

This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. This Accepted Manuscript will be replaced by the edited, formatted and paginated article as soon as this is available.

You can find more information about *Accepted Manuscripts* in the **Information for Authors**.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard <u>Terms & Conditions</u> and the <u>Ethical guidelines</u> still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.



www.rsc.org/advances

1

6

7

8

9

10

11

12

13

14 15

16

17

18

19

20

21 22

23

24 25

26

27

A modification of conventional technique for synthesis of hydrazones of racemic carbonyls: prevention of spontaneous chiral inversion Manisha Singh and Ravi Bhushan\* Department of Chemistry, Indian Institute of Technology Roorkee, Roorkee - 247667, India Ph. +91-1332-285795 Fax: +91-1332-286202, e-mail: rbushfcy@iitr.ernet.in; manishasingh2283949@gmail.com Abstract Conventionally hydrazones and other derivatives of carbonyls are synthesized under acidic conditions when spontaneous chiral inversion is a common problem if the carbonyl compound is chiral. A new method has been developed involving, solid phase microwaveassisted conditions for synthesis of 2,4-dinitrophenyl hydrazone(s) of chiral carbonyl compounds wherein there occurred no inversion of configuration. The method provided high yields (91-95%) in short reaction time (4-6 min). The method proposed clearly has synthetic advantages over current practices. The hydrazones were characterized by IR, <sup>1</sup>H NMR and CHN analysis. The hydrazones represent enantiomeric pairs tagged with a strong chromophore rather than diastereomers. The enantiomeric pairs were separated by HPLC using  $\alpha_1$ -acid glycoprotein column and the best resolution of all the analytes was achieved with mobile phase containing 0.5% 2-propanol in 10mM citrate phosphate buffer at pH 6.5. The chromatographic peaks clearly showed base line separation with comparable peak areas and thus the results confirmed that there was no spontaneous inversion of configuration during derivatization. The chromatograms corresponding to the products obtained by conventional procedure (from the racemic mixtures of analytes) showed peaks with unequal areas suggesting formation of enantiomers in unequal amounts (i.e., non racemic mixtures) because of spontaneous inversion of configuration during derivatization.

28 29

30 *Keywords*: Chiral carbonyl compounds;  $\alpha_1$ -acid glycoprotein column; Direct enantioresolution; 31 High-performance liquid chromatography; Dinitrophenyl hydrazone; Spontaneous chiral 32 inversion.

- 33
- 34

# 35 **1. Introduction**

It may not be an exaggeration to state that the carbonyl group is the centerpiece of organic chemistry. It is not only present itself in most of the main functional groups with multiple bond from carbon to heteroatom but it also serves as a model for reactions of all functions with  $\pi$ bonds between dissimilar atoms. Besides, its modes of reaction are simple and are very versatile in terms of synthetic applications. Compounds having a primary amino group cause a nucleophilic addition at the electron deficient carbonyl carbon of aldehydes and ketones (with a

# **RSC Advances Accepted Manuscript**

### **RSC** Advances

subsequent condensation step to replace the carbonyl oxygen by nitrogen (>C=O  $\longrightarrow$  >C=N + H<sub>2</sub>O). Notable among such reagents are phenyl-, *p*-nitrophenyl-, and 2,4-dintrophenylhydrazines which are used much more often and give the corresponding hydrazones with most aldehydes and ketones<sup>1</sup>. These make excellent derivatives as sharp melting solids (and having characteristic IR spectra), useful for characterization of the parent aldehydes or ketones.

Such derivatizations (including D-exchange and bromination) proceed via enol formation which is rate determining (and are generally acid catalyzed). Ketones offer a choice of enolization in two directions. In saturated molecules, the enolate from the less substituted side is favoured in base catalysed reaction while in acid the more substituted enol is preferred. It is the more resonance-stabilized enol or enolate that is always preferred.

Enolization causes inversion of configuration at the asymmetric  $\alpha$ -carbon to carbonyl since the enol has no asymmetry. The most stable configuration at the  $\alpha$ -carbon will result from equilibration by enolization. In addition reactions to carbonyl carbon, the asymmetry present on the carbon  $\alpha$ - to carbonyl makes the less hindered side of the carbonyl  $\pi$ -orbital more accessible and may cause spontaneous configurational inversion resulting into enantiomeric mixtures (which are often not predictable).

