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ARTICLE TYPE

Electrochemical performances of cobalt oxide-carbon nanotubes electrodes via different methods as negative material for alkaline rechargeable batteries

Yanan Xu, Yanyin Dong, Xiaofeng Wang, Yijing Wang,* Lifang Jiao, Huatang Yuan and Jing Li

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 $Co_3O_4/CNTs$ samples are synthesized via different methods and investigated as negative materials for alkaline rechargeable batteries for the first time. The reasons for performance difference of those $Co_3O_4/CNTs$ electrodes and the impact of CNTs additive amount on cycling properties are explored in

¹⁰ detail. CNTs can remarkably enhance the electrochemical activity of Co_3O_4 materials, leading to a notable improvement of discharge capacity, cycle stability and rate capability. $Co_3O_4/CNTs$ -C composites via the one-pot reflux method exhibit the desirable electrochemical capability. $Co_3O_4/CNTs$ -C8 sample (mass ratio of CNTs is 8%) shows the highest discharge capacity of 526.1 mAh g⁻¹. Meanwhile, $Co_3O_4/CNTs$ -C11 electrode (mass ratio of CNTs is 11%) displays the most outstanding cycle

¹⁵ performance with a capacity retention rate of over 97.3 % after 200 cycles. A properly electrochemical reaction mechanism of Co₃O₄/CNTs electrode is also constructed in detail.

1. Introduction

With the rapid development of renewable and clean energy sources, safer and more efficient energy storage devices are ²⁰ urgently required, such as alkaline secondary batteries, lithiumion batteries, supercapacitors and fuel cells [1-4]. Among them, alkaline secondary batteries are regarded as promising energy

- storage applications due to the powerful and reversible electrochemical redox reactions [5-8]. Recently, a new type ²⁵ alkaline rechargeable Ni/Co battery system using Ni(OH)₂ as cathode material and Co-based materials as anode materials was systematically proposed, which presented the outstanding
- discharge capacity and the environmental friendly property. It can be ascribed to the higher utilization, better electrochemical ³⁰ conductivity and multi-electron reaction ability of Co-based meterials. The dominant surface foredaic reaction on and
- materials. The dominant surface faradaic reaction on anode electrode can be expressed as follows [9-14]:

$$Co(OH)_2 + 2e^- \xrightarrow{charge} Co + 2OH^-$$

According to the reaction, the utilization of Co is one of the most ³⁵ important factors influencing discharge performance of cobaltbased negative materials, which is largely dependent on the contact area between the electrode and alkaline electrolyte.

Co₃O₄ has been considered as one of the most promising electrode materials due to high capacities and multi-electron ⁴⁰ reaction ability during electrochemical reaction process [15-18]. But the poor cycle stability and rate capability limit its widespread application. Carbon materials have been proved to enhance electrochemical properties of Co-based materials by improving the dispersion, electroconductibility and utilization of ⁴⁵ active material [19, 20]. CNTs have attracted numerous research interests due to high electrical conductivity, good mechanical properties and chemically stable [21-24]. We could speculate that CNTs may be a better matrix material to modify the electrochemical performances of Co₃O₄ materials. To the best of

 $_{\rm 50}$ our knowledge, there is no report on the electrochemical properties of Co_3O_4/CNTs composite as negative electrode material for Ni/Co battery.

In this paper, a series of Co₃O₄/CNTs samples are prepared by different methods and the reasons for performance difference ⁵⁵ of those electrodes are investigated in detail. The microstructure and electrochemical characteristics of Co₃O₄/CNTs composites are also explored in comparison with pure Co₃O₄ sample. And the additive amount of CNTs may have a great effect on discharge capacities and rate performances of Co-based materials. ⁶⁰ Moreover, the function mechanism is also proposed in detail.

2 Experimental

2.1 Preparation of Co₃O₄/CNTs samples

 Co_3O_4 samples were synthesized via a facile reflux condensation route. The typical synthesis process was as follows: 0.14 mmol ⁶⁵ sodium citrate, 2.5 mmol $Co(NO_3)_2$ ·H₂O and 1.2 mmol hexamethylenetetramine were dissolved in 200 mL distilled water to form a homogeneous solution, respectively. Then the solution was heated to 90 °C and refluxed for 6 h. The resultant black solid was centrifuged and washed with water and ethanol, dried 70 in a vacuum oven at 60 °C for 12 h. The sample was heated at 300 °C for 3 h in air atmosphere to obtain the pure Co_3O_4 .

