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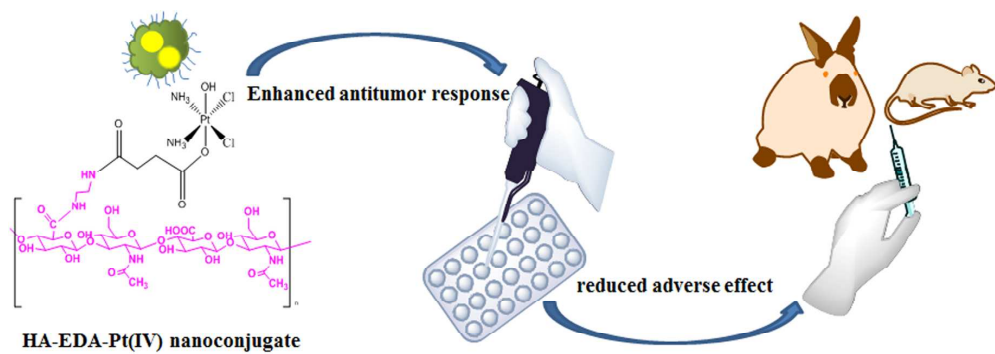
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Graphical Abstract



HA-EDA-Pt(IV) nanoconjugates were constructed, characterized, and proved as an safe formulation with better blood compatibility and less systemic toxicity.

1 Synthesis and characterization of hyaluronic acid-platinum(IV) nanoconjugate with enhanced  
2 antitumor response and reduced adverse effect

3

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15     **Abstract**

16     Hyaluronic acid-platinum(IV) nanoconjugate with a high drug loading capacity was developed to  
17     mitigate side effects of platinum(II). Pt(IV), HA-EDA, and HA-EDA-Pt(IV) nanoconjugate were  
18     investigated by  $^1\text{H}$  NMR,  $^{13}\text{C}$  NMR, FT-NIR, and DSC. Negatively charged polymer-drug  
19     conjugates were of a uniform size around 186.4 nm and spherical in shape. *In vitro*  
20     antiproliferation and *in vivo* apoptosis assays proved that the nanomedicine possessed high  
21     cytotoxicity towards cancer cells. The enhanced antitumor effect was attributed to HA  
22     receptor-mediated endocytosis. Nanoscale conjugates exhibited desirable blood compatibility and  
23     negligible stimulation to blood vessels. The systemic toxicity study showed that polymer-drug  
24     conjugates were much safer than the parent drug evidenced by biochemical and histological  
25     analyses. The concise design of the nanoconjugate offers a simple way to overcome the toxicity  
26     and non-selectivity of cisplatin, which could improve therapeutical outcomes of platinum drugs in  
27     cancer therapy.

28

29     **Keywords:** hyaluronic acid; platinum(IV); nanoconjugate; cisplatin; toxicity;

30

## 1. Introduction

Adverse reactions have been frequently reported for anti-cancer drugs because of their off-target exposure to normal cells. It has been demonstrated nanomaterials carrying therapeutic and diagnostic agent could enable selective accumulation in diseased tissues, efficient internalization by targeted cells, and effective intracellular trafficking<sup>1-5</sup>. Therefore, there is a great interest in the development of drug delivery systems that could improve the pharmacokinetic profiles and ultimately the therapeutic outcomes of anti-cancer drugs<sup>6-13</sup>. In 1965, it was first reported that platinum compounds could inhibit cell division<sup>14</sup>. Among those compounds, the most potent one is cisplatin with a broad-spectrum antitumor effect. Nevertheless, frequently occurring adverse reactions, such as nephrotoxicity, peripheral neuropathy, and hearing loss, encumber cisplatin from wide clinical applications<sup>15</sup>. To overcome those deficiencies, much attention has been paid to inert Pt(IV) counterparts, which could be released and reduced to active Pt(II) counterparts in cancer cells under an mildly acid microenvironment with high levels of reductive substances, e.g., glutathione, ascorbic acid, cysteine, and metallothioneins<sup>16-19</sup>. Owing to desirable stability in blood circulation and fast reduction from + IV to + II valence inside targeted cells, platinum-based pro-drugs may act as first-line antitumor agents<sup>20</sup>.

Polymer-drug conjugates can preferentially accumulate in tumor tissues due to the Enhanced Permeability and Retention (EPR) effect<sup>21</sup>. An acid-sensitive pro-drug conjugate was designed via linking two hydrophobic ends of PEG-PLA with a Pt(IV) counterpart as a spacer, subsequently forming nanoparticles to achieve stronger cytotoxicity as compared to cisplatin<sup>22</sup>. However, considering lack of specificity of most reported carriers taken up via non-receptor-mediated bulk endocytosis, further studies were concentrated on conferring Pt(IV) delivery systems with

targeting competence to promote endocytosis<sup>23</sup>. One of the most promising scenarios is applying hyaluronic acid (HA) as the carrier of cargos on the basis of over-expressed HA receptors, i.e., CD44, RHAMM, HARE, LYVE-1, on the surface of most malignant cells<sup>24,25</sup>. Our group utilized HA, both as a biodegradable skeleton and a specific tumor hunter for targeted delivery of cisplatin(IV). Previously we had reported HA-EDA-Pt(IV) nanoconjugate could enhance the anti-tumor efficacy yet reduce the toxicity of cisplatin<sup>26</sup>. However, the physiochemical properties and biocompatibility of our nanoconjugate were not scrutinized, which would be essential towards developing a clinically meaningful formulation. Therefore, the present study aimed at further understanding the *in vitro* and *in vivo* properties of the nanomedicine.

As illustrated in Fig. 1, cisplatin was oxidized with H<sub>2</sub>O<sub>2</sub> and esterified with succinic anhydride to obtain cis,cis,trans-dichoro-hydroxyl-succinato-platinum(IV), abbreviated as Pt(IV). Meanwhile, HA was modified with ethylenediamine to form HA-EDA with NH<sub>2</sub>-functionalized pendants. Finally, HA-EDA-Pt(IV) nanoconjugate was yielded via succinate amide bonds between Pt(IV) and HA-EDA with an adjustable platinum content. Several physicochemical properties of the nanoconjugate were investigated. Studies on antiproliferation, cellular uptake, apoptosis as well as safety evaluation were also conducted.

## 2. Materials and methods

### 2.1. Materials

Hyaluronic acid (11 kDa) was supplied by Freda Biochem. Cisplatin was obtained from Boyuan Pharmaceutical Chemical Company. Ethylenediamine dihydrochloride (EDA·2HCl), succinic anhydride, 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) and

75 4,6-diamidino-2-phenylindole dihydrochloride (DAPI) were provided by Sigma-Aldrich. R isomer  
76 [Tetramethylrhodamine-6-isothiocyanate] (TRITC) was bought from Fanbo Biochemicals.  
77 N-(3-Dimethylaminopropyl)-N'-ethylcarbodiimide hydrochloride (EDC·HCl),  
78 N-Hydroxysuccinimide (NHS) and 2,4,6-trinitrobenzenesulfonic acid solution (TNBS) were  
79 offered by Aladdin. Annexin V-FITC/PI apoptosis detection kit was purchased from BD  
80 Biosciences. Dulbecco's Modified Eagle's Medium (DMEM) and Minimum Essential Medium  
81 (MEM), trypsin, fetal bovine serum (FBS), penicillin-streptomycin solution, phosphate buffered  
82 saline (PBS) were presented by Gibco®.

83

## 84 2.2. Cell culture and animal use

85 B16-F10 and Hep G2 obtained from Cell Bank of Shanghai Institute of Biochemistry and  
86 Cell Biology, Chinese Academy of Sciences was grown in DMEM and MEM, respectively,  
87 supplemented with 10 v/v% FBS, 100 U/mL penicillin and streptomycin. Exponentially growing  
88 cultures were maintained at 37 °C under an atmosphere of 5% CO<sub>2</sub> and 90% relative humidity.

89 New Zealand rabbits were purchased from Laboratory Animal Research Center of Jiangsu  
90 University. C57BL/6J mice were obtained from SLRC Laboratory Animal Center. ICR mice were  
91 bought from College of Veterinary Medicine Yangzhou University. They were kept at 22 ± 2 °C  
92 and 70 ± 5% relative humidity under natural light-dark conditions with free access to food and  
93 water. All care and handling of animals were conducted in accordance with the National Institute  
94 of Health Guide for the Care and Use of Laboratory Animals and approved by the Animal Ethics  
95 Committee of China Pharmaceutical University.

96

97 2.3. Preparation of HA-EDA-Pt(IV) nanoconjugate

98 2.3.1. Synthesis of cis,cis,trans-[Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>(OH)<sub>2</sub>]

99 30 w/v% H<sub>2</sub>O<sub>2</sub> (4.0 mL) was added into 10% cisplatin suspension (10.0 mL) and the mixture  
100 was kept stirring against light at 25 °C for 24 h. After recrystallization at 4 °C, the product was  
101 collected and washed with ice cold water, ethanol and diethyl ether. Then residual solvent was  
102 removed to give bright yellow powder (yield: 90.3%)<sup>27</sup>.

