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Graphic abstract



1	N-3-oxo-octanoyl-homoserine lactone as a promotor to improve the					
2	microbial flocculant production by an exopolysaccharide					
3	bioflocculant-producing bacterium Agrobacterium tumefaciens F2					
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20 Abstract

This study showed that Agrobacterium tumefaciens F2 can produce 21 22 *N*-3-oxo-octanoyl-homoserine lactone (3-oxo-C8HSL), а type of the 23 N-acyl-homoserine lactone (AHL) class of microbial quorum-sensing signaling molecule. After the addition of exogenous 3-oxo-C8HSL, the exopolysaccharide 24 25 concentration of microbial flocculants improved by 1.4 times. Fermentation conditions for adding 3-oxo-C8HSL were further optimized by response surface 26 methodology. The optimal fermentation conditions were 0.22 µM 3-oxo-C8HSL at 27 30.36 °C and initial pH of 8.11. The corresponding exopolysaccharide concentration 28 29 reached 556.49 mg/L, and the flocculating rate was 91.54%. The microbial flocculant production increased by 1.55 times and flocculation efficiency was increased by 10.96% 30 31 under these fermentation conditions. Results demonstrated that the microbial 32 flocculant production was regulated by AHL-mediated quorum sensing mechanisms 33 in A. tumefaciens F2.

Keywords: Microbial flocculants, Quorum sensing, *N*-acyl-homoserine lactone,
 Exopolysaccharides production, Production efficiency

1. Introduction

38 Quorum sensing (QS) can coordinate gene expression and regulate different bacterial population density-dependent behaviors.¹ This process is performed through 39 the detection of concentration of auto-inducers, which are extracellular signaling 40 molecules produced by bacteria. A wide range of behavior is affected by 41 N-acyl-homoserine lactone (AHL)-mediated QS regulation, including swarming 42 motility, biofilm formation, stress survival, horizontal DNA transfer, and the synthesis 43 of colonization and exopolysaccharides.² Exopolysaccharide production of some 44 bacterial species was initiated by auto-inducers, and their production demonstrated 45 AHL-mediated OS dependence.^{3,4} The formation of extracellular polymeric 46 substances, such as exopolysaccharides, was induced by three auto-inducers, namely, 47 N-3-oxohexanoyl-homoserine lactone (3-oxo-C6HSL), N-hexanoyl-homoserine 48 lactone (C6-HSL), and N-3-oxo-octanoyl-homoserine lactone (3-oxo-C8HSL).⁵ Tan et 49 al.⁶ observed that exopolysaccharide production was increased by add-back AHLs and 50 51 induced in a signal concentration-dependent manner. Therefore, exopolysaccharide 52 production is directly related to AHL-mediated QS and can be improved by the induction of signaling molecules. 53

Microbial flocculants are environment-friendly functional materials used to 54 treat domestic sewage and industrial wastewater. However, the low yield and weak 55 activities of such microbial flocculants restrict their practical application.⁷ Microbial 56 57 flocculants produced by microorganisms are classified as biodegradable polymers, containing proteins, polysaccharides, and lipids. The concentration of the main active 58 ingredient of these flocculants is of great importance to their yield and activities.⁸ 59 However, few reports exist on improving the production of microbial flocculants 60 through microbial activity regulation. 61

62	Agrobacterium tumefaciens F2 in our laboratory is an exopolysaccharide
63	bioflocculant-producing bacterium with high flocculating activity in a variety of
64	wastewater. Several studies on A. tumefaciens have demonstrated that AHL-mediated
65	QS mechanisms could regulate the bacterial fitness and pathogenesis, and this
66	AHL-mediated QS was strongly induced by 3-oxo-C8HSL in A. tumefaciens. ^{9,10}
67	However, few studies exist on the regulation of AHL-mediated QS upon microbial
68	flocculant production by A. tumefaciens. Through the genome sequence of A.
69	tumefaciens F2, the genes related to the LuxR-family regulators were found in the
70	genome of strain F2, which play important roles in AHL-mediated QS. ¹¹ This
71	evidence preliminarily proves that the phenotypes of A. tumefaciens F2 are regulated
72	by AHL-mediated QS.

