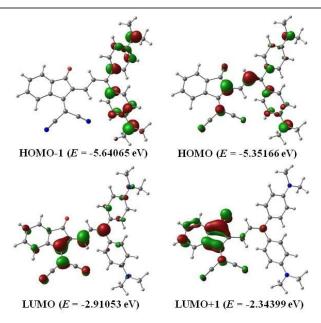


Fig. 8 Frontier orbitals for compound 4f.

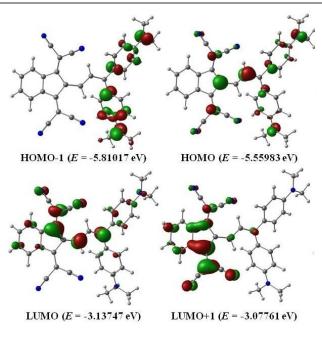


Fig. 9 Frontier orbitals for compound 5f.

Time-dependent density functional theory $(TD-DFT)^{27}$ calculations confirmed that the absorption bands experimentally observed in the UV-Vis spectra of these compounds are mainly related to intramolecular π -electronic transitions between the frontier orbitals depicted in Fig. 8 and 9. The gas-phase computed energies of the vertical excitations, oscillator strengths and orbital contributions are listed in Table 4.

| Table 4 Computed excitation energies, oscillato | or strengths (f) and orbital |
|---|------------------------------|
| contributions for compounds 4-5f in the gas phase | se |

| Dye | State | eV / nm | f | Orbital contributions ^a |
|--|-------|------------|-------|--|
| 4f | 1 | 2.18 / 570 | 0.463 | 60% (H→L), 21% (H-1→L), 12% (H→L+1) |
| | 2 | 2.44 / 509 | 0.471 | 63% (H-1→L), 16% (H→L), 11% (H→L+1) |
| | 3 | 2.58 / 480 | 0.250 | 65% (H→L+1), 11% (H→L) |
| 5f | 1 | 2.04 / 608 | 0.047 | 56% (H→L+1), 35% (H→L) |
| | 2 | 2.16 / 574 | 0.566 | 51% (H→L), 31% (H→L+1), 19% |
| | | | | (H-1→L), 10% (H-1→L+1) |
| | 3 | 2.34 / 530 | 0.042 | 52% (H-1→L+1), 47% (H-1→L) |
| | 4 | 2.40 / 516 | 0.445 | 46% (H-1→L+1), 44% (H-1→L) |
| [°] H = HOMO, L = LUMO, H-1 = HOMO-1, L+1 = LUMO+1. | | | | |

Conclusions

In summary, in this paper we have described the preparation of two novel series of push-pull 1,1-diaryl-substituted butadiene dyes containing 3-(dicyanomethylidene)indan-1-one and 1,3-bis(dicyanomethylidene)indane as the acceptor units, and the evaluation of their light absorption properties. The solvatochromic behaviour of two representatives, substituted in the aryl rings by strong electron-donating dimethylamino groups, was studied in detail employing several solvents of different polarity, showing both of them a great sensitivity to this effect and a good linear correlation between their λ_{max} absorptions and the SPP solvent polarity scale. Interestingly, one of them behaved in polar solvents as a NIR-absorbing dye. Due to their easy access and their pronounced and linear solvatochromic properties, these compounds are clear candidates for application as solvent polarity probes.

