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# ARTICLE



# Electrochemical reduction of carbon dioxide to formate with a Sn cathode and an $Ir_xSn_vRu_zO_2/Ti$ anode

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Electrochemical reduction of  $CO_2$  to formate has been studied extensively, and its most studies have focused on the faradaic efficiency for producing formate. However, the energy efficiency is also critical for possible industrialization of the process, as it specifies the energy recovered in the product. Here, we report that the energy efficiency is 35.6% when a Pt electrode is used as the anode, and it can increase to 42.1% when an Ir<sub>s</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti electrode with lower overpotential for oxygen evolution reaction is used as the anode, in spite of their faradaic efficiencies for producing formate are very close (85.1% and 84.8%). When the Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti electrode coated with Nafion membrane is used as the anode, the faradaic efficiency can maintain at 79.6% through a long time electrolysis of  $CO_2$  for 500 C.

#### Introduction

In the past decades, a significant increase in  $CO_2$  emission has occurred due to the industrial combustion of fossil fuels.<sup>1</sup> To reduce our dependence on the fossil fuel, renewable energy sources, such as solar and wind energies, are growing up rapidly. These renewable energies are difficult to be merged into the electricity grid due to their intermittent nature, therefore, their further expansion is highly limited by the availability of efficient energy storage and conversion techniques.<sup>2</sup> Electrochemical reduction of  $CO_2$  to fuels and chemical feedstocks, powered by renewable electricity,<sup>3, 4</sup> is an attractive route for simultaneous elimination of  $CO_2$  and storage of renewable energy.<sup>5, 6</sup>

Fig. 1 shows the evolution of publications on electrochemical reduction of CO<sub>2</sub> since the year of 1980 (Sources: Web of science, Thomson Reuters). Over the past few years and especially from the year of 2009, the research on electrochemical reduction of CO<sub>2</sub> has received much attention. To date, various products have been prepared by this way, including formic acid, carbon monoxide, methanol, ethanol and oxalic acid, etc.<sup>7-16</sup> Among them, formic acid or formate (depending on pH) is very attractive because it is a liquid of easy treatment and can be produced in high faradaic efficiency (typically > 80%).<sup>12, 17-19</sup> It is also a fundamental chemical which is widely used in the textile, tanning, rubber processing and pharmaceutical industries.<sup>20</sup> Formic acid could also function as an energy material, as it can be used in direct formic acid fuel cell to produce electricity.<sup>21, 22</sup>

Fig. 1 Numbers of publications dedicated to the research of electrochemical reduction of  $CO_2$  since the year of 1980.

However, to employ the electrochemical reduction of  $CO_2$  as an energy reservoir, the energy efficiency of the process must be examined. To increase the energy efficiency, it has to decrease the overpotential on each electrode.<sup>23</sup> To date, only a few researchers focus on the research of the energy efficiency for electrochemical reduction of  $CO_2$  to formate. Whipple *et al.* designed a microfluidic reactor with a continuous flowing electrolyte for electrochemical reduction of  $CO_2$ , it can achieve a faradaic efficiency of ~90% and an energy efficiency of 45% for formate production on Sn based gas diffusion electrolyte.<sup>24</sup> Hori reported that the energy efficiency of electrochemical reduction of  $CO_2$  to useful products would be roughly 30% to 40% under mild conditions.<sup>25</sup>

Our previous work found that the faradaic efficiency for producing formate can reach 91% when the accumulated concentration of formate in electrolyte is less than 0.01 mol  $L^{-1,26}$ ; but it does not involve a study of energy efficiency. In this paper, we

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<sup>110</sup> 100 90 Number of SCI papers 80 -70 60 50 · 40 -30 -20. 10 0. 1980 1985 1995 2000 2005 2010 2015 1990 Publication time (year)

#### ARTICLE

concern the energy efficiency of the reaction. In this process, the reduction reaction of  $CO_2$  occurs on the cathode and the oxidation reaction of  $H_2O$  occurs on the anode.<sup>27</sup>

Most researchers used Pt as the anode in the research of electrochemical reduction of  $CO_2$ , whereas the Pt electrode has high overpotential for oxygen evolution reaction (OER).<sup>28</sup> A lot of studies have shown that the most efficient electrocatalysts for OER are noble metals and their oxides. The oxides are more stable than pure metals because they cannot be further oxidized. Ruthenium oxide (RuO<sub>2</sub>) is the most active catalyst but its activity is not sufficient for long-term utilization due to the increase of ruthenium oxidation state.<sup>29</sup> Conversely, iridium oxide (IrO<sub>2</sub>) is stable and has also interesting catalytic properties for OER.<sup>28</sup> Out of these, SnO<sub>2</sub> can also be used as a catalyst for OER.<sup>30, 31</sup> In this paper, we utilized an Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti anode to oxidize water. The study about using Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti electrode as anode in electrochemical reduction of  $CO_2$  has not reported before.