Three $Co_3O_4/CNTs$ samples were synthesized via the following three methods: $Co_3O_4/CNTs$ -A was prepared by simple

grinding Co_3O_4 with CNTs powder (mass ratio: 8%) for 30 min. Co_3O_4 /CNTs-B was prepared by ball milling Co_3O_4 and CNTs powders in a same ratio for 10 h. Co_3O_4 /CNTs-C was synthesized using the above one-pot reflux condensation route with the direct

- $_{\rm 5}$ addition of CNTs. The different additive content of CNTs under mass ratio of 2%, 5%, 8% and 11% was dispersed in the homogeneous solution with ultrasonication for 2 h during the preparation process. Those Co_3O_4/CNTs-C composites with different mass ratio were designated as Co_3O_4/CNTs-C2,
- ¹⁰ Co₃O₄/CNTs-C5, Co₃O₄/CNTs-C8, Co₃O₄/CNTs-C11, respectively. The CNTs content in Co₃O₄/CNTs-C8 composites is identical to the additive amount of Co₃O₄/CNTs-A sample and Co₃O₄/CNTs-B sample.

2.2. Compositional and structural characterization

¹⁵ The crystal structure and surface morphology of materials were characterized by X-ray diffraction (XRD, Rigaku MiniFlex II with Cu Kα radiation), Scanning Electron Microscopy (SEM, JEOL JSM-6700F Field Emission), transmission electron microscope (TEM, JEOL JEM-2100 TEM). The elemental ²⁰ composition was measured by Elemental Analysis (EA, vario EL CUBE) and X-ray photoelectron spectroscopy (XPS, PHI5000 VersaProbe). The specific surface areas and porous nature of materials were further investigated by nitrogen adsorption/desorption measurements on NOVA 2200e.

25 2.3. Electrochemical measurements

Negative electrodes were constructed through mixing as-prepared samples with carbonyl nickel powders at a weight ratio of 1: 3. The powder mixture was pressed under 20 MPa pressure into a small pellet of 10 mm in diameter and 1.5 mm thickness.

- ³⁰ Electrochemical measurements were conducted in a threeelectrode cell using a Land battery system (CT2001A). The electrolyte was 6 M KOH aqueous solution. Ni(OH)₂/NiOOH and Hg/HgO were used as the counter electrode and reference electrode, respectively. The obtained electrodes were charged at a
- ³⁵ current density of 200 mA g⁻¹ for 3 h. After a 5 min rest, they were discharged at different current densities (100, 200, 500, 1000 mA g⁻¹) to 0.5 V (vs. Hg/HgO). Cyclicvoltammetric (CV) measurements were carried out by an electrochemical work station (CHI 660b). Electrochemical impedance spectroscopy
- ⁴⁰ (EIS) measurements were carried out by applying an AC voltage with 5 mV amplitude in a frequency range from 0.1 Hz to 100 kHz at open circuit potential.

3 Results and discussion

- XRD patterns of CNTs, Co_3O_4 and $Co_3O_4/CNTs$ samples 45 synthesized via different methods were shown in Fig.1 (a). For the three $Co_3O_4/CNTs$ composites, the major diffraction peaks are well indexed with cubic phase of Co_3O_4 (space group *Fd-3m*, JCPDS no.42-1467). An additional peak around 27° can be assigned to the characteristic peak of CNTs, and it can be clearly
- ⁵⁰ observed in $Co_3O_4/CNTs$ -C sample. All the peaks of Co_3O_4 for $Co_3O_4/CNTs$ composites are relatively broadened with the loading of CNTs, corresponding to the reduced grain size of Co_3O_4 nanoparticles. Thus the number of electrochemical active sites would be increased, thereby improving the electrochemical
- ⁵⁵ activity of electrode materials. X-ray photoelectron spectroscopy measurement of Co₃O₄/CNTs-C composite was performed in Fig. 1 (b). The Co 2p spectrum are best fitted by two kinds of Co species, including the Co (III) ions located at 779.5 eV and 795.2 eV, and Co (II) ions at 780.6 eV and 796.7 eV with two satellite

