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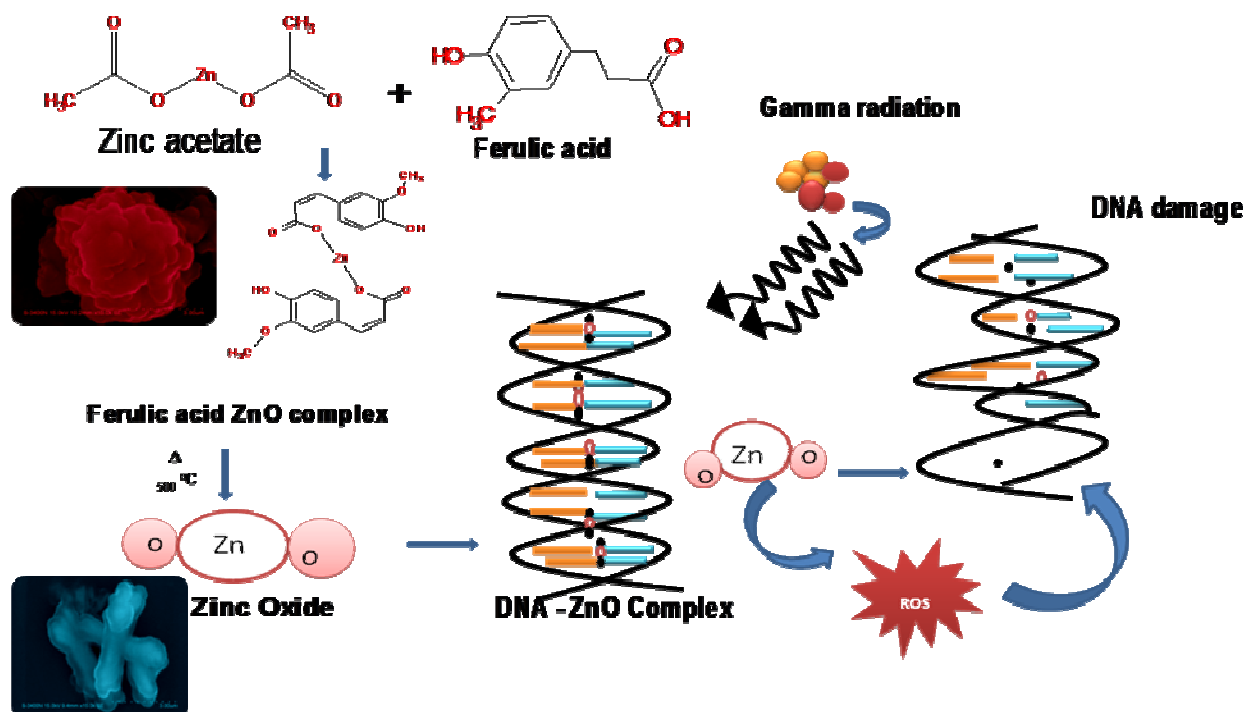
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## Graphical abstract

ZnONPs was synthesized using ferulic acid as reductant and intricate bifold role as DNA binder and radio sensitizer were revealed, which can pave way for anticancer therapy.



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2  
3 **Extracellularly synthesized ZnO nanoparticles interact with DNA and augment gamma**  
4 **radiation induced DNA damage through reactive oxygen species**  
5

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25 **ABSTRACT**

26 The present study brings a green synthesis of highly stable and biocompatible ZnO nanoparticles  
27 (ZnONPs) using ferulic acid as reductant. The biosynthesized nanoparticles were characterized by UV-  
28 visible spectroscopy, photoluminescence spectroscopy, X-ray diffraction, Raman spectroscopy, Fourier  
29 transform infrared spectroscopy, thermo gravimetric analysis, differential scanning calorimetry,  
30 scanning electron microscopy, atomic mass spectroscopy, energy dispersive X-ray spectroscopy and  
31 elemental mapping. The characterization results elucidates the formation of crystalline wurtzite  
32 structured acicular shaped ZnONPs. Further the intricate mechanism of ZnONPs – DNA interaction  
33 was studied. The binding affinity and mechanism of ZnONPs with Calf thymus-DNA interactions were  
34 scrutinized and conformational changes were analyzed. The result reveals interaction of ZnONPs with  
35 DNA in intercalation mode and the values of binding constant (K) and Stern-Volmer quenching  
36 constant ( $K_{sv}$ ) were found to be  $5.8 \times 10^5 \text{ M}^{-1}$  and  $4.1 \times 10^5 \text{ M}^{-1}$  respectively. Furthermore gamma  
37 radiation induced reactive oxygen species (ROS) generation and DNA damage by ZnONPs were  
38 analyzed by various spectrophotometric methods, which unveiled the radiosensitizer role of ZnONPs  
39 through significant increased generation of ROS. Our current experimental evidence explores the  
40 ZnONPs dual role capacity as DNA binder as well as radiosensitizer. Based on present research findings  
41 we conclude that ZnONPs can be gifted anticancer agent, warranting *in vivo* studies.

42

43 *Keywords:*

44 ZnO nanoparticles, DNA interaction, DNA damage, Gamma radiation, Spectroscopy

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## 48 1. Introduction

49 Nanomaterials play a relevant role in the development of biosensors, nanomedicines, clinical  
50 diagnostics, and chemotherapeutics.<sup>1-4</sup> Until recent years, scientists were attracted on metal oxide based  
51 nano-particle synthesis, as they proved its competence in all fields of science because of its unique  
52 chemical, physical and multifunctional properties. Among the metal oxides, zinc oxide nanoparticles  
53 (ZnONPs) is considered as promising candidate in metal oxide nanoparticle, due to its biocompatibility  
54 it is used in drug delivery, bioimaging, antibacterial and antifungal activity.<sup>5, 6</sup> As zinc serves as a co  
55 factor for various enzymes, it holds a key role in regulation of various metabolic pathway, further it has  
56 been used as folk remedy for various disorder including wound healing.<sup>7</sup> It also suppresses sebaceous  
57 secretions of skin, and protects from UV rays which makes it a common chemical ingredient in  
58 cosmetics. The biomedical importance of ZnONPs is strengthened by recent findings showing its  
59 antioxidant, anti-inflammatory, anti-diabetic, anti-proliferative and apoptotic effect.<sup>8-10</sup>

