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**Catalytic Oxidation of CO by N<sub>2</sub>O on the Neutral Y<sub>2</sub>MO<sub>5</sub> (M = Y, Al) Clusters:  
A Density Functional Theory Study**

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**Abstract**

Density functional theory (DFT) calculations are employed to investigate the full catalytic cycle of CO oxidation by N<sub>2</sub>O on yttrium oxide clusters Y<sub>2</sub>MO<sub>5</sub> (M = Y, Al) in gas-phase. Extensive structural searches show that both the ground-state structures of Y<sub>3</sub>O<sub>5</sub> and Y<sub>2</sub>AlO<sub>5</sub> contain an oxygen radical (O<sub>i</sub><sup>•</sup>) which plays an important role in CO oxidation. Energy profiles are calculated to determine the reaction mechanisms. Molecular electrostatic potential maps (MEPs) and natural bond orbital (NBO) analyses are employed to rationalize the reaction mechanisms. The results indicate that the whole catalytic cycle for the reaction CO + N<sub>2</sub>O → CO<sub>2</sub> + N<sub>2</sub>, conducted by yttrium oxide clusters Y<sub>2</sub>MO<sub>5</sub> (M = Y, Al), is favored both thermodynamically and kinetically. Moreover, compared with the previous report on di-nuclear YAlO<sub>3</sub><sup>+</sup> and Y<sub>2</sub>O<sub>3</sub><sup>++</sup>, it's obvious to conclude that tri-nuclear Y<sub>3</sub>O<sub>5</sub> and Y<sub>2</sub>AlO<sub>5</sub> exhibit greatly enhanced catalytic activity toward CO/N<sub>2</sub>O couple.

**Keywords:** Density functional calculation; Yttrium oxide cluster; Oxygen radical center; Catalytic oxidation reaction; Doping effect

## 1. Introduction

Catalytic oxidation of harmful gases, such as carbon monoxide (CO) into carbon dioxide (CO<sub>2</sub>) and nitrous oxide (N<sub>2</sub>O) into nitrogen (N<sub>2</sub>), is of great importance both environmentally and economically<sup>1-10</sup>. Even though oxidation of CO by N<sub>2</sub>O is exothermic, they do not occur directly at any measurable temperatures because of high energy barrier for CO/N<sub>2</sub>O couple<sup>11</sup>. It has been verified that some transition metal oxides are effective in catalytic oxidation of CO by N<sub>2</sub>O<sup>12-18</sup>. Mechanistic investigation on the oxygen atom transfer process mediated by transition metal oxides which are involved in CO oxidation by N<sub>2</sub>O is meaningful to design better catalysts with high activity and selectivity. Gas-phase cluster study has been emerged as an alternative approach to provide molecular-level insights into the complex chemical reaction processes occurring on the bulk transition metal oxide<sup>19-28</sup>.

The catalytic oxidation of CO by N<sub>2</sub>O at room temperature (RT) in the gas phase was demonstrated for the first time by Kappes and Staley with FeO<sup>+</sup> as a catalyst<sup>12</sup>. In the ensuing decades, numerous relevant investigations were developed subsequently<sup>13-16</sup>. For example, Castleman and co-workers have reported that a series of zirconium oxide (ZrO<sub>2</sub>)<sub>x</sub><sup>+</sup> ( $x = 2-5$ ) cations as well as (Zr<sub>x</sub>O<sub>2x+1</sub>)<sup>-</sup> ( $x = 1-4$ ) anions exhibit high activity in CO oxidation and the oxygen radical center with elongated zirconium-oxygen bond is the active site<sup>13-15</sup>. They also have shown that the initial zirconium oxide ions can be regenerated by treating oxygen-deficient zirconium-oxide clusters with N<sub>2</sub>O. Besides, binary neutral metal oxide clusters ZrScO<sub>4</sub> and ZrNbO<sub>5</sub> were demonstrated to have similar reactivity with their isoelectronic ions Zr<sub>2</sub>O<sub>4</sub><sup>+</sup> and Zr<sub>2</sub>O<sub>5</sub><sup>-</sup> for CO oxidation and N<sub>2</sub>O reduction<sup>16</sup>. Some other metal-oxide clusters, such as Ce<sub>m</sub>O<sub>2m</sub><sup>+</sup> ( $m = 2-6$ ), Ce<sub>n</sub>O<sub>2n+1</sub><sup>-</sup> ( $n = 4-21$ ), (TiO<sub>2</sub>)<sub>n</sub>O<sup>-</sup> ( $n = 3-25$ ) and VO<sub>3</sub>, were also exploited to bring out their oxidizing capacity towards CO<sup>29-32</sup>. These investigations have indicated the oxygen radical center plays a critical role in CO oxidation process.

Yttrium oxides are also promising for the catalytic application, yet the related studies on CO oxidation by yttrium oxides are relatively scarce<sup>11,33</sup>. Many early

studies have focused their attentions on the electronic structure and bonding of yttrium oxide clusters for their unique properties<sup>34–38</sup>. The previous study has pointed out that the  $YAlO_3^{+*}$  cluster contains oxygen radical center and shows better reactivity than homonuclear yttrium oxide cluster  $Y_2O_3^{+*}$  in CO oxidation<sup>11</sup>. Recently, the terminal oxygen radical was also found in the neutral  $Y_3O_5$  cluster<sup>38</sup>.

