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1 **A study on deactivation of Cu-Zn-Al catalyst for higher alcohols**  
2 **synthesis**

3 Yongjun Liu, Chaobo Liu, Xuan Deng, Wei Huang\*

4 *Key Laboratory of Coal Science and Technology of Ministry of Education and Shanxi Province,*

5 *Taiyuan University of Technology, Taiyuan 030024, Shanxi, China*

6 Corresponding author. Tel. /fax: +86 351 6018073

7 E-mail address: huangwei@tyut.edu.cn (W. Huang)

8 **Abstract**

9 Cu-Zn-Al catalyst without promoters, was prepared by complete liquid-phase method and tested  
10 for a deactivation study in higher alcohols synthesis from syngas. Results showed that the  
11 selectivity of higher alcohols first increased from 36.0 % to 68.6 % then gradually decreased to  
12 14.1 % with time on stream. Characterization results showed that Cu species and Zn species had  
13 little changes whereas the phase of Al species changed after reaction. It was found that the Al  
14 species of Cu-Zn-Al catalyst changed from AlOOH to Al<sub>2</sub>O<sub>3</sub>. The phase change weakened CO  
15 dissociation and chain growth which led to the decrease of higher alcohols selectivity with time on  
16 stream. It was suggested that AlOOH had the function of CO dissociation and chain growth, which  
17 favored the formation of higher alcohols, whereas Al<sub>2</sub>O<sub>3</sub> had no function of CO dissociation,  
18 which caused the formation of methanol.

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22 **Key words:** CO hydrogenation; Higher alcohols; Cu-Zn-Al catalyst; Deactivation;

## 23 1. Introduction

24 Higher alcohols have received considerable interest recently because of its potential as fuel and  
25 substitute for gasoline [1,2]. Currently, Cu-based catalysts for higher alcohols synthesis (HAS)  
26 from syngas are Cu-Co or Cu-Fe bimetallic catalysts and alkalis modified methanol synthesis  
27 catalysts [3-5]. On Cu-Co or Cu-Fe bimetallic catalysts, the Cu-X (X=F-T elements) center is  
28 thought to be the active site (dual site) for higher alcohol synthesis [6,7]. And alkalis is considered  
29 to play a key role in the synthesis of higher alcohols over alkalis modified methanol synthesis  
30 catalysts [5].

31 It is well known that Cu-Zn-Al catalysts are usually used to synthesize methanol from CO or  
32 CO<sub>2</sub> hydrogenation [8,9]. Nonetheless, in our previous study, it was found that the selectivity of  
33 ethanol over Cu-Zn-Al catalysts without promoters could reach an unexpected point, however, the  
34 result is very difficult to reproduce [10]. At beginning, this novel phenomenon of ethanol  
35 formation over Cu-Zn-Al catalysts without promoters is ascribed to the synergism of Cu<sup>0</sup> and Cu<sup>+</sup>  
36 through experimental and theoretical studies [11,12]. But presently we have found that Al species  
37 is also playing a key role in the formation of higher alcohols, not just as the role of a carrier in the  
38 synthesis of methanol.

39 Therefore, in this paper, the Cu-Zn-Al catalyst without promoters was prepared by complete  
40 liquid-phase method and tested for a deactivation study in higher alcohols synthesis from syngas.  
41 To clarify the reason of catalyst deactivation, the structure of each metallic component of the  
42 catalyst was investigated before and after reaction. The correlation between the change of catalytic  
43 performance and the structural change was also discussed.

