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1 **Environmental Friendly High Performance**
2 **Homopolymerized Epoxy Using Hyperbranched Epoxy**
3 **as Modifier**

4
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17

18 **ABSTRACT:** A high performance, low cost, and environmental friendly epoxy is
19 demonstrated for the first time by copolymerizing small amount of epoxide-terminated
20 hyperbranched polyether (EHBPE) with DGEBA. Unlike typical epoxy systems, which

1 involve large amounts of costly, toxic, and volatile curing agents, homopolymerized epoxy
2 curing systems use only small amounts of catalysts instead of curing agents. However, high
3 performance homopolymerized epoxy has not been reported so far, mainly due to
4 brittleness and somewhat uncontrolled fast curing. Our results show that by addition of
5 small amounts of epoxide-terminated hyperbranched polyether (EHBPE) into DGEBA can
6 lead to simultaneous improvements in strength, toughness, and T_g . At 5wt% EHBPE
7 loading, the homopolymerized hybrid epoxy shows balanced mechanical performance and
8 is suitable for structural materials. In the first part of the work, effects of catalyst type and
9 catalyst concentration were optimized using neat DGEBA. In the second part,
10 DGEBA/EHBPE hybrid epoxy systems were cured and tested using those optimized
11 conditions. Compared with neat DGEBA, addition of 5wt% EHBPE can increase toughness
12 and tensile strength by 47.6% and 18.8%, respectively; in addition, T_g also increases from
13 171 to 173 °C.

14

15 1. INTRODUCTION

16 Due to the versatility, excellent mechanical properties and processability, epoxy resins are
17 widely used in various applications, including coatings, adhesives, structural composites,
18 and electronic materials.¹ For most epoxy curing systems, large amounts of costly curing
19 agents have to be added in order to obtain crosslinked networks; more importantly, most
20 curing agents are often toxic and volatile, which is harmful to the environment and human
21 health.² In order to address those issues, homopolymerization of epoxy has been treated as a
22 unique way to produce environmental friendly, fast curing, and cost effective epoxy
23 materials. Compared with typical epoxy curing systems, homopolymerized epoxy systems

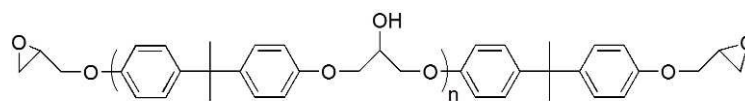
1 are curing-agent-free, and small amounts of catalysts are enough to obtain highly
2 crosslinked networks, and no volatile organic compounds (VOC) is released during cure.^{3,4}
3 The possible advantages of using homopolymerized epoxy systems have at least three folds.
4 First, it do not use curing agents, which is not only environmental friendly but also save the
5 cost of raw materials, because small amounts of catalysts are often cheaper than the curing
6 agents;⁵⁻⁷ second, it has better processability, because it only involves the mixing of
7 catalysts; third, the curing scheme could be shorter because of the faster curing speed in
8 homopolymerized systems.^{8,9}

9 Epoxide groups can react with themselves through anionic or cationic
10 homopolymerization when catalyzed by proper catalysts.¹⁰ Because of the high reaction
11 rate and possible side reactions, homopolymerized epoxy initiated by most catalysts often
12 lead to incompletely cured network (undercure). In addition, when cured in bulk, the
13 reaction induced heat due to its fast cure may lead to uncontrollable curing process.¹¹ Due
14 to those issues, homopolymerized epoxy is mostly used as fast-curing coating and
15 adhesives rather than bulk materials. So far, only two effective catalysts, 4-(N,N-
16 dimethylamino)pyridine (DMAP) and 1-methyl-imidazonle (1MI) (Scheme 1), which can
17 lead to highly cured networks in relatively controlled manner, have been reported;¹²⁻¹⁴
18 possible reaction mechanisms are shown in Scheme 3a.¹¹ Homopolymerization of mono-
19 functional epoxy only leads to low molecular weight linear polymers. Homopolymerization
20 of bifunctional DGEBA epoxy (Scheme 3b) or multi-functional epoxy can result in highly
21 crosslinked networks. DMAP- and 1MI-initiated homopolymerization of epoxy has been
22 studied; however those efforts are focused on curing mechanisms by means of DSC, FTIR,
23 and rheometer, rather than devoted to making high performance structural materials.^{15,16}

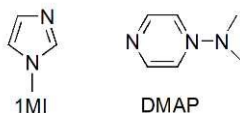
1 Highly crosslinked epoxy materials with high T_g , often have inadequate toughness, which
2 limits their applications.¹⁷⁻²⁰ Hyperbranched molecules, which have highly branched
3 structure, internal cavities, and ample terminal groups, have shown great potential as epoxy
4 tougheners.²¹⁻²⁴ Most effective hyperbranched tougheners, for example BoltornTM
5 hyperbranched polyesters, rely on cure-induced phase separation to improve toughness,
6 which lead to decreases in T_g and strength.²⁵⁻²⁷ However, for several anhydride- and amine-
7 cured DGEBA systems, results show that addition of 5wt% epoxide-terminated
8 hyperbranched polyether (EHBPE) can improve toughness without forming phase
9 separation and sacrificing strength and T_g .²⁸⁻³¹ Simultaneous improvements in those non-
10 phase-separated networks have been ascribed to the following considerations. First, the
11 highly branched structure of EHBPE leads to increased fractional free volume and thus
12 higher toughness; second, the ample terminal epoxide groups of EHBPE prevent phase
13 separation due to its earlier incorporation into cured networks and increase average
14 crosslink density; third, the local inhomogeneity due to the incorporation of hyperbranched
15 crosslinks could also play important roles. However, the toughening mechanisms for those
16 non-phase-separated networks are far from fully resolved. In amine- and anhydride- cured
17 epoxy curing systems, the cured network is formed via a step-wise fashion;³²⁻³⁵ however, in
18 catalyzed homopolymerization of epoxy, the cured network is built up through a chain-wise
19 fashion. The difference in curing mechanisms also lead to different network structures.¹⁰
20 Thus, it is interesting to test the toughening capability of EHBPE in homopolymerized
21 epoxy systems.

22 In this work, different catalyst and catalyst concentration were optimized for neat
23 DGEBA, then epoxide-terminated hyperbranched polyether (EHBPE) was added into

- 1 DGEBA with different loadings. Mechanical properties, thermal properties, and fracture
 2 behaviors as a function of EHBPE loading were investigated. In addition, possible
 3 explanations for the simultaneous improvements are also proposed.

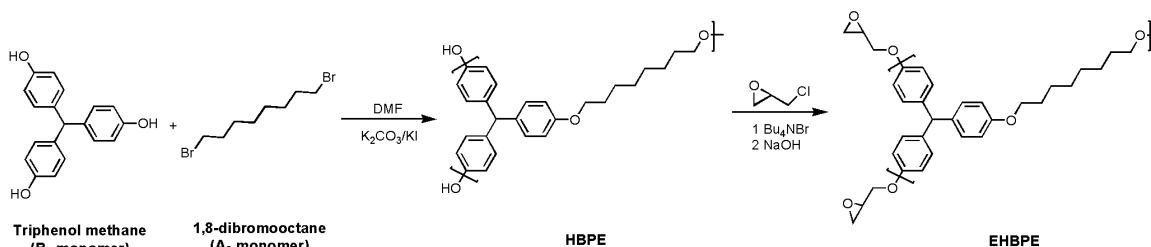


Diglycidyl ether of bisphenol A (DGEBA)



