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Abstract

In this study, photocatalytic conversion of lignin to valuable phenolic and aromatic 24 compounds is demonstrated by subjecting ball milled mixtures of lignin and $TiO₂$ to ultraviolet (UV) radiation. Unlike a majority of the existing studies that reported photocatalytic degradation of lignin that is solubilized in alkaline medium, this study evaluates the decomposition of lignin under natural conditions in aqueous medium. In order to facilitate better contact between lignin 28 and nano-TiO₂, the two materials were ball milled in presence of different media, viz. no solvent, hexane, acetone and water. The ball milled mixtures were characterized using powder XRD, FT-IR and photoluminescence spectroscopy, and scanning electron microscopy. Intimate contact 31 between lignin and $TiO₂$ was achieved using water and acetone as solvents in wet milling. Photocatalysis experiments were conducted in a batch photoreactor. The aqueous phase products were analyzed using UV-visible spectroscopy, MALDI-TOF and GC mass spectrometry, while the molecular weight of solid lignin was analyzed using GPC. Ball milling resulted in the formation of phenolic compounds even during dark mixing of the mixtures prior to photocatalysis. Ball milled mixtures obtained using acetone and water resulted in high yield of phenolic compounds after 3-4 hours of UV exposure. At long UV exposure periods, the 38 phenolics production got saturated, possibly due to the deactivation of $TiO₂$ active sites by the intermediates. The main organic compounds produced during photocatalysis include ethyl benzene, acetovanillone, syringaldehyde, acetosyringone, vanillin, 2,6-dimethoxy benzoquinone and diisobutyl phthalate. Free radical depolymerization reactions of lignin mediated by active hydroxyl and superoxide radicals are responsible for the observed products.

43 **Keywords:** Lignin; photocatalysis; TiO₂; ball milling; phenols; characterization

1. Introduction

Current research in the fields of energy generation and environmental conservation is focused on utilizing lignocellulosic biomass for obtaining valuable chemicals, materials and fuels. It is believed that the increase in dependence on renewables-based economy would help in 48 preserving the depleting fossil fuel-based industries.^{1,2} Cellulose, hemicellulose and lignin are the major components of lignocellulosic biomass. Lignin, which constitutes 10–25 wt.% of 50 lignocellulosic biomass, is the second most abundant natural polymer.³ Cellulosic ethanol bio-refineries and paper industries utilize cellulose and hemicellulose, and reject lignin as the major byproduct. The waste lignin is primarily utilized for power generation via combustion, which is a high volume, yet low value application. Importantly, not more than 2% of the total 70 million tons of lignin produced is used in the production of phenolic resins, polyurethane foams and bio-55 dispersants.^{3,4} Lignin is the only naturally synthesized aromatic biopolymer made up of three 56 phenyl propane units like p -coumaryl alcohol, coniferyl alcohol, and sinapyl alcohol. These monomers are connected by ether and carbon-carbon bonds such as β-aryl ether (β-O-4), α-aryl ether (α-O-4), diphenyl ether (4-O-5) and biphenyl $(5-5)^{3,5}$. Therefore, it can be regarded as a rich source of phenols, aromatic and aliphatic compounds. However, it is difficult to deconstruct lignin to various value added products because of its complex crosslinked structure.

The major lignin depolymerization techniques include biochemical, catalytic oxidation, 62 thermochemical, electrooxidation, ionic liquid treatment and photooxidation methods.^{1,3,5-9} Thermochemical conversion of lignin via catalytic fast pyrolysis is a well-studied process that 64 vields simple phenols, guaiacols and syringols as the key products.^{5,10} Nevertheless, lignin tars contain a complex mixture of lignin oligomers, which are not easily recoverable. Photocatalysis is an advanced oxidation process that involves the generation of highly reactive hydroxyl

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67 radicals, which mediate a number of organic oxidation reactions.¹¹ TiO₂ is a promising 68 photocatalyst and has particular potential for lignin degradation. Owing to its non-toxic nature, 69 physicochemical stability and strong oxidizing potential, $TiO₂$ is a photocatalyst of choice for a 70 wide variety of reactions including destruction of organic pollutants like dyes, phenolics, volatile 71 organics, pesticides and pharmaceutical compounds.^{12,13}

The presence of di- and tri-substituted benzene conjugated to aromatic carbonyl, $α, β$ -unsaturated carbonyl, quinone and catechol moieties in lignin lead to ultraviolet (UV) and visible light absorption.¹⁴ 74 As a result, lignin can be effectively depolymerized via photooxidation in presence of oxygen. Earlier studies on delignification of unbleached kraft pulps from paper industry using UV light demonstrated c.a. 85% removal of lignin, which was measured by the 77 kappa number.¹⁴ The presence of oxygen and acidic or alkaline medium were found to be 78 detrimental in the removal of lignin. Contrastingly, irradiation under inert N_2 atmosphere led to condensation of lignin ring fragments, and hence, an effective increase in molecular weight. Studies that utilized lignin model compounds along with singlet oxygen quenching molecules unraveled the mechanism of photooxidation, which involves the cleavage of β-O-4 aryl ether bond and hydrogen abstraction reactions to form hydroperoxides, phenol, guaiacol, acetoveratrone, stilbene, syringol, vanillin, phenyl coumarone, dibenzodioxocin and their 84 derivatives.^{14,15} Very few studies are available on heterogeneous photocatalytic decomposition of 85 lignin, and a summary of the existing studies and their salient features are listed in Table $1^{9,16-23}$ Importantly, as lignin is insoluble in aqueous medium in the absence of externally added alkali, the existing studies were performed at basic pH. From the mass spectrum of lignin dissolved in alkaline medium shown in Figure S1 (in Supplementary Data), it is evident that a number of low molecular weight fragments (<300 Da) are produced via lignin depolymerization reactions

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induced by the high concentration of NaOH. Therefore, photocatalysis of this mixture results in the degradation of these small molecules more than the degradation of the polymeric structure of lignin itself. On an application viewpoint, this also results in the usage of large quantities of alkali for the dissolution of lignin. Furthermore, the mechanism of lignin/lignin oligomer decomposition will be significantly influenced by the presence of alkali. This work is an attempt to understand the mechanism of photocatalytic decomposition of lignin in the absence of externally added reagents. To the best of our knowledge, this work is the first of its kind in the following aspects: (i) utilization of lignin in solid form in aqueous medium in photocatalysis 98 experiments, and (ii) pretreatment of lignin along with the photocatalyst, viz. nano-TiO₂, via ball 99 milling to induce better contact between lignin and $TiO₂$.

