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Conversion of SPORL pretreated Douglas fir forest residues into microbial lipids with oleaginous yeasts

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Abstract

Douglas fir is the dominant commercial tree grown in the United States. In this study Douglas fir residue was converted to single cell oils (SCO) using oleaginous yeasts. Monosaccharides were extracted from the woody biomass by pretreating with sulfite and dilute sulfuric acid (SPORL process) and hydrolyzing using commercial cellulases. A new SPORL process that uses pH profiling was compared to the traditional method. Both processes yielded 77 g/l concentration of sugars. The SPORL generated sugars were evaluated for conversion to SCO using yeasts *Lipomyces tetrasporus* and *Yarrowia lipolytica* in batch cultures containing SPORL sugars diluted to 60% v/v supplemented with nitrogen at an appropriate C:N ratio of 75:1. An extended lag phase was observed for both yeasts, which was eliminated by including SPORL sugars diluted to 40% v/v in the seed cultures for acclimation. The maximum lipid concentrations were 3.18 – 5.13 g/l. This corresponded to yields of 0.06 – 0.17 g lipid per g beginning sugars and productivities of 0.99 – 1.42 g/l/d. Lipid concentrations for *L. tetrasporus* were further amplified by using two schemes incorporating multiple batch cultures. In the first, the yeast was grown in 40% v/v SPORL sugars and the entire contents of this fermentation transferred to undiluted SPORL sugars not supplemented with nitrogen. The result was the production of 13.4 g/l lipids within 3 days. This corresponds to a yield of 0.174 g/g and a productivity of 4.47 g/l/d. The second approach was to thrice transfer the yeast cells in 60% v/v SPORL sugars supplemented with limited nitrogen to promote further lipid formation. The end result was 18.1 g/l of lipids with a process yield and productivity of 0.104 g/g and 1.29 g/l/d, respectively. This is the first report that the authors are aware of demonstrating the feasibility of converting unconditioned woody biomass to single cell oil.

1. Introduction

Biodiesel production in the United States is 1.6 billion gallons¹ and accounts for approximately 4% of the on road diesel market. Greater production of biodiesel is favored to combat green house gas emissions and to promote rural development, but will likely require additional sources of triglycerides (TAGS) beyond oil seeds. For example, 20% of the soybean oil domestically produced is used for biodiesel². Biodiesel is produced by transesterification of TAGs with (primarily) methanol to form fatty acid methyl esters (FAMES; for a general review³). Other possible routes to synthesize renewable lipids are single cell oils (SCO) produced using photosynthetic algae and oleaginous microorganisms grown on sugars^{4,5}. The later are promising because sugars can be extracted from lignocellulosic feedstocks, including agricultural waste, forest and pulping wastes, and dedicated energy crops. Over 1 billion tons of lignocellulose could be made available each year, without impinging on farmland⁶. Substitution of petroleum with lignocellulose as a resource for biodiesel production would lower green house gas emissions and promote other environmental goals. Furthermore SCO might also be used to produce food and/or chemicals^{4,7}.

SCO can be produced from sugars using bacteria, filamentous fungi, micro-algae, or yeasts⁵. Most heterotrophic SCO research has focused on yeasts because of their ability to grow to high cell densities in bioreactors, achieve high lipid titers, and suitability for industrial production^{4,8}. Yeasts are classified as oleaginous if they accumulate at least 20% of their cell mass as lipids⁹. The lipids are in the form of TAGs and stored as intracellular globules and have a similar profiling to that of vegetable oils⁸. There are over 70 oleaginous yeast species identified or approximately 4% of the known 1600 yeast species⁸. Yeasts accumulate lipids in response to excess carbohydrate and in response to a scarcity of nitrogen or other macro-element (i.e. P or S)¹⁰⁻¹². Lipid production is commonly induced by adjusting the molecular C:N ratio. While the biochemistry and regulation of lipid over-production is thought to be conserved, lipid titers can vary significantly with hyper producers accumulating as much as 70% of their cell mass as lipids¹³⁻¹⁵. Earlier, we conducted a screen of the *Lipomyces* clade on synthetic media and identified hyper lipid producing *Lipomyces tetrasporus* strains, of which one was observed to accumulate 59% w/w of its cell mass as lipids and grow on sugar mixtures similar to those present in biomass hydrolysates¹⁶. This strain also was observed to outperform other commonly

used oleaginous yeast strains including *Cryptococcus aerius*, *Lipomyces starkeyi*, and *Rhodospiridium toruloides* on synthetic media and on herbaceous hydrolysates¹⁷.

Prior studies have demonstrated the biological feasibility of converting various agricultural residues to lipids using yeasts¹⁸⁻²⁰. However, the conversion of wood waste has not been explored with one exception²¹. This is a notable omission in as far as it is estimated that 45 million tons/year of woody biomass are available from the United States forestry and forest products industry⁶. Herein plantation Douglas fir harvesting forest residue is explored as a potential feedstock for lipid production. Douglas fir (*Pseudotsuga menziesii*) is a softwood tree species that grows in the Pacific Northwest. It is the most commercially important domestic lumber tree with approximately 34.6 million managed acres²². Conversion of Douglas fir biomass to lipids is a multistep process that includes: pretreatment, enzymatic saccharification of pretreated wood to sugars, conversion of sugars to lipid production, and lipid recovery from the yeast.

Lignocelluloses, particularly wood, are highly recalcitrant to enzymatic extraction of sugars²³. Efficient enzymatic conversion to sugars is dependent upon effective pretreatment of the biomass with low production of microbial inhibitors^{24,25}. There have been several methods researched for extracting sugars from woody biomass, but few have been demonstrated at pilot scale with robust performance. One that has and shows promise for commercialization is the SPORL (Sulfite Pretreatment to Overcome Recalcitrance of Lignocellulose) pretreatment²⁶. SPORL has proven highly effective for conversion of softwoods into ethanol with *Saccharomyces cerevisiae* using simultaneous saccharification and fermentations (SSF)²⁶. The SPORL pretreatment consists of treating wood with sulfite and acid catalyst in a pulping digester followed by disc milling to reduce size^{25,27}. The SPORL process is superior to other pretreatment procedures because it results in high sugar yields, requires low energy inputs, and is easily scalable since it uses pulping equipment²⁸.

Recently, a variation of the SPORL process was invented where the acid catalyst is added midway through the pretreatment after the temperature is lowered. This new “pH-profiling” method gave higher ethanol titers in comparison to the normal method, presumably because the hydrolysate was less inhibitory²⁶. Hydrolysates from acid pretreatments often require additional conditioning (e.g. over-liming or ammonization), beyond neutralization, prior to fermentation to

neutralize the inhibitors^{29,30}. A notable feature of the pH-profiling SPORL pretreatment is that the unconditioned hydrolysate was fermented to ethanol. This is desirable because conditioning adds further processing costs.

Here the SPORL pretreatment is used as a platform for possible biodiesel production by converting SPORL generated sugars to lipids using oleaginous yeasts. The two yeasts evaluated are *Lipomyces tetrasporus* and *Yarrowia lipolytica*. Both strains were observed to be robust for growth and lipid production hydrolysates prepared from switchgrass¹⁷. Lipid titers for *L. tetrasporus* are dramatically improved by implementing a repeated batch culture strategy first developed using herbaceous hydrolysates¹⁷.

2. Materials and Methods

All chemicals and media ingredients were sourced from Fisher Scientific and were laboratory grade. The yeast strains *L. tetrasporus* NRRL Y-11562 and *Y. lipolytica* NRRL YB-437 were provided by the ARS Culture Collection (Peoria, IL). Cellulases were donated by Novozymes L/S.

2.1 Preparation of sugar streams from SPORL

Douglas fir forest residue was sourced from a regeneration harvest in a Douglas fir stand on Mosby Creek owned by Weyerhaeuser Company in Lane County, Oregon, USA and was pretreated using the normal SPORL and the recently modified pH-profiling SPORL methods²⁶. Both treatments were conducted using 23 L digesters at 165°C for 75 min and utilized 12% sodium bisulfite and 2.21% sulfuric acid (based on 2 kg OD chip weight). The difference between the 2 SPORL methods is in the timing of the sulfuric acid application. The reader is directed to prior publications for details²⁸.