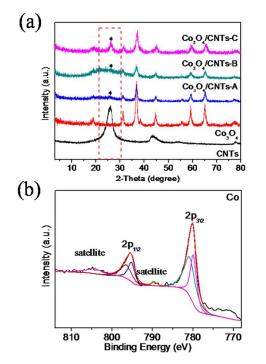


Fig. 1 (a) XRD patterns of CNTs, Co_3O_4 and Co_3O_4 /CNTs samples synthesized via different methods. (b) XPS spectra of Co 2p for Co_3O_4 /CNTs-C samples.

Typical TEM images in Fig. 2 were carried out to further investigate the microstructure of the obtained samples. Co₃O₄ samples are composed of numerous nanoparticles with size ranging from 5 to 20 nm in Fig. 2 (a, b). The nanoparticles are ⁷⁰ closely packed and held together as a whole, and show good crystalline nature. The starting CNTs samples (Fig.2 (c)) are formed by numerous microns long nanotubes with uniform diameter size (40-60 nm). For all the Co₃O₄/CNTs samples in Fig. 2 (d-f), CNTs can be regarded as a suitable substrate to ⁷⁵ improve dispersion state of Co₃O₄ nanoparticles. The agglomerated extent of Co₃O₄ nanoparticles in Co₃O₄/CNTs-A sample remains seriously by simply physical mixing processes. And the ball-milling disperse process also cannot alleviate the problem of agglomeration by the root for Co₃O₄/CNTs-B sample. ⁸⁰ But for Co₃O₄/CNTs-C sample, the surfaces of CNTs materials

are evenly coated with numerous Co_3O_4 nanoparticles. It indicates that one-pot reflux method can effectively resolve agglomerated phenomenon and allow better dispersion of Co_3O_4 nanoparticles, compared with the physical mixture methods. The sgrain size of nanoparticles is reduced in a certain degree with the loading of CNTs from Fig. 3 (a, b). Clear lattice fringes for Co_3O_4 are observed in Fig. 3 (c), suggesting the crystalline nature of the particles.

Energy-dispersive X-ray spectrometry (EDS) mapping ⁹⁰ analysis in Fig. 3 (e-g) also shows that Co_3O_4 nanopaticles are well-uniform distributing on the surface of CNTs for $Co_3O_4/CNTs$ -C sample. Furthermore, results from the EDS spectra in Fig. 3d also confirm the elemental composition of $Co_3O_4/CNTs$ -C determined from atomic absorption spectroscopy.

The presence of Cu and Si is from the carbon-coated Cu grid.

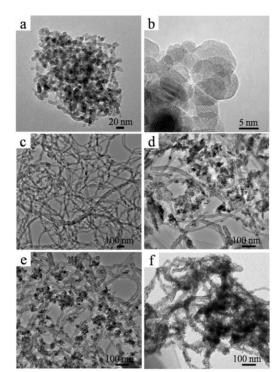


Fig. 2 TEM images of Co₃O₄ (a, b), CNTs (c), Co₃O₄/CNTs-A (d), Co₃O₄/CNTs-B (e) and Co₃O₄/CNTs-C (f) samples.

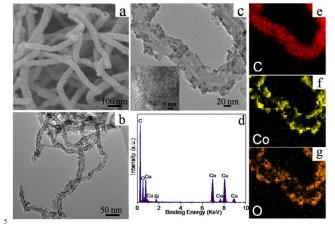


Fig. 3 SEM and TEM images (a, b, c), EDS spectrum (d) and EDS mapping results (e-g) from the Co₃O₄/CNTs-C samples.

