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A Theoretical Study of Dirhodium-Catalyzed Intramolecular Aliphatic C–H Bond Amination of Aryl Azides[†]

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[†] Electronic supplementary information (ESI) available: The Cartesian coordinates for the calculated stationary structures.

ABSTRACT: Dirhodium-contained catalysts mediated aliphatic C–H bond amination of aryl azides were studied using BPW91 functional. Calculations show the reactions with Rh₂(esp)₂ (esp=α,α,α',α'-tetramethyl-1,3-benzenedipropionic acid) and the model compound Rh₂(OCHO)₄ as catalysts take place via similar mechanisms. Firstly, the dirhodium metal complex coordinates with the substrate and releases nitrogen gas. This rate-determining step results in the formation of the metal nitrene. Metal nitrene mediated intramolecular C–H bond amination is conducted via two alternative pathways, respectively. The singlet metal nitrene mediated intramolecular C–H bond amination occurs via a concerted and asynchronous pathway involving direct metal nitrene insertion into the C–H bond. The triplet metal nitrene case is a stepwise pathway involving a hydrogen transfer and then a diradical recombination. Our study suggests the triplet H-abstraction is more favorable than the singlet one. The resulted triplet intermediate would not go through the high-barrier diradical recombination process, but across to the singlet pathway via a MECF and form the final singlet product. The tethered esp ligands in Rh₂(esp)₂ provide steric effects to constrain the substrate-catalyst compound but indicates inconspicuous influence on the mechanisms of dirhodium catalyzed aliphatic C–H bond amination of aryl azides.

1 1. Introduction

2 C–H functionalization is an efficient approach to obtain natural or unnatural
3 heteroatom-containing compound such as C–N bond formation among which metal
4 nitrene mediated amination and aziridination have both attracted much attention.¹⁻³

5 Nitrogen containing compound are widely used in drug synthesis because nitrogen
6 generally exhibits ability to act as a hydrogen bond donor and/or acceptor which
7 influences the interaction between the medicinal agent and its target significantly.⁴

8 Metal nitrene catalysis reaction can initiate amination to form C–N bond directly.⁵⁻⁹

9 Rhodium metal compounds are one of the effective and widely used catalysts to mediate

10 C–H bond amination.¹⁰⁻¹³ For the C–N bond formation reaction from rhodium nitrene,

11 concerted insertion via a singlet nitrene and radical recombination via a triplet nitrene

12 are alternative pathways.¹⁴ Du Bois and co-workers have done many researches on

13 dirhodium catalysts and found some of them, including $\text{Rh}_2(\text{OAc})_4$ and $\text{Rh}_2(\text{esp})_2$,

14 exhibit the catalysis with a concerted but asynchronous mechanism, just like

15 Rh-catalyzed carbene insertion.^{15, 16} Our group and co-workers also reported the

16 intramolecular amidation of carbamates prefers the singlet pathway rather than the

17 triplet pathway according to the free energy of activation.¹⁷ And recently we have

18 published further study on dirhodium mediated C–H bond amination mechanism and

19 found the reaction takes place via triplet mechanism.^{18, 19} However, the mechanisms of

20 rhodium-catalyzed amination would be system-dependent and thus detailed explorations

21 on various reactions should be paid attention to.

22 Recently, Du Bois and co-workers^{2, 8, 12, 13, 20-22} designed and synthesized a new

23 catalyst $\text{Rh}_2(\text{esp})_2$, a tethered dicarboxylate-derived complex for intramolecular C–H

24 oxidation and this catalyst performed excellent C–H amination with low catalyst

25 loading.^{23, 24} The chelating dicarboxylate ligand groups are resistant to ligand exchange

26 and would shroud the dirhodium core even in oxidative conditions.²⁰ Therefore, the

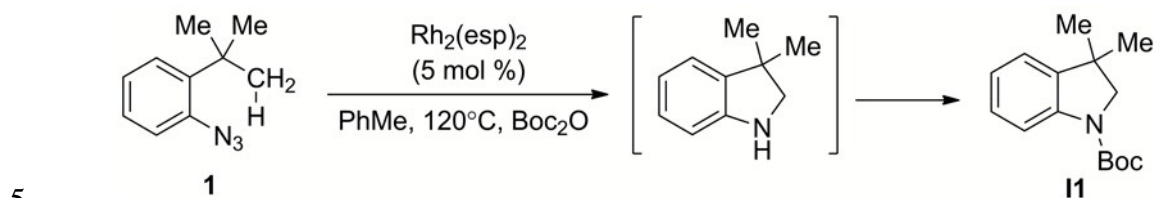
27 unusual kinetic stability override other dirhodium tetracarboxylate complexes possibly

28 has contributed to the high-efficiency of $\text{Rh}_2(\text{esp})_2$.²⁵ Though the use of $\text{Rh}_2(\text{esp})_2$ could

1 be converse C–H bond into C–N bond via amination effectively, the condensation
2 between the substrate and terminal oxidant is obviously limited for product purifying.¹⁵
3 The C–H oxidation (sulfamate, carbamate, sulfamide and guanidine, etc.) mediated by
4 rhodium catalysts usually occur under oxidative conditions and require strong
5 electron-withdrawing groups on the nitrene.⁵ These limitations are not favored for
6 expanding the scale of potential substrates and the utility of the catalysis reaction.
7 Recently, a new type of reactions with azides has been used for the synthesis of nitrogen
8 heterocycles via intramolecular addition.^{26, 27} Reactions with azides involve no oxidants
9 and would release the only byproduct N₂ gas which is environmentally friendly.²⁸⁻³²
10 Driver and co-workers have reported the preparation of indoles and carbazoles that
11 involves the catalytic decomposition of aryl azides followed by sp² C–H bond
12 amination reactions.³³⁻³⁸ On the basis of the sp² C–H bond amination reactions, Driver
13 and co-workers reported a new type of reactions catalyzed by Rh₂(esp)₂ using aryl
14 azides as the N-atom source and it has a high conversion up to 99% (see Scheme 1).³⁹
15 These reactions could occur with aryl azides containing *para*-electron-releasing,
16 -neutral, or -withdrawing groups rather than require an electron-withdrawing group on
17 the nitrogen. Rh₂(esp)₂ is an unusual dirhodium catalyst that is clear of rapid
18 carboxylate ligand exchange, namely, it exhibits high kinetic stability in reaction
19 solution.²⁵ In the metal catalyzed C–N bond formation with aryl azides, N₂ gas will be
20 firstly released to form metal nitrene complex which undergoes subsequent nitrene
21 transfer/insertion reaction.⁴⁰⁻⁴² According to the related experiments, the
22 diastereoisomers of products (dr 50:50) and intramolecular kinetic isotope effect (KIE)
23 of 6.7 corroborate a stepwise C–H bond amination and the rate-determining step is the
24 extrusion of N₂.³⁹ Therefore, it is necessary to further analyze and understand Rh₂(esp)₂
25 mediated C–H bond amination reactions. In this paper, we report a density functional
26 theory (DFT) computational study of the mechanisms of this dirhodium catalyzed
27 intramolecular aliphatic C–H bond amination of aryl azides. Also, the catalysis nature

