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Nanoparticle size influences the proliferative responses of lymphocyte subpopulations

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12 NM GOLD NANOPARTICLES INDUCE CELL MEDIATED RESPONSES ACCOMPANIED BY INFLAMMATORY NATURAL KILLER CELL STIMULATION, WHEREAS 2 NM GOLD NANOPARTICLES ARE MORE EFFICIENTLY UPTAKEN WITHOUT INDUCING DENDRITIC CELL MATURATION OR LYMPHOCYTE PROLIFERATION.

The use of engineered nanoparticles for immunotherapy has been extensively investigated, notably towards the development of novel vaccines[1-5]. By tailoring their physicochemical properties such as size, shape, surface chemistry, nanoparticles are able to positively or negatively modulate immune responses via antigen presenting cells (APCs) [2-4, 6, 7]. This strategy promises the development of novel nanovaccines that are able to modify adaptive immune responses for the treatment of cancer or auto-immune diseases[6, 8-10]. For example, increased nanoparticle surface hydrophobicity has been shown to progressively induce acute inflammation in both *in vitro* and *in vivo* models[7]. Nanoparticle shape can also affect immune responses, for example rod and star shapes elicit enhanced immune responses, although their clearance may have toxic effects[11, 12]. Another parameter that has been studied is the influence of spherical particle sizes from 40 nm to one micron with larger nanoparticles favouring the induction of cell-mediated responses and a higher cell uptake for particles smaller than 500 nm[13, 14].

In this study we chose gold particles with two different sizes at the lower end of the nanosize window: 2 nm so-called nanoclusters (NCs) and 12 nm nanoparticles (NPs) both protected by the same glutathione (GSH) tripeptide ligand. GSH is an antioxidant which offers the advantage of improving the colloidal stability of particles in complete medium, while its free carboxyl groups allow molecules to be conjugated onto the surface [18]. Dendritic cells (DCs), an important type of APC, modulate both effector and tolerance responses by presenting MHC Class II-bound antigens to T lymphocytes while secreting co-stimulatory factors that depend on their maturational state[19-21]. Our aim was to determine if size (<15 nm) affects particle uptake by dendritic cells, and whether it alters their effects on the immunological system as judged by changes to DC maturation and the inflammatory responses of different lymphocyte subpopulations. DC maturation was evaluated by cell surface markers and cytokine secretion, while inflammatory responses was followed by looking at the proliferation of different lymphocyte subpopulations including CD4+ and CD8+ T and Natural Killer (NK) cells, as well as cytokine production.

Particles synthesis was conducted in aqueous solution using gold salt and GSH, with sodium borohydride also used for the larger particles (see ESI for details). Particle size was determined by dynamic light scattering (Figure 1a) and electron microscopy (Figure S1) indicating high monodispersity for NCs (∅ ~2 nm) and NPs (∅ ~12 nm). We used thermal analysis to estimate ligand content per particle (based on the ligand's organic moiety) finding around 56% and 19% for NCs and NPs, respectively. No significant aggregation of NCs or NPs was observed after incubation in complete medium (RPMI1640 + 10% serum) for 48 hours. Absorbance analysis revealed that NPs possess the typical plasmon band at 520 nm. In contrast, NCs exhibited strong UV absorption (Figure S2) and intense photoluminescence in the orange-red window (λ_{max}= 610 nm)[22] (Figure S3), which originate from the strong quantum confinement seen in species of this size and metal-ligand interactions [23-26].

NC and NP cytotoxicity was evaluated in human monocyte-derived dendritic cells (DCs) via specific Live/Dead cell staining and flow cytometry. Incubation for 48 hours with concentrations up to 25μg.mL^{-1} of NCs, NPs or equivalent concentrations of free GSH ligand had no significant effect on cytotoxicity compared to non-treated

cells (Figure S4). Cellular particle uptake was quantified by inductively coupled plasma high-resolution mass spectrometry (ICP-HRMS) after incubating DCs with NCs or NPs (10 µg gold/ mL) for 48 hours (Figure 1b). We found that NC uptake in terms of gold particles per cell was 67 times higher than that of NPs, indicating highly efficient internalisation of NCs into DCs. This observation is in agreement with previous studies showing stronger uptake of small particles, most likely related to their high diffusion capacity[27, 28]. The internalisation of NCs and NPs in the cytoplasm and close to the membrane could be readily observed by the presence of dark accumulations under bright-field illumination, and by photoluminescent emissions upon two-photon excitation ($\lambda_{exc.}$= 720nm) (Figure S5).