#### Experimental

#### **Chemicals and Materials**

Potassium bicarbonate ( $\geq$  99.5%) was purchased from Sinopharm Chemical Reagent Co, Ltd (China). Highly pure nitrogen ( $\geq$  99.99%) and carbon dioxide ( $\geq$  99.99%) were supplied by Nanjing Spring Industrial Gas Plant (China). Tin plate (99.99%, 0.5 mm thickness) and Pt plate (99.99%) were purchased commercially. Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>z</sub>O<sub>2</sub>/Ti plate was purchased from Baoji Yichen Co. (China).

#### **Electrochemical experiments**

All the electrochemical experiments were carried out in an airtight and undivided glass cell equipped with a gas inlet and outlet which is able to pass the gas through the solution. A conventional threeelectrode system was used during the experiments. The working electrode was a Sn plate. A Pt plate (2 cm<sup>2</sup>) or an Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>z</sub>O<sub>2</sub>/Ti plate (2 cm<sup>2</sup>) was chosen as the counter electrode and an Ag/AgCl electrode in saturated KCl was used as the reference electrode. All experiments were performed under ambient pressure and at room temperature (25 ± 2.5 °C).

All the electrochemical measurements were performed with a CS350 electrochemical workstation (Wuhan CorrTest Instrument Co., Ltd., China). Cyclic voltammetry (CV) were performed in 40 mL of 0.1 mol L<sup>-1</sup> KHCO<sub>3</sub> solution at a scan rate of 0.05 V s<sup>-1</sup>. To reveal the true energy input required for this process, the CV was recorded in two-electrode mode (Sn cathode, Pt or Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti anode), which was denoted as Sn-Pt or Sn-Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti. The current density (*j*) is determined on the geometrical area of the electrode.

Electrolysis was performed potentiostatically by holding the cathode potential at -1.8 V vs. Ag/AgCl using a LAND CT2001C cell performance-testing instrument (Wuhan Electronics Co., Ltd., China). The electrolyte used was 40 mL of 0.1 mol L<sup>-1</sup> KHCO<sub>3</sub> aqueous solution, and was saturated with CO<sub>2</sub> before each electrolysis process. CO<sub>2</sub> gas was continuously aerated at a flow rate of 10 mL min<sup>-1</sup> during the electrolysis process. The electrolysis

experiments were terminated when the total charge passed reached 50 C.

#### Analysis and calculations

Scanning electron microscope (SEM) images were taken with a Hitachi S-4800 microscope at an acceleration voltage of 15 kV. X-ray diffraction pattern (XRD) was recorded on a Rigaku D/MAX 2200 diffractometer using Cu K $\alpha$  radiation ( $\lambda$  = 1.54056 Å), scan range from 20 to 80 ° at a scan rate of 0.12 ° s^{-1}.

Formate produced in the electrolyte during the electrolysis was directly analyzed by ion chromatography (ICS-900 Dionex). The column was an IonPac AS11-HC anionic column using 0.02 mol L<sup>-1</sup> KOH as the mobile phase at the rate of 1 mL min<sup>-1</sup>. 10  $\mu$ L of the electrolyte were used for each time.

The faradaic efficiency for the formation of formate (*f*) is calculated as follows:

 $f = 2nF / Q \qquad (1)$ 

where 2 represents the number of electrons required for the formation of one molecule of formate from  $CO_2$ ; *n* is the moles of the formate produced; F is Faraday's constant (96485 C mol<sup>-1</sup> of electrons); and *Q* is the total charge in Coulomb passed across the electrode during the electrolysis.

The energy efficiency is a key factor in determining the economic feasibility of converting  $CO_2$  to fuels by utilizing electricity.<sup>32</sup> The energy efficiency for electrochemical reduction of  $CO_2$  to formate is calculated as follows:

Energy efficiency =  $E^{\circ}f$  / Applied cell potential (2) where  $E^{\circ}$  represents the standard electromotive force of the cell,  $E^{\circ}$  is -1.43 V in this work.