After the SPORL treatment, the pretreated materials were recovered in two streams: the unwashed wet solids that contain all water insoluble wood components and approximately 65% of the pretreatment spent liquor and the freely drainable spent liquor. The wet solids remained in the form of wood chips and were size reduced together with the free drainable spent liquor using a laboratory disk mill (Andritz Sprout-Bauer Atmospheric Refiner, Springfield, OH). The materials were processed at a plate gap of 3.2 mm followed by a second pass at a gap of 0.8 mm.

No dilution water was used in refining. The refined material was then separated into a wet cake and spent liquor by pressing in a canvas bag.

2.2 Enzymatic hydrolysis of SPORL pretreated whole slurry

For preparation of whole SPORL enzymatic hydrolyzate (pH-profiling sample), 20.93g of the wet cake (34.47% dry weight) was remixed with 26.57g of the SPORL liquor (pH 1.8), which is a mixing ratio of 0.88 g spent liquor/g wet SPORL solid cake. This ratio is the same measured following the earlier separation of the two components using the canvas bag and was chosen to preserve the water balance. The mixing ratio for the normal SPORL samples was 1.27 g liquor/g wet cake. The pH was adjusted to 6.0 with 10% Ca(OH)₂ and 5 ml of deionized (DI) H₂O added to facilitate mixing. An elevated pH of 6.0 was used to reduce nonproductive cellulase binding to enhance enzymatic saccharification^{31,32}. Commercial cellulases (CTec3, Novozymes, 217 FPU/ml) were added (8.55 FPU/g dry solids or 5.5 µl cellulase/ml of whole slurry). The biomass slurry was hydrolyzed at 50°C for 48 hr and mixed at 150 rpm. The solids were removed by centrifugation (10,000 rcf for 15min). The supernatant was sterilized by passing through a 0.2µm membrane filter and analyzed for chemical composition.

2.3 Batch Flask Cultivations

Lipid production flask cultures were cultivated in 125 ml baffled Erlenmeyer flasks capped with stainless steel Morton™ closures (Bellco, Vineland, NJ) and filled with 20 ml of whole SPORL enzymatic hydrolysate (SPORL-EH) diluted with DI H₂O (prepared as indicated in the text) and supplemented with medium A (per L: 1.5 g yeast extract, 0.5 g NH₄Cl, 7 g KH₂PO₄, 5 g Na₂HPO₄*12H₂O, 1.5gMgSO₄*7H₂O, 80 mg FeCl₃*6H₂O, 10 mg ZnSO₄*7H₂O, 100 mg CaCl₂*2H₂O, 100 µg MnSO₄*5H₂O, 100 µg CuSO₄*5H₂O, 100 µg Co(NO₃)₂*6H₂O; prepared as concentrated stock solution, adjusted to pH 5.5, and filter sterilized; adapted from³³).

Cultures were inoculated to 2.0 OD₆₀₀. Flasks were incubated at 25°C and mixed at 200 rpm in a refrigerated shaker (Innova 4230, New Brunswick, NJ). Lipid production cultures were sampled every 1–2 days by withdrawing 1 ml of broth to a micro-centrifuge tube. Samples were clarified by centrifugation (10 min, 16,000x g). The liquid was transferred to a HPLC target vial and analyzed immediately for chemical composition. The pellet was washed with 1 ml of dH₂O

and re-suspended to 1 ml and stored at -20°C for lipid analysis. All flask culture experiments were performed in triplicate.

Pre-cultures were prepared by transferring a single colony grown on solid YP2D (10 g/l yeast extract, 20 g/l peptone, and 20 g/l glucose supplemented with 15 g/l Bacto agar) to a 250 ml Erlenmeyer flask filled with either 50 ml of YP2D or (acclimated with) 40% v/v SPORL-EH supplemented with YP and incubated (200 rpm, 25°C) for 48 hr. Yeast cells were harvested, centrifuged, and re-suspended in diluent (per liter: 8.5 g NaCl, 0.3 g KH₂PO₄, 0.6 g Na₂HPO₄, 0.4 g peptone) to an OD₆₀₀ of 50. Each hydrolysate culture was inoculated with 0.8 ml of cells.

MIC experiment: Cultures used to determine the minimum inhibitor concentration (MIC) were prepared using SPORL spent liquors only (e.g. without added solids cake) and treated in a similar manner. The pH of MIC cultures was adjusted to 6 with Ca(OH)₂. The resulting gypsum was removed by centrifugation (10,000 xg for 15 min). The supernatant was sterilized using a 0.2µm membrane sterile filter unit. The MIC experiment was the only experiment that did not use SPORL-EH supplemented with medium A. The seed culture in this case was grown on YP2D.

Acclimation experiment: As stated above, the yeasts were acclimated by growing the seed on 40%v/v SPORL-EH supplemented with YP. This percentage was determined by culturing the seed on YP2D supplemented with 0 – 40% SPORL-EH and inoculating into a 60%v/v SPORL-EH.

2.4 Sequential Batch Cultures

Two-stage cultures

The pre-seed culture was grown YP2D for 24 h and transferred to either YP2D (unacclimated) or 40% v/v pH-profiling SPORL-EH supplemented with yeast extract (10 g/l) and peptone (20 g/l) (acclimated) and grown for two days. The yeasts were harvested by centrifugation (10 min, 16,000x g), re-suspended in diluents, concentrated to OD₆₀₀ of 50, and transferred to 100%/v/v pH-profiling SPORL-EH *without* medium supplementation for “fattening”. The initial OD₆₀₀ was 10.0. Cultures were sampled daily for sugars, acetate, OD₆₀₀, and lipids.

Three-stage sequential cultures

L. tetrasporus cells were sequentially transferred in pH-profiling dilute SPORL-EH to enrich for lipid formation. The pre-seed culture was grown YP2D for 24 h and transferred to 40% v/v SPORL-EH supplemented with yeast extract (10 g/l) and peptone (20 g/l) and grown for two days. The yeasts were harvested by centrifugation (10 min, 16,000x g), re-suspended in diluents, concentrated to OD₆₀₀ of 50, and transferred to diluted SPORL-EH (60%v/v) supplemented with either water or 0.5x or 1.0 x medium A. The initial OD₆₀₀ was 2.0. The cells were harvested by centrifugation when all of the glucose and most of the xylose were consumed and transferred to a fresh culture of 60%v/v pH-profiling SPORL-EH supplemented as described above. This process was repeated once more. Cultures were sampled daily for sugars, acetate, OD₆₀₀, and lipids.

2.5 Analytical methods

Biomass was measured as the optical density at 600 nm using a DU640 spectrophotometer (Beckman, Fullerton, CA). Dry weights used for determining the % lipids were measured directly by removing 1 ml of cell broth, washing once with dH₂O, and drying the washed cells at 50°C for 18 hr prior to weighing. For the single-stage batch experiments, the cell dry weights were calculated based upon the correlation of OD₆₀₀ to biomass for *Y. lipolytica* (0.556±0.14, n = 15) and *L. tetrasporus* (0.786±0.11, n = 15) because cell dry weights were not always available for time points that corresponded to that of the maximum lipid concentrations. Cell dry weights used for the correlation were measured from the same cultures at adjacent time points.

Lipids were measured using the phosphoric vanillin lipid (PVL) assay¹⁶, which was modified from³⁴. The yeast suspension (50 µl) was mixed with 1 ml of 18 M sulfuric acid in 13 x 100 mm Pyrex™ test tube (Corning # 9826-13) and heated at 100°C for 10 min in a dry heating bath. The solution was cooled for 5 min in an ambient water bath. Next, 2.5 ml of the vanillin-phosphoric acid was added and reacted for 15 min at 37°C. The test tube was cooled for 10 min in an ambient water bath. The absorbance of each reaction was read at 530 nm against a reference sample prepared with 50 µl water.