1 differences or similarities between $\text{Rh}_2(\text{esp})_2$ (**I** in Figure 1) and other dirhodium
2 catalysts with bridging tetracarboxylate ligand groups (Rh_2L_4) will be discussed.

3 **Scheme 1.** $\text{Rh}_2(\text{esp})_2$ -Catalyzed Intramolecular Aliphatic C–H Bond Amination with
4 Aryl Azides*



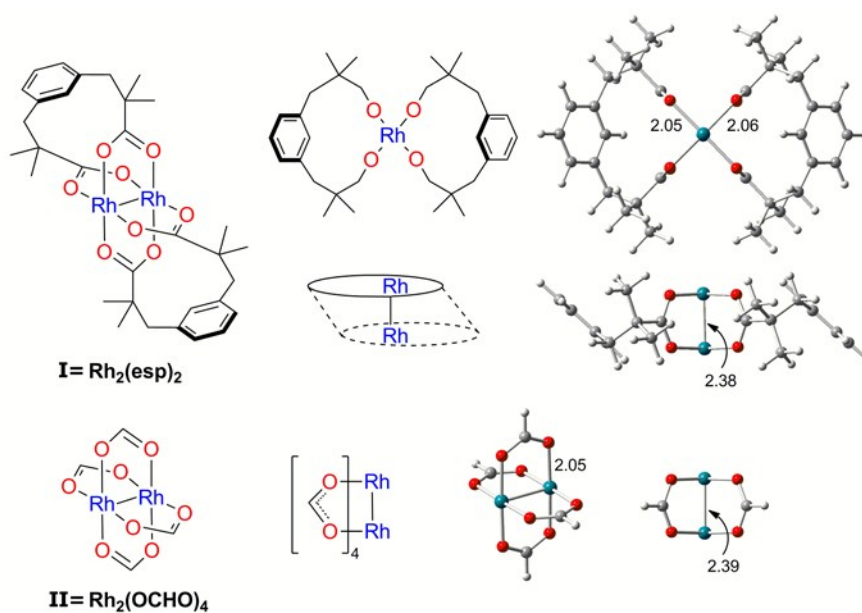
6 * Yield as isolated after silica gel chromatography: **11** 84%.³⁹

7 2. Computational Details

8 Theoretical calculations were performed utilizing Gaussian 09 program.⁴³ All
9 geometries are fully optimized using DFT method BPW91 with the 6-31G(d) basis sets
10 for C, H, O and N atoms while the 1997 Stuttgart relativistic small-core effective
11 core-potential [Stuttgart RSC 1997 ECP]^{44, 45} for Rh atoms, augmented with a
12 4f-function ($\zeta_f(\text{Rh}) = 1.350$),⁴⁶ which are donated as BPW91/BS1. BPW91 is a reliable
13 method for metal nitrene mediated reactions, especially in describing the singlet–triplet
14 energy difference (E_{st}) of dirhodium–nitrene complexes.^{17-19, 47-49} Intrinsic reaction
15 coordinate (IRC)⁵⁰ calculations were done to confirm the reactant and product
16 connecting the corresponding transition states. The energies were calculated at 393.15 K
17 and 1 atm according to the experiment conditions.³⁹ Natural bond orbital (NBO)
18 analysis^{51, 52} and Kohn-Sham frontier orbital analysis were done with the calculated
19 level BPW91/BS1. Solvent effects (in toluene) were estimated using the polarizable
20 continuum model (PCM) with radii and non-electrostatic terms for Truhlar and
21 coworkers' SMD salvation model⁵³ with a larger basis set 6-311++G(d,p) for C, H, O
22 and N atoms and the same Stuttgart basis sets as BS1 (RSCf) for Rh atoms, which are
23 donated as BS2. Minimum-energy crossing points (MECPs) were located utilizing the
24 MECP program developed by Harvey and co-workers.⁵⁴

1 In order to obtain accurate thermochemistry predictions and descriptions of weak
 2 interaction, we performed geometry optimization and energy calculations of selected
 3 important intermediates and transition states at the M06L (the pure functional of Truhlar
 4 and Zhao)/BS1 level of theory.^{18, 55} Also, the composite basis sets (denoted as BS3)
 5 consisting of the basis set 6-311G(d,p) for C, H, O and N atoms and the same Stuttgart
 6 basis sets as BS1 for Rh atoms were also employed. These calculations have shown that
 7 the BPW91/BS1, M06L/BS1 and BPW91/BS3 optimized geometries are very close,
 8 while relative energies can vary by a few kcal/mol with solvent effects at BPW91/BS2
 9 or M06L/BS2 included (see ESI), and they give the same conclusions. Therefore, below,
 10 in sake of consistency, we discuss the BPW91/BS1 calculated results unless otherwise
 11 specified.

12 3. Results and Discussions



13
 14 **Figure 1.** Catalysts in the calculations of the intramolecular C–H bond amination with
 15 aryl azides: **I** = $\text{Rh}_2(\text{esp})_2$, **II** = $\text{Rh}_2(\text{OCHO})_4$.