Experimental

General methods

The manipulations were performed under argon atmosphere using vacuum-line and standard Schlenk techniques. Solvents were dried by standard methods and distilled under nitrogen before use. Enals **1a-f**,¹¹ 3-(dicyanomethylidene)indan-1-one (2)^{12b} and 1,3-bis(dicyanomethylidene)indane (3)^{12b} were prepared following the methods previously reported in the literature. Elemental analyses and HRMS spectra were provided by the Analytical Service of the Instituto de Investigaciones Químicas (IIQ-CSIC) of Seville. Infrared spectra were recorded on a Perkin-Elmer 1720-XFT spectrometer. NMR spectra were recorded on Bruker DPX-300 or AV400 instruments. The chemical shift values (δ) are given in parts per million and are referred to the residual peak of the deuterated solvent employed (¹H and ¹³C) or to the CFCl₃ standard (¹⁹F). DEPT experiments have been carried out for all the compounds reported. UV-Vis spectra were linearly recorded in wavelength on a Perkin-Elmer Lambda 20 spectrometer at 20 °C using a matched pair of quartz cells with 1 cm in path length. All mathematical analyses (smoothing

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splines, second derivatives and linear regressions) were performed with MATLAB R2012b.

Synthesis of the 3-(dicyanomethylidene)indan-1-one-based dyes 4a-f

To a solution of the corresponding enal 1a-f (1 mmol) in ethanol (15 mL), 3-(dicyanomethylidene)indan-1-one (2; 0.194 g, 1 mmol) and morpholine (4.4 µL, 0.05 mmol) were added, and the resulting solution stirred at room temperature for 4 h. After evaporation of the solvent, the solid residue was washed with *n*-hexane (2 x 5 mL) and diethyl ether (5 mL), and vacuum-dried. (4a): Red solid. Yield: 0.327 g (85%). IR (KBr): v = 1544 (m, C=C), 1589 (m, C=C), 1699 (s, C=O), 2219 (m, C≡N) cm⁻¹. ¹H NMR (CDCl₃): δ = 7.31-7.55 (m, 10H, CH_{arom}), 7.79 (m, 2H, CH_{arom}), 7.96 and 8.67 (m, 1H each, CH_{arom}), 8.40 and 8.86 (d, 1H each, ${}^{3}J_{HH}$ = 11.9 Hz, =CH) ppm. ${}^{13}C{}^{1}H$ NMR (CDCl₃): δ = 71.1 (C), 113.4 (CN), 114.3 (CN), 123.1 (CH), 123.9 (CH), 125.2 (CH), 127.0 (C), 128.6 (CH), 128.7 (CH), 129.6 (CH), 129.7 (CH), 130.7 (CH), 134.5 (CH), 135.2 (CH), 137.0 (C), 137.4 (C), 139.8 (C), 140.5 (C), 144.6 (CH), 159.8 (C), 163.6 (C), 189.1 (CO) ppm. Elemental analysis calcd (%) for C₂₇H₁₆N₂O: C 84.36, H 