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1 **Dissociation of O₂ and Its Reactivity on O/S doped Graphene**

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13

14 **Abstract**

15 It was routinely believed that the oxidation of SO_2 to SO_3 dominates the removal rate of SO_2 on
16 carbon-based catalysts. Recently, both experiments and theoretical calculations evidence that SO_2
17 is readily oxidized by epoxy groups on graphene oxides at room temperature. Based on this point,
18 we hypothesized in this work that the real rate-determining step for SO_2 catalytic oxidation under
19 O_2 atmosphere could be the dissociation of molecular O_2 , which further forms oxygen functional
20 groups on graphene surface. Density functional theory corrected with dispersion was employed to
21 investigate the dissociation of O_2 on O or S doped graphene and then their reactivity for SO_2
22 oxidation. This results showed that O/S doping greatly promotes the dissociation, leading to the
23 formation of epoxy and/or carbonyl groups on the graphene surface. However, the high oxidation
24 barrier for the oxidation of SO_2 by carbonyl group was found, which implicates carbonyl group is
25 of low reactivity. Therefore, dopants screening or doped structures design should be carefully
26 considered to avoid the formation of carbonyl during O_2 dissociation.

27 **Keywords:** First principles, heteroatom doped graphene, desulfurization, oxygen functional
28 group

29

30

31 **1 Introduction**

32 Sulfur dioxide (SO₂) is one of the notorious pollutants with toxicity released by the burning of
33 fossil fuels, such as in automobile engines and power plants, which contributes greatly to acid
34 deposition. A lot of efforts have been made to develop techniques for its treatment. Among them,
35 carbon materials, typically activated carbon (AC) and activated carbon fibres (ACF), has long been
36 known to be a general media for the catalytic removal of SO₂ at low temperature (20~150°C)¹⁻⁴.
37 But the mechanism at atomic level remains unclear, which hinders the development of more
38 effective carbon-based catalysts for desulfurization.

39 It is conventionally thought that the rate-determining step for the catalytic removal of SO₂ by
40 carbon is the oxidation of SO₂ into SO₃⁵. However, it was found that the oxidation could be
41 catalyzed by graphene oxides (GO) at room temperature⁶. Additionally, by density functional
42 theory calculations, Zhang and coworkers^{7,8} found that SO₂ can be oxidized by epoxy groups of
43 GO with rather low energy barrier. Both experimental and theoretical results indicate that epoxy
44 groups should be the active center for the oxidation of SO₂, which might not be the rate-determining
45 step. Alternatively, we hypothesized that the real rate-determining step in the oxidation of SO₂
46 might be the formation of epoxy groups from the dissociation of sluggish O₂.

47 Graphene (GP) can be used as a model catalyst to provide insights for the catalytic oxidation of
48 SO₂ on carbon materials^{6,9-11}. Doping with foreign atoms has been confirmed to be an effective
49 functionalization method¹²⁻¹⁶. For example, N-doped graphene was found to be active for oxygen
50 reduction reaction (ORR)¹⁷⁻¹⁹. The ORR is a four-electron pathway, which is believed to depend on
51 the spin density and the atomic charge redistribution on the neighboring carbon atoms close to the
52 doping sites.^{18,20} Besides, Dai²¹ et al. proposed that the high activity of N-doped GP may be

53 attributed to the larger electronegativity of N, which creates positive charge on the adjacent C
54 atoms. These results indicate that other electronegative heteroatom doping and charge redistribution
55 caused by them may favor the adsorption and reduction of O₂ as well. However, some low
56 electronegative elements doping, such as boron^{15,22}, sulfur^{23,24}, or their mixture^{25,26}, have also
57 promoted the catalytic activity of GP pronouncedly. Charged sites created by breaking the
58 electroneutrality of GP might be the key factor, regardless of the electronegativity of doping
59 atoms.²²

60 In this work, S and O atoms were introduced into GP respectively, to investigate the probable
61 dependence of O₂ molecule adsorption and dissociation on electronegativity of doping atoms, by
62 using density functional theory (DFT) calculations. The electronegativity of S atom is 2.58, which
63 is close to C atom. While the electronegativity of O is 3.44. It is much stronger than that of C atom.
64 Both experimental²⁷ and theoretical results^{27,28} indicate that the substitution of internal atoms in
65 graphene by heteroatoms is the key role for the promoted catalytic activity of graphene materials.
66 Therefore, only the substitution of internal C atom(s) by O/S atom will be considered. To
67 determine the activity of oxygenic functional groups on the doped-graphene, the adsorption and
68 oxidation of SO₂ on the doped materials has been calculated.