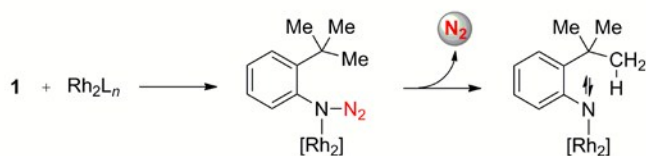
16 Based on the survey of catalysts in the related experiments, the reaction yields in the
 17 presence of $\text{Rh}_2(\text{esp})_2$, $\text{Rh}_2(\text{OAc})_4$, $\text{Rh}_2(\text{O}_2\text{CC}_7\text{H}_{15})_4$ and $\text{Rh}_2(\text{O}_2\text{CC}_3\text{F}_7)_4$ are 75%, 0%,
 18 35% and 20%, respectively.³⁹ As reported in previous literature, the tetracarboxylate

1 ligand groups are sensitive to ligand exchange which would inhibit the cycled catalysis
 2 of C–H bond amination.^{5, 25} However, this ligand exchange event is assumed to be
 3 inoperative in order to explore other factors that influence catalytic reactivity and
 4 reaction mechanism. To investigate the mechanistic similarities and differences between
 5 $\text{Rh}_2(\text{esp})_2$ (**I** in Figure 1) and the general dirhodium catalysts with bridging
 6 tetracarboxylate ligand (Rh_2L_4), in this paper, a model compound $\text{Rh}_2(\text{OCHO})_4$ (**II** in
 7 Figure 1) was used to model Rh_2L_4 . Figure 1 shows the computed geometries of the
 8 catalysts **I** and **II**. Comparing the key bond lengths such as Rh–Rh and Rh–O bond
 9 distances in **I** and **II**, they have almost the same values, which indicates these two
 10 catalysts have a similar framework and bimetallic interactions.

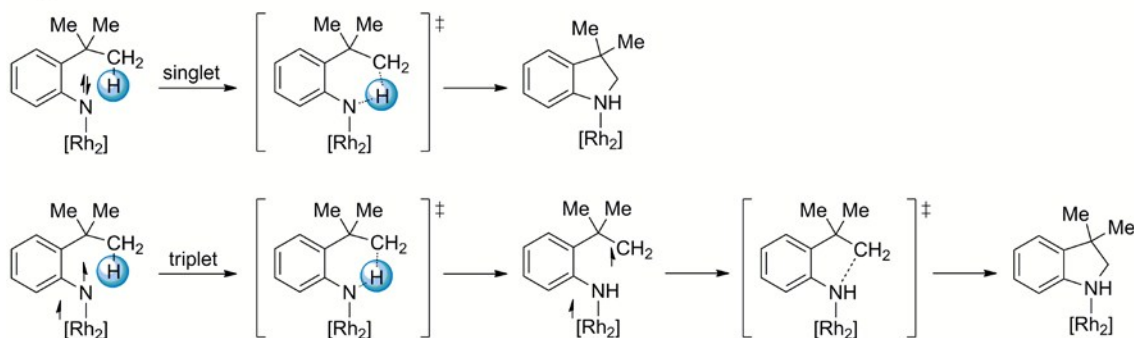
11 3.1 Proposed Mechanism of dirhodium Catalyzed C–H Bond Amination with 12 Aryl Azide 1

13 **Scheme 2.** Proposed Mechanisms of Rh_2L_n Promoted Intramolecular Aliphatic C–H
 14 Bond Amination with Aryl Azide 1

Step 1: extrusion of N_2



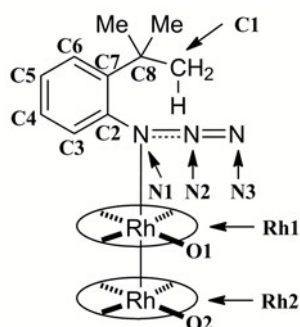
Step 2: C-H bond amination



15 Taking *o-tert*-butylaryl azide **1** in Scheme 1 as a sample substrate, Scheme 2 shows
 16 the proposed mechanisms of dirhodium complexes (Rh_2L_n) promoted intramolecular
 17 aliphatic C–H bond amination with aryl azides. In Scheme 2, $[\text{Rh}_2]$ denotes the
 18

1 dirhodium paddlewheel catalysts. According to the investigations of related reactions
 2 mentioned above, the metal catalyst and the azides combine together via Rh–N bonding
 3 before N₂ release.⁴⁰ The intramolecular aliphatic C–H bond amination reaction might
 4 involve two steps (Scheme 2): i) the first step is extrusion of nitrogen gas from the
 5 precursor complex of the metal catalyst and substrate, ii) the second step is conversion
 6 of C–H bond into C–N bond, which might take place through singlet or triplet pathway.
 7 The concerted singlet pathway could form C–N and N–H bond spontaneously through
 8 C–H bond nitrogen insertion while the stepwise triplet pathway would go through
 9 H-abstraction followed by diradical recombination or intersystem crossing (ISC).⁴⁷ Both
 10 the singlet and triplet pathways lead to the final product indolines and the catalyst is
 11 regenerated.

12 **Scheme 3.** Depicted are labels of the selected atoms in the reaction complexes. O1 and
 13 O2 refer to the oxygen atoms coordinated to Rh1 and Rh2 centers, respectively.



14

15 3.2. Rh₂(esp)₂ catalyzed amination of aryl azide 1.

16 As mentioned above, the purpose of this paper is to elucidate the reaction mechanism
 17 of dirhodium complex, Rh₂(esp)₂ and Rh₂(tetracarboxylate)₄ included, catalyzed
 18 amination which includes two basic steps: i) extrusion of N₂, ii) nitrene insertion. In this
 19 section, the reaction with Rh₂(esp)₂ (**I**) as the catalyst is computed and compared with
 20 the case for Rh₂(OCHO)₄ (**II**). It should be mentioned that the computational data for
 21 Rh₂(OCHO)₄ are majorly presented in ESI. For clear descriptions, labels of the atoms in
 22 the active sites of reaction complexes are given in Scheme 3.

3.2.1. Metal nitrene formation from $\text{Rh}_2(\text{esp})_2$ and aryl azide 1.

As reported in related investigations about metal nitrene catalyzed C–H bond amination with azides, coordination of the metal core could be towards either the α - or γ -nitrogen of the azides.^{41, 56} However, the γ -coordination complex may not directly release nitrogen gas but transform into the α - coordination complex which could trigger amination reactions (see Figure S1 in ESI).

