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1	Efficient photo-degradation of dyes using CuWO ₄
2	nanoparticles with electron sacrificial agents: A combination
3	of experimental and theoretical exploration
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35 Abstract

36 $CuWO_4$ is one of the promising photocatalytic materials, responding in the visible 37 light range, to enhance the utilization of solar energy. Here, the CuWO₄ nanoparticles 38 have been synthesized via polyol-mediated synthesis method and subsequently 39 characterized by X-ray Diffraction (XRD), scanning electron microscope (SEM), 40 transmission electron microscope (TEM), UV-Vis Spectrophotometer combined with 41 theoretical density functional theory (DFT) calculations. For as-prepared $CuWO_4$ 42 samples, a strong adsorption capacity of organic pollutant MB rather than 43 photodegradation has been observed. The first-principle calculation with 44 Heyd–Scuseria–Ernzerhof (HSE) screened coulomb hybrid functional results indicate 45 that localization of hybridization of O 2p-orbitals and Cu 3d-orbitals, large electron effective mass and more positive conduction band edge of CuWO₄ lead to low carrier 46 47 mobility and thus the high recombination of excited carriers. Meanwhile, the optical 48 absorption spectrum of experimental observation is consistent with theoretical 49 calculation of pristine CuWO₄, demonstrating few defects inhibiting light absorption. 50 To avoid the high rate of recombination of the excited carriers, electron sacrificial agents (H₂O₂, Na₂S₂O₈) are utilized to suppress the recombination. The photocatalytic 51 52 activity is thus largely improved.

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54 Key words

55 CuWO₄, Visible light photocatalysis, Electron sacrificial agent, First-principles 56 calculations

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64 Introduction:

With the increasing problem of environmental pollution, it is important to 65 develop effective technology to clean waste water. Various techniques including 66 biodegradation,¹ ultrafiltration,² ion exchange³ and etc.^{4,5,6} have been utilized to 67 achieve this objective. Among these technologies, the advanced oxidation processes 68 (AOP)⁷ stand out as a promising way to clean water. The AOP differ from 69 conventional physical and biological water treatment processes as the AOP generate 70 71 the strong oxidant hydroxyl radicals (•OH) to degrade toxic and refractory pollutants (organic carbon) into simple and harmless inorganic molecules (carbon dioxide and 72 water) without producing secondary pollutants. The •OH generation can be initiated 73 by primary oxidants (H₂O₂ or O₃),⁸ energy sources (UV-light, ultrasonic)^{9,10} or 74 catalysts.¹¹ Semiconductor materials could absorb solar energy and excite carries to 75 generate oxidant for further photocatalytic degradation of organic pollutants. For a 76 77 superior photocatalyst, the band edges should align up with water redox levels. Specifically, the top of valence band (E_V) is required more positive than the redox 78 potential •OH/H₂O (~2.5 V vs. NHE).¹² When solar light is incident on a 79 80 semiconductor material, the photo-generated electrons and holes react with water and form oxidants (O_2^- , H_2O_2 and O_3) and essentially produce •OH.^{13,14} 81

The semiconductor materials have been used to generate oxidants for several years^{15,16} since the photocatalytic splitting of water was discovered on the TiO₂ electrodes by Fujishima and Honda in 1972.¹⁷ To date, anatase TiO₂ dominates the photocatalysis market owing to its low cost, non-toxicity, highly catalytic activity and chemical stability.^{18,19} Whereas, TiO₂ with a band gap of 3.2 eV displays a low efficiency (~5%) of utilizing solar energy. In order to ultimately harvest solar energy, it is important to continue searching for visible light driven photocatalysts.^{20,21}

89 CuWO₄, as a ternary narrow band gap semiconductor ($E_g \sim 2.2 \text{ eV}$), is an ideal 90 high-efficiency semiconductor photocatalyst due to its absorption of visible-light^{22,23}

