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24 **Nomenclature****Chinese holiday**

Tomb-Sweeping Day TSD

**Detection instruments**

electronic nose	e-nose
electronic tongue	e-tongue
gas chromatography-mass spectrometry	GC-MS
high-performance liquid Chromatography	HPLC
visible near infrared spectroscopy	Vis-NIRs

**Different types of sensors**

electronic tongue: ZZ, BA, BB, CA, GA, HA, and JB  
 electronic nose: W1C, W5S, W3C, W6S, W5C, W1S,  
 W1W, W2S, W2W and W3S

**Pattern recognition technique**

partial least squares regression	PLSR
principal component analysis	PCA

**Statistical terms**

cross-validation residual sum of squares	CVRss
linear-retention-index	LRI

**Tea samples**

The tea samples produced in Jiyun with different prices: jy120, jy170, jy190, jy280, jy360, jy450 and jy510 (the number is the price (¥))

**Two types of datasets**

partial-area fusion dataset	PAFD
total-area fusion dataset	TAFD

25

## 26 1. Introduction

27 Green tea has been known to confer health benefits (such as anti-oxidant and anti-microbial properties) along  
28 with its attractive and pleasant flavor.<sup>1-3</sup> In the marketplace, there is a wide range of prices for green teas of  
29 different qualities, which are difficult to distinguish by their appearance because the similar processing  
30 procedures.<sup>4</sup> A common fraudulent practice in the commercialization of green tea is to sell inferior goods as  
31 superior ones; that is difficult for normal consumers to detect. This fraudulent behavior not only harms the  
32 interests of consumers but also damages the interests of producers.

33 The quality grades of green tea, which are influenced by variety, plucking season, soil, fertilization, climate,  
34 and post-harvest treatment,<sup>5</sup> are always ranked by leaf appearance, color, aroma, and taste of tea infusion.<sup>6,7</sup>  
35 Among these factors, aroma and taste are considered the most important attributes that influence the  
36 pleasantness of tea infusion and have contributed to the increase in tea consumption.<sup>8</sup> Traditionally, the  
37 evaluation of quality grades of green tea is determined by human sensory panels.<sup>9</sup> However, sensory evaluation  
38 is a time-consuming method, and difficult-to-reproduce method with low objectivity. In addition, even trained  
39 panelists can be influenced by several physiological, economic, and personal issues; and human perception is  
40 not constant across time or among people. Analytical methods and some modern analysis techniques could fit  
41 these requirements: gas chromatography/mass spectroscopy (GC-MS),<sup>9,10</sup> high performance liquid  
42 chromatography (HPLC),<sup>11-13</sup> and visible near infrared (Vis-NIR) spectroscopy.<sup>14,15</sup> However, the application of  
43 these instruments has significant limitations: (1) the taste and smell substances of teas cannot be completely  
44 detected by these instruments, therefore, they cannot accurately reproduce the gustatory and olfactory sensations  
45 of teas to human. (2) The interactions between different taste and smell substances, such as the synergistic effect  
46 or the suppression effect, cannot be detected by these instruments.

47 Electronic nose (e-nose) and tongue (e-tongue) are the systems that closely mimic the performance of human  
48 olfactory bulbs and taste buds (with the following application of pattern recognition tools).<sup>16-18</sup> Both the  
49 instruments can obtain global information about samples through “soft” measurement techniques, where a  
50 quality (e.g. taste or smell) can be measured, instead of traditional measurement techniques, where a single  
51 parameter (e.g. temperature or conductivity) is measured.<sup>19</sup> Global information, which is considered to be  
52 fingerprints of taste and smell substances, could be used to classify and forecast the quality of detected samples  
53 with appropriate pattern-recognition methods. Because of their fast operation and low cost, e-noses and

54 e-tongues are now widely applied to various food products analyses.<sup>20-25</sup>

55 Although the quality of the tea has a high correlation with aroma and taste, aftertaste is also often used as a  
56 positive term to describe a good tea infusion.<sup>26</sup> Aftertaste is the stimulus intensity perceived in the moments  
57 immediately following removal of the stimulus (to differentiate with adaptation, in which the stimulus is  
58 constantly present), it can vary strongly over time after taste effect and the length of aftertaste is much different  
59 in various tea samples.<sup>27</sup> In this study, a combination of an e-nose and e-tongue is applied to detect different  
60 grades of green tea. Meanwhile, the aftertaste of the tea infusion is also detected during the experiment for  
61 improving the identification precision. The sensory features were extracted by the area method (the sum of the  
62 areas between the corresponding curves and x-axis) from the original response values. Three types of pattern  
63 recognition methods: principal component analysis (PCA), discriminant factor analysis (DFA), and partial  
64 least-squares regression (PLSR) based on those feature data were applied for classification and prediction of the  
65 green tea samples. In addition, the volatile and flavor compounds of teas were also detected by gas  
66 chromatography-mass spectrometry (GC-MS) and high-performance liquid chromatography (HPLC) as  
67 references. PLSR was used to predict the chemicals on the basis of usage of an e-nose or e-tongue alone, as well  
68 as the combined usage of an e-nose and e-tongue.

69 The objectives of this study were: (1) to demonstrate whether the combined usage of e-nose and e-tongue  
70 systems to discriminate tea samples with different grades and to the predict the volatility and flavor compounds  
71 is more efficient than the solo usage of an e-nose or e-tongue; (2) to determine the effectiveness of the area  
72 method as the extraction method for response features; and (3) whether obtaining additional aftertaste signals  
73 could improve the classification and prediction results.

## 74 **2. Materials and methods**

### 75 **2.1. Chemicals**

76 The reference aromatic compounds for the qualitative analysis, and ethyl caprate (99.99% purity), used as an  
77 internal standard for the quantitative analysis, were obtained from Sigma-Aldrich, Milwaukee, WI, USA.  
78 N-Alkanes (C5-C20) were used for the linear-retention-index (LRI) calculations and were purchased from J&K  
79 Chemical Ltd., Beijing, China. An internal standard solution was prepared at a concentration of 0.4  $\mu$ L in 1 mL  
80 of methanol (HPLC grade, Fishier, Scientific Pittsburg, PA, USA) prior to use.

## 81 2.2. Tea samples

82 Longjing tea, which is a type of flat-shaped Chinese green tea, is used as the experimental sample in the study.  
83 Seven tea groups of different qualities were picked from Jiyun city (28°25' 119°52', Zhejiang province). All tea  
84 leaves, which were pan-fried by skilled workers, were processed by the same method. The grades of Longjing  
85 are variable depending on the plucking time: the teas plucked before Tomb-Sweeping Day (TSD, April, 5<sup>th</sup>) are  
86 of higher quality and price than the teas plucked after the TSD. Seven tea groups with different price were  
87 plucked at different times (Table 1): the samples of jy280, jy360, jy450, and jy510 were plucked before TSD;  
88 and the samples of jy120, jy170, and jy190 were plucked after TSD. Fourteen samples of each quality group  
89 were identified, and all samples were packed in aluminum foil, sealed by a vacuum packing machine, and stored  
90 at 4 °C before testing.

## 91 2.3. Electronic nose setup and measurement

92 A PEN2 portable electronic nose (AIRSENSE Company, German) was applied in the experiment. The device  
93 was equipped with 10 metal oxide semiconductor (MOS) type chemical sensors (W1C, W5S, W3C, W6S, W5C,  
94 W1S, W1W, W2S, W2W and W3S) whose responses were expressed as the ratio of conductance.

95 In this experiment, each 10-g tea sample was placed in a beaker (500 ml), which was sealed with plastic wrap.  
96 Afterwards, the headspace collected the volatiles from the samples during 60 min (headspace-generation time).  
97 During the measurement process, the headspace gas was pumped into the sensor chamber at a constant rate of  
98 400 ml/min. The measurement includes three parts: the sampling phase (85 s), the slightly purging phase (10 s),  
99 and the purging phase (40 s). At beginning of the sampling phase, the ratio of conductance of each sensor was  
100 low; then, it increased continuously and finally stabilized after approximately 50 s. The following slightly  
101 purging phase consisted of a short time clean-testing (with air) for the detection of the adsorb ability of the MOS  
102 sensors to the volatiles (it could be taken as one type of aftertaste detection). At the end of the experiment, the  
103 sensors were purged to their baseline by air in the purging phase. A computer recorded the response signals at  
104 every second. When the measurement was completed, the acquired data were properly stored for later analysis.  
105 Fourteen samples of each tea group were identified, and temperature of the laboratory was kept at  $25 \pm 1$  °C  
106 during experiment. It can be seen from Fig. 1 that the response signals obtained from jy190 and jy510 samples  
107 were different, and that the volatiles of different tea samples presented different cohesion to gas sensors. Jy190  
108 samples belong to low-level Longjing teas, and their sensor signal values ranged from 0-8. The sensors have the

109 highest sensitivity to jy510, and the responses were in range of 0-9. Aside from these differences, the response  
110 values obtained by w1w sensor changed significantly. Those differences among the response signals could be  
111 applied to discriminate different quality grades of Longjing tea samples, and the differences among “aftertaste  
112 signals” might improve the discrimination.