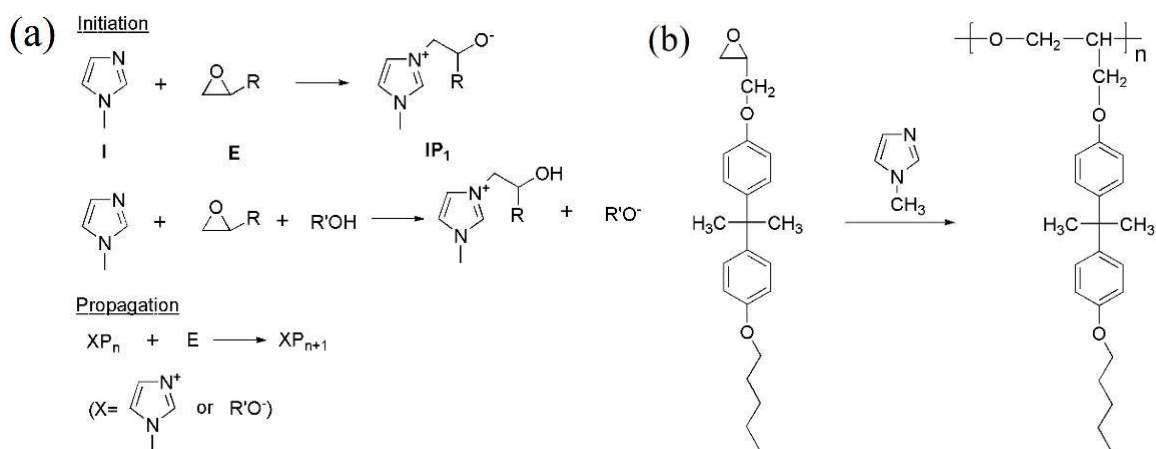
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- 5 **Scheme 1.** The chemical structures of DGEBA and two catalysts (1MI and DMAP).



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Scheme 2. The synthesis route of HBPE and EHBPE.

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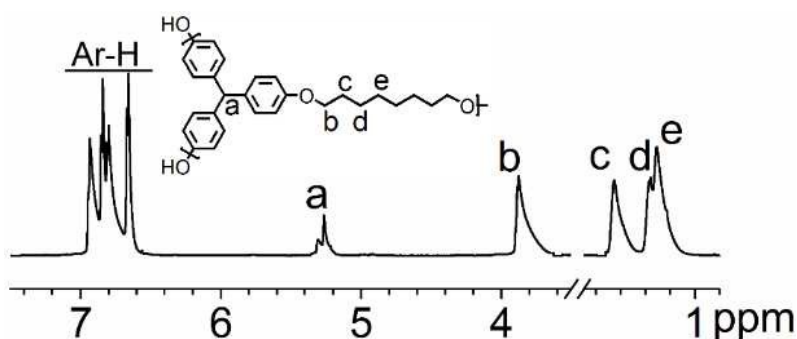
- 1 **Scheme 3.** (a) Possible mechanisms for homopolymerization mono-epoxy initiated by 1MI.
2 (b) Homopolymerization of DGEBA epoxy.

3 **2. EXPERIMENTAL SECTION**

4 **2.1. Materials.** All chemicals were analytical pure and were used as received unless
5 otherwise stated. Phenol, tetrabutyl ammonium bromide (TBAB), and *p*-toluenesulfonic
6 acid were purchased from Tianjin Fu-Guang reagent Co. 1,8-dibromooctane was acquired
7 from Beijing Ouhe Technology Co. 4-hydroxybenzaldehyde were obtained from
8 Zhongsheng Huateng Reagent Co., China. 4-(N, N-dimethylamino)pyridine (DMAP) and
9 1-methyl-imidazole (1MI) were purchased from Energy Chemical Co., China. Diglycidyl
10 ether of bisphenol A (DGEBA) was acquired from Yueyang (China) Resin Factory (epoxy
11 equivalent weight, EEW=190.04 g/equiv.) Methyl sulfoxide-*d* (CD₃SOCD₃) was purchased
12 from Beijing InnoChem Science & Technology Co. All other solvents and reagents were
13 purchased from Beijing reagent Co. Ltd. N, N-dimethyl formamide (DMF) was dried
14 before used.

15 **2.2. Synthesis of hyperbranched polyether (HBPE).** HBPE was prepared using a one-
16 step A₂+B₃ approach, and the synthesis route is shown in Scheme 2. Triphenol methane³²
17 (B₃ monomer, 116.8 g, 0.4 mol), K₂CO₃ (165.6 g, 1.2 mol), 4.14 g KI, and 450 mL DMF
18 were added into a three-necked flask. Under mechanical stirring, the mixture was heated to
19 80 °C. 1, 8-dibromooctane (A₂ monomer, 81.6 g, 0.3 mol) was dissolved in 150 mL DMF
20 and then added dropwise in 12 h. Then the mixture was allowed to react for another 6 h.
21 After cooling to room temperature, the mixture was acidified and filtered. The filtrate was
22 precipitated into water. The crude product was dissolved in THF and then added into

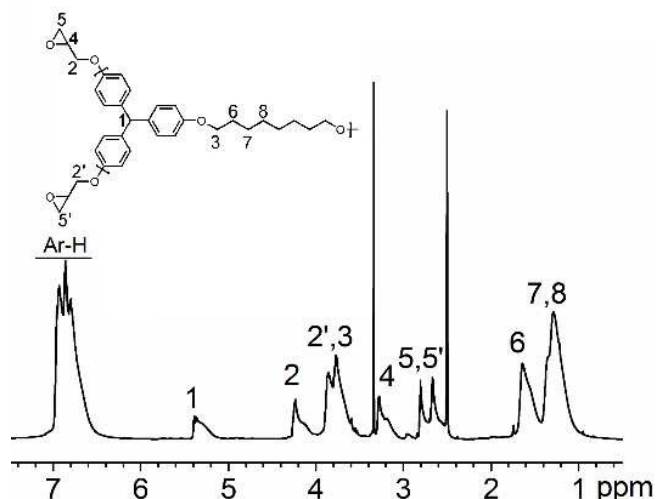
1 ethanol solution under agitation. The precipitate was collected and dried under vacuum at
 2 90 °C. The result of HBPE is a red solid with 76% yield. The ^1H NMR spectra of HBPE is
 3 shown in Fig. 1. ^1H -NMR (600 MHz, (methyl sulfoxide)- d_6 , δ): 1.05-1.40 (br,
 4 $\text{O}(\text{CH}_2)_3(\text{CH}_2)_2(\text{CH}_2)_3\text{O}$), 1.30-1.45 (br, $\text{O}(\text{CH}_2)_2\text{CH}_2(\text{CH}_2)_2\text{CH}_2(\text{CH}_2)_2\text{O}$), 1.50-1.70 (br,
 5 $\text{OCH}_2\text{CH}_2(\text{CH}_2)_4\text{CH}_2\text{CH}_2\text{O}$), 3.62-3.92 (br, $\text{OCH}_2(\text{CH}_2)_6\text{CH}_2\text{O}$), 5.14-5.38 (br, CHPh_3),
 6 6.60-6.95 (br, $\text{C}_6\text{H}_4\text{O}$).



7
 8 **Fig. 1** ^1H NMR spectra of HBPE.

9 **2.3. Synthesis of epoxidized hyperbranched polyether (EHBPE).** 72.2 g of HBPE and
 10 10.5 g of TBAB were dissolved in 684.8 g of epoxy chloropropane (ECH). Under
 11 mechanical stirring, the mixture was heated to 110 °C for 3 h. Then 14.5 g of NaOH and
 12 33.7 g of H_2O were added dropwise into the mixture, and the resultant mixture was kept at
 13 100 °C for 2 h. After cooling to room temperature, the mixture was washed with water for
 14 three times. The resultant mixtures were precipitated into ethanol. The precipitate was then
 15 collected and dried under vacuum at 90 °C. The obtained EHBPE is a yellow high-viscous
 16 liquid with a yield of 75%. The ^1H NMR spectra of EHBPE is shown in Fig. 2. ^1H -NMR
 17 (600 MHz, CDCl_3 , δ): 1.01-1.40 (br, $\text{O}(\text{CH}_2)_3(\text{CH}_2)_2(\text{CH}_2)_3\text{O}$), 1.30-1.45 (br,
 18 $\text{O}(\text{CH}_2)_2\text{CH}_2(\text{CH}_2)_2\text{CH}_2(\text{CH}_2)_2\text{O}$), 1.50-1.72 (br, $\text{OCH}_2\text{CH}_2(\text{CH}_2)_4\text{CH}_2\text{CH}_2\text{O}$), 2.55-2.75

1 (br, OCH₂), 2.70-2.85 (br, OCH₂), 5.05-3.30 (br, OCH), 3.62-3.92 (br, OCH₂(CH₂)₆CH₂O),
2 3.78-3.92 (br, OCH₂), 4.04-4.28 (br, OCH₂), 5.10-5.42 (br, CHPh₃), 6.55-7.10 (br, C₆H₄O).



