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Mono- and Tri-β-Substituted Unsymmetrical Metalloporphyrins: Synthesis, Structural, Spectral and Electrochemical Properties

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⁵ Mono-/tri- β -substituted metalloporphyrins, viz. MTPP(X)Y₂ (X = CHO, CH₂OH, COOH; Y = H, Br, Ph; M = 2H, Co(II), Ni(II), Cu(II), Zn(II)) have been synthesized and characterized. This work examines the influence of β -substitution on structural, electronic spectral and redox properties of MTPP(X) and MTPP(CHO)Y₂. The redox tunability was achieved by introducing electron donors (CH₂OH and Ph) and acceptors (CHO, COOH and Br) on MTPP skeleton. Dramatic reduction in HOMO-LUMO gap with

¹⁰ considerable increment in Δa_{1u} was observed as the number of electron withdrawing groups increased. The spectral and electrochemical redox potentials are influenced by the peripheral β -substituents and electronegativity of core metal ion. These porphyrins exhibited tunable electronic spectral and redox properties with modulated frontier orbitals by means of mono- and tri- β -substituents which are in direct conjugation with porphyrin π -system. DFT studies of these porphyrins revealed that mono-substituted ¹⁵ porphyrins are nearly planar whereas tri-substituted porphyrins have moderate nonplanar conformation.

Introduction

Metalloporphyrinoids play a vital role in many biological processes.¹ They are ubiquitous in nature and are involved in numerous metabolic processes, such as, oxygen transport and

- ²⁰ storage, electron transfer, and mono-oxygenation reactions.¹ Metalloporphyrins are also widely studied because of their use in catalytic,² photodynamic therapy (PDT),³ nonlinear optical (NLO)⁴ and dye-sensitized solar cell (DSSC)⁵ applications. Porphyrin skeleton has an extended π -conjugated system,⁶
- ²⁵ leading to a wide range of visible light absorption and facile incorporation of various metal ions. The reversibility of the redox chemistry shown by porphyrin i.e. the stability of both their mono- and di-cationic species, makes them particularly attractive for photoionization and photoconductive processes.⁷ Such studies
- ³⁰ are well documented in the mimicking of the photosynthetic reaction centre by photoinduced electron transfer (PET) with use of porphyrin based electron reservoirs.⁷ Porphyrins can be designed and tailored for such applications by controlling the substituents attached at the periphery of the macrocycle and also ³⁵ by varying the core metal ion.

The functionalized porphyrins are of considerable importance owing to their use as biomimetic compounds⁸ and also for their interesting physicochemical properties.⁹ The introduction of substituents at the β -pyrrolic positions has a dramatic influence ⁴⁰ on the porphyrin π -system^{9a,10} rather than their introduction at the *meso*-positions. Due to extensive conjugation of porphyrin π electrons, the electron withdrawing and donating substituent(s) on the periphery have been shown to affect the basicity of the inner core nitrogens.¹¹ This, intern, affects the visible absorption ⁴⁵ spectra, redox potentials, and axial ligation behaviour of free base

and/or their respective metalloporphyrin complexes^{11a,12} and they serve as materials or compounds with unusual properties.¹³

Among the synthetic porphyrin analogues, *meso*tetraphenylporphyrin (H₂TPP) and its metal complexes (MTPP) ⁵⁰ are the most widely explored systems because of their ease of synthesis and facile fuctionalization. β -formyl porphyrin is an important precursor for Horner-Emmons, Wittig, Grignard, McMurry, Schiff base, Knoevenagel and 1,3-dipolar cycloaddition reactions.¹⁴



Chart 1. Molecular structures of mono/tri- β - substituted porphyrins and their metal complexes employed in this study.

MTPP complexes bearing substituent at β -positions or *meso*positions have been examined by various groups.¹⁵⁻²⁰ Previous ⁶⁰ reports have shown that the number of β -substituents and the nonplanarity of the macrocycle influence the redox properties of porphyrin π -system.^{21,22} The synthesis and electronic properties of mixed antipodal β -substituted porphyrins have not been much explored²³ possibly due to the lack of synthetic methodologies. This work examines the influence of β -substitution on structural, electronic spectral and electrochemical redox properties of mono-

- s and tri-β-substituted *meso*-tetraphenylporphyrins and their metal (Co(II), Ni(II), Cu(II) and Zn(II)) complexes (Chart 1). The substituents such as CHO, COOH, CH₂OH, Br and Ph at β-position(s) were found to alter the electronic properties of the porphyrin π-system as compared to MTPPs. DFT optimised
- ¹⁰ geometries of **1-5** have also been shown for structural interest. Herein, the consequences of β -substitution have been revealed by various spectroscopic and electrochemical studies of β -formyl metalloporphyrins and their derivatives for the first time.

Experimental Section

15 Materials

2-formyl-*meso*-tetraphenylporphyrin (1), 2-hydroxymethyl-*meso*-tetraphenylporphyrin (2), 2-carboxy-*meso*-tetraphenylporphyrin (3), 2-formyl-12,13-dibromo-*meso*-tetraphenylporphyrin (4) and 2-formyl-12,13-diphenyl-*meso*-tetraphenylporphyrin (5) were

- ²⁰ synthesised using modified reported procedures.²⁴⁻²⁶ All solvents employed in the present study were of analytical grade and were distilled before use. N-Bromosuccinimide was purchased from HiMedia, India and used after recrystallisation. Copper(II) acetate monohydrate, Zinc(II) acetate dihydrate, Cobalt(II) acetate
- ²⁵ tetrahydrate and K₂CO₃ were purchased from HiMedia and Nickel(II) acetate tetrahydrate was purchased from Sigma-Aldrich and used as received. Tetrakis(triphenylphosphine) palladium(0), and phenylboronic acid were obtained from Sigma-Aldrich and used as received.