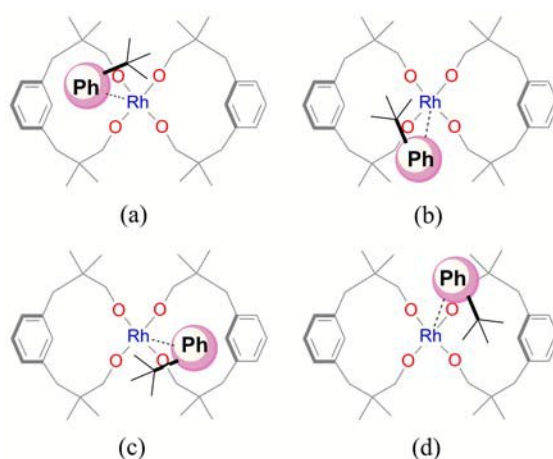
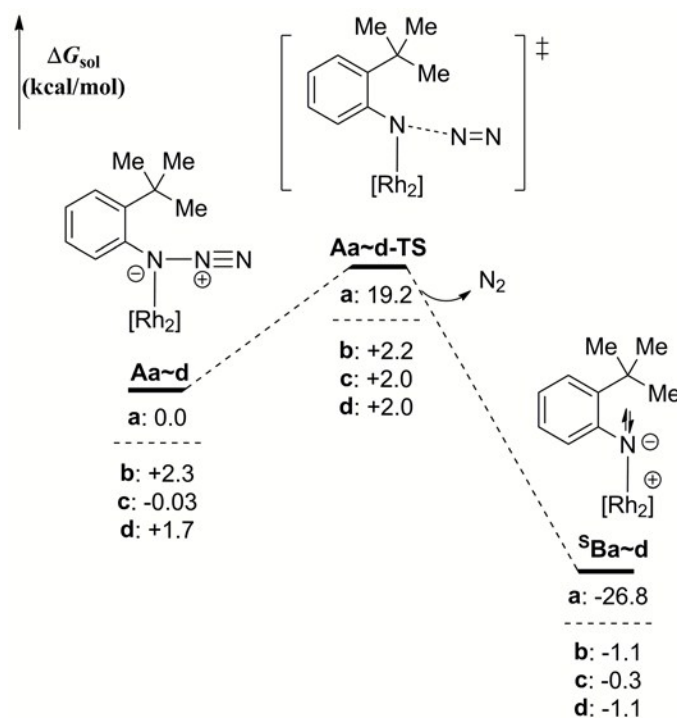


Figure 2. Possible coordination modes of $\text{Rh}_2(\text{esp})_2$ and aryl azides.

The two rhodium centers in $\text{Rh}_2(\text{esp})_2$ are in equal positions. As shown in Figure 2, the centro-symmetric geometry of $\text{Rh}_2(\text{esp})_2$ can provide four positions to recognize and bond with the substrate aryl azide molecule. In order to diminish steric effects, the aryl group and the *tert*-butyl group should be located near two out of the four “caves” divided by the tethered ligands. And from the structure of the catalyst-substrate binding complex in Figure 2a, the aryl azide molecule rotates along Rh–N bond anticlockwise and forms the other three structures (Figures 2b, 2c and 2d). In Figures 2a and 2b, both the aryl group and the *tert*-butyl group are positioned over the less crowded caves. In Figures 2c and 2d, either the aryl group or the *tert*-butyl group is over the crowded cave with a phenyl group pointing inside. On the other hand, the azide moiety (–N=N=N) may be repulsed by the ligand, especially for the structure in Figure 2b.

The four coordination complexes of aryl azide 1 and $\text{Rh}_2(\text{esp})_2$ shown in Figure 2 lead to four pathways of metal nitrene formation (see Figure 3). **Ac** has almost the same

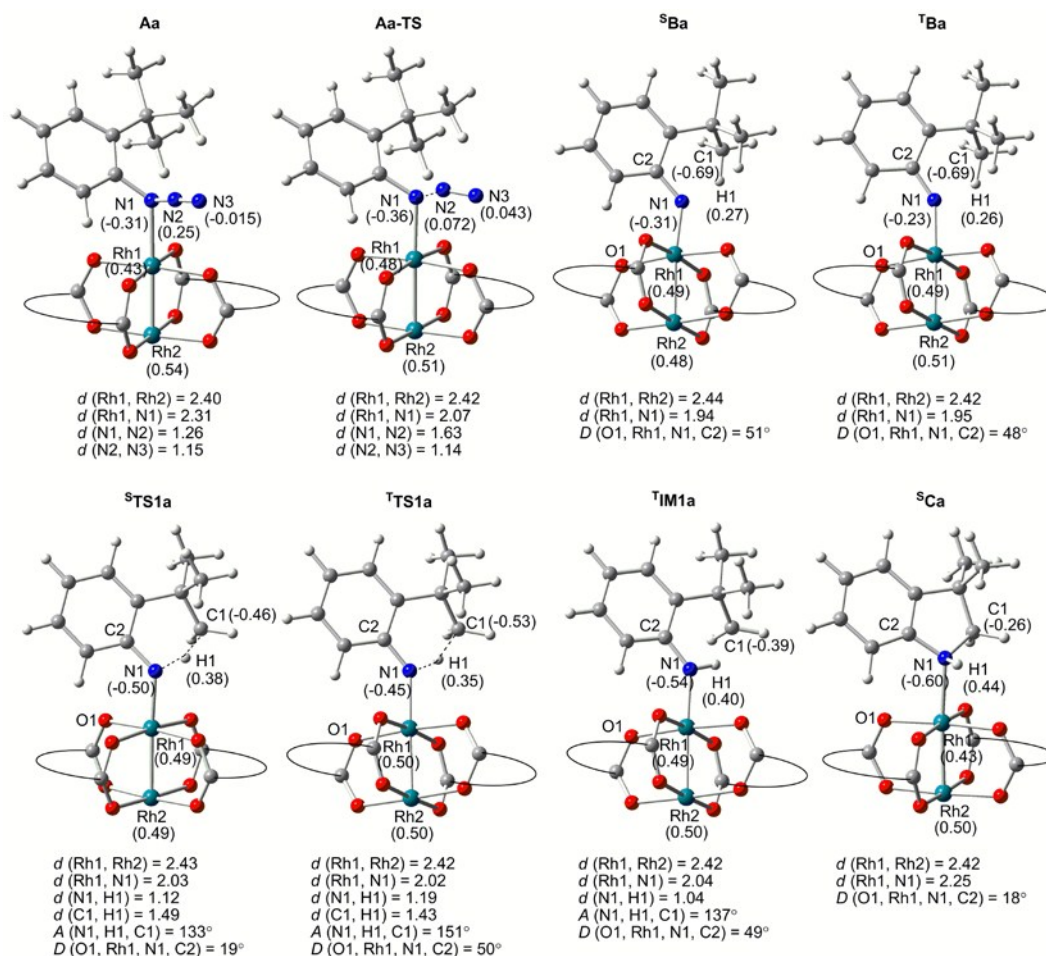
1 energy as **Aa** while **Ab** and **Ad** are 2.3 and 1.7 kcal/mol higher in free energy than **Aa**,
 2 respectively. The higher free energies might be mainly ascribed to the steric repulsion
 3 between the ligand of **I** and the *tert*-butyl group or the azide moiety of **1**. However, the
 4 energy differences are still acceptable and these four isomers could be interchanged
 5 with each other. Therefore, only the reaction from **Aa** is discussed in the present paper.