60  
61 Commonly, ZnONPs can be synthesized by chemical, physical and biological methods. Among  
62 these chemical and physical methods like micro emulsion, sono-chemical, sol-gel, polyol, pyrolysis,  
63 precipitation by chemicals etc., have some disadvantages like unaffordable cost, requirement of high  
64 pressure and energy etc.,<sup>11</sup> Most of these methods use surfactants like sodium dodecyl sulfate, cetyl  
65 trimethylammonium bromide etc., for stabilization and prevent re-aggregation. These substances were  
66 adsorbed to the surface of nanoparticles which leads to adverse effect on biological system. Except  
67 these surfactants, some of the metallic precursors and by products of chemical synthesis also pose  
68 chemical toxicity. Green biosynthesis of ZnONPs has gained preference over other conventional  
69 synthetic methods as they are simple, lucrative, innocuous, eco-friendly, highly stable, less time  
70 consuming and curb the use of high energy and pressure.<sup>6</sup> Green synthesis of nanoparticles is a bottom  
71 up method which exploits bioreductants such as phytochemicals and enzymes from plant, bacteria,

72 fungi, and algae for reduction of the metal complex to respective nanoparticles.<sup>12</sup> Among these,  
73 nanoparticle synthesis through microorganism were risky due it's pathogenicity, e.g., *F. oxysporum*<sup>13</sup>  
74 and it needs a long procedure for micro organism culture, maintenance and purification.<sup>14</sup> All these  
75 qualities make plant based green synthesis as a major focus of nanoparticle synthesis. Though the crude  
76 plant extracts are used in green synthetic approach, usage of pure phytochemical is preferred due to its  
77 lack of toxicity and improved efficiency. In the present study, from the green perspective a pure  
78 phytochemical ferulic acid, which is commonly present in the commenlide plants, was selected as  
79 bioreductant and stabilizing agent for ZnONPs preparation. Ferulic acid is one of the health promoting  
80 phenolic phytochemical combined with anti-diabetic, anti-inflammatory, antiviral, immune enhancing,  
81 antioxidant, neuroprotective and metal chelating activities.<sup>15</sup> Additionally, ferulic acid plays a vital role  
82 in the induction of apoptosis by inhibiting anti-apoptotic proteins, suppression of proliferation and  
83 metastasis.<sup>16</sup>

84

85 Molecular interactions between nanoparticles and DNA are of paramount importance for the  
86 development of new nano-based salutary chemotherapeutic drugs.<sup>17</sup> The interacted nanoparticles alter  
87 the bio-properties of DNA and DNA binding proteins.<sup>18</sup> It also changes the conformation of DNA,  
88 which adversely affects gene expression, replication, repair, transcription and signaling mechanism  
89 organized by DNA.<sup>19</sup> So DNA-nano interaction is considered as one of the immersive escalating  
90 investigation area under targeted therapies. The efficacy of targeted therapy can be enhanced by  
91 combination therapy. Gamma radiation is one of the physical agents used for radiotherapy, for effective  
92 elimination of cancer cells, radiotherapy is combined with cytotoxic drugs.<sup>20</sup> Enhancement of  
93 radiotherapy is intensified with radiosensitizers. Since, most of the nanoparticles has rediosensitization  
94 property, it could be employed as a radiosensitizers to improve the efficiency of radiotherapy. Since  
95 most of the nanoparticles has rediosnsitization property<sup>21</sup>, ZnONPs could be employed as a

96 radiosensitizers to improve the efficiency of radiotherapy. To our knowledge the role of biosynthesized  
97 ZnONPs as radiosensitizer is not known till date.

98

99 In the current study, biomimetic synthesis of zinc oxide nanoparticles and some facet of Calf  
100 thymus-DNA (CT-DNA) – ZnONPs interaction and enhancement of gamma radiation induced DNA  
101 damage by ZnONPs were of interest.

102

## 103 **2. Materials and methods**

104

### 105 2.1 Preparation of ZnO nanoparticle

106 Zinc acetate ( $\text{Zn}(\text{CH}_3\text{COO})_2 \cdot 2\text{H}_2\text{O}$ , 98%, Himedia) and ferulic acid ( $\text{C}_{10}\text{H}_{10}\text{O}_4$ , 98%, Sisco Research  
107 Laboratories Pvt. Ltd.,) were used as substrate for ZnONPs synthesis. 6 mM of zinc acetate and 12  
108 mM of ferulic acid were made in ethanol. The ferulic acid solution was added to zinc acetate solution.  
109 A white homogenous dispersion was obtained, which was incubated at room temperature for 24hr. The  
110 precipitate was centrifuged at 6000 rpm for 10 min, washed 3 times with MilliQ water and the final  
111 product was dried at room temperature and calcinated at 500°C for 1hr.

112

### 113 2.2 Characterization of the prepared ZnO nanoparticle

114 The formation and purity of ZnONPs were examined by various characterization methods. The  
115 optical absorption spectra of ethanol dispersed ZnONPs before and after calcination were characterized  
116 by Shimadzu 1800 UV-visible dual beam grating spectrophotometer. The absorption spectra of diluted  
117 solutions were recorded in the wavelength range of 200 to 800 nm at room temperature, ethanol was  
118 used as blank. The photoluminescent emission (PL) spectra of ZnONPs were recorded by using JY  
119 fluorolog- FL3- 11 spectrofluorometer assembled with single grating monochromator and 450 W xenon

120 lamps. The PL spectra were measured with 2 nm slit width and an excitation wavelength of 325 nm.  
121 Lattice dynamics and crystalline quality of ZnONPs were determined under Raman spectroscopy by  
122 Renishaw spectrometer (NRS-3100), with 514 nm argon ion laser as an excitation source and total  
123 incident laser power 30 mW.