30 Instrumentation and methods

UV-Visible and fluorescence spectra were recorded in CH_2Cl_2 using Cary 100 specrophotometer and Hitachi F-4600 specrofluorometer, respectively. All ¹H NMR measurements were performed using Bruker AVANCE 500 MHz and JEOL 400 MHz

- ³⁵ spectrometers in CDCl₃. MALDI-TOF mass spectra were measured using a Bruker UltrafleXtreme-TN MALDI-TOF/TOF spectrometer using HABA (4'-hydroxyazobenzene-2-carboxylic acid) as a matrix. Elecrochemical measurements were carried out using CHI-620E instrument. A three electrode system was used
- ⁴⁰ which consisted of a Pt Working electrode, Ag/AgCl reference and a Pt-wire counter electrode. The concentrations of all porphyrins employed were ~1 mM. All measurements were performed in triple distilled CH_2Cl_2 which was purged with Ar gas, using 0.1 M TBAPF₆ as the supporting electrolyte.

45 Synthesis of Co(II) and Ni(II) complexes (1c-5c and 1d-5d) of H₂TPP(X)Y₂ (X = CHO, COOH, CH₂OH; Y = H, Br, Ph):

- $H_2TPP(X)Y_2$ (100 mg) was taken in 500 mL RB flask containing 150 mL of CHCl₃. To this, 10 equiv. of M(OAc)₂ hydrate (M = Cu(II), Zn(II), Co(II)) in 20 mL of methanol was added and
- ⁵⁰ refluxed for 20 minutes and the reaction mixture was cooled to room temperature, washed with water and dried over anhydrous sodium sulphate. The crude product was purified by column chromatography on silica gel column using CHCl₃ as eluent. Yield was found to be 53-92%. In case of Ni metallation, ⁵⁵ H₂TPP(X)Y₂ (100 mg) was dissolved in 50 mL DMF and

refluxed for 3 hours and cooled to RT. To this, 200 mL of distilled water was added to precipitate the porphyrin, filtered and purified on silica column using $CHCl_3$ as eluent. Yield was found to be 56-82%.

- ⁶⁰ **1c-5c** were prepared from their corresponding H₂TPP(X)Y₂ with the yield of 53 - 92%. **1c:** 92% yield. UV-Vis. (CH₂Cl₂, λ_{max} in nm): 422 (5.33), 539 (3.51), 577 (3.38). MALDI-TOF-MS: *m/z* 700.24 for [M+H]⁺ (calcd. 700.17). Anal. Calcd. for C₄₅H₂₈N₄OCo: C, 77.25; H, 4.03; N, 8.01%. Found: C, 77.13; H,
- ⁶⁵ 3.91; N, 8.27%. **2c**: 70% yield. UV-Vis. (CH₂Cl₂, λ_{max} in nm): 409 (5.38), 528 (4.14). MALDI-TOF-MS: *m/z* 701.35 for [M]⁺ (calcd. 701.18). Anal. Calcd. for C₄₅H₃₀N₄OCo: C, 77.03; H, 4.31; N, 7.98%. Found: C, 77.18; H, 4.21; N, 7.75%. **3c**: 53% yield. UV-Vis. (CH₂Cl₂, λ_{max} in nm): 416 (5.23), 535 (4.04).
- ⁷⁰ MALDI-TOF-MS: m/z 715.47 for $[M]^+$ (calcd. 715.15). Anal. Calcd for C₄₅H₂₈N₄O₂Co: C, 75.52; H, 3.94; N, 7.83%. Found: C, 75.32; H, 3.78; N, 7.73%. **4c:** 70% yield. UV-Vis. (CH₂Cl₂, λ_{max} in nm): 428 (5.18), 549 (3.98), 589 (4.00). MALDI-TOF-MS: m/z854.73 for $[M]^+$ (calcd. 854.98). Anal. Calcd for C₄₅H₂₆
- $_{75}$ Br₂N₄OCo: C, 63.03; H, 3.06; N, 6.53%. Found: C, 63.11; H, 3.00; N, 6.60%. **5c**: 60% yield. UV-Vis. (CH₂Cl₂, λ_{max} in nm): 429 (4.96), 550 (3.81), 587 (3.80). MALDI-TOF-MS: *m/z* 858.28 for [M+Li]⁺ (calcd. 858.24). Anal. Calcd for C₅₇H₃₆N₄OCo: C, 80.37; H, 4.26; N, 6.58%. Found: C, 80.25; H, 4.32; N, 6.41%.

1d-5d were synthesised from their corresponding $H_2TPP(X)Y_2$ with the yield of 56 - 82%.

1d: 80% yield. UV-Vis. (CH₂Cl₂, λ_{max} in nm): 426 (5.22), 540 (4.06), 580 (3.94). ¹H NMR (CDCl₃, 400MHz): δ (ppm) 9.31 (s,

- ⁸⁵ 1H, CHO), 9.16 (s, 1H, β-Pyrrole-H), 8.67-8.74 (m, 6H, β-Pyrrole-H), 7.95-8.02 (m, 8H, *o*-PhH), 7.66-7.72 (m, 12H, *m* and *p*-PhH). MALDI-TOF-MS: m/z 700.02 for $[M+H]^+$ (calcd. 700.43). Anal. Calcd. for C₄₅H₂₈N₄ONi: C, 77.28; H, 4.04; N, 8.01%. Found: C, 77.09; H, 4.08; N, 8.08%.
- ⁹⁰ **2d:** 82% yield. UV-Vis. (CH₂Cl₂, λ_{max} in nm): 414 (5.31), 529 (4.16). ¹H NMR (CDCl₃, 400 MHz): δ (ppm) 8.80 (s, 1H, β-Pyrrole-H), 8.66-8.72 (m, 6H, β-Pyrrole-H), 7.96-7.99 (m, 6H, *o*-PhH), 7.88 (d, J = 6.8 Hz, 2H, *o*-PhH), 7.65-7.67 (m, 12H, *m* and *p*-PhH), 4.75 (d, J = 5.6 Hz, 2H, -CH₂), 1.83 (bt, 1H, -OH).
- ⁹⁵ MALDI-TOF-MS: *m/z* 701.25 for [M+H]⁺ (calcd. 701.19). Anal. Calcd. for C₄₅H₃₀N₄ONi: C, 77.05; H, 4.31; N, 7.99%. Found: C, 76.93; H, 4.09; N, 7.89%.

