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**Graphical Abstract** 

## Colloidal β-KYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup>/Tm<sup>3+</sup> Nanocrystals: Tunable Multicolor

#### **Up-conversion Luminescence from UV to NIR Regions**

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**Abstract:** Pure  $\beta$ -KYF<sub>4</sub> nanocrystals codoped with  $Er^{3+}/Yb^{3+}$  and  $Tm^{3+}/Yb^{3+}$  were successfully synthesized via the thermal decomposition of trifluoroacetate precursors using oleic acid and octadecylene as coordinate solvents. The up-conversion optical properties of the  $\beta$ -KYF<sub>4</sub> nanocrystals with different lanthanide (Ln)-doped ions (Yb<sup>3+</sup>/Er<sup>3+</sup>, Yb<sup>3+</sup>/Tm<sup>3+</sup>) were investigated. It is found that the colloidal dispersion of  $\beta$ -KYF<sub>4</sub>:Yb,Er/Tm nanocrystals display strong multiple up-conversion emission spanning from the deep UV-to-NIR region under 980 nm excitation. Pure  $\beta$ -KYF<sub>4</sub> nanocrystals codoped with  $Er^{3+}/Yb^{3+}$  and  $Tm^{3+}/Yb^{3+}$  can emit the bright eye-visible blue, green, yellow, and red emissions by adjusting concentration of Yb<sup>3+</sup> sensitizer ion and  $Er^{3+}$  or  $Tm^{3+}$ activator ions. Moreover, the UC luminescent colors can be tuned for the four colors from blue to green to yellow and finally to red emission in the

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 $\beta$ -KYF<sub>4</sub>:X%Yb<sup>3+</sup>/(0.2%-2%)Er<sup>3+</sup> and  $\beta$ -KYF<sub>4</sub>: 25% Yb<sup>3+</sup>/0.2% Tm<sup>3+</sup> colloidal samples. This work substantially expands our understanding of this category of KYF<sub>4</sub> upconversion nanocrystals.

#### 1. Introduction

Lanthanide (Ln)-doped up-conversion nanocrystals (UCNCs) have attracted considerable attention because of their potential applications in many fields such as solid-state lasers, solar cells, three-dimensional displays, infrared radiation detectors, and biomedical imaging<sup>1-4</sup>. Compared with traditional fluorescent materials, UCNCs exhibit excellent photostability, continuous emission capability, and sharp multi-peak line emission<sup>5-8</sup>. In particular, lanthanide-doped UCNCs have the remarkable ability to transfer its excitation energy states of lanthanide ions (sensitizer ion) to a neighboring ion (activator ion) to emit efficient emissions by anti-stokes process<sup>1,9-11</sup>. Anti-stokes process of upconverted luminescence is a nonlinear process in which low energy excited-light (near-infrared (NIR) or infrared) is converted to higher energy emitted-light (ultraviolet (UV) or visible (VIS)) through multiple sequential absorption of two or more low energy photons<sup>5,12,13</sup>. The anti-stokes process emerges largely due to unique intra 4f transitions and substantial energies states of the lanthanide ions, which is less influenced by the ligand field (due to the shielding of the 4f orbitals by the outer 5s and 5p orbitals) leading to sharp emission transitions<sup>14,15</sup>.

Yb<sup>3+</sup>-sensitized fluoride NCs have been believed to be one of the most efficient upconverters due to low energy lattice phonons which can minimize the quenching