#### 113 **2.4. Electronic tongue setup and measurement**

114 The  $\alpha$ -Astree e-tongue (Alpha MOS company, France), which comprises seven potentiometric chemical sensors  
115 (ZZ, BA, BB, CA, GA, HA, and JB), was performed in the measurements. Those cross-sensitivity working  
116 sensors are composed of polymer membranes which could adsorb different taste substances, and they could  
117 provide global gustatory information to the five basic tastes (sourness, saltiness, sweetness, bitterness, and  
118 *umami*). During the measurements, the voltage intensity (mv) variation between chemical sensor and Ag/AgCl  
119 reference electrode would be recorded by basic data analysis software for the further chemometrics analysis.

120 According to the “Methodology of Sensory Evaluation of Tea”,<sup>28</sup> the suitable proportion of tea to water  
121 applied in the measurements is 1:50 (g/v). A total of 10.0 g of dry tea sample was brewed in 500 mL of freshly  
122 boiled distilled water for 5 min, and then the tea leaves were then filtered with a sieve. After cooling down to  
123 room temperature (25 °C), 80 ml of tea infusion was poured into an airtight glass jar with a volume of 150 ml  
124 (concentration chamber) for the potentiometric measurements. This experiment also consisted of three  
125 measurement phases: the sample detection phase (120 s), the “aftertaste” detection phase (40 s), and the  
126 cleaning phase (10 s). During the sample detection phase, the measurement time was set to 120 s for sampling,  
127 and the response signals stabilized after approximately 75 s. After the detection phase, the sensor array was  
128 immersed in an artificial human saliva solution for approximately 40 s to detect the changes of membrane  
129 potential caused by adsorption (this could be also called the “aftertaste”). During the cleaning phase, the sensors  
130 were rinsed for 10 s using de-ionized water before detecting the next sample. Five samples could be detected at  
131 one time, and fourteen samples of each tea grade were tested. All samples were detected at room temperature  
132 (25±1 °C).

133 As shown in Fig. 2, the sampling and aftertaste signals obtained from jy190 and jy510 are quite different. The  
134 signal ranges of jy190 samples were from 0 to 3100 mv, and the signal ranges of jy510 samples were from 0 to  
135 3000 mv. The sampling signals obtained by CA, GA, and HA sensors from the tea samples of the two quality  
136 grades exhibited the greatest differences. The aftertaste values obtained by BB and JB sensors exhibited

137 significant changes among the four plots. The classification results from the e-nose and e-tongue were compared  
138 in the following sections.

## 139 **2.5. Characterization of tea extract**

140 The contents of polyphenol, amino acid, protein, and total sugar were determined by a spectrophotometer using  
141 the following methods: polyphenol by ferrum tartrate method, amino acid by ninhydrin method, protein by  
142 coomassie brilliant blue method, and total sugar by anthrone reagent method. The water extracts contents were  
143 determined by the methods described by Seo et al (three samples was tested, the average values for the water  
144 extracts contents were described and the standard deviation was applied for demonstrating stability of the  
145 test ).<sup>29</sup>

## 146 **2.6. HPLC & GC-MS**

147 **2.6.1 HPLC for detection of catechins and caffeine.** After filtering through a 0.22  $\mu$  membrane filter, the  
148 tea infusion was analyzed by a Shimadzu (Kyoto, Japan) HPLC system equipped with an SPD-10Avp UV  
149 detector, and the UV absorbance was monitored at 280nm. The chromatographic separation was carried out on a  
150 Wondasil C18-WR column (250 mm  $\times$  4.6 mm, 5 $\mu$ m). Acetic acid-acetonitrile-water (0.5:3:96.5, v/v/v) and  
151 acetic acid-acetonitrile-water (0.5:30:69.5, v/v/v) mixtures were employed as mobile phases A and B,  
152 respectively, in HPLC, and the gradient program as follows: 0-40 min, linear gradient 30%-85% B.

153 **2.6.2. HS-SPME/GC-MS for the detection of volatile components.** The extraction of the volatile  
154 compounds was carried out using an a HS-SPME method with a PDMS/DVB fibre (65 $\mu$ m film thickness,  
155 Supelco, Bellafonte, PA, USA), prior to the analysis the fiber was preconditioned for 60 min in the injection port  
156 of the GC, as suggested by the manufacture. The tea infusion (6 ml) was spiked with 0.4  $\mu$ l of an internal  
157 standard (ethyl caprate), placed in a 20 ml glass vial sealed with a PTFE-coated septum (Beijing Bomex Co.,  
158 China), and subsequently equilibrated for 5 min in a water bath at 60 °C. For the extraction, the fiber was  
159 immersed in the sample (the stainless steel needle was kept 2.5cm below the septum) for 80 min. Afterwards,  
160 the SPME fiber was introduced into the hot injection port of the GC/MS for 5 min for the complete desorption  
161 of the analyte. Temperature program was as follows: hold at 40 C for 2min, increase 3 C/min up to 110 C,  
162 increase 5 C/min up to 200 C, hold at 200 C for 10min. Helium was the carrier gas (purity > 99.999%) and the  
163 flow velocity was constant at 1 ml/min. The mass spectrometer conditions was as follows: ionization mode, EI;



164 electron energy, 70eV; interface temperature, 280 C; ion source temperature, 230 C; mass scan range, 47-401u.  
165 The identification of volatile compounds was achieved by comparing their LRIs, MS fragmented patterns, and  
166 aroma quality with those of reference compounds and published data. The concentration of the volatile  
167 compounds was calculated in ng/g based on the internal standard.

## 168 **2.7. Data processing**

169 Principal component analysis (PCA) is a variable-oriented data analysis technique that used to detect patterns  
170 and to visualize the information present in the data of the e-nose and e-tongue measurements. PCA allowed the  
171 extraction of useful information (discrimination of sample types) from the data and to explore their structure,  
172 including correlation between variables and the relationship between subjects.<sup>29</sup>

173 Discriminant factor analysis (DFA) is probably the most frequently used supervised pattern recognition.  
174 The optimal transformation in classical DFA is obtained by minimizing the within-class distance and  
175 maximizing the between-class distance simultaneously, thus achieving maximum class discrimination.<sup>30</sup>

176 Partial least squares regression (PLSR) is used to model the relationships between the observable variables  
177 (Y-variables) to the variation of predictors (X-variables), it finds a linear regression model by projecting the  
178 predicted variables and the observable variables to a new space. Because both the X and Y data are projected to  
179 new spaces, the PLSR family of methods is known as bilinear factor models.<sup>31</sup>

180 PCA and DFA were performed by using SAS v8 (SAS Institute, Cary, NC, USA), PLSR was performed by  
181 using MATLAB (version 7.0, The MathWorks, Inc., USA)

## 182 **3. Results and discussion**

### 183 **3.1. Feature data extraction**

184 The typical e-nose response curves are shown in Fig. 3a. During the measurement, the signals of each sensor  
185 were low during the initial period; then, it continuously changed, started to stabilize approximately after 20 s,  
186 and stabilized approximately at the 80<sup>th</sup> s. These signals were changed again after 85 s until 95 s in order to  
187 obtain the aftertaste values for 10 s. According to the characteristics of the e-nose response curves, three  
188 methods were employed to extract the feature data from the electrochemical response values of the e-nose: (1)  
189 the 80<sup>th</sup> s datum method, where the signals became stable approximately at the 80<sup>th</sup> s, and the response values of  
190 each sensor at the 80<sup>th</sup> s were taken as the feature data; (2) the partial-area method, where the sum of the areas

191 under the response curves obtained between the 0<sup>th</sup> s and 85<sup>th</sup> s was taken as the feature data, not including the  
192 areas under the aftertaste values; and (3) the total-area method, where the sum of the areas under the response  
193 curves obtained between the 0<sup>th</sup> s and 95<sup>th</sup> s was taken as the feature data. As shown in Fig. 3b, the response  
194 curves of the e-tongue exhibited similar changes in the trends as those of the e-nose during measurement. The  
195 three methods were also employed to extract the e-tongue's feature data, which were obtained for the different  
196 times and intervals: (1) the 110<sup>th</sup> s datum method, where the time for extracting features was at 110 s; (2) the  
197 partial-area method, where the time interval was from the 0<sup>th</sup> s to the 120<sup>th</sup> s; and (3) the total-area method,  
198 where the time interval was from the 0<sup>th</sup> s to the 160<sup>th</sup> s.

### 199 **3.2. The classification results of PCA using e-nose and e-tongue**

200 **3.2.1 The PCA result of e-nose and GC-MS.** Seven quality grades of Longjing tea were classified using the  
201 e-nose with a PCA (Fig. 4), and the results on the basis of the three feature-extracting methods were compared  
202 with each other. Fig. 4a shows a score plot of the first two PCs (86.31% of total variance explained) of the  
203 e-nose data after pretreatment by the 80<sup>th</sup> s datum method. Because the response data have a high correlation  
204 with each other, only one datum was always extracted from the responses as the feature data in past studies.  
205 However, the sample information contained in one datum was incomplete, and the classification result indicated  
206 that the 80<sup>th</sup> s datum method was weak for the classification. To improve the classification results, the area  
207 methods were employed. In comparison, a PCA analysis on the basis of an area method (the partial-area method)  
208 was performed (Fig. 4b). The PCA plot shows a classification of Longjing tea samples with 86.34% of total  
209 variance explained, and the classification result was the similar chaotic type as that presented in Fig. 4(a). The  
210 area under the initial phase (0 s - 20 s) of the response curves was included in the feature data by the partial-area  
211 method. The response values changed constantly during the stage, and the areas under those transient values  
212 were unstable (RSD > 8%), which might led to poor classification results. In the end, the efficiency of the  
213 total-area method for classifying tea samples on the basis of the PCA was tested, where PC<sub>1</sub> versus. PC<sub>2</sub>  
214 together explains 77.82% of variance (Fig. 4c). Although jy170, jy190, jy360, and jy510 overlapped with each  
215 other, y120, jy360, and jy450 samples can be separated from the other samples. The areas under the response  
216 curves obtained during the entire measurement were taken as the feature data, and the aftertaste values were  
217 taken as one part of the features. The aftertaste signals made the olfactory information more complete and

218 increased the differences among the samples. This may be the reason that the total-area method worked more  
219 effective than other methods in the classification works.