103

104 2.3.2. Synthesis of cis,cis,trans-[Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>(OOCCH<sub>2</sub>CH<sub>2</sub>COOH)(OH)]

105 Equimolar amounts (0.60 mmol) of cis,cis,trans-[Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>(OH)<sub>2</sub>] and succinic anhydride  
106 dissolved in 16.0 mL dimethyl sulfoxide (DMSO) were kept stirring in the dark at 25 °C for 12 h.  
107 After lyophilization, Pt(IV), as yellow needle crystals, was afforded by recrystallization from  
108 acetone (-20 °C), washed with cold acetone and diethyl ether (yield: 65.2%)<sup>28</sup>.

109

110 2.3.3. Synthesis of ethylenediamine modified hyaluronic acid

111 EDC·HCl (0.12 g) was added into 2% HA solution in PBS (10.0 mL, pH 7.4, 10 mM). 15  
112 min later, EDA·2HCl (2.66 g) in same volume PBS was added dropwise. The mixture was  
113 adjusted to neutral pH and allowed to proceed for 2 h at 25 °C. After exhaustive dialysis (MWCO,  
114 3.5 kDa) against 100 mM NaCl and deionized water, the solution was filtered through a 0.22 µm  
115 retention size filter, lyophilized and stored at 4 °C<sup>29</sup>.

116

117 2.3.4. Conjugate cis,cis,trans-[Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>(OOCCH<sub>2</sub>CH<sub>2</sub>COOH)(OH)] to HA-EDA

118 EDC·HCl (0.19 g), NHS (0.12 g) and Pt(IV) (0.35 g) were dissolved in PBS (pH 6.5, 10 mM,



6.0 mL) and kept from light under ice bath stirring. 0.5% HA-EDA solution in PBS (20.0 mL) was added, stirred for 24 h, dialyzed (MWCO 3.5 kDa) against deionized water and lyophilized. The drug loading capacity of platinum was calculated:

$$\text{Drug loading capacity (\%)} = \frac{\text{Weight of charged platinum}}{\text{Weight of the nanoconjugate}} \times 100$$

### 2.3.5. Synthesis of HA-EDA-Pt(IV)-TRITC

3% Polymer-drug conjugates in 3.0 mL carbonate buffer solution and 0.1% TRITC in 1.0 mL DMSO, avoiding light, were kept stirring for 24 h, dialyzed (MWCO 3.5 kDa), and lyophilized. The loading capacity was determined by Fluorescence Spectrophotometer (Lumina, Thermo Fisher Scientific, USA).

### 2.3.6. General measurements

<sup>1</sup>H NMR and <sup>13</sup>C NMR spectra were recorded on Bruker AV-500 and AV-300 (Switzerland), respectively. Fourier Transform Near Infrared (FT-NIR) spectra were conducted on Bruker TENSOR 27 Spectrometer (Germany) using KBr method. Thermal behaviors were analyzed by Differential Scanning Calorimeter (DSC204, NETZSCH Group, Germany): temperature ranged 4~300 °C and heating rate was 10.0 K/min. Platinum measurement was operated on iCE 3300 Graphite Furnace Atomic Absorption Spectrometry (GFAAS, Thermo Scientific, USA) with Quadline® deuterium background correction. A platinum hollow cathode lamp with current of 10.0 mA was used as the radiation source at 265.9 nm with a slit width of 0.2 nm. The flow rate of high purity argon was 0.3 L·min<sup>-1</sup> and stopped during atomizing stage.

140 2.4. Substitution of ethylenediamine

141 1.0 mL of solution containing 0.5 w/v% TNBS and 4 w/v% NaHCO<sub>3</sub> was added to HA-EDA  
 142 (2.00 mg) incubated in 1 w/v% trichloroacetic acid (0.2 mL). The reaction was conducted against  
 143 light for 1 h at 50 °C. After addition of HCl (3.0 mL, 6 M), temperature was raised to 60 °C, then  
 144 cooled and diluted with deionized water. A blank was prepared, except HCl was added before  
 145 TNBS. The absorbance at 410 nm was assayed via UV-Vis Spectrophotometer (UV-3200, Mapada,  
 146 China) and correlated according to a calibration curve obtained with valine <sup>30</sup>. The degree of  
 147 substitution was calculated:

$$\text{Degree of substitution (mol/g)} = \frac{\text{The mole number of primary amine groups}}{\text{The weight of sample}} \times 100$$

148

149 2.5. Particle size, zeta potential and morphology

150 HA-EDA-Pt(IV) nanoconjugate dissolved in physiological saline was measured by Particle  
 151 Size & Zeta Potential Analyzer (90Plus Zeta, Brookhaven Instruments Corporation, USA).

152 An aqueous solution of the nanomedicine was dropped on a clean mica surface, dried and  
 153 visualized by Atomic Force Microscopy (AFM, Nano Scope IIIa, Veeco Instruments, USA) <sup>31</sup>.

154

155 2.6. *In vitro* cytotoxicity

156 B16-F10 and Hep G2 (3 × 10<sup>3</sup> cells/well) were incubated in 96-well plates for 24 h,  
 157 then mixed with fresh medium containing different formulations. The amounts of HA and  
 158 HA-EDA were equal to those in the corresponding HA-EDA-Pt(IV) nanoconjugate  
 159 samples. 24 and 48 h later, 20 µL MTT (5 mg/mL) was added. After 4 h, the medium was  
 160 removed, 150 µL DMSO was added. The absorbance was measured at 570 nm by

161 Absorbance Microplate Reader (ELx800, BioTek Instruments, USA).

162

## 163 2.7. Cellular uptake

164 B16-F10 and Hep G2 ( $5 \times 10^5$  cells/well) were seeded in 6-well plates for 24 h, then exposed  
165 to TRITC-labeled nanoconjugate (final TRITC concentration 5, 50 mg/L). At selected time  
166 intervals, cells were washed with 4 °C PBS, fixed with 4% paraformaldehyde for 20 min, stained  
167 with DAPI for 30 s and captured by Inverted Fluorescence Microscope (IFM, Eclipse Ti-SR,  
168 Nikon Corporation, Japan). In competition study, HA (200 mg/mL) was pre-incubated with cells  
169 for 2 h.

170

## 171 2.8. Apoptosis of cancer cells

172 The mouse melanoma model was generated by subcutaneously injecting B16-F10  
173 suspension ( $2 \times 10^5$  cells in 0.1 mL PBS) into the right medial lower extremity of  
174 C57BL/6J mice (4-5 weeks old, half male and half female). As tumors reached  
175 approximately 50 mm<sup>3</sup> in volume, 24 mice were randomly assigned to 4 groups,  
176 intravenously injected with different formulations four times at two-day intervals, then  
177 euthanized.

178 Protein extracts from tumors were obtained with a Whole Cell Lysis kit (KGP2100,  
179 KeyGEN, China), quantified by BCA protein assay (KGP902, KeyGEN, China) and adjusted  
180 to equal concentration. Samples (70 µg/lane) were separated by SDS-PAGE followed by  
181 transferring proteins onto a PVDF membrane (BioRad, USA). The membrane was blocked with 5%  
182 nonfat dry milk in PBST for 1 h and incubated with primary antibodies (Caspase 3,

183 Poly(ADP-ribose) polymerase (PARP), Phospho-p53 and  $\beta$ -actin antibodies) at 4 °C overnight.  
 184 Then the membrane was incubated with a HRP conjugated secondary antibody (Jackson  
 185 ImmunoResearch, USA) for 1 h at room temperature and washed with PBST five times for 5 min.  
 186 The blots were visualized with ECL detection reagents and exposed on blue autoradiography film  
 187 (Amersham Biosciences, USA).

188

## 189 2.9. Red blood cells (RBCs) hemolysis

190 RBCs, collected from rabbit blood, were diluted with physiological saline to 2 v/v%. Samples  
 191 were dissolved in RBCs suspensions at gradient concentrations, incubated at 37 °C for 3 h,  
 192 centrifuged at 1500 rpm for 15 min. Supernatant was measured via Absorbance Microplate Reader  
 193 at 541 nm, hemolysis rate was determined:

$$\text{Hemolysis rate (\%)} = \frac{A_{\text{sample}} - A_0}{A_{100} - A_0} \times 100$$

194 Where  $A_{\text{sample}}$  was the absorbance of sample,  $A_{100}$  was the absorbance of lysed RBCs in  
 195 deionized water (positive control),  $A_0$  was the absorbance of 0% hemolysis in physiological saline  
 196 (negative control)<sup>32</sup>.

197

## 198 2.10. Injection irritation

199 12 New Zealand rabbits (1.8-2 kg) were randomly divided into 4 groups. Cisplatin and  
 200 HA-EDA-Pt(IV) nanoconjugate (calculated by cisplatin) made at a rate of 1 mL/min were injected  
 201 at a dose of 2 mg/kg via left marginal ear vein for three consecutive days. Same volumes of  
 202 HA-EDA and physiological saline were given to other two groups. Any paradoxical reactions were  
 203 recorded by an experienced unbiased observer. Injection sites and proximal region were stained

with hematoxylin and eosin (HE), followed by histopathological examination using an Upright Metallurgical Microscope (BX45-DP72, Olympus Corporation, Japan).

206

#### 2.11. Systemic toxicity

30 ICR mice (20-22 g) were randomly assigned to 3 groups and administrated intravenously three times at a two-day interval as follows: cisplatin (5 mg/kg); HA-EDA-Pt(IV) nanoconjugate (equivalent doses of cisplatin); physiological saline. Blood was collected and centrifuged to obtain plasma for measuring alanine aminotransferase (ALT), aspartate aminotransferase (AST), alkaline phosphatase (ALP), blood urea nitrogen (BUN), serum creatinine (Scr) by Automatic Biochemical Analyzer (UniCel DxC 800 Synchron Clinical System, Beckman Coulter, USA). Heart, liver, spleen, lung, kidney and brain were stained with HE to assess histological alteration via Upright Metallurgical Microscope.