3
4 **Fig. 2** ¹H NMR spectra of EHBPE.

5 **2.4. Preparation of neat DGEBA curing systems with 1MI as the catalyst.** Different
6 amounts (*i.e.*, 4, 6, 8, and 10mol% based on epoxide groups) of 1MI were dissolved in
7 DGEBA resins under mechanical stirring at room temperature and then cured in silicone
8 rubber molds. The cure schedule followed by a three-step procedure: cured at 90 °C for 1 h,
9 130 °C for 1 h, and 180 °C for 1 h. After curing, samples were allowed to cool naturally to
10 room temperature.

11 **2.5. Preparation of neat DGEBA curing systems with DMAP as the catalyst.** 8mol%
12 (based on epoxide groups) of DMAP initiator was dissolved in DGEBA epoxy resins under
13 mechanical stirring at room temperature and then cured in silicone rubber molds. The cure
14 schedule followed a three-step procedure: cured at 60 °C for 1 h, 90 °C for 1 h, and 180 °C
15 for 1 h. After cure, samples were allowed to cool naturally to room temperature.

1 **2.6. Preparation of DGEBA/EHBPE hybrid curing systems.** Different amounts (*i.e.*, 3,
2 5, 10, and 20wt% based on total weight of DEEBA resin) of EHBPE and appropriate
3 amounts of THF were dissolved in DGEBA epoxy under mechanical stirring at 60 °C.
4 After homogeneous mixtures were obtained, THF was removed in a vacuum oven at 80 °C.
5 In all hybrids, based on total moles of epoxide groups (DGEBA plus EHBPE), 8mol% 1MI
6 was added under continuous stirring at room temperature and then cured in silicone rubber
7 molds. The same three-step cure schedule was followed, *i.e.*, cured at 90 °C for 1 h, 130 °C
8 for 1 h, and 180 °C for 1 h. After curing, cured samples were allowed to cool naturally to
9 room temperature.

10 **2.7. Characterization.** Nuclear magnetic resonance (NMR) spectra were recorded on a
11 Bruker AV-600 spectrometer (600 MHz). Chemical shifts of ¹H and ¹³C NMR were
12 reported in ppm, and CD₃SOCD₃ were used as solvents in all NMR measurements.
13 Molecular weights (MWs) of hyperbranched molecules were determined using a Waters
14 515-2410 gel permeation chromatography (GPC) system which was calibrated using linear
15 polystyrene calibration standards with THF as the eluent. The epoxy equivalent weight
16 (EEW) values were determined by titration which has been describe elsewhere.³⁶

17 Curing exothermic curves were determined using a DSC-1 (Mettler-Toledo, Switzerland)
18 differential scanning calorimeter at a heating rate of 10 K/min under nitrogen atmosphere.
19 Thermal stability was determined by a Perkin-Elmer Pyris1 thermo-gravimetric analyzer
20 (TGA) from 50 to 800 °C at a heating rate of 10 K/min in nitrogen atmosphere. Linear
21 coefficients of thermal expansion (LCTE) were determined using a Mettler-Toledo
22 TMA/SDTA841e thermal mechanical analyzer (TMA) in the range of 190 to 50 °C at a
23 cooling rate of 2 K/min. LCTE values were calculated as

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$$\alpha = \frac{1}{L_0} \frac{dL}{dT} = \frac{1}{L_0} \frac{dL/dt}{dT/dt}$$

where L_0 is the initial length of sample at room temperature, L is the length of the sample at test temperature T . Dynamic mechanical properties of cured samples were measured using a TA Q800 dynamic mechanical analyzer (DMA) in the single cantilever mode. The size of the specimen was 35.0 mm × 12.8 mm × 3.2 mm. The temperature range was from 50 to 220 °C, and the heating rate is 5 °C/min. Small amplitude oscillatory shear measurements were performed on an Anton Paar MCR301 rheometer with 25 mm parallel plate geometry. The temperature range is from 30 to 180 °C, and the test frequency is 1 rad/s.

Tensile strengths of cured hybrids were obtained on an Instron 1185 tensile testing machine based on ISO 527:1993, and 1BA type test specimens were used. Unnotched impact strength tests were performed on a Ceast Resil impact tester according to ISO 179:1982. For each composition, at least five samples were measured. After impact tests, fractured surfaces of samples were coated with gold and then observed under a JEOL JSM-6700 scanning electron microscopy (SEM) at 20 kV. All measurements were performed at 25±3 °C unless otherwise stated.

3. RESULTS AND DISCUSSION

Results are reported in two parts, the homopolymerized neat DGEBA curing systems and hybrid epoxy systems containing different amounts of epoxide-terminated hyperbranched polyether (EHBPE).

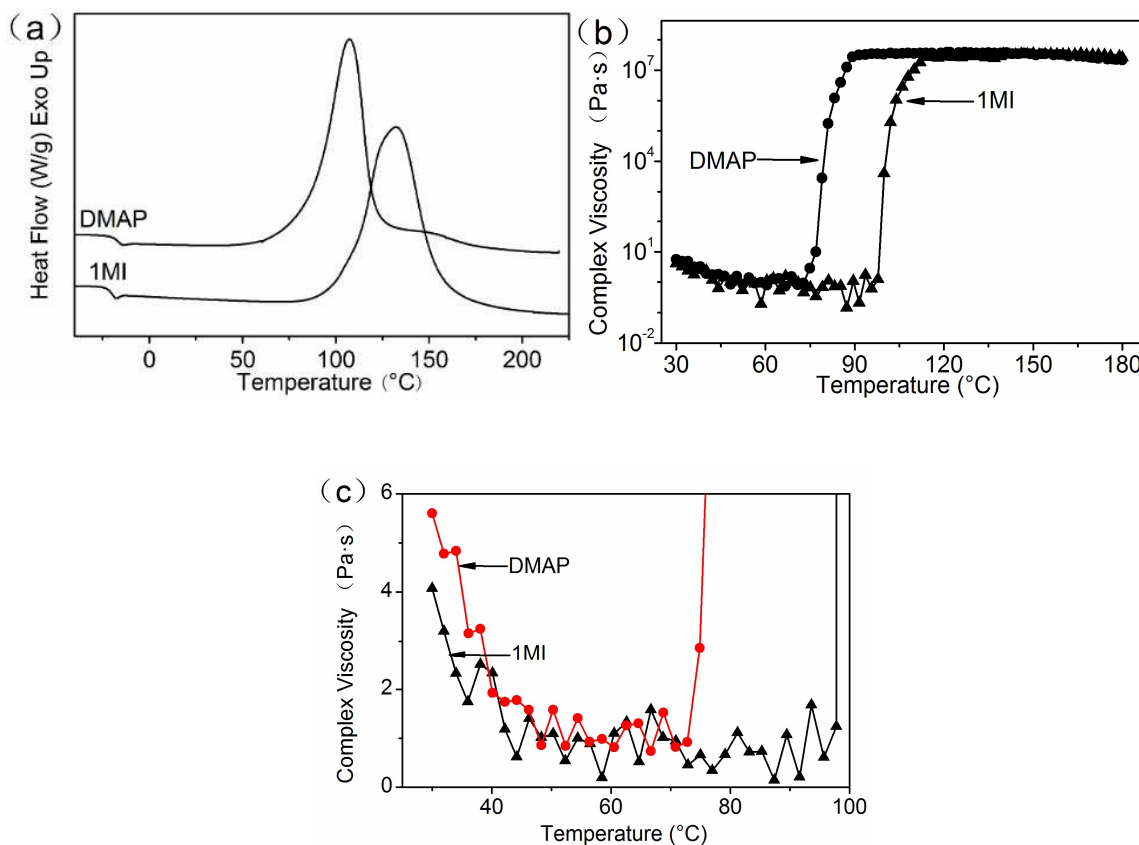
3.1. Homopolymerized neat DGEBA curing systems.