Pretreatment of lignin is essential for improving the yield of phenolic compounds in any conversion process. Many physical and chemical pretreatment methods like ball milling, dilute acid, steam, hot water, ionic liquid and organic solvent treatments have been successfully employed to overcome the recalcitrance of biomass and lignin, and improve the extraction of 104 broducts.²⁴ Among these pretreatment techniques, ball milling is a promising pretreatment 105 process in terms of polydispersity reduction and reactivity improvement. Yamashita et al.²⁵ revealed that a combination of ball milling and phosphoric acid is an effective pretreatment method for the production of ethanol from paper sludge. In addition, other investigations 108 indicated that wet ball milling (WM) is better than dry ball milling (DM).²⁶ The use of planetary mill-based pretreatment is an efficient and environmentally-friendly method as it imparts artificial gravity to the grinding medium via a centrifugal force field. This causes a non-uniform field of centripetal acceleration. As a result, the balls in planetary mill have notably higher

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112 impact energies.²⁷ Importantly, milling is shown to produce relatively lesser amount of soluble 113 phenolics compared to alkali treatment.²⁷

114 The objectives of this work are four fold: (i) preparation of lignin-TiO₂ mixtures in a ball mill via dry milling and wet milling (using different solvents like water, hexane and acetone), (ii) characterization of the mixtures using various techniques such as Fourier transform infrared spectroscopy, photoluminescence spectroscopy, powder X-ray diffraction, and scanning electron 118 microscopy, (iii) photo degradation of aqueous lignin-TiO₂ suspensions and evaluation of concentration of total phenolics by UV-visible spectrophotometer, and (iv) identification of various phenolic compounds using mass spectrometry and molecular weight of solid phase lignin by gel permeation chromatography.

2. Experimental Section

2.1. Materials

Commercial lignin was procured from Asian Lignin Manufacturing (ALM), India. This lignin is extracted from non-woody biomasses like wheat straw and sarkanda grass by soda 126 pulping process using aq. NaOH.²⁸ The number average and weight average molecular weights 127 of ALM lignin are reported to be 1000 Da and 2500-3400 Da, respectively.²⁸ Commercial TiO₂ (Aeroxide® P25) was obtained from Sigma Aldrich. Water, n-hexane (Merck) and acetone (Sisco Research Laboratories Pvt. Ltd. India) were used as solvents in the wet ball milling process. Double deionized water was used as the reaction medium for photocatalysis experiments. The solvents, dichloromethane, tetrahydrofuran (THF), and dimethyl formamide (DMF), were procured from Merck, India. o-Nitrobenzaldehyde (Avra Synthesis Pvt. Ltd., India) 133 was used to determine the intensity of the ultraviolet (UV) lamp via actinometry.²⁹

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134 **2.2. Preparation of Lignin-TiO2 Mixtures**

135 Lignin and $TiO₂$ were thoroughly mixed in a planetary ball mill (Fritsch Pulverisette) 136 equipped with zirconia milling jar of 250 mL capacity. The jar containing 100 steel balls of 10 137 mm diameter was loaded with 5 g of lignin-TiO₂ mixture (1:1 w/w), and milled at 120 rpm for 6 138 hours. The sample thus obtained is called as dry milled mixture (DM). For wet milling, solvents 139 such as water, hexane or acetone were also added to the lignin-TiO₂ mixture at 1:2 w/w of 140 mixture:solvent ratio before the grinding process. The choice of these solvents is based on their 141 widely different polarities (water-highly polar, acetone-medium polar, hexane-non-polar). The 142 mixtures obtained after wet milling are henceforth denoted as WMW, WMH and WMA, 143 corresponding to water, hexane and acetone, respectively. The mixtures thus obtained were 144 filtered and dried at 40° C. The dried mixtures were washed with water and then vacuum filtered. 145 The residue was dried in hot air oven at 100° C overnight. The recovery of the solids was 146 calculated as the ratio of final dried mass of ball milled samples to the initial mass of lignin-TiO₂ 147 mixture. Lignin, without $TiO₂$, was also ball milled in the presence and absence of the above 148 solvents in order to perform control experiments.

149 **2.3. Characterization of Lignin-TiO2 Mixtures**

150 Powder X-ray diffractograms (XRD) of lignin, $TiO₂$ and the ball milled mixtures were 151 collected in D8 Discover (Bruker) diffractometer using $Cu-K_\alpha$ radiation. Fourier transform 152 infrared (FT-IR) spectra were obtained in an Agilent Cary 660 FT-IR spectrometer in the 153 wavenumber range of 400–4000 cm⁻¹ in transmittance mode at a resolution of 2 cm⁻¹. The fine 154 powders were cast in the form of pellets using KBr. The surface morphology and energy 155 dispersive X-ray analyses (EDS) of the mixtures were performed using a Hitachi S-4800 high

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resolution scanning electron microscope (SEM). The UV absorption spectra of the mixtures were collected in a UV-visible photodiode array (PDA) spectrophotometer (Agilent Cary 8454) by 158 suspending the mixtures in water. Photoluminescence emission (PL) spectra of $TiO₂$, WMW, WMA, WMH and DM mixtures were recorded in an Agilent Cary Eclipse fluorescence spectrometer at an excitation wavelength of 257 nm. 70 mg of the samples were dry pressed and analyzed to compare the intensities of the samples. Thermogravimetric analyses (TGA) of the 162 mixtures were performed in a SDT Q600 TG analyzer $(T.A.$ Instruments) at 10 $^{\circ}$ C min⁻¹ under 163 continuous flow of N₂ gas at 100 mL min⁻¹. Typically, 5 \pm 0.3 mg of the samples were pyrolyzed and mass loss of the sample with temperature was monitored. Differential mass loss and onset degradation temperature were evaluated.