216

#### 2.12. Statistical analysis

Data were expressed as mean  $\pm$  SD with at least three times replications. Unpaired student's *t*-test was used for comparison between two-group, one-way analysis of variance (ANOVA) with LSD and S-N-K tests for multiple-group. Asterisk represented statistically significant differences (\*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ ).

222

### 3. Results and discussion

#### 3.1. Analysis of $^1\text{H}$ NMR spectra

The peak assignment of cis,cis,trans-[Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>(OH)<sub>2</sub>] and Pt(IV) in  $^1\text{H}$  NMR spectra was

226 accomplished as reported <sup>18</sup>. Esterification of cis,cis,trans-[Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>(OH)<sub>2</sub>] (Fig. 2(A)) with  
227 succinic anhydride led to a shift of the peak of NH<sub>3</sub> from 5.50 to 5.96 ppm, meanwhile, new  
228 chemical shifts between 2.35-2.41 ppm were assigned to methylene protons of succinic anhydride,  
229 verifying the structure of Pt(IV) (Fig. 2(B)). The methyl resonance ( $\delta$  = 2.03 ppm) of acetamido  
230 moiety on HA (Fig.2(C)) was used as an internal standard and new chemical shifts at 2.92 and  
231 3.35 ppm were given by CH<sub>2</sub> of ethylenediamine residue on HA-EDA in Fig. 2(D). Small peaks  
232 from 2.36 to 2.68 ppm, assigned to methylene protons from Pt(IV) units, confirmed the successful  
233 conjugation of Pt(IV) to HA-EDA and the correct molecular structure of the expected product,  
234 HA-EDA-Pt(IV) nanoconjugate (Fig. 2(E)). During the conjugation of Pt(IV) to HA-EDA, NH<sub>2</sub>  
235 groups of HA-EDA continued to couple with COOH groups of HA in the present of EDC, which  
236 caused crosslinking between macromolecular chains or/and self-crosslinking of single  
237 macromolecular chain. It complicated the spectrum of the nanoconjugate, such as multiple peaks  
238 (3.00-3.30 ppm) and a stronger peak (2.85 ppm) of methylene protons ('f', 'g'), two new peaks at  
239 1.85 and 1.05 ppm. On the other side, the crosslinked copolymer with increased molecular weight  
240 and non-linear structure might be beneficial to reduce renal excretion and improve bioavailability.

241

### 242 3.2. Analysis of <sup>13</sup>C NMR spectra

243 There was no signal observed from cisplatin and cis,cis,trans-[Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>(OH)<sub>2</sub>] due to the  
244 absence of carbon atom in their chemical constitutions. 'a' and 'b' displayed at 179.77 and 174.17  
245 ppm in Fig. 3(A) were considered as characteristic peaks of carbonyl carbons in Pt(IV). Notably, a  
246 small shift of 'a' versus 'b' to a higher field reflected the incorporation of succinic acid to  
247 cis,cis,trans-[Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>(OH)<sub>2</sub>] via a ester bond. Similarly, 'c' (31.22 ppm) was slightly higher

than 'd' (30.22 ppm), which were both methylene carbons. In the  $^{13}\text{C}$  NMR spectrum of HA (Fig. 3(B)), peaks at 177.57, 176.8, 63.27, 25.22 ppm belonged to carbonyl (e) and methylic (h) carbons of acetamido, as well as carbon atoms in methylene (g) and carboxyl (f). After grafting with EDA, the characteristic peaks of methylene carbons, 'i' and 'j', of ethylenediamine in HA-EDA spectrum (Fig. 3(C)) appeared at 45.45 and 39.23 ppm. Afterwards, 'k', 'l', 'm+n' (181.38, 163.16, 37.54 ppm) with tiny shifts which were recognized as the characteristic peaks of carbonyl and methylene carbons from Pt(IV), could be observed again in Fig. 3(D), demonstrating the successful conjugation of Pt(IV) to HA-EDA. The crosslinking reaction also increased carbon atoms in the same chemical environment, and their signals were greatly enhanced, such as 'i' at 45.25 ppm, 'j' at 57.84 ppm.

258

### 3.3. Illustration for FT-NIR spectra

FT-NIR spectra of cisplatin, cis,cis,trans-[Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>(OH)<sub>2</sub>], Pt(IV), HA, HA-EDA and HA-EDA-Pt(IV) nanoconjugate were collected. Cisplatin (Fig. 4(A)) had five characteristic peaks of NH<sub>3</sub> at 3284.2, 3205.0, 1316.0, 1298.1, and 797.9 cm<sup>-1</sup>, which were attributed to symmetrical and asymmetrical stretching vibration ( $\nu_{\text{N-H}}$ ), symmetrical and asymmetrical deformation vibration ( $\delta_{\text{N-H}}$ ), out-of-plane bending vibration ( $\gamma_{\text{N-H}}$ ), respectively. In Fig. 4(B), cis,cis,trans-[Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>(OH)<sub>2</sub>], which was obtained by oxidation of cisplatin, exhibited a sharp and intense OH stretch at 3515.0 cm<sup>-1</sup>, a strong Pt-OH bend at 1038.1 cm<sup>-1</sup> and a new Pt-OH stretch at 555.8 cm<sup>-1</sup>. As shown in Fig 4(C), both the 3512.6 cm<sup>-1</sup> and 567.4 cm<sup>-1</sup> bands were weakened owing to the consumption of OH during esterification to produce Pt(IV), and there appeared two characteristic peaks, i.e., 1639.7 and 1695.4 cm<sup>-1</sup>, belonging to coordinated and free

carboxyl groups, respectively<sup>33</sup>. HA was a naturally linear polysaccharide with plentiful OH and NH groups which contributed to the observed absorption at 3395.4 cm<sup>-1</sup> in Fig. 4(D), and another characteristic peak belonged to asymmetric carbonyl stretching vibration at 1617.1 cm<sup>-1</sup>. The spectrum of HA-EDA (Fig. 4(E)) was almost the same to that of HA, however, the characteristic absorption of HA at 1617.1 cm<sup>-1</sup> existed a slightly offset to 1639.2 cm<sup>-1</sup>, suggesting the formation of new chemical bonds. After conjugation with pro-drugs, a new peak at 524.4 cm<sup>-1</sup> was assigned to Pt-OH stretch from pendent Pt(IV) of the nanomedicine (Fig. 4(F)). Importantly, the appearance of resonance at 1643.9 cm<sup>-1</sup>, which was in good agreement with the peak of pro-drugs, was attributable to coordinated carboxyl group, while the peak of free carboxyl group at 1695.4 cm<sup>-1</sup> in Pt(IV) disappeared.

280

### 3.4. Thermal analysis

To further substantiate successful synthesis of HA-EDA-Pt(IV) nanoconjugate, DSC, one of the most useful tools to distinguish synthetic copolymer and physical mixture, was conducted. Interactions in DSC arrive at a conclusion by elimination of endothermic peaks, appearance of new peaks, variations in peak shape and its onset, peak temperature or melting point and relative peak area or enthalpy<sup>34</sup>. From Fig. 5(A), HA degradation gave two peaks: the endothermic peak at around 86 °C, suggesting a dehydration process; an exothermic peak at 248 °C, representing decomposition and resulting in a carbonized residue. In Fig. 5(B), EDA·2HCl had a melting point higher than 300 °C<sup>35</sup>, which consisted of two maximums with onsets at 129 and 137 °C, also revealed one complicated thermal anomaly at 134 °C. The shapes of observed peaks were identical with that reported by Bujak et al and deemed to be typical of a first order phase transition



of the order-disorder type. Nevertheless, the reason why there were two maximums was still unclear and required further study<sup>36</sup>. Calorimetric curve of Pt(IV) (Fig. 5(C)) exhibited a sharp endothermic peak at 169 °C and two mild exothermic peaks at 224 and 256 °C. The former one was the melting point of Pt(IV) and the latter two were resulted from degradation by heat. The physical mixture (Fig. 5(D)) showed most of the characteristic peaks of each component with slight shifts. Unlike the physical mixture, HA-EDA-Pt(IV) nanoconjugate revealed a strikingly contrasting DSC thermogram in Fig. 5(E), where no obvious melting peak of Pt(IV) appeared, indicating that an intact new compound was yielded after multi-step synthesis.

300

### 3.5. Estimation of active amine groups and drug loading capacity

As the crosslinking or/and self-crosslinking reaction prevented us from calculating modified units per HA backbone, micromole of active amine groups per milligram of HA-EDA was used to estimate substitution of ethylenediamine. 2,4,6-trinitrobenzenesulfonic acid (TNBS), a colorimetric reagent, primarily neutralizes the amino group to form a soluble complex which renders characteristic absorption. After incubating HA-EDA with TNBS, the absorption at 410 nm was employed to calculate the amount of exposed active amine groups. Concentrations were determined using a standard curve (range: 0.4 to 2.0 μmol/mL) reflecting a linear function:  $y = 0.2273x + 0.0007$  ( $R^2 = 0.9996$ ). Ethylenediamine with a shorter alkyl chain might slightly accrete stereo-specific blockade, thereby inducing the degree of modification slightly higher. Batch-to-batch variations of ethylenediamine substitution could be minimized by strictly controlling the ratio of reactants and reaction time, and the amount of active amine groups were consistent at a level of 15-18 μmol/mg. However, the data were different from that calculated

314 according to  $^1\text{H}$  NMR. One possible explanation was that active amine groups buried in the core  
315 of chemically crosslinked polymers may be inaccessible to TNBS..