4.20, N 7.29; found: C 84.25, H 4.25, N 7.40. HRMS (Electrospray): m/z 385.1345 [M^+ + H], C₂₇H₁₇N₂O requires 385.1341. (**4b**): Red solid. Yield: 0.366 g (87%). IR (KBr): v = 1563 (m, C=C), 1589 (m, C=C), 1694 (s, C=O), 2220 (m, C=N) cm⁻¹. ¹H NMR (CDCl₃): δ = 7.09-7.31 (m, 6H, CH_{arom}), 7.49 and 7.78 (m, 2H each, CH_{arom}), 7.95 and 8.68 (m, 1H, CH_{arom}), 8.33 and 8.76 (d, 1H each, ${}^{3}J_{HH} =$ 11.8 Hz, =CH) ppm. ${}^{13}C{}^{1}H$ NMR (CDCl₃): δ = 73.4 (C), 115.5 (CN), 116.2 (CN), 117.9 (d, ${}^{2}J_{CF}$ = 21.2 Hz, CH), 118.2 (d, ${}^{2}J_{CF}$ = 21.2 Hz, CH), 125.0 (CH), 126.0 (CH), 127.4 (CH), 129.4 (C), 133.6 (d, ${}^{3}J_{CF}$ = 8.5 Hz, CH), 134.7 (d, ${}^{3}J_{CF}$ = 8.5 Hz, CH), 136.7 (CH), 137.4 (CH), 138.7 (C), 139.4 (C), 141.9 (C), 145.9 (CH), 161.8 (C), 162.7 (C), 168.5 (d, ${}^{1}J_{CF}$ = 251.1 Hz, C), 166.4 (d, ${}^{1}J_{CF}$ = 253.2 Hz, C), 191.2 (CO) ppm. ${}^{19}F{}^{1}H{}$ NMR (CDCl₃): δ = -110.0 (s), -108.4 (s) ppm. Elemental analysis calcd (%) for C₂₇H₁₄F₂N₂O: C 77.14, H 3.36, N 6.66; found: C 77.25, H 3.29, N 6.80. HRMS (Electrospray): m/z 421.1147 [M⁺ + H], C₂₇H₁₅F₂N₂O requires 421.1152. (4c): Red solid. Yield: 0.376 g (83%). IR (KBr): v = 1559 (m, C=C), 1583 (m, C=C), 1698 (s, C=O), 2223 (m, C=N) cm⁻¹. ¹H NMR (CDCl₃): δ = 7.24-7.54 (m, 8H, CH_{arom}), 7.81 (m, 2H, CH_{arom}), 7.96 and 8.70 (m, 1H each, CH_{arom}), 8.33 and 8.82 (d, 1H each, ${}^{3}J_{HH}$ = 11.8 Hz, =CH) ppm. ¹³C{¹H} NMR (CDCl₃): δ = 73.8 (C), 115.5 (CN), 116.1 (CN), 125.4 (CH), 126.1 (CH), 127.4 (CH), 129.5 (C), 129.8 (CH), 130.0 (CH), 130.1 (CH), 131.1 (CH), 131.4 (CH), 132.7 (C), 134.0 (CH), 136.7 (C), 137.1 (C), 137.5 (C), 139.2 (C), 140.7 (C), 141.9 (C), 145.3 (CH), 162.0 (C), 191.1 (CO) ppm. Elemental analysis calcd (%) for C₂₇H₁₄Cl₂N₂O: C 71.54, H 3.11, N 6.18; found: C 71.66, H 3.06, N 6.30. HRMS (Electrospray): m/z 453.0556 [M⁺ + H], $C_{27}H_{15}Cl_2N_2O$ requires 453.0561. (4d): Red solid. Yield: 0.363 g (88%). IR (KBr): v = 1558 (m, C=C), 1588 (m, C=C), 1699 (s, C=O), 2215 (m, C=N) cm⁻¹. ¹H NMR (CDCl₃): δ = 2.44 and 2.48 (s, 3H each, CH_3), 7.19-7.44 (m, 8H, CH_{arom}), 7.77 (m, 2H, $\rm CH_{arom}),~7.93$ and 8.65 (m, 1H each, $\rm CH_{arom}),~8.40$ and 8.79 (d, 1H each, ${}^{3}J_{HH}$ = 12.1 Hz, =CH) ppm. ${}^{13}C{}^{1}H{}$ NMR (CDCl₃): δ = 21.5 (s, CH₃), 70.5 (C), 113.7 (CN), 114.6 (CN), 122.4 (CH), 123.8