effect of the excited state of lanthanide ions<sup>16-18</sup>. Moreover, multiple emissions in the visible region have been produced by doping multiple  $Ln^{3+}$  ions  $(Er^{3+}/Yb^{3+}/Tm^{3+})$ ,  $Er^{3+}/Yb^{3+}$  or  $Yb^{3+}/Tm^{3+}$ )<sup>19,20</sup>, since these materials display a very strong effect of NIR-to-VIS photon up-conversion. Green and blue up-conversion emissions are achieved by codoping  $Er^{3+}$  and  $Tm^{3+}$ , respectively, with  $Yb^{3+}$  (as a sensitizer) in sodium host fluorides. Several research groups have recently reported up-conversion from colloids of either cubic or hexagonal NaYF<sub>4</sub> NCs<sup>21-23</sup>. For example, Krämer et al.<sup>24</sup> have identified micrometer-sized hexagonal NaYF<sub>4</sub> co-doped with  $Er^{3+}/Yb^{3+}$  or Tm<sup>3+</sup>/Yb<sup>3+</sup> with the highest up-conversion efficiencies, as well as hexagonal  $NaGdF_4^{25}$  or  $NaLuF_4^{5}$  NCs.  $Ln^{3+}$ -doped KYF<sub>4</sub> NCs also have been reported as one of the most efficient Ln<sup>3+</sup> host for infrared (IR) to VIS UC processes. Several groups also have investigated on Er<sup>3+</sup> /Yb<sup>3+</sup> or Tm<sup>3+</sup> /Yb<sup>3+</sup> co-doped KYF<sub>4</sub> NCs<sup>26-29</sup>. The cubic phase KYF<sub>4</sub>:Yb, Er nanocrystals were reported by Schäfer et al<sup>26</sup>. Intense white luminescence has also been obtained in cubic  $\alpha$ -KYF<sub>4</sub>:Yb<sup>3+</sup>-Er<sup>3+</sup>-Tm<sup>3+</sup> nanocrystals by J. Méndez-Ramos et al<sup>27</sup>. Further, UV-VIS UC emissions containing in cubic  $\alpha$ -KYF<sub>4</sub>-SiO<sub>2</sub> doped with Eu<sup>3+</sup> and co-doped with Yb<sup>3+</sup> and Tm<sup>3+</sup> ions have been reported by Yanes et al<sup>28</sup>. It can be found in the literature A few works concerning synthesis and optical properties of Ln<sup>3+</sup>-doped KYF<sub>4</sub> cubic nanocrystals . However, the quantum yield of cubic phase nanocrystals is generally lower an order of magnitude than that of hexagonal phase. There are no further literature reports on the synthesis and optical properties of  $\beta$ -KYF<sub>4</sub>:Yb,Er/Tm hexagonal nanocrystals.

The optical properties of  $Ln^{3+}$ -doped bulk KYF<sub>4</sub> are widely studied. However, there are few reports on the monodispersed multicolor functional KYF<sub>4</sub> nanocrystals with finely tuned UC emissions. It is well known that the realization of multicolor UC emissions requires the generation and intensity control of the three fundamental blue, green, and red colors. Tuning the visible color output such as three fundamental blue, green, and red colors has been reported by tuning host lattice/dopant combinations and concentration<sup>30</sup>. For example, NaYF<sub>4</sub> and NaLuF<sub>4</sub> NCs doped with different lanthanide activators ( $Er^{3+}$ ,  $Ho^{3+}$ , and  $Tm^{3+}$ ) demonstrate tunable spectra spanning visible and near-infrared regions<sup>31,32</sup>. However, there is no established approach to fine-tuning up-conversion emission for the UV-NIR regions by single-wavelength excitation.

Considerable efforts have been directed to the development and optimization of synthetic approaches to up-conversion nanoparticles. Schäfer et al<sup>33</sup> reported a solvent-free room-temperature synthesis for hexagonal NaYF<sub>4</sub> nanocrystalline. However, the solvent-free approach to small particles from bulk specimens using a readily available technique has faced challenges. Yi et al.<sup>34</sup> have reported the synthesis of (Yb-Er)- and (Yb-Tm)-doped hexagonal-phase NaYF<sub>4</sub> nanoparticles by decomposition of multiprecursors dissolved in oleylamine at 330°C. Herein, pure  $\beta$ -KYF<sub>4</sub> nanocrystals codoped with Er<sup>3+</sup>/Yb<sup>3+</sup> and Tm<sup>3+</sup>/Yb<sup>3+</sup> were synthesized via the thermal decomposition of trifluoroacetate precursors using oleic acid and octadecylene as coordinate solvents. The as-synthesized  $\beta$ -KYF<sub>4</sub> NCs were dispersed readily into solvent to stable colloidal solution for up-conversion emission.

In this paper, we studied the tunable multicolor up-conversion (UC) emissions using potassium host instead of traditionally used sodium host. Lanthanide (Ln)-doped up-conversion optical properties of the  $\beta$ -KYF<sub>4</sub> doped with different lanthanide doping ions (Yb<sup>3+</sup>/Er<sup>3+</sup>, Yb<sup>3+</sup>/Tm<sup>3+</sup>) were investigated. Moreover, UC mechanism and multicolor emissions control of Yb<sup>3+</sup>/Er<sup>3+</sup> co-doped  $\beta$ -KYF<sub>4</sub> NCs were also discussed. It is found that the colloidal dispersion of  $\beta$ -KYF<sub>4</sub>:Yb,Er/Tm NCs display strong multiple up-conversion emission spanning from the deep UV-to-NIR region under 980 nm excitation. In addition,  $\beta$ -KYF<sub>4</sub> NCs could emit the bright eye-visible blue, green, yellow, and red emissions by adjusting concentration of Yb<sup>3+</sup> and activator ions (Er<sup>3+</sup> or Tm<sup>3+</sup>). This work substantially expands our understanding of this category of KYF<sub>4</sub> upconversion nanocrystals.