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1 *3.1.1. Processability of two different initiated systems.*

2 The processability of neat DGEBA curing systems initiated by 1MI and DMAP,
3 respectively, was characterized using DSC and rheometer. As shown in Fig. 3a, the
4 DGEBA/DMAP system has lower onset curing temperature and shows one relatively
5 narrower exothermic peak and a small shoulder at higher temperature. The main
6 exothermic peak is due to the initiation and propagation processes (main reactions),
7 whereas the shoulder may be related to side reactions such as N-dealkylation or β -
8 elimination. The “processing window” could be defined as the temperature range in which
9 the viscosity is below 1 Pa·s.³⁷ Thus, the processing window of DGEBA/DMAP system is
10 narrower than that of DGEBA/1MI system (Fig. 3b).

11 Lower viscosity is more desirable for processing of thermosets, esp. for fiber-reinforced
12 composites. DMAP is a solid and can trigger cure at room temperature, thus it is difficult to
13 mix it with DGEBA epoxy without leading to some degree of pre-cure. In contrast, 1MI is a
14 low viscosity liquid and can mix with DGEBA easily. In addition, the onset cure
15 temperature of 1MI-initiated systems is higher than that of DMAP, which not only renders
16 a longer shelf life but also permits faster mixing at higher temperature. Thus, as a catalyst
17 for homopolymerized epoxy systems, 1MI is better than DMAP. In the next few sections,
18 all reported results are based on 1MI-initiated systems.



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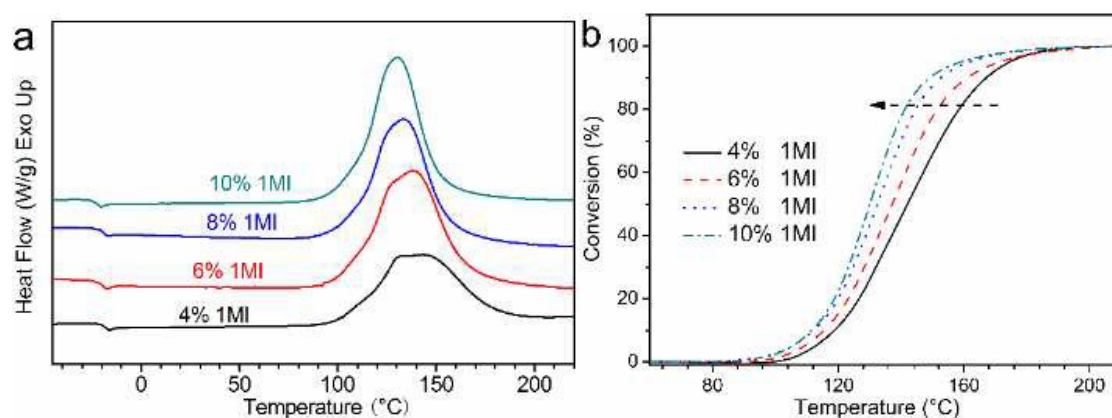
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3 **Fig. 3** DSC traces (a) and complex viscosity (b) of DGEBA/initiator formulations (at a
4 molar ratio of initiator/DGEBA=0.08) as a function of temperature during ramp heating; (c)
5 shows the partial enlarged view.

6 3.1.2. Dependence of thermal properties on the content of initiators.

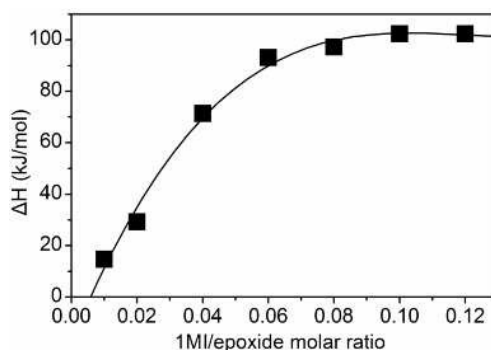
7 DSC traces of neat DGEBA containing different amounts of 1MI (4, 6, 8, and 10mol%,
8 based on total moles of epoxide groups) are shown in Fig. 4a. At 4mol% and 6mol%,
9 exothermic peaks are asymmetrical overlapping. When 1MI concentration increases to
10 10mol%, the exothermic peak becomes almost symmetrical. The extent of cure (or
11 conversion) vs. temperature as a function of 1MI concentration is shown in Fig. 4b. The
12 reaction rate increases with 1MI concentration, which has been explained by Such *et. al.*⁵

1 According to Such, at low 1MI concentration, H^+ can adduct with pyridine-type nitrogen in
2 1MI and forms 1MI- H^+ , which lower the initiation efficiency. As a result, side reactions
3 (*e.g.* chain-transfer and termination reactions) could become important and lead to an
4 asymmetrical exothermic peak as well as a lower ultimate conversion. However, when 1MI
5 concentration is high enough (*i.e.* 8mol% and 10mol%), side reactions due to the presence
6 of 1MI- H^+ become insignificant, and 1MI can effectively trigger reactions and leads to
7 more symmetrical exothermic peaks. The total heats of reaction per mole of epoxide group
8 are shown in Fig. 5. Clearly for this curing system, a critical 1MI concentration of 8mol%
9 is needed to ensure high ultimate conversion.



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11 **Fig. 4** (a) DSC traces of neat DGEBA initiated by different 1MI concentration; (b)
12 conversion vs. temperature at different 1MI concentration. 1MI concentration increases
13 along the direction of the arrow.



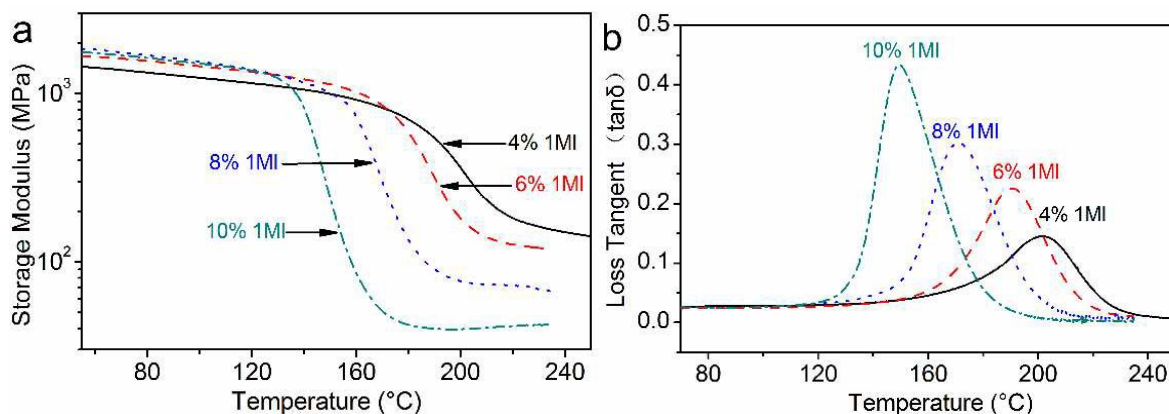
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2 **Fig. 5** Total heats of reaction for neat DGEBA as a function of 1MI concentration
3 (1MI/epoxide molar ratio).

4 3.1.3. Effects of 1MI concentration on DMA results.