(CH), 125.2 (CH), 126.4 (C), 129.5 (CH), 129.9 (CH), 131.0 (CH), 134.4 (CH), 135.1 (CH), 137.5 (C), 138.1 (C), 139.9 (C), 140.2 (C), 141.6 (C), 145.4 (CH), 160.2 (C), 164.5 (C), 189.3 (CO) ppm.

(C), 141.6 (C), 145.4 (CH), 160.2 (C), 164.5 (C), 189.3 (CO) ppm. Elemental analysis calcd (%) for C₂₉H₂₀N₂O: C 84.44, H 4.89, N 6.79; found: C 84.59, H 4.91, N 7.85. HRMS (Electrospray): m/z 413.1659 [M^{+} + H], C₂₉H₂₁N₂O requires 413.1654. (**4e**): Purple solid. Yield: 0.355 g (80%). IR (KBr): v = 1542 (m, C=C), 1584 (m, C=C), 1695 (s, C=O), 2213 (m, C=N) cm⁻¹. ¹H NMR (CDCl₃): δ = 3.88 and 3.91 (s, 3H each, OCH₃), 6.93, 7.03, 7.26 and 7.49 (d, 2H each, ³J_{HH} = 8.5 Hz, CH_{arom}), 7.73 (m, 2H, CH_{arom}), 7.90 and 8.63 (m, 1H each, CH_{arom}), 8.38 and 8.71 (d, 1H each, ${}^{3}J_{HH}$ = 12.0 Hz, =CH) ppm. ${}^{13}C{}^{1}H{}$ NMR (CDCl₃): δ = 55.5 (s, OCH₃), 69.6 (C), 114.0 (CH), 114.2 (CH), 114.3 (CN), 114.8 (CN), 121.6 (CH), 123.7 (CH), 125.1 (CH), 125.4 (C), 129.6 (C), 132.0 (CH), 132.8 (CH), 133.5 (C), 134.2 (CH), 134.9 (CH), 137.4 (C), 138.9 (C), 145.0 (CH), 160.3 (C), 161.4 (C), 162.3 (C), 164.5 (C), 189.4 (CO) ppm. Elemental analysis calcd (%) for C₂₉H₂₀O₃N₂: C 78.36, H 4.54, N 6.30; found: C 78.29, H 4.66, N 6.42. HRMS (Electrospray): m/z 445.1563 [M⁺ + H], C₂₉H₂₁O₃N₂ requires 445.1552. (4f): Blue solid. Yield: 0.367 g (78%). IR (KBr): v = 1595 (s, C=C), 1686 (s, C=O), 2211 (m, C≡N) cm⁻¹. ¹H NMR $(CDCl_3)$: $\delta = 3.11$ (s, 12H, N(CH₃)₂), 6.70 and 7.26 (d, 2H each, ${}^{3}J_{HH}$ = 7.4 Hz, CH_{arom}), 6.79 and 7.52 (d, 2H each, ${}^{3}J_{HH}$ = 7.7 Hz, CH_{arom}), 7.66 (m, 2H, CH_{arom}), 7.83 and 8.60 (m, 1H each, CH_{arom}), 8.40 and 8.66 (d, 1H each, ³J_{HH} = 12.5 Hz, =CH) ppm. ¹³C{¹H} NMR (CDCl₃): δ = 42.1 (s, N(CH₃)₂), 79.2 (C), 113.5 (CH), 113.7 (CH), 117.1 (CN), 118.0 (CN), 122.1 (CH), 123.7 (CH), 124.9 (CH), 126.6 (CH), 127.2 (CH), 130.5 (C), 130.8 (C), 132.9 (C), 135.3 (CH), 136.0 (CH), 139.3 (C), 141.9 (C), 149.4 (CH), 154.4 (C), 154.7 (C), 163.0 (C), 170.6 (C), 191.8 (CO) ppm. Elemental analysis calcd (%) for C₃₁H₂₆N₄O: C 79.12, H 5.57, N 11.91; found: C 79.23, H 5.64, N 12.02. HRMS (Electrospray): *m*/z 471.2185 [M⁺ + H], C₃₁H₂₇N₄O requires 471.2185.