166 **2.4. Photocatalytic Treatment of Lignin–TiO2 Mixtures**

167 Photocatalytic degradation of only lignin, physical mixtures of both untreated and ball 168 milled lignin and $TiO₂$, and the various mixtures, viz. DM, WMW, WMH and WMA, were 169 carried out in aqueous medium in an annular type photoreactor. It is important to note that the 170 lignin-TiO₂ mixtures were well suspended in water without the dissolution of lignin in alkaline 171 medium. The photoreactor consisted of a jacketed quartz tube containing a high pressure 172 mercury lamp (Philips 125 W) that emits UV radiation at a wavelength of 365 nm. The intensity 173 and photon flux of the UV lamp measured by o-nitrobenzaldehyde actinometry were $1.11x 10^{-7}$ 174 Einstein L^{-1} s⁻¹ and 4.82 W m⁻², respectively. The temperature of the reaction mixture was 175 maintained at 25 ± 3 °C by circulating chilled water through the jacket of the quartz tube. The 176 quartz tube was immersed in the reaction vessel for uniform illumination of the reaction mixture. The details of the photocatalytic reactor can be found elsewhere.³⁰ Unless otherwise specified, 178 the concentration of lignin-TiO₂ mixture taken for experiments was 2 g L⁻¹ in aqueous medium.

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Prior to illumination, the mixtures were stirred well in the dark to ensure that low molecular weight fragments such as monomers and dimers of lignin are dissolved in the aqueous medium. Typically, dark mixing was carried out until the concentration of phenolics in the aqueous medium reached a constant value. After this period, UV lamp was turned on, and the samples were collected at regular time intervals. The total reaction time was 360 min. Prior to analyses, the aliquots were centrifuged to separate the unreacted solids.

2.5. Characterization of Aqueous Phase Products

The concentration of total phenolics produced was determined using UV-visible PDA spectrophotometer (Agilent Cary 8454). A characteristic peak at 280 nm signified the phenolic compounds. Absorbance vs concentration calibration graph was constructed to quantify the total phenolic compounds using Beer-Lambert's law. For the calibration of total phenolics, lignin was dissolved in alkaline medium. The experiments were repeated three times and the uncertainty in the concentration of total phenolics was within 7%.

In order to identify the molecular weight of the compounds produced, the aqueous samples were subjected to Matrix Assisted Laser Desorption-Ionization Time-of-Flight Mass Spectrometry (MALDI-TOF). MALDI-TOF analyses were carried out in a Voyager-DE STR Biospectrometry Workstation (Applied Biosystems). 2,5-Dihydroxybenzoic acid (DHB) was used as the matrix, and the analyses were carried out in negative mode. In order to exactly identify the structure of the compounds, gas chromatography-mass spectrometric (GC/MS) technique was adopted. The lignin degradation products in aqueous medium were extracted in dichloromethane (DCM) solvent, and the DCM extract was injected in Shimadzu QP2010 Plus 200 GC/MS equipped with a capillary column RTi-5 MS column (30 m \times 0.25 mm; 0.25 µm film

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thickness, Restek, USA) .Ultra high pure helium gas (5.5 grade) was used as the carrier gas at a 202 total flow rate of 12.5 mL min⁻¹. 1 μ L of the sample was injected at a split ratio of 5:1. The 203 column oven was initially held at 40 $^{\circ}$ C for 4 min, followed by heating at a rate of 5 $^{\circ}$ C min⁻¹ to 204 $280 °C$, and finally held at 280 °C for 10 min. The injector, interface and ion source temperatures 205 were 250 °C, 280 °C, and 250 °C, respectively. The mass spectra of the products were acquired **RSC Advances Accepted Manuscript RSC Advances Accepted Manuscript**in the m/z range of 40-400 Da. The mass spectra of the unknown peaks were compared with

NIST mass spectral database to identify the organic compounds. A minimum cut-off of 85% was set as the search criteria in the NIST database. The salient products were reconfirmed by matching the retention time using pure standards, and quantified by constructing calibration graphs.

2.6. Characterization of Solid Phase Lignin

The molecular weight of the degraded lignin in the solid phase was determined using a gel permeation chromatograph (GPC) (Agilent GPC 1260 Infinity series). Lignin was dissolved 214 in DMF-0.1% LiBr solution at a concentration of 3 g L^{-1} . The GPC system consisted of a PLgel 215 5µm MiniMIX-C column (250 mm length \times 4.6 mm i.d.), Rheodyne injector, 50 µL sample loop and Agilent differential refractive index detector. THF was used as the mobile phase at 0.3 mL min⁻¹. The column was calibrated using twelve poly(methyl methacrylate) (PMMA) standards 218 ranging in molecular weight from 550 to 2,136,000 g mol⁻¹. The calibration curve was fitted to a $5th$ order polynomial with a regression coefficient of 0.99. The calibration plot and equation are available in Figure S2 (in Supplementary Data).

3. Results and Discussions

3.1. Effect of Ball Milling on Lignin-TiO2 Mixture

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223 The recovery of solids after ball milling of various lignin-TiO₂ mixtures were 94% , 93% , 224 90% and 70% for DM, WMH, WMW and WMA samples, respectively. Qu et al.³¹ reported 95% 225 solid recovery after wet milling lignin with water, which is comparable with our study. The 226 higher weight loss in the case of WMA can be related to the higher solubility of lignin in 227 acetone, which was also observed during the preparation. From Figure 1, visual changes in the 228 appearance of wet milled mixtures using polar solvents like acetone and water are evident. The 229 change in the appearance of WMW and WMA mixtures prepared using polar solvents can be 230 related to the intermolecular interactions of the solvent with lignin and $TiO₂$. ³²⁻³⁴ The hydroxyl 231 groups of the phenolic molecules present in lignin are likely to form hydrogen bonds with water 232 and acetone.³² It is found that acetone and water have a strong tendency to fill the oxygen vacant 233 sites in TiO₂³³ Moreover, these two solvents are reported to alter the bond length of α -O-4 234 linkage, and decrease the electronic levels, viz. HOMO (highest occupied molecular orbital) and 235 LUMO (lowest unoccupied molecular orbital), and band gap of lignin.³⁵ The interactions are 236 further validated by analyzing the FT-IR and photoluminescence spectra of the mixtures as 237 discussed in the next section.