69 **2 Models and methods**

70 **2.1 Computational models**

71 $3\sqrt{3}\times 6$ graphene unit cell (with 72 carbon atoms, GP) was employed as the model substrate as
72 depicted in Figure 1a. It was large enough for the reaction $\text{SO}_2 + 1/2\text{O}_2 \rightarrow \text{SO}_3$ according to our
73 previous work^{7,8}. A vacuum region of 20 Å was added perpendicular to the graphene plane to
74 minimize the interaction between different layers²⁹. Single O atom took the place of one C atoms

(Figure 1b), denoted as OG. Single S atom took the place of two neighboring C atoms (Figure 1c), denoted as SG. The relaxed bond lengths of C-C in GP are 1.42 Å, which are consistent with experimental data. The relaxed C-O bonds and C-S bonds are 1.48 and 1.86 Å, respectively. Although it has been confirmed that S can be doped in the plane of GP, the local structures remains unclear²³. We have tested two doping models: with single S atom replacing one and two internal C atom(s). It was found the latter is more feasible (Figure S1-S3). Therefore, only the two C atoms replaced model was used, and denoted as SG by default.

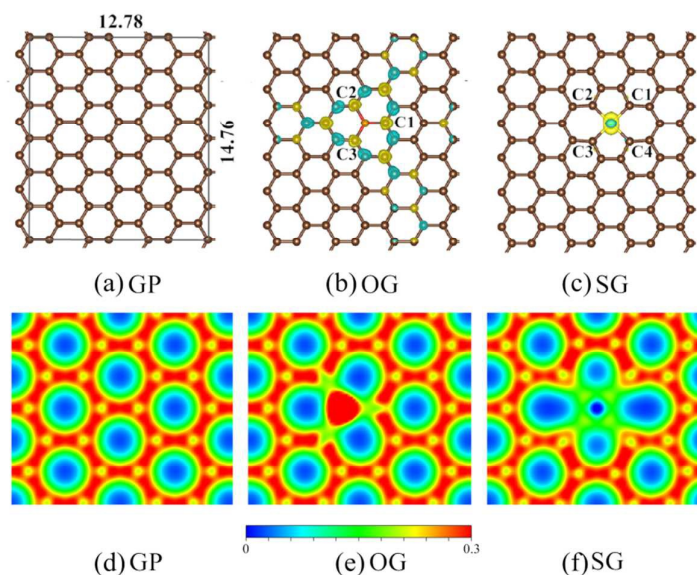


Figure 1 Spin density (a-c) and total charge density (d-f) analysis of different surfaces. GP, OG and SG stand for pristine, O doped and S doped graphene, respectively. All lengths are given in Å. The isosurface for (a)-(c) is $5E-6 e/\text{Å}^3$.

2.2 Computational methods

All the density functional theory (DFT) calculations were carried out with the code VASP5.2^{30,31} using the generalized gradient approximation with a Perdew-Burke-Ernzerhof (PBE) exchange and correlation functional³². A plane-wave basis set with cut-off energy 400 eV was employed within the framework of the projector augmented-wave (PAW) method³³. The Brillouin zone was sampled

91 with a $3 \times 3 \times 1$ k-points sampled with Monkhorst-Pack method. Gaussian smearing was used, with a
92 smearing width 0.2 eV. The D2 method of Grimme³⁴ was used to describe the van der Waals (vdW)
93 correction with default parameters. All the atoms except those on the boundary were relaxed and
94 converged to 0.02 eV/Å. The carbon atoms on the boundary of the GP substrate were frozen in all
95 directions. All the above parameters were sufficient to ensure the total energy converge to within 1
96 meV/atom. The calculated bond lengths of C-C in graphene and O-O in isolated O₂ molecule are
97 1.42 and 1.26 Å, respectively, which are consistent with published values^{35,36}. Nudged elastic band
98 (NEB) method^{37,38} was used to search the minimum reaction pathway (MEP) of O₂ reduction by
99 heteroatom-doped graphene from an initial state (IS) to its final state (FS) with 8-12 replicas
100 interpolated. Transition state (TS) was localized with climbing image method and verified with
101 single imaginary frequency.