#### 2. Experimental section

**Materials.** Yttrium(III) oxide (Y<sub>2</sub>O<sub>3</sub>, 99.99%), Ytterbium(III) oxide (Yb<sub>2</sub>O<sub>3</sub>, 99.99%), Erbium(III) oxide (Er<sub>2</sub>O<sub>3</sub>, 99.99%), and Thulium(III) oxide (Tm<sub>2</sub>O<sub>3</sub>, 99.99%), potassium trifluoroacetate (CF<sub>3</sub>COOK,  $\geq$ 98%), 1-octadecene (ODE, 90%), oleic acid (OA, 90%), trifluoroacetic acid (CF<sub>3</sub>COOH, 99.0%), Hexane (C<sub>6</sub>H<sub>14</sub>, 97%), and ethanol (CH<sub>3</sub>CH<sub>2</sub>OH, 99.7%). All chemicals were purchases from Aladdin Inc. and used without any further purification. Lanthanide trifluoroacetates ((CF<sub>3</sub>COO)<sub>3</sub>Ln) were prepared by dissolving the respective lanthanide oxides in trifluoroacetic acid (CF<sub>3</sub>COOH) into a 50mL three-neck flask on a heating mantle.

Synthesis of  $\beta$ -KYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup>/Tm<sup>3+</sup> NCs.  $\beta$ -KYF<sub>4</sub>:X%Yb<sup>3+</sup>/2%Er<sup>3+</sup>, 20% Yb<sup>3+</sup>/0.2%Er<sup>3+</sup>, 25%Yb<sup>3+</sup>/0.2%Tm<sup>3+</sup> NCs were synthesized by thermal decomposition

of trifluoroacetate using oleic acid and octadecylene as coordinate solvents<sup>35</sup>, including  $Yb^{3+}$  by X=20, 35, 50, and 78 ratio instead of the host element  $Y^{3+}$ , the total mole number with lanthanide ions of each sample is 1 mmol. In a typical synthesis, the (CF<sub>3</sub>COO)<sub>3</sub>Ln (Ln=Y, Yb, Er, and Tm), CF<sub>3</sub>COOK (2 mmol), oleic acid (6 mL) and 1-octadecene (15 mL) were added to a 50 mL three-neck flask and stirred. The flask of oxygen and water were purged by argon bubbling at  $130\Box$  for 30min. Next, the temperature of the mixture was slowly raised to  $330\Box$  at a ramping rate of  $5 \square$ /min. The reaction was held at  $330 \square$  for 1h with continuous vigorous stirring. After reaction, this stock solution was cooled to ambient temperature prior to precipitation with absolute ethanol. The NCs were isolated by precipitation with addition of 10 mL of hexane and 15 mL of ethanol followed by centrifugation at 11000 rpm for 5 min. The supernatant containing byproducts was discarded. The white precipitate was collected by centrifugation and washed with hexane and ethanol two times. Different lanthanide-doped KYF<sub>4</sub> NCs were dried at  $60\Box$  under the condition of vacuum.

**X-ray Powder Diffraction (XRD).** The X-ray powder diffraction patterns were measured by using the Bruker Discover D8 high-resolution diffractometer with CuK $\alpha$  radiation ( $\lambda$ =1.5418Å). The samples were measured at a scanning rate of 15°/min in the over the range of 2 $\theta$ =10-70°.

**Element Composition Analysis.** The energy dispersive X-ray spectroscopy (EDS) for elemental analysis was carried out with a Hitachi S-4800 field emission scanning

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electron microscope (FE-SEM). The powder samples were put on a carbon fiber copper wire mesh.

**Transmission Electron Microscopy (TEM) and High-Resolution Transmission Electron Microscopy (HR-TEM).** The morphology and microstructure of as-synthesized sample NCs were measured using a transmission electron microscope and high-resolution transmission electron microscopey (model JEM 2100F) equipped with a field emission gun operating at 200 KV (0.23 nm point-to-point). The colloidal NCs for TEM and HRTEM images were dispersed in an ethanol solution, subsequently one drop of the colloidal suspension was placed on carbon-coated copper grid.