316 Unlike cisplatin, Pt(IV) could readily react with HA-EDA via amidation when catalyzed by  
317 EDC/NHS. The platinum content was determined by Graphite Furnace Atomic Absorption  
318 Spectrometry (GFAAS) and fixed at 14.84 w/w%. In addition, varying the initial molar feed ratios  
319 of Pt(IV) to HA-EDA yielded HA-EDA-Pt(IV) nanoconjugate with various platinum contents,  
320 indicating that drug loading capacity could be adjusted conveniently (Data not shown). For cell  
321 study, TRITC, a sensitive fluorescent probe, continued to consume residual amino groups of  
322 HA-EDA-Pt(IV) nanoconjugate. Finally, TRITC-labeled nanoconjugate was at a modification  
323 ratio of 1.03 w/w%. This ratio provided sufficient fluorescent intensity for imaging yet it would  
324 minimally affect the intracellular trafficking of our nanomedicine.

325

### 326 3.6. Particle size, zeta potential and morphology of nanoconjugate

327 As shown in Fig. 6(A, B), HA-EDA presented a unimodal size distribution. After being  
328 conjugated with Pt(IV), the unimodal size distribution was sustained and the mean diameter  
329 increased from  $177.0 \pm 2.1$  to  $186.4 \pm 1.3$  nm, possibly due to the incorporation of Pt(IV) moieties.  
330 In addition, the zeta potential decreased from  $-25.84 \pm 0.73$  mV, for HA-EDA, to  $-28.65 \pm 2.01$   
331 mV, for HA-EDA-Pt(IV) nanoconjugate, suggesting that Pt(IV) consumed and neutralized some  
332 positively charged amino groups in HA-EDA.

333 In Fig. 6(C, D), all microspheres displayed a spherical morphology with an average diameter  
334 of  $\sim 200$  nm, which was larger than that from dynamic light scattering. In addition, the height  
335 measured from AFM images was much lower than expected. The increased particle size and

336 decreased height might result from the spreading or flattening of polymers onto the mica surface  
337 during pretreatment.

338 Taken together, the 200 nm diameter and negative charge would make the nanoconjugate  
339 suitable as a novel drug delivery system to achieve accumulation in tumors via EPR effect<sup>37</sup>.

340

### 341 3.7. Antiproliferation *in vitro*

342 B16-F10 and Hep G2 were exposed to platinum drugs at different doses (10, 50, 100,  
343 200, 400 mg/L). HA and HA-EDA were also examined to evaluate their biocompatibility.

344 The relative cell viability-concentration curves were shown in Fig. 7(1). Negligible toxicity  
345 to mammalian cells was observed for HA and HA-EDA across the whole tested concentrations.

346 Cisplatin has been widely recognized to have a profound effect on suppressing tumor cell  
347 proliferation. As the concentration increased, HA-EDA-Pt(IV) nanoconjugate displayed a  
348 comparable or even higher cytotoxicity than cisplatin. Furthermore, prolonging incubation  
349 time also led to increased antiproliferative effect. Thus, according to MTT test, it could be  
350 concluded that the cytotoxicity of HA-EDA-Pt(IV) nanoconjugate was not impaired upon  
351 oxidation and conjugation reaction, and was on a par with that of free cisplatin.

352

### 353 3.8. Cellular internalization

354 Cellular uptake was carried out on B16-F10 and Hep G2. Apparently, increasing the  
355 concentration of HA-EDA-Pt(IV)-TRITC, i.e., from 5 to 50 mg/L, and prolonging the incubation  
356 time, i.e., from 4 h to 24 h, resulted in higher internalization, indicating concentration- and  
357 time-dependent endocytosis. Importantly, preincubation with free HA greatly inhibited cellular

uptake of HA-EDA-Pt(IV)-TRITC, reflecting that HA receptors played a crucial role in the polymer-drug conjugates transport.

360

### 3.9. Activation of *in vivo* apoptosis

To identify cell signaling pathways of *in vivo* apoptosis, western blot was performed. As a family of cysteine proteases, Caspases (cysteine-aspartic proteases) play a prominent role in apoptosis, necrosis, and inflammation<sup>38</sup>. Usually the effector caspases, e.g., Caspase 3, 6, 7, are inactive inside cells till activated by the initiator caspases, e.g., Caspase 2, 8, 9, 10, 11, 12, while different pathways including cellular stress, chemotherapy, radiotherapy, are involved<sup>39</sup>. As shown in Fig. 8A and 8D, band intensity of lane b and d doubled as compared with lane a and c, indicating a dramatically rise of Caspases. Thus significant up-regulation of Caspases 3 was proved in tumor-bearing mice treated with platinum drugs.

PARP is the substrate of Caspases. The activated effector caspases are responsible for cleaved PARP (89 KDa), which is extremely related with a number of cellular processes (mainly DNA repair and apoptosis)<sup>40</sup>. Obviously, treatment with platinum drugs significantly increased cleaved PARP levels of cisplatin versus control groups. However, those tumor-bearing mice treated with HA-EDA-Pt(IV) nanoconjugate resulted in the highest cleaved PARP level (Fig. 8B and 8D). Since the activation of PARP is the last step in triggering apoptosis, it could be concluded that HA-EDA-Pt(IV) nanoconjugate might exert more *in vivo* cytotoxicity to cancer cells than cisplatin.

p53, a tumor suppressor protein that facilitates DNA repair before DNA replication, is considered as a “guardian of the genome” for its activation of a host of other genes that lead to cell

380 cycle arrest and DNA repair, therefore plays a central role in chemotherapy-induced apoptosis <sup>41</sup>.  
381 As expected, HA-EDA-Pt(IV) nanoconjugate group showed the strongest phospho-p53 signal  
382 rather than the physiological saline, cisplatin and HA-EDA groups, thereby indicating the severest  
383 apoptosis (Fig. 8C and 8D).

384 The results obtained from western blot were a full complement of *in vitro* antiproliferation.

385

### 386 3.10. Hemocompatibility

387 Blood compatibility is considered as one of the most important issues for *in vivo* application  
388 of polymer-drug conjugates. The hemolysis ratios were quantified based on spectrophotometric  
389 measurements of hemoglobin released from RBCs. When RBCs suspensions were treated with  
390 HA, HA-EDA or polymer-drug conjugates, all hemolysis ratios were lower than 1% across the  
391 whole tested concentration range, indicating desirable hemocompatibility. In contrast, RBCs  
392 exposed to Tween 80 (100 mg/mL) had significant leakage of hemoglobin. And it was found that  
393 Tween 80 induced severe hemolysis in a concentration-dependent manner, while other polymers  
394 consistently guaranteed much better hemocompatibility.

395

### 396 3.11. Rabbit ear vein irritation test

397 The visual examination of rabbit ear veins was executed after each administration by a  
398 designated bystander. Severe venous irritation was recorded in cisplatin group since the second  
399 injection, including vascular engorgement, dropsy, discoloration and induration. In contrast, slight  
400 venous irritation was noted in HA-EDA-Pt(IV) nanoconjugate group and no obviously visible  
401 damages were found in other groups. Subsequently, rabbit ears were sectioned and stained with

HE. Fig. 9(2) showed microscopic examination of marginal ear veins after injection with sample solutions. In cisplatin group, severe injection irritation such as anapetia, thrombosis, collagen proliferation, and infiltration of inflammatory cells was captured in most regions, even in regions distant from injection sites, while these phenomena were hardly observed in HA-EDA and the nanoconjugate group. Thus it could be concluded that nanoscale conjugates would produce much less injection irritation than cisplatin.

3.12. Hematological parameters and histological analyses

During the clinical practice, major side effects of platinum drugs stem from their serious nephrotoxicity. After successive chemotherapy, concentrations of biomarkers in plasma were determined (Table 1). It's well-known that these parameters are associated with the function of liver (ALT, AST, ALP) and kidney (BUN, Scr) for mammals <sup>19</sup>. Mice treated with the nanomedicine exhibited no significant change of clinical parameters in comparison to control group, denoting negligible systemic toxicity. Unfortunately, mice receiving cisplatin displayed higher levels of all the parameters than physiological saline group, especially BUN and Scr, suggesting high systemic toxicity. On the basis of above observation, greater improvement in reducing side effects was verified in the polymer-drug conjugate group versus cisplatin group.

Table 1 Change of ALT, AST, ALP activities and alteration of BUN, Scr levels in ICR mice plasma.