124  
125         Shape and vibrational modes of the functional group of the compounds were analyzed by IR  
126 spectra on Fourier transform infrared spectroscopy (FTIR) (Thermo Nicolet 6700:S) in transmission  
127 mode, 400–4000  $\text{cm}^{-1}$  mid infrared region. Samples were prepared in the form of KBr pellets in which  
128 1 mg ZnONPs crushed with 100 mg KBr and KBr alone were used for reference. The crystalline forms  
129 or phases of compound in the calcinated and noncalcinated sample were examined under, Rigaku  
130 ultima IV X-ray diffractometer (XRD). The powdered sample was scanned in the diffraction angle  
131 range  $10^\circ \leq 2\theta \leq 80^\circ$ . The average size of the particles was determined by Debye–Scherrer formula,  $D =$   
132  $(0.9\lambda) / (\beta \cos \theta)$  in this equation diameter of crystallites are (D),  $\lambda$  denoted the wavelength of  $\text{CuK}\alpha$   
133 radiation ( $\lambda = 1.5408 \text{ \AA}$ ),  $\theta$  signifies the Bragg angle,  $\beta$  is full-width at half- maximum in radians  
134 (FWHM). A combination of scanning electron microscopy with energy dispersive X-ray spectroscopic  
135 technique, Hitachi ( S-3400N ) were used to analyze the size, surface morphology, elemental  
136 composition and purity of the nanoparticles. The prepared ZnONPs suspension was first sonicated and  
137 then a drop of the diluted sample was loaded on an aluminium foil coated sample holder followed by its  
138 coating with carbon in ultra vacuum. After complete drying in the ultra vacuum, measurements were  
139 taken.

140  
141         Thermal stability and the energy change associated with the transition of ZnONPs were assessed by  
142 thermogravimetric analyzer TA instruments Q 600 SDT and Q 20 DSC within the temperature range of  
143 0 – 700°C, 10 mg of sample were kept in a platinum crucible and the applied heat was 10°C/min. In this



144 study, the ethanol dispersed freshly prepared samples of nanoparticle suspension were applied to a glass  
145 slide (1×1cm) and air dried for 3 hours so that they form a thin film over the glass slide. The dried film  
146 was scanned by atomic force microscope (AFM) (Bruker multimode-8) in tapping mode, using the  
147 nanoprobe cantilever made of silicon nitride with a spring constant of 49 Nm<sup>-1</sup>.

148

## 149 **2.3 Studies of CT- DNA– ZnO nanoparticle interaction**

### 150 2.3.1 Preparation of ZnO nanoparticle dispersions

151 ZnONPs stock solution (10 mg/ml) in 10 mM Tris HCl ( pH 7.5) (Himedia, USA.) buffer was  
152 prepared by sonication using a Branson digital sonicator for 20 min in 60% pulsation mode and with a  
153 sonication power of ≈60 W after sonication the sample was filtered by 0.2 μm pore size syringe filter.  
154 ZnONPs 10, 30 and 50 μg/ ml were used for interaction and DNA damage study.

155

### 156 2.3.2 Preparation of CT-DNA stock solution

157 Sodium salt of double stranded CT-DNA d(CGCGAATTCGCG) was purchased from Sigma  
158 Aldrich India, it consists of ~ 13,000 base pairs. Stock solutions of CT- DNA (10 mg/ml) were  
159 prepared in 10 mM Tris HCl buffer at pH 7.5 with gentle shaking until formation of homogenous  
160 solution and was stored at 4°C. The final concentration of CT-DNA was examined by UV-Visible  
161 spectrophotometer at 260 nm employing the molar extinction coefficient 6600 cm<sup>-1</sup>, which was found  
162 to be 33.97mM. Furthermore, the 260/280 ratio of CT-DNA was 1.8 which clearly revealed that purity  
163 of DNA without any protein contamination.

164

### 165 2.3.3 Interaction studies

166 The variation of the transmission spectrum of CT-DNA- ZnONPs complex was scrutinized. The  
167 absorbance measurement was examined on Shimadzu 1800 spectrophotometer within the wavelength

168 region of 200–800 nm a 1cm path length rectangular quartz cuvette. For this study varying  
 169 concentration of ZnONPs were added to the constant concentration of CT-DNA (0.1 mM). The mode  
 170 of ZnONPs binding to DNA was found out from the analysis of absorbance data, using the well known  
 171 equation.<sup>22</sup>

$$172 \quad A_0/A - A_0 = \epsilon_D / \epsilon_{DA} + \epsilon_D / (\epsilon_{DA} \cdot K) \times 1/C_A$$

173 Where  $A_0$  is the absorbance of pure DNA alone and  $A$  is the absorbance of DNA with ZnONPs at 260  
 174 nm,  $\epsilon_D$  and  $\epsilon_{DA}$  are the molar extinction coefficient of pure DNA and DNA- ZnONPs complex,  $C_A$  is  
 175 the concentration of ZnONPs. The binding constant can be calculated by using double reciprocal plot of  
 176  $1/A - A_0$  Vs  $1/C_A$ . DNA binding proclivity and stoichiometry of ZnONPs were studied by steady state  
 177 fluorescence and ethidium bromide (EtBr) competitive displacement assay. In steady state fluorescence  
 178 assay differing concentration of DNA (0-100  $\mu$ M) were added to constant concentration of ZnONPs (30  
 179  $\mu$ g/ml). The samples were excited at 325nm, slit width at 2nm and emission spectrum were scanned at  
 180 350 to 550nm. The fluorescence intensity was calculated by Stern-Volmer Equation<sup>23</sup>, Which is  
 181 consider as the measure for efficiency of fluorescence quenching by DNA.

$$182 \quad I/I_0 = 1 + K_{sv} [Q]$$

183  $I/I_0$  are the ratio of fluorescence intensity in the absence and presence of DNA,  $K_{sv}$  Stern-Volmer  
 184 quenching constant, and  $[Q]$  is the concentration of DNA, further for studying quenching mechanism  
 185 bimolecular quenching constant  $K_q$  was calculated.

$$186 \quad K_q = K_{sv} / \tau$$

187  $\tau$  is the life time of flurophore ( $10^{-8}$ ). The EtBr displacement assay were carried out using the  
 188 intercalative agent EtBr (5 $\mu$ M) were mixed with 0.1 mM DNA in Tris HCl buffer of pH 7.5, then  
 189 ZnONPs (10, 30 and 50  $\mu$ g/ml) were added to the DNA-EtBr complex. The EtBr emission spectra were  
 190 measured in the excitation, emission wavelength range of 525 nm and 650 nm respectively. The  
 191 structural disparity of DNA due to the binding of ZnONPs was monitored by Jasco circular dichroism

192 spectrometer with a scan speed of 50 nm/min. The spectra of varied concentration of ZnONPs with 0.1  
193 mM CT-DNA in 10 mM Tris-HCl (pH 7.5) were measured in 200–320 nm range in a rectangular  
194 quartz cell. Autolab type electrochemical analyser (PGSTAT–302N) was used for cyclic voltammetry.  
195 It contains three electrodes, reference, working and the counter electrodes were Ag/AgCl, glassy carbon  
196 electrode and platinum (Pt) respectively. The interaction was studied by stepwise addition of DNA to  
197 ZnONPs with scanning rate at 100 mV/ Sec.