Synthesis of the 1,3-bis(dicyanomethylidene)indane-based dyes 5a-f

To a solution of the corresponding enal **1a-f** (1 mmol) in acetic anhydride (12 mL), 1,3-bis(dicyanomethylidene)indane (3; 0.242 g, 1 mmol) was added, and the resulting solution stirred at 60 °C for 6 h. After evaporation of the solvent, the solid residue was washed with n-hexane (2 x 5 mL) and diethyl ether (5 mL), and vacuum-dried. (5a): Red solid. Yield: 0.398 g (92%). IR (KBr): v = 1590 (s, C=C), 2213 (m, C≡N), 2215 (m, C≡N) cm⁻¹. ¹H NMR (CDCl₃): δ = 7.01 and 8.04 (d, 1H each, ³J_{HH} = 11.4 Hz, =CH), 7.28-7.56 (m, 10H, CH_{arom}), 7.79 and 8.58 (m, 2H each, CH_{arom}) ppm. ¹³C{¹H} NMR (CDCl₃): δ = 74.1 (C), 78.8 (C), 114.6 (CN), 114.9 (CN), 115.2 (CN), 115.3 (CN), 127.2 (CH), 127.4 (CH), 127.9 (CH), 130.8 (CH), 130.9 (CH), 131.8 (CH), 132.6 (CH), 133.1 (CH), 133.3 (C), 133.5 (CH), 136.5 (CH), 136.8 (CH), 138.5 (C), 139.3 (C), 139.9 (C), 142.3 (C), 144.2 (CH), 162.8 (C), 163.0 (C), 164.9 (C) ppm. Elemental analysis calcd (%) for C₃₀H₁₆N₄: C 83.32, H 3.73, N 12.95; found: C 83.44, H 3.80, N 13.13. HRMS (Electrospray): m/z 433.1453 [M⁺ + H], $C_{30}H_{17}N_4$ requires 433.1453. (5b): Red solid. Yield: 0.403 g (86%). IR (KBr): v = 1567 (m, C=C), 1597 (m, C=C), 2230 (m, C≡N), 2234 (m, C=N) cm⁻¹. ¹H NMR (CDCl₃): δ = 6.91 and 8.00 (d, 1H each,