In this work, we present our theoretical study on CO oxidations by neutral  $Y_2MO_5$  ( $M = Y, Al$ ) clusters employing density functional calculations. Extensive structural searches show that both  $Y_3O_5$  and  $Y_2AlO_5$  clusters are doublet state with a single unpaired electron located on the terminal oxygen atom. The energy profiles are calculated to get the detail mechanisms underlying the oxygen atom transfer processes involved in CO oxidation reaction. Qualitative explanation for the reactions of  $Y_2MO_5$  ( $M = Y, Al$ ) clusters with CO can be understood from the molecular electrostatic potential maps and natural bond orbital analyses. The regenerations of  $Y_2MO_5$  ( $M = Y, Al$ ) clusters via the reactions of oxygen-deficient clusters  $Y_2MO_4$  ( $M = Y, Al$ ) with  $N_2O$  are also calculated. A full catalytic cycle for the reaction of CO with  $N_2O$  on  $Y_2MO_5$  ( $M = Y, Al$ ) clusters is thus complete.

## 2. Computational Methods

The theoretical calculations were performed at the DFT level using the BP86 functional<sup>39,40</sup>. The calculations were performed using analytical gradients with the Stuttgart relativistic small core basis set and efficient core potential<sup>41,42</sup> augmented with two  $f$ -type and one  $g$ -type polarization functions for yttrium [ $\zeta(f) = 0.144, 0.546$ ;  $\zeta(g) = 0.249$ ] as recommended by Martin and Sundermann<sup>43</sup> and the aug-cc-pvTZ basis set for oxygen, alumina, carbon and nitrogen<sup>44,45</sup>. Scalar relativistic effects, that is, the mass velocity and Darwin effects, were taken into account via the quasi-relativistic pseudopotentials. All the structures presented in the calculated energy profiles were fully optimized. The initial structures of the transition state were obtained by potential energy surface scans with appropriate coordinates. Vibrational frequency calculations were performed at the same level of theory to verify the nature

of the stationary points as minima (zero imaginary frequency) or transition states (one imaginary frequency). The pathways of the reaction mechanisms were further confirmed by intrinsic reaction coordinate (IRC) calculations<sup>46,47</sup>.

The relative energies of the low-lying  $Y_2MO_x$  ( $M = Y, Al$ ;  $x = 4-5$ ) structures were further evaluated via single-point calculations at the coupled cluster [CCSD(T)]<sup>48-52</sup> level with the Y/Stuttgart+2f1g/O/Al/aug-cc-pVTZ basis sets at the BP86 geometries. The results are summarized and available in Supplementary Material (Table S1). In our current studies, the BP86 gave superior results in terms of energies when directly compared to the results of CCSD(T) calculations. The previous studies on yttrium oxide clusters<sup>38,53</sup> also have indicated that the BP86 calculations can give good consistency with the experimental results. As discussed below, we used the results with the BP86 functional for further discussion. All DFT calculations were performed with the Gaussian 09 software package<sup>54</sup> and the CCSD(T) calculations were done using MOLPRO 2010.1 package<sup>55</sup>. Natural bond orbital (NBO) analysis was performed by using the NBO 3.1 program<sup>56</sup>. Three-dimensional molecular structures were visualized using the GaussView 4.1<sup>57</sup>. Three-dimensional contours of the molecular orbitals were visualized using the VMD software<sup>58</sup>.

### 3. Results and Discussions

#### 3.1 The structures and spin density analyses of $Y_2MO_x$ ( $M = Y, Al$ ; $x = 4-5$ )

The optimized ground state structures and low-lying isomers within 0.40 eV for the neutral  $Y_3O_x$  and  $Y_2AlO_x$  ( $x = 4-5$ ) clusters at the BP86 level are presented in Figs. 1-2. Alternative optimized geometries are shown in the Supplementary Information (Figs. S1-S2). In the following discussions,  $O_t$ ,  $O_b$  and  $O_c$  stand for the terminal, bridging and capped oxygen atoms, respectively. The spin density analyses are performed to shed light on the nature of the radical character of these neutral ground states, as shown in Fig. 3.

#### $Y_3O_4$ and $Y_3O_5$

In the previous work, we have reported the structures of  $Y_3O_4$  and  $Y_3O_5$  clusters<sup>38</sup>. The low-lying isomers within 0.40 eV for  $Y_3O_4$  and  $Y_3O_5$  are given in Fig. 1. The ground state of  $Y_3O_4$  is a doublet state  $C_{3v}$  ( $^2A_1$ ) structure (Fig. 1a), which can be regarded as an umbellate structure with a capped oxygen atom and three bridging oxygen atoms. In our calculation, the bond lengths of  $Y-O_c$  and  $Y-O_b$  are 2.174 Å and 2.048 Å, respectively. Alternative optimized structures are located remarkably higher in energy (Figs. S1a–c).

The ground state of  $Y_3O_5$  has a propensity to adopt a doublet ( $^2A''$ ) state with  $C_s$  symmetry (Fig. 1b) at the BP86 level. The  $Y-O_t$  bond length is 2.155 Å and can be considered as  $Y-O$  single bond. Two kinds of connection between capped oxygen and yttrium atoms are found in this structure, where the  $Y-O_c$  distances are presented to be 2.134 Å and 2.309 Å, respectively. Two ( $^2A'$ ) state structures showing in Fig. 1c and Fig. 1d are calculated to be 0.11 eV and 0.21 eV above the ground state, respectively. We also calculated the higher symmetry structure ( $D_{3h}$ ) with two triply-bridging O atoms and three doubly-bridging O atoms for  $Y_3O_5$ , which converged to the  $C_s$  structure, as shown in Fig. 1d. The relative energies for these low-lying structures (within ~ 0.20 eV) were further evaluated using single point CCSD(T) calculations. The results of CCSD(T) calculations are in line with those of DFT calculations.