## 44 2. Experimental

## 45 2.1 Catalyst preparation

46 The Cu-Zn-Al slurry catalyst with the composition of Cu/Zn/Al= 2/1/0.8 (atomic ratio) was  
47 prepared by complete liquid-phase method. Typically, Aluminum isopropylate  $[(C_3H_7O)_3Al]$  was  
48 dissolved in a mixture of deionized water with a certain amount of citric acid at 323 K and  
49 maintained for 3 h, then the temperature was raised to 368 K and kept for 1 h. Next, the  
50  $Cu(NO_3)_2 \cdot 3H_2O$  and  $Zn(NO_3)_2 \cdot 6H_2O$  were dissolved in glycol and the mixture was slowly added  
51 to the Al solution. The resulting Cu-Zn-Al solution was stirred at 368 K until a homogeneous sol  
52 was obtained. The sol was aged at room temperature for 10 days to obtain a gel. At last, the gel  
53 was dispersed in liquid paraffin, heated under  $N_2$  atmosphere from 333 K to 573 K with a heating  
54 rate of 5 K/min and kept for 8 h at 573 K. Then a slurry catalyst was subsequently obtained.

## 55 2.2 Catalyst characterization

56 The slurry catalyst was centrifuged, extracted by petroleum ether and dried at room temperature  
57 before characterization.

58 Powder X-ray diffraction (XRD) patterns were recorded on a Rigaku D/MAX-2500  
59 Diffractometer in a  $2\theta$  range of 5-85°. Fourier transform infrared spectra (FTIR) were obtained on  
60 an AVATAR 370 spectrometer.  $NH_3$  temperature-programmed desorption ( $NH_3$ -TPD-MS) were  
61 performed to measure the basicity of the catalyst.  $^{27}Al$ -MAS-NMR measurements were performed  
62 on a Bruker Avance DSX 500 spectrometer with a  $^{27}Al$  frequency of 130.4 MHz. Graphite furnace  
63 atomic absorption spectrometry (GF AAS) were performed to quantify the composition of catalyst  
64 formulation by SpectrAA-220 AAS equipment. X-ray photoelectron spectroscopy (XPS)  
65 measurements were conducted using an ESCALAB 250 spectrometer. Thermogravimetric mass  
66 spectrometry (TG-MS) analyses were performed by a Setaram SETSYS TGA coupled with a

67 hidden HPR20 QIC R&D mass spectrometry.

### 68 *2.3 Catalytic activity test*

69 CO hydrogenation to higher alcohols was carried out in a slurry reactor with a mechanical  
70 magnetic agitator. The syngas ( $H_2/CO=2$ ) was introduced into the reactor with a feed flow rate of  
71 150 mL/min under 523 K, 4.5 MPa. The steady-state activity measurement was taken after at least  
72 24 h on the stream. The gaseous products were analyzed online with a gas chromatograph  
73 equipped with a flame ionization detector (FID) to detect gaseous hydrocarbons and a thermal  
74 conductivity detector (TCD) to detect gaseous inorganic, respectively. The liquid products were  
75 collected daily and analyzed offline using the gas chromatograph.

## 76 **3. Results and discussion**

### 77 *3.1. Catalytic performance*

78 The catalytic performance of Cu-Zn-Al catalyst for CO hydrogenation at 523 K with time on  
79 stream (TOS) was listed in Table 1 and 2. CO conversion initially increased from 19.8 % at 24 h to  
80 38.9 % at 48 h, then it gradually decreased to 32.0 % at 120 h with TOS. Meanwhile, the  
81 selectivity of total alcohols first decreased from 31.4 % to 14.0 % at 72 h and then increased to  
82 22.1 % at 120 h. Notably, the selectivity of dimethyl ether (DME) increased gradually with TOS,  
83 and the selectivity of higher alcohols ( $C_{2+}OH/ROH$ ) increased from 36.0 % at 24 h to 68.6 % at 48  
84 h and then gradually decreased to 14.1 % at 120 h. Moreover, the selectivity of  $CH_3OH$  and  $CH_4$   
85 first decreased and then increased overall, which illustrated that the functions of catalyst for CO  
86 dissociation and propagation were weakened with TOS. The catalytic performance indicated that a  
87 deactivation happened on Cu-Zn-Al catalyst in higher alcohols synthesis and the reason for the  
88 catalyst deactivation would be clarified combining with the following characterization results.