³J_{HH} = 11.6 Hz, =CH), 7.11-7.46 (m, 8H, CH_{arom}), 7.78 and 8.59 (m, 2H each, CH_{arom}) ppm. ${}^{13}C{}^{1}H$ NMR (CDCl₃): δ = 72.3 (C), 77.2 (C), 112.7 (CN), 112.9 (CN), 113.1 (CN), 113.2 (CN), 116.1 (d, ${}^{2}J_{CF}$ = 21.8 Hz, CH), 116.3 (d, ${}^{2}J_{CF}$ = 21.8 Hz, CH), 125.1 (CH), 125.4 (CH), 125.9 (CH), 131.7 (s, C), 131.8 (d, ³J_{CF} = 8.9 Hz, CH), 131.1 (d, ${}^{4}J_{CF}$ = 3.4 Hz, C), 133.5 (d, ${}^{3}J_{CF}$ = 8.9 Hz, CH), 134.7 (CH), 134.9 (CH), 136.4 (d, ${}^{4}J_{CF}$ = 3.6 Hz, C), 136.5 (C), 137.8 (C), 141.4 (CH), 159.8 (C), 160.7 (C), 161.0 (C), 164.2 (d, ¹J_{CF} = 252.7 Hz, C), 164.6 (d, ${}^{1}J_{CF}$ = 253.8 Hz, C) ppm. ${}^{19}F{}^{1}H{}$ NMR (CDCl₃): δ = -108.4 (s), -107.9 (s) ppm. Elemental analysis calcd (%) for C₃₀H₁₄N₄F₂: C 76.92, H 3.01, N 11.96; found: C 77.09, H 3.15, N 12.10. HRMS (Electrospray): m/z 469.1260 [M⁺ + H], C₃₀H₁₅N₄F₂ requires 469.1265. (5c):13 Red solid. Yield: 0.406 g (81%). IR (KBr): *v* = 1557 (m, C=C), 1599 (m, C=C), 2222 (m, C≡N) cm⁻¹. ¹H NMR (CDCl₃): δ = 6.94 and 7.95 (d, 1H each, ${}^{3}J_{HH}$ = 11.6 Hz, =CH), 7.25-7.52 (m, 8H, CH_{arom}), 7.80 and 8.57 (m, 2H each, CH_{arom}) ppm. ¹³C{¹H} NMR (CDCl₃): δ = 72.7 (C), 77.2 (C), 112.6 (CN), 112.8 (CN), 112.9 (CN), 113.1 (CN), 125.4 (CH), 125.5 (CH), 126.0 (CH), 129.3 (CH), 129.5 (CH), 130.8 (CH), 132.3 (C), 132.6 (CH), 134.8 (CH), 135.0 (CH), 135.2 (C), 136.5 (C), 137.1 (C), 137.6 (C), 137.8 (C), 138.4 (C), 140.7 (CH), 159.1 (C), 160.7 (C), 161.0 (C) ppm. Elemental analysis calcd (%) for C₃₀H₁₄Cl₂N₄: C 71.87, H 2.81, N 11.17; found: C 71.94, H 2.77, N 11.32. HRMS (Electrospray): m/z 501.0675 [M⁺ + H], $C_{30}H_{15}Cl_2N_4$ requires 501.0674. (5d): Red solid. Yield: 0.391 g (85%). IR (KBr): v = 1567 (m, C=C), 1594 (m, C=C), 2195 (m, C≡N), 2225 (m, C=N) cm⁻¹. ¹H NMR (CDCl₃): δ = 2.44 and 2.48 (s, 3H each, CH₃), 6.94 and 8.05 (d, 1H each, ${}^{3}J_{HH}$ = 11.6 Hz, =CH), 7.23-7.38 (m, 8H, CH_{arom}), 7.79 and 8.57 (m, 2H each, CH_{arom}) ppm. ¹³C{¹H} NMR (CDCl₃): δ = 21.5 (s, CH₃), 21.6 (s, CH₃), 71.4 (C), 76.1 (C), 112.9 (CN), 113.0 (CN), 113.5 (CN), 113.6 (CN), 124.6 (CH), 125.3 (CH), 125.8 (CH), 129.5 (CH), 129.6 (CH), 130.0 (CH), 130.4 (C), 131.8 (CH), 134.4 (CH), 134.5 (C), 134.6 (CH), 136.6 (C), 137.8 (C), 137.9 (C), 141.3 (C), 142.0 (C), 142.9 (CH), 161.0 (C), 163.8 (C) ppm. Elemental analysis calcd (%) for C32H20N4: C 83.46, H 4.38, N 12.17; found: C 83.58, H 4.27, N 12.31. HRMS (Electrospray): m/z 461.1765 [M⁺ + H], $C_{32}H_{21}N_4$ requires 461.1766. (5e): Purple solid. Yield: 0.453 g (92%). IR (KBr): v = 1557 (m, C=C), 1589 (m, C=C), 2194 (m, C≡N), 2202 (m, C=N) cm⁻¹. ¹H NMR (CDCl₃): δ = 3.91 (br s, 6H, OCH₃), 6.88 and 8.09 (d, 1H each, ${}^{3}J_{HH}$ = 11.4 Hz, =CH), 6.97-7.44 (m, 8H, CH_{arom}), 7.75 and 8.57 (m, 2H each, CH_{arom}) ppm. ¹³C{¹H} NMR $(CDCl_3)$: δ = 55.6 (s, OCH₃), 70.5 (C), 75.1 (C), 113.2 (CN), 113.3 (CN), 113.7 (CN), 113.8 (CN), 114.4 (CH), 114.5 (CH), 124.1 (CH), 125.2 (CH), 125.7 (CH), 129.2 (C), 130.0 (C), 132.2 (CH), 132.9 (C), 133.8 (CH), 134.2 (CH), 134.4 (CH), 136.6 (C), 138.0 (C), 143.6 (CH), 161.0 (C), 162.3 (C), 162.6 (C), 163.9 (C), 166.4 (C) ppm. Elemental analysis calcd (%) for C₃₂H₂₀N₄O₂: C 78.03, H 4.09, N 11.38; found: C 77.91, H 4.16, N 11.49. HRMS (Electrospray): *m/z* 493.1666 [M⁺ + H], C₃₂H₂₁N₄O₂ requires 493.1665. (5f): Blue solid. Yield: 0.487 g (94%). IR (KBr): v = 1591 (s, C=C), 2191 (m, C≡N), 2210 (m, C≡N) cm⁻¹. ¹H NMR (CDCl₃): δ = 3.15 (s, 12H, N(CH₃)₂), 6.72 (m, 4H, CH_{arom}), 6.78 and 8.18 (d, 1H each, ${}^{3}J_{HH}$ = 12.6 Hz, =CH), 7.36-7.45 (m, 4H, CH_{arom}), 7.63 and 8.53 (m, 2H each, CH_{arom}) ppm. ¹³C{¹H} NMR $(CDCl_3)$: $\delta = 40.4$ (s, N(CH₃)₂), 67.9 (C), 77.0 (C), 111.6 (CH), 111.8 (CH), 114.6 (CN), 115.3 (CN), 123.7 (C), 114.5 (CH), 124.5