220 As discussed above, these tea samples could not be separated from each other completely using the e-nose.  
221 The e-nose was not sensitive enough to identify the slight differences between the volatile compounds of the  
222 samples. To explain the differences between the main volatile compounds of each kind of Longjing tea, GC-MS  
223 was employed to detect the same samples as a reference. The concentrations of five main volatile flavor  
224 compounds, nonanal, linalool oxide I (trans, Furanoid), decanal,  $\beta$ -Lonone and methyl salicylate, are  
225 summarized in the Table 2. It is obvious that teas plucked before TSD have higher concentrations of nonanal  
226 and decanal, and lower concentrations of methyl salicylate,  $\beta$ -Lonone, and geraniol than the teas plucked after  
227 TSD. The teas plucked in these two time phases have similar concentrations of linalool oxide I (trans, Furanoid).  
228 The concentrations of nonanal and decanal increased as the tea grade became higher, revealing their positive  
229 contribution to the Longjing tea aroma; however, the levels of  $\beta$ -Lonone, methyl salicylate, and geraniol  
230 decreased as the tea grade became higher, revealing their negative contribution to the Longjing tea aroma.  
231 Furthermore, we could determine why jy120, jy360 and jy450 samples could be separated from the other  
232 samples in the PCA plot: the jy120 sample has the highest concentrations of methyl salicylate and  $\beta$ -Lonone,  
233 and the lowest concentrations of nonanal and decanal. Further, the jy450 sample has the highest concentrations  
234 of nonanal and decanal and the lowest concentrations of methyl salicylate. The jy360 sample has medium  
235 concentrations of almost all of the six volatile compounds.

236 **3.2.2 The PCA result of e-tongue and HPLC.** The e-tongue data obtained from the tea samples were  
237 calculated with a PCA. As shown in Fig. 5, the total accumulative variance contributions from PC1 and PC2 are  
238 69.07% (Fig. 5a), 66.37% (Fig. 5b), and 66.9% (Fig. 5c). None of the contributions rate over 85%, and the  
239 samples cannot be separated from each other completely using the three feature-data extraction methods.  
240 Moreover, the samples have similar distributions in the PCA score plot: the samples of jy120, jy280, jy360, and  
241 jy510 could be separated from other samples on the basis of the data obtained by the three methods: the 110<sup>th</sup> s  
242 datum, the partial-area, and the total-area methods; the jy170, jy190, and jy450 samples overlapped with each  
243 other in the three PCA plots. The PCA analysis results for the e-tongue data could not be improved by including  
244 the aftertaste responses. Because there was very little change in the response values during the e-tongue  
245 measurement (even the slightly oscillations in the initial phase of measurement), the feature data based on the  
246 three feature-extraction methods have high correlations. PC1 vs. PC2 explains the similar contributions of

247 variance. The classification results on the basis of the e-tongue data with the PCA were better than those on the  
248 basis of e-nose data,

249 The concentrations of polyphenol (bitterness, astringent), amino acids (*umami*), total sugars (sweetness),  
250 water extracts (*kokumi*), and the protein (*umami*) of Longjing teas were analyzed by chemical methods; the  
251 catechins (bitterness, astringent) and caffeine (bitterness) of the Longjing teas were analyzed by HPLC. Table 3  
252 lists the chemical compositions identified in Longjing teas. The teas plucked after TSD have higher  
253 concentrations of polyphenol, amino acids, total sugars, water extracts, catechins, and caffeine contents than the  
254 teas plucked before TSD. Therefore, the tea infusions made by soft buds and/or the first young leaves may have  
255 a mild taste; whereas the tea infusions made by more mature, fresh leaves have a stronger taste. The high price  
256 of the high-grade Longjing teas may be caused by two reasons: (1) young leaves are few in number, and their  
257 values are determined by the number and (2) although the tea infusions made by the young leaves have a mild  
258 taste, they may have suitable flavor for most people. Jy170 and jy190 samples have similar concentrations of the  
259 seven chemical substances, which is the reason why the two grades of samples could not be separated from each  
260 other in the PCA plots. However, it was not possible to determine a reason for the distribution of jy450 from  
261 Table 3. There might be substances other than the chemical compounds detected that could influence the  
262 classification results, or the sensitivity of the e-tongue was not high enough to classify the Longjing tea samples.  
263 There were four type of tea samples (jy120, jy280, jy360, and jy510) that could be separated from the other  
264 samples, and the e-tongue worked better than the e-nose for the classification. Therefore, even though the  
265 classification results were not good enough, the clearly distributed results of the tea samples in the PCA plots  
266 have confirmed that the e-tongue was able to accurately respond to different tea samples.

### 267 **3.3. The classification results on the basis of the fusion data**

268 Owing to the high complexity of the tea samples, the use of only the e-nose or e-tongue data for the  
269 identification is insufficient. Only one type of sensor array (the MOS gas or liquid potentiometric sensor array)  
270 restricted the amount of useful information. Emerging strategies (multi-sensor data fusion techniques) have  
271 recently been demonstrated to efficiently overcome these problems. In a study, the e-nose and e-tongue sensing  
272 systems were combined to enhance the classification between tea samples of different grades. The e-nose and  
273 e-tongue data were simultaneously obtained to form a feature data matrix with its number of rows equal to the  
274 number of measures, and its number of columns equal to the total number of sensors in the e-nose plus the

275 e-tongue. Furthermore, two fusion feature datasets were established on the basis of the partial-area (partial-area  
276 fusion dataset, PAFD) and total-area (total-area fusion dataset, TAFD) methods, and a comparison of the  
277 efficiency of the two fusion feature datasets in classifying the tea samples using PCA and DFA were presented.

278 **3.3.1. The PCA results.** Fig. 6 shows a PCA description of the data structure of the seven tea groups on the  
279 basis of the fusion data. The tea samples could not be completely classified completely on the basis of the PAFD  
280 (Fig. 6a), and the total contribution to variance of  $PC_1$  and  $PC_2$  was 61.21%, which is lower than 85%, meaning  
281 that the first two PCs are insufficient for explaining the total variance of the dataset. Jy120, jy170, jy190, jy360,  
282 and jy450 could be separated from the other samples, except for one jy360 sample and three jy170 samples. In  
283 Fig. 6b,  $PC_1$  versus  $PC_2$  versus  $PC_3$  is shown and explains 73.37% of total variance. Only jy360 and jy450  
284 overlapped with each other, and five other group samples could be separated from the other samples. It was  
285 obvious that use of fusion data improves the classification result; however, the tea samples on the basis of the  
286 PAFD still could not be completely separated. The PCA results using the TAFD are shown in Fig. 6c, d.  $PC_1$   
287 versus  $PC_2$  is shown and explains 55.39% of total variance. All of the samples could be separated from each  
288 other except for the jy170 and jy190 samples which have similar volatile compounds and chemical substance  
289 concentrations. As shown in Fig. 6c, the teas under the black stripe were plucked before TSD, and the teas  
290 above the black stripe were plucked after TSD, except for jy280. The interval of the plucking time between the  
291 jy190 and jy280 samples was few days, which made the tea samples have similar gustatory and olfactory  
292 sensations.  $PC_1$  versus  $PC_2$  versus  $PC_3$  are shown, and explains 70.25% of total variance (Fig. 6d). Although the  
293 distributions of each group of samples were close to each other, and samples with the same grade did not group  
294 very well, all samples could be separated using a 3D-PCA on the basis of the TAFD. For the fusion model using  
295 e-nose and e-tongue, the classification error is much smaller compared with the individual systems for tea  
296 classification, and differentiating the varieties of tea samples is distinctly more effective.

297 **3.3.2. The DFA results.** The classification results of the tea samples using the DFA on the basis of the PAFD  
298 are shown in Fig. 7(a), where  $DF_1$  vs.  $DF_2$  explains 80.62% of variance. All seven grades of tea samples were  
299 well separated in the 2D plot. The DFA assumes that replicated samples are clustered, whereas the PCA treats  
300 each replicated sample as an individual data point. Therefore, the rice wine samples were grouped much better  
301 in the DFA plots than in the PCA plots. In addition, the tea samples that were close to one another shared more  
302 similar characteristics in the DFA score plots, according to which the samples were divided into three  
303 independent parts (Fig. 7(a)): jy280, jy360, jy450, and jy510 (it belong to the high grade) located at the top of

304 the plot; jy120, jy170, and jy190 (it belong to the low grade) located further below, and jy120 located at the  
305 bottom of the plot (according to the Table 2 and Table 3, jy120 located at the right place). Otherwise, the tea  
306 samples plucked before TSD were located above the horizontal line, and the tea samples plucked after TSD  
307 were located below the horizontal line. Fig. 7(b) shows the DFA scores on the basis of the TAFD, where  $DF_1$   
308 versus.  $DF_2$  explains 78.91% of the variance. All of the tea samples could also be well separated from each other,  
309 and the distributions of each sample group in Fig. 7(b) were similar to those in Fig. 7(a). Although the addition  
310 of the aftertaste values did not result in a significant improvement in the classification results, samples of the  
311 same grade were grouped slightly better than those in Fig. 7(b).