91 with reasonable valence band alignments with \cdot OH/H₂O energy level. Moreover, the 92 catalytic performances could also be influenced by other factors such as crystallinity, defects and interface, which introduce various electronic structures including band 93 tails,²⁴ defect states,²⁵ and interfaces states.²⁶ These states significantly impact on 94 carrier mobility.²⁷ carrier's recombination.²⁸ electronic conductivity²⁹ and etc.³⁰ 95 resulting in the variations of the catalytic activity. Without full understanding of the 96 microscopic electronic structures, it would be difficult to further improve the 97 98 photocatalytic performance. Here, we combined theoretical calculations and 99 experimental methods to link the electronic structures and the catalytic performance of CuWO₄. Based on the DFT calculations, the catalytic activity has been improved 100 101 with the presence of electron capture agents. In such a way, these findings provide 102 insights into further promising photocatalyst design.

In this work, CuWO₄ was prepared by polyol-mediated synthesis method. The 103 104 synthesized samples are characterized by the X-ray Diffraction (XRD), scanning 105 electron microscope (SEM), transmission electron microscopy (TEM) and UV-Vis 106 Spectrophotometer. As-prepared powder displays a strong adsorption capacity of 107 organic pollutant MB rather than photodegradation. First-principle calculations point 108 out that the disadvantage of localized band edge states of hybridization of O 109 2p-orbitals and Cu 3d-orbitals causes the high recombination of excited carrier. On 110 the other hand, the experimental optical absorption spectrum of sample is consistent 111 with theoretical calculation of pristine CuWO₄ which indicates no problem for our 112 synthesized samples to absorb light. In the presence of electronic sacrificial agent, the 113 excited holes are survived and thus CuWO₄ displayed a high photocatalytic 114 performance to degrade the MB dye.

115 **Experiment and Calculation Method**

116 **1. Polyol Mediated Synthesis Method**

117 Copper nitrate (Cu(NO₃)₂·3H₂O, AR, purity \geq 99.5%) and sodium tungstate (Na₂WO₄·2H₂O, AR, purity \geq 99.5%) were used to prepared CuWO₄. Copper nitrate 118 119 (1 mmol) was dissolved in 50 mL diethylene glycol (DEG) and stirred by magnetic 120 stirring at room temperature. Sodium tungstate (1 mmol) was dissolved in 1 mL 121 deionized water and was injected into copper nitrate solution quickly with magnetic 122 stirring. After stirring 3 minutes (min), the products were separated from these 123 suspensions via high-speed centrifuge at 10000 rpm for 5 min and washed by 124 sequential centrifugation/redispersion from/in ethanol for 5 times to remove 125 redundant impurity and DEG completely, and then dried in the oven at 70 °C for 12 hours. In the annealed process, temperature was kept at 500 °C for 1 hour in the air. 126

127 **2. Evaluation of Photocatalytic Activity**

128 The photocatalytic ability of CuWO₄ was evaluated by degradation of MB. The 129 40 mg CuWO₄ powder was dispersed in methylene blue solution (100 ml, 10 mg/L) in 130 a beaker. Subsequently, it was stirred about 30 min in dark in order to reach 131 adsorption-desorption equilibrium. A xenon lamp without filter has been used as the light source and the light intensity remains at 20 mW cm⁻² measured by an irradiance 132 133 meter (Model: FZ-A, Beijing Normal University, China) to reduce solution 134 evaporation. During photogradation of MB solution, the magnetic stirring was kept 135 running. The photodegradation was quantified by monitoring the concentration of MB 136 at its maximum of absorption (664 nm). Commercially available Degussa P25 137 (nanoscale TiO₂ powder; 80% anatase, 20% rutile; particle diameter: $25 \sim 30$ nm) as a reference was used to compare with the photocatalytic performance of CuWO₄. 138

3. Theoretical Model and Calculation Details

The crystal structure of $CuWO_4$ is presented in Fig. 1, containing two formula units with characteristic corner-linked CuO_6 and WO_6 octahedral. The Jahn-Teller effect of the Cu^{2+} cation causes a pseudo-tetragonal elongation of the CuO_6 octahedral. The ab initio calculations were performed based on the density functional theory