238 **3.2. Characterization of Different Lignin-TiO2 Mixtures**

239 Figure 2 depicts the XRD patterns of pure $TiO₂$, lignin and different lignin-TiO₂ 240 mixtures. All the lignin-TiO₂ mixtures exhibited peaks at $2\theta = 25.3, 37.9, 48.1, 54.3,$ 241 corresponding to anatase phase of TiO₂ (JCPDS, No. 84-1286), and at $2\theta = 27.7$, 36.2, 41.4, 242 55.3, corresponding to rutile phase of TiO₂ (JCPDS, No. 88-1175). The XRD pattern of pristine 243 lignin showed no crystalline peaks confirming its amorphous nature. Only for wet milled 244 mixtures with acetone and water, a new peak at 31.7º was visible which is attributed to the 245 incorporation of carbon from lignin onto $TiO₂$. This is an evidence for strong surface attachment

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246 and interactions between TiO₂ and lignin, which is desired. Kim et al.³⁶ also observed a similar 247 peak in the XRD of $TiO₂$ -carbon composites using rice husk as the carbon precursor at high 248 loading. The crystallite sizes of Aeroxide® TiO₂, DM, WMH, WMA and WMW mixtures were 249 similar, i.e. 28±5 nm.

250 The FT-IR spectra of lignin and different lignin-TiO₂ mixtures (Figure S3 in 251 Supplementary Data) were analyzed to understand the structural modifications in the mixtures 252 caused by any interaction between lignin and $TiO₂$. The signature peaks of lignin are observed at 1720 cm⁻¹ (C=O stretch of the carbonyl group mostly attached to β or γ carbon of the propane 254 unit of lignin), 1603 and 1514 cm⁻¹ (aromatic C=C stretch of the phenolic groups), 1451, 1425 255 and 1364 cm⁻¹ (phenolic O-H bending), 37 and 1326, 1268, 1220, 1121 and 1033 cm⁻¹ (condensed 256 guaiacyl and syringyl units of lignin).^{31,38} The peak at 1630 cm⁻¹ in the FT-IR spectra of TiO₂ is 257 attributed to the bending vibration of co-ordinated H_2O as well as Ti-O-H. The FT-IR spectra of 258 DM and WMH (hexane) lignin-TiO₂ mixtures show the presence of all the above signature peaks 259 of lignin. However, C=O stretching (1720 cm^{-1}) and phenolic O-H bending (1364 cm^{-1}) 260 vibrations are not observed with WMW and WMA mixtures. Carbonyl groups (aldehyde and 261 ketone) are not directly associated with aromatic rings of lignin, but are present in α , β , and γ 262 carbons. The absence of carbonyl vibration is an indication that these may be involved in 263 reactions in presence of polar solvents. A marked decrease in wavenumber of aromatic C=C 264 stretching (1603 cm^{-1}) by 10 cm⁻¹ was observed for WMA and WMW mixtures. These changes 265 may be due to molecular level interactions between lignin and $TiO₂$ induced by ball milling in 266 the presence of polar solvents. Parthasarathi et al.³⁹ showed the existence of hydrogen bonding of 267 type C–H…O, O–H…O in phenol-water clusters via density functional theory calculations, 268 which partly substantiates the shifts in wavenumbers. The phenoxide groups of lignin are known

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to be neutralized by protonation, which is expected to occur in presence of water.³⁴ Moreover, 270 acetone and water are known to compete and adsorb onto $TiO₂ (100)$ sites via oxygen (H-"O"-H 271 and $H_3C-C(=(C^{\prime\prime})^3-CH_3)^{33}$ Therefore, two way interactions are envisaged to occur between lignin 272 and polar solvents, and between $TiO₂$ and polar solvents. These interactions might result in 273 association of lignin with $TiO₂$ mediated by the solvent molecules.

274 SEM images of lignin and ball milled mixtures are shown in Figure 3. It is observed that 275 the surface of lignin (Figure 3(a)) is non-uniform with micron sized structures. No significant 276 change in surface morphology was observed in the dry milled mixture. However, the particle size 277 and surface morphology of the lignin-TiO₂ mixtures display interesting changes after wet 278 milling. For WMH mixture, the size distribution of lignin-TiO₂ clusters is uniform (Figure 3(b)), 279 while the formation of large sized lignin-TiO₂ aggregates are observed in WMA mixture (Figure 280 $3(c)$). The morphology of WMW sample in Figure 3(d) showed a smoother surface with 281 distribution of agglomerated particles. Owing to strong hydrophobicity, aggregated islands of 282 Iignin molecules could be observed when ball milled with water.³¹ The EDS data was analyzed at 283 different spatial locations of the samples to evaluate the percent incorporation of $TiO₂$ in the 284 surface of lignin (Table S1 in Supplementary Data). It is evident that the incorporation of $TiO₂$ 285 on the surface of the mixtures is 14-18 wt.% of Ti (25-31 wt.% of TiO₂). As EDS technique 286 probes only the sample surface, the rest of $TiO₂$ (19-25 wt.%) is obviously present within the 287 lignin matrix. Figure S4 (in Supplementary Data) depicts the UV-visible spectra of the lignin-288 TiO2 mixtures. A distinct peak at 280 nm for the mixtures signifies the phenolic groups from 289 lignin. The absorption band edges for the various mixtures were 380 nm for WMA, 420 nm for 290 WMW, 436 nm for Aeroxide $TiO₂$, and 475 for DM. WMH mixture exhibited a very broad band 291 without a specific absorption band edge.