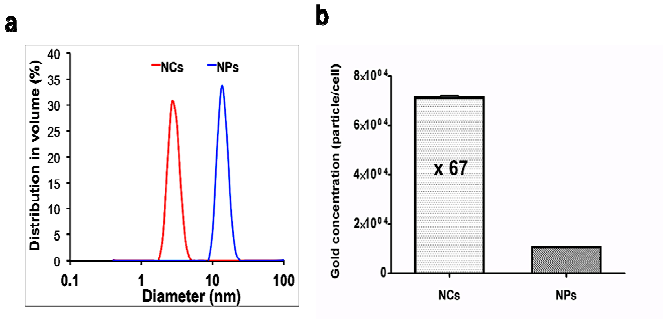

Figure 1. (a) Size analysis by dynamic light scattering of GSH ligand-stabilised NCs and NPs in aqueous solution. (b) Inductively coupled plasma high-resolution mass spectrometry (ICP-HRMS) measurements of DCs incubated with NCs or NPs (10µg/mL in complete medium) for 48 hours.

One of the first response steps in the immune response to an antigen by a dendritic cell is its maturation. Mature DCs are characterised by the loss of their ability to internalise antigens, while upregulating MHC Class II molecules and co-stimulatory factors such as CD80 and CD86, thereby acquiring the capacity to present antigens to naïve T-cells and inducing different immunological responses.[29-31]. Thus, to understand how particle size might affect DC-mediated immune responses, we incubated immature DCs with NCs and NPs (1 to 25 µg/mL of gold in complete medium) for 48 hours. The maturational status of DCs was then evaluated using flow cytometry-based detection of CD80 and CD86 surface antigens. Positive (lipopolysaccharide; LPS) and negative (free GSH ligand in eq. ligand concentration) controls were also included. Results depicted in Figure 2a show that incubation with NPs significantly increased the expression of both CD80 and CD86 in a dose dependant manner, suggesting the induction of DC maturation. In contrast, no DC maturation i.e. no increase in expression above the MI>2 threshold, was seen for cells cultured in the presence of NCs or with free ligand alone. We were surprised to observe no significant increase in DC maturation following incubation with NCs, especially as they were more strongly uptaken than NPs. Recent studies have shown that particle uptake does not always correlate with functional changes in human dendritic cells in vitro[32, 33]. Dissimilarities between the two classes of particle might be related to differences in the endocytic pathways involved in their uptake. Further studies will be required to understand the molecular basis for the different interactions between DCs and NCs/NPs.

DC maturation tends to induce high levels of MHC molecules and the secretion of sets of cytokines that play a key role in activating naïve T cells and the launch of primary immune responses[8]. To further characterise DC maturation following incubation with NPs or NCs, we measured the pattern of cytokine release into the culture supernatant in each case. Our analysis of cytokine production (IL12, IFNγ, IL4, IL13, IL10, IL17), depicted in Figures 2b and S6, detected significant increases in IL12, IFNγ and IL10 in response to NPs, in a dose dependent manner. Elevated expression of IL12 and IFNγ suggest that NPs induce an inflammatory DC response[20] while no significant cytokine production was detected using NCs or free ligand consistent with the absence of DC maturation following these treatments.