198

## 199 **2.4 Enhancement of gamma radiation induced DNA damage by ZnO nanoparticle**

200

### 201 2.4.1 Gamma irradiation

202 Gamma irradiation was performed in Co-60 gamma chamber GC 5000, at a dose rate of 69.3 Gy  
203  $\text{min}^{-1}$  for a total dose of 3.068 kGy.<sup>24</sup> The 0.1 mM CT-DNA and DNA- ZnONPs (10, 30 and 50  $\mu\text{g}/\text{ml}$ )  
204 complex were incubated at 37°C for 45 min before irradiation.

205

### 206 2.4.2 DNA damage study

207 UV absorption study of gamma irradiated DNA and DNA- ZnONPs complex were detected  
208 within the wavelength range of 200-280 nm by using Shimadzu 1800 spectrophotometer. Fluorescence  
209 spectra were analyzed by Fluorolog-FL3-11 spectrofluorometer, after irradiation 5  $\mu\text{M}$  EtBr were added  
210 to CT-DNA–ZnO complex and the spectra were analyzed the emission wavelength at 600 nm with an  
211 excitation at 520 nm. The conformational variation of CT-DNA- ZnO complex due to gamma radiation  
212 was monitored by Jasco circular dichroism spectrometer with a scan speed of 50 nm/min. The spectra  
213 of varied concentration of ZnONPs with 0.1 mM CT-DNA in 10 mM Tris-HCl (pH 7.5) were measured  
214 in 200-320 nm range in a rectangular quartz cell. The ROS generated by gamma radiation were  
215 detected by 2, 7 dichlorohydrofluoresceindiacetate (DCFDA), 5 mM DCFDA was added to CT-DNA-

216 ZnONPs complex after gamma irradiation and incubated for 1hr, and the fluorescence were measured  
217 by Fluorolog- FL3- 11 spectrofluorometer with an excitation at 495 nm and emission at 520 nm wave  
218 length. Furthermore defect formation on ZnONPs were analysed after gammaradiation by Fluorolog-  
219 FL3- 11 spectrofluorometer with an excitation at 325 nm.

220

### 221 3. Results and Discussion

222

223 Biological method of ZnONPs was prepared by using pure ferulic acid and zinc acetate as  
224 precursor. Our method of biosynthesis was eco friendly, simple and without complex machinery. The  
225 possible mechanism of synthesis is shown in Fig.1. which may involves the reducing and chelating  
226 property of ferulic acid. It forms cationic interaction with  $Zn^{2+}$ , where deprotonated carboxylate group  
227 of one ferulic acid molecule and hydroxyl group of another ferulic acid molecule helps in the chelation  
228 and formation of superstructure of ZnO. Similar mechanism are proposed for reduction of  $Cu^{2+}$ .<sup>25</sup>  
229 Calcination helps to dissolve the chelation and releases more crystalline ZnONPs.

230

#### 231 3.1 Synthesis and confirmation of ZnO nanoparticle formation

232 The formation of ZnONPs assayed by UV-spectroscopy. Ultraviolet-visible excitation spectra of  
233 before and after calcination samples are shown in supplementary Fig. 1. Before calcination spectra has  
234 shown 3 peaks (supplementary Fig. 1a) at 215 nm, 287 nm and 310 nm, which indicates the absorption  
235 spectra of intermediate complex of ferulic acid, ZnO and Zn-ferulate complex.<sup>26</sup> After calcinations the  
236 maximum absorption peak (supplementary Fig. 1b) was obtained at 375 nm confirming the presence of  
237 ZnONPs, further blue shifted 5 nm from bulk ZnONPs spectra occurs at 380 nm, this phenomenon  
238 attribute the quantum confinement effect.<sup>27</sup> Earlier studies have reported similar absorption peak of

239 wurtzite hexagonal ZnONPs at 373 nm. These observations confirmed the formation of ZnO  
240 nanocrystals after calcination.

241

242 PL spectra of ZnONPs reveals the luminescent properties, extrinsic and intrinsic structural  
243 defects present in the synthesized ZnONPs. PL spectra of ZnONPs depicted a peak at 418 nm before  
244 calcination which is a characteristic excitation peak of single ionized ferulic acid and it attributed to  
245 zinc vacancy also (Supplementary Fig. 2a.).<sup>28</sup> After calcination it exhibits strong UV emission at 396  
246 nm and weak fluorescence emissions in visible region which implies band edge absorption and the  
247 formation of crystalline ZnONPs after calcination (Supplementary Fig. 2b). Weak blue emission at 410  
248 nm corresponds to zinc vacancy and emission at 450 nm, which occurs due to the transition between  
249 shallow donors to valence band (oxygen vacancy), 466 nm indicate oxygen and zinc vacancy or  
250 interstitials, 481 nm emission peaks due to transition between the oxygen vacancy and interstitial  
251 oxygen, and 492 nm green emissions by oxygen vacancy. These are the effects of recombination of  
252 electrons and defective holes.<sup>29</sup>

253

254 Raman spectrum relies on in-elastic scattering of monochromatic light, the change in frequency  
255 of photons (shifted up or down) by interacting with molecule is compared with original frequency of  
256 the molecule which is referred to as Raman effect, it's given the phase, purity, crystalline structure and  
257 defect in the molecule.<sup>30</sup> Earlier studies reported that wurtzite (hexagonal) structure having nanoparticle  
258 belongs to the  $C_{6v}^4$  space group with four atoms per primitive cell.<sup>31</sup> Eight sets of optical phonon are  
259 present in ZnONPs, in this  $A_1$ ,  $E_1$  are infrared Raman active polar mode, they are again classified into  
260 transverse optical ( $A_1T$  and  $E_1T$ ) and longitudinal-optical ( $A_1L$  and  $E_1L$ ) and  $E_2$  is the non polar Raman  
261 active mode which is split to occupy the  $C_{3v}$  sites. Raman spectra from the present study before  
262 calcination (Fig. 2a), peaks obtained at  $1604\text{ cm}^{-1}$ ,  $1638\text{ cm}^{-1}$ ,  $1267\text{ cm}^{-1}$ ,  $985\text{ cm}^{-1}$ , indicate the