Absorbance measurements at 530 nm were converted to lipid concentration using a calibration curve prepared using refined corn oil. Corn oil (100 mg) was dissolved in 2:1 chloroform:methanol (20 ml) and the stock solution was loaded into the assay mixture at 50 – 250 μg . A standard curve was run with each set. The vanillin-phosphoric acid solution was prepared the same day by dissolving 0.12 g vanillin in 20 ml dH_2O , and adjusting the volume to 100 ml with 85% o-phosphoric acid.

The PVL assay for quantitative measurement of lipids for these yeasts was validated in a prior study¹⁶. Lipids were isolated from *L. tetrasporus* and run side-by-side with corn oil and the outcome was that both had similar responses. Next, the PVL assay was calibrated on varying concentrations of *Y. lipolytica* and *L. tetrasporus* whole cells. The responses were perfectly correlated (e.g. 1.00) with the amounts of added yeast lipids and the slopes were similar for *Y. lipolytica* and *L. tetrasporus* compared to corn oil (0.93 and 0.99, respectively). Finally, the PVL assay was run on refined olive and corn oils side-by-side and the assay responses were within 3% agreement (data not shown).

Fatty acid composition was determined on extracted lipids by gas chromatography as previously described³⁵. Briefly, conversion to FAMES (via methanolic KOH) was performed and analyzed using a PerkinElmer (Waltham, MA) Clarus 580 GC equipped with an FID and an HP88 capillary column (30 m \times 0.25 mm i.d., 0.20 μm film thickness). Carrier gas was H_2 with a flow rate of 15.0 mL/min. The temperature program was: hold at 100°C for 5 min, ramp from 100°C to 220°C at 10°C/min and hold at 220°C for 15 min. Injection volume was 1.0 μL with a split ratio of 10.0:1. The concentration of sample in hexane was approximately 20 mg/mL. The injector and detector temperatures were 240 °C and 280°C, respectively. FAME peaks were identified by comparison to reference standards (>99%; Nu-Chek Prep, Inc., Elysian, MN).

Sugar, furans, and acetic acid concentrations were measured in culture broths using a SpectraSystemTM liquid chromatography system (Thermo Electron Corporation, CA) equipped with an automatic sampler, column heater, isocratic pump, refractive index detector, and computer based integrator running Chromquest ver. 2.5 (Thermo Electron Corporation, CA). Samples (20 μl) were injected onto a organic acid analysis column (Aminex HPX-87H Column, 300 \times 7.8 mm, Bio Rad Laboratories, Inc., Hercules, CA) and eluted with 5 mM sulfuric acid at 0.6 ml/min and 65°C as previously described. The non-glucose sugars co-elute on the organic

column. For the one-stage batch cultures, individual sugars were resolved using an analytical sugar column (Aminex HPX-87P Column, 300×7.8 mm, Bio Rad Laboratories, Inc., Hercules, CA) with samples eluted with water at 0.6 ml/min and 65°C.

2.6 Calculations

Process lipid yields were calculated by dividing the maximum lipid concentrations (g/l) by the total amount of beginning sugars added to the culture. The metabolic lipid yield was calculated by dividing the maximum lipid concentrations (g/l) by the amount of consumed sugars (g/l) up to that time point. The % metabolic yield was calculated by dividing the metabolic yield by the theoretical lipid yield for glucose (0.336 g/g) and multiplying by 100. Maximum lipid titers for the single batch cultures were compared using the Duncan's Multiple Range Test ($P < 0.05$) (SigmaStat 3.5, Systat Software, Point Richmond, CA).

3. Results and Discussion

3.1 Minimum Inhibitory Concentration of SPORL spent liquor

Hydrolysates processed from woody biomass are typically observed to inhibit microbial growth²⁹. To determine the inhibitory nature of the SPORL processed wood, *L. tetrasporus* was evaluated for growth on the clarified spent liquors supplemented with medium A. Only the spent liquor was used for this experiment because microbial inhibitors are soluble. Unfortunately, as is often reported for hydrolysates, *L. tetrasporus* did not grow on the undiluted SPORL spent liquor. *L. tetrasporus* was challenged with varying concentrations of the normal SPORL spent liquor to determine the maximum concentration that would support growth. Each culture was grown for 7 days followed by measurement of sugar consumption, disappearance of inhibitors (furans and acetate), and growth as measured by both OD₆₀₀ and lipid production. The maximum concentration for which growth was observed was 60% v/v SPORL spent liquors (**Table 1**). Stalled growth was associated with incomplete disappearance of furfural and HMF.

Table 1. Minimum inhibitor concentration for *L. tetrasporus* grown on clarified normal SPORL spent liquor for 7 days

<u>Dilution</u> %v/v	<u>Sugars</u> ¹	<u>Acetate</u> % Disappearance ²	<u>HMF</u>	<u>Furfural</u>	<u>Biomass</u> OD ₆₀₀	<u>Lipids</u> g/l
10%	100±0	98.7±1.1	100±0	100±0	4.44±0.29	0.54±0.08
20%	91.9±1.0	97.4±0.3	100±0	100±0	6.71±1.2	0.93±0.01
40%	90.8±1.1	97.4±0.3	100±0	100±0	15.3±5.3	2.7±0.4
60%	90.6±0.4	97.2±0.1	100±0	100±0	18.3±1.0	3.9±0.6
80%	1.9±1.8	4.4±1.9	57.2±2.2	55.7±0.0	0.0±0.0	0.0±0.0
100%	0.0±0.0	0.0±0.0	0.0±0.0	22.2±0.0	0.0±0.0	0.0±0.0

¹Composition of undiluted liquor: glucose (8.5 g/l), xylose (5.6 g/l), galactose (4.8 g/l), mannose (18.8 g/l), and arabinose (2.0 g/l), acetate (6.7 g/l), furfural (14.0 mM), HMF (14.3 mM). The sugar liquor was supplemented with basal medium.

²% of initial sugars or compounds that have been consumed or disappeared based upon beginning concentrations.

3.2 Conversion of SPORL enzymatic hydrolysate to lipids in batch cultures

Next the SPORL whole hydrolysates (e.g. with solids intact) were enriched for sugars using commercial cellulases, which are subsequently referred to as SPORL enzymatic hydrolysates (SPORL-EH). The final sugar concentrations were 7.72 and 7.75 %w/v for the normal and pH-profiling SPORL treatments, respectively (**Table 2**). An earlier study reporting on pH-profiling SPORL hydrolysate observed similar sugar and inhibitor concentrations: glucose (58 g/l), mannose (18 g/l), HMF (1 g/l – 7.9 mM), and furfural (0.6 g/l – 6.2 mM)²⁶. Achieving a commercial reasonable sugar concentration (> 70 g/l) with a modest cellulase loading is one favorable attribute of this pretreatment. It has been suggested that the presence of dissolved lignosulfonates, which are generated by adding sulfite, improves cellulase efficiency³⁶,³⁷ – lignosulfonates act as surfactants and addition of surfactants generally improves conversion of cellulose to glucose³⁸. All subsequent experiments used these sugar enriched enzymatically treated SPORL hydrolysates.

Table 2. Composition of SPORL enzymatic hydrolysates

<u>Component</u>	<u>Normal</u>	<u>pH Profile</u>
	(concentration in g/l)	
Glucose	50.1±4.1	50.9±4.1
Galactose	5.5±2.2	5.1±1.9
Mannose	14.9±2.0	15.2±0.3
Xylose	6.7±1.8	6.2±2.0
Acetate	6.1±0.5	5.0±0.1
HMF	1.0±0.1	1.1±0.1
Furfural	0.56±0.06	0.62±0.0
Total Sugars	77.2	77.4

L. tetrasporus and *Y. lipolytica* were evaluated on each SPORL-EH supplemented with medium A in triplicate batch cultures (**Table 3**). *Y. lipolytica* was included because it is a yeast species used for commercial fermentations and the specific strain chosen is exceptionally robust for growth on hydrolysates¹⁷. The SPORL-EHs were diluted to 60%v/v for lipid production as recommended by the prior minimum inhibitory concentration (MIC) experiment. *Y. lipolytica* had a higher yield than *L. tetrasporus* but growth was 2-3x slower. For both yeasts, the lipid cultures were slower for the normal than for the pH profiling SPORL-EH (unacclimated *L. tetrasporus* and *Y. lipolytica*; **Table 3**). Lipid yields for the normal and pH profiling were similar (**Table 3**).