### 89 3.2. XRD analysis

90 As discussed above, a deactivation happened on Cu-Zn-Al catalyst in the formation of higher  
91 alcohols from the catalytic performance. It was speculated that some structural changes occurred  
92 during the reaction. In order to confirm this speculation, the catalyst was subjected to analyse by  
93 XRD, FT-IR, NH<sub>3</sub>-TPD-MS, <sup>27</sup>Al-MAS-NMR, XPS and TG-MS. Fig. 1 presented the XRD  
94 patterns of Cu-Zn-Al catalyst before and after reaction (meant after 120 h reaction in the whole  
95 manuscript). Only diffraction peaks of Cu<sup>0</sup> and ZnO could be detected, revealing that no new  
96 species were formed during 120 h reaction. The XRD results illustrated that the structure of Cu  
97 species or Zn species had little changes, which suggested that the deactivation of catalyst was not  
98 caused by the structural changes of Cu species and Zn species.

### 99 3.3. FT-IR analysis

100 Since the low content of Al in the catalyst, the diffraction peaks of Al species could not be  
101 detected by XRD. So the phases of Al species of Cu-Zn-Al catalyst before and after reaction  
102 were confirmed by FT-IR. As indicated in Fig. 2a, all absorption bands at 3414, 1629, 1423, 1327,  
103 1089, 939, 769, 586 cm<sup>-1</sup> were in agreement with the reported values of AlOOH, which  
104 confirmed the formation of AlOOH [13,14]. The intensive bands at 3414, 1089 and 1327 cm<sup>-1</sup>  
105 belonged to the ν<sub>as</sub>(Al)O-H, ν<sub>s</sub>Al-O-H and ν<sub>as</sub>Al-O-H vibrations of AlOOH, respectively. The  
106 three strong bands at 939, 769, and 586 cm<sup>-1</sup> were ascribed to the vibration mode of AlO<sub>6</sub>. The  
107 peak at 1423 cm<sup>-1</sup> corresponded to OH stretching vibrations. The shoulder at 1629 cm<sup>-1</sup> was the  
108 feature of the bending mode of absorbed water. In Fig. 2b, the intensive bands at 688 and 540  
109 cm<sup>-1</sup> were ascribed to Al-O stretching vibrations, which was the principal feature of Al<sub>2</sub>O<sub>3</sub>  
110 [14,15]. The intensive band centered at 3434 cm<sup>-1</sup> and weak band at 1598 cm<sup>-1</sup> were attributed to

111 the stretching vibrations of OH groups in the hydroxide structure as well as the physically  
112 adsorbed water, respectively. All these facts confirmed that the phase of  $\text{Al}_2\text{O}_3$  was obtained after  
113 reaction. The FT-IR analysis illustrated that the structure of Al species had changed from  $\text{AlOOH}$   
114 to  $\text{Al}_2\text{O}_3$  after 120 h reaction.

#### 115 3.4. $\text{NH}_3$ -TPD-MS analysis

116 Fig. 3 showed the  $\text{NH}_3$ -TPD-MS profiles of Cu-Zn-Al catalyst. As seen in Fig. 3, the amount of  
117 weak acid decreased after 120 h reaction. Busca et al [16] reported that the dehydration of  
118 hydroxyl groups would induce some freed and defective  $\text{Al}^{3+}$  ions and then increase the density of  
119 surface acid sites. This result indicated that the structure of Al species changed from  $\text{AlOOH} \rightarrow$   
120  $\text{Al}_2\text{O}_3$ , which accompanied by the dehydration reaction:  $2\text{AlOOH} \rightarrow \text{Al}_2\text{O}_3 + \text{H}_2\text{O}$ .