Group	ALT (IU/L)	AST (IU/L)	ALP (IU/L)	BUN (mmol/L)	Scr (μmol/L)
Physiological saline	39.67 ± 2.52	100.67 ± 2.52	114.67 ± 5.51	6.20 ± 0.95	11.67 ± 2.08

Cisplatin	81.33 ± 6.51 <sup>***</sup>	198.33 ± 6.03 <sup>***</sup>	180.67 ± 5.13 <sup>***</sup>	18.27 ± 2.40 <sup>***</sup>	24.67 ± 2.52 <sup>***</sup>
HA-EDA-Pt(IV) nanoconjugate	49.33 ± 2.08 <sup>****</sup>	127.33 ± 5.03 <sup>****</sup>	115.67 ± 2.52 <sup>###</sup>	4.97 ± 0.99 <sup>###</sup>	13.67 ± 1.53 <sup>###</sup>

\* Indicates a statistically significant difference compared to physiological saline (\*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ ), while <sup>#</sup> indicates a statistically significant difference compared to cisplatin (<sup>#</sup>,  $p < 0.05$ ; <sup>##</sup>,  $p < 0.01$ ; <sup>###</sup>,  $p < 0.001$ ).

Histopathological examination of major organs was shown in Fig. 9(3). It was proved that no significant morphological alternation of heart, spleen, lung and brain was occurred in all groups. Micrographs revealed that hepatocyte injury was negligible in polymer-drug conjugates group, while structural disturbance with vacuolar degeneration of hepatocytes induced by free cisplatin was captured. Moreover, a number of inflammatory cells (mainly neutrophile granulocyte) were infiltrating the renal interstitium only in cisplatin group, which was in agreement with the hematological parameters.

Low side effect and great therapeutic effect are always contradictory in cisplatin-based chemotherapy. Multiple-dosed patients usually suffered severe liver and kidney disruption. However, based on strategies of tumor targeted delivery and stimulus-specific drug release and activation, our polymer-drug conjugate was proven safe enough for multiple dose administration, even at a high dose.

#### 4. Conclusion

In summary, an anticancer polymer-drug conjugate was prepared by coupling Pt(IV) to HA derivatives. Due to its desirable physicochemical properties as well as advantageous *in vitro* and *in*

442 *vivo* behaviors, the nanoconjugate was more likely to extravasate from neo-vasculature to tumors,  
443 promote transport via HA receptor-mediated endocytosis, trigger apoptotic signals, and ultimately  
444 activate programmed cell death. On the premise of reliable efficacy, safety became a major  
445 concern for *in vivo* application. Fortunately, HA-EDA-Pt(IV) nanoconjugate showed negligible  
446 peripheral vascular injury and favorable hemocompatibility. In addition, systemic toxicity of  
447 cisplatin, especially kidney and liver toxicity, was greatly diminished. Thus, this concise  
448 polymer-drug system may improve the therapeutic compliance and advance the clinical  
449 application of platinum drugs.

450

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457

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- 527
- 528

529 Captions:

530 Fig. 1. Synthesis of (1) Pt(IV); (2) HA-EDA; (3) HA-EDA-Pt(IV) nanoconjugate.

531

532 Fig. 2.  $^1\text{H}$  NMR spectra of (A) cis,cis,trans-[Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>(OH)<sub>2</sub>], (B) Pt(IV) in DMSO-d<sub>6</sub>; (C) HA,

533 (D) HA-EDA, (E) HA-EDA-Pt(IV) nanoconjugate in D<sub>2</sub>O.

534

535 Fig. 3.  $^{13}\text{C}$  NMR spectra of (A) Pt(IV) in DMSO-d<sub>6</sub>; (B) HA, (C) HA-EDA, (D) HA-EDA-Pt(IV)

536 nanoconjugate in D<sub>2</sub>O.

537

538 Fig. 4. FT-NIR spectra of (A) cisplatin, (B) cis,cis,trans-[Pt(NH<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub>(OH)<sub>2</sub>], (C) Pt(IV), (D) HA,

539 (E) HA-EDA, (F) HA-EDA-Pt(IV) nanoconjugate.

540

541 Fig. 5. DSC spectra of (A) HA, (B) EDA·2HCl, (C) Pt(IV), (D) the physical mixture of HA,

542 EDA·2HCl and Pt(IV), (E) HA-EDA-Pt(IV) nanoconjugate.

543

544 Fig. 6. (A) Particle size and (B) zeta potential distribution profiles. (C) AFM 3D image and (D)

545 height images of HA-EDA-Pt(IV) nanoconjugate at an optimal dilution ratio.

546

547 Fig. 7. (1) *In vitro* cytotoxicity of HA, HA-EDA, HA-EDA-Pt(IV) nanoconjugate and

548 cisplatin against B16-F10 and Hep G2 at 24 and 48 h. (2) Cellular uptake captured by IFM.

549 Cells were incubated with (A, B) 5 and (C, D) 50 mg/L HA-EDA-Pt(IV)-TRITC (red), (B, D)

550 excessive HA before adding HA-EDA-Pt(IV)-TRITC. Nuclei were stained by DAPI (blue).

551

552 Fig. 8. Activation of (A) Caspase 3, (B) PARP and (C) Phospho-p53 in B16-F10 tumor-bearing  
553 mice treated with (a) physiological saline, (b) cisplatin (6 mg/kg), (c) HA-EDA, (d)  
554 HA-EDA-Pt(IV) nanoconjugate (6 mg/kg on cisplatin basis).  $\beta$ -actin was probed as a loading  
555 control. (D) Quantification of Caspase, PARP, Cleaved PARP and Phospho-p53. The results are  
556 the means  $\pm$  SD from six independent samples.

557

558 Fig. 9. (1) Hemolysis rates of HA, HA-EDA, HA-EDA-Pt(IV) nanoconjugate and Tween 80. (2)  
559 Histopathological examination of rabbit ear-rim auricular veins following different injections: (A)  
560 physiological saline; (B) HA-EDA; (C) HA-EDA-Pt(IV) nanoconjugate; (D) cisplatin. (3)  
561 Histological assessment of major organs (A) physiological saline, (B) cisplatin; (C)  
562 HA-EDA-Pt(IV) nanoconjugate.