312 As discussed above, the aftertaste values are positively correlated with the same characteristics of the  
313 sensors indirectly, and the ability of each gas sensor and liquid potentiometric sensor for desorbing the flavor  
314 substances and volatile compounds. The aftertaste values enriched the samples' information, and the  
315 classification results demonstrated that the pattern-recognition methods on the basis of the TAFD performed  
316 more efficiently than those on the basis of the PAFD. Moreover, all of the samples could be separated from each  
317 other using a 2D-DFA, which presented more accurate and clearer classification results than the PCA. The good  
318 performance of the DFA indicated that it is possible to classify the Longjing teas of different grades using the  
319 TAFD.

### 320 **3.4. The prediction results on the basis of the fusion data**

321 In this study, each grade of tea sample was given a reference value (Table 1), and PLSR was employed for  
322 forecasting using the PAFD and TAFD. Overall, 280 samples (40 samples of each grade) in the experimental  
323 session were divided randomly into calibrating and test subsets: 182 samples (26 samples of each category) for  
324 the training set and 98 samples (14 samples of each category) for the testing set. The PAFD and TAFD were  
325 condensed by a PCA in the section 3.3.1. The accumulative explanations rates of the first seven PCs (for PAFD  
326 was 95.53%, and for TAFD was 95.22%) were greater than 95%, which contained the majority of information  
327 and features of the tea samples. Therefore, the first seven PCs were used as regression factors to be analyzed by  
328 PLSR.

329 **3.4.1. The PLSR results of tea quality grades.** Leave-one-out cross-validation was applied to verify the  
330 PAFD and TAFD results. The cross-validation residual sum of squares (CVR<sub>ss</sub>) and the correlation coefficient  
331 between the measured and predicted values ( $R^2$ ) on the basis of PAFD were 23.9824 and 0.9452, respectively

332 (Fig. 8a). The results indicated that the combination of the e-nose and e-tongue was effective for forecasting the  
333 quality grade, and the volatility and flavor information contained in the fusion data could reflect the quality  
334 grades of green teas. The aftertaste values were included in the feature data for forecasting the quality grade, and  
335 the CVRss and  $R^2$  on the basis of TAFD were 18.7225 and 0.9518, respectively (Fig. 8b). The predicted results  
336 on the basis of TAFD were somewhat better. The characteristics of the green teas were obtained during the  
337 adsorption and desorption processes of the e-nose and e-tongue sensors. Taste and aftertaste detection could be  
338 interpreted as the effective ability of adsorption and desorption of those sensors, and the aftertaste values  
339 completed the information for the samples. The PLSR provided a clear indication of the ability of the  
340 combination of the e-nose and e-tongue, and the PLSR performed better in predicting the tea grade based on the  
341 TAFD.

342 **3.4.2. The PLSR results of the main volatile and flavor compounds.** The main volatile and flavor  
343 compounds of the Longjing teas were predicted by PLSR using the solo e-nose (used for the prediction of  
344 geraniol and linalool oxide) or e-tongue data (used for the prediction of water extract and polyphenol)-PAFD  
345 and TAFD, respectively (Fig. 9 - Fig. 10). The sole usage of e-nose to predict geraniol was ineffective, and  $R^2 =$   
346 0.6795 (Fig. 9a1). As discussed in the previous section, the information obtained by the e-nose was not enough  
347 to classify green teas with different quality grades. Therefore, the regression results based on the e-nose signals  
348 were bad. As showed in Fig. 9a2 and a3, the PLSR performed well in predicting geraniol on the basis of PAFD  
349 and TAFD,  $R^2 = 0.9175$  and  $R^2 = 0.9252$ , respectively. The TAFD, which contained more useful information  
350 (the aftertaste values), worked better than the PAFD. All three types of feature data performed well for  
351 predicting linalool oxide. The prediction results obtained on the basis of the e-nose data alone ( $R^2 = 0.8142$ )  
352 were much better than the prediction results of geraniol obtained on the basis of the same database (Fig. 9b1).  
353 Although the PAFD contained less information than the TAFD, the PAFD was more effective than the TAFD for  
354 predicting linalool oxide,  $R^2 = 0.9520$  for the PAFD and  $R^2 = 0.9065$  for the TAFD (Fig. 9b2 and b3). The  
355 results may be caused by some volatile compounds which have stronger influence than aftertaste on the  
356 responses.

357 The PLSR prediction results of the water extracts and polyphenol on the basis of the e-tongue data alone were  
358 not good,  $R^2 = 0.7422$  and  $R^2 = 0.6480$ , respectively (Fig. 10a1 and b1). The PAFD exhibited good results for  
359 predicting the water extracts and polyphenol, and  $R^2 = 0.9004$  and  $R^2 = 0.9086$ , respectively (Fig. 10a2 and b2).  
360 It was obvious that the performances based on fusion were much better than that based on the use of the



361 e-tongue alone. Although the volatile compounds of the green teas could not be detected by the e-tongue sensors,  
362 the flavor compounds were influenced by the volatile compounds whose characteristics could be reflected by  
363 the detection of flavor compounds indirectly. This can be observed in Fig. 10a3 and b3, where the aftertaste  
364 values had a positive effect on the prediction. Furthermore, the TAFD exhibited the best results for predicting  
365 both water extracts and polyphenol,  $R^2 = 0.9298$  and  $R^2 = 0.9202$ , respectively.

366 As discussed above, the combined usage of the e-nose and e-tongue worked more effectively than the use of  
367 the e-nose or e-tongue alone. With the exception of the prediction of linalool oxide, the TAFD exhibited the best  
368 results for predicting those volatile and flavor compounds. The desorption process of the sensors was not a  
369 simple inverse process of adsorption, which meant that the aftertaste values contained independent feature  
370 information of the green teas, thereby serving a crucial role in classification and prediction.

#### 371 **4. Conclusions**

372 (1) Three feature extraction methods, the 80 s' datum method, the partial-area method, and the total-area method,  
373 were employed to extract the feature data from the original responses of the e-nose and e-tongue, and the areas  
374 under the aftertaste values were obtained by the total-area method. The PCA results showed that neither the  
375 e-nose nor e-tongue could classify the tea samples independently using the three methods, but the aftertaste  
376 values increased the efficiency of the total-area method. Using the total-area method, jy120, jy360, and jy450  
377 can be separated from the other samples using the e-nose and jy120, jy280, jy360, and jy510 could be separated  
378 from the other samples using the e-tongue. All the samples could be separated on the basis of DFA with PAFD  
379 or TAFD. The position of each type of the rice wine samples were grouped much better in the DFA plots than in  
380 the PCA plots, moreover, the tea samples plucked before TSD could also be separated clearly with the tea  
381 samples plucked after TSD.

382 (2) The e-nose and e-tongue sensing systems were combined, and two fusion feature datasets were  
383 established: PAFD and TAFD. The tea samples could not be separated by the 2D-PCA on the basis of the PAFD  
384 or TAFD, the 3D-PCA exhibited a better result and all tea samples could be separated on the basis of the TAFD.  
385 The first seven PCs were used as the PLSR regression factors for the tea grade prediction, and PLSR gave a  
386 clear indication of the ability of the combination of e-nose and e-tongue. The correlation coefficient between the  
387 measured and predicted values of the PAFD and TAFD were 0.9452 and 0.9518, respectively. The combined



388 usage of the e-nose and e-tongue was worked more effective than the use of the e-nose or e-tongue alone in  
389 predicting volatile and flavor compounds, and TAFD presented the best results in predicting geraniol ( $R^2 =$   
390  $0.9252$ ), water extract ( $R^2 = 0.9298$ ), and polyphenol ( $R^2 = 0.9202$ ) contents.

391 In conclusion, the quality grades of teas can be detected by the combination of e-nose and e-tongue, and the  
392 addition of aftertaste values could enrich the tea sample's information and improve the classification and  
393 prediction results. Based on this study, it is evident that more effort should be directed into correlating fusion  
394 response data with human sensory data, and monitoring the production process of teas. Moreover, the feasibility  
395 to combine the hardware of e-tongue and e-nose should be performed in the future study.

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433

## 1 Captions of Figure and Table

2 Table 1. Different grades of green tea

3 Table 2. Average values and standard deviation (SD) for the main volatile compounds in different grades  
4 of green teas (ng/g) obtained by GC - MS.

5 Table 3. Average values and standard deviation (SD) for the main chemical substances in different grades  
6 of green teas (% dry weight) obtained by chemical methods and HPLC.

7

8 Fig. 1. Response curves of the ten gas sensors to the tea samples: (a) jy190, (b) jy510.

9 Fig. 2. The response curves obtained by liquid potentiometric sensors (CA, HA, BA, ZZ, GA, JB and BB)  
10 from different tea samples: (a) and (b) were the responses and aftertaste values obtained from  
11 jy190, respectively; (c) and (d) were the responses and aftertaste values obtained from jy510,  
12 respectively.

13 Fig. 3. The four methods for extracting the feature data from e-nose and e-tongue responses: (a) e-nose, (b)  
14 e-tongue.