In this study, 3-oxo-C8HSL as an auto-inducer was used to produce microbial flocculants. The role of 3-oxo-C8HSL in microbial flocculant production by strain F2 was confirmed. Furthermore, the optimal fermentation to add 3-oxo-C8HSL was determined. Overall, a novel fermentation method to improve the production of microbial flocculants was provided.

78 2. Materials and methods

79 2.1 Fermentation conditions

Microbial flocculant-producing bacterium *A. tumefaciens* F2 was isolated from soil and deposited in the China General Microbiological Culture Collection Center (Accession no. 10131). The main active components of the microbial flocculants produced by strain F2 were exopolysaccharides.

Seed liquid was created by inoculating *A. tumefaciens* F2 into 100 mL of flocculation medium in a shaker at 140 rpm for 30 h at 30 °C based on our previous report.¹² Afterward, 5 mL of seed liquid was fermented into the flocculation medium

(1)

under the same conditions. The flocculation medium was composed of the following
ingredients: 0.0556 mol/L glucose, 0.0287 mol/L K₂HPO₄, 0.0147 mol/L KH₂PO₄,
0.0017 mol/L NaCl, 0.0083 mol/L urea, 0..0028 mol/L yeast extract, and 0.0008
mol/L MgSO₄·7H₂O at pH 7.2 to 7.5.

91 **2.2 Analysis of production efficiency**

After 30 h, 20 mL of fermentation broth was centrifuged at 12,000 $\times g$ for 5 min to remove cell pellets. Up to 40 mL of cold ethanol (4 °C) was added to the supernatant. Crude microbial flocculant precipitate was extracted after 2 h and redissolved in 20 mL of water.

96 To investigate the efficiency of the microbial flocculant production, 97 crude-fermented liquid extract was used to analyze the exopolysaccharide 98 concentration and flocculation efficiency. The exopolysaccharide concentration was determined by phenol–sulfuric acid assay with glucose as standard.¹³ The flocculation 99 efficiency was evaluated using the modified method of Kurane et al.¹⁴ Up to 10 mL of 100 101 crude fermented liquid extract and 1.5 mL of 10% CaCl₂ solution were added into a 5 102 g/L kaolin clay suspension. The pH of this suspension was adjusted to 7.5 based on 103 our previous studies 12, and the mixture was stirred at 160 rpm for 120 s and then 40 104 rpm for 120 s. The solution was allowed to settle for 20 min, and the turbidity of the 105 sample was subsequently determined using a spectrophotometer at 550 nm. A control 106 test (without added microbial flocculants) was performed under the same conditions. 107 The flocculation efficiency was calculated using Eq. (1):

 $\frac{A-B}{A} imes 100\%$

where A is the absorbance of the control group at 550 nm, and B is the absorbance of the sample at 550 nm.

111 **2.3 AHL detection**

112 Agrobacterium tumefaciens F2 was grown to the early stationary phase. Cells were removed from 1000 mL of fermentation medium by centrifugation at 12,000 $\times g$ 113 for 10 min. AHLs were then extracted from the fermentation broth supernatants with 114 three equal volumes of ethyl acetate.¹⁵ The extracts were dried using a 115 116 pressure-blowing concentrator and redissolved in 2 mL of acetonitrile (50%) before 117 analysis by ultra-performance liquid chromatography-tandem mass spectrometry 118 (UPLC-MS/MS). To determine whether A. tumefaciens F2 can produce signal molecule 3-oxo-C8HSL, the synthetic 3-oxo-C8HSL (>96%, sigma) dissolved in 2 119 120 mL of acetonitrile (100%) was analyzed by UPLC-MS/MS as the standard 121 monitoring profile.

122 Waters ACQUITY UPLC instrument (Waters Corporation, Milford, MA, USA) 123 was used to chromatograph the samples. Solvent B (water with 0.5% formic acid) and 124 solvent A (acetonitrile) were used as mobile phases at a flow rate of 0.15 mL/min. 125 The gradient was increased linearly from 50% (v/v) acetonitrile/50% (v/v) water-126 formic acid to 20% (v/v) acetonitrile/80% (v/v) water-formic acid, followed by 5% 127 (v/v) acetonitrile/95% (v/v) water-formic acid. Waters ACQUITY TQD mass spectrometer (Waters Corporation, Milford, MA, USA) equipped with electrospray 128 129 ionization was employed with MassLynx MS software version 4.1. Positive-ion 130 polarity mode was used to ionize the compound, and multi-reaction monitoring mode was employed to perform specific analyses.¹⁶ 131