#### 121 3.5. $^{27}\text{Al}$ -MAS-NMR analysis

122 Further evidence for the structural change of Al species before and after reaction could be  
123 obtained from the  $^{27}\text{Al}$ -MAS-NMR spectra. Fig. 4 showed the  $^{27}\text{Al}$ -MAS-NMR spectra of  
124 Cu-Zn-Al catalyst before and after reaction. As seen in Fig. 4, the resonance at approximately +8  
125 ppm corresponded to the octahedral  $\text{AlO}_6$  site of  $\text{AlOOH}$  [17,18]. And the resonance at +65 ppm  
126 was ascribed to the tetrahedral  $\text{AlO}_4$  groups of  $\text{Al}_2\text{O}_3$  [18]. This transformation in  $^{27}\text{Al}$  NMR site  
127 illustrated that a proportion of Al in the octahedral sites ( $\text{AlOOH}$ ) had transformed to tetrahedral  
128 sites ( $\text{Al}_2\text{O}_3$ ).

#### 129 3.6. AAS analysis

130 In order to confirm that the deactivation of catalyst was not caused by the change of catalyst  
131 composition, the composition of Cu-Zn-Al catalyst was analyzed by GF AAS and the results were  
132 listed in table 3. As we described in the catalyst preparation, the atomic ratio of Cu:Zn:Al was kept

133 at 2:1:0.8 theoretically. However, from the GF AAS results, it was calculated that the atomic ratio  
134 of Cu:Zn:Al was 1.8:1:0.3 before reaction and after reaction the atomic ratio of Cu:Zn:Al was  
135 1.7:1:0.3. It could be seen that the composition of the catalyst before and after reaction was almost  
136 unchanged, which indicated that the catalyst deactivation was not caused by the change of catalyst  
137 composition. Since the slurry catalyst was centrifuged, extracted by petroleum ether, no matter  
138 before reaction or after reaction, the aluminum concentration was lower than theoretical  
139 composition, which might be the main reason why we could not detect either AlOOH or Al<sub>2</sub>O<sub>3</sub>  
140 using XRD.

### 141 3.7. XPS analysis

142 In order to further confirm that the deactivation of catalyst was ascribed to the structural change  
143 of Al species rather than the Cu species and Zn species, the Cu-Zn-Al catalyst before and after  
144 reaction were analyzed by XPS and the binding energy (BE) of each component of the Cu-Zn-Al  
145 catalyst before and after reaction were present in Table 4. As shown in Table 4, the Cu2p<sub>3/2</sub> BE  
146 values were about 932.0 eV and no shake-up satellite peak between 940.0~945.0 eV (Fig. 5),  
147 which indicated the absence of Cu<sup>2+</sup>, and the Zn2p<sub>3/2</sub> BE values were less than 1022.0 eV which  
148 could be assigned to ZnO [19, 20]. This was consistent with the XRD results. However, the Al2p  
149 BE value before reaction was at 74.4 eV corresponding to Al-OH bonds, which was in agreement  
150 with Al in AlOOH [21]. After reaction, the BE of Al2p shifted to 73.7 eV corresponding to Al-O  
151 bonds which was considered as Al<sub>2</sub>O<sub>3</sub> [21].

### 152 3.8. TG-DTG analysis

153 Fig.6 presented the TG-DTG profiles of the Cu/Zn/Al catalyst before and after reaction. As  
154 shown in Fig.6a, the thermal analysis of the Cu-Zn-Al catalyst showed four decomposition steps



155 before reaction. The first step at around 353 K was ascribed to desorption of physically adsorbed  
156 water. The weak DTG step at about 563 K was responsible for the loss of layer structural water of  
157 AlOOH. The strong step at about 713 K was attributed to the decomposition of AlOOH to  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>  
158 [22]. And the last step at about 1073 K was considered to be further conversion of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> to other  
159 Al<sub>2</sub>O<sub>3</sub>. But after reaction, it could be found that the rate of weight loss decreased from DTG (curve  
160 b), especially for the step of AlOOH to  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>, which illustrated that a proportion of AlOOH had  
161 converted to Al<sub>2</sub>O<sub>3</sub> during reactions. Considering the reaction temperature was only 523 K, so  
162 further studies were required to figure out what caused such structural change, which might be  
163 beneficial to improve the stability of catalyst in the higher alcohols synthesis for our future work.