263 presence of Zn-ferulate complex <sup>26</sup>, after calcinations (Fig. 2b) showed a sharp peak at 437 cm<sup>-1</sup>, which  
264 represents Raman active phonon mode, another peak at 333 cm<sup>-1</sup>, represents second order scattering  
265 from E<sub>2</sub> high-E<sub>2</sub> low, it concluded that the exact active mode of Raman represents a perfect crystalline  
266 ZnO structure with less defects.<sup>32</sup> The stretching vibrations of functional moieties of the molecule with  
267 respect to infrared radiation were determined by FTIR (Fig. 3 a&b). The IR spectrum with the peaks at  
268 3405 cm<sup>-1</sup> and 2361 cm<sup>-1</sup> (carboxylic O-H stretching), 2842 cm<sup>-1</sup> (C-H stretches) 1640 cm<sup>-1</sup> and 1601  
269 cm<sup>-1</sup> (aromatic C=C stretching), 1265 cm<sup>-1</sup>, 1216 cm<sup>-1</sup>, 1157.4 cm<sup>-1</sup> (carboxylic acid C-O stretching)  
270 and 1510 cm<sup>-1</sup> 1605 cm<sup>-1</sup>(aromatic C=C) confirms the skeleton of ferulic acid <sup>33</sup> and a narrow peak at  
271 434.9 cm<sup>-1</sup>, represent the characteristic peak of ZnONPs which corresponds to Raman active E<sub>2</sub>  
272 phonon. After calcination a narrow band at 449 cm<sup>-1</sup> corresponds to stretching vibration of ZnONPs,  
273 other small bands, 979 cm<sup>-1</sup>, 583 cm<sup>-1</sup>, etc., are due to carbon moiety because of air.<sup>34</sup> These results  
274 confirm the formation of Zn-ferulate complex before calcination and after heat treatment it release  
275 ZnONPs.

276

### 277 3.2 Characterization of ZnONPs

278 Crystalline structure of synthesized calcinated and non-calcinated ZnONPs were characterized  
279 by X- ray diffractometer. Curtail peaks were procured before calcinations (Fig. 3c) and narrow, intense  
280 peaks after calcinations showed in Fig. 3d. This change in nature of peaks signifies increased  
281 nanocrystallites in the sample due to calcinations. The peaks at  $2\theta = 31.99^\circ, 34.34^\circ, 36.39^\circ, 47.40^\circ,$   
282  $56.55^\circ, 62.81^\circ, 66.5^\circ, 67.90^\circ, 69.24^\circ, 72.62^\circ,$  and  $77.03^\circ$  were assigned to (100), (002), (101), (102),  
283 (110), (103), (200), (112), (201), (004), (202) of ZnONPs, indicating that the samples were  
284 polycrystalline hexagonal wurtzite structure with lattice constant at  $a = 0.3247, c = 0.5203$  same as a  
285 pure compound (Zincite, JCPDS 98-006-5172, Fig. 3e). Scherrer formula was used to calculate the  
286 average size of the particle and was found to be 31 nm (Table 1). The surface morphology of the

287 synthesized nanoparticle was characterized by scanning electron microscope. The signals of secondary  
288 electron produced by the interaction of the external electron beam with electrons on the surface of  
289 sample were analyzed. 3D image of ZnONPs with 3  $\mu\text{m}$ , magnification showed a small acicular shaped  
290 and got aggregated to form flower like structure (Fig. 4a&b). Chemical characterization of ZnONPs  
291 was done by energy dispersive X- ray spectroscopy (Fig. 5). Electrons from the inner shell of the  
292 sample which were ejected by a high energy incident electron or x-ray beam, which results in the  
293 generation of hole inside the atom to be filled by electron from higher energy shell, the energy  
294 difference between higher and lower energy shell producing X- ray line.<sup>35</sup> The intensity of zinc peak of  
295 calcinated sample was higher than before calcinations (Fig. 5a), the spectrum shown in Fig. 5b has six  
296 absorption peaks, between them three are zinc peaks, two are from K shell and one L shell, one from  
297 oxygen, while the carbon peak is from carbon coating and peak of Al from aluminium foil used as  
298 substrate.<sup>36</sup> No other elemental peaks were observed, indicating the purity of ZnONPs. Spatial  
299 distribution of elements were scrutinized by elemental mapping (Figure 5c-f), the results imply uniform  
300 distribution of zinc and oxygen. Intensity of zinc distribution increased after calcinations.<sup>37</sup>

301  
302 The thermal characteristics were analyzed by thermogravimetry-differential thermal analysis  
303 (TG-DTA) and differential scanning calorimetry (DSC). The TG-DTA result reveals that before  
304 calcinations (Supplementary Fig. 3a) greater mass loss has occurred in the temperature range of 265°C  
305 to 375°C, (19.3%) it continues upto 600°C, (53.28%). But after calcinations (Supplementary Fig. 3b)  
306 weight loss occurs up to 300°C, which was only 6.42%. DSC peak of the sample before calcination has  
307 one endothermic peak at 281°C which indicates the product of catalysis and one exothermic peak at  
308 363.58°C. But, after calcinations only a single exothermic peak at 373°C was found. The result  
309 indicates that after calcinations quality and thermal stability of ZnONPs were improved.<sup>38</sup> The three  
310 dimensional surface contour of ZnONPs was analyzed by atomic force microscopy and the results are

311 depicted in Fig. 6. The size, shape, height distribution and roughness of the surface of ZnONPs were  
312 clear in the 3D structure. The sample before calcination had surface, rougher than sample after  
313 calcination. Size of the nanoparticles was in 15-20 nm range, shape was acicular and height distribution  
314 was found to be around 8.8 nm and 23.5 nm. These results indicated that after calcination pure  
315 crystalline, highly stable ZnONPs was obtained,<sup>34</sup> which were used for further CT-DNA interaction  
316 and gamma radiation induced DNA damage.