#### **Results and discussion**

Fig. 2 shows the SEM image and the EDS spectrum of the Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>z</sub>O<sub>2</sub>/Ti electrode. It can be seen that the surface of the Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>z</sub>O<sub>2</sub>/Ti electrode is coarse, since the electrode base is Ti plate and covered by the oxides of Ru, Sn and Ir. From the EDS spectrum, it can be seen that the atomic percents of Ru, Sn and Ir are 8.71%, 3.83% and 1.29%, respectively.



Fig. 2 SEM image (a) and EDS spectrum (b) of the surface of the  $\rm Ir_xSn_vRu_2O_2/Ti$  electrode.

Structural characterization of the  $Ir_xSn_yRu_zO_2/Ti$  electrode was performed by XRD analysis, which is shown in Fig. 3. It shows the (100), (002), (101), (102), (110), (103), (112) and (201) diffraction peaks of Ti together with the (110), (101), and (211) peaks of RuO<sub>2</sub>.

No diffraction peaks for  $SnO_2$  and  $IrO_2$  can be observed because of the low contents of  $SnO_2$  and  $IrO_2$  in the surface of  $Ir_xSn_yRu_2O_2/Ti$  electrode.



Fig. 3 XRD pattern of the surface of the  $\rm Ir_xSn_yRu_zO_2/Ti$  electrode.

The anode reaction for electrochemical reduction of  $CO_2$  is OER, which is shown in Eq. (3):

 $2H_2O = O_2 + 4H^+ + 4e^-$  (3)

The electrochemical activities of the Pt electrode and the Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>z</sub>O<sub>2</sub>/Ti electrode towards OER were studied using CV technique. It can be seen from Fig. 4 that the Pt electrode shows poor catalytic activity for OER compared with the Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>z</sub>O<sub>2</sub>/Ti electrode. The Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti electrode produces nearly rectangular curves when the potential sweep range is from 0 to 1.0 V vs. Ag/AgCl, indicating that the Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti electrode has capacitive behavior. The oxidation current density of the OER on Pt electrode at 1.8 V vs. Ag/AgCl is 0.55 mA cm<sup>-2</sup>. At the same current density, the potential of Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>z</sub>O<sub>2</sub>/Ti electrode is around 1.3 V vs. Ag/AgCl.



Fig. 4 CV curves of OER on the Pt electrode and the  $Ir_xSn_yRu_2O_2/Ti$  electrode.

Following our previous work,<sup>26</sup> the electrochemical reduction of CO<sub>2</sub> was carried out at the found optimal electrolysis conditions, that is, the electrolysis potential was -1.8 V vs. Ag/AgCl and the concentration of the KHCO<sub>3</sub> aqueous electrolyte was 0.1 mol  $L^{-1}$ . To calculate the energy efficiency, the value of applied cell potential is needed. So our work must investigate the appropriate applied cell potential between the cathode and the anode without reference electrode. The study of CV was carried out for this purpose, the working electrode is the Sn plate, and the counter electrode is the Pt electrode or the Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>z</sub>O<sub>2</sub>/Ti electrode, the reference electrode is the Ag/AgCl electrode. Firstly, we compared the CV curves shown in Fig. 5 when the anode was the Pt electrode or the Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti electrode, and found that curve (a) is nearly the same with curve (b), the current density at -1.8 V vs. Ag/AgCl is around 3.5 mA cm<sup>-2</sup>. Secondly, curves (c) and (d) are recorded in two-electrode mode (without reference electrode) for obtaining the true energy input required for this process, the terminal cell potentials of curves (c) and (d) stop at the same current density (3.5 mA  $cm^{-2}$ ), and the values are 3.42 V and 2.88 V at 3.5 mA  $cm^{-2}$  as the anodes are Pt electrode and the Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti electrode, respectively. It indicates that the applied cell potential is lower when the anode is the  $Ir_xSn_yRu_zO_2/Ti$  electrode. A pair of redox



peaks is observed for all the CV curves which can be attributed

to the formation and the reduction of tin oxides respectively.<sup>26</sup>

**Fig. 5** CV curves on a Sn electrode with an Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>z</sub>O<sub>2</sub>/Ti electrode (a) or a Pt electrode (b) as counter electrode and an Ag/AgCl electrode as reference electrode for electrochemical reduction of CO<sub>2</sub>. CV curves on a Sn electrode with an Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>z</sub>O<sub>2</sub>/Ti electrode (c) or a Pt electrode (d) as counter electrode for electrochemical reduction of CO<sub>2</sub> recorded in two-electrode mode. The measurements were performed in 0.1 mol L<sup>-1</sup> KHCO<sub>3</sub> solutions after being bubbled with CO<sub>2</sub> for 30 min.