Enantioresolution of chiral aliphatic or alicyclic aldehydes and ketones by direct 58 59 approach using liquid chromatography would require the presence of a suitable chromophore for 60 on-line detection in UV-visible region. Direct enantioresolution based on reversible diastereomeric association between solute enantiomers and chiral stationary phases (CSPs) offers 61 the advantages of simple chromatographic runs and absence of kinetic resolution and 62 racemization over indirect method of chiral separation<sup>2,3</sup>. Among the various nucleophilic 63 reagents containing amino group 2,4-dinitrophenyl hydrazine is the one that could act as an 64 achiral strong chromophore for carbonyl compounds for its DNP moiety. 65

66

**DNP-derivatives**: Since the pioneering work of Sanger<sup>4</sup> on the preparation of dinitrophenyl (DNP) derivatives of amino acids their use for sequence analysis has declined in the modern times. Nevertheless, DNP moiety attracted attention for its application, as a strong chromophore, for synthesis of several chiral derivatizing reagents (CDRs) which have been used for separation and detection of diastereomers of a variety of pharmaceutically important racemic compounds<sup>5-7</sup>.

Enantioresolution of chiral carbonyl compounds: Literature reveals sporadic reports on 73 enantioresolution of chiral carbonyl compounds involving application of DNP moiety or 74 hydrazone derivative. These include indirect enantioresolution using CDRs developed from 1,5-75 difluoro-2,4-dinitrobenzene<sup>8</sup> wherein the DNP moiety serves as a chromophore for on-line 76 77 detection of the corresponding diastereomers. CSP derived from (S)-1-(6, 7-dimethyl-1naphthyl) isobutylamine was used for resolution of cyclic and acyclic chiral ketones as their 78 oxime 3,5-dinitrophenyl carbamates<sup>9</sup>. A chiral phosphorylhydrazine reagent was used to prepare 79 hydrazone diastereomers of chiral ketones which were analyzed by <sup>31</sup>P NMR and HPLC<sup>10</sup>. 80

Some other reports include resolution of chiral cyclic ketones by direct approach using 81 CSPs based on amylose tris(3,5-dimethylphenyl carbamates) and cellulose tris(3,5-82 dimethylphenyl carbamates)<sup>11</sup>, cellulose tribenzoate<sup>12</sup>, and  $\beta$ -cyclodextrin<sup>13,14</sup>. Enantioresolution 83 of Wieland-Miescher ketones, their C(5) homologue, and their C(1) dioxolane derivatives has 84 been reported<sup>15</sup> using commercially available CSPs like cellulose tris-(3,5-dimethyl-85 phenvlcarbamate), native  $\beta$ -cyclodextrin, and acetylated, carboxymethylated and permethylated 86  $\beta$ -cyclodextrins. Direct enantioresolution of Mannich ketones has been achieved by using 87 aqueous copper (II) acetate and L-aspartame<sup>16</sup> and, cellulose and cyclodextrin derivatives<sup>17</sup> as 88 chiral mobile phase additives. 89

90 Thus, it is evident that till now the scientific issue with respect to spontaneous 91 configurational inversion of any chiral carbonyl compound (used either as an enantiomerically 92 pure sample or a racemic mixture) undergoing derivatization with an amino group containing 93 nucleophilic reagent has not been investigated.

94

Present work: Taking into account the literature, as noted above, and the references cited therein 95 the objective of the present report has been to develop method of synthesis of derivatives (DNP-96 hydrazones in the present case) of certain didactic racemic carbonyl compounds (four ketones 97 and two aldehydes (I-VI, Fig. 1) which would proceed without spontaneous chiral inversion, and 98 99 to establish 'racemic' and 'non-racemic' composition of the products obtained under newly developed method, and the products obtained by derivatization of the same racemic carbonyl 100 compound under conventional acidic conditions. To achieve the objective, (a) solid phase 101 microwave-assisted conditions were developed for synthesis of 2,4-dinitrophenyl hydrazone(s) 102 (DNPHz) of certain didactic chiral carbonyl compounds, and (b) the DNPHz derivatives of 103 racemic carbonyl compounds were then resolved by chiral HPLC into enantiomers using  $\alpha_1$ -AGP 104 column. The emphasis was not on developing a method for enantioseparation but it was to verify 105 the 'racemic' and 'non-racemic' nature of the product. And the novelty of the present work lies 106 in the above said two aspects. 107

Literature reports on the importance of carbonyl compounds in organic synthesis or in pharmaceutical industry or the methods of their enantioresolution have not been discussed because the focus of the present paper is to report the aspects mentioned above.

111

### 112 **2.** Experimental

### 113 *2.1. Apparatus*

114 The HPLC system of Waters (Milford, MA, USA) was used that consisted of a 515 115 HPLC pump, a Waters 2489 UV-vis dual wavelength detector, high pressure binary gradient 116 pump control module II, a manual injection valve, an empower2 operating software (build 117 number, 2154). Other equipment used were Microwave-Multiwave 3000 (800W, Perkin-Elmer, 118 Shelton, CT, USA),  $\alpha_1$ -AGP (L×I.D. 10cm × 4mm, 5 µm particle size) column from Chromtech 119 Merck (Darmstadt, Germany), pH meter Cyberscan 510 (Singapore), Polarimeter P-3002 (Krüss, Hamburg, Germany), FT-IR spectrometer 1600 (Boardman, OH, USA), Vario EL III
 elementar analyzer, and Shimadzu UV-1601 spectrophotometer (spectra were recorded in
 MeOH). <sup>1</sup>H NMR spectra were recorded on a Bruker 500 MHz instrument using CDCl<sub>3</sub> as the
 solvent.