Specific surface areas and porous nature of as-synthesized Co_3O_4 and $Co_3O_4/CNTs-C$ sample in Fig. 4 were further ¹⁰ investigated by Brunauer-Emmett-Teller (BET) nitrogen adsorption/desorption measurements. Based on the BET equation, the specific surface areas of Co_3O_4 , $Co_3O_4/CNTs-A$, $Co_3O_4/CNTs-B$ and $Co_3O_4/CNTs-C$ samples are 82.0, 91.2, 107.4 (as shown in Fig. S1) and 164.9 m² g⁻¹, respectively. And pore ¹⁵ size distributions of Co_3O_4 sample show a sharp peak at ~ 3.8 nm and a wide major peak at ~ 17.5, while only one sharp peak at ~ 3.8 nm is observed for $Co_3O_4/CNTs-C$ samples. It shows that CNTs introduced by one-pot reflux method can effectively enhance the dispersion extent of Co_3O_4 nanoparticles with the ²⁰ reduced particles size. The unique features can make Co₃O₄/CNTs-C hybrid accessible to electrolytes to a larger extent, which promotes the hybrid nanocomposites as potential electrode candidates for alkaline rechargeable Ni/Co battery with high specific capacities and excellent cycling stability [27, 28].

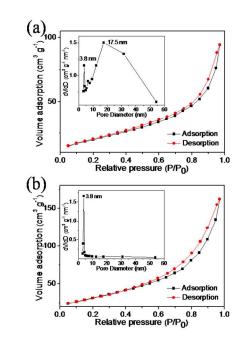


Fig. 4 Nitrogen adsorption and desorption isotherms and the pore size distribution curves of as-prepared Co_3O_4 (a) and Co_3O_4 /CNTs-C (b) samples.

Cycle performances of the CNTs, pure Co₃O₄ and 30 Co₃O₄/CNTs composites were shown in Fig. 5 (a) at a current density of 100 mA g⁻¹. CNTs can be regarded as an inactive matrix without the contribution for discharge capacities. All the electrodes need a long activation process before the intrinsic capacities are realized. Pure Co₃O₄ electrode shows the relatively 35 low discharge capacity with the poor capacity retention rate of 47.5% after 200 cycles ($S_{200} = C_{200}/C_{max} \times 100\%$). It's worth mentioning that specific capacities and cycling stability of the three Co₃O₄/CNTs electrodes have been remarkably enhanced. It is mainly because the addition of CNTs can successfully allow $_{40}$ good conductivity and well dispersion of Co₃O₄ nanoparticles. Meanwhile, Co₃O₄/CNTs-C electrode displays the desirable electrochemical properties as we expected. The maximum discharge capacity can reach 487 mAh g⁻¹ with the capacity retention rate of 86.2 %. It is ascribed to the high specific surface 45 areas of Co₃O₄/CNTs-C samples with the reduced particles size. The unique nanostructure can improve the dispersion of active material and enlarge the contact area between the electrode and alkaline electrolyte, compared with the physical mixture methods. Coulombic efficiency of Co₃O₄/CNTs-C materials can maintain 50 at 76% after 30 cycles, which is significantly improved than that of Co₃O₄/CNTs-A and Co₃O₄/CNTs-B materials.

Rate capabilities of $Co_3O_4/CNTs$ composites synthesized via different methods were measured in Fig. 5 (b). The delivered discharge capacities of $Co_3O_4/CNTs$ -C electrode are 503, 482, 55 464, 438, 411 and 453 mAh g⁻¹ at the different current densities of 50, 100, 200, 500, 1000 and 50 m A g⁻¹ respectively, which are superior than the values of $Co_3O_4/CNTs$ -A (416, 375, 320, 296,

244 and 314 mAh g^{-1}) and Co₃O₄/CNTs-B materials (430, 409, 378, 336, 298 and 341 mAh g^{-1}) under the same condition. These excellent data demonstrate that the Co₃O₄/CNTs-C composites are the promising alternative anode materials for alkaline s secondary batteries.