Fig. 6 is the current density curves for electrochemical reduction of  $CO_2$  on Sn cathode at different cell potentials when the Pt electrode or the  $Ir_xSn_yRu_zO_2/Ti$  electrode is used as the anode. Several adjacent cell potentials are selected in

this experiment, the cell potential range is from 3.02 to 3.62 V for the Pt anode, and is from 2.48 to 3.08 V for the  $Ir_xSn_yRu_2O_2/Ti$  anode. For the Pt anode and the  $Ir_xSn_yRu_2O_2/Ti$  anode, each cell potential for the former is 0.54 V higher than that for the latter, and their current densities are nearly the same.

ARTICLE



Fig. 6 Current densities at different cell potentials when use the Pt electrode or the  $Ir_xSn_yRu_2O_2/Ti$  electrode as anode during the electrochemical reduction of  $CO_2$  in 0.1 mol L<sup>-1</sup> KHCO<sub>3</sub> solution.

In this work, we hope to use an activated anode to lower the overpotential for OER. It can be seen from the CV measurements (Fig. 4 and Fig. 5) that, the Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti electrode shows excellent catalytic activity for OER than the Pt electrode, which may improve the energy efficiency of the whole reaction for electrochemical reduction of CO<sub>2</sub>. Fig. 7 is the qualitative reaction scheme for OER on the Pt anode or the Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti anode from the above experiments. The overpotential for OER on Pt electrode ( $\eta_1$ ) is obviously higher than that on Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti electrode ( $\eta_2$ ). From the analysis results of Fig. 5 and Fig. 6, it can be obtained that the value of ( $\eta_1 - \eta_2$ ) is 0.54 V.



Fig. 7 Qualitative reaction scheme for OER on Pt anode or  $Ir_xSn_vRu_zO_2/Ti$  anode.





Fig. 8 Variations of energy efficiency and faradaic efficiency for producing formate on the Sn electrode with the applied potential when using the Pt electrode (a) or the  $Ir_xSn_yRu_zO_2/Ti$  electrode (b) as the anode.

In our previous work, the optimal potential for electrochemical reduction of CO<sub>2</sub> was -1.8 V vs. Ag/AgCl. The corresponding applied cell potentials of Sn–Pt and Sn–Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti are 3.42 V and 2.88 V, respectively. Several adjacent cell potentials are selected in this experiment to confirm an optimal electrolysis condition, it can be seen from Fig. 8 that the highest faradaic efficiency for Sn–Pt is 85.1% obtained at 3.42 V (Fig. 8a), and that for Sn–Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti is 84.8% obtained at 2.88 V (Fig. 8b). Although their faradaic efficiencies are very close, the energy efficiency of the Sn–Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti (42.1%) is higher than that of the Sn–Pt (35.6%) because of the lower overpotential for OER on the Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti electrode. It's worth mentioning that the

 $Ir_xSn_yRu_zO_2/Ti$  electrode can not only be used for producing formate, but also can be used for producing other products (such as CO, methanol or hydrocarbons) with less energy input.

For evaluate the stability of the Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>2</sub>O<sub>2</sub>/Ti electrode, a long time electrolysis experiment is required. In this experiment, the products of formate will be re-oxidization on the anode if the concentration of formate is high. In order to avoid the formate oxidation on the anode, H-type cell divided by a nafion membrane was used by some researchers. Whereas, the H-type cell may not be a good choice in this experiment. Narayanan et al. used In and Pb powders as the catalysts for electrochemical reduction of CO<sub>2</sub> to formate in a H-type cell. They found that the faradaic efficiency for producing formate in 1 mol L<sup>-1</sup> NaHCO<sub>3</sub> solution was as high as 80% after 300 s; however, the faradaic efficiency began to decline over a period of 1 h. They thought that the decrease of the faradaic efficiency during continuous operation was a result of the buildup of diffusion barriers resulting in the reduced availability of CO<sub>2</sub> for reduction at the surface of the electrode.<sup>33</sup> We have found another effective method for retarding the oxidation of formate in our previous work, that is coating a Nafion membrane on the anode.<sup>18</sup>



Fig. 9 Variations in faradaic efficiency for producing formate with the charge passed when the anode is  $Ir_xSn_yRu_zO_2/Ti$  or  $Ir_xSn_yRu_zO_2/Ti@Nafion$  electrode.