### **$Y_2AlO_4$ and $Y_2AlO_5$**

We carried out extensive structural searches for the ground-state structures on the potential energy surfaces of  $Y_2AlO_4$  and  $Y_2AlO_5$  clusters considering a variety of structural candidates including different spin states and geometries. The global minimum of  $Y_2AlO_4$  is predicted to be a doublet state ( $^2A'$ ) with  $C_s$  symmetry (Fig. 2a), which shows resemblance with  $Y_3O_4$  ( $C_{3v}$ ,  $^2A_1$ ) in geometry (Fig. 1a). The low-lying isomer  $C_{2v}$  ( $^2A_1$ ) (Fig. 2b) with four bridging O atoms is only 0.02 eV above the global minimum at BP86 level. Single-point CCSD(T) calculations were performed for these two isomers, revealing that the ( $C_s$ ,  $^2A'$ ) structure (Fig. 2a) is 0.12 eV more stable than the ( $C_{2v}$ ,  $^2A_1$ ) structure (Fig. 2b).

As for  $Y_2AlO_5$ , selected optimized structures with their relative energies are given in Figs. 2c–f. The ground state of  $Y_2AlO_5$  is a doublet state  $C_s$  ( $^2A''$ ) as shown in Fig. 2c. It can be viewed that the Al atom replaces the yttrium atom which is link with the terminal oxygen atom in  $Y_3O_5$ . The Al–O<sub>t</sub> distance is 1.767 Å and can be labeled as Al–O single bond<sup>59</sup>. The ( $^2A'$ ) state with similar geometry is 0.12 eV above the ground state at the BP86 level. The  $C_{2v}$  ( $^2B_2$ ) (Fig. 2d) and  $C_{2v}$  ( $^2B_1$ ) (Fig. 2f), which own a terminal and four bridging oxygen atoms, are 0.08 eV and 0.31 eV higher in energy, respectively. These low-lying structures within 0.20 eV were further evaluated by CCSD(T) calculations and the isomer ( $C_s$ ,  $^2A''$ ) (Fig. 2c) is still the lowest-energy structure.

### Spin density analysis

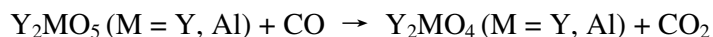
All the ground states of  $Y_2MO_x$  ( $M = Y, Al; x = 4-5$ ) clusters are doublet state. As mentioned above, the global minimum of  $Y_3O_4$  is a  $C_{3v}$  structure with a capped and three bridging oxygen atoms. The spin is distributed equally on three yttrium atoms, as shown in Fig. 3a. After doping an aluminum atom, the unpaired electron is mainly located on aluminum atom in  $Y_2AlO_4$  (Fig. 3b). Both  $Y_3O_5$  and  $Y_2AlO_5$  clusters contain an oxygen radical ( $O^\bullet$ ) as depicted in Fig. 3c and Fig. 3d, respectively. The singly occupied molecular orbitals (SOMOs) of  $Y_3O_5$  and  $Y_2AlO_5$  (Fig. 4) indicate that the unpaired electron is located on the  $2p$  orbitals of terminal oxygen atom.

To our knowledge, the oxygen-centre radical is highly reactive. It's able to oxidize a variety of stable molecules including CO and many small organic species at low temperature, such as  $CH_4$ ,  $C_2H_4$ ,  $C_2H_2$  and so forth<sup>14,60–63</sup>. He and co-workers have studied the reactions of CO with  $Y_2O_3^{++}$  and  $YAlO_3^{++}$  by mass spectrometry and density functional theoretical<sup>11</sup>. According to their results, oxidation of the CO by  $Y_2O_3^{++}$  is prevented by an energy barrier, while oxidation of the CO with  $YAlO_3^{++}$  is favored. The differences are mainly produced by the spin distributions. In  $Y_2O_3^{++}$ , the unpaired electron is delocalized over three bridging oxygen atoms. As for  $YAlO_3^{++}$ , the spin is mainly located on the terminal oxygen atom of Al–O<sub>t</sub><sup>•</sup> unit. However, the

single unpaired electrons of  $Y_3O_5$  and  $Y_2AlO_5$  clusters both located on the terminal oxygen atom of  $M-O_t^\bullet$  ( $M = Y, Al$ ) subunit. In the next section, the mechanisms for CO oxidation by  $Y_3O_5$  and  $Y_2AlO_5$  are presented.

### 3.2 The reactions of $Y_2MO_5$ ( $M = Y, Al$ ) with CO

The detail mechanisms for the reactions of  $Y_2MO_5$  ( $M = Y, Al$ ) with CO are investigated at the BP86 level. In our calculation, all the intermediates and transition structures in doublet state are much more stable than those in quartet state. So DFT calculations are performed for the molecule reactions of  $Y_2MO_5$  ( $M = Y, Al$ ) towards CO on the doublet ground state potential energy surfaces. The products are proposed according to the following equations:



We have considered the possible paths for the reactions and found the way in which CO reacts with the  $M-O_t^\bullet$  site is more preferred. Then we will focus on the mechanism for CO oxidation involving the oxygen radical center. Figs. 5–6 present the favored energy profiles calculated at the BP86 level in which  $IM_n$  represent the intermediate structures and  $TS_n$  correspond to the transition states, respectively. The detail of electron transfer procedures can be obtained by analyzing the spin density of the intermediates and transition states in the reactions as shown in Figs. S8–S9. The Cartesian coordinates for the optimized structures on the potential energy surfaces are given in Table S3. Other possible reaction paths were also calculated and given in Figs. S3–S7.