317

### 318 3.3 CT- DNA – ZnO nanoparticle interaction studies

319 The UV–visible absorption spectra of CT-DNA and ZnONPs complex are shown in Fig. 7a.  
320 The CT- DNA shows maximum absorption at 260 nm because of the electronic transition occurs in  
321 chromophoric groups present in pyrimidine and purine components. The absorbance of CT-DNA was  
322 gradually decreased at 260 nm with red shift while increasing the concentration of ZnONPs. This  
323 decreased absorbance signifies  $\pi$ - $\pi^*$  stacking interaction between ZnONPs and the base pair of DNA,  
324 this stacking interaction lowering the transition energy which leads red shift.<sup>39</sup> During stacking  
325 interaction, the  $\pi^*$  orbital of ZnONPs was partially filled by electrons which decrease the probability for  
326 transition which cause hypochromic effect. This hypochromic effect with red shift of UV spectrum  
327 clearly revealed that ZnONPs binds with DNA through intercalating mode.<sup>40</sup> This result agree with the  
328 previous theoretical findings.<sup>41</sup> The binding constant of the nanoparticle and DNA complex was  
329 determined from the slope and intercept of the linear graph as  $5.8 \times 10^5 \text{ M}^{-1}$  which binding constant  
330 value shows strong interaction between ZnONPs and CT- DNA when compared to classical intercalator  
331 such as riboflavin.<sup>42</sup>

332

333 The sensitivity and selectivity of fluorescence emission spectroscopy makes it a commonly  
334 using tool for the interaction studies. The molecular interactions such as molecular rearrangements,



335 energy transfer, excited – state reaction and complex formation, may leads to decreased fluorescent  
336 intensity this process is known as quenching.<sup>43</sup> In steady state fluorescence, the emission spectrum of  
337 ZnONPs showed (Fig 7b) a broad emission peak at 423nm, by increasing concentration of DNA the  
338 fluorescence intensity enhanced with a short hypsochromic shift which indicated the strong interaction  
339 of ZnONPs with DNA which diminishes the quenching property of DNA<sup>44</sup>. For finding the mode of  
340 interaction, the ratio of fluorescence intensity in presence and absence of DNA ( $F/F_0$ ) has been plotted  
341 as a function of DNA concentration, the plot showed a linear relationship between fluorescence  
342 intensity and DNA concentration. The efficiency of fluorescence quenching by DNA was calculated by  
343 Stern – Volmer quenching constant ( $K_{sv}$ ) from the slop of  $F/F_0$  Vs  $[Q]$  plot, the value was found to be  
344  $4.1 \times 10^5$  which was similar to the other intercalators.<sup>45</sup> For studying the type of quenching mechanism  
345 bimolecular quenching constant  $K_q$  was calculated which is  $4.1 \times 10^{13}$ . Commonly, two main types of  
346 quenching mechanism which are dynamic quenching and static quenching this can be assessed by  
347 comparing  $K_q$  value with bimolecular limiting diffusion rate constant  $2 \times 10^{10}$ , if the value of  
348 bimolecular quenching constant is found to be greater than limiting diffusion rate constant, then the  
349 quenching process is static, in case of dynamic quenching it should be lesser than  $K_q$  value. The present  
350 study it would be static quenching so that the distance between the ZnO NPs and DNA must be  $< 20 \text{ \AA}$   
351 <sup>46</sup> It might be due to the formation of ground state complex of intercalated ZnONPs with DNA.<sup>47</sup> To  
352 conform the intercalator mode of interaction EtBr displacement assay were carried out. The flurophore  
353 EtBr has strong intercalation between the base pairs of DNA and emits intense fluorescence in the  
354 presence of DNA at 606 nm when excited at 520 nm. The Fig. 7c shows the addition of ZnONPs (10,  
355 30, 50  $\mu\text{g/ml}$ ) the fluorescent intensity of DNA-EtBr complex gets decreased, which implies the  
356 displacement of intercalating agent by ZnONPs. which shows competitive interaction between ZnONPs  
357 and EtBr for the binding site and gives a good agreement about the strong intercalative binding of  
358 ZnONPs with DNA.<sup>48</sup>

359

360 Circular dichroism study was performed to investigate the conformational change of DNA due  
361 to binding of ZnONPs. There are four marker band for B form of DNA, i.e. at 210 nm (negative), 220  
362 nm (positive), and 245 nm (negative) due to base stacking and 281 nm (positive) due to, helicity of B -  
363 DNA conformation (Fig. 8a).<sup>49</sup> The conformational changes are analyzed by the position and intensity  
364 of these bands, during the transition from B to Z form of DNA has a negative band around 290 nm, a  
365 positive band at 260 nm<sup>50</sup> and a transition from B to A form a decrease band intensity at 210 nm,  
366 increased intensity at 280 nm, and a shift occur to higher wavelength at 220 nm.<sup>51</sup> When increasing  
367 concentration of ZnONPs (10, 30, 50  $\mu\text{g/ml}$ ) is added in to the DNA containing buffer, DNA –  
368 ZnONPs complex were formed and there was a gradual decrease in positive and negative bands  
369 intensities without any significant shift of the band peaks. This change emphasizes that B form of DNA  
370 has no conformational change to A or Z form but slight destacking of DNA base pair occurs due to  
371 ZnONPs interaction, and thus leads to changing the conformation from B to C form.<sup>52</sup>

372

373 Electrochemical property of ZnONPs–DNA complex was analyzed by cyclic voltammetry with  
374 a potential range from -0.6 V to 1.2 V (Fig. 8b). The result shows that in absence of ZnONPs, cathodic  
375 peak at 0.2418 and anodic peak at 0.081, peak potential difference was found to be 160 mV which  
376 indicates electrochemical reaction coupled chemical reaction. Upon step wise addition of ZnONPs peak  
377 potential shifted to positive direction,  $\Delta E^0$  value shifted to negative direction and peak current was  
378 decreased, it implies intercalation of ZnONPs between the stacked base pair of DNA.<sup>53</sup>

379

380 3.4 Enhancement of gamma radiation induced DNA damage by ZnO nanoparticle

381 DNA damage due to gamma radiation was appraised by the reflection or transmittance property  
382 of DNA alone and DNA- ZnONPs complex by spectrophotometry. The result (Supplementary Fig. 4)  
383 showed that due to gamma radiation the absorbance of ZnONPs–DNA complex has increased gradually  
384 than before radiation, this may be due to radiation of ZnONPs separate the double stranded DNA,  
385 consequently reduced base pair interaction leads to increased UV absorption. The damage of secondary  
386 structure of DNA leads to hyperchromicity. It elucidates that gamma radiation had inflicted damage to  
387 the DNA helix and ZnONPs intercalation has enhanced the damage.<sup>54</sup>

388

389 Gamma radiation induced DNA damage was confirmed by spectrofluorimetry by using EtBr as  
390 a fluorescent probe for DNA (naturally weak fluorescence emission).<sup>24</sup> EtBr is a strong intercalating  
391 agent and emission intensity of DNA is increased in the presence of EtBr but after gamma radiation  
392 (Fig. 9) the fluorescence intensity decreased by increasing the concentration of ZnONPs (10, 30, 50  
393  $\mu\text{g/ml}$ ). This signifies that gamma radiation induces DNA damage in double helix, hence excess EtBr  
394 left over in the solution, leads to relative decrease in the fluorescence intensity.<sup>55</sup> Fluorimetric  
395 estimation results of samples before radiation and after radiation were compared which indicates a  
396 larger decrease in fluorescence intensity after gamma radiation.