**Up-conversion spectra Analysis.** The UC emission spectra were obtained using a 980 nm CW Ti:Sapphire laser (3900S; Spectra Physics) with an excitation power density of 0-2W/cm<sup>2</sup> adjustable as the excited source from a continuous-wave xenon lamp with a slit width defining spectral resolution of 1 nm. As-synthesized KYF<sub>4</sub> samples were uniformly dispersed in ethanol (1 wt%), and the obtained colloidal NCs were placed in 1 cm path-length quartz cuvettes (Hellma, QS). The emission signal was collected by a half-meter monochromator (HR460, Jobin Yvon) equipped with a 1200 lines/mm and detected with a CCD detector (Spectrum One, Jobin Yvon) from 300 to 850nm. UC luminescence images of the colloidal NCs were acquired with a Nikon multiple camera (model D7100) without adding any filter. All the measurements were performed at room temperature.

#### 3. Results and discussion

# 3.1 Crystal Phase, Morphology and Microstructure, and Element Compositions of β-KYF<sub>4</sub>: Yb,Er/Tm NCs.

Fig. 1 shows the typical XRD patterns of as-prepared KYF<sub>4</sub> NCs co-doped with 20% Yb<sup>3+</sup>/2% Er<sup>3+</sup> and 25% Yb<sup>3+</sup>/0.2% Tm<sup>3+</sup>.



**Fig.1.** XRD patterns of as-synthesized  $KYF_4:20\%Yb^{3+}$ ,  $2\%Er^{3+}$  and  $KYF_4:25\%Yb^{3+}$ ,  $0.2\%Tm^{3+}$  NCs with a hexagonal crystal structure (JCPDS:79-1688).

As demonstrated in Fig.1, the XRD patterns of KYF<sub>4</sub>:Yb,Er and KYF<sub>4</sub>:Yb,Tm are provided with the similar diffraction peaks, which both belong to a P31(144) space group. All of the intense diffraction peaks that located at  $2\theta$ =15.5°C, 21.8°C, 27.0°C, 28.3°C, 31.2°C, 44.5°C and 52.6°C can easily be specified as the diffraction peaks of standard  $\beta$ -KYF<sub>4</sub> XRD patterns (JCPDS79-1688). No other miscellaneous peak is detected, which indicates the pure hexagonal KYF<sub>4</sub> NCs with a high crystallinity can be readily synthesized using thermal decomposition of trifluoroacetates metal-precursor method. The average nanoparticle size of KYF<sub>4</sub>:Yb,Er and KYF<sub>4</sub>:Yb,Tm NCs are calculated to be around 18 and 20 nm according to the Scherrer's formula D =0.89 $\lambda/(\beta \cos \theta)$ , where D,  $\lambda$ ,  $\theta$ , and  $\beta$  are the

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average particle size, X-ray wavelength (0.15405 nm), the diffraction angle and full-width at half-maximum, respectively.

The morphology and size of  $Yb^{3+}$ ,  $Er^{3+}/Tm^{3+}$  codoped KYF<sub>4</sub> NCs are analyzed by TEM and HRTEM. Fig.2 shows the TEM images of KYF<sub>4</sub>:20% Yb<sup>3+</sup>/2% Er<sup>3+</sup> and  $KYF_4:25\%$  Yb<sup>3+</sup>/0.2% Tm<sup>3+</sup> NCs, respectively. It is shown that as-synthesized KYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup>/Tm<sup>3+</sup> NCs contain smaller hexagonal nanorods particles and nanoplates with good monodispersity. From Fig. 2a,b the average grain size for KYF<sub>4</sub>:20% Yb/2% Er and KYF<sub>4</sub>:25% Yb/0.2% Tm NCs are calculated from random 100 nanoparticle to be 16nm, 18nm, respectively, which are in good consistent to XRD analysis result calculated by scherrer's formula. The lattice fringes are obviously observed in HR-TEM images of KYF<sub>4</sub>:Yb,Er/Tm (Fig. 2c,d), which indicated that as-synthesized KYF<sub>4</sub>NCs possessed highly crystallinity. The HRTEM images (Fig. 2c) of as-synthesized nanocrystals showed clear lattice fringes with a interplanar spacing of d = 0.33 nm, which corresponds to the (221) lattice plane of the hexagonal phase  $KYF_4$ . In addition, the interplanar distances of 0.21nm was also observed by HR-TEM images in Fig. 2d, corresponding to the (600) lattice planes of the hexagonal phase  $KYF_4$ , which was consistent with the XRD analysis. The corresponding elemental composition of KYF<sub>4</sub> codoped with 20% Yb<sup>3+</sup>/2%  $\mathrm{Er}^{3+}$  and 25% Yb<sup>3+</sup>/0.2% Tm<sup>3+</sup> NCs were obtained by the EDS analysis, as shown in Fig.2f. The EDS result for KYF<sub>4</sub>:20%Yb/2%Er (Fig. 2e) demonstrates that as-synthesized NCs are mostly consist of K, Y, Yb, Er and F. The EDS result for KYF<sub>4</sub>:25% Yb/0.2% Tm as-synthesized NCs are mostly consist of K, Y, Yb, Tm and F. Based on

the XRD and TEM analyses, it is concluded that the KYF<sub>4</sub> NCs with good monodispersity and small particle size can be readily controlled via the thermal decomposition of trifluoroacetate precursors.