To explore the influence of CNTs additive amount on cycle performance of $Co_3O_4/CNTs$ electrodes, the related tests were also conducted in Fig.6 (a). Determined with an Element Analyzer, the measured content of CNTs in $Co_3O_4/CNTs$ -C2,

- 10 Co₃O₄/CNTs-C5, Co₃O₄/CNTs-C8 and Co₃O₄/CNTs-C11 composites is 2.15 %, 5.24 %, 7.69 % and 10.7 %, respectively. And these values are consistent with the actual mass ratios of additive CNTs in the experiments. Discharge capacities of Co₃O₄/CNTs electrodes firstly increase with the increasing 15 content of CNTs and then decrease. These results illustrate that
- the appropriate amount of CNTs can lead to a remarkable enhancement of discharge capacity and cycle stability. But an excess of CNTs is not helpful to enhance the cycling properties of Co-based materials, which leads to the density decrease of active
- ²⁰ material for rechargeable Ni/Co batteries. $Co_3O_4/CNTs$ -C8 sample shows the highest discharge capacity of 526.1 mAh g⁻¹ at a current density of 100 mA g⁻¹. Meanwhile, $Co_3O_4/CNTs$ -C11 electrode shows the most outstanding cycle performance with a capacity retention rate of over 97.3 % after 200 cycles. Results of rate areforemented to the capacity test of a capacity retention rate of over 97.3 % after 200 cycles.
- ²⁵ rate performance tests also indicate that $Co_3O_4/CNTs$ -C8 displays the most prominent discharge capacities (corresponding values are 549, 526, 519, 510, 499 and 526 mAh g⁻¹), while $Co_3O_4/CNTs$ -C11 electrode possesses the best cycling stability.

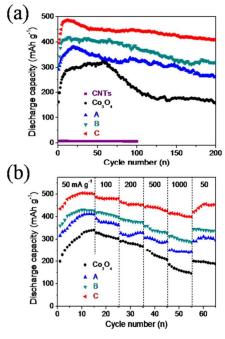


Fig. 5 Discharge capacities at a current density of 100 mA g⁻¹ (a) and rate performances (b) of the CNTs, pure Co₃O₄ and Co₃O₄/CNTs samples.

In order to explain the different phenomenon of electrochemical properties, CV curves of $Co_3O_4/CNT-C8$ electrode for different cycles were studied in Fig. 7 (a). Only one ³⁵ pair of remarkable reduction-oxidation peak appears, demonstrating that most of the discharge capacities are arising

from Faradaic reactions occurring on the electrode. The curve shape and peak voltage is consistent with the other Co-based materials [11, 29-31]. The reversible reaction between Co and 40 Co(OH)₂ can be confirmed occurring on Co₃O₄/CNT-C electrode, suggesting that CNTs is used as a conductive substrate without electrochemical reactions. The integral area of redox peaks gradually increase, implying that discharge capacity gradually increases during charge-discharge process. The result is 45 consistent with the measurements of cycling performance. XRD Co₃O₄/CNTs-C8 electrode at different patterns of charged/discharged state were shown in Fig. 7 (b). At fully charged state of the 1st cycle, the weakening of Co₃O₄ and the appearing of Co and Co(OH)₂ are clearly observed. It indicates 50 that Co₃O₄ gradually transforms into Co and Co(OH)₂ during the initial charging processes. When discharged to -0.5 V, the diffraction peaks of Co(OH)₂ become apparent and Co₃O₄ gradually disappears. Only peaks of Co and Co(OH)2 are detected after ten cycles. Compared with the charged state, the peak 55 intensity of Co at the 10th discharged state weakens while peak intensity of Co(OH)₂ strengthens. It illustrates that some Co transforms to Co(OH)₂ during the discharge process. These results indicate that the electrochemical reaction on Co₃O₄/CNTs-C8 electrode at activation process can be summarized as follows:

Charge:
$$Co_3O_4 + 4H_2O + 2e^- \rightarrow 3Co(OH)_2 + 2OH^-$$
 (1)

$$Co(OH)_2 + 2e^- \rightarrow Co + 2OH^-$$
 (2)

Discharge:
$$Co + 2OH^- \rightarrow Co(OH)_2 + 2e^-$$
 (3)

After activation process, charge-discharge reaction occurring on electrodes transforms into reversible redox reaction:

$$Co(OH)_2 + 2e^{-} \xrightarrow{charge} Co + 2OH^{-}$$
 (4)