In this paper, we also performed long time electrolysis experiment using  $Ir_xSn_yRu_2O_2/Ti$  electrode coated with Nafion membrane as the anode which is prepared by immersing the electrode into 5 wt.% Nafion solution. As shown in Fig. 9, the faradaic efficiency decreases from 85.3% to 79.6% as the charge passed increases from 50 to 500 C. However, when a naked  $Ir_xSn_yRu_2O_2/Ti$  electrode is used as the anode, the faradaic efficiency decreases significantly from 84.8% to 29.3% as the charge passed increases from 50 to 500 C (Fig. 9).

Curves (a) and (c) in Fig. 10 show the CV curves on the  $Ir_xSn_yRu_2O_2/Ti$  electrodes with and without Nafion membrane in the KHCO<sub>3</sub> electrolytes, respectively. It can be seen that the current density on the naked  $Ir_xSn_yRu_2O_2/Ti$  electrode is nearly the same with that on the  $Ir_xSn_yRu_2O_2/Ti$  electrode coated with

ARTICLE

Nafion membrane. Therefore, the Nafion coat has negligible effect on OER. Curves (b) and (d) in Fig. 10 show the CV curves on the  $Ir_xSn_yRu_2O_2/Ti$  electrodes with and without Nafion membrane in KHCO<sub>3</sub> electrolytes containing 0.05 mol L<sup>-1</sup> potassium formate, respectively. The current density on  $Ir_xSn_yRu_2O_2/Ti$  electrode coated with Nafion membrane is obviously lower than that on the naked  $Ir_xSn_yRu_2O_2/Ti$  electrolyte contains HCOO<sup>-</sup>. These results reveal that the Nafion membrane can effectively retard the oxidation of formate on  $Ir_xSn_yRu_2O_2/Ti$  anode.



**Fig. 10** CV curves on the  $Ir_xSn_yRu_zO_2/Ti$  electrode with (a) or without (c) Nafion membrane in electrolytes and CV curves on the  $Ir_xSn_yRu_zO_2/Ti$  electrode with (b) or without (d) Nafion membrane in electrolytes containing 0.05 mol L<sup>-1</sup> HCOO<sup>-</sup>. The measurements were performed in 0.1 mol L<sup>-1</sup> KHCO<sub>3</sub> electrolyte after being bubbled with CO<sub>2</sub> for 30 min.

#### Conclusions

The Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>z</sub>O<sub>2</sub>/Ti anode shows better catalytic activity for OER than the Pt anode. At the same current density of 3.5 mA cm<sup>-2</sup>, the applied cell potentials for Sn-Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>z</sub>O<sub>2</sub>/Ti and Sn-Pt are 2.88 and 3.42 V, respectively. The electrode with excellent catalytic activity for OER used in electrochemical reduction of CO<sub>2</sub> can increase the energy efficiency of the whole reaction. In this paper, the energy efficiency of the Sn-Ir<sub>x</sub>Sn<sub>y</sub>Ru<sub>z</sub>O<sub>2</sub>/Ti (42.1%) is higher than that of the Sn-Pt (35.6%).

When the  $Ir_xSn_yRu_zO_2/Ti$  electrode coated with Nafion membrane is used as the anode, the faradaic efficiency decreases from 85.3% to 79.6% as the charge passed increases from 50 to 500 C; when a naked  $Ir_xSn_yRu_zO_2/Ti$  electrode is used as the anode, the faradaic efficiency decreases to 24.3% as the charge passed increases to 500 C. It indicates that the Nafion membrane on  $Ir_xSn_yRu_zO_2/Ti$  anode can effectively retard the oxidation of formate.

#### ARTICLE

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6 | RSC Adv., 2012, 00, 1-3

### **Graphical Abstract**

The energy efficiency for electrochemical reduction of  $CO_2$  to formate is 35.6% when a Pt electrode is used as the anode, and it can increase to 42.1% when an  $Ir_xSn_yRu_zO_2/Ti$  electrode with lower overpotential for oxygen evolution reaction is used as the anode.