124

125 2.2. Chemicals and reagents

126 (±)-2-Methylcyclopentanone; (±)-2-methylcyclohexanone; (±)-3-methylcyclohexanone, 127 (±)-3-methyl-2-pentanone, (±)-2-methylbutyraldehyde, (±)-2-phenylpropionaldehyde and 2,4-128 dinitrophenyl hydrazine (2,4-DNPH) were obtained from Sigma–Aldrich (St. Louis, MO, USA). 129 All other analytical-grade chemicals, HPLC grade solvents such as acetonitrile (MeCN) and 130 silica gel 60 were also from E. Merck (Mumbai, India). Double distilled water purified (18.2MΩ 131 cm<sup>3</sup>) with Milli-Q system of Millipore (Bedford, MA, USA) was used throughout.

- 132
- 133
- 134

### 135 (i) Solid phase microwave-assisted approach

Representative synthesis of 2,4-DNPHz of (±)-3-methyl-2-pentanone and characterization
 data of all the resulting six hydrazones is given below.

2.3. Synthesis and characterization of dinitrophenyl hydrazones (2,4-DNPHz)

138 Synthesis of 2,4-DNPHz of  $(\pm)$ -3-methyl-2-pentanone (3):

2,4-DNPH (0.019 g; 10 mmol) and (±)-3-methyl-2-pentanone (0.010 g; 10 mmol) were dissolved 139 in MeOH (10 mL) followed by addition of silica gel (6 g) to this solution. After about 20 140 minutes, the solvent was evaporated and the silica gel (on which the two reactants were 141 142 adsorbed) was irradiated with MW in an oven at 500 W for 4 min (with 1 min interval). The MW irradiated silica gel was then stirred in ethyl acetate (10 mL) for 10 min and then filtered. The 143 residual silica gel was washed twice with 5 mL ethyl acetate; the combined extract was 144 concentrated under the stream of nitrogen and was left for crystallization. The yields were in the 145 146 range of 91 - 95%. The hydrazones obtained, as the product, under experimental conditions (i) were designated as (1-6). These derivatives were analysed by chiral HPLC. 147

148

### 149 (ii) Conventional acid catalyzed synthesis

150 2,4-DNPH (0.019 g; 10 mmol) was dissolved in 10 mL MeOH, in a small conical flask; as a 151 representative, solution of  $(\pm)$ -*3-methyl-2-pentanone* (0.010 g; 10 mmol) in 10 mL MeOH was 152 added to it. Concentrated sulphuric acid was then added drop by drop with constant stirring till 153 pH 4 was obtained. The reaction mixture was allowed to stand for 10 min. Formation of 154 corresponding derivative as 2,4-DNPHz occurred during this time. It was filtered and 155 recrystallized from MeOH. The yields were in the range of 78 – 82%. The hydrazones obtained, 156 as the product, under experimental condition (ii) were analysed by chiral HPLC.