6
 7 **Figure 3.** Reaction profiles of metal nitrenoid formation from $\text{Rh}_2(\text{esp})_2$ and **1**. The
 8 relative Gibbs free energies and thermal energies are presented with **Aa**, **Aa-TS** and **Ba**
 9 as the zero points, respectively.

10 With the coordination between the Rh-atom and α -N-atom in **Aa**, the atomic charge
 11 on α -N-atom decreases which could assist the N_2 loss.⁴¹ The N–N bond cleavage via the
 12 transition state **Aa-TS** requires a barrier of 19.2 kcal/mol. The elimination of nitrogen
 13 gas is an exothermic process that produces the singlet metal nitrene **sBa**. The structures
 14 located in the reaction pathway from **Aa** are shown in Figure 4. The coordination of
 15 Rh1-N1 (2.31 Å) in the reactant species **Aa** is relatively weak while it obviously
 16 becomes stronger in the transition state **Aa-TS** (Rh1-N1 , 2.07 Å) with the elongation of
 17 N1-N2 bond distance (from 1.26 Å in **Aa** to 1.63 Å in **Aa-TS**). From the NBO charge

1 analysis, the rhodium centers would help to stabilize the negative-charged nitrogen
 2 atom N1 through the reaction process (see Figure 4).



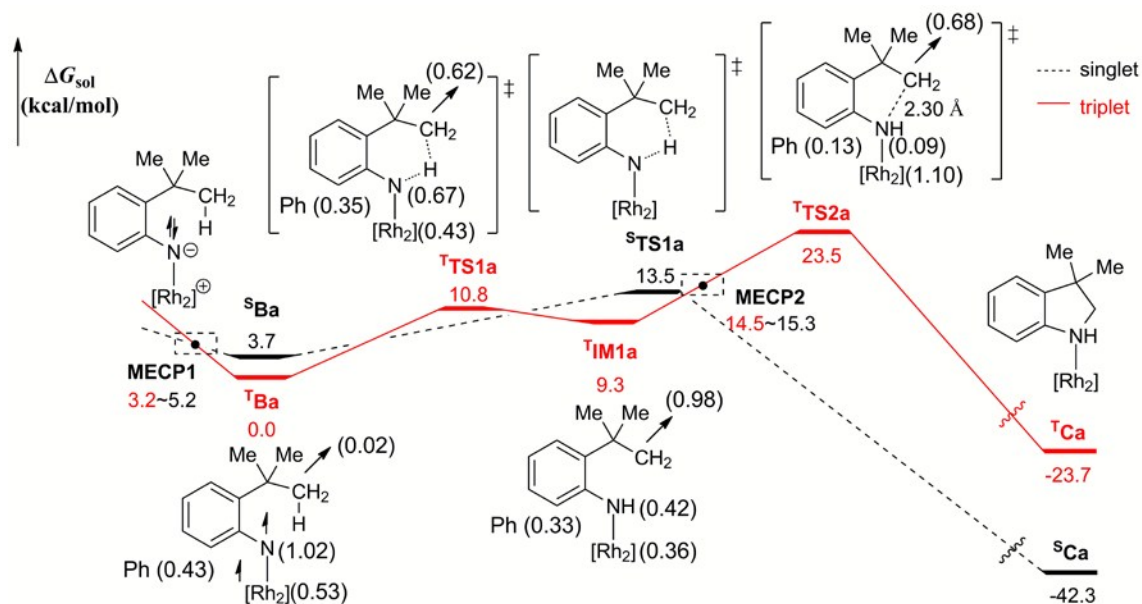
3
 4 **Figure 4.** Shown are selected optimized structures in the reaction of $\text{Rh}_2(\text{esp})_2$ (**I**) and **1**.
 5 The tethered dicarboxylate ligands (*esp*) are simplified. Selected NBO charges are in
 6 parentheses and bond distances are in angstrom.

7 When comparing the mechanisms of nitrene formation mediated by $\text{Rh}_2(\text{esp})_2$ (**I**) and
 8 $\text{Rh}_2(\text{OCHO})_4$ (**II**) (see ESI), the whole reaction profiles of N_2 extrusion shown in
 9 Figures 3 and S1 exhibit identical patterns for the complete reaction pathway. What's
 10 more, the geometric parameters in these two reaction systems are comparative in spite
 11 of a few differences. For instance, the Rh1–Rh2 bond is slightly longer in the reaction
 12 process of $\text{Rh}_2(\text{OCHO})_4$ and **1** (Figure S3) than those of $\text{Rh}_2(\text{esp})_2$ and **1** (Figure 4),
 13 which is probably due to the compacting enhancement from the “*esp*” ligand in
 14 $\text{Rh}_2(\text{esp})_2$. From the aspect of energy, the activation energy of **Aa-TS** (19.2 kcal/mol) is

1 slightly lower than that of **A-TS** (20.0 kcal/mol). This could be ascribed to the weak
2 electron-donating esp ligands.

3 3.2.2. Metal nitrene mediated amination in **Ba**.

4 Following the metal nitrene formation, the resulted active intermediates would
5 undergo subsequent nitrene insertion/C–H bond amination. In consideration of the
6 similar reaction mechanisms for the metal nitrenes **Ba~d** (Figure S5), only the reactions
7 from **Ba** (see Figure 5) and the species on these reaction profiles (Figure 4) will be
8 further discussed.



9
10 **Figure 5.** Reaction profiles of C–H bond amination mediated by **Ba**. Spin densities of
11 Rh1-Rh2, N1, C1, Ph(C2~C7) are in parentheses.