563

Fig. 1

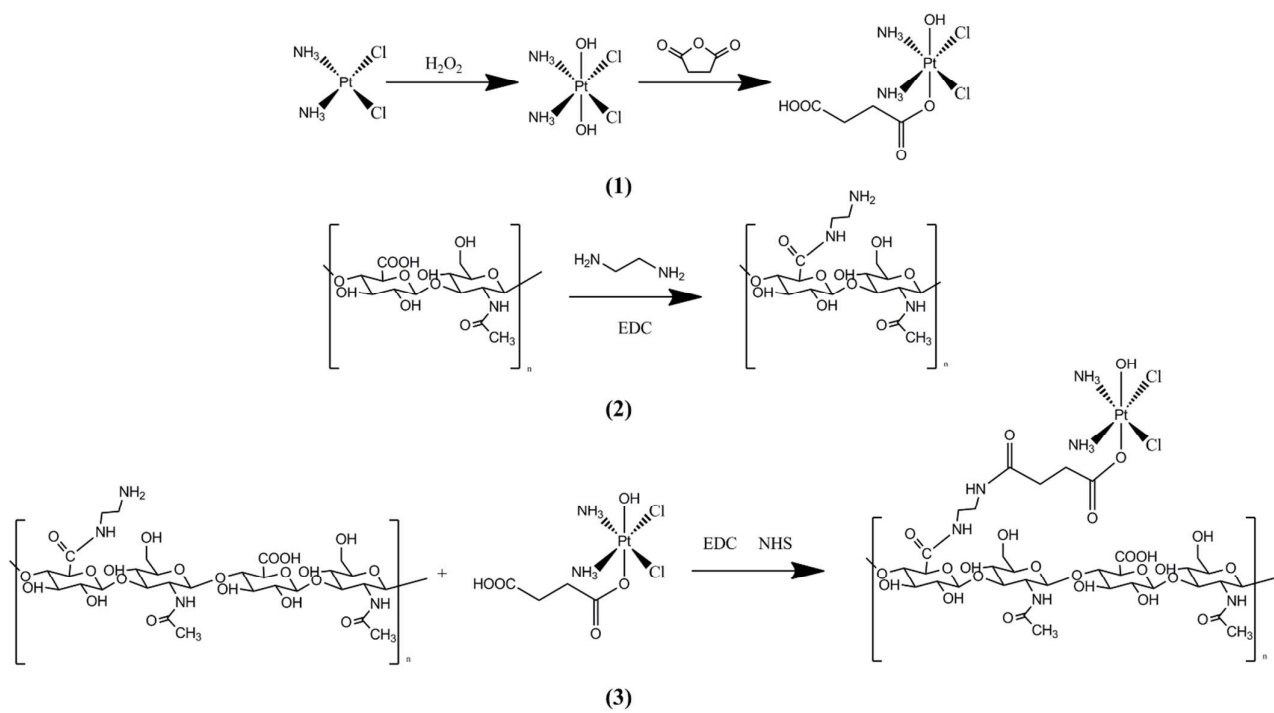


Fig. 2

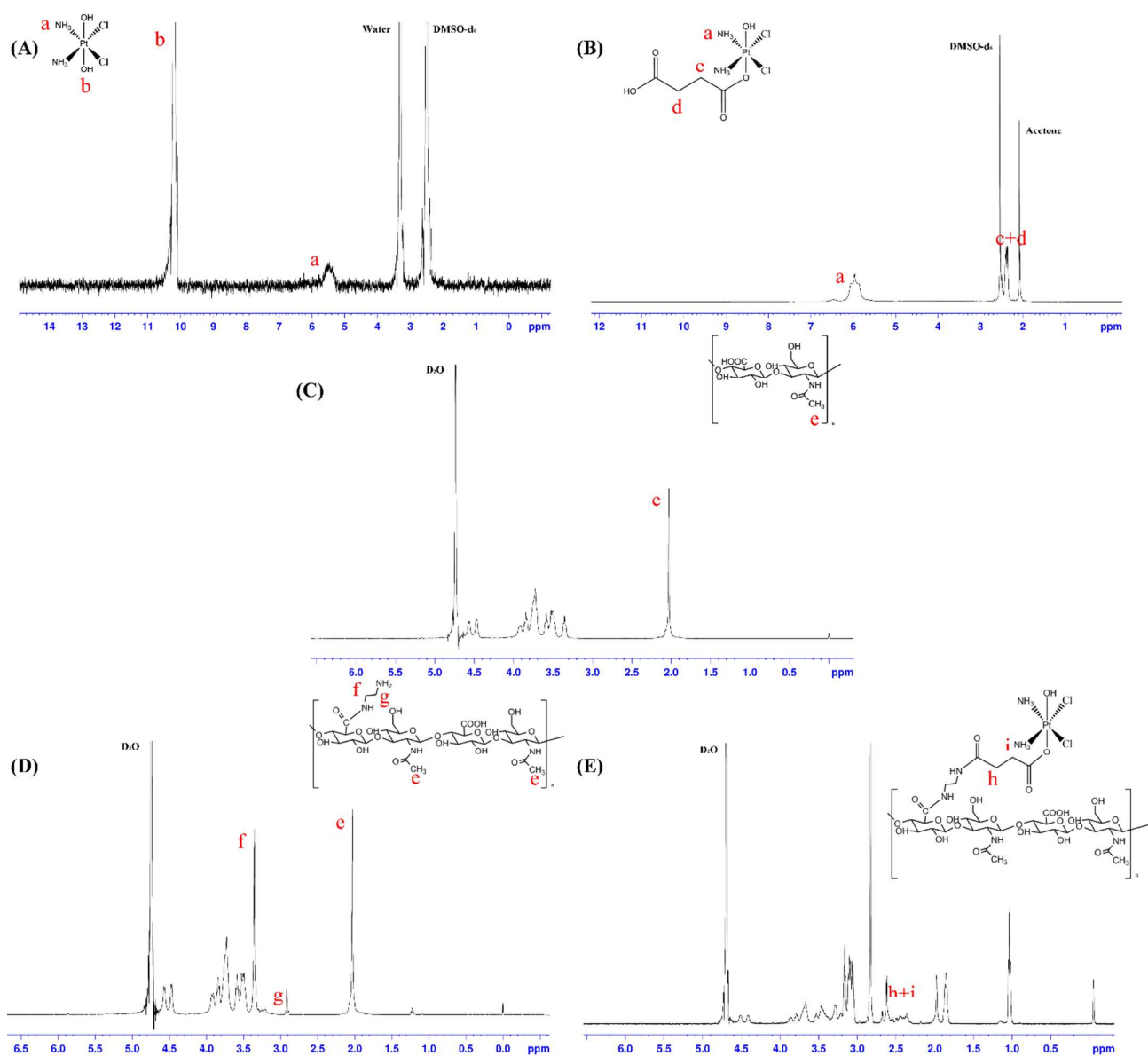


Fig. 3

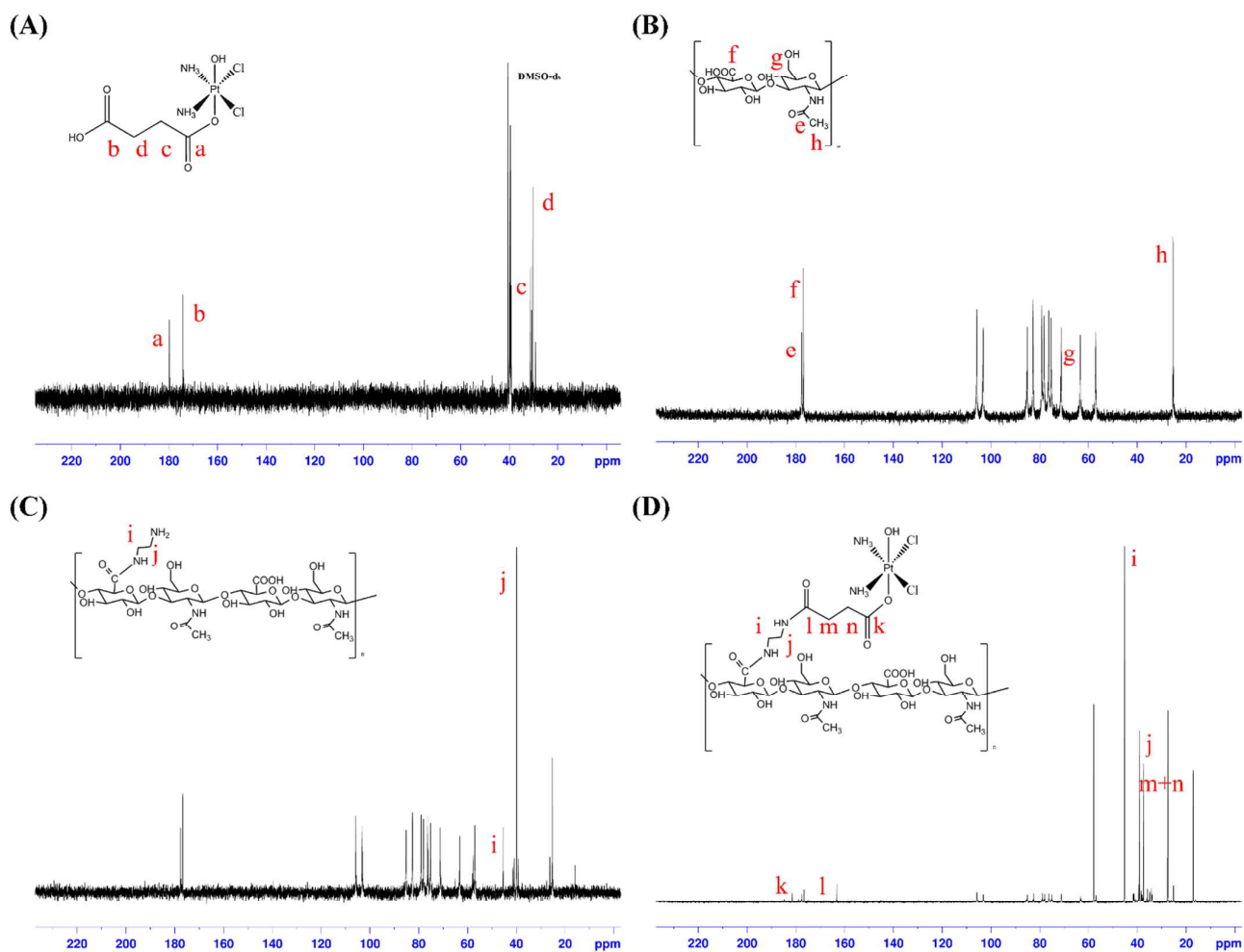