#### 3.2.1 The reaction profiles of $Y_2MO_5$ ( $M = Y, Al$ ) with CO

##### $Y_3O_5$ with CO

The calculated energy profile for the reaction between  $Y_3O_5$  and CO is presented in Fig. 5. The oxidation reaction occurs through the exothermic (0.29 eV) binding of the carbon atom of CO to the radical oxygen and the adjacent yttrium atom of  $Y_3O_5$  forming an intermediate (IM1). In IM1, the distances of carbon atom to yttrium atom and the radical oxygen are 2.699 Å and 2.749 Å, respectively. Formation of the IM2



requires a transfer of spin from the terminal oxygen to the nonlinear CO<sub>2</sub> unit. This involves an easily surmountable barrier of 0.02 eV. In the process, the C–O<sub>t</sub> distance decreases from 2.749 Å to 1.275 Å and Y–O<sub>t</sub> length increases by 0.138 Å. From IM2, the nonlinear CO<sub>2</sub> subunit rotates around the Y–O<sub>t</sub> bond involving a transition state (TS2) which is 0.10 eV higher in energy. The resulting complex IM3 is lower in energy by 2.64 eV than the reactants. There is another transition state (TS2') from IM2 to IM3, which needs to overcome 0.54 eV of barrier, as shown in Fig. S3. The unpaired electron transfers from the curving bent CO<sub>2</sub> motif back to the yttrium oxide cluster needs to overcome a barrier of 0.61 eV through the transition state (TS3). In this process, the distance of the terminal oxygen atom to the adjacent yttrium atom is elongated, that is, 2.325 Å for IM3, 2.510 Å for TS3 and 2.589 Å for IM4, respectively. The IM4 is 2.05 eV lower in energy than the reactants with a linear CO<sub>2</sub>. Finally, dissociation of CO<sub>2</sub> from IM4 to form the products Y<sub>3</sub>O<sub>4</sub> and CO<sub>2</sub> requires 0.25 eV of energy. The overall oxidation reaction is barrierless and calculated to be exothermic by 1.80 eV, indicating the oxidation reaction is favorable both kinetically and thermodynamically.

### **Y<sub>2</sub>AlO<sub>5</sub> with CO**

The calculated energy profile of Fig. 6 shows that the reaction of Y<sub>2</sub>AlO<sub>5</sub> with CO proceeds according to a general mechanism involving the initial binding of the carbon atom of CO to the radical oxygen of Y<sub>2</sub>AlO<sub>5</sub>. The initial encounter complex IM1 is 2.11 eV more stable than the reactants and contains a bent CO<sub>2</sub> subunit that results from the transfer of single unpaired electron to CO. The bond lengths of C–O<sub>t</sub> and Al–O<sub>t</sub> are 1.314 Å and 1.800 Å, respectively. In the following steps, the CO<sub>2</sub> moiety is transferred from the Al site to the Y site of Y<sub>2</sub>AlO<sub>4</sub> subunit, involving the transitions which are 0.54 eV and 0.53 eV higher in energy for TS1 and TS2, respectively. IM2 and IM3 are 2.34 eV and 2.20 eV lower in energy than the separated reactants, respectively. Spin density is appeared to be mainly located on the Y<sub>2</sub>AlO<sub>4</sub> subunit for the IM2 and Al atom for IM3, respectively (Fig. S9). With the increase of the distance from the carbon atom to the bridge oxygen atom and the

decrease of the distance from the carbon atom to the capped oxygen atom, the CO<sub>2</sub> subunit is passed to the Y–O<sub>c</sub> site forming IM4, requiring an energy barrier of 0.53eV through the TS3. The CO<sub>2</sub>-absorbing (CO<sub>2</sub> ready-desorbing) IM4 is 1.70 eV lower in energy than the reactants. Besides, the electron located on CO<sub>2</sub> unit of IM1 can directly transfer to Al atom forming the IM4 with a barrier of 1.28 eV, as depicted in Fig. S5. Finally, loss of the CO<sub>2</sub> from IM4 requires 0.31 eV of energy, and the overall process is exothermic by 1.39 eV, resulting in Y<sub>2</sub>AlO<sub>4</sub> and CO<sub>2</sub> products.

### 3.2.2 The molecular electrostatic potential and natural bond orbital analyses

An additional supporting finding for the reactions is acquired by considering the molecular electrostatic potential maps (MEPs) and natural bond orbital (NBO) analyses. As can be seen in Fig. S10 for Y<sub>3</sub>O<sub>5</sub> cluster, the MEP exhibits positive values (the blue areas) which are preferred for CO-adsorption. In our calculations, the way that CO adsorbs on the yttrium atom featured by terminal oxygen atom is preferred both kinetically and thermodynamically. In contrast, CO binding to the yttrium atoms which connect with the capped oxygen and bridging oxygen atoms is impeded by an energy barrier, as shown in Fig. S4. Just as many researchers have reported, metal atom adjacent to the oxygen-centered radical is more reactive toward CO<sup>16,29</sup>. The MEP of Y<sub>2</sub>AlO<sub>5</sub> is positive over two yttrium atoms surface. However, the way that CO adsorbs on the yttrium atoms of the Y<sub>2</sub>AlO<sub>5</sub> cluster is not favored as depicted in Fig. S7. In Y<sub>2</sub>AlO<sub>5</sub>, the oxygen radical center area is relatively negative. Attacking at the oxygen radical center leads to the oxidation reaction, which is a preferable path without any energy barrier as shown previously<sup>14,16,17</sup>.

The MEP of CO<sub>2</sub> molecular, shown in Fig. S10, is positive over carbon atom and negative over oxygen atoms, respectively. In Y<sub>3</sub>O<sub>4</sub>, the yttrium atoms are the most positive (1.74|e|) sites and the capped oxygen is more negative (–1.34|e|) than bridging oxygen sites. Therefore, the linear CO<sub>2</sub> has a tendency to split from the Y–O<sub>c</sub> bond. Analogously, the yttrium site (1.91|e|) is more positive than aluminum site (1.47|e|) in Y<sub>2</sub>AlO<sub>4</sub>, which may explain why the CO<sub>2</sub> molecular dissociates from the Y–O<sub>c</sub> bond rather than Al–O<sub>c</sub> bond.