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292 Photoluminescence (PL) technique is used to understand the surface processes involving 293 charge carriers, and to evaluate the efficiency of charge carrier trapping, migration and transfer 294 between composite materials.^{40,41} Figure 4 depicts the PL emission spectra of TiO₂, dry milled 295 and wet milled lignin-TiO₂ mixtures when excited at 257 nm. The major emission peaks at 410 296 and 483 nm can be attributed to free exciton emission of $TiO₂$ and $Ti⁴⁺$ -OH, respectively.⁴⁰ The 297 PL intensity for the ball milled mixtures follows the order: WMH > DM > WMA \approx WMW. 298 While the reduction in PL intensities of the ball milled lignin-TiO₂ mixtures can be attributed to 299 the low amount of $TiO₂$ in the samples and sample heterogeneity, these differences can also be 300 attributed to the variation in electron transfer from the excited state of $TiO₂$ to the new levels or 301 defects introduced by lignin. Importantly, the same trend in PL intensity was observed with 302 samples chosen from different spatial locations of the mixtures. The interactions depend on how 303 well $TiO₂$ and lignin are mixed. The low intensity or high extent of quenching of fluorescence 304 observed with WMA and WMW mixtures is an indication that the probability of electron transfer 305 to lignin is more, which might lead to a lower recombination of charge carriers. Using quantum 306 chemistry calculations, it is shown that water and acetone greatly modify the electronic states (HOMO and LUMO) and band gap associated with α -O-4 and β-β bonds of lignin.³⁵ For 308 example, the valence band and conduction band edges of $TiO₂$ are -7.46 eV (vs vacuum) and -4.26 eV, respectively,⁴² and the HOMO and LUMO states of α-O-4 of lignin in water solvent are 310 -5.076 eV and -1.714 eV, respectively.³⁵ This shows that the electron transfer can occur from 311 conduction band of $TiO₂$ to HOMO of lignin during excitation. This trapped electron can initiate 312 reactions in lignin. Importantly, the HOMO and LUMO states are said to vary in presence of 313 different solvents. This supports the low PL intensity observed with WMA and WMW mixtures. 314 However, when $lignin-TiO₂ mixture$ is ball milled in the absence of solvent or in presence of a

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315 non-polar solvent like hexane, the recombination of charge carriers may be high, and this can 316 lead to a low photocatalytic activity.

317 The thermal stability of the mixtures was evaluated using TGA. Figure 5 depicts the mass 318 loss and differential mass loss profiles of lignin, dry milled and wet milled mixtures of lignin and 319 TiO₂. It is evident that the sample mass remaining at the final temperature, 900 °C, is 320 significantly more for the mixtures, which is attributed to the presence of $TiO₂$. The mass loss 321 profiles of WMW and WMA mixtures are less steep in the temperature range of 200-400 $^{\circ}$ C, 322 which signifies the slow rate of decomposition. The absence of shoulders at 200 and 250 \degree C in 323 the differential mass loss profile was also evident for the WMW mixture. The onset degradation 324 temperature (T_{onset}) follows the trend: WMW (235.5 °C) > WMA (213 °C) > lignin (203.2 °C) > 325 DM, WMH (199.3 $^{\circ}$ C). This shows that the WMW and WMA mixtures are more stable than the 326 others, which is indirect evidence that demonstrates the probable interactions between lignin and 327 TiO₂ in mixtures prepared using polar solvents. This also stands as a supporting analysis for the 328 claims made via XRD and PL studies.

329 **3.3. Production of Phenolics During Dark Mixing**

330 Figure 6 depicts the concentration profiles of the phenolic compounds produced during 331 dark mixing and UV illumination under different conditions. It is observed that within 3 h of 332 stirring the lignin-TiO₂ mixtures in the dark, a constant production of phenolics was observed. 333 This is attributed to the dissolution of low molecular weight fragments such as monomers and 334 dimers that are inherently present in the lignin sample or those produced by ball milling. The concentration (in mg L^{-1}) of phenolics produced at the end of 3 h of dark stirring for various 336 mixtures in aqueous medium follows the trend: 268 (WMW) > 133 (WMH) > 124 (DM) > 117

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337 (ball milled lignin) > 88 (physical mixture of lignin and $TiO₂$) \approx 88 (only lignin) > 64 (WMA). 338 With 1 g L⁻¹ and 4 g L⁻¹ of WMW, 220 and 484 mg L⁻¹ of phenolics were produced, respectively, 339 which is expected. A similar trend is also observed when only lignin (in the absence of $TiO₂$) was ball milled in the absence and presence of different solvents (Figure 7). This shows that ball milling results in the production of more oligomers of lignin that are easily soluble in aqueous medium. The use of acetone as the solvent results in lower production of phenolics during dark mixing. It is important to note that nearly 30% mass loss of lignin was observed when wet milled with acetone. This shows that the use of acetone results in the loss of a majority of the monomeric phenols during the pretreatment step. This means that the dried mixture after pretreatment predominantly contains higher oligomers and long chains of lignin attached to TiO2. Figure S5 (in Supplementary Data) depicts the particle size distribution of as received lignin and lignin ball milled for 6 h. It is evident that ball milling results in the broadening of lignin particle size distribution towards smaller size range. Moreover, a significant decrease in 350 d₅₀ from 22.15 μ m (for as received lignin) to 13.4 μ m (for ball milled lignin) is also observed. This is also supported by the molecular weight distribution of lignin depicted in Figure S6 (in Supplementary Data). It is evident that this variety of lignin inherently contains a large fraction 353 of low molecular weight fragments in the range of $500-2000$ g mol⁻¹, which are easily broken down to phenolics during ball milling process. Depolymerization of lignin is expected to occur during this process by the cleavage of β-aryl ether links.³¹ This substantiates the increase in production of phenolics in the initial 3 h period with ball milled lignin compared to untreated lignin. The results also demonstrate that the presence of water during ball milling is favorable as it partially weakens the linkages in lignin, besides aiding in the generation of more surface 359 hydroxyl moieties associated with $TiO₂$ and lignin.

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360 **3.4. Production of Phenolics During UV Illumination**

Figure 8 depicts the time evolution of UV-visible spectra during dark mixing and 362 photocatalysis experiments for WMW mixture at 2 g L^{-1} concentration. It is evident that the peak corresponding to total phenolics at 280 nm increases both during dark mixing and UV illumination upto 3 hours. During UV illumination period, no appreciable increase in concentration of phenolic compounds in the aqueous phase could be observed with only lignin or 366 a simple physical mixture of lignin with $TiO₂$ (Figure 7). This shows that (i) solid lignin does not 367 photodegrade in the absence of any photocatalyst, and (ii) the mere presence of $TiO₂$ in the suspension along with lignin particles without any intimate contact between the two does not result in the degradation of lignin. The slight decrease in concentration of phenolics in the aqueous phase at long time periods (> 3 h) of UV exposure can be attributed to the degradation of phenolics that were already released during the dark stirring period to the aqueous phase. 372 Photocatalysis of ball milled lignin without $TiO₂$ also exhibits a flat concentration profile of phenolics in the initial 3 h period of UV illumination, and then shows a drop in concentration. 374 This shows that the presence of $TiO₂$ in contact with lignin particles is a must for the production 375 of phenolics under UV illumination, and ball milling of lignin without $TiO₂$ only aids in the production of slightly more amount of phenolics in the dark stirring period.