132 **2.4 Experimental matrix**

The effects of temperature and pH on microbial flocculant production with added 3-oxo-C8HSL was studied, and the fermentation conditions was designed based on the optimal fermentation conditions of strain F2 in our previous studies. Exogenous 3-oxo-C8HSL dissolved in dimethyl sulphoxide was added to the flocculation

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137 medium with final concentrations of 0.1, 0.2, 0.3, 0.4, and 0.5 μ M. A control sample 138 without added exogenous 3-oxo-C8HSL was prepared. Samples with 0.2 µM exogenous 3-oxo-C8HSL were fermented at 15 °C, 20 °C, 25 °C, 30 °C, and 35 °C to 139 determine the effects of temperature on microbial flocculant production with added 140 141 3-oxo-C8HSL. Samples without added 3-oxo-C8HSL were also fermented at different 142 temperatures and used as controls. The initial pH of the samples was 7.5. The pH 143 values of the samples with $0.2 \,\mu$ M exogenous 3-oxo-C8HSL were adjusted to 5.0, 6.0, 7.0, 8.0, and 9.0 to determine the effect of pH on microbial flocculant production with 144 145 3-oxo-C8HSL. Samples without 3-oxo-C8HSL at different pH values were used as 146 controls. All samples were incubated at 30 °C.

147 After all the samples were fermented for 30 h, the exopolysaccharide148 concentration and flocculation efficiency of the samples were determined in triplicate.

149 **2.5 Optimization of fermentation conditions**

150 Response surface methodology (RSM) was employed to optimize further the 151 fermentation conditions with added 3-oxo-C8HSL. The optimum variables were 152 culture temperature, initial pН of flocculation medium. and added 153 3-oxo-C8HSL concentration. The levels of these three parameters were determined in 154 accordance with previous single-factor experiments. Box-Behnken Design (BBD) was 155 used to design 17 groups of independent experiments, and the maximum 156 exopolysaccharide concentration was predicted by Design-Expert.8.05b Trial Version. The relationships between the independent variables and response, as well as between 157 the coded and actual values were calculated using previously described equations.¹⁷ 158

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$$Y_i = b_0 + \sum_{1}^{4} b_i X_i + \sum_{1}^{4} b_{ii} X_i^2 + \sum_{1}^{4} i \sum_{1}^{4} j b_{ij} X_i X_j$$
(2)

where Y_i is the predicted response, X_i , X_j are input variables; b_0 is the offset term; b_i is the *i*th linear coefficient; b_{ii} is the quadratic coefficient and b_{ij} is the *ij*th interaction

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162 coefficient.

163 **2.6 Statistical analysis**

Data were statistically analyzed through one-way ANOVA in SPSS version 17.0, and significant differences were evaluated through least significant difference test. Differences were considered significant at p < 0.01.

3. Results and Discussion

168 **3.1 Identification of AHLs**

169 Analysis by UPLC-MS/MS was performed on extracted fermentation broth 170 supernatants from A. tumefaciens F2 in comparison with that of synthetic 3-oxo-C8HSL standard. As shown in Fig. 1, the chromatographic retention times of 171 the 3-oxo-C8HSL standard and extracted samples were consistent with the same m/z172 of 242.¹⁵ The results showed that 3-oxo-C8HSL was detected in extracted 173 174 fermentation broth supernatants, and A. tumefaciens F2 can produce 3-oxo-C8HSL as 175 an auto-inducer. This results definitely proves that the phenotypes of A. tumefaciens 176 F2 can be regulated by AHL-mediated QS.