15 Fig. 4. The PCA classification results using e-nose on the basis of the four features extracting methods: (a)  
16 the 80 s' datum method, (b) the partial-area method, (c) the total-area method.

17 Fig. 5. The PCA classification results using e-tongue on the basis of the four features extracting methods:  
18 (a) the 120 s' datum method, (b) the partial-area method, (c) the total-area method.

19 Fig. 6. The PCA classification results on the basis of PAFD and TAFD: (a) 2D-PCA and (b) 3D-PCA on  
20 the basis of PAFD, (c) 2D-PCA and (d) 3D-PCA on the basis of TAFD

21 Fig. 7. The PLSR prediction results on the basis of PAFD and TAFD: (a) on the basis of PAFD, (b) on the  
22 basis of TAFD.

23 Fig. 8. The PLSR prediction results of geraniol and linalool oxide on the basis of e-nose data, PAFD and  
24 TAFD:(a1) and (b1), (a2) and (b2), (a3) and (b3) on the basis of e-nose data, PAFD and TAFD  
25 for the geraniol and linalool oxide prediction, respectively.

26 Fig. 9. The PLSR prediction results of water extract and polyphenol on the basis of e-tongue data, PAFD  
27 and TAFD:(a1) and (b1), (a2) and (b2), (a3) and (b3) on the basis of e-tongue data, PAFD and TAFD for  
28 the water extract and polyphenol prediction, respectively.

29

30 Table 1 Different grades of green tea

Tea	Grade	Price (¥/500g)	Plucking time	Reference values
Jy120	Low-level	120	After TSD	7
Jy170	Low-level	170	After TSD	6
Jy190	Low-level	190	After TSD	1
Jy280	High-level	280	Before TSD	2
Jy360	High-level	360	Before TSD	3
Jy450	High-level	450	Before TSD	4
Jy510	High-level	540	Before TSD	5

31

32

33 Table 2 Average values and standard deviation (SD) for the main volatile compounds in different grades of green teas (ng/g) obtained by GC - MS<sup>a</sup>.

Voliate compound	RI <sup>b</sup>	jy120	jy170	jy190	jy280	jy360	jy450	jy510
Nonanal	1104	675 ± 72	739 ± 87	751 ± 75	965 ± 102	813 ± 73	1044 ± 121	1167 ± 138
Linalool oxide	1099	1003 ± 119	1139 ± 156	987 ± 123	829 ± 130	788 ± 110	1049 ± 128	1212 ± 149
Decanal	1205	309 ± 36	327 ± 42	321 ± 31	502 ± 67	409 ± 60	618 ± 59	662 ± 64
β-Lonone	1485	125 ± 18	118 ± 23	120 ± 17	88 ± 14	84 ± 11	109 ± 20	96 ± 12
Methyl salicylate	1190	737 ± 139	707 ± 128	720 ± 124	640 ± 136	457 ± 119	420 ± 97	427 ± 145
Geraniol	1255	1749 ± 297	1780 ± 380	1486 ± 346	1153 ± 245	771 ± 162	969 ± 176	825 ± 163

34 <sup>a</sup> Three samples of each group were tested, and the SD of each values demonstrated high stability of the test.

35 <sup>b</sup> Retention index (RI), defined as a relationship between the retention of the analyte and two members of an homologous series enclosing it. RI is always applied as the reference value to qualitative  
36 discrimination of the volatiles based on GC-MS.

37

38 Table 3 Average values and standard deviation (SD) for the main chemical substances in different grades of green teas (% dry weight) obtained by chemical methods and  
39 HPLC<sup>a</sup>.

Tea	Polyphenol	amino acid	protein	total sugar	water extracts	catechins	caffeine
jy120	23.42±0.17	6.17±0.01	2.65±0.01	8.39±0.07	44.19±0.55	14.43±0.00	3.45±0.01
jy170	24.51±0.50	5.90±0.01	2.76±0.02	8.51±0.08	43.66±0.06	14.39±0.00	3.75±0.07
Jy190	24.56±0.07	5.91±0.01	2.70±0.04	7.86±0.20	44.64±0.44	13.37±0.10	3.64±0.08
Jy280	23.64±0.11	6.09±0.00	2.36±0.12	7.87±0.14	43.58±0.07	13.67±0.00	3.71±0.01
Jy360	23.77±0.13	5.71±0.05	2.22±0.17	7.83±0.02	41.59±0.88	11.56±0.00	3.56±0.06
Jy450	23.72±0.05	5.61±0.03	2.45±0.02	8.33±0.04	42.29±0.04	14.63±0.00	3.43±0.03
Jy510	22.74±0.03	5.24±0.04	3.01±0.03	8.50±0.11	41.62±0.95	14.66±0.00	3.25±0.06

40 <sup>a</sup> Three samples of each group were tested, and the SD of each values demonstrated high stability of the test.

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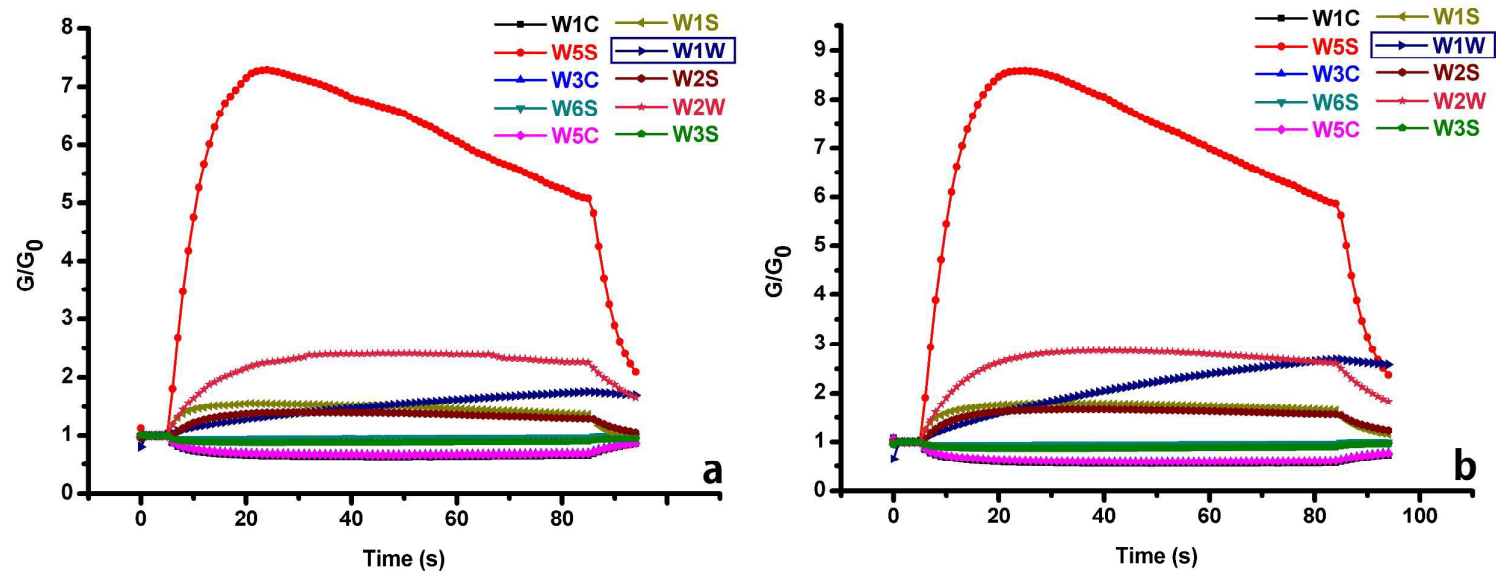
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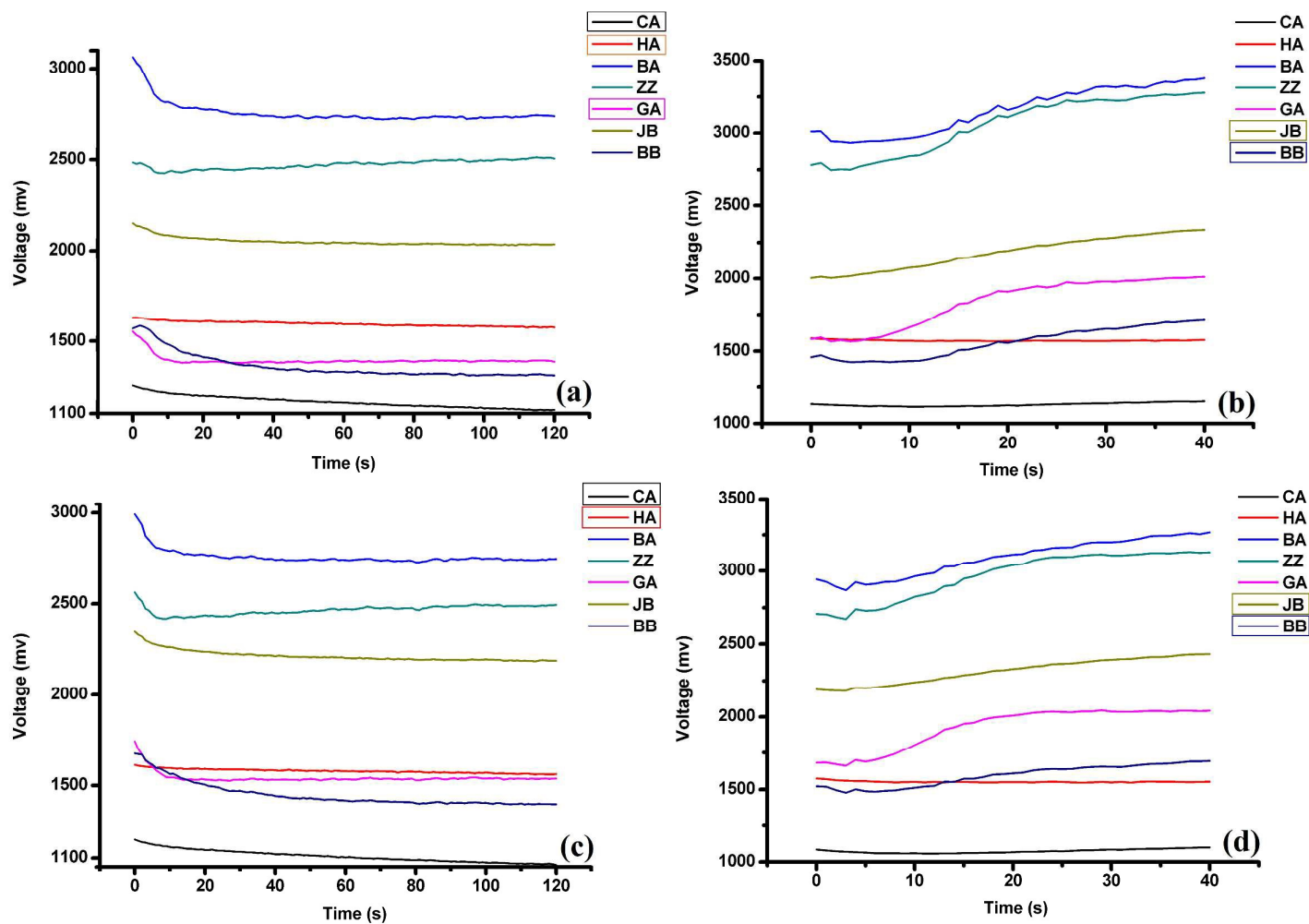
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48 Fig 1. Response curves of the ten gas sensors (W1C, W5S, W3C, W6S, W5C, W1S, W1W, W2S, W2W and W3S) to the tea samples: (a) jy190, (b) jy510.