3d: 61% yield. UV-Vis. (CH₂Cl₂, λ_{max} in nm): 422 (5.21), 535 (4.05), 561(sh). ¹H NMR (CDCl₃, 400MHz): δ (ppm) 9.02 (s, 1H,

- ¹⁰⁰ β -Pyrrole-H), 8.65-8.72 (m, 6H, β -Pyrrole-H), 7.92-8.00 (m, 8H, *o*-PhH), 7.65-7.72 (m, 12H, *m*- and *p*-PhH). MALDI-TOF-MS: *m/z* 715.00 for [M+H]⁺ (calcd. 715.16). Anal. Calcd for C₄₅H₂₈N₄O₂Ni: C, 75.55; H, 3.94; N, 7.83%. Found: C, 75.38; H, 3.78; N, 7.71%.
- ¹⁰⁵ **4d**: 56% yield. UV-Vis. (CH₂Cl₂, λ_{max} in nm): 430(5.27), 550(4.03), 594(4.04). ¹H NMR (CDCl₃, 400MHz): δ (ppm) 9.22 (s, 1H, -CHO), 9.13 (s, 1H, β -Pyrrole-H), 8.66 (d, J = 5.6 Hz, 1H, β -Pyrrole-H), 8.61 (ABq, J = 5.2 Hz, 3H, β -Pyrrole-H), 7.99 (d, J = 6.8Hz, 2H, o-PhH), 7.93 (d, J = 6Hz, 2H, o-PhH), 7.82-7.84
- ¹¹⁰ (m, 4H, *o*-PhH), 7.61-7.74 (m, 12H, *m* and *p*-PhH). MALDI-TOF-MS: m/z 856.07 for [M]⁺ (calcd. 855.98). Anal. Calcd for C₄₅H₂₆Br₂N₄ONi: C, 63.05; H, 3.06; N, 6.54%. Found: C, 63.16; H, 3.23; N, 6.46%.

5d: 74% yield. UV-Vis. (CH₂Cl₂, λ_{max} in nm): 432(5.32), 556(4.06), 596(3.98). ¹H NMR (CDCl₃, 400MHz): δ (ppm) 9.24(s, 1H, CHO), 9.17(s, 1H, β-Pyrrole-H), 8.55(dd, J = 10 Hz, 5.2 Hz, 2H, β-Pyrrole-H), 8.29(dd, J = 10.4 Hz, 4.8 Hz, 2H, βs pyrrole-H), 7.93-8.00(m, 4H, *meso*-PhH), 7.64-7.70(m, 6H, *meso*-PhH), 7.43(d, J = 8Hz, 4H, *meso*-PhH), 7.15-7.17(m, 2H, *meso*-PhH), 7.04-7.08(m, 4H, *meso*-PhH), 6.81-6.88(m, 10H, β-Pyrrole-PhH). MALDI-TOF-MS: *m/z* 853.28 for [M]⁺ (calcd. 853.22). Anal. Calcd. for C₅₇H₃₆N₄ONi: C, 80.39; H, 4.26; N, 10 6.58%. Found: C, 80.17; H, 4.22; N, 6.43%.

Results and Discussion

Synthesis and Characterization

We have synthesized a series of β -substituted porphyrins (2-5) starting from 1 and their metal complexes as shown in scheme S1 ¹⁵ in the electronic supplementary information (ESI). 1 was prepared using Vilsmeier-Haack reaction in good yields (70-

- 90%).²⁴ The presence of a formyl group localizes the double bond at an antipodal β -pyrrolic position²⁵ which can be easily brominated. **1** was selectively dibrominated using 2.5 equivalent ²⁰ of NBS at 50 - 60 °C in which product formation occurs within 24
- hours with 60% yield in contrast to time consuming reported procedure.^{25a} H₂TPP(CHO)Ph₂ (**5**) was prepared from **4** using a modified Suzuki cross coupling reaction with 60% yield. **1** was reduced using NaBH₄ in dry ethanol to afford **2** in 90% yield and
- ²⁵ also oxidized using hydroxylamine hydrochloride and phthalic anhydride mixture²⁶ to get **3** in 70% yield. Metal (Zn(II), Cu(II), Co(II) and Ni(II)) complexes of free base porphyrins (**1-5**) were also synthesized in moderate to good yields (50-95%) using conventional methods.^{23e} These porphyrins were characterized by
- ³⁰ UV-Vis, fluorescence, ¹H NMR spectroscopic techniques and mass spectrometry analysis. The antipodal regioselective β dibromination of H₂TPP(CH₂OH) (**2**) and H₂TPP(COOH) (**3**) using NBS was unsuccessful and ended with mixture of products. We also attempted tri-, tetra-, penta- and hexa-bromination of
- $_{35}$ H₂TPP(X) (X = CHO, COOH, CH₂OH) by using varying amounts of NBS or liquid Br₂ in CHCl₃ which resulted only in the degradation of porphyrins.