5 The storage moduli (E') and loss tangent ($\tan\delta$) of cured neat DGEBA epoxy with different
6 1MI loadings (4, 6, 8 and 10 mol%), are shown in Figs. 6a and 6b, respectively. Only one
7 step change is evident in E' , and only one peak of $\tan\delta$ is shown, suggesting a single T_g . T_g
8 values as defined by the peak temperatures of $\tan\delta$, which are listed in Table 1. Clearly, T_g
9 decreases monotonically with increasing 1MI concentration. It is noted that imidazoles can
10 also act as chain terminators during later stages of cure. Thus, higher 1MI concentration can
11 lower T_g . As shown in Fig. 6a, the rubbery plateau modulus (E_r) decreases with 1MI
12 concentration. For highly crosslinked epoxy, no theory can accurately quantify the average
13 crosslink density in those highly crosslinked networks. Based on either the classical
14 rubbery elasticity theory or on the empirical equations proposed for epoxy,³⁸ higher average
15 crosslink density is always associated with higher E_r . At low 1MI concentrations (*i.e.* 4 and
16 6mol%), cured samples have high average crosslink densities despite the lower ultimate
17 conversions. The lower average crosslink density at higher 1MI concentrations could be
18 related to the formation of shorter primary chains (larger number of active chains

1 competing for the epoxy groups).¹¹ In addition, full width at half maxima (FWHM) of $\tan\delta$,
 2 which may reflect the uniformity of network and the distribution of M_c (the average MW
 3 between crosslinking points), is listed in Table 1. Clearly, FWHM decreases with
 4 increasing 1MI concentration, which can be explained by higher possibilities of side
 5 reactions and associated defects at low 1MI concentrations.

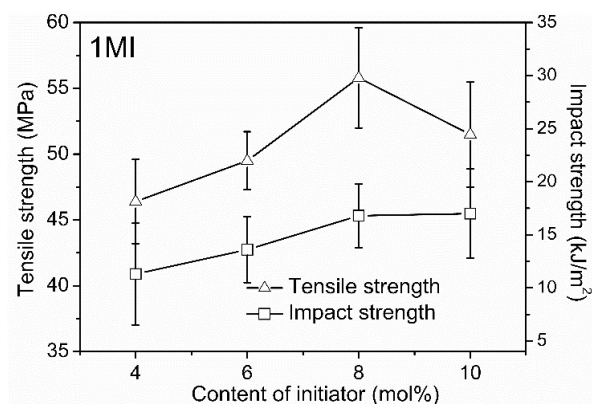


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 7 **Fig. 6** Effects of 1MI concentration on (a) storage modulus G' , (b) lost tangent ($\tan\delta$) of
 8 neat DGEBA.

9 3.1.4. Effects of 1MI concentration on mechanical properties.

10 The tensile and impact strengths of neat DGEBA initiated by different amounts of 1MI are
 11 shown in Fig. 7. When 1MI concentration increases, the tensile strength increases to the
 12 maximum at 8mol% then decreases; whereas, the impact strength increases linearly up to
 13 8mol% then begins to level off at 10mol% loading. Thus, balanced mechanical properties
 14 and adequate thermal performance are achieved at 8mol% 1MI concentration. It is
 15 interesting to note that when catalyzed by DMAP, the highest T_g is obtained at 8mol%
 16 concentration; however, no mechanical properties were reported for DMAP initiated
 17 system.¹¹ Mechanical properties of cured epoxy depend on the crosslink density (and

1 associated defects), backbone stiffness, and possible internal backfolding of hyperbranched
2 molecules which may affect the net-strand configuration and local free volume. As
3 mentioned before, at low 1MI concentrations, side-reaction induced defects, and low
4 ultimate conversion may results in poor mechanical properties. When 1MI concentration
5 increases to 6mol%, adequate conversion can be achieved. At 10mol%, too many reactive
6 centers can trigger homopolymerization simultaneously and lead to lower average crosslink
7 density. In addition, T_g decreases with 1MI concentration, and the lower T_g is favorable to
8 the toughness at room temperature. Thus, the highest toughness at 10mol% loading could
9 be related to the less defected network and the lowest T_g . At 8mol% loading, a fully cured
10 network with adequate crosslink density, and marginal side reactions is resulted and shows
11 balanced overall mechanical performance.



12

13 **Fig. 7** Effects of initiator concentration on tensile strength and impact strength.

14 The mechanical and thermal properties as well as curing time of several DGEBA curing
15 systems (homopolymerized DGEBA and DGEBA cured with different curing agents) are
16 compared in Table 2. The homopolymerized DGEBA system shows high T_g and the highest
17 thermal stability; moreover, it cures the fastest and uses the least amount of catalyst or
18 curing agent (3.3 g 1MI per 100 g DGEBA). In spite of those balanced performance, the

1 toughness and tensile strength of DGEBA/1MI system can still be improved. In order to do
2 so, EHBPE was added into DGEBA/1MI system, and results are shown in the next section.

3

4 **Table 1.** Thermal properties of neat DGEBA initiated by 1MI.

1MI molar concentration	1MI loading (per 100 g DGEBA)	^a T _g (°C)	^b FWHM of tanδ (°C)	^c T _{d5} (°C)
4mol%	1.7	201.6	38.7	446
6mol%	2.6	190.5	31.0	433
8mol%	3.3	171.0	28.7	428
10mol%	4.3	149.6	25.4	428

5 ^aThe peak temperature of tanδ in DMA measurements; ^bWidth of at half peak height in
6 tanδ; ^cThe temperature corresponding to 5wt% weight loss.

7

8 **Table 2.** Properties of typical DGEBA curing systems

Curing agent	weights of curing agents or catalyst (per 100 g DGEBA)	Curing time (h)	Impact strength (kJ/m ²)	Tensile strength (MPa)	^b T _g (°C)	^c T _{d5} (°C)
This work ^a	3.3 g	3	16.8	57.8	171	428
TETA ²⁸	12.4 g	16	28.8	66.9	143	380
DDM ³⁹	25.3 g	9	15.2	76.1	150	382
NMA ³³	90.9 g	7	14.6	64.9	182	294
MeHHPA ³²	85.8 g	7	19.1	69.1	150	359

9 ^aHomopolymerized epoxy with 8mol% 1MI loading; ^bThe peak temperature at tan δ; ^cThe
10 temperature corresponds to 5% weight loss.

11

12 3.2. Hybrid epoxy systems containing different amounts of EHBPE.

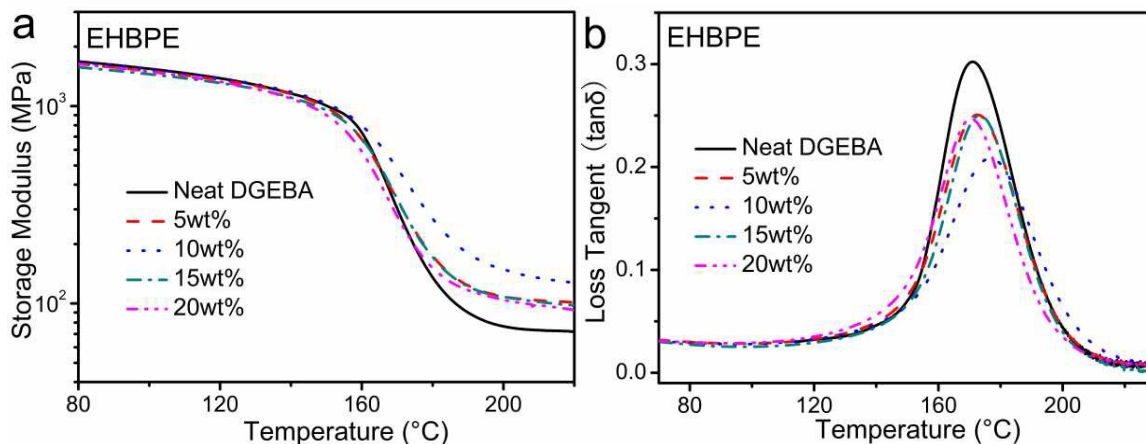
13 3.2.1. Synthesis and characterization of hyperbranched polyether.