Fig. 4

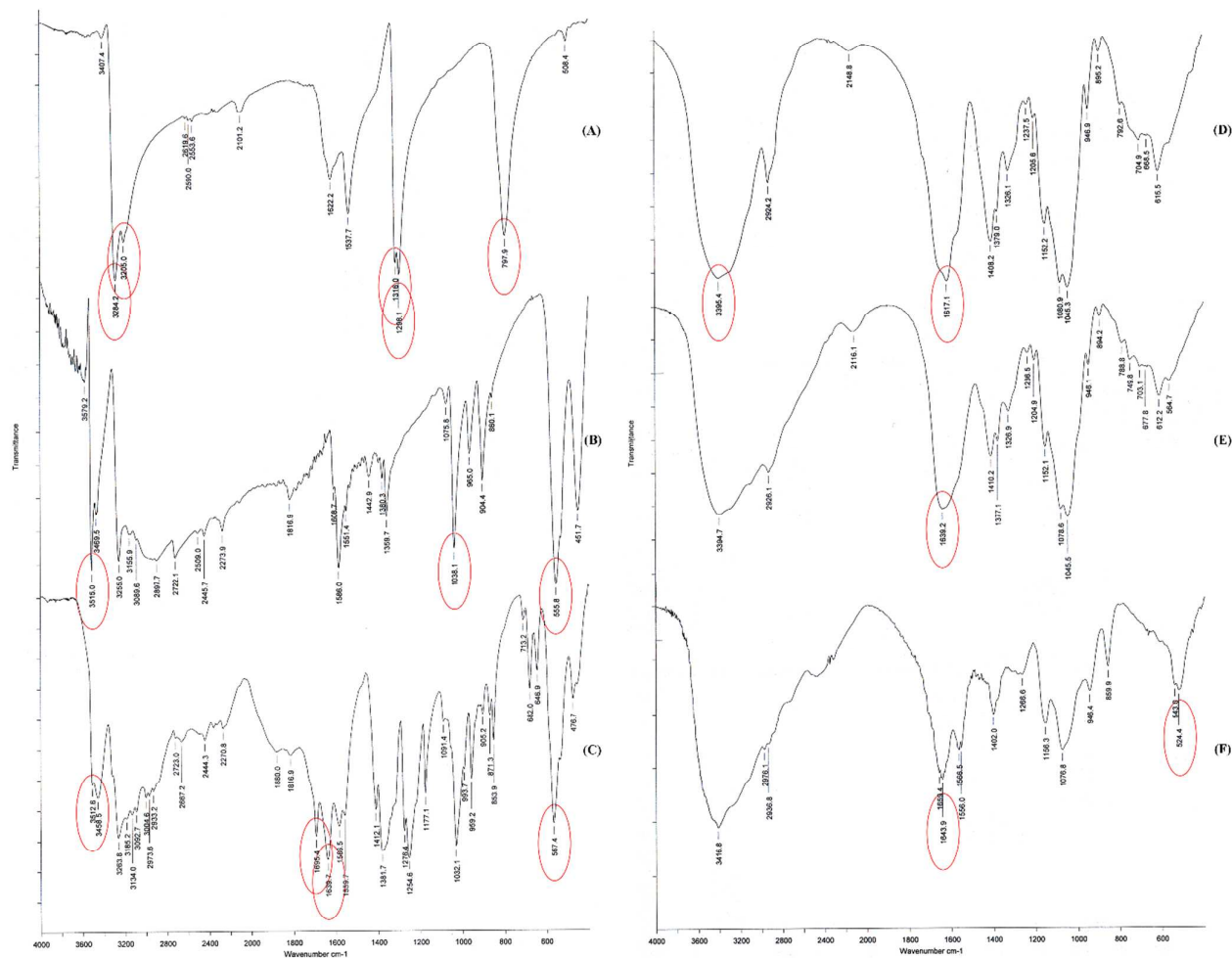




Fig. 5

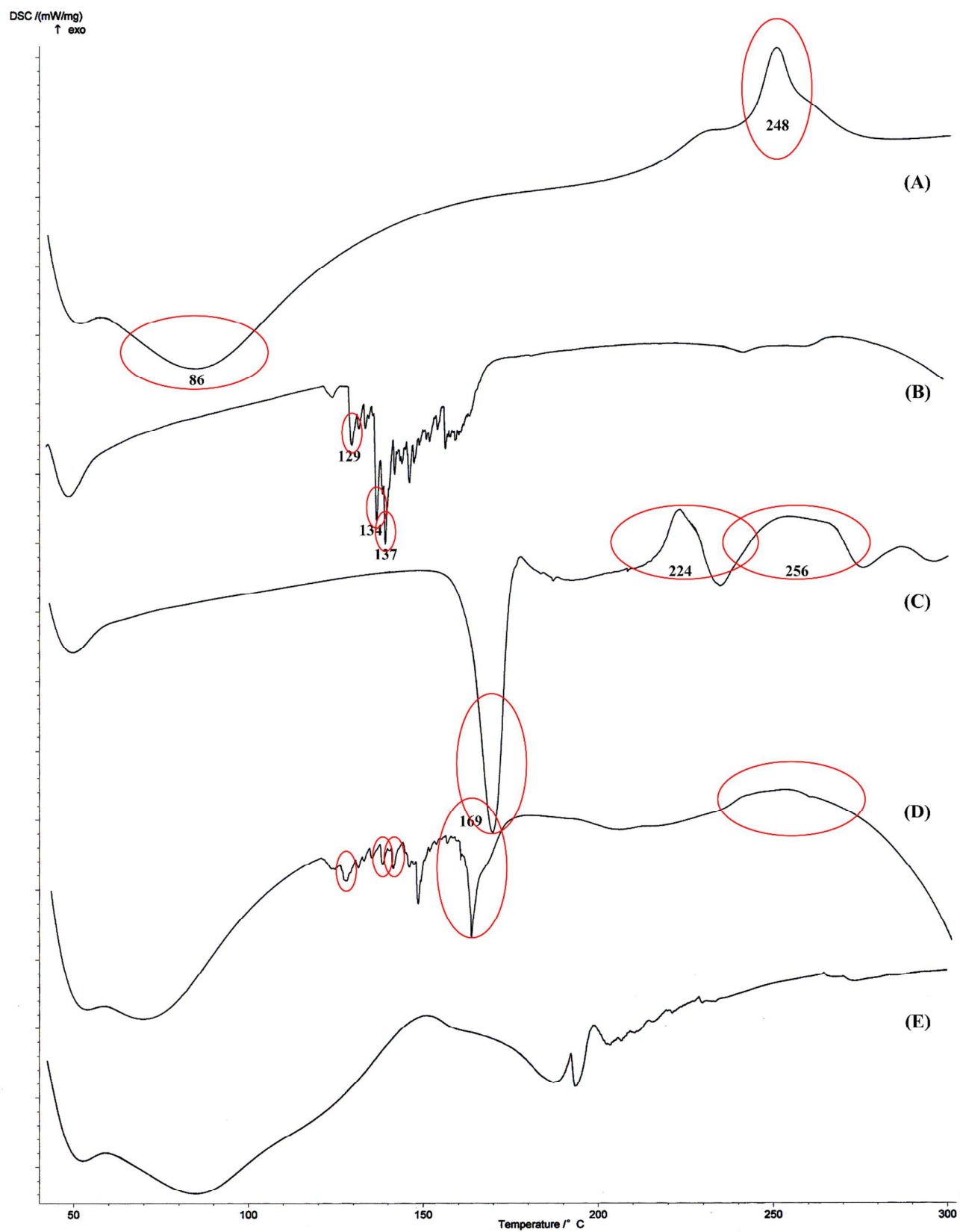


Fig. 6

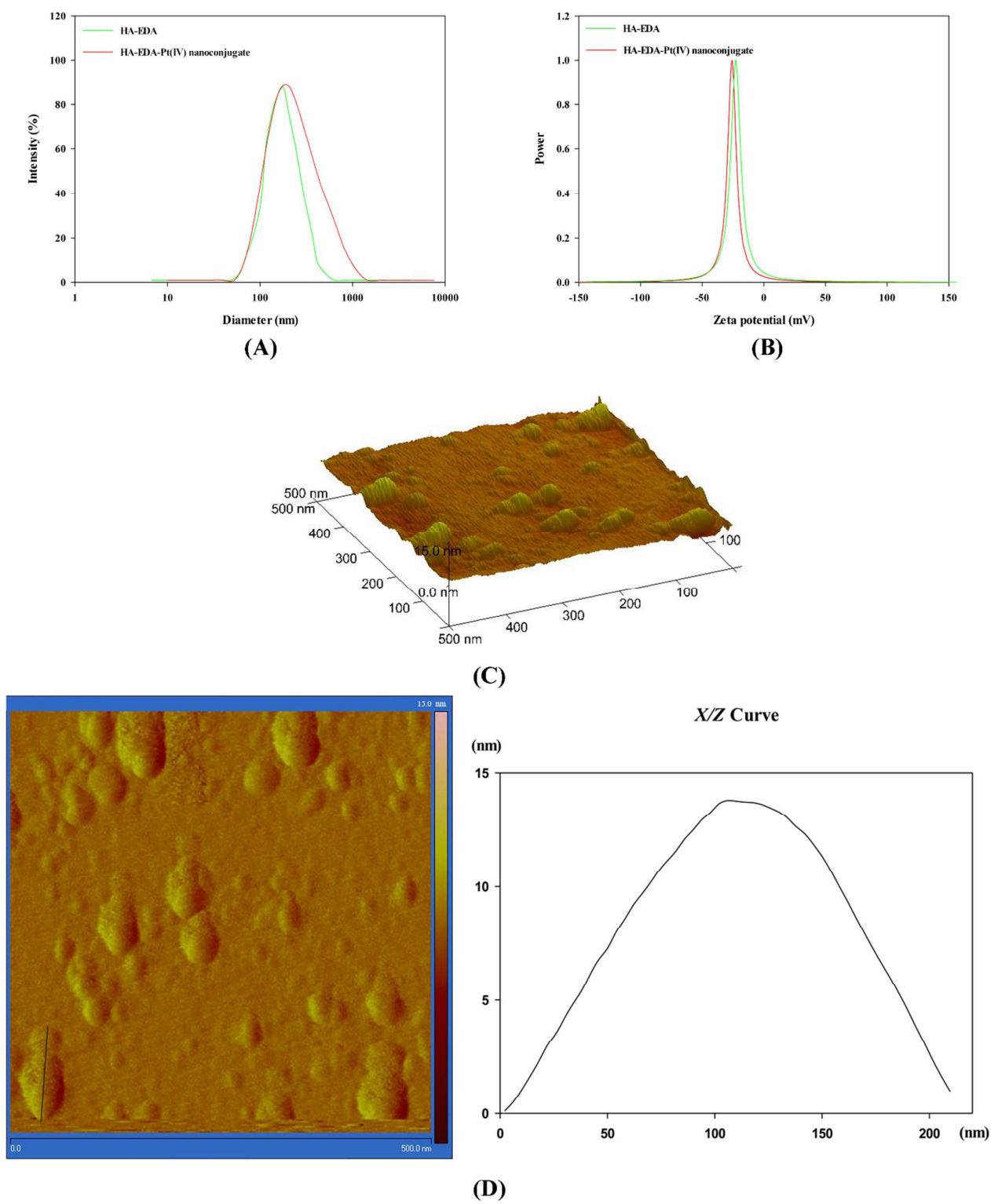