- 157
- 158
- 159

**Characterization of the hydrazones** 160 161 Melting points were determined in open ended glass capillaries and were uncorrected. The 162 hydrazones were characterized by IR, <sup>1</sup>H NMR and CHN analysis; the data is given below. 163 164 2,4-DNPHz of (±)-3-methylcyclohexanone (1): Color: yellow; mp 103±2 °C; UV ( $\lambda_{max}$ , 365 165 • nm, MeOH): 365; IR (KBr): 3308 (NH), 1617 (C=N), 1590 (Ar); <sup>1</sup>H NMR: δ 9.15 (1H, s, ArH), 166 167 δ 8.31-8.30 (1H, d, ArH), δ 8.00-7.98 (1H, d, ArH), δ 1.07 ( 3H, d), δ 2.07 (1H, m), δ 1.36 ( 2H, m), δ 1.98 (2H, m), δ 1.58 (2H, m), δ 11.2 (1H, s); Anal. Calcd for C<sub>13</sub>H<sub>16</sub>N<sub>4</sub>O<sub>4</sub>: C, 53.42%; H, 168 5.52%; N, 19.17%. Found: C, 53.22%; H, 5.30%; N, 19.08%. 169 170 • 2,4-DNPHz of (±)-2-methylcyclopentanone (2) 171 Color: yellow; mp 105±2°C; UV (λ<sub>max</sub>, 363 nm, MeOH); IR (KBr): 3416 (NH), 1619 (C=N), 172 1511 (Ar); <sup>1</sup>H NMR: δ 10.8 (1H, s), δ 9.15 (1H, s, ArH), δ 8.31 (1H, d, ArH), δ 8.01-7.99 (1H, d, 173 ArH), δ 1.28 (3H, d), δ 2.76 (2H, m), δ 2.10 (2H, m), δ 2.24 (2H, m), δ 2.41 (1H, m); Anal. 174 Calcd for C<sub>12</sub>H<sub>14</sub>N<sub>4</sub>O<sub>7</sub>: C, 51.80%; H, 5.07%; N, 20.13%. Found: C, 51.62%; H, 4.95%; N, 175 19.99%. 176 177 • 2,4-DNPHz of  $(\pm)$ -3-methyl-2-pentanone (3) 178 Color: orange; mp 98±2°C; UV (λ<sub>max</sub> 361 nm, MeOH); IR (KBr): 3290 (NH), 1617 (C=N), 1516 179 (Ar); <sup>1</sup>H NMR: δ11.04 (1H, s), δ 9.13 (1H, s, ArH), δ 8.31- 8.29 (1H, d, ArH), δ 7.98 (1H, 180 d,ArH),  $\delta$  2.51(1H, q),  $\delta$  1.98(3H, s),  $\delta$  1.66(2H, m),  $\delta$  1.18(3H, d),  $\delta$  0.93(3H, t); Anal. Calcd for 181 C<sub>12</sub>H<sub>16</sub>N<sub>4</sub>O<sub>4</sub>: C, 51.42%; H, 5.75%; N, 19.99%. Found: C, 51.11%; H, 5.52%; N, 19.46%. 182 183 • 2,4-DNPHz of (±)-2-methylcyclohexanone (4) 184 Color: yellow-brown; mp 112±2°C; UV (λ<sub>max</sub> 364 nm, MeOH); IR (KBr): 3320 (NH), 1621 185 (C=N), 1586 (Ar); <sup>1</sup>H NMR; δ 9.14 (1H, s, ArH), δ 8.30-8.29 (1H, d, ArH), δ 8.00-7.98 (1H, d, 186 ArH), δ 2.00 (1H, m), δ 1.06 (3H, d), δ 1.37 (2H, m), δ 1.86 (2H, m), δ 1.24 (3H, d), δ 1.58 (2H, 187 m),  $\delta$  11.2 (1H, s); Anal. Calcd for C<sub>13</sub>H<sub>16</sub>N<sub>4</sub>O<sub>4</sub>: C, 53.42%; H, 5.52%; N, 19.17%. Found: C, 188 53.2%; H, 5.23%; N, 19.01%. 189 190 • 2,4-DNPHz of  $(\pm)$ -2-methylbutyraldehyde (5) 191 Color: yellow-brown; mp 95±2°C; UV (λ<sub>max</sub>, 361 nm, MeOH); IR (KBr): 3287 (NH), 1621 192 (C=N), 1516 (Ar); <sup>1</sup>H NMR: δ 9.17 (1H, s, ArH), δ 8.30(1H, d, ArH), δ 8.00-7.98 (1H, d, ArH), 193 δ 10.9 (1H, s), δ 1.21 (6H, d), δ 1.48 (2H, m), δ 9.87 (1H, d); Anal. Calcd for C<sub>11</sub>H<sub>14</sub>N<sub>4</sub>O<sub>4</sub>: C, 194 195 49.62%; H, 5.30%; N, 21.04%. Found: C, 49.43%; H, 5.21%; N, 20.98%. 196 • 2,4-DNPHz of  $(\pm)$ -2-phenylpropionaldehyde (6) 197 5

198 Color: yellow; mp 98±2°C; UV ( $\lambda_{max}$ , 362 nm, MeOH); IR (KBr): 3290 (NH), 1617 (C=N), 1518 199 (Ar); <sup>1</sup>H NMR:  $\delta$  9.30 (1H, s, ArH) ),  $\delta$  8.31(1H, d, ArH),  $\delta$  8.00 (1H, d, ArH),  $\delta$  10.8 (1H, s),  $\delta$ 200 7.28 (2H, m),  $\delta$  7.98 (2H, m),  $\delta$  7.26 (1H, m),  $\delta$  1.24 (3H, d),  $\delta$  2.59 (1H, m),  $\delta$  10.1 (1H, m); 201 Anal. Calcd for C<sub>15</sub>H<sub>14</sub>N<sub>4</sub>O<sub>4</sub>: C, 57.32%; H, 4.49%; N, 17.83%. Found: C, 57.17%; H, 4.32%; N, 202 17.44%.