**Fig.2.** TEM and HRTEM images of the as-synthesized KYF<sub>4</sub>NCs: (a)  $KYF_4:20\%Yb^{3+},2\%Er^{3+}$ , (b)  $KYF_4:25\%Yb^{3+},0.2\%Tm^{3+}$ , (c) HRTEM image of  $KYF_4:20\%Yb^{3+},2\%Er^{3+}$  NCs, (d) HRTEM image of  $KYF_4:25\%Yb^{3+},0.2\%Tm^{3+}$  NCs, (e) EDS of  $KYF_4:20\%Yb^{3+},2\%Er^{3+}$  NCs, (f) EDS of  $KYF_4:25\%Yb^{3+},0.2\%Tm^{3+}$  NCs.

### 3.2 Tunability of Multicolor Up-Conversion Emissions of the β-KYF<sub>4</sub>: Yb,Er/Tm NCs.

Under a 980 nm laser excitation,  $Yb^{3+}$  ions are excited from the  ${}^{2}F_{7/2}$  level to the  ${}^{2}F_{5/2}$  level, and then transfer their energies to the nearby  $Er^{3+}$  or  $Tm^{3+}$  ions. On the basis of energy-matching conditions, the possible UC mechanisms for the  $\beta$ -KYF<sub>4</sub>:Yb,Er/Tm are illustrated in Fig.3.



Fig.3 The simplified UC emission mechanisms of β-KYF<sub>4</sub>:.Yb,Er/Tm

Fig.4 shows UC luminescence spectra of the different concentration Yb,Er/Tm-codoped KYF<sub>4</sub> NCs. As can be observed in Fig.4A, six dominant emission peaks of KYF<sub>4</sub> doped with different Yb and Er ion concentration centered around 668, 650, 542, 522, 410, and 380 nm, respectively. These emission peaks occurred as a result of Yb<sup>3+</sup> sensitization, leading to the photon energy transfer to the nearby  $Er^{3+}$  ions. According to the simplified energy level diagram shown in Fig.3, these obvious emission peaks corresponding to 668/650, 542 and 522nm are attributed to the electronic transition of  ${}^{4}F_{9/2} \rightarrow {}^{4}I_{15/2}$ ,  ${}^{4}S_{3/2}$ ,  ${}^{2}H_{11/2} \rightarrow {}^{4}I_{15/2}$  of the  $Er^{3+}$  ions, respectively, while emission peaks center at 410 and 380 nm correspond to electronic transitions of  ${}^{4}G_{11/2}$ ,  ${}^{2}H_{9/2} \rightarrow {}^{4}I_{15/2}$  of the Er ions, respectively. The relative emission peaks intensity

of the three KYF<sub>4</sub>:Yb,Er samples affected the final luminescence performance. The intense green (542 nm) and weak red emissions (650 nm) were observed in the KYF<sub>4</sub>:20% Yb,0.2% Er, which corresponding to the bright eye-visible green luminescence in Fig.4C. The weak green and intense red emissions centered at 542nm and 650nm were observed in the KYF<sub>4</sub>:20% Yb, 2% Er, which corresponding to the bright eye-visible yellow luminescence solution, its transparency was shown in Fig.4D. Compared with emission for KYF<sub>4</sub>:20%Yb,2% Er, the intense red emissions for KYF<sub>4</sub>:78% Yb/2% Er changed from 650 nm to 680 nm with a little red shift, which corresponding to the bright eye-visible red luminescence, as shown in Fig.4E.