177 **3.2 Effects of 3-oxo-C8HSL dosage**

Various 3-oxo-C8HSL dosages were used to study the influence of 178 179 3-oxo-C8HSL on microbial flocculant production. Exopolysaccharide concentration and flocculation efficiency were measured to evaluate the efficiency of microbial 180 181 flocculant production. Fig. 2 shows a significant improvement in exopolysaccharide 182 concentration and flocculation efficiency (p < 0.01) in all dosages compared with the 183 control, suggesting a key role of the exogenous 3-oxo-C8HSL in microbial flocculant 184 production. The exopolysaccharide concentration and flocculation efficiency reached 185 the maximum values (519.36 mg/L, 90.11%) by adding 0.2 μ M 3-oxo-C8HSL. The exopolysaccharide concentration and flocculation efficiency improved by 1.4 times 186

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and 10.11%, respectively, compared with the control. Therefore, the microbial 187 188 flocculant production of A. tumefaciens F2 can be induced by 3-oxo-C8HSL, and a 189 large increase in microbial flocculant production efficiency of A. tumefaciens F2 190 occurred with added exogenous 3-oxo-C8HSL.

191 QS can regulate different bacterial population density-dependent behaviors of 192 some bacterial species and this process is performed through the detection of 193 concentration of auto-inducers, which are extracellular signaling molecules produced by bacteria. The regulation of bacterial population density-dependent behaviors was 194 195 induced when the auto-inducers reached a threshold concentration. Therefore, the 196 microbial flocculant production can be induced with the increase of concentration of 197 auto-inducer through the addition of exogenous 3-oxo-C8HSL, and the 198 exopolysaccharide concentration and flocculation efficiency was improved in 199 different dosages. In our study, microbial flocculant production was induced when the 200 added exogenous C6-HSL reached a threshold concentration (0.2 μ M). However, 201 microbial flocculant production can be induced by all 3-oxo-C8HSL dosages because 202 the threshold concentration of 3-oxo-C8HSL was lower than 0.1 µM.

203 **3.3 Effects of pH and temperature**

204 The effects of fermentation conditions with added 3-oxo-C8HSL are observed in cell growth and stability of signal molecules.^{18,19} The effects of initial fermentation 205 pH and fermentation temperature on microbial flocculant production with added 206 207 3-oxo-C8HSL were analyzed. Fig. 3 shows various levels of increase in the microbial 208 flocculant production under different fermentation temperatures. Compared with the 209 control, the exopolysaccharide concentration was improved with added 3-oxo-C8HSL 210 between 20 °C and 35 °C (p<0.01). In particular, the exopolysaccharide concentration 211 and flocculation efficiency reached their highest values when the temperature was

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was 30 °C through SPSS analysis.

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30 °C (p < 0.001). The result showed that the improvement on the microbial flocculant

production with added 3-oxo-C8HSL reached the highest value when the temperature Our previous studies demonstrated that exopolysaccharide concentration with added C6-HSL no longer significantly improved (p>0.01) at 35 °C because AHLs

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were more prone to hydrolysis at high temperatures.^{20,21} The concentration of 217 218 auto-inducer was below the threshold concentration (0.2 μ M) when the C6-HSL was 219 hydrolysis at 35 °C. Therefore, the exopolysaccharide production was not induced at 220 35 °C. However, Delalande et al. found that short-chain AHLs underwent hydrolysis easier than long-chain AHLs with temperature changes.²² Moreover, the threshold 221 222 concentration of 3-oxo-C8HSL was so low (below 0.1 μ M) that the concentration of 223 auto-inducer may still reach the threshold concentration when the 3-oxo-C8HSL was hydrolysis in part at 35 °C. Therefore, the microbial flocculant production was 224 225 improved with added 3-oxo-C8HSL at high temperatures (35 °C).

226 The microbial flocculant production showed various degrees of increase at different pH values (Fig. 4). Compared with the control, samples with added 227 228 3-oxo-C8HSL showed significantly improved (p < 0.001) exopolysaccharide 229 concentration and flocculation efficiency in the pH range of 5.0 to 8.0. However, this 230 promotion was weakened at pH 9.0 (p<0.01) through SPSS analysis. The main reason is that AHLs are unstable in alkaline environment.²³ The highest values of 231 232 exopolysaccharide concentration and flocculation efficiency with added 233 3-oxo-C8HSL were observed when the pH value was 8.0.

234 **3.4 RSM analysis**

235 As an auto-inducer, 3-oxo-C8HSL was used to ferment microbial flocculants and 236 improve their yield. To optimize the fermentation conditions, BBD was used to

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maximize the exopolysaccharide concentration of microbial flocculants produced by 237 238 strain F2. The BBD plan in the coded levels of the three independent variables is 239 shown in Table 1, and the experimental data are listed in Table 2.