14 As shown in Scheme 3, the majority of network strand is the flexible “-C-C-O-” unit.
15 However, the high T_g and E_r values imply that the average crosslink density of properly
16 cured homopolymerized epoxy should be high. In order to improve the toughness and
17 strength of homopolymerized neat DGEBA, epoxide-terminated hyperbranched polyether
18 (EHBPE) was added into DGEBA and becomes a hybrid linear-hyperbranched epoxy

1 mixture. EHBPE was synthesized by two-step reactions (see Scheme 2). In the first step,
2 phenol-terminated hyperbranched polyether was obtained by reacting A_2 (1,8-
3 dibromooctane) with B_3 (triphenol methane). In the second step, the terminal phenolic
4 groups on HBPE were converted to epoxy groups, and epoxide-terminated HBPE (EHBPE)
5 was obtained. The number-average molecular weight (M_n) and molecular weight
6 distribution (PDI) are 3.3×10^3 and 3.3, respectively; the epoxide equivalent weight (EEW)
7 of EHBPE is 454.55 g/equiv, which is determined by titration.

8 3.2.2. Effects of EHBPE loading on DMA results.

9 The storage moduli (E') and loss tangent ($\tan\delta$) of cured neat DGEBA and hybrids
10 containing 5, 10, 15, and 20wt% EHBPE as a function of temperature are shown in Figs. 8a
11 and 8b, respectively. Similar to the cured neat DGEBA, only one T_g is evident in cured
12 hybrids. Below 10wt% loading, addition of EHBPE leads to a notable increase in E_r , which
13 suggests a higher average crosslink density. However, when excessive EHBPE (15 and
14 20wt%) is added, both E_r and T_g decrease, which could be related to two factors: on one
15 hand, when excessive multifunctional EHBPE are added, quite a few terminal groups on
16 EHBPE cannot be reacted due to steric hindrance and result in a lower E_r and T_g (see
17 Scheme 4b);⁴⁰ on the other hand, the dilution effects due to the introduction of EHBPE,
18 which contains flexible A_2 units, may also contribute. In addition, those unreacted terminal
19 epoxide groups may introduce more defects and weak links in the network and compromise
20 thermal stability (see T_{d5} in Table 3).



1

2 **Fig. 8** DMA results of DGEBA/EHBPE hybrids at different EHBPE loadings. Storage
 3 modulus (a) and $\tan\delta$ (b) as a function of temperature at different EHBPE loadings.

4 On the E' and $\tan\delta$ curves, only one T_g , which corresponds to the T_g of cured epoxy, is
 5 observed; the T_g of EHBPE, which is *ca.* 50 $^{\circ}\text{C}$, is absent. Thus, no signs of phase
 6 separation are observed in cured hybrids. Temperature-modulated DSC measurements (data
 7 are not shown) also confirmed that the T_g associated with phase-separation was not found.
 8 Similar results were also reported by Zhang et al.^{41,42]} and our group²⁸⁻³⁵. We note that,
 9 when epoxy is toughened by liquid rubber,^{43,44} core-shell particles,⁴⁵ and hyperbranched
 10 polyesters,²⁵⁻²⁷ phase separation is often observed in cured networks. In phase-separated
 11 networks, effects of toughening are dictated by the phase-separated morphology, which is
 12 sensitive to surface treatments, mixing conditions, and cure schemes. Whereas, in non-
 13 phase-separated networks, controlling the cured-induced morphology is not a big concern,
 14 which allow more flexibility in choosing cure scheme. In addition, for some applications,
 15 such as electronic encapsulation materials and fiber reinforced composites, non-phase-
 16 separated network is more preferable.

17 *3.2.3. Effects of thermal expansion coefficient on EHBPE loadings.*

1 Based on the free volume theory,⁴⁶ the fractional free volume can be expressed as
2 $f(T)=f_g+\Delta\alpha(T-T_g)$, where $\Delta\alpha (= \alpha_{v,r}-\alpha_{v,g})$ is the difference between volumetric coefficients of
3 thermal expansions (CTE) in rubbery and glassy states, and f_g is the fractional free volume
4 at T_g . Thus, $\Delta\alpha$ is closely related to the fractional free volume, which has been confirmed
5 by positron annihilation lifetime spectroscopy (PALS) measurements.⁴⁷ For isotropic
6 materials, the linear coefficient of thermal expansions (LCTE) is 1/3 of CTE. As shown in
7 Table 3, a_g increases steadily with EHBPE loading; whereas, a_r first decreases at lower
8 loadings ($\leq 10\text{wt}\%$) and then increases at higher loadings. As a result, $\Delta\alpha$ shows the same
9 trend as a_r . The decreases in fractional free volume with EHBPE loading could be related to
10 the higher crosslinked density, as suggested by DMA results.

11 For typical epoxy, an increase in crosslink density often leads to decreases in both α_r and
12 $\Delta\alpha$,⁴⁸ which agrees with the trend in our homopolymerized hybrid epoxy. It is worth noting
13 that, however, when hybrid epoxy are cured with anhydride and amine, $\Delta\alpha$ increase
14 monotonically with hyperbranched polymer (HPB) loading, which has also been confirmed
15 by PALS.³⁵ In those anhydride- and amine- cured non-phase-separated hybrid epoxies, the
16 higher $\Delta\alpha$ is related to the extra inter-molecular cavities and intra-molecular free volumes
17 due to incorporation of hyperbranched modifiers, which play an important role in
18 toughening.²⁸ However, for our homopolymerized hybrid epoxies, addition of EHBPE
19 leads to lower $\Delta\alpha$. As a result, the observed higher toughness in homopolymerized hybrid
20 epoxy cannot be explained by the higher fractional free volume alone that has been widely
21 use to explain the improve toughness of non-phase-separated network. Possible
22 explanations for the improved toughness in our homopolymerized hybrid epoxy will be
23 discussed in later sections.

1 **Table 3.** Thermal properties of DGEBA/EHBPEs hybrids.

Sample	^a T_g (°C)	FWHM of $\tan\delta$ (°C)	E_r (MPa)	^b T_{d5} (°C)	α_g ($\times 10^{-6} \cdot K^{-1}$)	α_r ($\times 10^{-6} \cdot K^{-1}$)	$\Delta\alpha = \alpha_r - \alpha_g$ ($\times 10^{-6} \cdot K^{-1}$)
Neat DGEBA	171.0	28.7	71.9	428	84.9	198.3	113.4
5wt% EHBPE	172.7	31.0	101.3	424	88.6	187.2	98.6
10wt% EHBPE	176.4	34.8	127.3	422	92.0	162.3	70.3
15wt% EHBPE	173.0	30.0	97.8	415	92.9	172.0	79.1
20wt% EHBPE	170.4	29.9	92.6	408	97.2	178.4	81.2

2 ^aThe peak temperature at $\tan\delta$; ^bThe temperature corresponding to 5wt% weight loss.