164 In order to direct prove that the formation of Al<sub>2</sub>O<sub>3</sub> could deactivate the catalyst for the higher  
165 alcohol synthesis. A Cu-ZnO-Al<sub>2</sub>O<sub>3</sub> catalyst was prepared using Al<sub>2</sub>O<sub>3</sub> as Al source and compared  
166 it with the present study to investigate the formation of higher alcohols. The results were listed in  
167 table 5 and 6. As seen in table 5 and 6, the selectivity of higher alcohols was only 7.2 %, which  
168 was lower than that of the Cu-ZnO-AlOOH catalyst. This work further indicated that Al<sub>2</sub>O<sub>3</sub> was  
169 not beneficial to the formation of higher alcohols. In addition, F. Schüth [23] et al prepared ternary  
170 Cu-ZnO-Al<sub>2</sub>O<sub>3</sub> catalysts to obtain the greatest methanol synthesis activity. Wang [3] et al also  
171 prepared a series of alumina-supported copper-cobalt catalysts to investigate the formation of  
172 higher alcohols, the results showed that methanol was the major product over monometallic  
173 Cu/ $\gamma$ Al<sub>2</sub>O<sub>3</sub>.

174 It was widely accepted that a bi-functional (dual site) catalyst was required for higher alcohols  
175 formation, on which one acted as CO non-dissociative adsorption and insertion, while the other  
176 acted as CO dissociation and chain growth, the synergism between the dual site would benefit the

177 synthesis of higher alcohols [4,24,25]. In the stability study of this paper, the results showed that  
178 the CO conversion and the selectivity of higher alcohols first increased at early stage and then  
179 gradually decreased with time on stream, which was considered to be the structural change of  
180 catalyst according to the characterization results. It could be speculated that AlOOH had the  
181 function of CO dissociation and chain growth, which favored the formation of higher alcohols,  
182 whereas Al<sub>2</sub>O<sub>3</sub> had no function of CO dissociation. As a result, the main reason for the decrease of  
183 higher alcohols selectivity was ascribed to the structural change of AlOOH→Al<sub>2</sub>O<sub>3</sub>.

#### 184 **4. Conclusion**

185 The deactivation process and the structural change of Cu-Zn-Al catalyst before and after  
186 reaction were investigated. CO conversion and the selectivity of higher alcohols first increased at  
187 early stage and then gradually decreased with time on stream in HAS. During the reaction, a  
188 proportion of Al species of Cu-Zn-Al catalyst changed from AlOOH to Al<sub>2</sub>O<sub>3</sub>. Such structural  
189 change was the main reason for the deactivation of Cu-Zn-Al catalyst in the formation of higher  
190 alcohols. This result suggested that AlOOH had the function of CO dissociation and chain growth,  
191 which favored the formation of higher alcohols, whereas Al<sub>2</sub>O<sub>3</sub> had no function of CO dissociation  
192 over Cu-Zn-Al catalyst without promoters.

#### 193 **Acknowledgements**

194 This research was supported by Natural Science Foundation of China (21176167); the Key  
195 Project of Natural Science Foundation of China (21336006); and the Doctoral Program of Higher  
196 Education Priority Development Areas (20111402130002).

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243 **Table Captions**

244 **Table 1** Catalytic performance of Cu-Zn-Al catalyst.

245 **Table 2** Product distributions of Cu-Zn-Al catalyst.

246 **Table 3** The composition of Cu-Zn-Al catalyst before and after reaction.

247 **Table 4** XPS parameters of the Cu-Zn-Al catalyst before and after reaction.

248 **Table 5** Catalytic performance of Cu-ZnO-Al<sub>2</sub>O<sub>3</sub> catalyst.

249 **Table 6** Product distributions of Cu-ZnO-Al<sub>2</sub>O<sub>3</sub> catalyst.