377 Photocatalysis of DM mixture of lignin and $TiO₂$ results only in a slight increase in the 378 production of phenolics from 124 to 155 mg L^{-1} in the initial 3 h period, which shows that 379 significant contact between $TiO₂$ and lignin is not developed by dry milling (Figure 6). However, 380 significant production of phenolics is observed when the wet milled mixtures are subjected to 381 UV irradiation. The increase in phenolics concentration is from 64 to 143 mg L^{-1} , and 133 to 215 382 $\text{mg } L^{-1}$ for WMA and WMH mixtures, respectively, at the end of 3 h. Thereafter, the phenolics

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383 concentration in aqueous phase decreases owing to their photodegradation. Interestingly, the 384 phenolics concentrations are even higher with WMW mixtures. The increase in concentrations 385 are from 220 to 352 mg L^{-1} , 268 to 464 mg L^{-1} and 484 to 623 mg L^{-1} for WMW mixtures of 386 different concentrations, viz. 1 g L^{-1} , 2 g L^{-1} and 4 g L^{-1} , respectively. The percentage 387 contribution by photocatalysis to the overall production of phenolics is also evaluated using the 388 following expression for different lignin-TiO₂ mixtures.

389 % Contribution by photocatalysis =
$$
\frac{C_{\text{max}} - C_{\text{(after 3 h of dark mixing)}}}{C_{\text{max}}} \times 100
$$
 (1)

 C_{max} corresponds to the maximum concentration of total phenolics produced, which is usually 391 the concentration from third to fifth hour. Table 2 depicts the values of C_{max} and % contribution by photocatalysis for different mixtures including physical mixtures of ball milled lignin with TiO₂ and ball milled lignin+TiO₂. It is evident that the addition of TiO₂ to ball milled lignin during UV irradiation period (i.e. physical mixture) results in lower production of phenolics in 395 aqueous phase compared to that from ball milled lignin+TiO₂ (Figure 7). This substantiates the 396 role played by wet milling in improving the contact between lignin and $TiO₂$, and hence, the electron transfer. Based on the parameter, % contribution by photocatalysis, the mixtures can be 398 ranked as follows: WMA-2 g L⁻¹ (55%) > WMW-2 g L⁻¹ (42%) > WMH-2 g L⁻¹ (38%) ≈WMW-399 1 g L⁻¹ (38%) > WMW-4 g L⁻¹ (22%) \approx DM-2 g L⁻¹ (20%). Even though the maximum concentration of phenolics produced is low with wet milled mixture prepared with acetone, equal contribution from photocatalysis and dark mixing is observed, whereas for high mass concentrations of water based mixtures, more phenolics are formed during dark mixing period compared to photocatalysis. For all the WMW mixtures, the concentration of total phenolics saturated and started to slowly decrease after 4 h of UV illumination. This is also evident from

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405 the UV-visible spectra in Figure 8. While this can be ascribed to the mineralization of the 406 aqueous phase phenolics due to the action of UV radiation and $TiO₂,^{12,30}$ this also shows the 407 suppression of $TiO₂$ activity due to the adsorption of lignin oligomers and intermediates on the 408 TiO₂ active sites. It is important to note that when lignin is completely dissolved in the aqueous 409 medium by the use of alkali, the photocatalytic reaction is kinetically controlled, whereas in our 410 experiments, the photocatalytic reaction is both reaction as well as mass transfer controlled. 411 Lignin decomposition occurs exclusively on the catalyst surface mediated by $TiO₂$. Even though 412 the lignin-TiO₂ solid mixture is continuously stirred during UV illumination, the transfer of 413 phenolic compounds from the solid lignin to aqueous phase is influenced by mass diffusion.

414 It is important to note that the mass ratio of lignin: $TiO₂ (1:1 wt./wt.)$ used in this study is 415 comparable with those employed in existing reports on photocatalytic degradation of lignin (refer 416 Table 1).^{9,16,19,21-23} Figure S7 (in Supplementary Data) depicts the concentration profiles of total 417 phenolics produced when 1:1 and 3:1 wt./wt. WMW mixtures of lignin-TiO₂ were subjected to 418 dark stirring and UV irradiation. During the initial dark mixing phase, slightly more phenolics 419 are produced in the aqueous medium from 3:1 lignin-TiO₂ mixture (307 vs 268 mg L⁻¹), which is 420 due to the high amount of lignin in the mixture that depolymerizes to phenolics after the wet 421 milling process. However, during photocatalysis, high concentration of phenolics is produced 422 from equal composition mixture. The % contribution by photocatalysis was only 15% with 3:1 423 lignin-TiO₂, while it was 42% with 1:1 lignin-TiO₂ mixture. This substantiates that equal 424 composition of lignin-TiO₂ is preferred.

425 The reduction in molecular weight of solid phase WMW mixture was probed using GPC. 426 It is evident from Table 3 that ball milling results in a slight drop in M_w of lignin (1980-1777 g 427 mol⁻¹). A similar magnitude of decrease in M_w is also observed during dark stirring period (1777-

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428 1590 g mol⁻¹). This shows that the monomers/oligomers that are formed during the ball milling **RSC Advances Accepted Manuscript RSC Advances Accepted Manuscript**

429 pretreatment are dissolved in aqueous medium. Nevertheless, UV photocatalysis causes a 430 gradual and significant reduction of M_w of lignin (1590-1040 g mol⁻¹). This is also in line with 431 the high production of phenolics in the aqueous phase from WMW mixture during UV 432 irradiation. From the molecular weight distribution graph in Figure S6 (in Supplementary Data), 433 it is evident that the fraction of high molecular weight lignin decreases, while that of low mass 434 fragments (<200 g mol⁻¹) increases with UV treatment. Such a significant change in M_w was not 435 observed with other ball milled mixtures and physical mixtures of lignin and $TiO₂$. For example, 436 with DM, WMH and WMA mixtures, the decrease in M_w after 6 h of UV treatment was less than 437 \pm 400 g mol⁻¹. From the GPC analysis, it can be concluded that although a significant decrease in 438 M_w of lignin is achieved via UV photocatalysis, a significant fraction in the molecular weight 439 range of 800-3000 g mol⁻¹ is unconverted.