Our finding that particle size affects DC maturation suggests that it may also affect subsequent steps in the immunological response, where mature DCs interact with lymphocyte sub-populations. To examine this possibility we examined how DCs incubated with NCs or NPs can subsequently induce changes in lymphocyte proliferation and activity in terms of cytokine production. Different T lymphocyte and NK cell subpopulations have been reported to shape immunological responses in different ways. T helper cells can be divided into Th1, Th2, Th17 and Treg subpopulations depending on their cytokine production[34]. Th1 cells have been implicated in responses to infectious and inflammatory disorders[35]. Th2 cells are essential for the induction of allergy and asthma[36]. Th17 cells are related to neutrophil activation and implicated in several autoimmune diseases[34, 36]. Treg cells are involved in the regulation of inflammatory effector cells. Moreover, two NK cell subpopulations have been described: i) CD56dim cells (the main NK population in peripheral blood) expressing high levels of CD16 and perforin, which mediate cytotoxic activity, and ii) CD56bright cells usually associated with the inflammatory NK population[37] that produces high levels of IFNγ[38].

In this work, we carried out co-culture experiments where T and Natural Killer (NK) cell populations were incubated with NC or NP-pre-treated DCs to determine their antigen presenting activity by measuring proliferation and cytokine release. DCs were pre-incubated with NCs, NPs or free ligand at different concentrations (1 to 25 µg gold/mL in complete medium or equivalent ligand concentrations), washed and then co-cultured over 6 days. No significant cytotoxicity was observed regardless of the particle concentration used (Figure S4). Lymphocyte proliferation, expressed as a proliferation index (PI), was evaluated by measuring the frequency of cells with reduced levels of a fluorescent tracer ($CFSE^{dim}$ cells) together with specific subpopulation markers. Results indicated increases in the PI of T lymphocytes ($CD3^+$ $CFSE^{dim}$), T helper ($CD4^+$ $CFSE^{dim}$) and NK ($CD56^+$ $CFSE^{dim}$) cells following incubation with high NP concentrations (Figure 3a). No proliferative response increases (PI<2) were detected for cytotoxic T cells ($CD8^+$) incubated with NP-treated DCs, or following co-culture with DCs treated with NCs or free GSH.

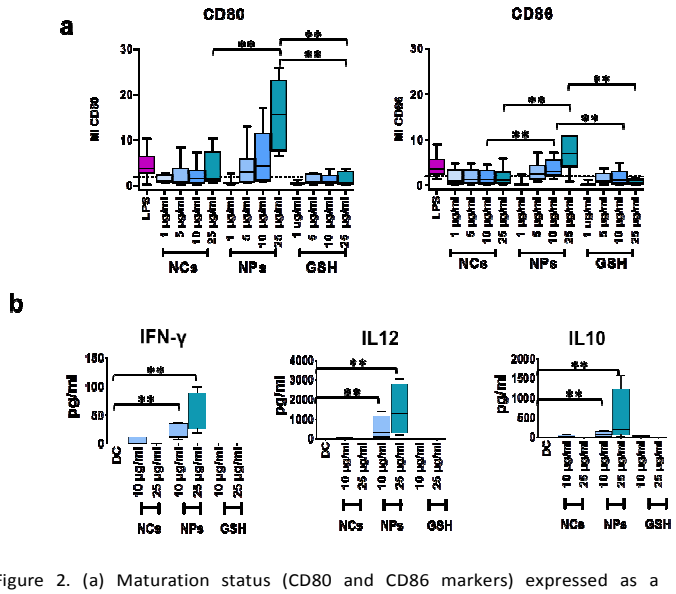

Figure 2. (a) Maturation status (CD80 and CD86 markers) expressed as a maturation index (MI), and (b) cytokine secretion* (IFN-γ, IL12, IL10) of DCs incubated with NCs, NPs, free GSH (1 to 25 µg/mL in complete medium) and LPS (Lipopolysaccharide) as positive control for 48h.* - tested at 10 and 25 µg/mL of NCs, NPs, or eq. conc. of free GSH. Statistical comparisons were performed using the Wilcoxon test for related samples. The Bonferroni correction was applied for comparison of three groups. Statistical differences were considered significant when p<0.025.