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144 (DFT), as implemented in plane-wave based code Vienna ab initio Simulation 145 Package (VASP). The generalized gradient approximation with the Perdew-Burke-Ernzerhof version of the exchange-correlation potential was 146 employed.³¹ A large energy cutoff of 550 eV was adopted. Brillouin zone was 147 sampled by the set of $(5 \times 4 \times 5)$ k-points for CuWO₄ to balance calculation efficiency 148 and accuracy. The convergence criterion for energy is chosen as 10^{-4} eV and the 149 maximum Hellmann-Feynman force acting on each atom is less than 0.01 eV/Å in 150 ionic relaxation calculations. The calculated lattice constants are a = 4.78 Å, b = 6.00151 Å, c = 4.93 Å; $\alpha = 92.77^{\circ}$, $\beta = 93.51^{\circ} \gamma = 81.48^{\circ}$. Considering that GGA usually 152 overestimates the lattice constant slightly,32,33 the calculated results are in good 153 agreement with the previous results using the GGA functional (a = 4.84 Å, b = 6.05 Å, 154 $c = 4.94 \text{ Å})^{34}$ and the experimental values (a = 4.69 Å, b = 5.83 Å, $c = 4.88 \text{ Å}).^{35}$ For 155 156 electronic structure calculations, it is well known that DFT underestimates the band gap, thus the electronic structure with the PBE relaxed structure was calculated using 157 the Heyd–Scuseria–Ernzerhof (HSE)³⁶ hybrid functional, in which a portion (16%) of 158 159 Hartree–Fock exchange was mixed with the PBE functional to produce a band gap of ~ 2.2 eV which is highly consistent with experimental observations.³⁷ 160



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Fig. 1 (color online) The crystal structure of CuWO₄, the green, blue and red balls
represent Cu, W and O atoms, respectively. Arrows represent the spin directions of
Cu.

165 **Results and Discussion**

Due to the high polarity of multidentate alcohols such as ethylene glycol, diethylene glycol or glycerol, polyol-mediated synthesis is considered as an effective way to control nucleation and growth of nanoparticles, stabilize the particle surface and avoid agglomeration.^{38,39} In this work, we adopted this method to synthesize CuWO₄.

The as-prepared CuWO₄ powder shows green color (inset in Fig. 2) and the according XRD peaks (Fig. 2) are broadened which indicates the amorphous phase of the sample power. After heating the sample under 500 °C for 2 hours, the color turned into dark grey (inset in Fig. 2) and the XRD pattern displays the formation of the pure phase of CuWO₄ (Fig. 2). The color change before and after sample annealing results from the typical quantum size effect.



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Fig. 2 (color online) XRD pattern of as-prepared and annealed samples.

Fig. 3(a), (b) and (c) describe morphologies of as-prepared sample. Irregular nanoparticles have been observed by SEM (Fig. 3(a)), the grain size varying from 10~20 nm has been verified by TEM (Fig. 3(b), (c)) and the specific surface area is expected to be large. The selected area electron diffraction (SAED) (Fig. 3(c)) shows the blurry spots corresponding to low degree of crystallinity of as-prepared CuWO₄.

For the annealed sample, SEM and TEM (Fig. 3(d), (e), (f)) show that the particle size significantly grows up to ~50 nm and nanoparticles seriously reunite due to regrowth and recrystallization during the annealed process. On the other hand, clear spots of SAED (Fig. 3(f)) also identify the improvement of crystallinity after the sample was annealed.



Fig. 3 (color online) SEM, TEM images of as-prepared sample (a), (b) and annealed
sample (d), (e); (c) and (f) are magnified high-resolution TEM images of red boxes in
(b) and (e), respectively.