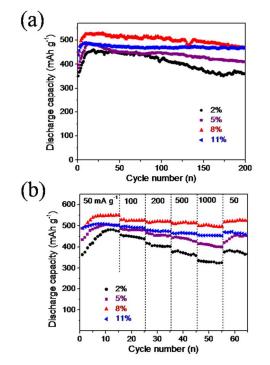
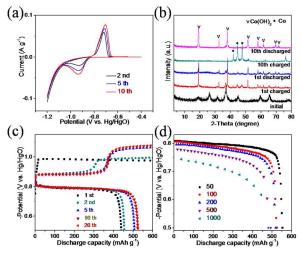
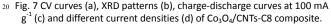


Fig. 6 Discharge capacities at a current density of 100 mA g^{-1} (a) and rate performances (d) of Co₃O₄/CNTs-C composites (different mass ratio of additive CNTs).

Charge-discharge curves of $Co_3O_4/CNTs$ -C8 electrode at the current density of 100 mA g⁻¹ further confirm the proposed mechanism in Fig. 7 (c). The charge-discharge curves of the 1st and 2nd cycle at activation process are different from other s curves. It can be ascribed that Co_3O_4 gradually transforms into

- Co and Co(OH)₂ during the initial charging processes.For the 1st charged state, only a long platform at -0.98 V can be observed and it illustrates that Co_3O_4 transforms to Co(OH)₂ (eqn (1)) [27, 31]. But for the following cycles, two potential charge platforms
- ¹⁰ in curves are observed. The first charge platform at about -0.89 V ascribes to the change from Co(OH)₂ to Co, corresponding to eqn (2). The other one at -1.1 V assigns to the electrolysis reaction of water. Meanwhile, only one potential platform at around -0.79 V (vs. Hg/HgO) occurs during the discharge process corresponding ¹⁵ to eqn (3), and no changes appear in the following cycles. This
- fact once again reinforces that the electrochemical reaction occurring on the Co_3O_4 electrode is only reversible conversion between Co and $Co(OH)_2$ (eqn (4)) at reversible redox process.





CNTs in Co₃O₄/CNTs electrodes show two functions during charge-discharge processes. The addition of CNTs leads to well dispersion of active Co₃O₄ nanoparticles. Meanwhile, Co₃O₄ ²⁵ nanoparticles decorating by CNTs are impregnated in alkaline solution during the charge-discharge process, which can provide unobstructed pathways for OH⁻ transport and increase the contact area between active materials and alkaline solution. The two aspects are in favor of the electrochemical redox to enhance the ³⁰ capacity of Co₃O₄/CNTs electrode.

Conclusions

In summary, a series of Co₃O₄/CNTs composites are prepared by different methods for alkaline rechargeable battery and the reasons for performance difference of those electrodes are ³⁵ explored in detail. The one-pot reflux method (method C) can allow better dispersion of Co₃O₄ nanoparticles with the reduced size and the larger specific surface area of Co₃O₄/CNTs composites, compared with the physical mixture methods (method A, B). Electrochemical investigations also indicate that ⁴⁰ Co₃O₄/CNTs-C composites exhibit the desirable electrochemical capability. The additive amount of CNTs also has an important influence on cycling performances of Co₃O₄/CNTs-C composites. Co₃O₄/CNTs-C8 sample (mass ratio of CNTs is 8%) shows the highest discharge capacity of 526.1 mAh g⁻¹. Meanwhile, 45 Co₃O₄/CNTs-C11 electrode (mass ratio of CNTs is 11%) displays the most outstanding cycle performance with a capacity retention rate of over 97.3 % after 200 cycles. High discharge capacities and excellent rate capability demonstrate that Co₃O₄/CNTs-C somposites through one-pot reflux route are the suitable negative materials for high power applications. In addition, the faradic

reaction between Co and Co(OH)₂ for $Co_3O_4/CNTs$ electrode has been further investigated.

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- 60 College of Chemistry, Collaborative Innovation Center of Chemical Science and Engineering (Tianjin), Key Laboratory of Advanced Energy Materials Chemistry (MOE), Nankai University, Tianjin 300071, P.R.China. Fax: +86 22 23503639; Tel: +86 22 23503639; E-mail: wangyj@nankai.edu.cn
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