12 As discussed above, the singlet metal nitrene **S_{Ba}** is formed in the extrusion of N₂
13 from **Aa** (Figure 3). However, the existence of a minimum energy crossing point
14 (**MECP1**) between the singlet and the triplet profiles allows the formation of the triplet
15 metal nitrene **T_{Ba}**. At the minimum energy crossing point **MECP1** located before the
16 reactants, the singlet nitrenoid and triplet one are quite close in energy.⁵⁶ It should be
17 mentioned that the relative free energies of MECPs are estimated taking the salvation
18 effects into account. Thus, they represent the most possible location areas rather than
19 the accurate crossing points (see Figure S6 in ESI). The triplet metal nitrene **T_{Ba}** is

1 more stable than the singlet nitrene $^{\text{S}}\text{Ba}$ by 3.7 kcal/mol. This is different from the
2 previously reported conclusion obtained from similar reaction systems involving Rh_2L_4
3 that the ground triplet state of metal nitrene is estimated to be about 2 kcal/mol higher
4 than the singlet state and thus they could coexist with each other.¹⁷ Comparing the
5 structures of the singlet and triplet metal nitrenes, $^{\text{S}}\text{Ba}$ and $^{\text{T}}\text{Ba}$, not only the Rh1–Rh2
6 and Rh1–N1 bond distances are almost the same, but the dihedral angle $D(\text{O1}, \text{Rh1}, \text{N1},$
7 $\text{C2})$ is also comparable in $^{\text{T}}\text{Ba}$ (51°) with that in $^{\text{S}}\text{Ba}$ (48°). On the other hand, the spin
8 densities for Rh1, Rh2, N1 atoms and the phenyl ring (C2~C7) in $^{\text{T}}\text{Ba}$ are 0.35, 0.18,
9 1.02 and 0.43, respectively (Figure 5 and Table S2). This means the two unpaired
10 electrons mainly reside on the Rh_2 and N1-Ph(C2~C7) moiety.

11 For the dirhodium reaction complex, the strong d-p orbital interactions between the
12 $\text{Rh}_2^{\text{II,II}}$ center and N1 could stabilize the radical species.¹⁹ This would increase the
13 reaction barrier for the H-abstraction process and could possibly further improve the
14 reaction selectivity. This type of metal-metal bonded systems has been extensively
15 discussed in literature and they are suggested to exhibit exceptional efficiency in C–H
16 functionalization rather than mono-metal catalysts. The dirhodium d^{14} electronic
17 configuration were highly effective at metal to ligand π back-bonding and the filled π^*
18 orbital of Rh_2L_4 could donate electron-pair,⁵⁷ e.g. the π and $\pi(\text{nb})$ bonding shown in
19 Figure S7. This indicates distinctive metal-metal synergism which endues a metal-metal
20 complex reactivity different from a mono-nuclear metal complex.⁵⁸ Furthermore, the
21 unique catalysis is attributed to the three-center/four-electron (3c/4e) bonds in
22 metal-metal bonded intermediates such as carbene and nitrene intermediates
23 Rh–Rh–C(or N) which is suggested to be described as superelectrophilic by virtue of
24 3c/4e Rh–Rh–C(or N) s and p bonds.⁵⁹

25 In the singlet pathway of C–H bond amination, the C–H bond cleavage, N–H and
26 C–N bond formation through the transition state $^{\text{S}}\text{TS1a}$ requires an energy barrier of
27 13.5 kcal/mol relative to $^{\text{T}}\text{Ba}$. For $\text{Rh}_2(\text{OCHO})_4$, the barrier is 12.0 kcal/mol relative to
28 $^{\text{T}}\text{B}$, respectively (Figure S2 in ESI). The vibration of the unique imaginary frequency

1 (-659.3 cm⁻¹) in **^STS1a** shows an evident H-abstraction process accompanied with an
2 initial C–N bond formation. According to the IRC calculation (see Figure S9 in ESI),
3 the concerted singlet pathway exhibits asynchronous characteristic that the hydride-like
4 H1 is transferred prior to the formation of N1–C1 bond. This feature can be seen from
5 the angle $A(N1,H1,C1) = 133^\circ$, and the charge change of H-donor C1(sp³) from -0.69
6 for **^SBa** to -0.46 for **^STS1a**. However, the weak electron-donating catalyst Rh₂(esp)₂
7 cannot stabilize a potential intermediate followed by H-abstraction step featuring a
8 carbocation and a negative nitrogen center. Therefore, no intermediates or transition
9 states are observed on the way from **^STS1a** to the final product **^SCa**. Our previous study
10 has proposed the nature of the singlet pathway is a stepwise process and the fast
11 combination of a carbocation and an electron-sufficient nitrogen center is barrier-free or
12 require an identifiable energy barrier.¹⁸

13 In the triplet pathway, two steps are involved: H-abstraction and C–N bond formation.
14 In the H-abstraction step, the activation energy of the transition state **^TTS1a** is 10.8
15 kcal/mol. For Rh₂(OCHO)₄, the barrier is 9.1 kcal/mol relative to **^TB** (Figure S2 in ESI).
16 The spin density for H-donor (C1) increases significantly from 0.02 in **^TBa** to 0.62 in
17 the triplet transition state **^TTS1a** while the spin density for N1 atom decreases from 1.02
18 to 0.67. The vibration of the unique imaginary frequency (-1136.1 cm⁻¹) in **^TTS1a** and
19 the IRC calculation for **^TTS1a** (see Figure S10 in ESI) shows this is a complete
20 hydrogen-migration process and no signs of C–N bond formation. This C–H homolytic
21 cleavage mediated by Rh₂(esp)₂ results in the intermediate **^TIM1a**. The spin densities
22 for Rh1, Rh2, N1, C1 atoms and the phenyl ring (C2~C7) in **^TIM1a** are 0.24, 0.12, 0.42,
23 0.98 and 0.33, respectively. It means the two unpaired electrons mainly reside on the C1,
24 Rh₂ core and N1-Ph(C2~C7) moiety. The intermediate **^TIM1a** on the triplet reaction
25 profile is close to the triplet transition state **^TTS1a** in energy. This could be attributed to
26 the relatively small geometry change from the transition state to the corresponding
27 reactive intermediate. The diradical intermediate **^TIM1a** rebound in a subsequent step
28 via the radical coupling transition state **^TTS2a** requiring a high barrier (from **^TBa** to