The various reactions taking place during photocatalysis include charge carrier generation (conduction band electrons and valence band holes), generation of active hydroxyl radicals 442 (OH•) via (a) hole pathway involving the reaction of holes with surface OH⁻ groups and water, and (b) electron pathway involving the reaction of electrons with dissolved oxygen, superoxide 444 radicals, and H_2O_2 in a series of steps. ^{12,13,43} The generation of highly reactive OH• radicals can result in the degradation of lignin due to the scission of α-O-4 and β-O-4 bonds. This results in the generation of alkoxy, benzyl and alkyl free radicals that take part in a variety of lignin depolymerization reactions to form low molecular weight phenolics and lignin fragments. The OH• radicals can also directly attack the phenyl rings of lignin to form catechol, resorcinol and 449 hydroquinone.⁴³ Moreover, the H⁺ ions generated (as a by-product of reaction of holes with 450 water) can also react with conduction band electrons to produce more H_{\bullet}^{11} which take part in

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hydrogen abstraction reactions. Effectively, the availability of H• for H-abstraction reactions is greatly improved via photocatalysis. As long chain molecules/oligomers of lignin are insoluble 453 in aqueous medium, they are either in contact with $TiO₂$ or stay in the suspension. The degradation products of lignin, primarily phenolics and dimers, can also block and deactivate the TiO₂ active sites, and lead to the saturation of phenolics production at long time periods. In order to probe the identity of the various products produced during dark mixing and photocatalysis, mass spectrometric characterization of the products was carried out. **3.5. Identification of Products via Mass Spectrometry**

MALDI-TOF mass spectra of the aqueous phase samples from different experiments are shown in Figure 9. As the reported intensities in the graph are normalized with respect to the DHB peak, the various curves can be compared. It is evident that with DM and WMH mixtures, the production of phenolics in the low mass range is insignificant with very low intensities. However, with WMA and WMH mixtures, higher production of lignin monomers and dimers 464 with molecular weights 168, 184, 196, 206, 233, 249, 288, 315, 320, 327, 340 and 358 g mol⁻¹ are observed. Importantly, it can be noticed that a number of peaks are spaced at intervals of c.a. 30 mass units, which signifies the difference between a guaiacol and syringol intermediate (that varies by an -OCH3 group). Lignin monomeric units such as phenyl propane guaiacol (168) and 468 phenyl propane syringol (196) are also observed. Moreover, with increase in photocatalysis time, increase in intensity of the major peaks is also observed for the WMW mixture, which shows the increase in concentration of the phenolic compounds. Figure 10 depicts the GC/MS total ion chromatograms of the DCM extract obtained after 3 h of dark mixing of the WMW mixture, and after 1 h and 3 h of UV treatment. The structure, molecular weight and the typical mass fragments observed for the major phenolic compounds are listed in Table 4. The listed

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compounds are identified with more than 90% confidence based on NIST mass spectral database. It is evident that styrene, vanillin, acetyl vanillin and acetosyringone are the major products obtained after dark stirring, while a number of other compounds are produced after subjecting the mixture to UV irradiation. For example, dialkyl phthalates are reported to be the major products during lignin depolymerization via hydrogen-free hydrogenolysis in presence of 479 hydrogen donor solvents and metallic catalysts like noble metal-doped Al-SBA-15.⁴⁴ The formation of diisobutyl phthalate in aqueous phase photocatalysis shows that mild hydrogenolysis of lignin might occur in the case of WMW mixture. The molecular weight of a number of these compounds also matches with the MALDI-TOF mass spectra in Figure 9.

Figure 11 shows the variation of concentration of the major compounds present in DCM extract after different treatment durations. It is interesting to note that styrene concentration drops to zero after the mixture is irradiated, while ethyl benzene production increases drastically. Maximum production of ethyl benzene is observed at 1 h of irradiation. Vanillin and acetyl vanillin production decreases after UV-irradiation, and an increase in production of acetovanillone is observed. Syringaldehyde and acetosyringone production continuously increases with irradiation time. The overall mass conversion of lignin to organic compounds in the total time period including dark stirring and photocatalysis is found to be in the range of 17- 491 20 wt.% for $TiO₂$ -lignin mixtures obtained by wet milling in presence of water. In order to understand the structure of lignin that is used in the experiments, and the similarity of the products obtained via photocatalysis versus fast pyrolysis, which is a reasonably well established technique, analytical pyrolysis of the lignin sample was performed in a micropyrolyzer coupled with GC/MS (Py-GC/MS). Table S2 (in Supplementary Data) depicts the typical products obtained and the relative area% contributions. Based on the products obtained from Py-GC/MS,

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the contribution of coniferyl, sinapyl and coumaryl units to the total phenolics present in lignin is 498 found to be 56%, 28% and 16%, respectively. This is also in agreement with a literature report.²⁸ This shows that ALM lignin contains a significant fraction of sinapyl units, which is observed as syringol derivatives after photocatalytic depolymerization. It is evident that the major products formed during photocatalysis, including acetosyringone, acetovanillone, syringaldehyde and vanillin, are also observed in fast pyrolysis. This shows that the mechanism of transformation of lignin and its oligomers to phenolic compounds in both these processes follows a free radical 504 pathway involving the cleavage of alkyl-aryl ether (α -O-4 and β-O-4), aryl-aryl ether (4-O-5) and aryl-aryl (5-5) bonds, hydrogen abstraction and β-scission reactions. While thermal energy is 506 the main driving force for these bond fission reactions in thermolysis, UV radiation and the \cdot OH radicals initiate these reactions in photocatalysis. Importantly, hydroxyl radicals can react with benzene ring via electrophilic addition and cause the cleavage of α-O-4 or β-O-4 ether links in 509 $\frac{15}{15}$ As a result, OH group substitution is achieved. The formation of dimethoxy 510 benzoquinone was earlier proposed to occur by the action of singlet oxygen $(^1O_2)$ or superoxide 511 radicals $(O_2 \cdot^-)$ on the phenolic ring, which results in the cleavage of the bond between aromatic 512 and the α -carbon.¹⁵ Dimethoxy benzoquinone is also formed during ionic liquid assisted depolymerization of lignin. The decrease in concentration of acetyl vanillin on UV irradiation shows that deacetylation reaction also occurs during photocatalysis. Moreover, demethylation, 515 dealkoxylation and hydroxylation are some other reactions mediated by hydroxyl radicals.¹⁵ The first two reactions convert methoxy substituents to hydroxy substituents. Thus, sinapyl derivatives can be effectively converted to guaiacol derivatives, and guaiacol derivatives can be converted to simple phenols. On long duration exposure to UV radiation, simple phenolics and