203

### 204 Stock solutions

- (i) Solutions of 2,4-DNPHz of each of the six carbonyl compounds were prepared in 2 propanol at a concentration of 10 mM and then diluted to a final concentration of 0.1mM.
- (ii) Citrate phosphate buffer was prepared using 0.1M solution of citric acid and 0.2M solution
   of dibasic sodium phosphate<sup>18</sup>.
- 209

### 210 *Chiral HPLC of reaction products*

The composition of mobile phase for achieving enantioresolution was optimized by using binary mobile phase system consisting of citrate phosphate buffer (in the concentration range 5-25mM, and pH 3.5-6.5) and 2-propanol (in the range 0.5% to 3.0%) or MeCN (in the range 1 to 5%) at a flow rate of 1mL min<sup>-1</sup>. Mobile phase was filtered through a 0.45  $\mu$ m filter and degassed by sonication and passing nitrogen before use. 20  $\mu$ L of the sample was injected onto the column. Detection was at 365nm.

217

### 218 **3. Results and Discussion**

The reaction of racemic carbonyl compounds (I) to (VI) with the reagent (2,4-DNPH) does not lead to formation of diastereomers as the reagent is achiral. There occurs '*tagging*' of enantiomers with a strong chromophore in the form of DNP moiety of 2,4-DNPH. The scheme showing synthesis of derivatives is given in Fig.2. The hydrazones obtained, as the product, under experimental condition (i) were designated as (1) to (6) and are also shown in Fig.2.

The reaction under condition (ii) requires nearly pH 4 for maximum rate while basic or highly acidic conditions lower the rate. In more strongly acid solution (pH < 3.5) the unshared pair of electrons (the nucleophilic site) of N is protonated and is no more a nucleophile<sup>19</sup>. It was interesting to observe that addition of sulphuric acid (till pH 4 is obtained) to the mixture of 2,4-DNPH and the carbonyl compound resulted into higher yield of the product hydrazone in comparison to an approach in which sulphuric acid was added at first to the solution of 2,4-DNPH followed by addition of the solution of carbonyl compound<sup>20</sup>.

The problem or the question of spontaneous configurational inversion in each of the enantiomers (present in the racemic mixture of the carbonyls) cannot be overruled when the synthesis of hydrazones was taking place in acidic liquid medium (ii). As a result the ratio of the two enantiomers is expected to get disturbed resulting into possibly a non-racemic mixture of hydrazones of the chiral carbonyls under study. Configurational inversion would occur only when the asymmetric carbon ( $\alpha$ - to carbonyl function) is involved in the formation of enol. The

possible mechanism for enol formation and configurational inversion at the asymmetric  $\alpha$ -carbon to carbonyl and the formation of hydrazone in the subsequent condensation step (replacing the carbonyl oxygen by nitrogen) is shown in Fig. 3.

The characterization data based on IR, <sup>1</sup>H NMR and CHN analysis does not differentiate in the enantiomeric ratio of the products obtained from the two approaches, as the products are structurally and chemically the same. In order to investigate the issue of spontaneous configurational inversion chiral HPLC of the products obtained under conditions (i) and (ii) was performed; racemic and non-racemic nature of products (enantiomeric composition) was a decisive factor.

246 247

248

### 3.1. Determination of racemic and non-racemic nature of products by chiral HPLC

HPLC analysis of the products obtained by approach (ii) showed peaks with unequal areas 249 and this observation led to inference that the product was non-racemic though the reactant 250 251 carbonyl compound was racemic in nature. Since the products are formed via enol and the enol (formed in rate determining step involving asymmetric carbon  $\alpha$ - to carbonyl group) is a planar 252 moiety it receives H from either side (side 'a' or side 'b' shown in Fig.3, depicting mechanism) 253 configurational inversion occurs and the product hydrazone is a non racemic mixture. It was, 254 therefore, contended that spontaneous inversion of configuration was taking place during 255 derivatization under acidic conditions. A representative chromatogram with unequal areas 256 corresponding to the products of the analyte (III) is shown in Fig. 4. 257

It was further confirmed by the observation that the products corresponding to analyte (I), i.e.,  $(\pm)$ -*3-methylcyclohexanone* did not show peaks with unequal areas. It was because in the molecule (I, Fig.1) the carbon  $\alpha$ - to carbonyl is not asymmetric and it is not involved in the formation of enol.