DFT Studies

- ⁴⁰ The ground state geometries of mono- and tri-substituted free base porphyrins (1-5) were optimized in the gas phase by DFT calculations using the B3LYP functional and 6-311g(d,p) basis set.²⁷ Figures 1 and S1 in ESI represent the fully optimized geometries of these porphyrins (top and side views) as well as the
- ⁴⁵ deviation of core atoms from the porphyrin mean plane. Selected averages bond length and bond angles of mono and tri-substituted are listed in the Table S1 in ESI. The enhancement in nonplanarity of porphyrins is observed when pyrrole ring bends to adjust the repulsive interaction between substituent present at the
- ⁵⁰ β -pyrrrole position. The bending of pyrrole of porphyrin ring has three consequences; (a) C_{β}-C_{β} bond length increases, (b) C_{β}-C_{α}-C_m bond angles increases and (c) N-C_{α}-C_m bond angle decreases which leads to non-planarity of porphyrin ring. Mono-substituted porphyrin (1-3) exhibited slight distortion from the mean plane of
- 55 porphyrin. 2 has nearly planar structure whereas 1 and 3 exhibited slightly non-planar conformation from the mean plane. Tri-substituted porphyrins (4 and 5) exhibited moderate non-

planarity from the mean plane as compared to the **1-3** porphyrins. The non-planarity of tri-substituted porphyrins (**4** and **5**) is shown from the increment in C_{β} - C_{β} bond length as well as in the C_{β} - C_{α} - C_m bond angles along with the decrement of N- C_{α} - C_m bond angle. Tri-substituted porphyrins (**4** and **5**) showed 1-2° more change in these angles as compared to mono-subsituted porphyrin (**1-3**). These results of tri-substituted porphyrins are comparable to di-⁶⁵ and tri-substituted porphyrins reported in literature.^{25b,28} H₂TPPBr₂ has quasi-planar structure whereas ZnTPP(Ph)₂ and H₂TPP(Ph)₄²⁹ are nearly planar structure. But H₂TPP(NO₂)(Ph)₂ and H₂TPP(CHO)(Ph)₂ exhibited moderate non-planarity. The moderate non-planarity of H₂TPP(CHO)(Ph)₂ as reflected in our 70 DFT studies is in agreement with its crystal structure.^{25a}

Fig. 1 shows the side view and top view of H₂TPP(CHO)Br₂ and H₂TPP(CHO)(Ph)₂. The deviation of porphyrin core carbon atoms from the mean plane is shown in figures **1e** and **1f** which reflects the moderate non-planarity of compound **4** and **5**. The substituents (formyl, carboxy and hydroxyl group) present in mono-substituted porphyrin (**1-3**) exhibited lower $\Delta 24$ (\pm 0.118-0.346 Å) from the porphyrin mean plane (i.e. almost planar structure) as compared to the displacement of $\Delta 24$ (\pm 0.482-80 0.697 Å) of substituent (formyl, bromine and phenyl group) present in tri-substituted porphyrin (**4** and **5**).





Fig1. B3LYP/6-311G(d,p) optimised geometries showing top (a and b) as well as side view (c and d) of 4 and 5 respectively. In side view, the *meso*-phenyl group are so not shown for clarity. e and f is showing the displacement of porphyrin core atoms (in Angstrom) from the mean plane of 4 and 5 respectively. Color codes for atoms: C, black; O, red; N, blue; Br, brown.

Same trend has been followed by β -pyrrole carbon atoms as we move from mono- to tri-substituted porphyrin which is similar as we move from $H_2TPP(NO_2)(X)_2$ to $H_2TPP(NO_2)(X)_6$.^{28b} These observations confirm that the extent of steric crowding at the β -

- 5 pyrrrole position and bumping of the core imino protons are counterbalanced by the conformation flexibility of the porphyrin ring which results in the moderate non-planarity of tri-substituted porphyrins as compared to mono-substituted porphyrins. The mean plane displacement of the β - pyrrole carbons (ΔC_{β}) from
- 10 the mean plane follows the order: $H_2TPP(CH_2OH)(2) < 10$ $H_2TPP(CHO)(1) < H_2TPP(COOH)(3) < H_2TPP(CHO)Br_2(4) <$ H₂TPP(CHO)(Ph)₂(5) indicating the varying degree of nonplanarity in these mixed substituted porphyrins.

15 Electronic Spectral and NMR Studies

The electronic spectra of porphyrins are influenced by the presence of peripheral β -substituents and core metal ions. The electronic absorption spectra of H2TPP(X)Y2 exhibited a Soret (B band) and four Q bands which are similar to that of H₂TPP. Table

- $_{20}$ 1 lists the optical absorption spectral data of MTPP(X)Y₂ (M = 2H and Zn(II)) in CH₂Cl₂ at 298 K. The optical absorption spectra of 1-3 are shown in Figure 2. $H_2TPP(X)Y_2$ (X = CHO, COOH; Y = H, Br, Ph) exhibited red-shifts in B band ($\Delta \lambda_{max} = 5$ -19 nm) and $Q_x(0,0)$ band ($\Delta\lambda_{max} = 7 - 32$ nm) relative to 2
- 25 (Figures S2-S7 in ESI). This is possibly due to the inductive and/or conjugative interaction of the substituents with porphyrin π -system. H₂TPPBr₂, ZnTPP(CHO), H₂TPP(CHO)Ph₂ were shown quasi-planar crystal structures as evidenced from the lower mean plane deviation of 24-atom porphyrin core ($\Delta 24 = 0.08$ -30 0.17 Å).25



Figure 2. Optical absorption spectra of 1-3 (H₂TPP(X)) in CH₂Cl₂ at 298 K.