102 The adsorption energy ΔE_{ads} is defined as

$$103 \quad \Delta E_{ads} = E_{tot} - (E_{mol} + E_{sheet})$$

104 Where E_{tot} , E_{mol} , and E_{sheet} are the total energies of the adsorption complex, the isolated
105 molecule and the GP/doped-GP sheet, respectively.

106 **3 Results**

107 **3.1 S and O doped Graphene**

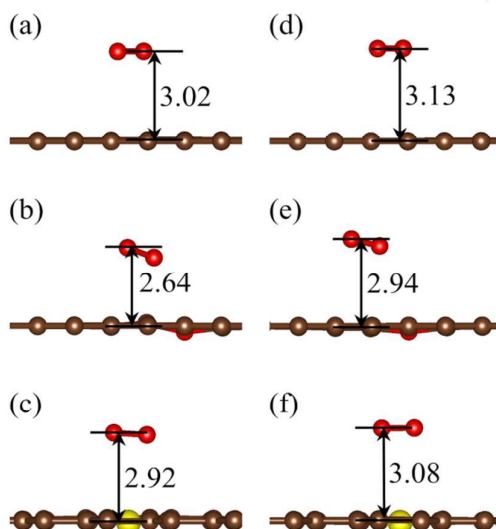
108 We first focus on the electronic structures of pristine and doped-graphene. It was believed that
109 spin density and positive charge can be introduced by electronegative atoms doping, which was of
110 importance for catalytic activity promotion. The spin density determines the positional selectivity
111 of radical adsorption, while charge density determines the adsorption energy²⁰. Figure 1b shows
112 that spin density was induced by O doping, in a pattern up and down alternately among 1~4 C

113 atoms from the dopant. While the spin was localized at the S atom for SG as demonstrated in
114 Figure 1c. Charge density in Figure 1e illustrates electrons were transferred from C1~C3 atoms to
115 the dopant O atom, which results in positive charged C atoms for species adsorption. The
116 redistribution of charge densities of C atoms in SG is almost unperceivable. Consequently, the
117 order for the catalytic activity of the three different samples might be $GP < SG < OG$ and the C
118 atoms directly connected to dopants should be regarded as active sites. Density of states (DOS)
119 analysis showed that the main electron structures S and O doped GP were remained as that of
120 pristine GP (Figure S4).

121 **3.2 Adsorption and dissociation of O₂ molecule**

122 **3.2.1 O₂ adsorption**

123 The adsorptions of O₂ on GP, OG and SG surfaces have been calculated both with non- and spin
124 polarization, as shown in Figure 2a-c and Figure 2d-f, respectively. Spin polarization seems to
125 relieve the adsorption of O₂ by pristine or doped planes, as the distances from the adsorbed O₂ to
126 the substrates are much longer by 0.1~0.3 Å than that without spin polarization. In both conditions,
127 O₂ is pull closer to the surface in case of S/O doping. For the adsorption of O₂ on GP, the adsorbed
128 O₂ is parallel above GP surface, with a distance 3.13 Å (Figure 2d), which is in good agreement
129 with published value of 3.09 Å³⁹. The adsorption distances are increased in the order $OG < SG <$
130 GP . However, all the bond lengths of adsorbed O₂ are *ca.* 1.26 Å (Table 1), quite close to the value
131 of isolated gaseous molecule, denoting that only physisorption exists.



132

133 Figure 2 Relaxed adsorption configurations of O_2 on GP, OG and SG surfaces. Spin polarization
 134 was ignored in (a)-(c), while it was included for (d)-(f). All the distances are given in Å.

135 As tabulated in Table 1, the adsorption energies obtained with spin polarization are lower than
 136 those with non-spin polarization correspondingly, and the adsorption energies increase in the order
 137 GP < SG < OG, both of which are consistent with the geometric trends mentioned above. The
 138 adsorption energy of O_2 on GP in Figure 2d is 0.14 eV. It is also in good agreement with previous
 139 calculated value of 0.11 eV³⁹, while a little larger than the experimentally tested value of 0.1 eV⁴⁰.

140 Based on geometric and energetic results, spin polarization possesses few effects and can be
 141 ignored for qualitative conclusions.