1 ${}^{\text{T}}\text{TS2a}$, 23.5 kcal/mol; from ${}^{\text{T}}\text{IM1a}$ to ${}^{\text{T}}\text{TS2a}$, 14.2 kcal/mol). For $\text{Rh}_2(\text{OCHO})_4$, the
2 barrier of the radical coupling is 23.4 kcal/mol relative to ${}^{\text{T}}\text{B}$ and 15.4 kcal/mol relative
3 to ${}^{\text{T}}\text{IM1}$ (Figure S2 in ESI). As we previously reported, this type of diradical
4 recombination step requires an identifiable energy barrier (~ 15 kcal/mol).¹⁸ However,
5 this radical recombination may not be observed, because there exists a spin crossing via
6 **MECP2** between the triplet and singlet energy profiles. After spin crossover to the
7 singlet pathway, the final product ${}^{\text{S}}\text{Ca}$ is reached. Therefore, the overall energy barrier
8 of the triplet pathway is determined by the relative energy of **MECP2**. It should be
9 mentioned that our previous studies on the reactions of dirhodium tetracarboxylate
10 ($\text{Rh}_2(\text{formate})_4$ and $\text{Rh}_2(\text{OAc})_4$) catalyzed nitrene insertion into C–H bonds generally
11 suggest the closed-shell singlet transition state is lower in energy than the open-shell
12 triplet transition state in the H-abstraction step.¹⁷⁻¹⁹ Also, we have done calculations of
13 MECPs in amination reactions catalyzed by dirhodium tetracarboxylate and basically
14 MECP is located on the way from the triplet reactant complex to the triplet
15 H-abstraction transition state which suggests a spin crossover to the closed-shell singlet
16 energy profile.^{18, 19}

17 In order to understand the triplet pathway in depth, the Mulliken spin distribution
18 along the reaction coordinate for the triplet states is presented in Figure 6. In the
19 H-abstraction step, the spin density on the Rh_2 center in the triplet reactant complex ${}^{\text{T}}\text{Ba}$
20 is significantly less than 1.0. With the spin on N1, Rh_2 , Ph moieties partially transferred
21 to C1 via ${}^{\text{T}}\text{TS1a}$, the spin densities on the Rh_2 center decreases further in ${}^{\text{T}}\text{IM1a}$. In the
22 subsequent C–N bond formation step, the spin density on the Rh_2 center increases to
23 larger than 1.0 in ${}^{\text{T}}\text{TS2a}$ and the triplet product complex ${}^{\text{T}}\text{Ca}$ holds the major spin
24 density on the Rh_2 center. As we previously reported, the $\text{Rh}_2^{\text{II,II}}$ dimer in ${}^{\text{T}}\text{TS2a}$ would
25 be oxidized to a mixed-valent $\text{Rh}_2^{\text{II,III}}$ dimer and a NR radical.¹⁹ This spin-orbital
26 coupling in the Rh_2 center forming C–N bond is an energy-consuming process.¹⁸

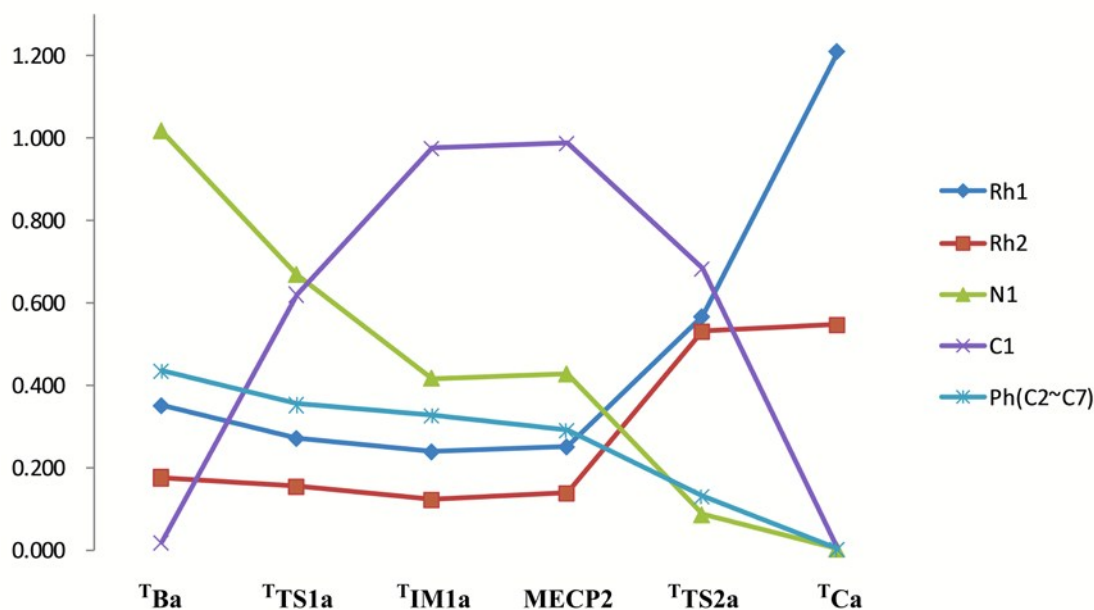


Figure 6. Mulliken spin distribution along the reaction coordinate for the triplet states in reaction of $\text{Rh}_2(\text{esp})_2$ and **1**.

From the metal nitrenes ${}^S\mathbf{Ba}$ to the transition states ${}^S\mathbf{TS1a}$, the Rh1–N1 bond elongates slightly and the charge of N1 atom becomes more negative (see Figure 4). It is worth noting that the dihedral angle $D(\text{O1}, \text{Rh1}, \text{N1}, \text{C2})$ is much smaller in ${}^S\mathbf{TS1a}$ (19°) than that in ${}^S\mathbf{Ba}$ (51°) but the values in ${}^T\mathbf{TS1a}$ (50°) and ${}^T\mathbf{Ba}$ (48°) are identical. Comparing the singlet and triplet transition states, ${}^S\mathbf{TS1a}$ and ${}^T\mathbf{TS1a}$, the distance of N1–H1 in ${}^T\mathbf{TS1a}$ is longer and C1–H1 shorter. As seen in the geometry of the final product-catalyst complex ${}^S\mathbf{Ca}$, the distance of Rh1–N1 elongates to 2.25 \AA which is close to that in **Aa** (2.31 \AA); and Rh1 charge reduces to 0.43 which equals that in **Aa**.