Notably, **2** exhibited blue-shift in B ($\Delta\lambda_{max} = 12 \text{ nm}$) and Q_x(0,0) bands ($\Delta \lambda_{max} = 17$ nm) relative to 1 which can be attributed to the 35 influence of electron donating nature of hydroxymethyl group as compared to electron withdrawing CHO substituent. 1 and 3-5 exhibited broadened absorption spectra (FWHM = 21-30 nm) as compared to 2 (FWHM = 15 nm) which is possibly due to intramolecular charge transfer. 5 also showed red-shift in B (7 $_{40}$ nm) and $Q_x(0,0)$ (15 nm) bands as compared to 1 is possibly due

to conjugative interaction as well as nearly planar structure of the porphyrin macrocycle with extensive conjugation.^{25a}



Figure 3. Fluorescence spectra of 1-3 (H₂TPP(X)) in CH₂Cl₂ at 298 K.

⁴⁵ Notably, the red shift in B and $Q_x(0,0)$ bands follow the order: $H_2TPP(CH_2OH)$ (2) \approx H_2TPP < $H_2TPP(COOH)$ (3) < $H_2TPP(CHO)$ (1) < $H_2TPP(CHO)Br_2$ (4) $\approx H_2TPP(CHO)Ph_2$ (5). The observed red shift of B and $Q_x(0,0)$ bands is in accordance with increment in the number of electron withdrawing 50 substituents ($H_2TPP < H_2TPP(X) < H_2TPP(X)Y_2$). These results clearly suggest that by means of mixed substitution one can achieve tunable optical absorption spectral features with considerable red-shift. In case of metal complexes of 1 and 3-5, one B and one/two Q bands were observed with considerable red-55 shift in electronic spectral features relative to 2 and MTPPs as seen in free base porphyrins (tables 1 and S2 in ESI).

Por.	B band, λ_{max} , nm	Q bands, λ_{max} , nm	Emission, λ_{em} , nm	Quantum Yield, φ _f
1	429(5.49)	524(4.25),566(3.88), 605(3.75),662(3.85)	677,735(sh)	0.1420
2	417(5.62)	513(4.31),548(3.84), 589(3.80),645(3.60)	654,716(sh)	0.1132
3	423(5.48)	520(4.24),556(3.83), 597(3.71),652(3.54)	665,728(sh)	0.0913
4	434(5.40)	531(4.18),565(3.60), 615(3.47),675(3.89)	707	0.0025
5	436(5.77)	531(4.55),572(4.61), 610(4.47),677(4.11)	712	0.0560
1a	430(5.56)	558(4.20), 601(4.04)	621	0.0209
2a	418(5.67)	547(4.29), 583(sh)	600(sh),646	0.0266
3a	425(5.50)	553(4.22), 592(3.68)	608, 656	0.0279
4 a	434(5.47)	562(4.08), 606(4.05)	634	0.0009
5a	436(5.37)	563(4.04), 606(3.93)	647	0.0091

Table 1. UV-Vis absorption spectral data^a of mixed β -substituted free base porphyrins and their Zn(II) Complexes in CH2Cl2 at 298 K.

^aThe values in parentheses refer to log ε values, ε in dm³/mol/cm; Por = 60 Porphyrin; sh = shoulder; error in quantum yield values $\pm 1-2\%$.

The molar absorption coefficients of MTPP(X)Y₂ are not significantly different from that of MTPPs. The extended conjugation and inductive interaction of β -substituent(s) with the porphyrin π -system³⁰ and moderate nonplanarity of the macrocycle are indicative of enhanced red-shift of the absorption spectral features of these metalloporphyrins.

The synthesized free base and Zn(II) complexes of MTPP(X)Y₂ ⁵ were characterized by fluorescence spectroscopy to elucidate the role of mono- and tri- β -substitution. The representative emission spectra of **1-3** in CH₂Cl₂ are shown in Figure 3. Table 1 lists the fluorescence spectral data and quantum yields of MTPP(X)Y₂ in CH₂Cl₂ at 298 K. **1** and **3-5** exhibited red-shifted emission ($\Delta\lambda_{em}$ = 11, 52 mm) then that a **5** m CH₂Cl₂ (Table 52 in the ESI)

 $_{10} = 11 - 53$ nm) than that of **2** in CH₂Cl₂ (Table S3 in the ESI).

The free-base porphyrins exhibited an interesting trend in the redshift of their corresponding emission bands and aligns in the following order: H₂TPP(CH₂OH) (**2**) \approx H₂TPP < H₂TPP(COOH) ¹⁵ (**3**) < H₂TPP(CHO) (**1**) < H₂TPP(CHO)Ph₂ (**5**). The increasing order of red-shift and the decrement in fluorescence quantum yields is in good agreement with the increment in the number of electron withdrawing groups and conformational features of these porphyrins (Figures S8-S14 in ESI). The same trend was also ²⁰ observed for Zn(II)complexes as expected. Notably,

- H_2 TPP(CHO)Ph₂ showed a reduced emission intensity possibly due to intramolecular charge transfer which reduces the singlet excited state lifetime in comparison with 1 and 2. Further, the lower emission intensity of 4 is ascribed to the combination of
- ²⁵ nonplanarity and heavy atom effect of bromo groups that are in direct conjugation with the porphyrin π -system.