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265 **Figure captions**266 **Fig. 1.** XRD patterns of Cu-Zn-Al catalyst. (a) before reaction (b) after reaction267 **Fig. 2.** FTIR spectra of Cu-Zn-Al catalyst. (a) before reaction (b) after reaction268 **Fig. 3.** NH<sub>3</sub>-TPD-MS profile of Cu-Zn-Al catalyst. (a) before reaction (b) after reaction269 **Fig. 4.** <sup>27</sup>Al-MAS-NMR spectra of Cu-Zn-Al catalyst. (a) before reaction (b) after reaction270 **Fig. 5.** Cu 2p XPS spectra of Cu/Zn/Al catalyst. (a) before reaction (b) after reaction271 **Fig.6.** TG-DTG profiles of Cu/Zn/Al catalyst. (a) before reaction (b) after reaction

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287 **Table 1** Catalytic performance of Cu-Zn-Al catalyst

Time/h	CO Conversion (%)	Selectivity (wt. %)				C <sub>2+</sub> OH/ROH (%)
		ROH	HC	DME	CO <sub>2</sub>	
24	19.8	31.4	18.4	1.37	48.8	36.0
48	38.9	15.4	28.7	4.53	51.3	68.6
72	35.2	14.0	32.9	12.6	40.6	56.7
96	33.8	19.1	25.2	17.6	38.1	28.6
120	32.0	22.1	25.7	17.9	34.2	14.1

288 Notes: Reaction conditions: T=523 K, P=4.5 MPa, H<sub>2</sub>/CO=2, feed low rate= 150 mL/min, ROH  
289 for total alcohols and HC for hydrocarbon, C<sub>2+</sub>OH/ROH for higher alcohols selectivity.

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302 **Table 2** Product distributions of Cu-Zn-Al catalyst

Time/h	ROH distribution (wt. %)					HC distribution (wt. %)				
	MEOH	ETOH	PrOH	BuOH	PeOH	C1	C2	C3	C4	C5 <sup>+</sup>
24	64.0	20.3	5.8	7.3	2.6	29.7	33.8	17.5	15.2	3.8
48	31.4	38.7	13.3	12.8	3.8	36.0	28.6	16.2	13.5	5.7
72	43.3	25.8	10.9	13.7	6.3	43.0	22.3	15.3	12.2	7.1
96	71.4	11.5	4.6	9.0	3.5	45.4	23.4	14.9	11.1	5.2
120	85.9	3.6	2.0	7.0	1.5	44.3	24.2	14.6	11.3	5.7

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317 **Table 3** The composition of Cu-Zn-Al catalyst before and after reaction

Catalyst	GF AAS (mg/L)		
	Cu	Zn	Al
before reaction	0.430	0.238	0.029
after reaction	0.408	0.240	0.032

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335 **Table 4** XPS parameters of the Cu-Zn-Al catalyst before and after reaction

Metal component	Binding energy (eV)	
	before reaction	after reaction
<i>Cu2p<sub>3/2</sub></i>	932.0	932.1
<i>Zn2p<sub>3/2</sub></i>	1021.7	1020.6
<i>Al2p</i>	74.5	73.7

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352 **Table 5** Catalytic performance of Cu-ZnO-Al<sub>2</sub>O<sub>3</sub> catalyst

Catalyst	CO Conversion (%)	Selectivity (wt. %)				C <sub>2+</sub> OH/ROH (%)
		ROH	HC	DME	CO <sub>2</sub>	
Cu-ZnO-Al <sub>2</sub> O <sub>3</sub>	24.7	48.1	5.5	24.6	21.8	7.2

353 Notes: Reaction conditions: T=523 K, P=4.5 MPa, H<sub>2</sub>/CO=2, feed low rate= 150 mL/min, ROH354 for total alcohols and HC for hydrocarbon, C<sub>2+</sub>OH/ROH for higher alcohols selectivity.