On the other hand, the chromatograms corresponding to the products obtained under solid 262 state microwave-assisted conditions, approach (i), clearly showed base line separation with 263 comparable peak areas (as provided by the system software). Sections of chromatograms 264 showing baseline resolution of all the six enantiomeric pairs of hydrazones, corresponding to 265 approach (i), are shown in Fig.5; a full chromatogram as a representative is given as Fig. 5a. In 266 approach (i) silica gel allowed convenient workup. It served as a very efficient adsorbent with a 267 large surface area for homogeneous heating and thus facilitated faster reactions with short 268 269 reaction times and higher yields. The catalytic amount of acid could probably have been 270 provided by the silica gel (having adsorbed water) and the MWI triggered reaction being very fast provided no opportunity for spontaneous chiral inversion during derivatization. 271

It can thus be concluded that each of the products (1-6) was a racemic mixture of hydrazones (i.e., the tagged enantiomers) of the corresponding racemic carbonyl compound. Thus, the chiral HPLC results clearly verified that there was no configurational inversion of the chiral carbonyl compounds when they were derivatized with 2,4-DNPH under the solid state

276 conditions using MWI. The DNP moiety of 2,4-dinitrophenyl hydrazine serves as a strong 277 chromophore and a suitable substrate for *inclusion phenomenon* with the chiral material of the 278  $\alpha_1$ -acid glycoprotein ( $\alpha_1$ -AGP) column for enantiomeric resolution.

Chromatographic separation data for resolution of the six pairs of enantiomers (1-6) in 279 the form of 2,4-DNPHz is given in Table-1. Table-1 shows the values of enantioselectivity, 280 resolution and retention time (in terms of  $\alpha$ , Rs and  $k_1$ ) obtained by using mobile phase, 0.5% 2-281 propanol in citrate phosphate buffer (10mM, pH 6.5). Varying buffer concentration above or 282 below 10mM (pH 6.5) resulted in decrease in enantioselectivity (Fig.6). The enantiomers were 283 not resolved using only the citrate phosphate buffer (10mM, pH 6.5) as the mobile phase; a base 284 line resolution of all the six analytes was observed after addition of 2-propanol to it at a level of 285 0.5%. A further increment (by a value of 0.5% at a time) in the concentration of 2-propanol up to 286 3% caused a decrease in resolution. 2-Propanol was found to be a better organic modifier in 287 comparison to MeCN as lower enantioselectivity and resolution ( $\alpha$  and Rs) and higher retention 288 289 time were obtained by using MeCN in the mobile phase. Increment in the pH of mobile phase (by a value of 0.5 at a time in the range of 3.5 to 6.5) resulted in increase of  $\alpha$ , Rs and  $k_1$  for all 290 the six pairs of enantiomeric hydrazones; thus finally pH 6.5 was found to be the best (Fig. 7). 291

292

### 293 3.2. Separation mechanism

Over the pH range 3.5 to 6.5, AGP bears a net negative charge. Electrostatic interactions 294 along with hydrogen bonding play important role in the chiral discrimination on an AGP 295 column<sup>21</sup>. Effect of change of pH on enantioresolution of the analytes using AGP column (as 296 noted above) can be attributed to the involvement of coulombic interactions between the analytes 297 298 and the immobilized protein as the overall charge of the protein and potential conformational changes are pH dependent<sup>22,23</sup>. Lowering of pH from 6.5 to 3.5 caused a decrease in the net 299 negative charge of the protein that resulted in a reduced electrostatic attraction of cationic 300 dinitrophenyl hydrazones with the immobilized protein resulting in decrease of retention time, 301 enantioselectivity and resolution (Fig. 7). 302

AGP is also able to bind a variety of hydrophobic compounds due to interactions with an 303 apolar cavity formed by the folding of the secondary structure of AGP<sup>24</sup>. DNP moiety serves as a 304 strong chromophore and is also a suitable substrate for inclusion phenomenon with the chiral 305 306 material of the AGP column for enantiomeric resolution. The baseline resolution achieved in presence of 2-propanol at a concentration of 0.5% can be attributed to the reversible changes in 307 the secondary structure of immobilized protein; further increment of 2-propanol makes the 308 mobile phase less polar and may cause reduction of hydrophobic interactions between the 309 enantiomers and protein-based CSP followed by lowering of retention times and 310 enantioselectivity<sup>25</sup>. In conclusion, hydrogen bonding, inclusion phenomenon and ionic 311 interactions and/or reversible changes in the protein conformation are held responsible as the 312 main factors for enantiomeric separation of the said dinitrophenyl hydrazones on AGP column. 313