The main feature of protons resonance of porphyrins is β -pyrrole, *meso*-phenyl and imino protons. Mono-/tri- β -substituted porphyrins having different substituent(s) on β -pyrrole positions

- ³⁰ also affect the proton resonance of these porphyrins (Figures S15-S24 in ESI). The proton resonance of MTPP(CHO)Y₂ (Y = H, Br, Ph) have the characteristic signal of formyl group and adjacent β pyrrole-H which appear in the range of 9.13 - 9.50 ppm. The signals of formyl proton for **4** and **5** are upfield shifted by ~ 0.1
- ³⁵ ppm relative to **1**. The β -pyrrole protons of **5** shows two doublets at 8.55 and 8.74, respectively and are upfielded by 0.11 - 0.30 ppm with respect to **4** which shows one singlet for β -pyrrole-H at 8.85 ppm. Further, the β -pyrrole protons of **4** are slightly downfield shifted than **1**. There is no much difference between the simple for measured protons of **4** are slightly
- ⁴⁰ the signals for *meso*-aryl protons of H₂TPP(CHO) and H₂TPP(CHO)Br₂ which appears in the range of 7.73 8.25 ppm whereas H₂TPP(CHO)Ph₂ exhibit the upfielded signals of *meso*-phenyl protons due to electron donating nature of Ph groups. The β -pyrrole phenyl protons of **5** appear in the range of 6.84 6.89
- ⁴⁵ ppm. Interestingly, imino protons resonance of **1** shows singlet at -2.56 ppm which is downfield shifted as compared to **4** (-2.71 ppm) and upfield shifted to **5** (-2.25 ppm). There was no signal appeared for β -carboxy proton of MTPP(COOH) in CDCl₃ whereas MTPP(CH₂OH) have characteristic feature of proton
- so resonance due to CH₂O and OH protons which are exhibiting broad doublets (4.75 - 4.91 ppm) and triplet (1.83 - 1.97 ppm), respectively. The β -pyrrolic proton resonances of **3** exhibit downfield shift (8.80 - 8.84 ppm) by 0.1 ppm with respect to β pyrrole-H of **2** (8.77 - 8.94 ppm). The proton resonances of *meso*-
- ⁵⁵ aryl protons in **3** are not much different than those of **1** and **2**. The imino protons of **3** and **2** show proton resonances at -2.67 and

-2.77 ppm, respectively which are upfielded as compared to 1 (-2.53 ppm). The ¹H NMR spectra of metal complexes of **1-5** are devoid of imino-protons revealing that metal ion got inserted into ⁶⁰ the porphyrin ring. The β -pyrrole and *meso*-phenyl protons resonance of Ni(II) complexes are marginally upfield shifted whereas Zn(II) complexes are marginally downfield shifted as compared to their corresponding free-base derivatives. The integrated intensities of the proton resonances of these mono- and ⁶⁵ tri- β -substituted porphyrins are in consistent with the proposed structures.

Electrochemical Studies

The electrochemical studies were performed on mixed substituted porphyrins (MTPP(X)Y₂) to investigate the effect of the β -⁷⁰ substitution on the porphyrin π -system.

The electrochemical redox data of $MTPP(X)Y_2$ are summarized in table 2. Figure 4 presents the cyclic voltammograms (CVs) of CuTPP(X)Y₂ bearing different β -pyrrole substituent(s) in CH₂Cl₂ containing 0.1 M TBAPF₆ at 298 K. These porphyrins exhibited 75 two successive ring centred one-electron oxidation and two oneelectron reduction potentials. In case of Co(II) complexes, the first oxidation and reduction are found to originate from metal centre. For comparison, MTPPs were also examined under similar conditions and the data is presented in table 2. The 80 observed redox potentials of MTPP(X)Y₂ were chosen to delineate the effect of β -substitution on the redox properties of the porphyrin macrocycle. The CVs of $MTPP(X)Y_2$ other than Cu(II) complexes were presented in ESI (Figures S25 -S26). The data analysis of MTPP(X)Y₂ revealed the following facts: (1) The s first ring oxidation potentials of MTPP(X) Y_2 range from 0.84 to 1.18 V whereas first ring reduction potentials show a wide range from -0.89 to -1.43V. (2) By appending electron donor substituent such as hydroxymethyl, we could observe a marginal cathodic shift in their first ring oxidation (0.01 - 0.04 V) and 90 reduction (0.01 - 0.13 V) potentials in comparison to MTPP whereas an opposite trend was observed for COOH substituent i.e. MTPP(COOH). (3) While appending electron acceptor substituents such as CHO, Br, COOH groups, a dramatic anodic shift in their reduction (0.15 - 0.39 V) and oxidation (0.1 - 0.22 95 V) potentials were observed as compared to MTPPs indicating extensive stabilization of LUMO. (4) MTPP(CHO) exhibited a anodic shift in their reduction (0.18 - 0.24 V) and oxidation potentials (0.05 - 0.1 V) with respect to MTPP due to electron withdrawing nature of CHO substituent. MTPP(CHO)Br2 showed 100 further anodic shift in their oxidation (0.05 - 0.22 V) and reduction potentials (0.04 - 0.17 V) as compared to MTPP(CHO). (6) On the other hand, MTPP(CHO)Ph2 exhibited a cathodic shift in their oxidation potentials (0.02 - 0.13 V) whereas reduction potentials are almost unaltered indicating the electron donating 105 nature of phenyl substituents. (7) Further, MTPP(CHO)Br₂ exhibited anodic shift in their first oxidation potentials (0.1 - 0.22 V) and in their first reduction potentials (0.19 - 0.39 V) with respect to MTPP(CH₂OH).

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Table 2. Redox data (vs Ag/AgCl) of MTPP(X) Y_2 in CH_2Cl_2 containing TBAPF₆ as supporting electrolyte at 298 K.