**Fig.4** UC luminescence spectra of the Yb,Er/Tm-codoped KYF<sub>4</sub>NCs: (A)KYF<sub>4</sub>:20%Yb,0.2%Er, KYF<sub>4</sub>:20%Yb,2%Er, KYF<sub>4</sub>:78%Yb,2%Er, and KYF<sub>4</sub>:25%Yb,0.2%Tm. (B)The photographs show the corresponding 1wt% ethanol solutions of (C)KYF<sub>4</sub>:20%Yb,0.2%Er, (D)KYF<sub>4</sub>:20%Yb,2%Er, (E)KYF<sub>4</sub>:78%Yb,2%Er, and (F)KYF<sub>4</sub>:25%Yb,0.2%Tm.The colloidal NCs were excited with 980nm laser under power density of 2 W/cm<sup>2</sup>

Fig.4B shows UC luminescence spectra of Yb,Tm-codoped KYF<sub>4</sub> NCs. Under the 980nm near-infrared excitation, the Tm<sup>3+</sup>/Yb<sup>3+</sup>-codoped KYF<sub>4</sub> colloidal NCs dispersed in the 1 wt% ethanol display unexpected up-conversion emission spanning the UV-to-NIR regions, which emerge from all the energy transfer of Tm<sup>3+</sup> ions . Interestingly, two intense emission peaks and one weak peak at 350, 360, and 382nm occurred in the UV emission region, which are generated from the electronic transition of  ${}^{1}I_{6} \rightarrow {}^{3}F_{4}$ ,  ${}^{1}D_{2} \rightarrow {}^{3}H_{6}$ , and  ${}^{1}I_{6} \rightarrow {}^{3}H_{5}$ , respectively. In the visible wavelength range, two strong blue peaks located at 456 and 476nm, and a red peak located at 652nm, which were attributed to electronic level transitions of  ${}^{1}D_{2} \rightarrow {}^{3}F_{4}$ ,  ${}^{1}G_{4} \rightarrow {}^{3}H_{6}/{}^{3}F_{4}$ of the  $Tm^{3+}$  ions, respectively. Because of the population of  ${}^{1}D_{2}$  level of  $Tm^{3+}$  ions is not restrained, the  ${}^{1}D_{2}$  level of Tm<sup>3+</sup> is unable to transfer the fourth photon from the energy level of  $Yb^{3+}$  to the energy of  ${}^{1}G_{4}$  level. Therefore, the cross relaxation (CR) process of  ${}^{3}F_{2} + {}^{3}H_{4} \rightarrow {}^{3}H_{6} + {}^{1}D_{2}$  between Tm<sup>3+</sup> ions may alternatively play an important role in populating the  ${}^{1}D_{2}$  level<sup>36</sup>. In the NIR region, one emission at 800nm is again observed, corresponding to electronic level transitions of  ${}^{3}H_{4} \rightarrow {}^{3}H_{6}$  of the Tm ions. As shown in Fig.4F. KYF<sub>4</sub>:25%Yb, 0.2%Tm solution emits the strong blue up-conversion luminescence, which can be explained by the energy transfer mechanism of Tm<sup>3+</sup> ions derived in the Fig.3.

From what has been discussed above, the Yb<sup>3+</sup> and  $Er^{3+}$  doped ion concentration caused little change in the position of the characteristic emission peak, which is determined by  $Er^{3+}$  photons number. Therefore, it is necessary to study the relationship between the pumping power density (*I<sub>IR</sub>*) and the UC emission intensities  $(I_{UC})$  to determine the number of photons involved in the UC process. In general, the UC emission intensity  $(I_{UC})$  is expected to be directly proportional to the *n*th power of the excitation power  $(I_{IR}):I_{UC}\sim I_{IR}^{n}$ , where *n* is the absorbed photon numbers (>1) per visible photon emitted, and its value can be obtained from the slope of the fitted line of the plot of log  $(I_{UC})$  versus log  $(I_{RR})^{32}$ .



**Fig.5.** (A) UC luminescence spectra of the KYF<sub>4</sub>:20%Yb,0.2%Er NCs excited with 980nm diode laser with power density of 100 to 600mW/cm<sup>2</sup>, (B) Luminescence photographs of the corresponding KYF<sub>4</sub>:20%Yb<sup>3+</sup>,0.2%Er<sup>3+</sup> samples dispersed in 1wt% ethanol colloidal solutions under 980nm laser excitation with power density of 100 to 600mW/cm<sup>2</sup>, (C)Log-log power dependence of the UC emissions of KYF<sub>4</sub>:20%Yb,0.2%Er NCs, and (D)CIE(X, Y) coordinate diagram showing the chromaticity points calculated from the UC emission spectra of KYF<sub>4</sub>:20%Yb,0.2%Er NCs excited under a 980nm laser

Fig.5 A and B show the UC spectra and the corresponding to luminescence photographs of KYF<sub>4</sub>:20%Yb,0.2%Er NCs excited with a 980nm diode laser with power density of 100-600 mW/cm<sup>2</sup>. Fig. 5C shows the  $log(I_{UC})-log(I_{IR})$  plots of emission intensity and excitation power. The slopes of the linear fits of log ( $I_{UC}$ )