194 For the photodegradation of MB, the as-prepared powder displays a strong 195 adsorption capacity of organic pollutant MB within the first 10 min (Fig. 4(a)). No 196 photodegradation phenomenon has been observed during this period. When 197 continuing increasing observing time larger than 10 min, no more adsorption is 198 displayed since as-prepared CuWO₄ has reached its adsorption equilibrium. For the 199 annealed sample, the particle size significantly grows up to ~ 50 nm and nanoparticles 200 reunite seriously which have been observed by SEM and TEM (Fig. 3d, 3e, 3f). 201 Consequently, the decrease of the surface areas leads to the decrease of MB

adsorption, as shown in Fig. 4(b). Also, the annealed sample displays no photocatalytic behavior. Little variation of the photocatalytic behaviors for the as-prepared sample and the annealed one proves that particle size is not the key to impact the catalytic activity for this case.



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Fig. 4 (color online) The adsorption of MB (a) as-prepared CuWO₄ sample and (b)
annealed sample.

Intrinsically, two critical factors would govern the photocatalytic activity, i.e. carrier conductivity and optical absorption. For the former one, it is governed by the band edge shapes of CuWO₄. The later one is influenced by light absorption spectrum. In order to access the failure mechanism of the photocatalytic performance of CuWO₄, electronic structure and optical spectrum should be calculated.

With the advantage of the start-of-art supercomputer and computational algorithm, it is now feasible to access the fundamental electronic structures at the atomic level. In this work, we employed density functional theory (DFT) to explore the electronic structure and optical spectrum of the CuWO₄.

Fig. 5 illustrates the calculated band structure along high symmetric k-point B $(0.5, 0, 0) - \Gamma (0, 0, 0) - F (0, 0.5, 0) - Q (0, 0.5, 0.5) - G (0, 0, 0.5)$ in the first

Brillouin zone. The conduction band minimum (CBM) and valence band maximum (VBM) are located at Γ k-point and Q k-point, respectively, indicating an indirect band gap. The gap value is ~2.2 eV which is highly consistent with experimental result.³⁷ Also, in the bottom region of conduction band at Γ point, the band is rather flat. By extracting the curvature of the CBM and VBM, we obtained a large the effective mass of electron and hole (m_e* = 59 m₀, m_h* = 57 m₀, m_o is free electron mass), resulting in the poor electron and hole conductivity, respectivley.²³



Fig. 5 (color online) The band structure diagram (left panel) and the spin-dependent density of states (right panel) for CuWO₄. Fermi level is set at zero eV in both panels.

Fig. 5 also shows the normalized total and projected density of states (PDOS). The spin-up states are identical to the spin-down ones. Thus, CuWO₄ presents an anti-ferromagnetic (AFM) ground state with the magnetic moment of 0.68 μ_B for Cu atoms and 0.05 μ_B for O atoms, being consistent with experiment observations of μ_{Cu} = 0.67 μ_B , μ_O = 0.06 μ_B^{35} and PBE+U calculation of μ_{Cu} = 0.74 μ_B , μ_O = 0.07 μ_B^{40} .

In Fig. 5, the O-2p and Cu-3d orbitals hybrid and dominate the band edges. More specifically, valance band maximum is mainly composed of the orbital hybridization of oxygen 2p-orbitals and copper 3d-orbitals, leading to the more positive position of the VBM,⁴¹ and the strong oxidizing property of the excited holes. Therefore, we

239 speculate that O and Cu could be the activity sites for photocatalysis. The excited hole 240 either directly reacts with organic pollutant molecular to decompose it or reacts with 241 OH^{-} to produce strong oxidant hydroxyl radicals. Hybridization of Cu-3d and O-2p 242 results in more positive CBM and narrow band gap which improves the visible-light 243 absorption. Nevertheless, Hybridization of Cu-3d and O-2p also lead to more positive conduction band edge of CuWO₄ compared to H^+/H_2 reduction level in solution.⁴² In 244 other words, there is no excited electron acceptor in this photocatalytic system, as a 245 246 result, excited electrons will gather on the CuWO₄ surface and cause more severe 247 surface recombination. Moreover, the localized states of hybridization of O 2p and Cu 248 3d orbitals could lead to the lower carrier mobility, high recombination rate of excited 249 carrier and essentially result in low efficient photocatalytic behavior.