Por.	Oxidation (V)		$\Delta E_{1/2}^{b}(V)$	Reduction (V)		Metal Centred	
	Ι	Π		Ι	II	Ox.	Red.
H_2TPP	1.00	1.34	2.23	-1.23	-1.54		
1	1.05	1.26	2.04	-0.99	-1.25		
2	0.97 ⁱ	1.50	2.19	-1.22	-1.55		
3	1.00	1.50 ^a	2.04	-1.04 ⁱ	-1.28		
4	1.11	1.22	2.00	-0.89	-		
5	1.00	1.11	2.00	-1.00	-1.17		
ZnTPP	0.84	1.15	2.20	-1.36	-1.77		
1 a	0.88	1.17	2.01	-1.13	-1.46		
2a	0.84	1.10	2.24	-1.40 ⁱ	-1.73 ⁱ		
3a	0.84	1.14	2.12	-1.28	-		
4 a	0.94	1.19	2.11	-1.17 ^a	-1.41ª		
5a	0.86	1.07	1.97	-1.11 ⁱ	-1.31		
CuTPP	0.97	1.35	2.27	-1.30	-1.70		
1b	1.06	1.42	2.16	-1.10 ⁱ	-1.42		
2b	0.96	1.31	2.39	-1.43 ⁱ	-1.65 ⁱ		
3b	1.00	1.35	2.11	-1.11 ⁱ	-1.29 ⁱ		
4b	1.07	1.46	2.04	-0.97	-1.21		
5b	0.93	1.33	2.04	-1.11	-1.40		
CoTPP	1.06	1.32	2.44	-1.38	-	0.85	-0.86
1c	1.14	1.36	2.30	-1.16	-1.47 ^a	0.89	-0.76
2c	1.11 ^a	1.31ª	2.45	-1.34 ^a	-1.92ª	0.88 ^a	-0.85 ^a
3c	1.18	1.34 ⁱ	2.23	-1.05 ⁱ	-	0.76	-0.88 ⁱ
4c	1.17	1.36	2.16	-0.99 ^a	-1.43ª	0.90	-0.60
5c	1.07	1.25	2.20	-1.13 ^a	-1.49 ^a	0.88	-0.73
NiTPP	1.02	1.32	2.30	-1.28	-1.72		
1d	1.10	1.31	2.20	-1.10	1.44		
2d	1.01	1.30	2.41	-1.40 ⁱ	-1.65 ⁱ		
3d	1.08	1.28	2.33	-1.25 ⁱ	-		
4d	1.24	-	2.20	-0.96	-1.20		
5d	1.07	1.30	2.17	-1.10	-1.40		

Scan rate = 0.1 V/s. ^aData taken from DPV. ⁱIrreversible oxidation or reduction. ^b $\Delta E_{1/2} = ^{I}$ oxd. - ¹red. Pt working and Pt wire counter electrodes s were used.

So the general trend in their first redox potentials of MTPP(X)Y₂ is shown below: MTPP(CHO)Br₂ > MTPP(CHO) > MTPP(CHO)(Ph)₂ \geq MTPP(COOH) > MTPP \geq MTPPP(CH₂OH).

¹⁰ In general, the oxidation potentials are largely influenced by the electronic nature of the substituent and non-planarity of the macrocycle while reduction potential are independent of structural changes.^{21,22,31} The unusual shift in redox potentials of MTPP(X)Y₂ can be ascribed to the electronic nature of 15 substituent(s) present at β -pyrrole position of porphyrin macrocycle rather than non-planarity. Interestingly, the anodic shift in ring reduction potentials of MTPP(X)Y₂ exhibited more pronounced anodic shift in reduction (0.19 - 0.39 V) and oxidation potentials (0.03 - 0.110 V) with respect to MTPP. This 20 indicates that the presence of electron withdrawing substituent(s) at the β -pyrrole position(s) make the porphyrin ring easily reducible and difficult to oxidize relative to MTPP. Among all, MTPP(CHO)Br₂ exhibited more anodic shift than other β substituted derivatives due to electron withdrawing CHO and Br 25 substituents which enhance the electron deficient nature of π system as compared to other mono-substituted porphyrins MTPP(X) (X = CHO, COOH) and MTPP as well. Cathodic shift has been observed for MTPP(CH₂OH) relative to MTPPs and other derivatives $(MTPP(X)Y_2)$ which can be explained on the 30 basis of electron donating nature of CH₂OH group. The electron donating effect of -CH₂OH group on porphyrin ring make it electron rich which facilitates facile oxidation and renders it ineffective for reduction. Both electron donating and electronwithdrawing substituents exhibited opposite effect on 35 porphyrin ring which produce different redox behaviour. So, the presence of electron withdrawing groups (CHO, COOH, Br) cause an anodic shift in their redox potentials due to its inductive effect on porphyrin macrocycle while electron donating group (CH₂OH) show cathodic shift in redox potential relative to 40 unsubstituted porphyrin macrocycle. It can be observed that the electrochemical shift in the redox potential are more manifested due to pyrrole substitution as compared to the substitution at *meso*-phenyl ring of porphyrin systems.³²



45 Figure 4. Cyclic voltammograms of CuTPP(X) Y_2 (X = CHO, COOH, and CH₂OH; Y = H, Br, and Ph) in CH₂Cl₂ containing 0.1 M TBAP with a scan rate of 0.1 V/s at 298 K.

The difference between the first ring oxidation and reduction potential tells about energy gap (ΔE) between the HOMO and LUMO of the porphyrin derivetives.³³

Figure 5. Effect of β-substituents and HOMO-LUMO variation of CuTPP(X)Y₂.