397

398 The conformational change of DNA-ZnONPs complex after irradiation was assessed by circular  
399 dichroism spectrum Fig. 10. As it occurred before radiation, a characteristic B conformation appeared  
400 with a positive peak at 281 nm and a negative peak at 245 nm. But there is an increase in the intensity  
401 of positive and negative peak and the positive peak shifted from 280 to 270 nm. The increase in  
402 positive peak intensity was more when compared to the before radiation peak intensity. This

403 comparison result implies that gamma radiation of ZnONPs–DNA complex leads to more destacking  
404 and unwinding of double stranded DNA .<sup>56</sup>

405

406 Formation of reactive oxygen species (ROS) in gamma radiation induced DNA-ZnONPs  
407 complex were analyzed by using DCFA, which is a fluorescent probe for ROS. It is detected by  
408 fluorescent spectroscopy with excitation at 503 nm and an emission peak observed at 520 nm.<sup>57</sup> This  
409 result (Fig. 11) signifies the generation of ROS in negative control (buffer alone) that occurs by  
410 radiolysis of the buffer, but the intensity of ROS generated is very less when compared to ZnONPs–  
411 DNA complex. This significant amount of ROS generation in ZnO- DNA complex may be due to the  
412 activation of defect present in ZnONPs through gamma radiation which leads to oxidative damage in  
413 DNA.

414

415 Furthermore, the defect formation in gamma radiated and non-gamma radiated ZnONPs were  
416 analyzed by using fluorescence emission spectroscopy and results were represented in supplementary  
417 fig 5. Generally in fluorescence emission study, ZnO has shown two emission peaks such as UV  
418 emission peak present at below 400nm and broad green emission peak present at above 400nm. In our  
419 current study, gamma radiated ZnONPS exhibited decreased intensity in total number of peaks present  
420 on ZnONPS as compared to non gamma radiated sample however, the gamma radiated ZnONPS shows  
421 increased intensity in UV emission peaks when compared to the green emission peak of intensity. This  
422 may indicates the oxygen vacancies of ZnONPS lattice,<sup>58</sup> which leading to the formation of electron-  
423 hole pair which splits water molecule into H<sup>+</sup> and OH<sup>·</sup>. This hydroxyl radical inflicts severe oxidative  
424 damage to DNA, which may leads to lethal complications of the target cell/organ.<sup>59</sup> Conclusively, the  
425 ZnONPs might be encourage gamma radiation induced DNA damage in cancer cells.

426

#### 427 **4. Conclusions**

428 In summary, a green synthetic approach was employed for synthesis of bio- benign ZnONPs by  
429 using ferulic acid as a reductant. The size and structural properties of ZnONPs were analyzed by  
430 different characterization techniques. The result implies crystalline wurtzite structured ZnONPs were  
431 obtained and size of the nanoparticle lies between 20-30 nm as attested by AFM and X-ray diffraction  
432 crystallography. Furthermore, the synthesized nanoparticle was explored for DNA interaction and  
433 radiosensitizer role. The CT-DNA interaction studies were evaluated using photoluminescence and  
434 UV-visible spectroscopy and it reveals high affinity in intercalative mode of interaction with CT-DNA.  
435 Conformational changes were analyzed by CD spectroscopy indicate no characteristic change of B  
436 form to A or Z but, base pair unstacking was noticed. It was also found that the electrochemical  
437 characteristics support the intercalative mode of interaction. Exposure to gamma radiation, generates  
438 ROS in CT-DNA/ZnONPs complex leading oxidative DNA damage which was analyzed by UV visible  
439 and fluorescence spectroscopy. The advantage of present biological method of synthesis is simple,  
440 easy, and eco-friendly. Moreover, DNA-ZnONPs interaction is a promising aspect in the field of  
441 pharmacokinetics for various biomedical applications. As a radiosensitizer it would be applied to  
442 augment the radiotherapy. These studies may pave way for a highly potential targeted therapy for  
443 cancer.

444

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- 544

545 **Foot notes**

546 **Figure 1.** Proposed mechanism of ferulic acid assisted synthesis of ZnO NPs

547

548 **Figure 2.** Characterization of ZnONPs: Raman spectrum of ZnONPs (a) before calcination (b) after  
549 calcination (500°C for 1 hr).

550

551 **Figure 3.** Functional moieties and crystallinity of ZnONPs: FTIR spectrum of functional moieties of  
552 the molecule present on ZnONPs (pellet made along with KBr) (a) before calcination (b) after  
553 calcinations (500°C for 1 hr). Crystallinity of ZnONPs were analysed by X-Ray diffractometer (c)  
554 before calcination (d) after calcination (500°C for 1 hr) (e) JCPDS 98-006-5172.

555

556 **Figure 4.** SEM micrograph of the prepared ZnONPs (a & b) before calcination (c & d) after calcination  
557 (500°C for 1 hr).

558

559 **Figure 5.** Chemical composition of ZnONPs analysed by EDAX (a) before calcination b) after  
560 calcination (500°C for 1 hr). Elemental mapping image of ZnONPs (c & d) before calcination (e & f)  
561 after calcination.

562

563 **Figure 6.** 3D images for surface morphology and line profile of ZnONPs by atomic force microscope.  
564 Before calcinations (a & c), after calcinations (b & d).