(CH), 124.9 (CH), 133.0 (CH), 134.2 (C), 135.9 (C), 137.8 (C), 145.7 (CH), 153.3 (C), 160.5 (C), 169.2 (C) ppm. Elemental analysis calcd (%) for $C_{34}H_{26}N_6$: C 78.74, H 5.05, N 16.20; found: C 78.87, H 5.12, N 16.31. HRMS (Electrospray): *m/z* 519.2283 [M⁺ + H], $C_{34}H_{27}N_6$ requires 519.2297.

Computational details

All the theoretical calculations have been performed using the density functional theory (DFT). Becke's three-parameter exchange functional $(B3)^{28}$ in conjunction with the Lee-Yang-Parr correlation functional $(LYP)^{29}$ was employed as implemented in Gaussian 03.³⁰ All structures were optimized in the gas-phase by using bond lengths and angles from the X-ray data of analogous compounds herein described (see below). In all geometry optimizations 6-31++G(d) basis set was used for all the atoms.³¹ Frequency calculations were performed to determine whether the optimized geometries were minima on the potential energy surface.

Time dependent density functional theory (TD-DFT)²⁷ single points calculations were performed using the B3LYP functional and the 6-31++G(d) basis set on the optimized structures at the same level to obtain the calculated λ_{max} values. The 40 lowest-energy excited states were computed for all the molecules. Molecular orbital contours were plotted using Chemcraft 1.7.³²

X-Ray crystal structure determination of compounds 4d and 5b

Crystals of 4d and 5b suitable for X-ray diffraction analysis were obtained by slow diffusion of *n*-hexane into saturated solutions of the compounds in ethyl acetate and in dichloromethane, respectively. The most relevant crystal and refinement data are collected in Table 5. In both cases, data collection was performed with an Oxford Diffraction Xcalibur Nova single crystal diffractometer using Cu-K α radiation (λ = 1.5418 Å). Images were collected at a fixed crystal-to-detector distance of 65 mm, using the oscillation method with 1° oscillation and 1.5-45 s variable exposure time per image for 4d, and 8.6-60 s for 5b. Data collection strategy was calculated with the program CrysAlis Pro CCD.³³ Data reduction and cell refinement was performed with the program CrysAlis Pro RED.³³ An empirical absorption correction was applied using the SCALE3 ABSPACK algorithm as implemented in the program CrysAlis Pro RED.33

In both cases, the software package WINGX was used for space group determination, structure solution, and refinement.³⁴ The structures were solved by direct methods and conventional Fourier techniques, and refined by full-matrix least-squares on F^2 using SHELXL97.³⁵ During the final stages of the refinements, all the positional parameters and the anisotropic temperature factors of all non-H atoms were refined. The coordinates of the H atoms were found from difference Fourier maps and included in the refinements with isotropic temperature parameters. The function minimized was $\{\Sigma[\omega(F_o^2 - F_c^2)^2]/\Sigma[\omega(F_o^2)^2]\}^{1/2}$ where $\omega = 1/[\sigma^2(F_o^2) + (aP)^2]$