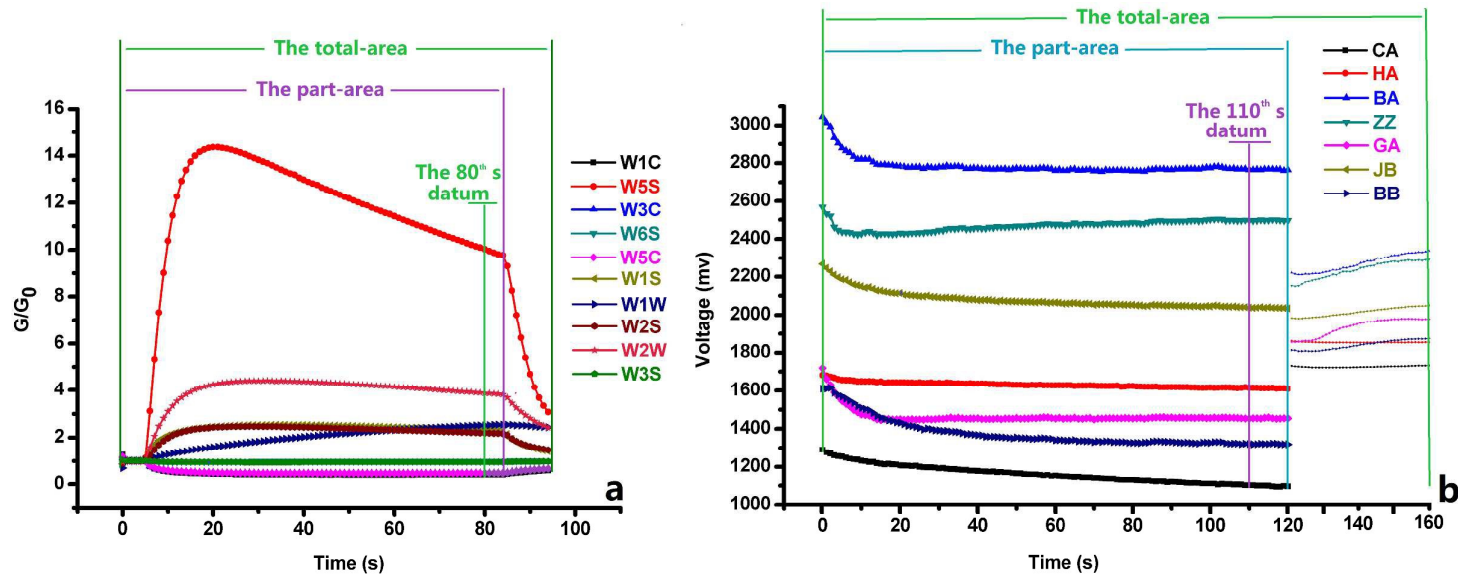


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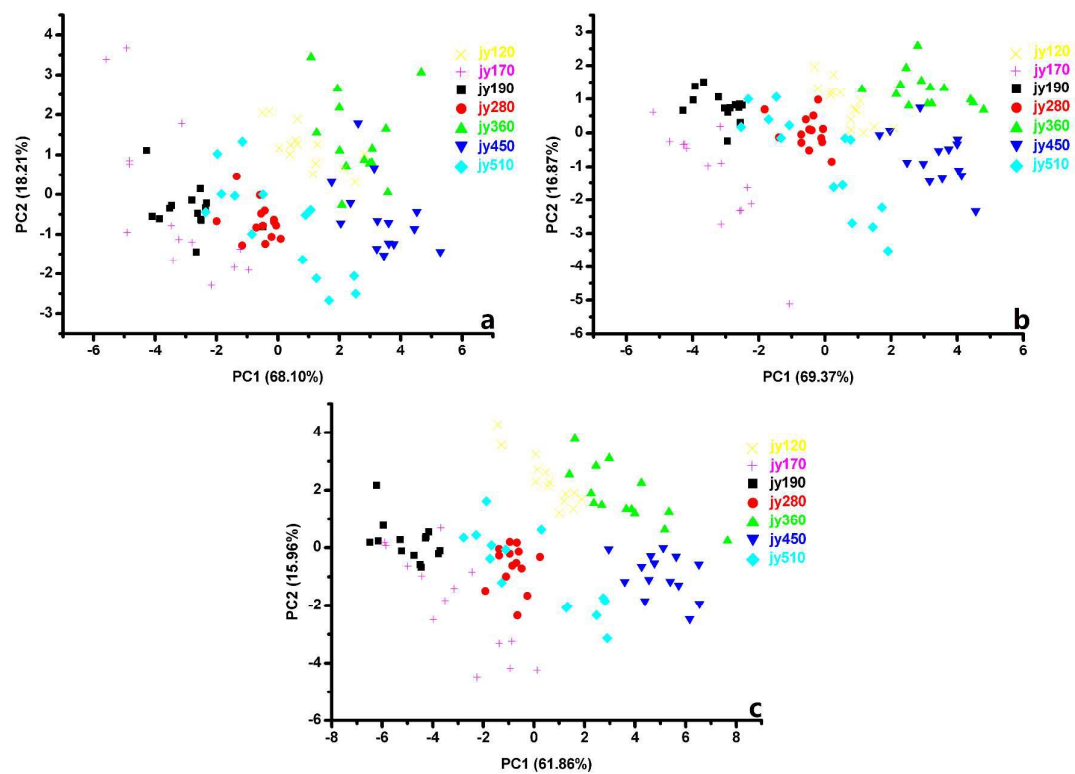
50 Fig 2. The response curves obtained by liquid potentiometric sensors (CA, HA, BA, ZZ, GA, JB and BB) from different tea samples: (a) and (b) were the responses and  
51 aftertaste values obtained from jy190, respectively; (c) and (d) were the responses and aftertaste values obtained from jy510, respectively.