In addition to the material intrinsic electronic properties, optical adsorption is another important factor to govern the photocatalytic activity. For the absorption spectrum of bulk material, it is formatted as:

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$$I(\omega) = \sqrt{2}\omega \left[\sqrt{\varepsilon_1^2(\omega) + \varepsilon_2^2(\omega)} - \varepsilon_1(\omega) \right]^{1/2}$$

 ε_2 and ε_1 are imaginary part and real part of dielectric function, respectively, ω is 254 255 angular frequency. In order to obtain the absorption spectrum, dielectric constant was 256 calculated via DFT. Fig. 6 (a) and (b) show the calculated results of the imaginary part 257 and real part of dielectric function for CuWO₄ along three Cartesian directions, 258 respectively. The optical anisotropy is due to low crystal symmetry and the 259 peculiarities in the crystal structure, i.e., the existence of the bridge-oxygen ions connecting with neighboring CuO₆ and WO₆ complexes. Based on the calculated 260 261 dielectric function curves, we obtained the theoretical absorption spectrum, as shown 262 in Fig. 6 (c). The light absorption begins about 2.0 eV, corresponding to the electron 263 excitation from the VBM to the CBM (Fig. 6 (c)). Experimentally, the as-prepared and 264 annealed CuWO₄ has been used to test the absorption spectrum by UV-Vis 265 Spectrophotometer. For the as-prepared sample, the localized absorption peak

appeared at 1.5 eV as the subgap absorption,⁴³ which originates from bonding defects induced located states in the forbidden energy gap.⁴⁴ This specific subgap absorption helps to improve the visible-light absorption. Compared the theoretical and pure annealed sample absorption spectrum, it is found that they are consistent with each other.⁴⁵ This means the light absorption of sample is close to the theoretical value attributed to few defects and impurities hindrance light absorption.^{46,47} In other words, our sample shows bulk-like absorption behavior without impacting by defect levels.



Fig. 6 (color online) The dielectric function of CuWO₄ calculated by DFT with HSE functional: (a) imaginary part, (b) real part; (c) The absorption spectrum of CuWO₄ obtained from as-prepared sample, annealed sample and theoretical simulation.

277 On the basis of the theoretical analysis, charge carrier separation would be the 278 key to influence the photocatalytic activity. Thus, promoted separation of the excited 279 carriers would be an effective method to achieve the photocatalytic degradation of MB. In this work, we utilized electron sacrificial agents to examine the charge 280 separation effect on the photocatalytic performance.⁴⁸ To confirm the electron 281 282 capture agent's boost effect on enhancing the photodegradation by as-prepared CuWO₄, 1 mmol H₂O₂ or Na₂S₂O₈ was dispersed into the MB solution to check the 283 catalytic activity. Fig. 7 (a) indicates that individual H_2O_2 or $Na_2S_2O_8$ displays a 284 285 limited degraded performance. Surprisingly, in as-prepared $CuWO_4$ (40 mg) combined with 1 mmol H₂O₂ or Na₂S₂O₈, we found that MB decomposes rapidly and 286 287 the MB concentrations reaches to zero within 60 min. (see Fig. 7 (a)). As a

288 comparison, the as-prepared CuWO₄ with electronic capture agents (H₂O₂ or Na₂S₂O₈) 289 shows superiority over the same amount of P25 and P25 together with H_2O_2 rather 290 than $Na_2S_2O_8$ in weight in terms of the decomposition of MB. In any case, P25 with 291 $Na_2S_2O_8$ shows the highest efficiency because of the stronger oxidation of $Na_2S_2O_8$ in 292 regards to H_2O_2 (Fig.7 (a)). To examine the role of hole to decompose MB, we 293 utilized $(NH_4)_2C_2O_4$ to exhaust the supply of excited holes, and we found that MB 294 degradation with $(NH_4)_2C_2O_4$ is identical with the self-degradations under UV-Vis 295 light. In other words, hole is the key to decompose MB in our case.