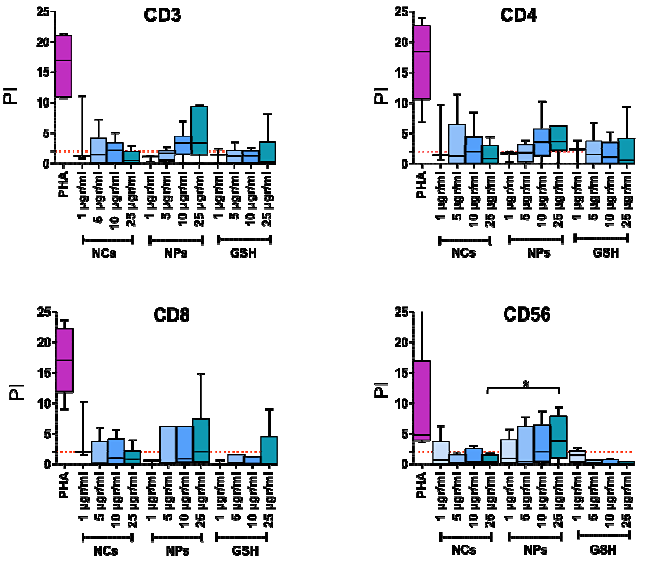

Figure 3. Proliferative responses of different lymphocyte subpopulations (CD3, CD4, CD8, and CD56 markers), expressed as a proliferation index (PI), with Peripheral Blood Mononuclear Cells (PBMCs) + DCs incubated with NCs, NPs or GSH (1 to 25 µg/mL in complete medium) for 6 days. Statistical comparisons were performed using the Wilcoxon test for related samples. The Bonferroni correction was applied for comparison of three groups. Statistical differences were considered significant when p<0.025.

To better understand the immunological effect of NP-stimulated DCs, we set out to identify the different subpopulations involved in T and NK cell proliferation. Our results indicate non-specific proliferative responses by Treg and Th17 populations after stimulation with the different particles since no differences in their proliferative response were found compared to GSH-stimulated cells (Figure S7).

The production of IFNγ by lymphocytes not secreting IL4 and IL13 (Figure S6) following the presentation of NP pre-treated DCs could also be associated with the induction of a proliferative CD4 sub-population corresponding to a cell-mediated response (Th1) and matches the cytokine release observed during NP-induced DC maturation.

Regarding NK cells, significantly increased proliferation of the $CD56^{bright}$ NK subpopulation combined with elevated IFNγ production was observed in response to co-culture with NP-treated DCs in a dose dependent manner (Figures 4a, b). Importantly, there was no increase in Granzyme B secretion, a cytotoxic mediator released from cytoplasmic granules in cytotoxic T and NK cells, following NP-treated DC co-culture (Figure 4c). These two observations are consistent with the presence of high levels of inflammatory NK cells ($CD56^{bright}$).

Our lymphocyte proliferative data indicate that the increase in Th1 lymphocytes and $CD56^{bright}$ NK cells is related to the production of IFNγ and IL12 combined with undetectable levels of IL4 and IL13 (Figure 2b and S6) following NP stimulation. These results suggest that NP treatment promotes innate immunity-type responses from NK cells.

The results obtained in this work are in agreement with a previous study suggesting crosstalk between innate and adaptive immune systems involving interactions between DCs and NK cells[39]. This concept is supported by the demonstration that DCs can activate NK cells, while activated NK cells can influence DC maturation or direct their cytotoxic-effects specifically towards immature DCs, which would hamper tolerant responses[40, 41]. Thus, crosstalk between DC and NK cell populations is likely to be a key factor in influencing the balance between tolerant and immunologic responses.

By employing the same surface chemistry for both particles, this study clearly demonstrates the strong influence of particle size on DC uptake, DC maturation, the proliferative response of T lymphocytes and the presence of inflammatory NK cells.

The ultra-small particles (NCs, ∅~2nm) were efficiently uptaken by DCs but did not induce maturation or lymphocyte proliferation. These observations seem to agree with studies demonstrating high passive targeting and clearance of NCs stabilised with GSH[17, 42]. The presence of functional GSH carboxyl groups on the NC surface should enable the conjugation of biomolecules such as antigens and, in the absence of carrier-associated immunogenic reactions, represents a good candidate antigen delivery system. In contrast, the slightly bigger nanoparticles (NPs, ∅~12nm) caused DC maturation and T lymphocyte proliferation associated with cell-mediated immunity-type responses and the production of inflammatory NK cells in a dose dependent manner. These results are of considerable interest as this subpopulation is a potential target for anti-tumour immunotherapy, inflammatory response limitation and the treatment of autoimmune disorders[43]. Indeed, this NK cell sub-type represents a relatively small proportion of Peripheral Blood Mononuclear Cells (PBMCs) but presents the advantage of being one of the most efficient cytokine producers[37] and is able to shape the