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371 **Table 6** Product distributions of Cu-ZnO-Al<sub>2</sub>O<sub>3</sub> catalyst

Catalyst	ROH distribution (wt. %)					HC distribution (wt. %)				
	MEOH	ETOH	PrOH	BuOH	PeOH	C1	C2	C3	C4	C5+
Cu-ZnO-Al <sub>2</sub> O <sub>3</sub>	92.8	2.9	1.0	2.2	1.1	31.7	22.4	20.8	16.8	8.2

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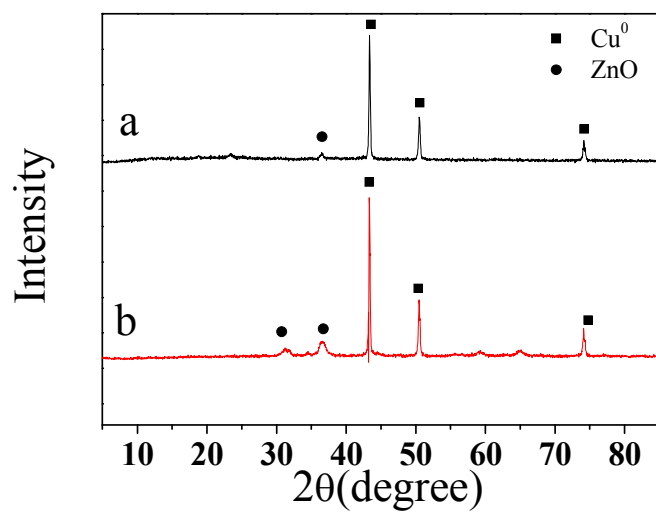
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385 **Fig. 1.** XRD patterns of Cu-Zn-Al catalyst. (a) before reaction (b) after reaction

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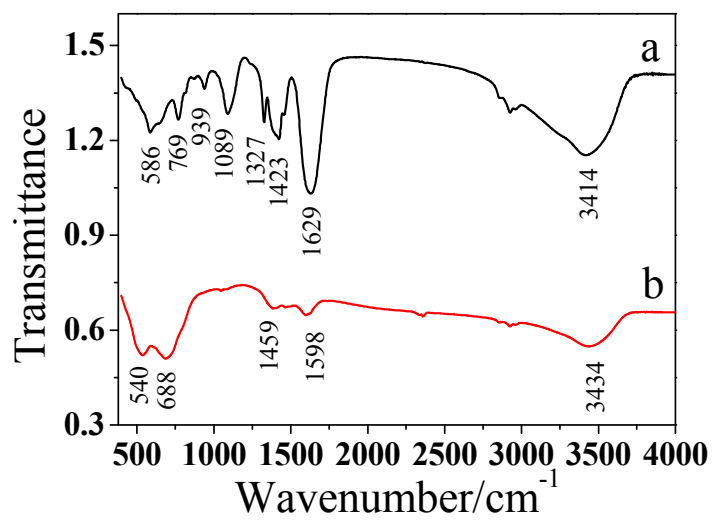
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399 **Fig. 2.** FTIR spectra of Cu-Zn-Al catalyst. (a) before reaction (b) after reaction

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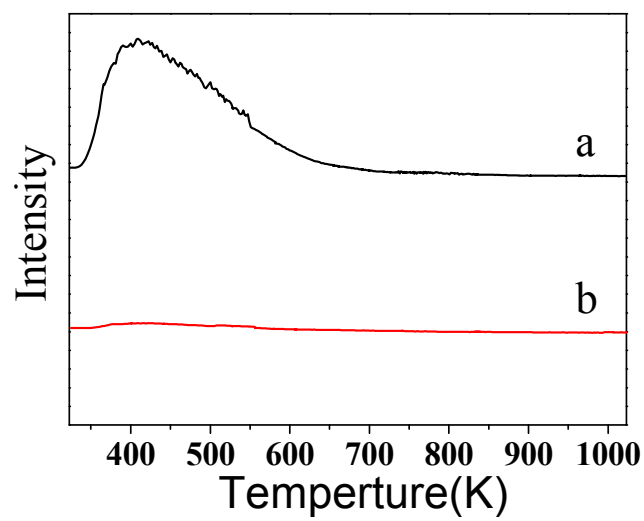
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415 **Fig. 3.** NH<sub>3</sub>-TPD-MS profile of Cu-Zn-Al catalyst. (a) before reaction (b) after reaction