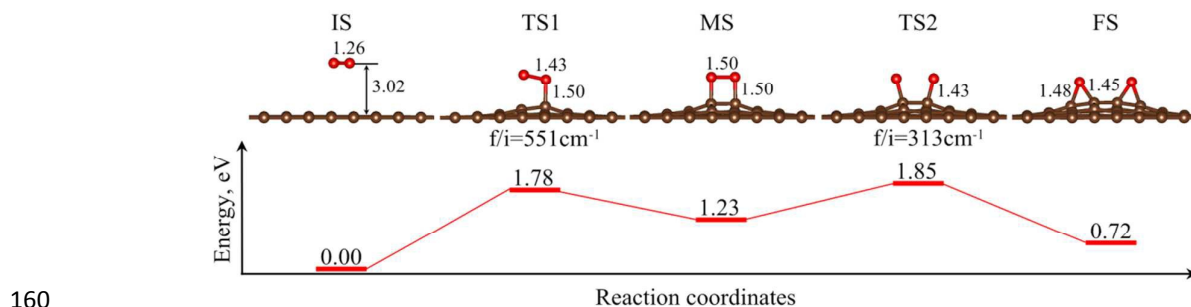
142 Table 1 Summary of adsorption energy for the adsorption O_2 on GP, OG and SG surface.

Conf.	O_2 /GP		O_2 /SG		O_2 /OG	
	NSP ^a	SP ^b	NSP	SP	NSP	SP
ΔE_{ads} , eV	0.34	0.13	0.36	0.16	0.57	0.26
O-O bond length, Å	1.26	1.25	1.27	1.25	1.26	1.26

143 a: Non-spin polarization; b: Spin polarization.

144 3.2.2 O₂ dissociation

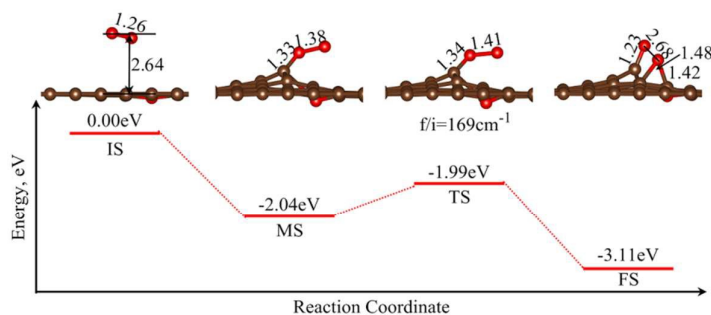
145 Figure 3 shows the MEP for oxygen dissociation reaction on pristine GP. Totally, the oxygen
 146 dissociation on GP is endothermic by 0.72 eV, indicating that the process is thermodynamically
 147 unfavorable. During the dissociation process, a middle state (MS) emerged, which divided the
 148 reaction into two sections. In the MS configuration, the two oxygen atoms and the two carbon
 149 atoms right under them formed a square, with side length of 1.50 Å. From IS to MS, the energy
 150 barrier is 1.78 eV, and a net energy consumption by 1.23 eV. During the process, O₂ is pulled
 151 closer to the carbon basal plane. The bond length of the O-O bond in the initial state (IS) is 1.26 Å,
 152 which is equal to the calculated bond length of isolated O₂ molecule in gaseous phase. The TS1 is
 153 more similar to the middle state (MS) than the reactant (IS) in geometric structure. The controlling
 154 factor seems to be pulling the O₂ molecule close to the substrate and elongate the O-O bond to
 155 form peroxide ion O₂²⁻. From MS to FS, the energy barrier is 0.62 eV, with a net energy release by
 156 0.51 eV. In the final state (FS), the bond lengths of the O-C bonds are 1.45 or 1.48 Å, which are
 157 equivalent to the bond length of epoxy. Finally, O₂ molecule was dissociated and transferred to two
 158 epoxy groups endothermically with rather high a barrier, implying the reaction on pristine
 159 graphene is unfeasible under mild conditions.



160
 161 Figure 3 Minimum energy pathway (MEP) for the dissociation of oxygen on pristine graphene
 162 (GP). All the lengths are given in Å.

163 The MEP for the oxygen dissociation on doped-GP was demonstrated as Figure 4 for OG and
 164 Figure 5 for SG. On the approaching of O₂ to OG (from IS to MS), the bond length of O₂ was
 165 elongated from 1.26 to 1.38 Å, where O₂ was converted to O₂⁻ (superoxide ion). The barrier of this
 166 process was about 0.01 eV according to our testing calculations, with a net energy release by 2.04
 167 eV. The C atom connected to the adsorbed O₂ was drawn out of the OG surface, which was one of
 168 the nearest C atoms originally connected to the doped O atom.