+ bP] (a and b values are collected in Table 5) with $\sigma(F_o^2)$ from counting statistics and $P = [Max (F_o^2, 0) + 2F_c^2]/3$. Atomic scattering factors were taken from International Tables for Xray Crystallography.³⁶ Geometrical calculations were made with PARST.³⁷ The crystallographic plots were made with DIAMOND.³⁸

| Table 5 Crystal data and structure refinement for compounds 4d and 5b | | | |
|---|---|---------------------------------|--|
| | 4d | 5b | |
| Empirical formula | $C_{29}H_{20}N_2O$ | $C_{30}H_{14}N_4F_2$ | |
| Formula weight | 412.47 | 468.45 | |
| Temperature/K | 293(2) | 297(2) | |
| Wavelength/Å | 1.54184 | 1.54184 | |
| Crystal system | Triclinic | Triclinic | |
| Space group | P-1 | P-1 | |
| Crystal size/mm | 0.39 x 0.04 x 0.03 | 0.31 x 0.11 x 0.05 | |
| a/Å | 9.0687(6) | 5.4077(2) | |
| b/Å | 10.7492(7) | 9.7318(3) | |
| c/Å | 12.4386(13) | 22.3871(6) | |
| α (°) | 114.884(8) | 89.248(2) | |
| β(°) | 102.785(7) | 89.554(3) | |
| γ(°) | 95.489(5) | 80.152(3) | |
| Ζ | 2 | 2 | |
| Volume/Å ³ | 1047.90(16) | 1160.68(6) | |
| Calculated density/g cm ⁻³ | 1.307 | 1.340 | |
| µ/mm⁻¹ | 0.624 | 0.757 | |
| F(000) | 432 | 480 | |
| ϑ range/° | 4.094-73.683 | 3.950-74.375 | |
| Index ranges | $-7 \le h \le 10$ | $-6 \le h \le 6$ | |
| | $-12 \le k \le 13$ | $-12 \le k \le 11$ | |
| | -14 ≤ <i>l</i> ≤ 15 | -26 ≤ / ≤ 27 | |
| Completeness to $artheta_{max}$ | 93.2% | 96.9% | |
| No. of refins. collected | 7818 | 13086 | |
| No. of unique reflns. | 3953 (R _{int} = 0.046) | 4584 (R _{int} = 0.023) | |
| No. of parameters/restraints | 299/0 | 381/0 | |
| Refinement method | Full-matrix least-squares on F ² | | |
| Goodness-of-fit on <i>F</i> ² | 1.014 | 1.040 | |
| Weight function (a, b) | 0.1096, 0.0000 | 0.0744, 0.1323 | |
| $R_1 \left[l > 2\sigma(l) \right]^a$ | 0.0512 | 0.0446 | |
| $wR_2 \left[l > 2\sigma(l) \right]^a$ | 0.1398 | 0.1175 | |
| R_1 (all data) | 0.0635 | 0.0487 | |
| wR ₂ (all data) | 0.1468 | 0.1248 | |
| Largest diff. peak and hole/e Å ⁻³ | 0.275, -0.260 | 0.139, -0.218 | |
| | $(-2 - 2)^{2}$ | 1/2 | |

^a $R_1 = \sum (|F_0| - |F_c|) / \sum |F_0|; wR_2 = \{\sum [w(F_0^2 - F_c^2)^2] / \sum [w(F_0^2)^2] \}^{1/2}$

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Novel 1,1-diaryl-substituted butadiene dyes containing 3-(dicyanomethylidene)indan-1one and 1,3-bis(dicyanomethylidene)indane as groups have been synthetized, and their light absorption and solvatochromic properties evaluated.