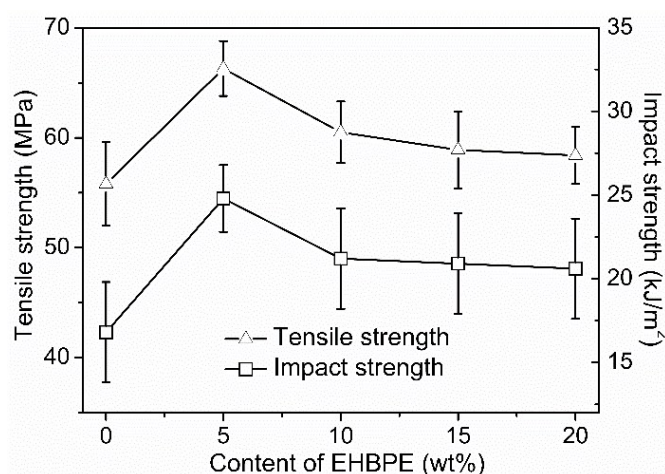
3

4 *3.2.4. Dependence of mechanical properties on EHBPE loadings.*

5 The tensile strength and impact strength of cured hybrids containing different EHBPE
6 loadings are shown in Fig. 9 and Table 4. Both impact strength and tensile strength show a
7 notable increase at 5wt% loading and then decrease at 10wt%; at even higher loading,
8 further slow drop is evident. At 5wt% EHBPE loading, T_g , impact strength, and tensile
9 strength all show notable improvements. It is worth pointing out that similar optimum
10 performance at 5wt% loading is also found in several other anhydride- and amine-cured
11 hybrid epoxy systems.²⁸⁻³⁵ As shown above, below 10wt% loading, addition of EHBPE
12 could increase the average crosslink density. Thus, a higher tensile strength is not
13 surprising. However, at 20wt% loading, the drop in tensile strength and toughness could be
14 related to the defects due to incomplete cure (see Scheme 4b).

15 It is easy to triple toughness by adding elastomers at the cost of tensile strength, modulus,
16 and *esp.* T_g . More importantly, rubber toughening is not effective to epoxy with higher T_g s.
17 On the other hand, toughness can be doubled easily by blending thermoplastics with
18 marginal or no sacrifice of T_g and modulus. However, this method requires that the epoxy
19 matrix needs to have some degrees of flexibility. In addition, both methods increase

1 viscosity a lot, which lower processability, and rely on phase separated domains, which is
 2 sensitive to curing schemes, to improve toughness. However, in our case, when only 5wt%
 3 EHBPE (the loading is often much lower than elastomers and plastics) is added, the tensile
 4 and toughness of hybrids are 19% and 48% higher than that of neat system, respectively,
 5 without compromising T_g value and forming phase separation. Although the improvements
 6 in toughness are not as great as some thermoplastics and rubbers, however, a “balanced”
 7 toughening is achieved, *i.e.*, the increased toughness is not accompanied by decreases in T_g ,
 8 tensile strength, and processability.



10

11 **Fig. 9** Effects of EHBPE loading on the impact strength and tensile strength.

12 **Table 4.** Mechanical properties of DGEBA/EHBPE hybrids

Sample	Tensile strength		Impact strength	
	Value (Mpa)	Changes	Value (kJ/m ²)	Changes
Neat DGEBA	55.8		16.8	
5wt% EHBPE	66.3	18.8% ↑ ^a	24.8	47.6% ↑
10wt% EHBPE	60.5	8.4% ↑	21.2	26.2% ↑
15wt% EHBPE	58.9	5.5% ↑	20.9	24.4% ↑
20wt% EHBPE	58.4	4.7% ↑	20.6	22.6% ↑

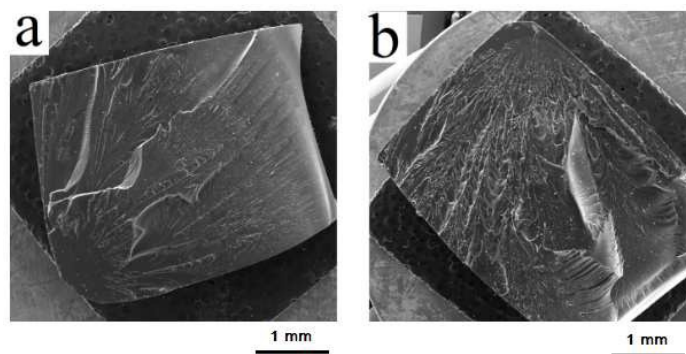
13 ^a ↑ represents the increase of the value.

1

2 *3.2.5. Morphology of fractured surface and toughening mechanisms.*

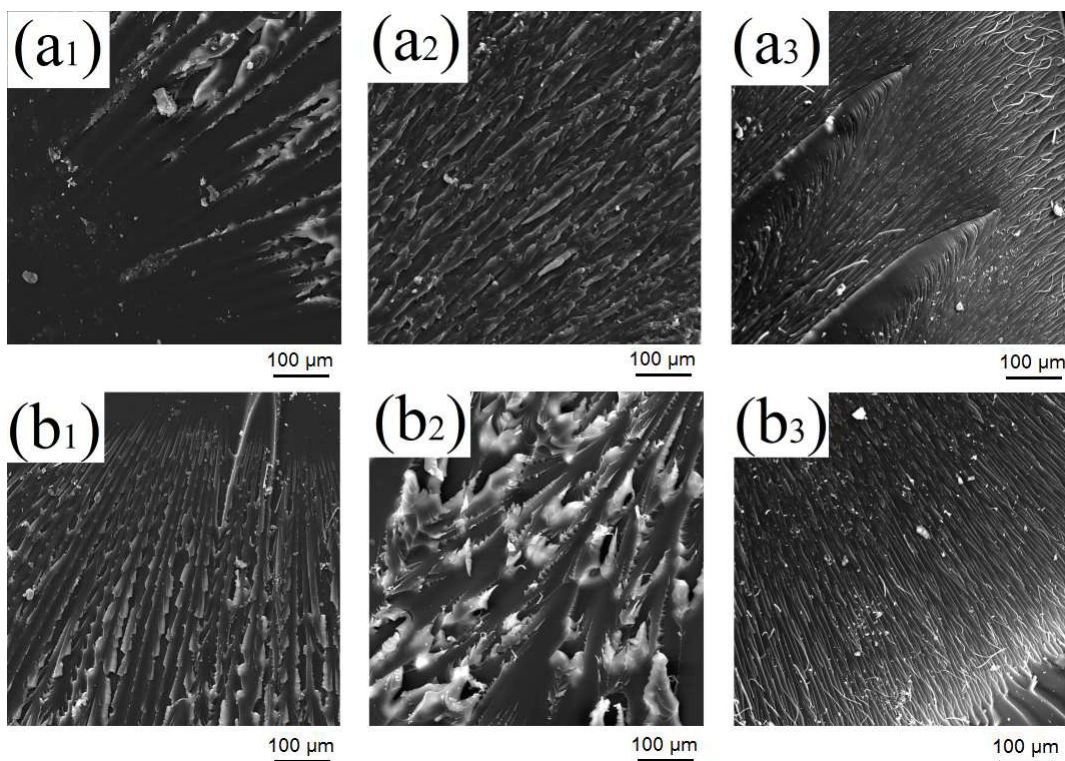
3 SEM images of fractured surface are shown in Figs. 10a (neat DGEBA) and 10b (hybrid
4 with 5wt% EHBPE). In both Figs, cracks are spreading out from one point, and the
5 fractured surface of hybrid is rougher than that of neat DGEBA. Enlarged images of
6 fracture surfaces are shown in Figs. 11a₁–11a₃ (corresponding to different locations in Fig.
7 10a) and 11b₁–11b₃ (corresponding to different locations in Fig. 10b). In hybrid epoxy,
8 dimple-like structures and stress-whitened zones are found in the vicinity of cracks (Fig.
9 11a₂), whereas in neat DGEBA, relatively smooth surface is observed (Fig. 11b₂). At the
10 end of cracks (Fig. 11a₃), large amounts of fibrils are observed, which can absorb energy
11 under impacts. In contrast, in corresponding positions of neat DGEBA, less fibrils are
12 observed (Fig. 11b₃).

13



14

15 **Fig. 10** SEM images of the impact fracture surfaces of (a) neat epoxy and (b) the hybrid
16 epoxy with 5wt% EHBPE loading under low magnification.



1

2 **Fig. 11** Enlarged SEM images of impact fracture surfaces at different positions. For the
3 neat system: (a₁) the starting point of the crack, (a₂) the middle region, and (a₃) the end
4 zone. For the hybrid with 5wt% loading: (b₁) the starting point of crack, (b₂) the middle
5 region, and (b₃) the end zone.