### 3.3 The reaction of $Y_2MO_4$ ( $M = Y, Al$ ) with $N_2O$

The  $Y_2MO_5$  ( $M = Y, Al$ ) clusters can be retrieved by treating  $Y_2MO_4$  ( $M = Y, Al$ ) clusters with  $N_2O$ . Then a full cycle is attainable in the reactions of CO with  $N_2O$  by neutral  $Y_2MO_5$  ( $M = Y, Al$ ) clusters (Fig. 7). DFT calculations at the BP86 level are performed for the reactions of  $Y_2MO_4$  ( $M = Y, Al$ ) with  $N_2O$ . The favorite paths for  $Y_3O_4$  and  $Y_2AlO_4$  towards  $N_2O$  are presented in Figs. 8–9, respectively. The others listed in the supplementary information (Figs. S11–S12).

#### $Y_3O_4$ with $N_2O$

The calculated energy profile for the reaction between  $Y_3O_4$  and  $N_2O$  is presented in Fig. 8. For  $Y_3O_4$ , the spin is delocalized equally over the three yttrium atoms. The adsorption of  $N_2O$  on the equivalent yttrium atom leads to the intermediate (IM1), which is 1.35 eV more stable than the reactants. With the increase of the distance between O atom and N atom (1.399 to 2.789 Å) and the decrease of the distance from O atom to Y atom (2.275 to 2.172 Å), the O atom is passed on smoothly to bind with Y atom forming IM2. The activation barrier of the oxygen transfer is calculated to be 0.05 eV, which corresponds primarily to the breaking of the bond of N–O. The IM2 is 1.68 eV lower in energy than the reactants. Dissociation of the  $N_2$  unit generates the products  $Y_3O_5$  and  $N_2$ , which requires 0.01 eV of energy. The total oxidation reaction is exothermic by 1.67 eV.

#### $Y_2AlO_4$ with $N_2O$

The possible paths for the  $Y_2AlO_4$  cluster reducing  $N_2O$  are displayed in Fig. 9 and Figs. S11–S12. The current study shows that the unpaired electron in  $Y_2AlO_4$  cluster mainly located on the main-group metal aluminum atom. As the  $N_2O$  molecule attacking the aluminum atom, the unpaired electron will occupy the LUMO anti-bonding orbital of  $N_2O$  and the N–O distance will be lengthened to 2.824 Å. The interaction of the Al atom and  $N_2$  unit is weak as their distance is 2.643 Å. The complex IM1 is 1.99 eV more stable than the separated reactants. Releasing the  $N_2$  from IM1 is rather easy without any barrier. The overall process is barrier-less and calculated to be exothermic by 2.09 eV.

The different reactivity of  $Y_3O_4$  versus  $Y_2AlO_4$  in their reactions with  $N_2O$  is just another example of the role spin distributions often played in chemical reactions. In the doped  $Y_2AlO_4$ , the unpaired electron is mainly localized on the Al atom, while in the homonuclear  $Y_3O_4$  cluster, the spin delocalized equally over the three bridging Y atoms. Consequently, the reaction patterns of  $Y_3O_4$  and  $Y_2AlO_4$  towards  $N_2O$  are different. Furthermore, the different spin distributions also induce a more negative change of Gibbs free energy for  $Y_2AlO_4$  ( $-2.14$  eV) in reacting with  $N_2O$  than that of  $Y_3O_4$  ( $-1.79$  eV). In other words, while doping a main group Al atom the reaction is favorable both thermodynamically and kinetically.

### 3.4 Comparison with di-nuclear yttrium oxide clusters

As mentioned above, the reactions of CO with  $Y_2MO_5$  ( $M = Y, Al$ ) are barrierless and exothermic, and the other half of the catalytic cycles for regenerations of  $Y_2MO_5$  ( $M = Y, Al$ ) by  $N_2O$  are favored both thermodynamically and kinetically. Thus the full catalytic cycle is attainable in this work. The catalytic ability of di-nuclear yttrium oxides clusters  $Y_2O_3^{+*}$  and  $YAlO_3^{+*}$  for CO/ $N_2O$  couple also have been studied by He and co-workers<sup>11</sup>. The oxidation of CO with  $Y_2O_3^{+*}$  is impeded by an energy barrier. As one yttrium atom of  $Y_2O_3^{+*}$  was replaced by one aluminum atom, the oxygen radical center was generated leading to the reaction barrier-less. Regeneration of  $YAlO_3^{+*}$  from  $YAlO_2^{+*}$  with  $N_2O$  does not take place and the catalytic cycle cannot be closed. Combined our calculations with He et al report, it's obvious to conclude that the tri-nuclear yttrium oxides clusters exhibit greatly enhanced catalytic activity in the reaction of CO with  $N_2O$ .

## 4. Conclusion

Extensive DFT calculations are employed to investigate the reaction mechanism of CO oxidation by  $Y_2MO_5$  ( $M = Y, Al$ ) clusters and the regeneration of  $Y_2MO_5$  ( $M = Y, Al$ ) clusters using  $N_2O$ . Theoretical investigations reveal that both the  $Y_3O_5$  and  $Y_2AlO_5$  clusters are doublet state with a single unpaired electron located on the terminal oxygen atom. Calculated energy profiles for the reactions of  $Y_3O_5$  and

$Y_2AlO_5$  with CO are energetically favorable and barrierless. The high reactivity for  $Y_2MO_5$  clusters is ascribed to the oxygen radical center. The reaction mechanisms for  $Y_2MO_5$  ( $M = Y, Al$ ) clusters with CO may be understood qualitatively from the molecular electrostatic potential maps and natural bond orbital analyses. Regenerations of the initial species  $Y_2MO_5$  ( $M = Y, Al$ ) by  $N_2O$  constitute the other half of the catalytic cycle. Based on the previous study of di-nuclear  $YMO_2^{+*}$  ( $M = Y, Al$ ), we propose that by controlling the cluster size (or doping ratio), it's possible to generate neutral oxide cluster with localized radical oxygen center, which is highly active in redox reactions.