Table 2 indicates that MTPP(X)Y₂ exhibited more anodic shift in first reduction potential as compared to first oxidation potential that of corresponding MTPPs. This seems to suggest that LUMO ¹⁰ are more stabilized than HOMO. Fig. 5 represent the HOMO-LUMO gap variation of CuTPP(X)Y₂ and Fig. S27 in the ESI represent for free base and other metal complexes. It is seen that **2** exhibited larger HOMO-LUMO gap than other derivatives and

- the trend is follow the order: MTPP \approx MTPP(CH₂OH) > 15 MTPP(COOH) > MTPP(CHO) > MTPP(CHO)Br₂ > MTPP(CHO)Ph₂. It can be explained on the basis of electronic effect of the substituents where electronic withdrawing groups
- decrease the electron density on the porphyrin π -system, while electron donating hydroxymethyl group exhibit an opposite 20 effect.

Frontier and Subfrontier Orbitals Calculations

Gouterman's four orbital model designates a_{1u} , a_{2u} are HOMOs while e_gs are LUMOs. *Meso*-substitution preferentially affects

- ²⁵ a_{2u} , whereas β -substitution largely influences a_{1u} as previously reported by Shelnutt *et al.*³⁴ Even though Binstead *et al* have reported the effect of β -substitution on CuTPP but their studies were limited to mono β -substitution.³⁵ Herein, we present the effect of mono- and tri-substitution on a_{1u} and a_{2u} . It is known
- $_{30}$ that both a_{1u} and a_{2u} are nearly degenerate in which a_{2u} is slightly above than a_{1u} in MTPP.³⁵ The electron withdrawing groups such as CHO, Br, COOH
 - groups at β -position(s) stabilize a_{1u} to a greater extent than a_{2u} i.e. $a_{1u} < a_{2u}$ (Figure 6). However, an opposite effect was observed for
- ³⁵ electron donating substituents such as Ph and CH₂OH i.e. $a_{1u} > a_{2u}$. In general, as the number of electron acceptor group increases on TPP skeleton, the stabilization of a_{1u} as well as e_g increases (Figure 6). For example, the difference between relative energies

of a_{1u} (Δa_{1u}) of **4d** and **2d** is differ by 0.27 eV whereas the ⁴⁰ difference in energies of corresponding a_{2u} is 0.19 eV.

A concise illustration of frontier and subfrontier orbitals of $MTPP(X)Y_2$ is listed in Tables 3 and S4-S6 in the ESI.

Table 3. The shift in energy levels of NiTPP(X) Y_2 with respect to NiTPP, calculated using redox potentials and absorption spectral data									
Por	E _{cg}	I _{Ox}	I_{Red}	$\Delta E^*(eV)$		δε _j	$\delta \epsilon_i$	$\delta \epsilon_k$	
	(ev)			ΔE_{cg}	ΔI_{Ox}	$\Delta \textbf{I}_{\text{Red}}$	(ev)	(ev)	(67)
NiTPP	2.61	1.02	-1.28						
1d	2.60	1.10	-1.10	-0.01	0.08	0.18	-0.08	-0.25	-0.18
2d ^a	2.63	1.08	-1.25	0.01	0.06	0.03	-0.06	-0.03	-0.03
3d	2.67	1.01	-1.40	0.06	-0.01	-0.12	0.01	0.11	0.12
4d	2.57	1.24	-0.96	-0.05	0.22	0.32	-0.22	-0.33	-0.32
5d	2.56	1.07	-1.10	-0.06	0.05	0.18	-0.05	-0.19	-0.18

 $_{45} E_{cg} = (E_B + E_Q)/2; E_{B,Q} = 1240 / \lambda_{B,Q}$, a except CH₂OH, all values calculated with respect to NiTPP as electron removal from a_{2u} .

Figure 6. Effect of electron withdrawing β -substituents on frontier orbitals (HOMO and LUMO levels) of MTPP(CH₂OH).

50 Conclusions

The present work demonstrates the synthesis, spectral and electrochemical redox properties of mono and tri- β -substituted porphyrins, H₂TPP(X)Y₂ (X = CHO, COOH, CH₂OH; Y = H, Br, Ph) and their metal (Co(II), Ni(II), Cu(II), and Zn(II)) complexes. 55 We optimized the reaction condition for $H_2TPP(CHO)Br_2$ (4) which can be easily prepared in a good yield within 24 h. DFT optimised geometries revealed the fact that mono-substituted porphyrins (1-3) are exhibiting very slight distortion or nearly planar structure from the mean porphyrin plane but as steric 60 crowding increases at β -pyrrole positions, tri-substituted porphyrins (4 and 5) show moderate non-planarity from the mean plane. All synthesized porphyrins exhibited red-shifted electronic spectra than MTPPs and MTPP(CH2OH) which is ascribed due to the electron withdrawing groups present at β -pyrrole position(s). 65 The redox tunability was achieved by introducing electron donors (CH₂OH and Ph) and acceptor (CHO, COOH and Br) groups on

MTPP skeleton leading to dramatic cathodic and anodic shifts, respectively. Dramatic reduction in HOMO-LUMO gap with increment in Δa_{1u} was observed as the number of electron withdrawing groups increased. The mono- and tri- β -substitution ⁵ bring tunable optical absorption spectral features and

- electrochemical redox properties with modulated frontier orbitals which are interpreted in terms of both an inductive and resonance interactions of substituent(s) on porphyrin π -system. To the best our knowledge, fluorescence spectral and tunable electrochemical
- ¹⁰ redox properties of MTPP(X) Y_2 are not known in the literature.

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20 Notes and references

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†Electronic Supplementary Information (ESI) available: Synthetic scheme ²⁵ and UV-Vis., fluroscence, ¹H NMR spectra of **1-5** and their metal

- $_{25}$ and UV-Vis., fluroscence, 'H NMR spectra of 1-5 and their metal complexes. CV figures and schematic variation HOMO-LUMO energy levels of MTPP(X)Y_2 are shown.
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