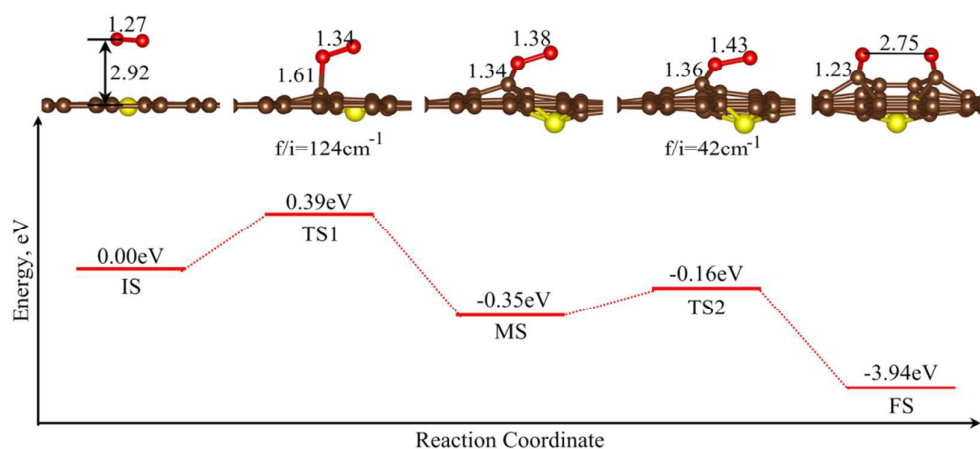
169 From MS to FS in Figure 4, the reaction energy barrier is as low as 0.05 eV, with a net energy
 170 release by 1.07 eV. During this process, the O₂⁻ was pulled closer to the substrate. The bond length
 171 of the O-O bond was further elongated from 1.38 Å to 1.41 Å in TS, and then 2.68 Å in FS, which
 172 means the O-O bond in oxygen was broken thoroughly. The determining step seems to be the
 173 breaking of the O-O bond of the adsorbed superoxide ion. After the dissociation, one O atom of O₂
 174 molecule was connected with two C atoms on the OG surface to form epoxy group, and the other
 175 with one C atom to form carbonyl group. The two bonds of the epoxy are about 1.48 and 1.42 Å,
 176 which are in accordance with the calculation results in Ref.8.



177
 178 Figure 4 Minimum energy pathway (MEP) for the dissociation of oxygen on O-doped graphene
 179 (OG). All the lengths are given in Å.

180 The MEP of O₂ dissociation on SG was shown in Figure 5. There are also two energy barriers
 181 for the dissociation of O₂, and obviously the first energy barrier is the main one, with a value of

182 0.39 eV. Similar to the situation on GP, the determining step was the activation of adsorbed O₂
 183 molecule to form superoxide ion, with O-O bond elongated from 1.26 to 1.34 Å. Then the O-O
 184 bond was further elongated, with a barrier 0.19 eV to form two carbonyl groups (FS), with the O-C
 185 bond length 1.23 Å.



186

187 Figure 5 Minimum energy pathway (MEP) for the dissociation of oxygen on S-doped graphene
 188 (SG). All the lengths are given in Å.

189 3.3 SO₂ oxidation by dissociated O₂

190 It has been known that heteroatom doping can promote the dissociation of oxygen on graphene.
 191 However, the reactivity of the formed oxygen groups are unknown. Hence, we test the oxidation of
 192 SO₂ on two doped-GPs. For conciseness, we denoted the dissociated adsorption configuration of
 193 O₂ on OG(SG) as 2O_OG (2O_SG). When one oxygen group was consumed through oxidation
 194 reaction, the left species was denoted as 1O_OG (1O_SG). Selected adsorption and reaction
 195 energies for SO₂ oxidation were listed in Table 2. Combined with energetic data analysis for the
 196 dissociation of O₂, it is interesting to found that both the two catalytic loops are
 197 thermodynamically favorable. However, the rate-determining step for the catalytic oxidation of
 198 SO₂ by OG is SO₂/1O_OG → SO₃/OG, with a barrier of 2.46 eV, and that by SG for SO₂/2O_SG →

199 SO₃/1O_SG is 2.57 eV. Both of the two pathways are not kinetically promising as expected as
 200 their rate-determining barriers are unsatisfactory.