adaptive response. Indeed, Caliguri et al. undertook a comprehensive investigation of the role of NK sub-types, demonstrating the unique innate immunoregulatory role of the CD56^{bright} subpopulation by producing specific types of cytokines[20]. Because lowered NK activity in peripheral blood has been associated with elevated cancer risk in patients, strategies involving enhancement of NK cells for anti-tumour treatment are currently being investigated [44, 45].

As previously discussed by Drobrovolskaia et al.[46], it remains difficult to demonstrate clear relationships between the physicochemical properties of particles and their effects on immune responses due to the complex interactions between cytokines and numerous other cellular processes such as multiple cell uptake mechanisms. Different particle types have been shown to elicit Th1 responses but the contribution of inflammatory NK cells accompanied by high IFNγ levels in response to 12nm nanoparticles potentially opens new avenues for immunotherapeutic treatments of cancer or infections.

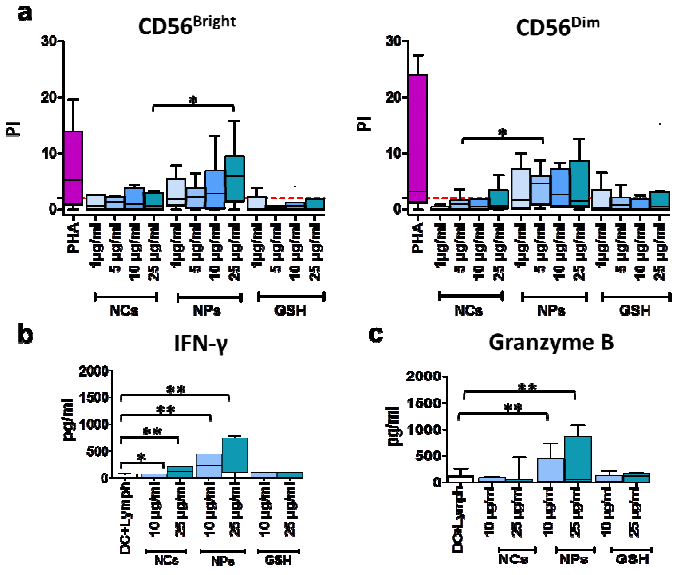

Figure 4. (a) Proliferative responses of different lymphocyte NK subpopulations (CD56^{dim} and CD56^{bright} cells); (b) Cytokine INFγ secretion levels during the proliferative lymphocyte experiments*, (c) Granzyme B assay on peripheral blood lymphocytes*. Proliferation positive control: PHA: Phytohemagglutinin.* only at 10 and 25 µg/mL of NCs, NPs, and eq. free GSH. Statistical comparisons were performed using the Wilcoxon test for related samples. The Bonferroni correction was applied for comparison of three groups. Statistical differences were considered significant when p<0.025.

Conclusions

In summary, we have shown how particle size in a narrow size range (1 to 15 nm) strongly influences cell uptake and immune responses with ultra-small size (<2 nm) leading to high cellular uptake without DC maturation and therefore lymphocyte proliferation. In contrast, bigger particles (12 nm) elicited DC maturation with a cell-mediated immunity pattern (Th1) and the proliferation of T helper lymphocytes in a concentration dependent manner. Moreover, we found that 12 nm NPs induced the proliferation of inflammatory NK cells with high levels of pro-inflammatory cytokine IFNγ secretion, suggesting that it might represent a tool for shaping and influencing adaptive immunity to fight cancer or infectious diseases. Our results underline the importance of examining different particle sizes in the 1-15 nm nanometric window in order to fine tune their effects on the immune system.

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Electronic Supplementary Information (ESI) available: (synthesis and characterisation of the nanomaterial, experimental protocols, fluorescence microscopy, cytotoxicity and cytokine experiments). See DOI: 10.1039/c000000x/

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