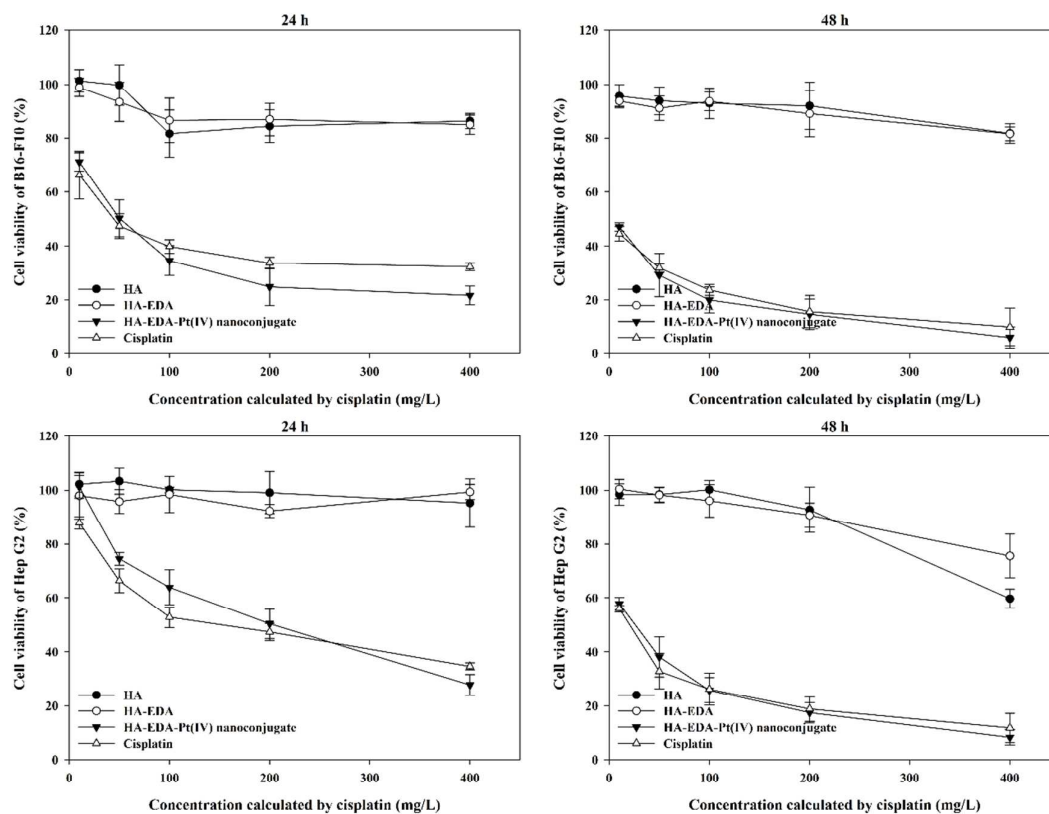
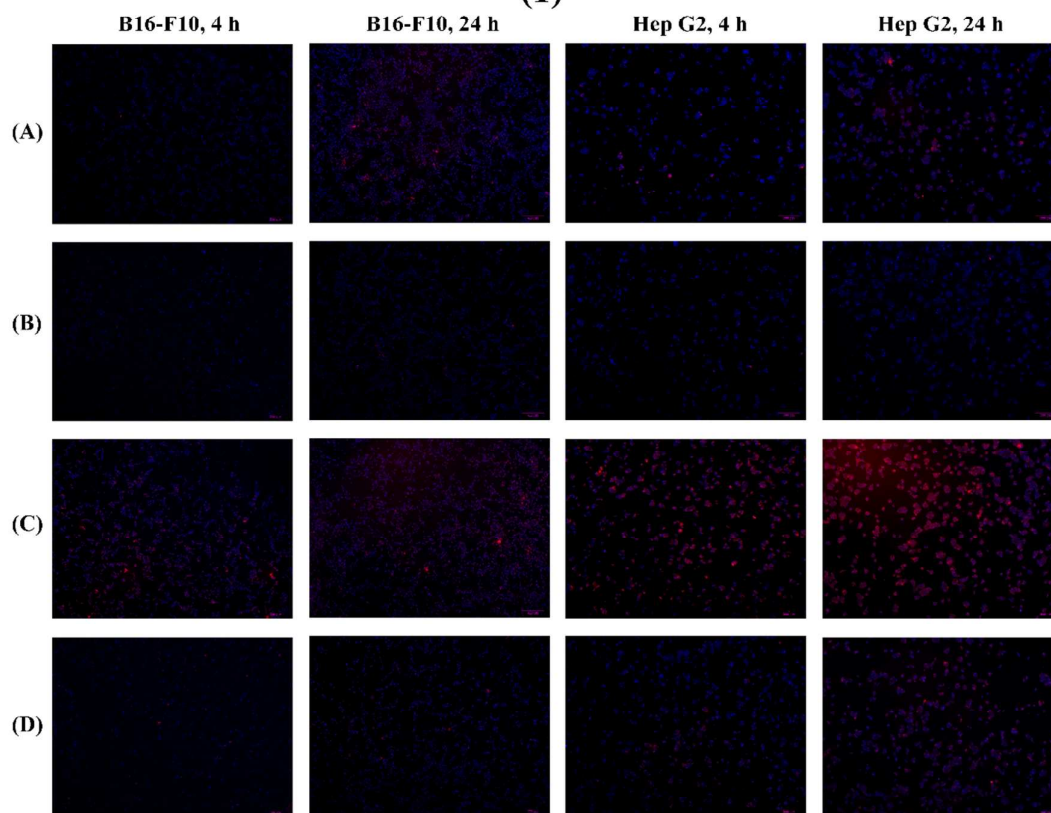


Fig. 7



(1)



(2)

Fig. 8

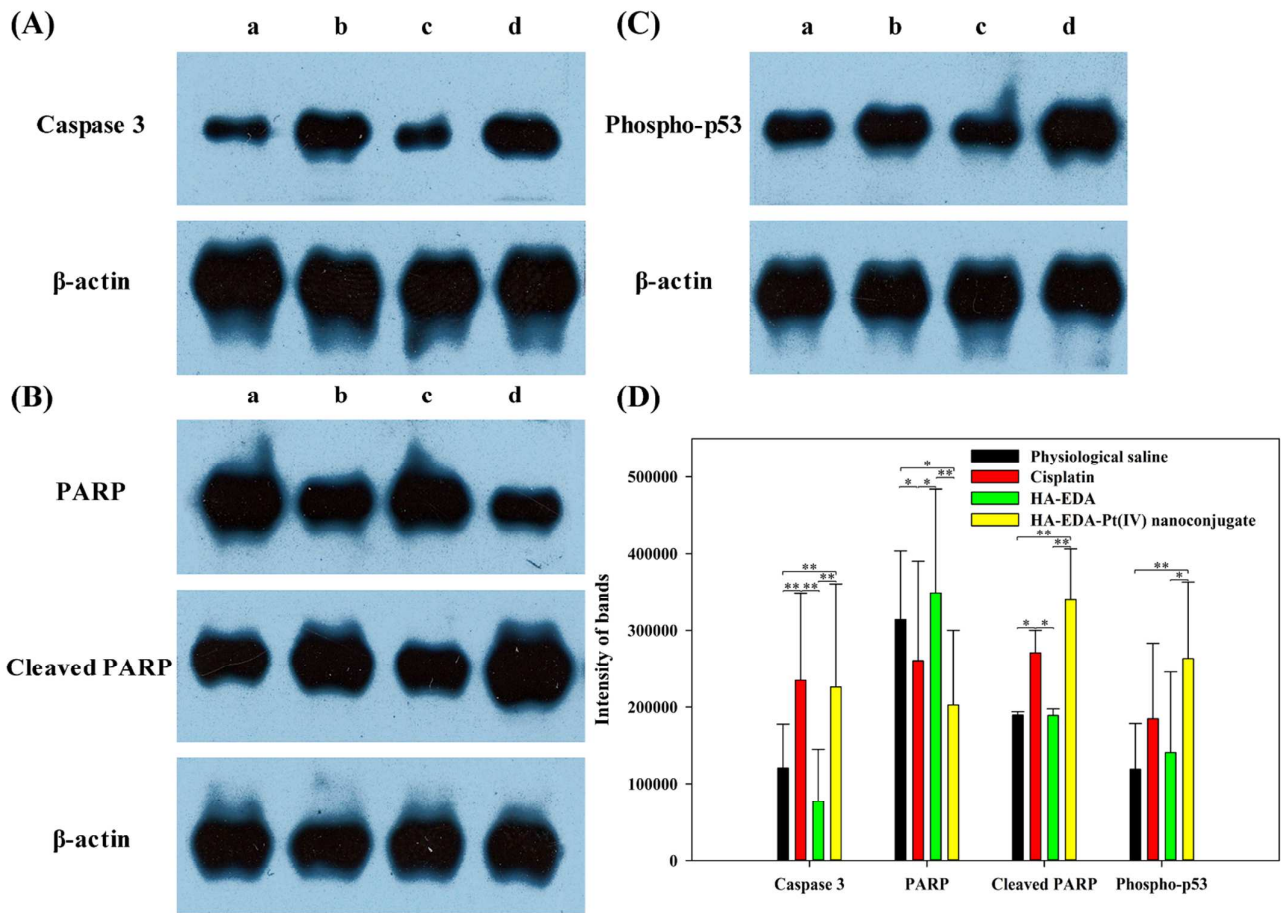




Fig. 9