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versus log (I<sub>IR</sub>) for the UV, blue, green, and red emissions at 380, 410, 522, 542, and 650nm in the KYF<sub>4</sub>:20%Yb,0.2%Er sample are 2.24, 2.18, 1.94, 1.83, and 1.67, respectively. These results indicate that emission peaks at 650, 542, and 522nm are attributed to 2-photon up-conversion process, while these peaks at the spectrum of 410 and 380 nm emerge via 3-photon up-conversion process, which are consistent with previous reports on NaYF<sub>4</sub><sup>24</sup>, RbGdF<sub>4</sub><sup>37</sup>, NaGdF<sub>4</sub><sup>38</sup> NCs. As shown in Fig.3, the potential upconversion energy-mechanisms from the Yb<sup>3+</sup>/Er<sup>3+</sup> co-doped KYF<sub>4</sub> NCs are illustrated by simplified energy level diagrams. Fig.5D clearly shows the calculated color coordinates and the CIE 1931 chromaticity diagram of the Yb<sup>3+</sup>/Er<sup>3+</sup> codoped KYF<sub>4</sub> NCs for the UC emissions at various powers. When the laser power changed from 100 to 600 mW, the CIE color coordinates of the UC emission of Yb<sup>3+</sup>/Er<sup>3+</sup> co-doped KYF<sub>4</sub> NCs shifted from whitish green to the bright green region.

Transition between yellow and red emissions by the host element  $Yb^{3+}$  instead of  $Y^{3+}$  also observed in the Fig. 4A, indicating that the change of  $Yb^{3+}$  concentrations can affect color output. Therefore, it is necessary to further study influence of  $Yb^{3+}$  doped concentration on light-emitting properties of  $\beta$ -KYF<sub>4</sub> nanocrystals.

Fig.6A,B show the UC spectra and the luminescence photographs of KYF<sub>4</sub>: x% Yb<sup>3+</sup>, 2% Er<sup>3+</sup> with different concentrations of Yb<sup>3+</sup> ion. As can be seen from Fig.6A, the position of band peaks have no obvious change among the four colloidal samples dispersed in ethanol (1 wt%) solution with the increase of Yb<sup>3+</sup> concentration. The content of Yb<sup>3+</sup> increase resulted in the decrease of relative peak intensity at 380nm UV ( ${}^{4}G_{11/2} \rightarrow {}^{4}I_{15/2}$ ), 410nm blue ( ${}^{2}H_{9/2} \rightarrow {}^{4}I_{15/2}$ ) and 522, 542 nm green

 $({}^{2}H_{11/2}, {}^{4}S_{3/2} \rightarrow {}^{4}I_{15/2})$  light emissions. When the doped Yb<sup>3+</sup> concentration changed from 20% to 50%, they both finally emitted the bright yellow light, as shown in Fig. 6B. Interestingly, the intensity of the 668 nm red emissions was slightly enhanced by increasing the Yb<sup>3+</sup> concentration. When the doped Yb<sup>3+</sup> concentration increased to 78%, the red emission become the primary colors, which is quite similar to previous reports of Yb<sup>3+</sup>/Er<sup>3+</sup> codoped NaYF<sub>4</sub><sup>21</sup> and NaLuF<sub>4</sub><sup>26</sup>.



**Fig.6.** (A) UC luminescence spectra of the KYF<sub>4</sub>:x%Yb,0.2%Er NCs (x=20, 35, 50, and 78)excited with 980 nm diode laser. (B) luminescence photographs of the corresponding  $KYF_4:20\%Yb^{3+}$ ,0.2%Er<sup>3+</sup> samples dispersed in 1 wt % ethanol colloidal solutions under 980 nm laser excitation with a power density of 2W/cm<sup>2</sup>. (C) Log–log power dependence of the UC emissions of KYF<sub>4</sub>:x%Yb,0.2%Er NCs, and (D) CIE (X,Y) coordinate diagram showing the chromaticity points calculated from the UC emission spectra of KYF<sub>4</sub>:x%Yb,0.2%Er NCs excited under a 980nm laser.