The slow growth for both yeasts observed for normal SPORL-EH resulted from longer lag phases. Extended lag phases are often associated with microbiological inhibitory chemicals that are generated during pretreatment. Typical inhibitors include furfural, HMF, and acetic acid. However, the two hydrolysates had similar amounts of these chemicals (**Table 2**), so, the poor (slow) performances of the normal versus pH-profiling hydrolysate cultures are probably associated with differences in the concentrations or types of phenolic (e.g. lignin derived) inhibitors. A prior study comparing the two SPORL methods for conversion of the same Douglas fir forest residue to ethanol also concluded the pH-profiling was superior²⁶.

Table 3. Production of microbial lipids using 60% v/v SPORL enzymatic hydrolysate in single-stage batch cultures

<u>Yeast</u>	<u>Hydrolysate</u>	<u>Days for Max. Lipids</u>	<u>Maximum Lipids¹ (g/l)</u>	<u>Cell Biomass (g/l)</u>	<u>% Sugars Consumed²</u>	<u>Lipid Content (%w/w)</u>	<u>% Metabolic Yield</u>	<u>Process Lipid Yield (g/g)</u>
<i>Lipomyces tetrasporus.</i>								
Unacclimated	Normal	3-4	3.31±0.23 ^a	14.08±3.02	72.7±9.0	23.9±3.3	24.4±1.9	0.062±0.00
	pH profile	3	3.31±0.34 ^a	15.10±2.86	70.7±9.6	22.4±4.2	37.2±7.2	0.060±0.00
Acclimated	Normal	2-3	3.18±0.12 ^a	11.53±0.68	54.9±9.5	27.7±2.2	33.9±5.6	0.067±0.01
	pH profile	2-3	3.98±0.08 ^a	12.80±1.13	64.4±11.7	31.2±2.4	31.3±5.1	0.080±0.03
	Control ³	2	7.31±1.04 ^b	17.41±1.08	100.0±0.0	41.8±3.4	47.7±6.8	0.17± 0.02
<i>Yarrowia lipolytica</i>								
Unacclimated	Normal	9	4.13±0.46 ^A	10.08±0.09	81.2±0.7	41.0±4.3	33.2±4.0	0.091±0.01
	pH profile	6	3.57±0.17 ^A	12.48±0.15	85.4±1.5	28.6±1.7	27.4±1.1	0.079±0.00
Acclimated	Normal	5	4.94±0.43 ^B	12.69±1.42	71.9±5.9	39.4±6.6	44.3±2.7	0.11± 0.01
	pH profile	5	5.13±0.31 ^B	13.85±0.24	81.5±7.4	37.0±1.6	37.3±4.1	0.10±0.01
	Control ³	4	6.43±0.20 ^C	15.57±0.59	95.5±0.6	41.3±0.2	44.1±1.1	0.15±0.06

¹Differences are significant (P<0.05) for values appearing with different superscripts

²% of total neutral sugars present in the culture consumed at the time of maximum lipid production

³control culture contained synthetic medium with sugar mixture similar to that of the diluted hydrolysate

However, in this prior study, lower furan concentrations were detected in the pH-profiling than normal SPORL hydrolysate. Our results suggest the difference in inhibitory responses might be related to other compounds as well.

3.3 Acclimating inocula for growth on hydrolysate

While both yeasts achieved high lipid yields on the SPORL hydrolysates, they also exhibited long lag phases (e.g. 1 – 3 days). A prolonged lag phase when fermenting hydrolysates is often associated with excess microbial inhibitors. This conclusion was confirmed when *L. tetrasporus* failed to grow on a second batch of pH-profiling SPORL-EH that was diluted to 60% v/v and supplemented with medium A (data not shown). In our experience, inconsistent (ethanol) fermentation results are an indicator of highly inhibitory hydrolysates. Therefore, the SPORL-EHs were unsuitable for *consistent* lipid production even when diluted to 60% v/v.

However, it was undesirable to dilute the SPORL-EHs further because the sugar concentrations would become too dilute. We hypothesized that if the yeast cells were exposed to a limited amount of the hydrolysate during seed propagation, natural genetic diversity within the population and adjustment of cell metabolism would enhance robustness to inhibitors. Accordingly, the seed culture for *L. tetrasporus* was grown in media containing 0 – 40% v/v normal SPORL-EH supplemented with glucose (20 g/l) and YP. The seed cultures were next inoculated into the 60%v/v normal SPORL-EH supplemented with medium A at an OD₆₀₀ of 2.0. Synthetic medium containing the same sugar concentration as the 60% v/v SPORL-EH was included as a control. As predicted, the only yeast culture to grow on 60% v/v diluted SPORL-EH was the inocula grown on 40%v/v SPORL-EH (**Fig. 1**). This yeast culture used nearly all of the sugars (**Table S1**). Notable, results were inconsistent for the yeast seed grown on 20% v/v diluted SPORL-EH. One culture used all the sugars and the other was partially stalled and only consumed ½ of the non-glucose sugars. It was concluded that acclimating the *L. tetrasporus* population by growing the seed in the presence of 40% v/v SPORL-EH is favorable for lipid production on 60% v/v SPORL-EH.

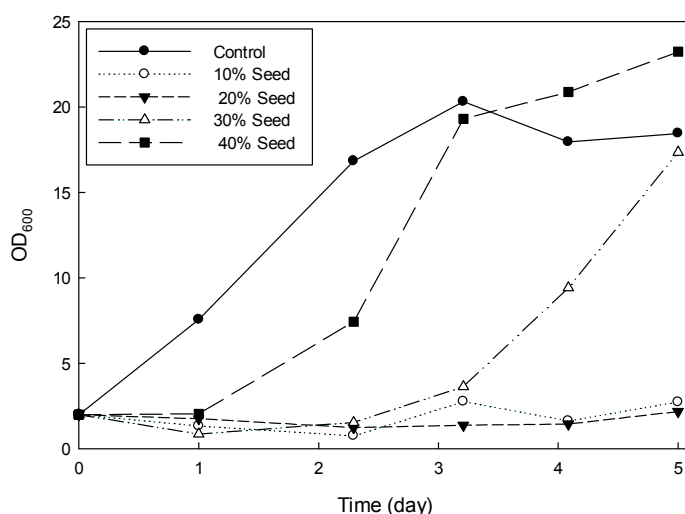


Figure 1. Growth of *L. tetrasporus* on 60%v/v pH profiling SPORL-EH following acclimation on various concentrations of pH-profile SPORL-EH in the seed culture.

Subsequently acclimated *L. tetrasporus* and *Y. lipolytica* seed cultures were evaluated for lipid production on 60% v/v normal and pH profiling SPORL-EH supplemented with medium A. Synthetic medium cultures were also included as controls. Sugar consumption, cell growth, and lipid production were monitored for all three *L. tetrasporus* (**Fig. 2 A, B, C**) and *Y. lipolytica* cultures (**Fig. 3A, B, C**). This strategy was successful in significantly increasing the rates of sugar consumption. The durations of the cultures were reduced by 1-4 days (**Table 3**) and for the preferred pH-profiling SPORL-EH there was no observable lag phase for either yeast (**Fig 2 B and 3 B**). For *Y. lipolytica* cultures, acclimation led to significantly higher ($P < 0.05$) lipid production. For both yeast species, the synthetic medium cultures produced significantly ($P < 0.05$) more lipids than any of the hydrolysate cultures.