### Acknowledgments

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### Supplementary Information Available

The others possible pathways for the redox reaction are presented in Figs. S3–S7 and Figs. S11–S12. Numerical electron spin density (in  $|e|$ ) for the structures presented in the energy profiles of Figs. 5–6 are shown in Figs. S8–S9. The Cartesian coordinates for the reactants, intermediates, transition states and the products shown in the energy profiles of Figs. 5–6, Figs. 8–9 are listed in Table S3.

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- Fig. 1.** Optimized global minima and selected low-lying structures (within  $\sim 0.40$  eV) for  $Y_3O_x$  ( $x = 4-5$ ) clusters at the BP86 level. The bond lengths are in angstroms.
- Fig. 2.** Optimized global minima and selected low-lying structures (within  $\sim 0.40$  eV) for  $Y_2AlO_x$  ( $x = 4-5$ ) clusters at the BP86 level. The bond lengths are in angstroms.
- Fig. 3.** Numerical electron spin density (in  $l_e$ ) for the ground states of  $Y_2MO_x$  ( $M = Y, Al; x = 4-5$ ) clusters.
- Fig. 4.** The singly occupied molecular orbitals for the ground states of  $Y_2MO_5$  ( $M = Y, Al$ ) clusters.
- Fig. 5.** DFT calculated energy profile for the reaction of  $Y_3O_5$  cluster with  $CO_2$ . The reactants, intermediates, transition states and products of the reaction are denoted as R,  $IM_n$ ,  $TS_n$  and P, respectively. The energies (given in eV) are relative to the entrance channel. Some key bond lengths are given in angstroms.
- Fig. 6.** DFT calculated energy profile for the reaction of  $Y_2AlO_5$  cluster with CO. The reactants, intermediates, transition states and products of the reaction are denoted as R,  $IM_n$ ,  $TS_n$  and P, respectively. The energies (given in eV) are relative to the entrance channel. Some key bond lengths are given in angstroms.
- Fig. 7.** Proposed full catalytic cycle reaction involving CO and  $N_2O$  mediated by  $Y_2MO_5$  ( $M = Y, Al$ ) clusters.
- Fig. 8.** DFT calculated for the reaction of  $N_2O$  with  $Y_3O_4$  cluster. The reactants, intermediates, transition states and products of the reaction are denoted as R,  $IM_n$ ,  $TS_n$  and P, respectively. The energies (given in eV) are relative to the entrance channel. Some key bond lengths are given in angstroms.
- Fig. 9.** DFT calculated for the reaction of  $N_2O$  with  $Y_2AlO_4$  cluster. The reactants, intermediates and products of the reaction are denoted as R, IM1 and P, respectively. The energies (given in eV) are relative to the entrance channel.