Model accuracy was verified by ANOVA (Table 3). ANOVA of the quadratic 240 model shows the model *F*-value of 10.17 and model *P*-value of 0.0029, indicating that 241 the model was significant. The coefficient of determination (R^2) was 0.9290, which 242 243 signified that the quadratic model was reliable; a variation of almost 93% can be explained by this model. Moreover, the value of adjusted determination coefficient 244 (adjusted $R^2 = 0.8376$) indicated that the value of exopolysaccharide concentration 245 246 was in accordance with the experimental value. The exopolysaccharide concentration 247 (mg/L) Y can be explained by the following second-order polynomial equation:

Y = 540.71 + 12.14A + 15.04B + 40.17C - 12.79AB - 4.86AC + 15.33BC - 4.86AC + 15.34C + 1248 $21.43A^2 - 41.51B^2 - 38.99C^2$ 249

As shown in Table 3, the variables C, B^2 , and C^2 were significant factors 250 (p < 0.05). The concentration of added 3-oxo-C8HSL (factor C) was the most 251 significant factor for the exopolysaccharide concentration (p < 0.001). This finding 252 demonstrated the importance of the addition of 3-oxo-C8HSL in microbial flocculant 253 254 production.

255 When all other variables were maintained at their central levels, the relative 256 effects and interactions of the various components of the fermentation conditions can 257 be analyzed, and the exopolysaccharide concentration can be predicted by 3D 258 response surfaces and contour plots (Fig. 5). As shown in Fig. 5, the interaction 259 between the various components of the fermentation conditions was negligible. The 260 temperature-pH contour plot (Fig. 5a) showed that the optimum exopolysaccharide concentration occurred when the temperature ranged from 29 °C to 31 °C and the 261

initial fermentation pH was 8.0 to 8.25. The increase in exopolysaccharide concentration also occurred when the concentrations of the addition of 3-oxo-C8HSL and initial fermentation pH were between 0.15 and 0.225 μ M and between 7.5 and 8.25, respectively (Fig. 5b). As shown in Fig. 5c, the maximum exopolysaccharide concentration occurred when the concentrations of added 3-oxo-C8HSL and the fermentation temperatures were between 0.20 and 0.25 μ M and between 30 °C and 31 °C, respectively.

269 **3.5 Experimental verification**

270 The quadratic model predicted that the maximum exopolysaccharide 271 concentration was 553.86 mg/L, and the optimal fermentation conditions were initial 272 pH of 8.11, 30.36 °C temperature, and 0.22 µM 3-oxo-C8HSL concentration. An 273 experimental verification was performed in triplicate and independently three times, 274 and the corresponding exopolysaccharide concentration was 556.49 ± 22.54 mg/L. 275 The relative deviation between the predicted values and experimental values was 0.33% 276 indicating a good agreement between the predicted and experimental results. 277 Microbial flocculant production increased by 1.55 times compared with the control under these conditions. At this condition, the flocculation efficiency was 91.54%, 278 279 which increased by 10.96% compared with the control. However, the flocculation 280 efficiency hardly increased compared with the result of single-factor experiment, because flocculation activity increases as exopolysaccharides concentration increases 281 to a certain limit.²⁰ Overall, the active ingredient concentration of microbial 282 283 flocculants and microbial flocculant production were significantly improved by 284 adding 3-oxo-C8HSL after the optimization of fermentation conditions.

4. Conclusions

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A. tumefaciens F2 can produce 3-oxo-C8HSL as a signal molecule to regulate

287 microbial activity. Microbial flocculant production was improved by adding 288 exogenous 3-oxo-C8HSL because microbial flocculant production of strain F2 was 289 reduced by exogenous 3-oxo-C8HSL. The exopolysaccharide concentration was 290 significantly improved by adding 3-oxo-C8HSL between 20 °C and 35 °C and at the pH range of 5.0 to 9.0. Long-chain AHLs were stable as the temperature and pH 291 292 changed. The microbial flocculant production efficiency significantly increased at 293 optimal fermentation conditions. Overall, the results demonstrated the existence of QS 294 mechanisms in A. tumefaciens F2, as well as the important role of AHLs in microbial 295 flocculant production. This fermentation method of adding 3-oxo-C8HSL under optimal fermentation conditions will provide significance in the application of 296 microbial flocculants. 297