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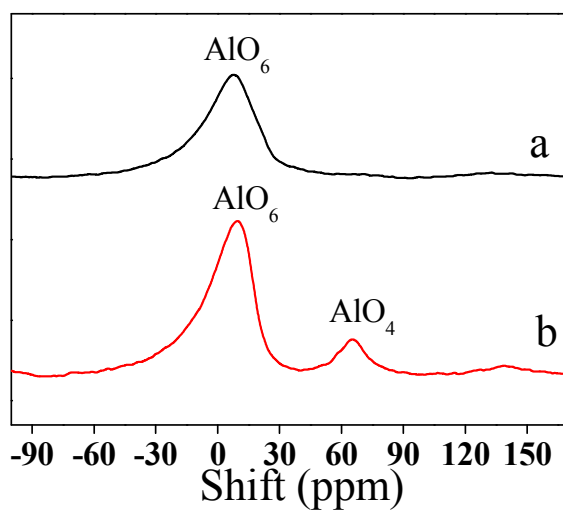
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424 **Fig. 4.**  $^{27}\text{Al}$ -MAS-NMR spectra of Cu-Zn-Al catalyst. (a) before reaction (b) after reaction

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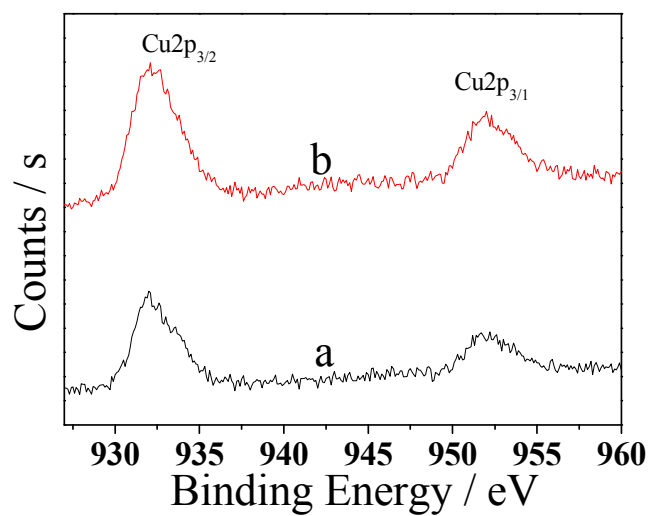
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440 **Fig. 5.** Cu 2p XPS spectra of Cu/Zn/Al catalyst. (a) before reaction (b) after reaction

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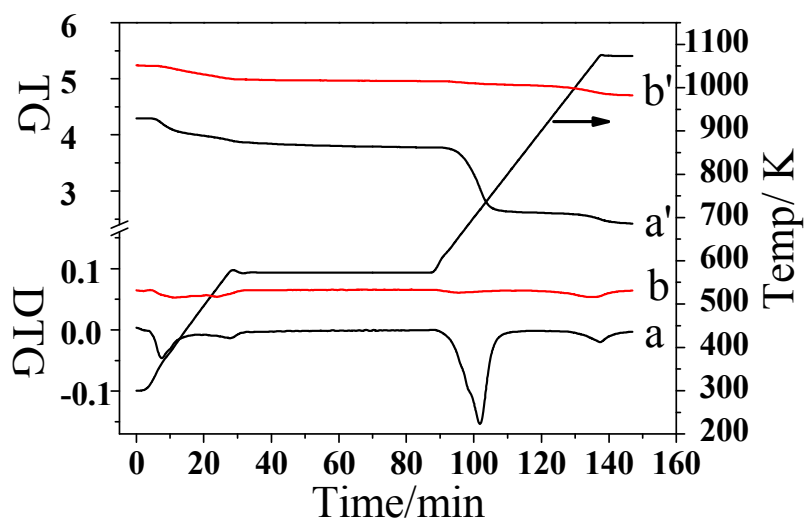
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450 **Fig.6.** TG-DTG profiles of Cu/Zn/Al catalyst. (a) before reaction (b) after reaction

## Graphical abstract