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53  
54 Fig 3. The four methods for extracting the feature data from e-nose and e-tongue responses: (a) e-nose, (b) e-tongue.  
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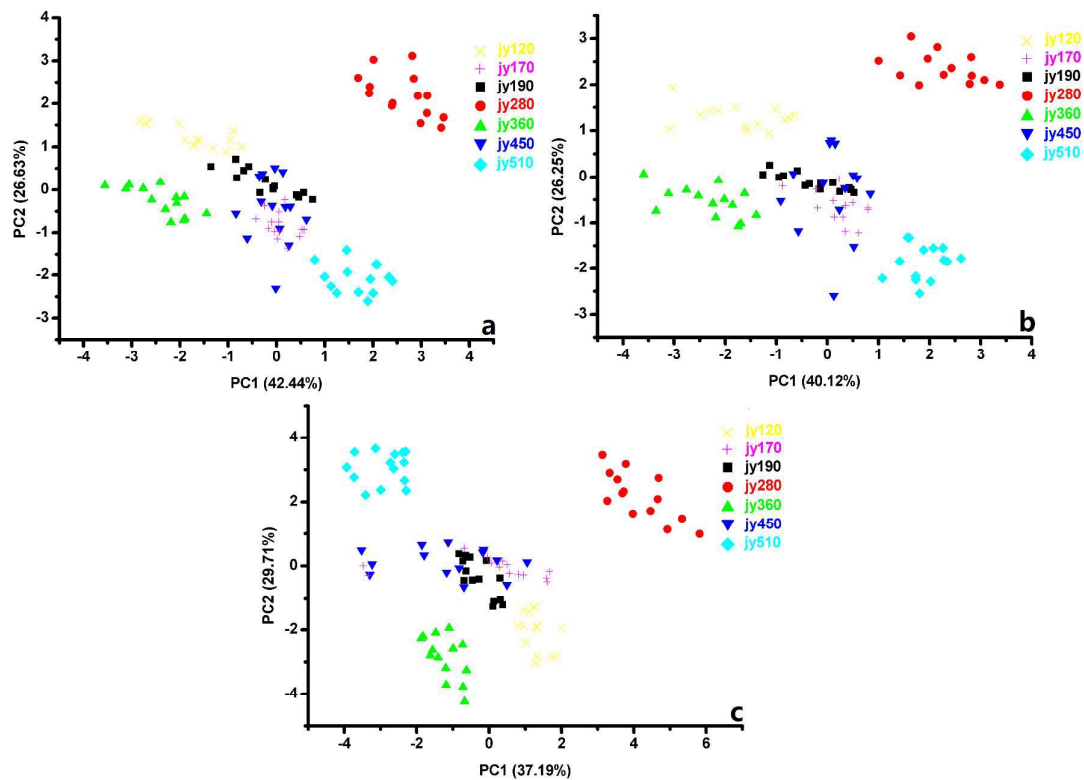


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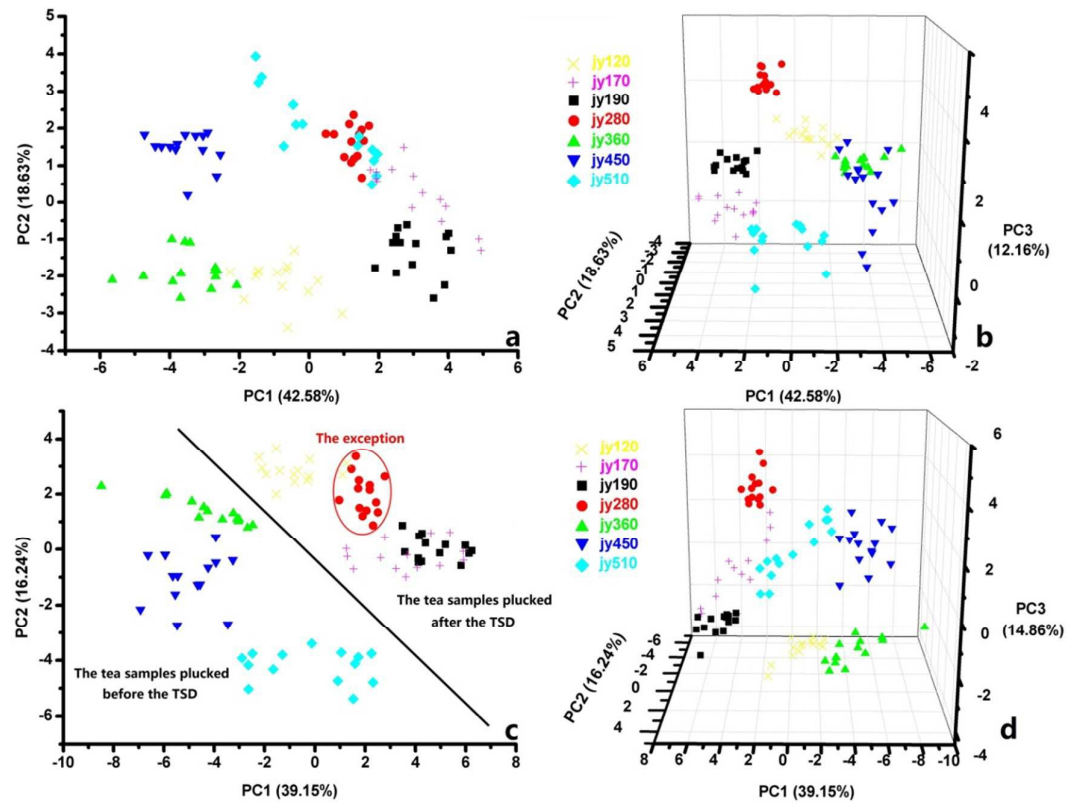
57 Fig 4. The PCA classification results using e-nose on the basis of the four features extracting methods:

58 (a) the 80 s' datum method, (b) the partial-area method, (c) the total-area method.

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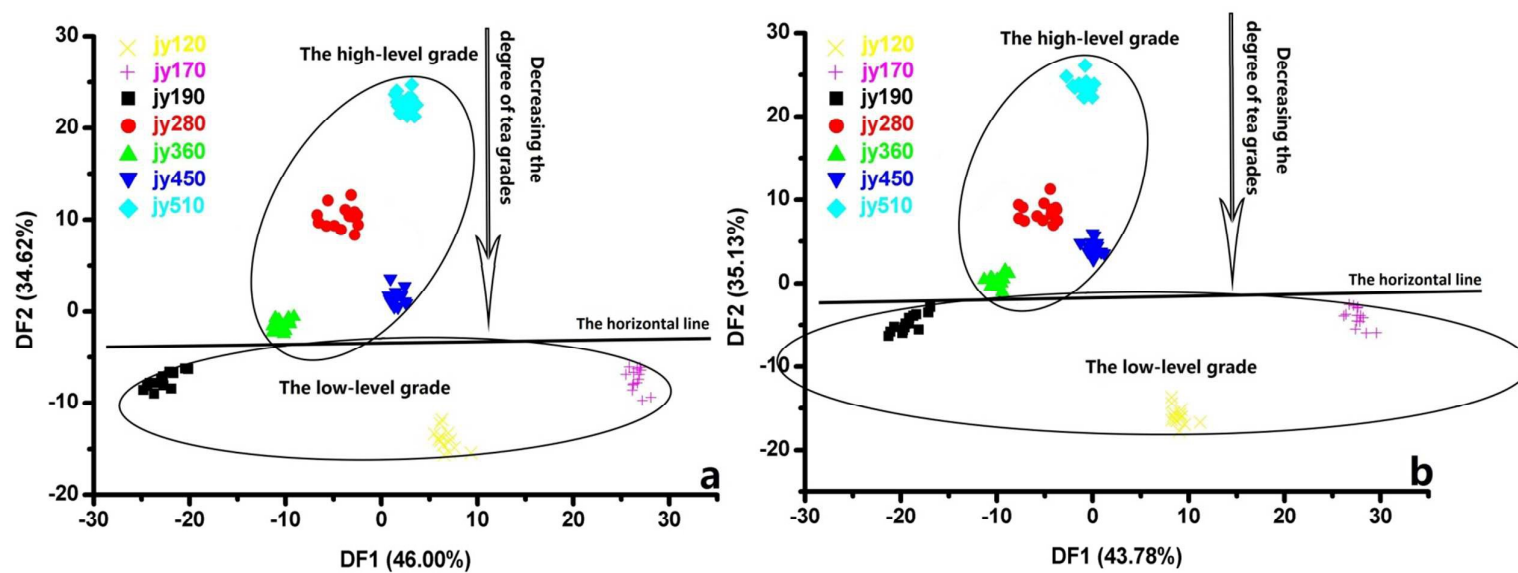
60  
61 Fig 5. The PCA classification results using e-tongue on the basis of the four features extracting methods:  
62 (a) the 120 s' datum method, (b) the partial-area method, (c) the total-area method.  
63



64

65 Fig 6. The PCA classification results on the basis of PAFD and TAFD:

66 (a) 2D-PCA and (b) 3D-PCA on the basis of PAFD, (c) 2D-PCA and (d) 3D-PCA on the basis of TAFD



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68

69 Fig 7. The DFA classification results on the basis of PAFD and TAFD: (a) on the basis of PAFD, (b) on the basis of TAFD.