314

315

316	
317	4. Conclusion
318	The paper presents an efficient methodology for synthesis of 2,4-DNPHz of racemic (or
319	enantiomerically pure) carbonyls under solid phase MWI conditions without spontaneous
320	inversion of configuration. The method provided high yields (91-95%) in short reaction time (4-
321	6 min). The method is successful in introducing a chromophore for on-line detection. The
322	experimental results confirmed that there was no configurational inversion of any of the chiral
323	carbonyl compounds. The study is an important step not only for derivatization of enantiomeric
324	carbonyls without spontaneous inversion of configuration (during synthesis) but also for direct
325	enantioresolution of several chiral carbonyl compounds via introducing an achiral chromophore.
326	
327	5. Acknowledgement
328	The authors are grateful to the University Grants Commission (UGC), New Delhi, for the
329	award of a senior research assistantship (to MS).
330	
331	
332	References
333	
334	1. J. Buckingham, <i>Q. Rev. Chem. Soc.</i> 1969, <b>23</b> , 37.
335	2 R Bhushan and R Kumar J Chromatogr A 2009 1216 7941
337	2. R. Dhubhan and R. Ramar, J. Chromatogr. 11, 2009, <b>1210</b> , 79 11.
338	3. M. Laemmerhofer, J. Chromatogr. A, 2010, 1217, 814.
339	
340	4. F. Sanger, <i>Biochem. J.</i> 1945, <b>39</b> , 507.
341	
342	5. P. Marfey, Carlsberg Res Commun. 1984, 49, 591.
343	
311	6 R Bhushan and H Brückner Amino Acids 2004 27 231
345	$\mathbf{K}$ . Diushan and II. Diuckhei, <i>Imuno Ileuus</i> , 2004, 27, 251.
346	7. R. Bhushan and H. Brückner, J. Chromatogr. B, 2011, 879, 3148.
347	
348	8. R. Bhushan and V. Kumar, J. Chromatogr. A, 2008, 1190, 86.
349	
350	9 M H Hyun Y W Park and I K Baik Tetrahedron Lett 1988 29 4735
351	2. mill 11 juit, 1. 11. 1 und 1.12. Duin, 1 ch ancur on Dett., 1200, <b>2</b> 2, 1150.
352	10. E.V. Dehmlow and C. Sauerbier, Z. Naturforschung, B: Chem. Sci. 1989, 44, 240.
323	

**RSC Advances Accepted Manuscript** 

354 255	11. D.V. Johnson and I.W. Wainer, Chirality, 1996, 8, 551.
355 356	12. K. Oguni, H. Oda, A. Ichida, J. Chromatogr. A, 1995, 694, 91.
357 358 359 360	<ol> <li>Y.H. Gong, G.P. Xue, J.S. Bradshaw, M.L. Lee, H.K Lee, J. Heterocycl. Chem., 2001 38, 1317.</li> </ol>
361	14. D.W. Armstrong, Y.I. Han, S.M. Han, Anal. Chim. Acta, 1988, 208, 275.
362 363 364 365	<ol> <li>F. Leonelli, B. Garofalo, L.M. Migneco, R. Marini Bettolo, F. Colais and M. Sinibaldi, J. Liq. Chromatogr. Rel. Technol., 2003, 26, 409.</li> </ol>
366	16. Y. Bi, J. Yang, X. Lu, T. Shao, J. Dong and F. Li, J. Sep. Sci., 2007, 30, 1839.
367 368 369	17. N. Grobuschek, L. Sriphong, M.G. Schmid, T. Lorand, H.Y. Aboul-Enein and G. Gübitz, J. Biochem. <i>Biophys. Methods</i> , 2002, <b>53</b> , 25.
370 371 372 373	<ol> <li>M. P. Deutscher (Ed.), <i>Methods Enzymol.</i>, (Guide to Protein Purification), 1990, 182, p32, Academic Press, San Diego.</li> </ol>
374 375 376	19. H.Meislich, H. Nechamkin and J. Sharefkin, Theory and Problems of Organic Chemistry, McGraw Hill International Book company, singapore, 1983, p. 259.
377 378	20. A.I. Vogel, Text Book of Practical Organic Chemistry, ELBS and Longman group limited London, 1975, 3, p. 721.
379 380 381	21. J. Hermansson and A. Grahn, J. Chromatogr. A, 1995, 694, 57.
382 383 384	22. S. Song, L. Zhou, R. Thompson, M. Yang, D. Ellison, J.M. Wyvratt, <i>J. Chromatogr. A</i> , 2002, <b>959</b> , 299.
385 386 387	<ol> <li>G. Massolini, E. De Lorenzi, E. Calleri, E. Tabolotti and G. Caccialanza, J. Chromatogr. B, 2000, 738, 343.</li> </ol>
388 389	24. A. Rojo-Dominguez and A. Hernandez-Arana, Protein Seq. Data Anal., 5, 349 (1993).
390 391 392 393	25. J. Hermansson, Trends Anal. Chem., 1989, 8, 251.
	10

of enantiomers in the form of

394	
395	Table 1: Chromatographic data for direct resolution of six pairs
396	2,4-DNPHz of racemic aldehydes and ketones