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519 guaiacols can be converted to ring opened fragments such as C4-C6 linear carboxylic acids.^{15,45} 520 These are finally mineralized to $CO₂$ and $H₂O$.

 While wet ball milling of lignin-TiO₂ mixtures followed by photocatalysis is demonstrated to be a promising method for the production of phenolics, the saturation of catalytic activity after 6 h of UV exposure presents a reasonable challenge to adopt this method for the treatment of lignin in a practical setting. One way to recover the catalyst is to first 525 separate the solid phase lignin-TiO₂ mixture after photocatalysis, and then dissolve it in alkaline medium. This will solubilize lignin and adsorbed intermediates, and will free the active sites. 527 Thus, the degradation of lignin-TiO₂ suspension can be an initial step for alkaline degradation of lignin. Importantly, this technique can be used to initially reduce the concentration of lignin, especially from paper and pulp industry reject, to a reasonable value before subjecting it to other processing techniques.

4. Conclusions

532 In this work, we have shown that wet milling of lignin and $TiO₂$ using polar solvents improves the contact between lignin and $TiO₂$, thus facilitating lignin depolymerization to produce valuable phenolic and aromatic compounds. For the first time, photocatalysis of lignin is 535 carried out by suspending the lignin-TiO₂ mixtures in water, without completely dissolving lignin in alkaline medium. The change in intensity of emission lines in photoluminescence spectra, appearance of carbon peak in XRD pattern and high onset degradation temperature in 538 TGA for WMW and WMA indicate that interactions may be involved when lignin and $TiO₂$ are wet milled in presence of polar solvents. Wet milling in presence of water is shown to depolymerize lignin besides causing particle size reduction and change in particle size

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distribution. The extent of depolymerization caused by wet milling was evident from the formation of water soluble phenolic compounds during the dark stirring period prior to photocatalysis. Based on the concentration of phenolics produced during the dark stirring period, 544 various ball milled lignin-TiO₂ mixtures can be ranked as follows: wet milled (water) > wet milled (hexane) > dry milled > wet milled (acetone). Compared to the dry milled and physical mixtures, photocatalysis of wet milled mixtures resulted in high production of phenolics.

Based on the total phenolics produced during photocatalysis, the mixtures can be ranked as follows: wet milled (acetone) > wet milled (water) > wet milled (hexane) > dry milled > physical mixture. The major organic compounds identified via MALDI-TOF/MS and GC/MS include ethyl benzene, acetovanillone, acetosyringone, syringaldehyde, styrene, acetyl vanillin, vanillin, 2,6-dimethoxy benzoquinone and diisobutyl phthalate. Importantly, the production of the first four compounds in the above list increased with UV irradiation time. Moreover, a significant reduction in molecular weight of lignin was observed during photocatalysis of wet milled (water) mixture. The promising results of this study demonstrate that value added phenolic compounds can be extracted from lignin via photocatalysis. The key challenges are to improve (a) the yield 556 and selectivity of phenolics by enhancing the contact between lignin and $TiO₂$, and (b) life time of the catalyst by avoiding deactivation. More detailed studies are required to unravel the mechanism of photocatalytic production of these organic compounds from lignin extracted from different biomass feedstocks.

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Acknowledgements

The corresponding author thanks Chevron Inc. for funding the project via alumni grant. National Center for Combustion Research and Development (NCCRD) is sponsored by Department of Science and Technology (DST), India. The authors thank the anonymous reviewers for the constructive suggestions to improve the manuscript.

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- 719 mixing and photocatalysis, and % contribution by photocatalysis for physical and ball milled
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720 mixtures of lignin with TiO₂.

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733 Table 3. Variation of weight average and number average molecular weights of wet milled

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766 Figure 2. XRD patterns of $TiO₂$, lignin and different wet milled mixtures of the two.

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781 Figure 4. Photoluminescence spectra of $TiO₂$ and wet ball milled mixtures of lignin and $TiO₂$.

793 Figure 6. Concentration profiles of phenolic compounds formed during dark mixing and photocatalysis of various lignin-TiO₂ mixtures of 2 g L⁻¹ concentration in aqueous medium. 795

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803 Figure 7. Production of phenolics during dark mixing and UV irradiation from 2 $g L^{-1}$ of physical 804 mixtures of lignin and $TiO₂$. Importantly, $TiO₂$ was not mixed with lignin during ball milling, but 805 added only during the photocatalysis experiments.

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826 Figure 10. GC/MS total ion chromatograms of DCM extract obtained from photocatalysis of 827 WMW liginin-TiO₂ mixture at different durations. The peaks correspond to 1– ethyl benzene, 2– 828 acetovanillone, 3 –2,6-dimethoxybenzoquinone, 4 – syringaldehyde, 5 – diisobutyl phthalate, 6 – 829 styrene, 7- vanillin, 8 – acetyl vanillin, 9 – acetosyringone.

831 Figure 11. Variation of concentration (in mg g_{lignin}^{-1}) of the major products obtained in the DCM 832 extract from photocatalysis of WMW lignin-TiO₂ mixture.

Production of Phenolics via Photocatalysis of Ball Milled Lignin-TiO² Mixtures in Aqueous Suspension

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Graphical and Textual Abstract

This work demonstrates the production of value added phenolics and aromatics via UV photocatalysis of lignin-TiO2 mixtures prepared by wet ball milling using different solvents.

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