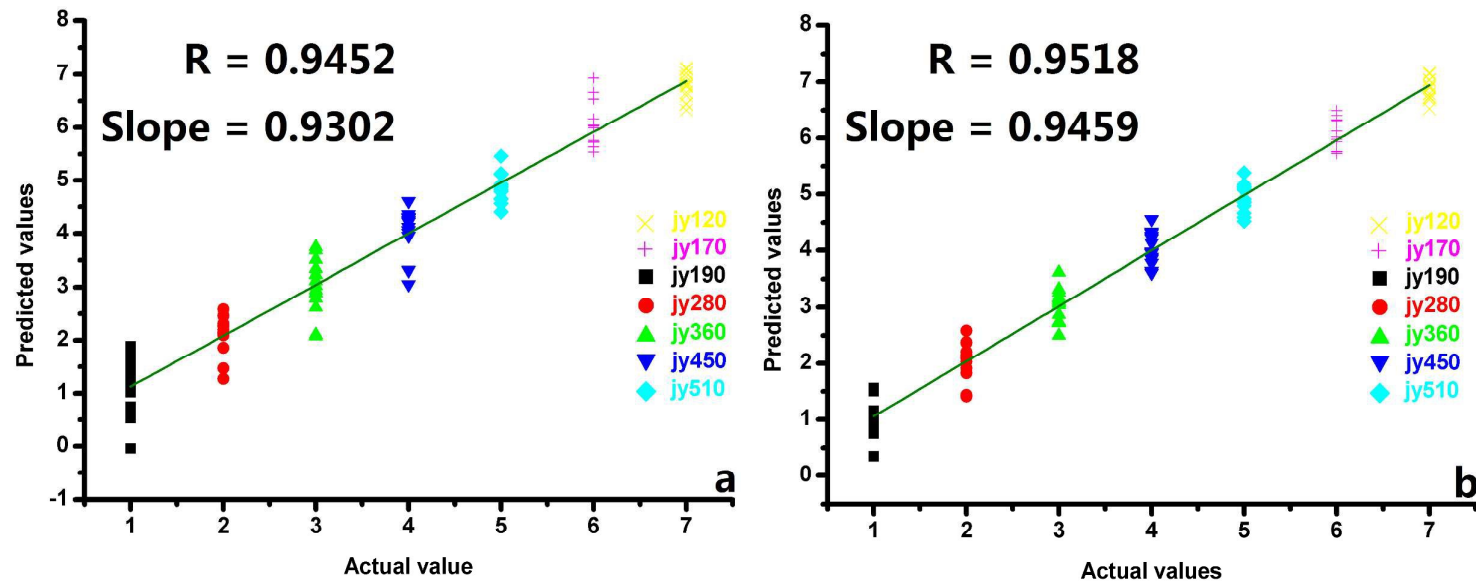
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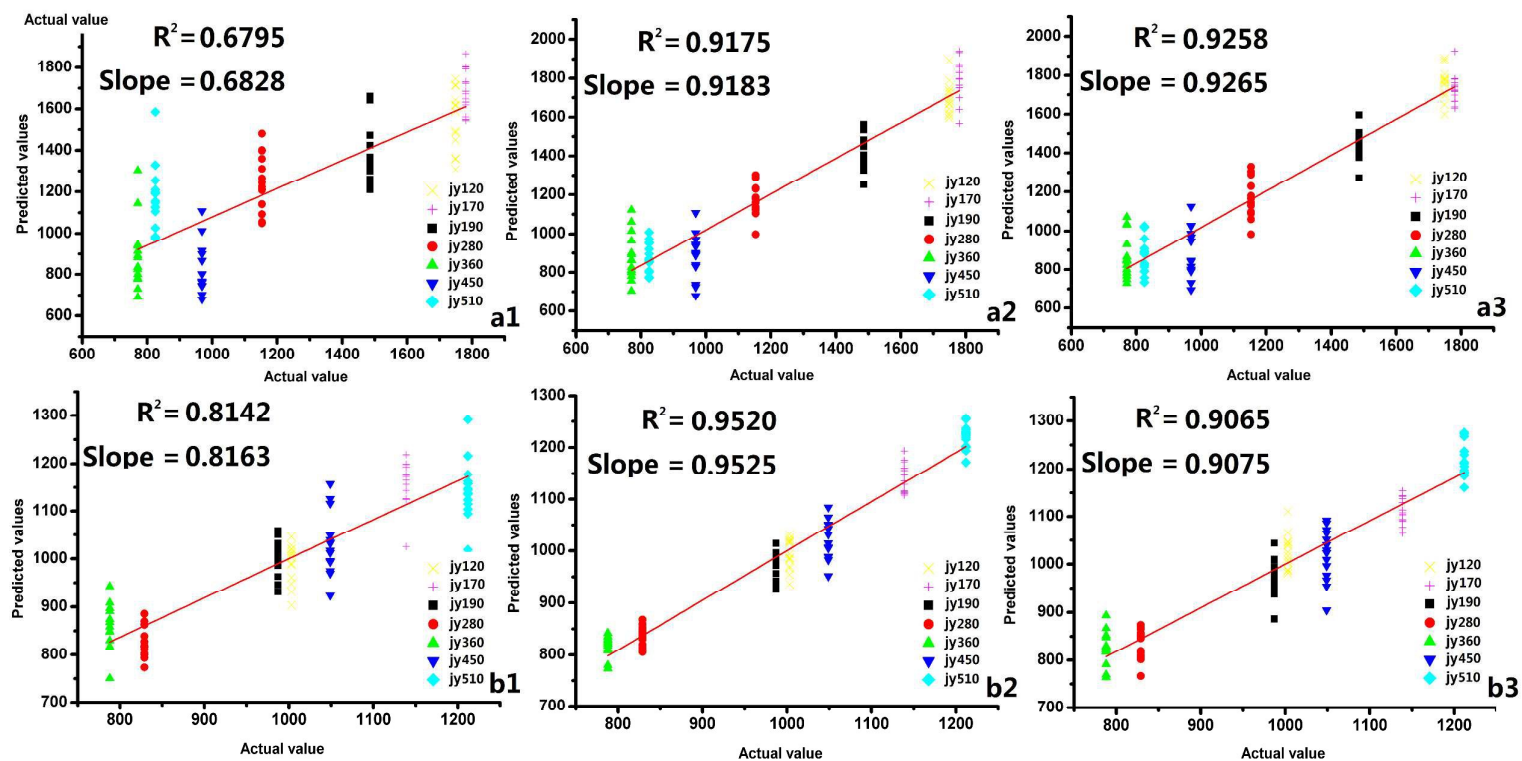


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Fig 8. The PLSR prediction results of the quality levels on the basis of PAFD and TAFD: (a) on the basis of PAFD, (b) on the basis of TAFD.

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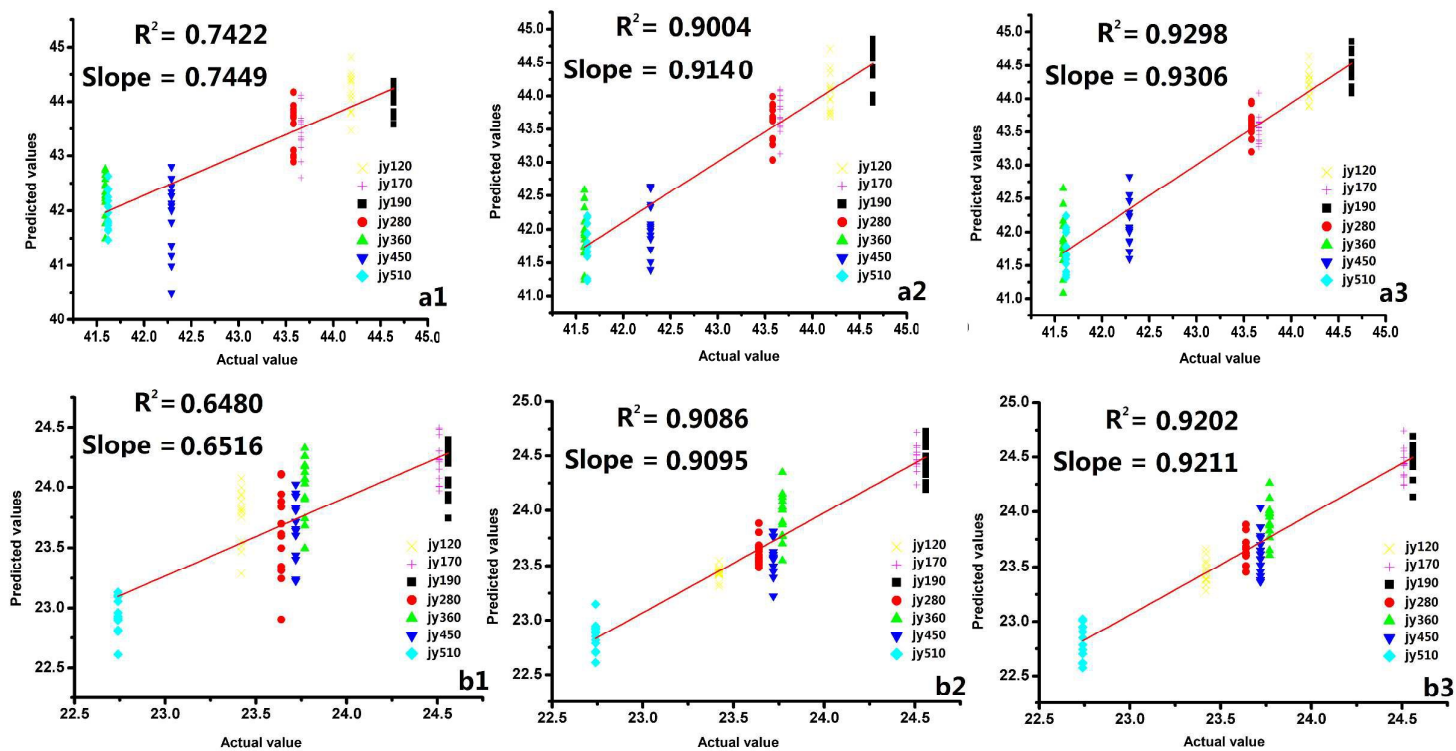


78

79 Fig 9. The PLSR prediction results of geraniol and linalool oxide on the basis of e-nose data, PAFD and TAFD:

80 (a1) and (b1), (a2) and (b2), (a3) and (b3) on the basis of e-nose data, PAFD and TAFD for the geraniol and linalool oxide prediction, respectively.

81



82

83 Fig 10. The PLSR prediction results of water extract and polyphenol on the basis of e-tongue data, PAFD and TAFD:

84 (a1) and (b1), (a2) and (b2), (a3) and (b3) on the basis of e-tongue data, PAFD and TAFD for the water extract and polyphenol prediction, respectively.

85