It should be mentioned that the unstable triplet intermediate ${}^T\mathbf{IM1a}$ would possibly go through two alternative C–N formation pathways. One is direct recombination of diradical via ${}^T\mathbf{TS2a}$ which is discussed above. Another pathway is a N–H swing/diradical recombination process (see Figure S8 in ESI). Calculations show that these two pathways in the triplet mechanisms are competitive. Therefore, the N–H swing process could possibly lead to racemic products.¹⁸

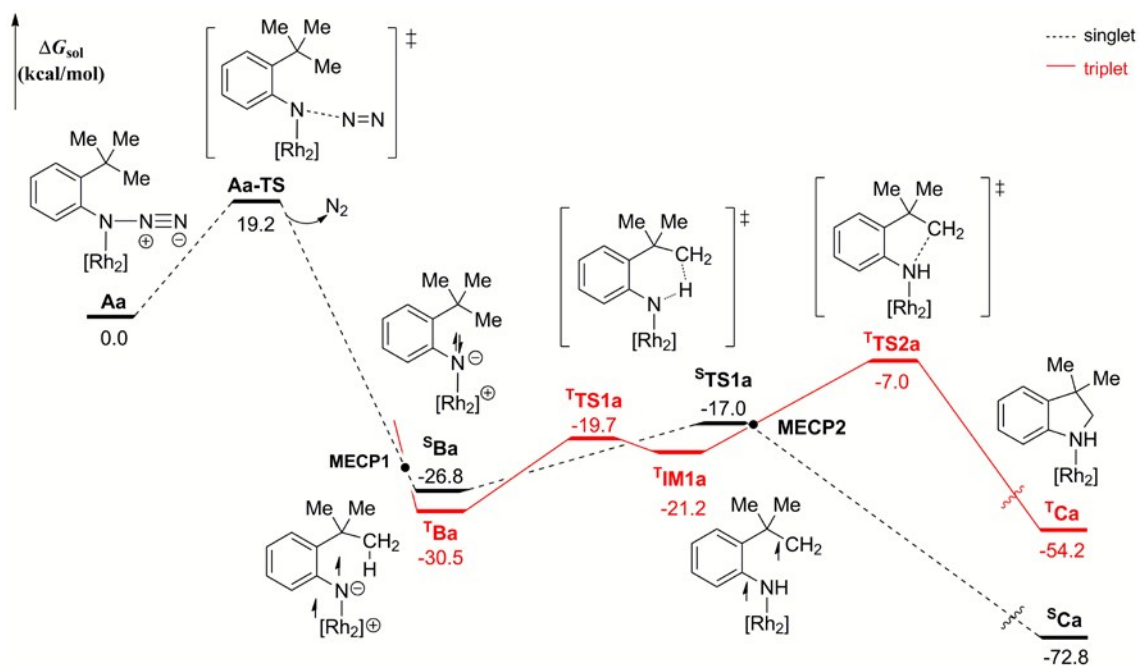


Figure 7. Reaction profiles of $\text{Rh}_2(\text{esp})_2$ catalyzed amination of C–H bond.

3.3. Key Mechanistic Features of Dirhodium Catalyzed C–H Bond Amination with Aryl Azides.

From results above, $\text{Rh}_2(\text{esp})_2$ and $\text{Rh}_2(\text{OCHO})_4$ (see ESI) catalyzed C–H amination majorly share an identical mechanism, including the PES pattern and the structure features of the reactants, transition states and products. The whole PES for the $\text{Rh}_2(\text{esp})_2$ case is shown in Figure 7. The tethered ligands esp of $\text{Rh}_2(\text{esp})_2$ would not obviously affect the amination reaction mechanism. Based on the experimental observations,³⁹ esp ligand can prevent oxidation in the reaction solution and protect the geometry of the dirhodium complex which increases the conversion of the catalytic reaction cycle. On the other hand, reactions with mononuclear catalysts known to catalyze N-atom-transfer reactions, such as $[\text{Rh}(\text{cod})_2]\text{SO}_3\text{CF}_3$, $[\text{Rh}(\text{PPh}_3)_3]\text{Cl}$ and $[\text{Rh}(\text{cod})\text{OMe}]_2$ (cod = 1,5-cyclooctadiene), are unsuccessful in producing indoline product.³⁹ And as we discussed above, the strong d-p orbital interactions between the $\text{Rh}_2^{\text{II,II}}$ center and nitrene N could stabilize the radical species and could possibly further enhance the

1 reaction selectivity. Therefore, the combination of $\text{Rh}_2^{\text{II,II}}$ dimer and ligands as “security
2 guard” is the key to success of the aliphatic C–H amination involved in this paper.

3 **4. Conclusions**

4 In conclusion, dirhodium catalysts Rh_2L_m , such as $\text{Rh}_2(\text{esp})_2$ and $\text{Rh}_2(\text{OCHO})_4$,
5 mediated aliphatic C–H bond amination of aryl azides show a general mechanism.
6 Firstly, the dirhodium complex coordinates with the substrate leading to the extrusion of
7 nitrogen gas to produce metal nitrene. This is the rate-determining step and significantly
8 exothermic. A minimum energy crossing point is located in this step and leads to the
9 singlet metal nitrene or the triplet metal nitrene. Secondly, the dirhodium metal nitrene
10 forms C–N bond via intramolecular nitrene insertion to C–H bond through two possible
11 reaction pathways. One is the singlet concerted asynchronous pathway which results in
12 direct insertion of metal nitrene into C–H bond. Another one is the triplet stepwise
13 pathway in which hydrogen atom transfer followed by diradical combination. However,
14 calculations show the diradical recombination requires a relatively high barrier more
15 than 20 kcal/mol. There is a minimum energy crossing point located between the singlet
16 and triplet pathways which leads the reaction towards the singlet pathway. The tethered
17 ligands joined to the rhodium centers of $\text{Rh}_2(\text{esp})_2$ give aid to stabilize the
18 substrate-catalyst complex and play a role as “security guard” to protect the dirhodium
19 core, but they do not indicate significant influence on the reaction mechanisms of metal
20 nitrene catalyzed amination of aliphatic C–H bond of aryl azides.

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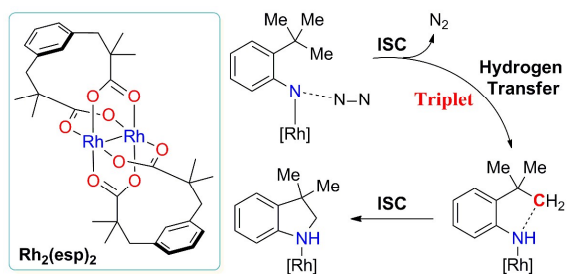
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An in-depth DFT study on the dirhodium-catalyzed intramolecular aliphatic C-H bond amination of aryl azides considering ISC.