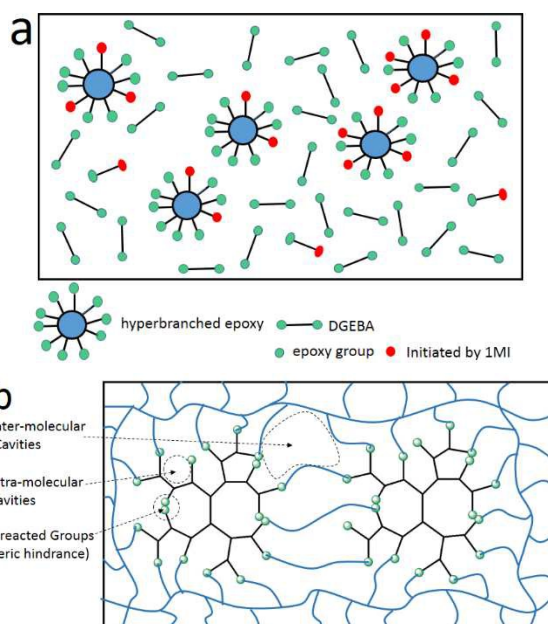
6

7 In typical epoxy formula, stoichiometric amounts of curing agents are often added in
8 order to achieve high conversion. In most epoxy/curing agent systems, the network is
9 formed by a stepwise fashion. During cure, the reactive groups in curing agents react with
10 epoxide groups to form linear chains then begin to branch out, and finally lead to three-
11 dimensional highly crosslinked networks. However, during the curing process of catalytic
12 homopolymerization of epoxy, the network is built up by a chainwise fashion. In the early

1 stages, the catalyst activates epoxide groups to form reactive centers then trigger chain
2 reaction (see Scheme 3a). Compared with DGEBA, EHBPE have more terminal epoxide
3 groups and larger surface area; thus, EHBPE could be more easily activated. A rough
4 estimation based on M_n and EEW shows that, an EHBPE molecule, on average, has more
5 than 10 epoxide groups. It is likely that more than two or more epoxide groups in one
6 EHBPE molecule maybe be activated at the same time (see Scheme 4a). When EHBPE
7 loading increases, the number of simultaneously activated epoxide groups on each EHBPE
8 molecule also increase. Each propagation center can generate a long primary chain; if
9 several active centers in the same EHBPE propagate simultaneously, a highly crosslinked
10 network could be formed faster. However, if excessive terminal groups in the same EHBPE
11 molecule are activated simultaneously, hindrance effects and side reactions could actually
12 lead to lower average crosslink density, which is in agreement with DMA results.

13 Epoxide-terminated hyperbranched molecules have shown great potentials as all-purpose
14 tougheners for DGEBA, which can simultaneously improve toughness and tensile strength
15 without forming phase separation. PALS results showed that nano-sized cavities (including
16 both inter- and intra- free volume or cavities) can be introduced with the introduction of
17 hyperbranched modifiers. In previously reported amine- and anhydride non-phase-
18 separated hybrid epoxy, the increased free volume/cavity, which may deform under impacts,
19 is often used to explain the higher toughness. However, in the studied homopolymerized
20 hybrid epoxy, lower fractional free volume is observed; thus, the well-cited cavity
21 deformation mechanism does not apply in our case. Considering the complex structure of
22 the cured network, indentifying the exact toughening mechanisms is out the main scope of
23 the paper, thus only some possibilities are outlined. Aside from the cavity deformation
24 mechanism, we have already proposed several other mechanisms for an amine-cured hybrid

1 epoxy.²⁸ Below are some of the possibilities which may still apply to our homopolymerized
2 epoxy systems. First, the flexible alkyl units in EHBPE and the flexible -C-C-O- units in
3 cured network make secondary relaxations available (for example, crankshaft and kink
4 motions) well below room temperature, which could improve toughness. Second, the many
5 arms of hyperbranched crosslinks could more easily redistribute the force to all directions
6 and alleviate stress concentration. Third, introduction of hyperbranched molecules leads to
7 nano-sized inhomogeneity which percolated through the whole cured network; during
8 cooling, the mismatches in local moduli and CTE could lead to some favorable effects and
9 promotes local shield yielding.



Scheme 4. (a) The initiation stage of 1MI/DGEBA curing system; (b) cured network of hybrids.

4. CONCLUSIONS

1 Homopolymerized epoxy with high T_g and adequate toughness and strength was achieved
2 by adding 5wt% epoxide-terminated hyperbranched polyether (EHBPE) into DGEBA.
3 Effects of catalyst type, catalyst concentration, and EHBPE loading on processability and
4 mechanical properties were investigated. Unlike typical epoxy formula which use large
5 amount of costly, volatile, and toxic curing agent, the reported curing system does not use
6 curing agents and only need small amounts of catalyst and EHBPE. This curing system is
7 environmental friendly because it does not involve volatile and often toxic curing agents; in
8 addition, it also saves costs associated with raw materials and processing. The
9 homopolymerized epoxy shows high T_g and excellent balanced mechanical properties.

10 Neat DGEBA initiated by 1MI and DMAP are first compared. It is found that 1MI is a
11 good catalyst for homopolymerization of DGEBA. At lower 1MI loadings (4 and 6mol%),
12 side reactions lead to low conversions and inadequate toughness and strength; at 10mol%
13 1MI, cured network has too many shorter primary chains and relatively low crosslink
14 density, which compromise the tensile strength. At 8mol% 1MI, the fully cured network
15 shows high crosslink density and marginal side reactions and balanced mechanical
16 performance.

17 In order to improve T_g and mechanical performance of homopolymerized DGEBA,
18 EHBPE was added into DGEBA as a reactive modifier. Addition of EHBPE can
19 simultaneously improve T_g , tensile strength, and toughness without forming phase
20 separation. At 5 wt% EHBPE loading, compared with neat DGEBA, toughness and tensile
21 strength by 47.6% and 18.8%, respectively. Unlike other reported non-phase-separated
22 epoxy which is toughened by hyperbranched modifiers, this homopolymerized system

1 shows decreased fractional free volume after addition of EHBPE. Thus, the conventional
2 cavity deformation mechanism does not apply.

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7 **Notes**

8 Tuan Liu and Bing Han contribute equally to this work.

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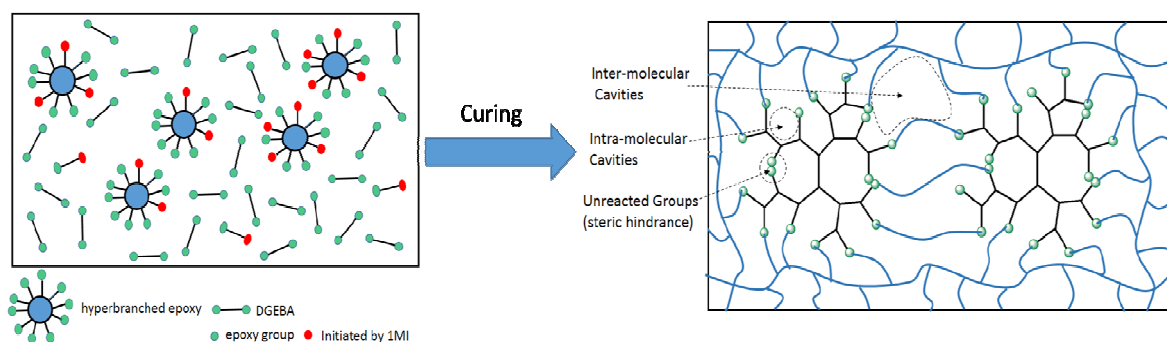
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Environmental Friendly High Performance Homopolymerized Epoxy Using Hyperbranched Epoxy as Modifier

Tuan Liu, Bing Han, Liangdong Zhang, Min Wu, An Xing, Xuepei Miao, Yan Meng and Xiaoyu Li



A high performance, low cost, and environmental friendly epoxy is demonstrated for the first time by copolymerizing small amount of epoxide-terminated hyperbranched polyether with DGEBA.