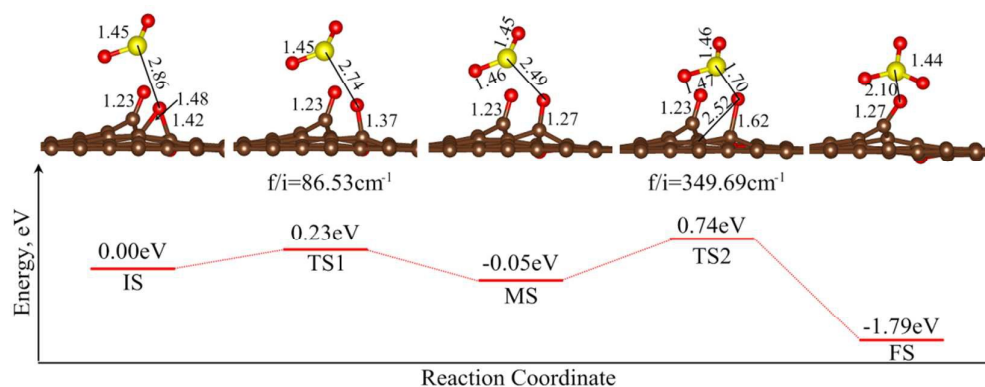
201 Table 2 Summary of adsorption energies (ΔE_{ads}), energy barriers (E_{b}) and reaction energies (E_{r})
 202 for the adsorption of SO₂/SO₃ on different surfaces

Adsorption	ΔE_{ads} , eV	Reaction	E_{b} , eV	E_{r} , eV
SO ₂ /2O_OG	-0.35	SO ₂ /2O_OG → SO ₃ /1O_OG	0.79	-1.79
SO ₂ /1O_OG	-0.46	SO ₂ /1O_OG → SO ₃ /OG	2.46	1.48
SO ₂ /2O_SG	-0.27	SO ₂ /2O_SG → SO ₃ /1O_SG	2.57	0.62
SO ₂ /1O_SG	-0.37	SO ₂ /1O_SG → SO ₃ /SG	1.41	-0.03

203 According to previous researches^{6,8}, epoxy group should be responsible for the high activity of
 204 graphene oxides for the oxidation of SO₂. When O₂ was dissociated on OG, a epoxy group and a
 205 carbonyl group were formed in configuration 2O_OG. While for 2O_SG, there were two carbonyl
 206 groups formed. Carbonyl group was supposed to be sluggish for oxidation. The MEP for the
 207 oxidation of SO₂ by 2O_OG was shown in Figure 6 to provide some clues for further
 208 understanding of the oxidation mechanism.

209 During the first section from IS to MS, the epoxy group was transferred to carbonyl group as
 210 well, with a small barrier 0.23 eV. The oxidation barrier from MS to FS was 0.79 eV, with a net
 211 energy release by 1.79 eV. Such high a barrier confirms that carbonyl group is inertial for
 212 oxidation. It is quite different for the oxidation of SO₂ by epoxy group located on pristine GP⁸,
 213 where the barrier is as low as *ca.* 0.2 eV. The main difference should be the extension of O-C bond
 214 during oxidation. For epoxy group, the O-C bond was extended from 1.48 to 1.81 Å, with a
 215 extension 0.33 Å. While for carbonyl group, the O-C bond was extended from 1.27 to 1.62 Å, with

216 a extension 0.35 Å. The shorter initial O-C bond length and the longer extension results in much
 217 higher oxidation barrier. Accordingly, it is rationally expected that the barriers for oxidation
 218 processes $\text{SO}_2/1\text{O_OG} \rightarrow \text{SO}_3/\text{OG}$, $\text{SO}_2/2\text{O_SG} \rightarrow \text{SO}_3/1\text{O_SG}$ and $\text{SO}_2/1\text{O_SG} \rightarrow \text{SO}_3/\text{SG}$ are also
 219 much higher, as carbonyl groups were involved.