Acclimation appeared to largely remove the differences observed earlier between the pH profiling and normal SPORL-EH grown cultures. In the case of *L. tetrasporus*, the pH profiling SPORL-EH culture consumed sugars slightly faster and produced 0.61 g/l more lipids than the normal SPORL-EH culture (**Table 3 and Fig. 2A and 2B**). Both SPORL-EH cultures had lower lipid yields and grew 1 day later than the synthetic control culture (**Fig. 2 C**). For *Y. lipolytica*, lipid yields and took an added day to complete compared to the synthetic medium control (**Fig. 3C**). Over the entire course of the cultures, both yeast nearly exhausted all of the added sugars in

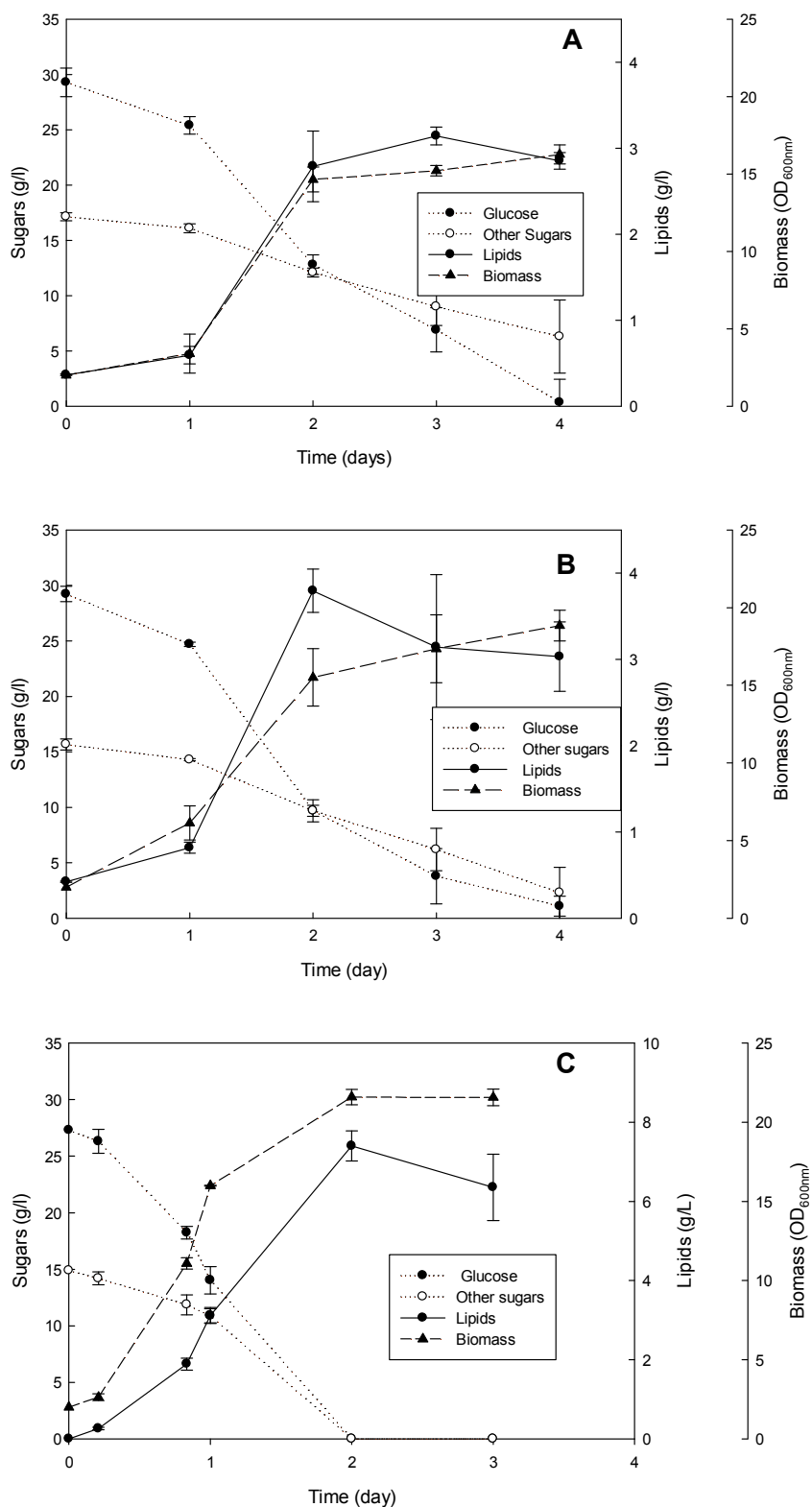


Figure 2. *L. tetrasporus* in batch culture growing on (A) normal SPORL-EH, (B) pH profile SPORL, and (C) synthetic mixed sugars medium. Means and standard deviations are plotted for triplicate runs.

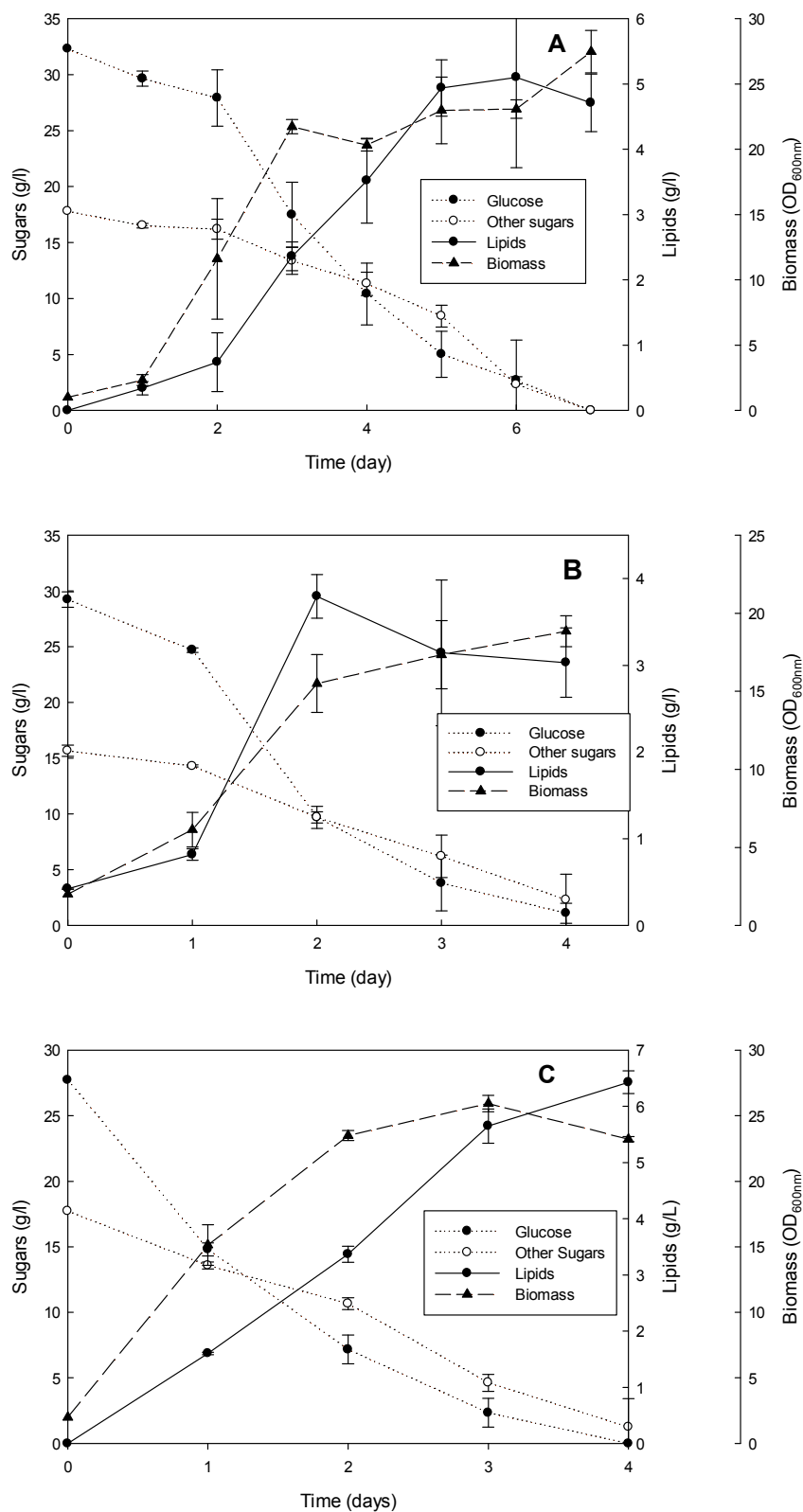


Figure 3. *Y. lipolytica* in batch culture growing on (A) normal SPORL-EH, (B) pH profile SPORL, and (C) synthetic mixed sugars medium. Means and standard deviations are plotted for triplicate runs.