397

2,4-DNPHz of racemic aldehydes and ketones																	
	(1) (2)					(3)				(4)			(5)				
$k_1$	α	R <sub>s</sub>	$k_1$	α	R <sub>s</sub>	$k_1$	α	R <sub>s</sub>	$k_1$	α	<b>R</b> <sub>s</sub>	$k_1$	α	<b>R</b> <sub>s</sub>	<i>k</i> <sub>1</sub>	α	<u>R</u> s
5.60	1.42	4.31	5.56	1.56	5.82	5.45	1.44	4.43	5.66	1.46	4.84	5.80	1.48	5.10	5.84	1.49	5.21
398		CI	,	1.	1.		C 1				10		-			`	
399	)	Chr	omatog	rapnic		tions:	Colur	nn, $\alpha_1$	-AGP (	L×I.D	. 10cm	$1 \times 4m$	m, 5 μ	$\lim_{\to} \operatorname{par}$	ticle siz	ze),	5
400		Mo	bile pha	ase, 0.:	5% 2-j	propan	ol in o	citrate	phosph	ate bu	ffer (I	0mM,	pH 6.3	); Flo	w rate,	1.0	S S
401		mL	m1n <sup>-1</sup> ;	Detect	ion at	365 m	n; $k_1$ ,	retenti	on fact	or of f	irst elu	iting en	antion	ner; $\alpha$ ,	separat	10n	5
402		fact	or; <i>R</i> s,	resolu	ition. (	(1) to	(6) rej	presen	t 2,4-D	NPHz	of ch	iral ald	lehyde	s and	ketones	as	Ē
403		mer	ntioned	in exp	erimer	ntal (se	ction	-2.3). [	The dat	a prese	ented in	n the ta	ble are	e the m	ean val	ues	a
404	Ļ	of tl	hree inc	lepend	ent ex	perime	ents. 2	,4-DN	PHz sy	nthesiz	zed by	approa	ch (i).				5
405																	
406	<b>j</b>																D
407	,																Ð
408	}																ō
409	)																Ð
410																	Ö
411																	C
412	•																<b>A</b>
413																	6
415																	<b>D</b>
416	5																ö
417	,																
418	5																σ
419	)																
420	)																D
421																	
422																	63
423																	
424																	
426																	
427	,																
428	5																
429	)																
430	)																
431																	
432																	

433	Figure Captions
434	
435	
436	Fig.1. Structures of chiral aldehydes and ketones
437	
438	Fig.2. Scheme showing synthesis of hydrazones
439	
440 441	Fig.3. The possible mechanism for the formation of hydrazones via enol formation
442	<b>Fig.4.</b> Full chromatogram (as representative) showing resolution of product (3) obtained by
443	approach (ii). The peak areas were 386 and 691 mAU at retention time 9.09 and 11.32
444	min, respectively. Peaks with unequal areas indicate non-racemic nature
445	
446	Fig.5. Sections of chromatograms showing resolution of enantiomeric pairs of six 2,4-DNPHz
447	(retention times are in minutes). Column, $\alpha_1$ -AGP (L x I.D, 10cm x 4mm, 5 µm particle
448	size); mobile phase, 0.5% 2-propanol in 10 mM citrate phosphate buffer at pH 6.5; flow
449	rate, 1.0 mL min <sup>-1</sup> ; detection, 365nm.
450	
451	Fig.5(a). Full chromatogram (as representative) showing resolution of product (3) obtained by
452	approach (i). The peak areas were 402 and 403 mAU at retention time 9.03 and 11.2 min,
453	respectively. Peaks with equal areas indicate racemic nature
454	
455	Fig.6. Effect of buffer concentration (in the range 5-25 mM) in mobile phase consisting 0.5% 2-
456	propanol at pH 6.5, on enantioselectivity
457	
458	<b>Fig.7</b> . Effect of pH of mobile phase (in the range 3.5-6.5) on enantioselectivity, resolution and
459	retention times (in terms of $\alpha$ , Rs and $k_1$ , respectively) for product (2) using mobile phase
460	0.5% 2-propanol in 10 mM citrate phosphate buffer at pH 6.5
461	
462	
463	



 $(\pm)$ -3-methylcyclohexanone (I)

 $(\pm)$ -2-methylcyclopentanone(II)

0

0

(±)-3-methyl-2-pentanone (III)

 $(\pm) - 2 - methylcyclohexanone (IV) \quad (\pm) - 2 - methylbutyraldehyde (V) \qquad (\pm) - 2 - phenylpropionaldehyde (VI)$ 

254x190mm (96 x 96 DPI)



254x190mm (96 x 96 DPI)



G=-OH, -NHC<sub>6</sub>H<sub>5</sub>, -NHCONH<sub>2</sub>, 2,4-Dinitrophenylhydrazine

254x190mm (96 x 96 DPI)



254x190mm (96 x 96 DPI)



359x225mm (96 x 96 DPI)



## 254x190mm (96 x 96 DPI)



254x190mm (96 x 96 DPI)



254x190mm (96 x 96 DPI)



Graphical abstract 254x190mm (96 x 96 DPI)