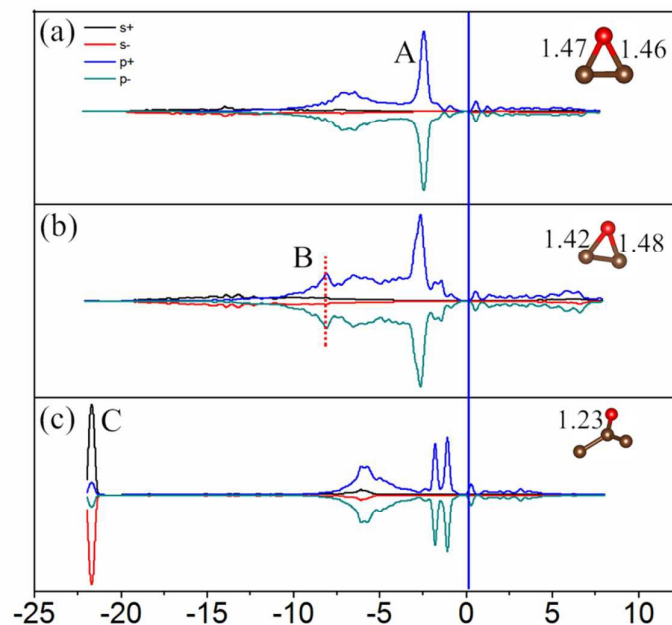
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221 Figure 6 Minimum energy pathway (MEP) for the oxidation of SO_2 by 2O_OG . All the lengths
 222 are given in Å.

223 4. Discussion

224 It has been found that the dissociation of O_2 on graphene can be well promoted by heteroatoms
 225 doping, but the oxidation activities of oxygen species derived from O_2 dissociation are quite
 226 different and still unsatisfactory. Herein, attention will be paid to the electronic structures of
 227 different oxygen species to get a fundamental understanding.

228 As shown in Figure 7, compared with the situation of the epoxy group on GP (Figure 7a), the
 229 valence band of the epoxy group on OG (Figure 7b) is shifted to lower level. Besides the shift of
 230 the primary highest occupied molecular orbital (HOMO, marked as A in the plot), a new peak
 231 (marked as B) presents in Figure 7b. It implies that the epoxy group of OG is more inertial than
 232 that of GP. Figure 7c shows a low level peak (marked as C) present below -20 eV. It confirms that
 233 carbonyl group is quite sluggish for oxidation, as it is prohibitive cost of energy to activate it.



234

235 Figure 7 Density of states (DOS) analysis for oxygen functional groups on different surfaces. (a)

236 epoxy group on GP surfaces, (b) epoxy group on OG surfaces, (c) carbonyl group on SG surface.

237 Based on electronic structure analysis, it was found that the formation of highly active epoxy

238 group from O₂ dissociation should be the key point for design and synthesis of graphene-based

239 catalysts for redox. In the present study, both the O and S doping resulted in the formation of

240 carbonyl group, which is inertial in catalytic oxidation process. Other dopants or doping patterns

241 might be more promising.

242 **5 Conclusion**

243 Density functional theory corrected with dispersion was used to investigate the potential

244 promotion effects of O and S doping in graphene on oxygen dissociation and catalytic oxidation of

245 SO₂. It was found that O/S doping tremendously promotes the dissociation of sluggish molecular

246 oxygen, which leads epoxy and/or carbonyl groups formed on the doped graphene surfaces. Due to

247 the formation of carbonyl group, the catalytic loop for the oxidation of SO₂ was terminated for its

248 high oxidation barrier. These results indicated that more attentions should be paid on dopants

249 screening or doped structures design to avoid the formation of carbonyl in O₂ dissociation. Further
250 exploration is needed to screen other dopants or doping patterns.

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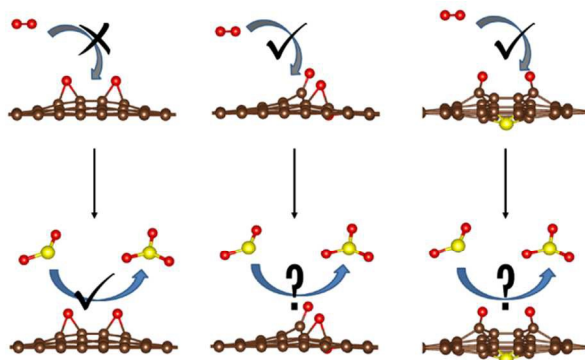
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O/S doping tremendously promotes the dissociation of sluggish molecular oxygen, which leads epoxy and/or carbonyl groups formed on the doped graphene surfaces. While, the catalytic loop for the oxidation of SO_2 was terminated for its high oxidation barrier due to the formation of carbonyl group.