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Fig. 1. LC-MS chromatogram of the synthetic 3-oxo-C8HSL standards (a) and	
extracted sample from A. tumefaciens strain F2 (b)	
Fig. 2. Effect of different concentration of 3-oxo-C8HSL on exopolysaccharides	
concentration and flocculating rate	
One-way ANOVA was performed to compare each experimental group to the control	io
group where significant differences are indicated as follows: $p<0.01$ and $p<0.001$.	CC
Fig. 3. Effect of fermentation temperature on exopolysaccharides concentration and	Sn
flocculating rate	an
One-way ANOVA was performed to compare each experimental group to the control	Σ
group respectively where significant differences are indicated as follows: $p<0.01$ and	ed
** <i>p</i> <0.001.	pt
Fig. 4. Effect of initial fermentation pH on exopolysaccharides concentration and	CG
flocculating rate	D C
One-way ANOVA was performed to compare each experimental group to the control	S
group respectively where significant differences are indicated as follows: $p<0.01$ and	Ce
** <i>p</i> <0.001.	an
Fig. 5. The response surface plot and contour plot of exopolysaccharides	
concentration:	A
(a) show the effects of initial fermentation pH (factor A) and fermentation	0
temperature (factor B); (b) show the effects of initial fermentation pH (factor A) and	Ŕ
the concentration of addition 3-oxo-C8HSL (factor C) and; (c) show the effects of	
fermentation temperature (factor B) and the concentration of addition 3-oxo-C8HSL	
(factor C) on exopolysaccharides concentration; .	

372 **Tables**

Symbol	Factor	Unit	-Levels	Center	+Levels
A	initial fermentation		-1(7.5)	0(8.0)	1(8.5)
	pН				
В	fermentation	°C	-1(28)	0(30)	1(32)
2	temperature		1(20)	0(20)	-()
	the concentration of				
С	addition of	μΜ	-1(0.15)	0(0.2)	1(0.25)
	3-oxo-C8HSL				

Table 1 Conditions and levels of the optimization design

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376	Table 2	The	second	design	with	experimental	values	and	predicted	values	of

No.	Factor A	Factor B	Factor C	Exopolysaccharides concentration(mg/L)		
				Experimental	Predicted	
1	-1	-1	0	452.3	437.8	
2	1	-1	0	487.3	487.67	
3	-1	1	0	493.83	493.46	
4	1	1	0	477.65	492.16	
5	-1	0	-1	400.65	423.12	
6	1	0	-1	449.52	457.13	
7	-1	0	1	520.78	513.18	
8	1	0	1	550.20	527.73	
9	0	-1	-1	428.30	420.34	
10	0	1	-1	441.87	419.76	
11	0	-1	1	447.91	470.02	
12	0	1	1	522.78	530.75	
13	0	0	0	540.57	540.71	
14	0	0	0	538.70	540.71	
15	0	0	0	541.78	540.71	
16	0	0	0	539.29	540.71	
17	0	0	0	543.22	540.71	

377	exopolysaccharides	concentration
	•	••••••••••••••••

Source	D.F.	SS	Mean square	<i>F</i> -Value	P-Value
Model	9	34836.47	3870.72	10.17	0.0029
А	1	1178.87	1178.87	3.10	0.1218
В	1	1809.19	1809.19	4.75	0.0656
С	1	12906.70	12906.70	33.91	0.0006
AB	1	654.69	654.69	1.72	0.2310
AC	1	94.60	94.60	0.25	0.6334
BC	1	939.55	939.55	2.47	0.1601
A ²	1	1934.29	1934.29	5.08	0.0588
B^2	1	7253.41	7253.41	19.06	0.0033
C^2	1	6400.10	6400.10	16.82	0.0046
Residual	7	2664.07	380.58		
Total	16	3500.54			

380	Table 3 ANOVA	for the ex	periments
	14010 0 1 11 10 11 1	101 0110 011	p • • • • • • • • • • • • • • • • • • •

381 D.F.: degrees of freedom; SS: sum of squares.





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Fig. 2



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398 Fig. 3