the hydrolysates and all of the sugars in the synthetic medium (**Fig 2 and 3**). It is of interest that the hydrolysate cultures continued to consume sugars even after the cultures achieved their maximum lipid concentrations. It can be supposed that sugar not directed to biosynthesis was used for cell maintenance.

The % metabolic yields were 24.4% – 47.7% for *L. tetrasporus* and 27.4% - 44.1% for *Y. lipolytica* (Table 3); the maximum metabolic lipid yield is 0.336 g lipid per g consumed glucose³⁹. The % theoretical metabolic yields are calculated using the maximum lipid concentrations and amounts of consumed sugars. The process yields were 0.060 – 0.17 g/g for *L. tetrasporus* and 0.079 – 0.15 g/g for *Y. lipolytica*. The process yields are lower than the metabolic yields because they are not adjusted for unconsumed sugars. For both yeasts, results on synthetic medium were far superior to those observed for the hydrolysates. The lower yields can be attributed to the presence of inhibitors.

Consumption rates of individual sugars were followed for each single-stage batch culture and are displayed as supplemental results (Fig. S1 – S2). *Y. lipolytica* was observed to preferentially consume glucose followed by mannose and galactose (S1A-C). *L. tetrasporus* shows a similar pattern of sugar consumption with xylose being consumed last (S2A-C). Both yeast consumed sugars faster in the synthetic sugar medium than hydrolysates. *L. tetrasporus* consumed all the sugars present within 2 d and *Y. lipolytica* consumed all the sugars except for 0.9 g/l of xylose within 4 d. We have found that in an earlier study that *Y. lipolytica* YB-437 was able to slowly consume xylose¹⁷.

When comparing the two yeast strains grown on pH profiling SPORL-EH, *Y. lipolytica* produced more lipids (5.03 g/l) than did *L. tetrasporus* (3.98 g/l). However, *Y. lipolytica* also took twice as long to consume the sugars. Interestingly, *L. tetrasporus* had a higher lipid yield (7.31 g/l) in the synthetic medium culture compared to that of the *Y. lipolytica* (6.43 g/l). Despite the superior yield obtained with *Y. lipolytica* on SPORL-EHs, *L. tetrasporus* was chosen for further experiments because it had superior productivity compared to *L. tetrasporus* and was observed to have exceptional lipid production yields and robustness in our prior studies^{16, 17, 40}. Furthermore, lipid yields are very sensitive to the C:N ratio (ibid) and it was expected (and later shown) that the lower than desired yield *L. tetrasporus* might be remedied by reducing the amount of added nitrogen.

Even though we chose to continue with *L. tetrasporus*, *Y. lipolytica* YB-437 might be of interest for applications other than production of lipids because of its robustness for growth in hydrolysate (this study and ¹⁷). *Y. lipolytica* is used for production of citric and isocitric acids, enzymes (e.g. lipases), polyalcohols, single-cell protein, flavor compounds and bioremediation^{41, 42}. It is also amenable to genetic engineering and an engineered strain has recently been commercialized for production of polyunsaturated fatty acids⁴³. *Y. lipolytica* is sometimes mistakenly considered non-oleaginous –despite its species name - because of the ability of some isolates to produce very high titers of citric acid under nitrogen limited growth. However, lipid contents of 23-43% have been reported for growth on various sugars and glycerol (this study and ⁴⁴). Furthermore, lipid titers of 55 g/l and 39 g/l were observed, respectively, for highly engineered *Y. lipolytica* strains cultured on glucose^{45, 46}. The former also produced approximately 15 g/l on dilute-acid corn stover⁴⁶. The capacity of the yeast to produce organic acids versus lipids likely depends upon the genetic background (e.g. isolate). It has also been recently observed that *Y. lipolytica* produces both citrate and lipids within a range of low N:C ratios (0.085 – 0.021) and solely lipids below this range⁴⁷. The physiology of fatty acid production in *Y. lipolytica* is further complicated because it does not produce a cytosolic malic enzyme and, therefore, relies on the pentose phosphate pathway⁴⁸ and possibly isocitrate dehydrogenase to generate sufficient NADPH for fatty acid synthesis⁴⁹.

3.4 Sequential batch cultures to achieve higher lipid titers

It is desirable to achieve the maximum possible lipid titer to ease product recovery. Two multistage culture methods were explored for this purpose using *L. tetrasporus* growing on pH profiling SPORL-EH. In the simplest scheme, the yeast was first cultured in rich media (YP) to maximize cell growth and the cells washed and transferred to 100%v/v SPORL without any additives to promote lipid production (e.g. fattening culture). Undiluted SPORL-EH (100%v/v) was used here because it has been observed¹⁷ that lipid formation is more robust to hydrolysate than overall cell growth. The yeast growth culture was propagated either using 40% v/v SPORL-EH or glucose (20 g/l) as carbon sources to evaluate advantages from acclimation. The outcomes were much improved compared to the single stage fermentation. The acclimated growth culture transferred to 100% v/v SPORL consumed the sugars within 3 d and produced 13.4 g/l lipids. The lipid yield was 52% of theoretical (0.174 g/g) and the productivity was 0.186

g/l/h (**Fig. 4A**). As observed for the single stage cultures, acclimation was beneficial. The unacclimated yeast took 4 d to consume the sugars (**Fig. 4B**) and produced slightly less lipids than before (12.14 g/l). The lipid yield was 48% of theoretical (0.158 g/g) and the lipid productivity was 0.126 g/l/h, which is 31% slower than for the acclimated yeast. However, when the fattened yeast were harvested and introduced to fresh undiluted SPORL-EH the lipid yield did not increase (data not shown). It was expected that the yeast had exhausted their internal reservoir of essential nutrients.