In order to deeply investigate the involved UC mechanism in KYF<sub>4</sub>:x% Yb<sup>3+</sup>,2%

 $\mathrm{Er}^{3+}$ , the  $\log(I_{UC})$ -log( $I_{IR}$ ) plots of emission intensity and excitation power are shown

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in Fig. 6C. The slope of the fitted line of the plot of log  $(I_{UC})$  versus log  $(I_{IR})$  for the UV, blue, and green emission intensity in KYF4:x% Yb3+,2% Er3+ samples are negative, while red emission intensity gradually increase with the content of Yb<sup>3+</sup> increases. As reported UC mechanism in KYF4:Yb3+/Er3+, only 2-photon emission process involves the production of green and red UC, UC and 3-photon processes is the need for UV and blue emission. Based on above discusses, we explain UC mechanism in KYF<sub>4</sub>:Yb<sup>3+</sup>, Er<sup>3+</sup> with different Yb<sup>3+</sup> concentration. With the amount of Yb<sup>3+</sup> dopants increase in the KYF<sub>4</sub> host lattice, the Yb-Er inter-atomic distance will be decreased to facilitate back-energy-transfer from  $Er^{3+}$  to  $Yb^{3+}$ , which will subsequently suppress the population in excited levels of  ${}^{4}G_{11/2}$ ,  ${}^{2}H_{9/2}$ ,  ${}^{2}H_{11/2}$  and  ${}^{4}S_{3/2}$ and result in the decrease of UV ( ${}^{4}G_{11/2} \rightarrow {}^{4}I_{15/2}$ ), blue ( ${}^{2}H_{9/2} \rightarrow {}^{4}I_{15/2}$ ), and green ( ${}^{2}H_{11/2}$ ,  ${}^{4}S_{3/2} \rightarrow {}^{4}I_{15/2}$ ) emissions. In addition, the energy-transfer from Yb<sup>3+</sup> to Er<sup>3+</sup> results in the saturation of the  ${}^{4}I_{13/2}$  (Er<sup>3+</sup>) state, and then energy of the excited Yb<sup>3+</sup> ions transfer to  $Er^{3+}$  ions through the anti-stokes emission process  ${}^{2}F_{5/2}(Yb^{3+})$  +  ${}^{4}I_{13/2}(Er^{3+}) \rightarrow {}^{2}F_{7/2}(Yb^{3+}) + {}^{4}F_{9/2}(Er^{3+})$ , which can be directly filled in the  ${}^{4}F_{9/2}$  level, resulting in the enhancement of red emission  $({}^{4}F_{9/2} \rightarrow {}^{4}I_{15/2})$ . The chromaticity coordinates shift slowly to red region with the increasing of Yb<sup>3+</sup> concentration, indicating that luminescent colors can be adjusted in a wider range. Fig.6D shows the calculated color coordinates and CIE 1931 chromaticity diagram of KYF4:x% Yb<sup>3+</sup>,2% Er<sup>3+</sup> (x=20, 35, 50, and 78) under 980 nm laser excitation, which vividly describes the change in crystal color trends by adjusting Yb<sup>3+</sup> concentrations. In short,

the upconversion luminescent output colors of  $KYF_4$ :  $Yb^{3+}$ ,  $Er^{3+}$  can be changed from yellow to red emission with the increasing of  $Yb^{3+}$  concentration.

#### 4. Conclusions

In conclusion, we have successfully synthesized pure hexagonal phase  $\beta$ -KYF<sub>4</sub> NCs with a good monodispersity by a trifluoroacetates thermolysis method using oleic acid and octadecylene as a coordinate solvent. The microstructure and nanoparticle size of KYF<sub>4</sub>:Yb,Er/Tm NCs were characterized using XRD and TEM. The average particle size was 18 nm for the  $\beta$ -KYF<sub>4</sub>:20% Yb,2% Er NCs and 20 nm for the  $\beta$ -KYF<sub>4</sub>:20% Yb,0.2% Tm NCs. The optical properties of the  $\beta$ -KYF<sub>4</sub> doped with various lanthanide ions  $(Ln^{3+}=Yb^{3+}, Er^{3+}, and Tm^{3+})$  were investigated under 980 nm excitation. The colloidal KYF<sub>4</sub>:Yb, Er/Tm NCs exhibit strong multiple up-conversion emission spanning from the deep UV-to-NIR region (300-850nm) with 980nm laser excitation. It is shown that the as-synthesized  $\beta$ -KYF<sub>4</sub> NCs can emit the bright eye-visible blue, green, yellow, and red emissions by adjusting concentration of  $Yb^{3+}$  and activator ions ( $Er^{3+}$  or  $Tm^{3+}$ ). Moreover, the UC luminescent colors can be tuned for the four basic colors from blue to green to yellow and finally to red emission in the  $\beta$ -KYF<sub>4</sub>:X%Yb<sup>3+</sup>/(0.2%-2%)Er<sup>3+</sup> and  $\beta$ -KYF<sub>4</sub>: 25% Yb<sup>3+</sup>/0.2% Tm<sup>3+</sup> colloidal samples. This work substantially expands our understanding of this category of KYF<sub>4</sub> upconversion nanocrystals.

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