Some key bond lengths are given in angstroms

Fig. 1

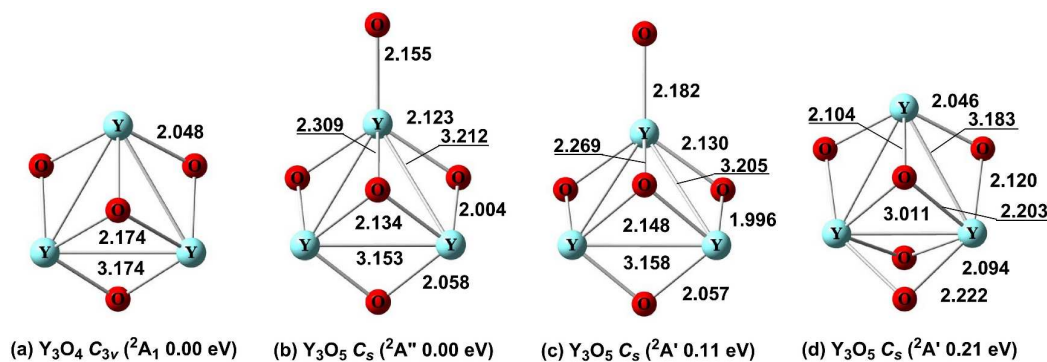


Fig. 2

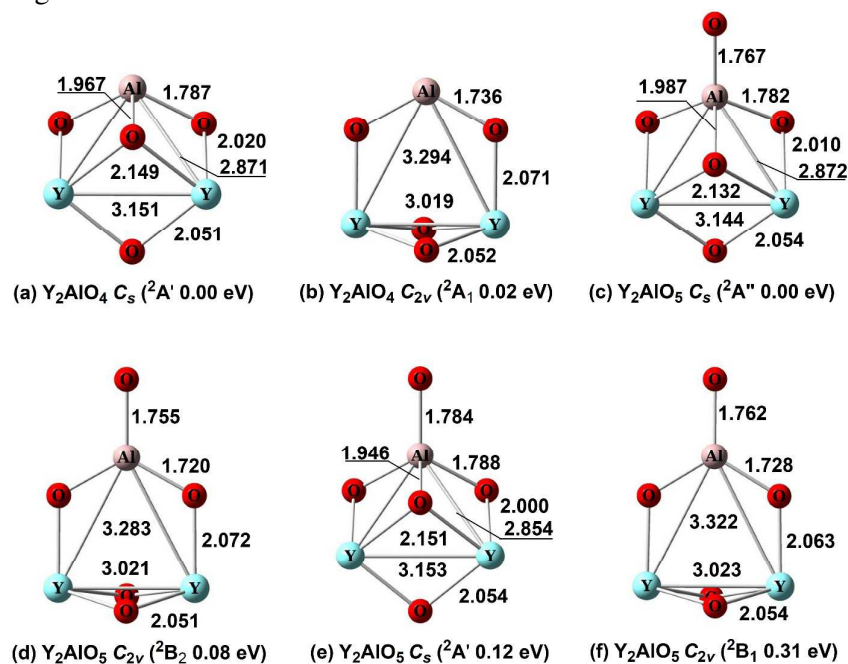


Fig. 3

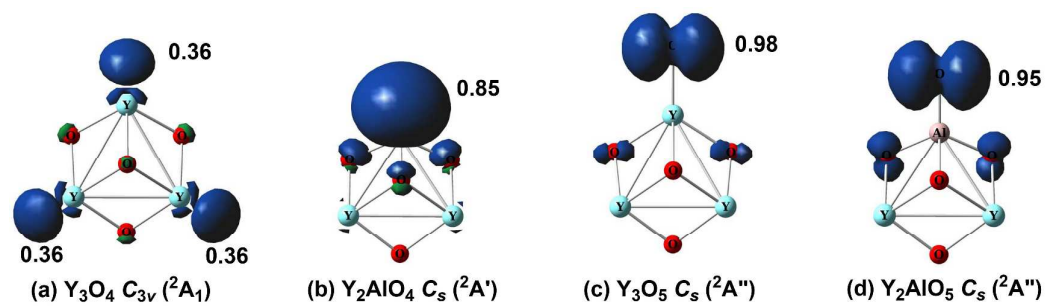


Fig. 4

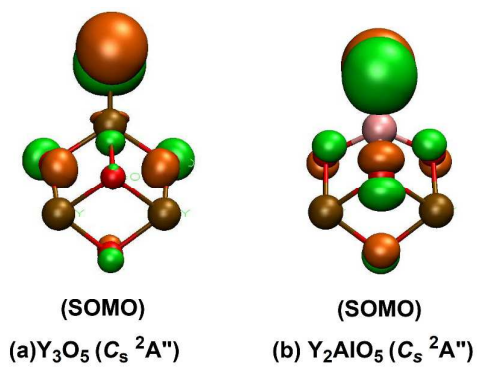


Fig. 5

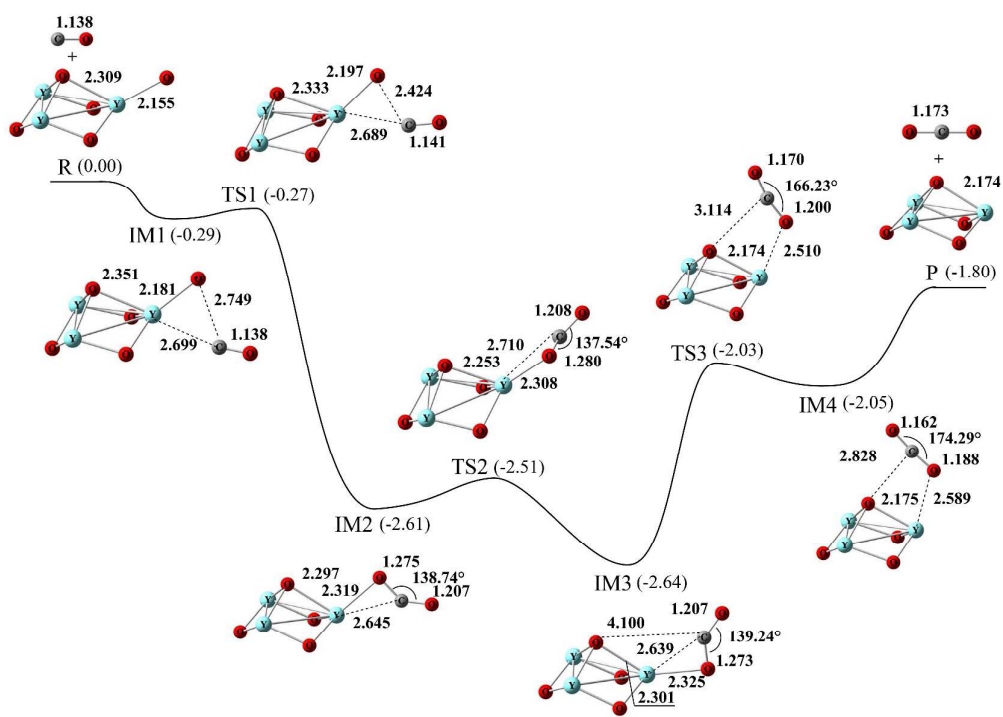


Fig. 6

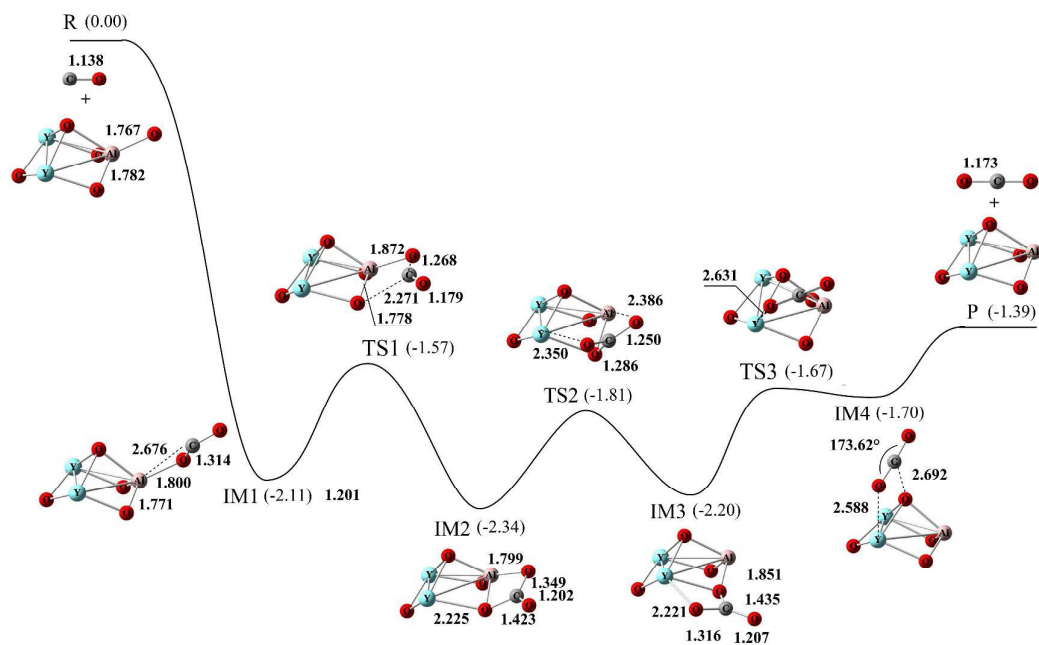


Fig. 7

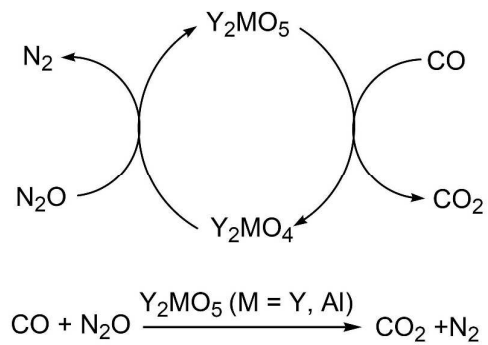


Fig. 8

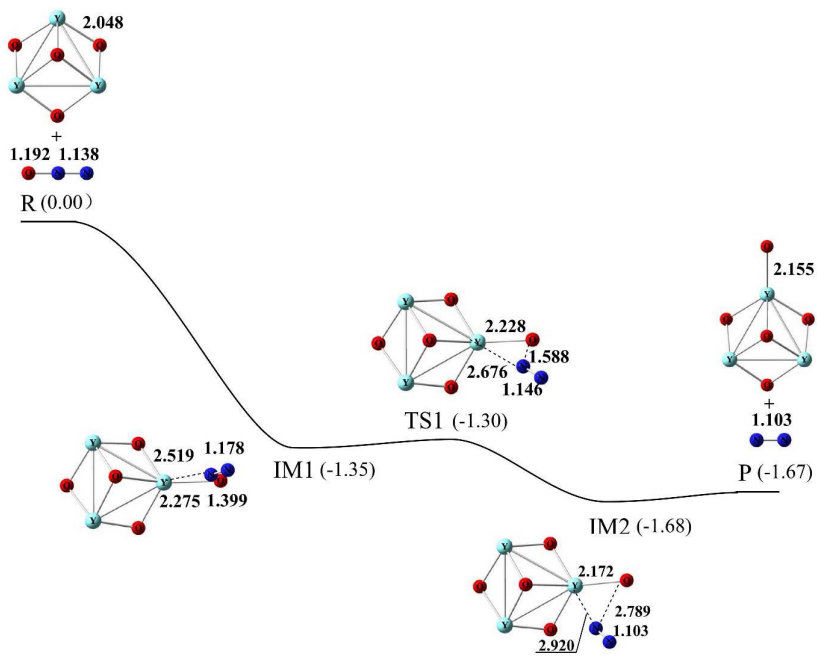
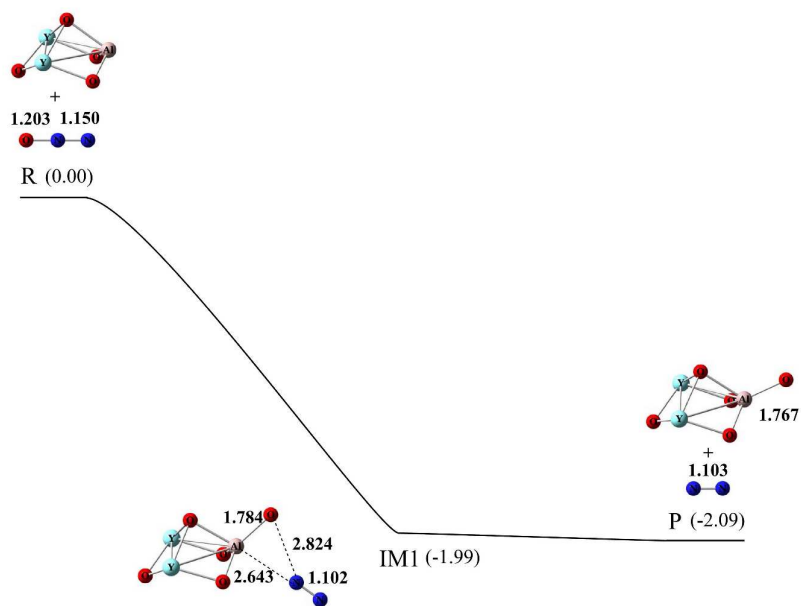
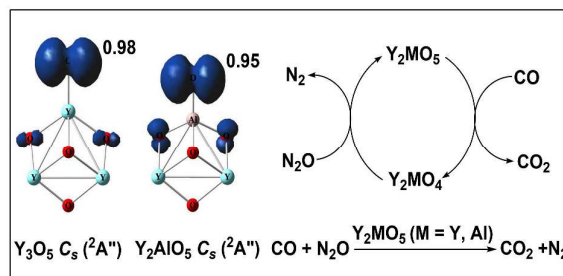


Fig. 9



**Catalytic Oxidation of CO by N<sub>2</sub>O on the Neutral Y<sub>2</sub>MO<sub>5</sub> (M = Y, Al) Clusters:****A Density Functional Theory Study**Hong-Ling Fang<sup>a</sup>, Lei Xu<sup>a</sup>, Jia Li<sup>a</sup>, Bin Wang<sup>a</sup>, Yong-Fan Zhang<sup>a,b,c,\*</sup>, Xin Huang<sup>a,b,\*</sup>**Table of contents entry**

The full catalytic cycle of CO oxidation by N<sub>2</sub>O on the neutral Y<sub>2</sub>MO<sub>5</sub> (M = Y, Al) clusters has been studied in the current work.