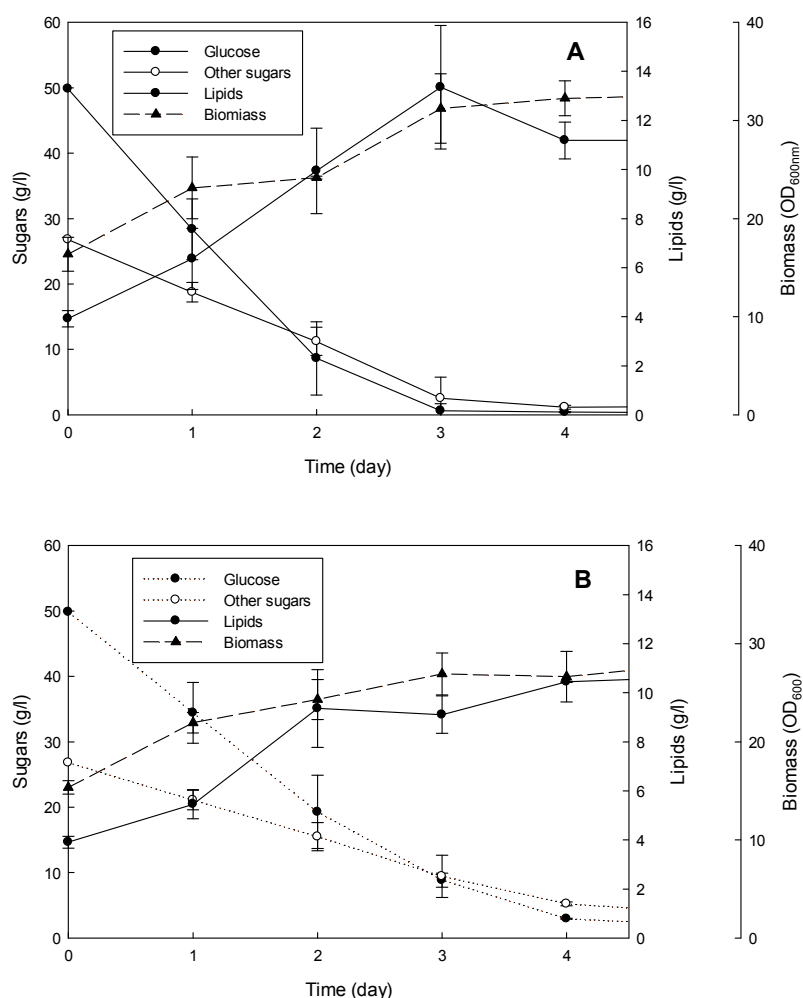


Figure 4: Lipid production using a 2-stage culture. (A) In the first stage *L. tetrasporous* was grown on 40%v/v SPORL-EH and the yeast harvested and transferred to 100% SPORL-EH for fattening at an OD of 10. (B) Control 2-stage culture where pre-culture was grown without acclimation on glucose synthetic medium before transfer to 100% SPORL-EH medium for fattening.

In the second strategy, we allowed for continued cell growth and lipid formation by transferring the yeast in 60%v/v SPORL-EH supplemented with nutrients sufficient to allow for some cell growth but low enough to favor lipid formation. The yeast cells were grown in 60% v/v SPORL-EH, harvested, and transferred to fresh 60% v/v SPORL-EH, and this process repeated once more. In total the yeast were cycled 3x and thus exposed to 3x more sugars than in the single stage fermentation.

The appropriate C:N ratio to use here is unclear. Too much N and the yeast would catabolize their lipids, but too little nutrients and the yeast would become metabolically inactive, as was observed previously. Therefore, two C:N ratios were evaluated for this experiment. The two C:N ratios investigated were 60% v/v pH-profiling SPORL enzymatic hydrolysate supplemented with 1x Medium A and 0.5 x Medium A, which corresponded to C:N ratios of 75:1 and 150:1, respectively. Recommended molar C:N ratios are 40: 1 to 100:1 and higher^{13, 14, 50}. Given the wide range of carbon sources (i.e. glycerol, waste lipids, whey etc) and species, a broad range of recommended C:N ratios is not unexpected. Two earlier studies that used *L. tetrasporus* for normal batch growth with conversion of hydrolysates prepared from dilute-acid pretreated switchgrass and AFEX pretreated corn stover reported an optimal C:N ratio of 62:1 and 75:1 – 173:1, respectively^{17, 40}.

Yeast cultures were transferred between batch cultures after the majority of sugars had been consumed. The culture times were 5 days each for the 1 and 0.5 x medium A (**Table 4**). As predicted, lipids titers increased during each stage. In particular, the amount of lipids at least doubled during the course of the 2nd stage. The step cultures containing less nitrogen produced more lipids (18.1 g/l) than the other (12.2 g/l). Lipid contents were 48.6%w/w for the 0.5x M and 35.8% for full strength basal medium. The lipid production yield is based upon the total amount of sugars added to the culture and is not adjusted for residual sugars. The lipid yields varied from 0.063 – 0.106 g/g and were the highest once again for the step cultures containing 0.5 x M. A yield of 0.106 is 32% of theoretical (0.33 g lipid per g sugar), demonstrating that there is much room for progress.

It is also notable that for the first batch stage, halving the nitrogen resulted in the final lipid titer increasing from 4.2 g/l to 5.9 g/l (**Table 4**). Therefore a high C:N ratio (150:1) appears to be favorable for lipid production when using this yeast. Addition of cellulases contributed a

minor amount of usage nitrogen, which was accounted for in calculating the C:N ratios. Therefore, a C:N ratio of 75:1 for the acclimated *L. tetrasporus* cultures (**Table 3**) might have contributed to their lower than desired lipid yields.

Similar trends were observed for lipid cultures using the 75:1 and 150:1 C:N ratios as graphed for the latter (**Fig. 5**). Glucose was used first followed by mannose/xylose. Glucose was exhausted and 57.7 – 89.8% of the mannose/xylose was consumed. Optical densities increased most during the first and second cultures and relatively little for the final culture. Lipid production was observed to increase with time and batch. In summary, sequential batch allowed for an approximately 300% increase in lipid titer.

Table 4. Production of microbial lipids in sequential batch cultures using *L. tetrasporus* grown on pH profiling SPORL-EH.

<u>Culture</u>	<u>Transfer^a</u>	<u>Non-glucose Sugar</u>	<u>Biomass</u>	<u>Lipid Titer</u>	<u>Lipid Content</u>	<u>Lipid Yield</u>
		% Consumed	(g/l)	(g/l)	(%w/w)	(g/g)
0.5xMedium	1	71.0±24.1	17.6±6.3	5.9±0.5	33.7±9.01	0.10±0.00
(150:1 C:N)	2	89.8±4.9	32.5±4.3	12.1±1.5	37.3±0.6	0.11±0.01
	3	73.6±14.0	36.7±2.1	18.01±2.9	48.6±1.2	0.10±0.02
1.0xMedium	1	65.3±2.5	15.1±0.2	4.2±1.1	27.7±0.91	0.073±0.02
(75:1 C:N)	2	71.3±21.6	25.8±5.9	7.2±1.6	28.5±1.9	0.063±0.01
	3	57.7±18.3	34.1±5.7	12.2±0.97	35.8±1.0	0.071±0.01

^amaximum lipid titers were achieved on day 5, except for transfer 3, which was reached on day 4

The final lipid samples were also characterized for fatty acid composition (**Table S2**). Compositions were similar for both C:N ratios and 84.2 – 87.5% consisted for three fatty acids. These were by decreasing rank of abundance: oleic (18:1), stearic (18:0), and palmitic (16:0). Lipid yields from oleaginous yeasts are typically enriched for oleic, palmitic, stearic, and linoleic (18:2) acids and the fatty acid composition observed here falls within the published range of values^{15,51}. The high oleic content observed here, and common for yeasts, is considered favorable for biodiesel applications⁵². Furthermore, based upon a statistical model, it has been

concluded that yeast oils would be appropriate for use as biodiesel provided it was blended with diesel in a similar manner to vegetable oil derived biodiesel⁵¹.

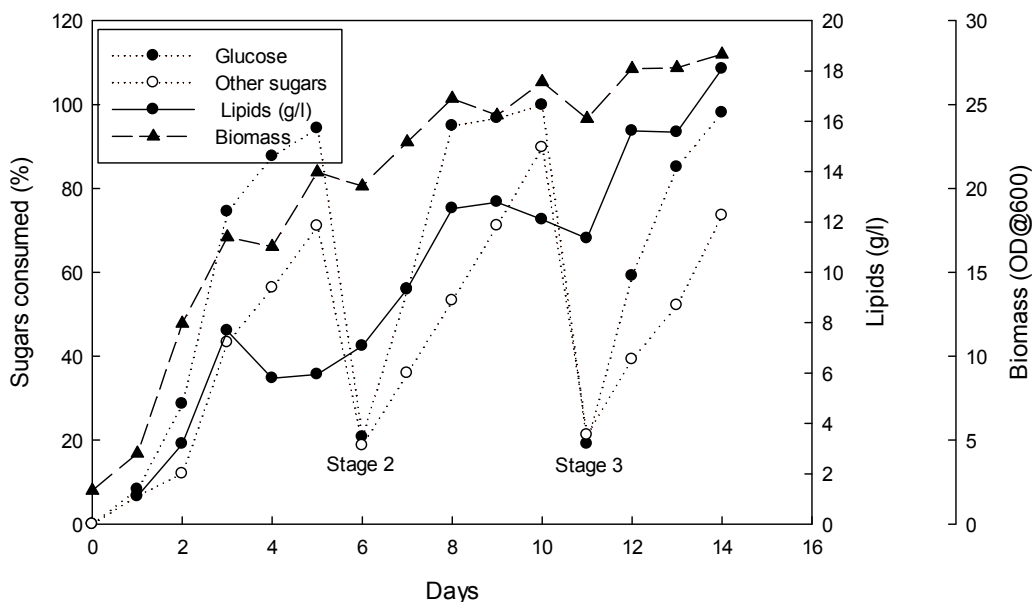


Figure 5. Microbial lipid production by *L. tetrasporus* Y11562 on -pH profiling SPORL-EH (60%v/v), which was transferred 3 times in cultures supplemented with 0.5x concentration of basal medium. Plots are averages of duplicate runs.