Fig. 7 (color online) The photodegradation of MB (a) as-prepared CuWO₄ sample,
P25 and (b) annealed sample added electron sacrificial agents, and only electron
sacrificial agent without any catalyst.

In order to access the role of electron sacrificial agent to assist in the catalytic performance of the as-prepared CuWO₄, we proposed the following schematic diagram to address how electron sacrificial agents improve the photocatalytic efficiency (Fig. 8(a)).



5 **Fig 8** (color o

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Fig. 8 (color online) (a) Photocatalytic process in the presence of electron sacrificial agents. (b) CuWO₄ band alignments with H^+/H_2 , O_2/H_2O_2 , O_2/H_2O and •OH energy levels.

308 When the CuWO₄ absorbs the photon energy larger than its band gap, the excited 309 electrons (e) jump from the valence band to the conduction band and form 310 electron-hole pairs. Parts of them recombine in the as-prepared CuWO₄ particles and 311 the others move to the surfaces (Fig. 8 (a)). Meanwhile, owing to the improper band 312 alignment with water redox potentials, as presented in Fig. 8 (b), severe surface recombination occurs as discussed above. Consequently, no enough h⁺ combines with 313 OH^{-} and forms •OH because reactive time (about 10^{-3} s) is much longer than the 314 surface recombining time (about 10^{-12} s). When the electron sacrificial agent (H₂O₂ or 315 316 $Na_2S_2O_8$) is introduced into MB solution, the excited electrons on surface are captured 317 by electron sacrificial agents. The carrier recombination is thus suppressed and the 318 excited holes have enough time to oxidize the H₂O molecules and generate the strong 319 oxidant •OH. In addition to the role of charge separation from electron sacrificial 320 agents, the strong MB adsorption on the as-prepared CuWO₄ surface could be another 321 factor to enhance the photodegradation

For any photocatalyst, crystallinity, electronic structure and possibly surface charge would be crucial to determine the catalytic performance. In the annealed CuWO₄ sample with diameter of \sim 50 nm, even in the presence of electron sacrificial agent, very limited catalytic activity was observed in Fig. 7(b). The limited activity could be attributed to the lower adsorption capacity arising from the high crystallinity

327 (Fig. 4 (b)). Compared to as-prepared sample, the localized absorption peak at 1.5 eV 328 disappeared in the absorption spectrum of annealed sample (Fig. 6 (c)), decreasing the visible-light absorption and photocatalytic efficiency. From the perspective of surface 329 charge, as an n-type semiconductor,⁴⁹ band will upper bend when contacting with 330 solution.⁵⁰ As a result, the exited holes tend to transfer to the nanoparticles surface. 331 then directly react with MB or produce •OH which leads to the decomposition of MB 332 333 pollutants. For the as-prepared amorphous phase, it provide more active sites for 334 surface photogenerated carriers and prevent them from rapid recombination due to high disorders, thus promoting carrier transfer and photocatalytic reactions.⁵¹ After 335 annealing the sample, the particle size increases and thus the active sites significantly 336 337 reduce. Consequently, the carrier recombination became severe, and the 338 photocatalytic efficiency decreased.

339 **Conclusions**

340 In summary, we have investigated the catalytic activity of CuWO₄ nanoparticles 341 in experiment, combined with the DFT electronic structures calculations. The first 342 principle calculation results illustrate that more positive conduction band edge 343 position than H^+/H_2O level, low carrier mobility and high recombination rate of 344 CuWO₄ result in an effective adsorption rather than photodegradation of MB for the 345 as-prepared sample. The annealed samples with larger particle size display even a 346 smaller adsorption capability of MB. In the presence of electron sacrificial agents, the 347 photocatalytic efficiency of the as-prepared $CuWO_4$ is significantly improved, due to 348 the suppression of the combination of photo-generation carrier and the enhancement 349 of the formation of •OH. On the other hand, the annealed sample displays rather low 350 catalytic behavior owing to the low adsorption capability.

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