Results from this study are compared to other studies that used biomass hydrolysates in **Table 5**. Recently, one study reported on the conversion of steam exploded woody biomass to SCO using the yeast *Rhodococcus opacus*²¹. The hydrolysate was conditioned using over liming and adsorption and the maximum lipid concentration observed was 0.31 g/l. In this study, the hydrolysate was not treated other than neutralizing and the maximum observed lipid concentration was 58 fold higher. The only reference to woody biomass for SCO production is brief mention in a very old review⁵³. This is not unexpected because wood is more recalcitrant than herbaceous plants and therefore requires more severe pretreatments. Pretreatment severity is often correlated with inhibitor concentration and high inhibitor concentrations are undesirable.

Table 5. SCO production from lignocellulose hydrolysates

<u>Yeast strain</u>	<u>Substrate</u>	<u>Conditioning</u>	<u>% Lipid</u>	<u>Titer & Yield</u>	<u>References</u>
<i>Cryptococcus curvatus</i> ¹	dilute acid wheat straw	None	33.5%	5.8 g/l; 0.207g/g	57
<i>Cryptococcus sp.</i>	Dilute-acid corn cob	None	60.2%	7.6 g/l; 0.13 g/g	58
<i>Candida lipolytica</i>	Steam exploded corn cob	Adapted strain	19.4%	1.6 g/; not reported	59
<i>Lipomyces kononenkoae</i>	Dilute-acid switchgrass (2-stage)	None	59%	28.1 g/l; 0.146g/g	17
<i>L. tetrasporus</i>	SPORL Douglas fir (multi-stage)	None	48.6%	18.0 g/l; 0.104g/g ²	This study
	SPORL Douglas fir (2-stage)	None	31.2%	13.4 g/l; 0.174g/g ²	This study
	AFEX corn stover	None	36%	10.7 g/l; 0.1 g/g	40
	Dilute-acid switchgrass (2-stage)	None	53%	29.0 g/l; 0.142g/g	17
<i>Microsphaeropsis sp.</i> ,	steam exploded wheat straw	None	approx 34%	2.6 g/l ; 0.14g/g	60
<i>Mortierella isabellina</i>	dilute-acid rice hulls	None	64.3%	3.6 g/l ; 0.138g/g	18
<i>Mortierella isabellina</i>	dilute-acid corn stover	None	34%	4.78 g/l ; 0.154g/g	61
<i>Mortierella isabellina</i>	alkaline corn stover	None	23%	2.78 g/l;0.088g/g	61
<i>Trichosporon cutaneum</i>	dilute-acid corncob	Activated carbon	36%.	8.0 g/l; 0.174g/g	62
<i>Trichosporon dermatis</i>	Orgosolv corn cobs	None	40.1%	9.8 g/l ; 0.163g/g	63
<i>Trichosporon fermentans</i>	dilute-acid sugar cane bagasse	Activated carbon	39.9%	15.8 g/l ; 0.128g/g	64
<i>Trichosporon fermentans</i>	dilute-acid rice straw	Ca(OH) ₂	40.1%	11.5 g/l ; 0.111g/g	65
<i>Rhodococcus opacus</i>	Sweetgum autohydrolysate	Ca(OH) ₂ & XAD-4	28.6%	0.25 g/l; 0.291g/g	21
<i>R. opacus</i>	Pine autohydrolysate	Ca(OH) ₂ & XAD-4	28.3%	0.31 g/l; 0.157/g	21
<i>Rhodospiridium toruloides</i>	Dilute acid switchgrass	none	61%	26.2 g/l; 0.132g/g	17
<i>Yarrowia lipolytica</i>	dilute-acid sugarcane bagasse	Ca(OH) ₂	58.5%	6.68 g/l; NA	66
	SPORL Douglas Fir	none	35.9%	5.02 g/l; 0.10g/g	This study

¹Results reported for r best performing strain²yields are based upon beginning sugar concentrations as reported.

Often these toxins are removed using expensive conditioning methods that add considerable expense and generate waste⁵⁴. It is a highly desirable characteristic of the SPORL pretreatment process that it can be fermented to ethanol without further conditioning other than neutralization. This work extends these pivotal ethanol findings to include production of lipids for either food or biodiesel applications.

However, even when compared to lipid yields reported using herbaceous biomass, the yields reported herein are noteworthy. Perhaps the best overall result for the SPORL-EH was the two-stage scheme. The lipid concentration and yield are among the highest listed on Table 5, with the exception results reported earlier from our laboratory for dilute-acid switchgrass hydrolysate. The productivity (0.186 g/l/h) is also respectable compared to other studies. This result concurs with prior studies that promote the advantages of multistage processes. Allowing for lipid amplification or fattening, in a separate culture than growth, is an effective method for boosting overall lipid production and yield^{17,53}. In this regard, it should be noted that a future direction of this research might include further optimization of the 2-stage culture system. The other trend noted was that among the highest lipid yields listed were achieved using *L. tetrasporus* NRRL-Y-11562. This yeast strain is advantageous because of its potential for high lipid yields, broad sugar utilization, and robustness for growth on hydrolysates.

An advantageous trait of oleaginous yeasts is their collective utility for growth on wide range of substrates including oils, alcohols, and carbohydrates. So, while this study is focused on lignocelluloses, it is possible that the yeasts characterized here could be evaluated on further C-sources. Possibilities include glycerol, olive and palm waste streams, food enriched starch streams, whey, industrial fats, and volatile fatty acids^{51,55}. Crude glycerol is of interest because it is generated as a side-product of biodiesel production. It has approximately a 10% lower theoretical lipid yield (0.30 g/g) but typical yields are closer to 0.2 g/g⁵⁶. While conversion of waste streams allow for valorization of low-cost substrates combined with opportunities for waste-water treatment.

4. Conclusions

Single cell oils were produced from Douglas fir harvesting forest residue. The woody biomass was pretreated using either the traditional or pH profiling SPORL method and

hydrolyzed with commercial cellulases to produce > 70 g/l mixed sugars. *L. tetrasporus* and *Y. lipolytica* were observed to grow faster on the pH-profiling SPORL-EH at 60% v/v dilution compared to the normal SPORL-EH. Growing the *L. tetrasporus* seed in the presence of 40%/v/v SPORL-EH enhanced lipid production by improving sugar utilization and eliminating an extended lag phase. Using a system of sequential cultures improved lipid production further for pH profiling SPORL-EH. In a two-stage culture with yeast growth on 40%v/v SPORL-EH followed by fattening in 100%v/v SPORL-EH, a yield of 13.4 g/l within 72 hr. Alternately by transferring the yeasts on 60% v/v SPORL-EH supplemented with 0.5x medium A three times, resulted in a final lipid titer of 18.01 g/l; however, this took 14 days. Still this lipid yield is one of the highest reported for oleaginous yeast grown on hydrolysate and the first, which we are aware of, to use unconditioned hydrolysate prepared from woody biomass.

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