565

566 **Figure 7.** UV-Visible absorption spectra and fluorescence emission spectra of Calf thymus-DNA and  
567 ZnONPs (a) UV-Visible absorption spectra of CT-DNA alone (0.1 mM of DNA in 10 mM Tris HCl  
568 buffer, pH 7.5 at 25°C ) and presence of different concentration of ZnONPs (0 to 70 µg/ml). The arrow  
569 shows the changes of spectra upon increasing concentration of complex (1) DNA alone, (2) DNA + 10  
570 µg/ml ZnO, (3) DNA + 30 µg/ml ZnONPs, (4) DNA + 50 µg/ml ZnONPs, (5) DNA + 70 µg/ml  
571 ZnONPs, **Inset:** plot of  $1/A - A_0$  vs  $1/[ZnONPs]$ . (b) Steady state fluorescence spectra of ZnONPs  
572 alone and in presence of various concentration of CT-DNA (0-100 µM). The arrow shows the changes  
573 of spectra upon increasing concentration of complex (1) ZnONPs 30 µg/ml, (2) DNA (5 µM) +  
574 ZnONPs 30 µg/ml (3) DNA (25 µM) + ZnONPs 30 µg/ml, (5) DNA (50 µM) + ZnONPs 30 µg/ml, (6)  
575 DNA (100 µM) + ZnONPs 30 µg/ml, **Inset:** plots of  $1-I / I_0$  vs  $1/[DNA]$ . (c) Fluorescence emission  
576 spectra of EtBr bound CT- DNA alone and in presence of various concentration of ZnONPs (0 – 50  
577 µg/ml). The arrow shows the change of spectra upon increasing concentration of complex (1) EtBr-  
578 DNA complex (5µM EtBr + 0.1 mM CT-DNA in Tris HCl buffer, pH 7.5), (2) EtBr-DNA complex +  
579 10 µg/ml ZnO, (3) EtBr-DNA complex + 30 µg/ml ZnONPs, (4) EtBr-DNA complex + 50 µg/ml  
580 ZnONPs .

581

582 **Figure 8.** Conformational changes and electrochemical properties of CT-DNA and ZnONPs. (a)  
583 Conformational changes of CT-DNA alone (0.1 mM in Tris HCl buffer, pH 7.5) and in presence of  
584 different concentration of ZnONPs (0 – 90 µg/ml) were analyzed by CD spectroscopy. The arrow  
585 shows the change of spectra upon increasing concentration of complex. (1) DNA alone, (2) DNA + 10  
586 µg/ml ZnO, (3) DNA + 30 µg/ml ZnONPs, (4) DNA + 50 µg/ml ZnONPs. (b) Electro chemical  
587 properties of CT – DNA alone (0.1 mM in Tris HCl buffer, pH 7.5) and various concentration of

588 ZnONPs-DNA complexes were analysed by Cyclic voltammogram. The arrow shows the changes of  
589 spectra upon increasing concentration of complex (1) DNA alone, (2) DNA + 10  $\mu\text{g/ml}$  ZnONPs, (3)  
590 DNA + 30  $\mu\text{g/ml}$  ZnONPs, (4) DNA + 50  $\mu\text{g/ml}$  ZnONPs

591

592 **Figure 9.** Comparative emission spectrum of radiated and non radiated samples of CT- DNA alone  
593 (0.1 mM in Tris HCl buffer, pH 7.5) and DNA – ZnO (0 – 50  $\mu\text{g/ml}$  ) complex with 5  $\mu\text{M}$  EtBr, The  
594 arrow shows the change of spectra upon increasing concentration of complex (1) DNA + EtBr alone (2)  
595 DNA- EtBr + 10  $\mu\text{g/ml}$  ZnONPs (3) DNA- EtBr + 30  $\mu\text{g/ml}$  ZnONPs (4) DNA- EtBr + 50  $\mu\text{g/ml}$   
596 ZnONPs,(5) IR\*DNA- EtBr alone (6) IR DNA - ZnONPs (10  $\mu\text{g/ml}$  ) + EtBr (7) IR DNA - ZnONPs  
597 (30  $\mu\text{g/ml}$  )+ EtBr (8) IR DNA - ZnONPs (50  $\mu\text{g/ml}$  )+ EtBr of ZnONPs.(\* IR – irradiated)

598

599 **Figure 10.** Comparative conformation changes of radiated and non-radiated CT-DNA (0.1 mM in Tris  
600 HCl buffer, pH 7.5) alone and CT-DNA – ZnONPs (30  $\mu\text{g/ml}$ ) complexes were analyzed by circular  
601 dichroism spectroscopy.

602

603 **Figure 11.** Photoluminescence spectra of ROS generated by gamma irradiation of CT- DNA (0.1 mM  
604 in Tris HCl buffer, pH 7.5) and varying concentration of ZnONPs (0–50  $\mu\text{g/ml}$  ) with fluorescent prob  
605 DCFDA (5 mM). The arrow shows the change of spectra upon increasing concentration of complex (1)  
606 IR DNA alone, (2) IR DNA + 10  $\mu\text{g/ml}$  ZnONPs, (3) IR DNA + 30  $\mu\text{g/ml}$  ZnONPs, (4) IR DNA + 50  
607  $\mu\text{g/ml}$  of ZnONPs

608

609

610 **Table 1.** The average size of the particles was determined by Debye–Scherrer formula,  $D = (0.9\lambda) / (\beta$   
 611 case  $\theta)$  in this equation diameter of crystallites are (D),  $\lambda$  denoted the wavelength of CuK $\alpha$  radiation,  
 612  $\theta$  signifies the Bragg angle,  $\beta$  is full – width at half – maximum in radians (FWHM).

<b>2<math>\theta</math> degree</b>	<b>FWHM (<math>\beta</math>)</b>	<b>d- Spacing (A<math>^\circ</math>)</b>	<b>Crystallite Size (nm)</b>
31.79 $^\circ$	0.274	2.81	52.7
34.44 $^\circ$	0.288	2.6	41.3
36.28 $^\circ$	0.311	2.47	100
47.57 $^\circ$	0.381	1.9	22.3
56.65 $^\circ$	0.264	1.62	36
62.91 $^\circ$	0.350	1.47	37.5
66.44 $^\circ$	0.290	1.40	4.8
68.01 $^\circ$	0.300	1.37	26.7
69.15 $^\circ$	0.320	1.357	13.5
72.62 $^\circ$	0.270	1.30	2.2
77.03 $^\circ$	0.420	1.23	4.3
		Average Size	31 nm

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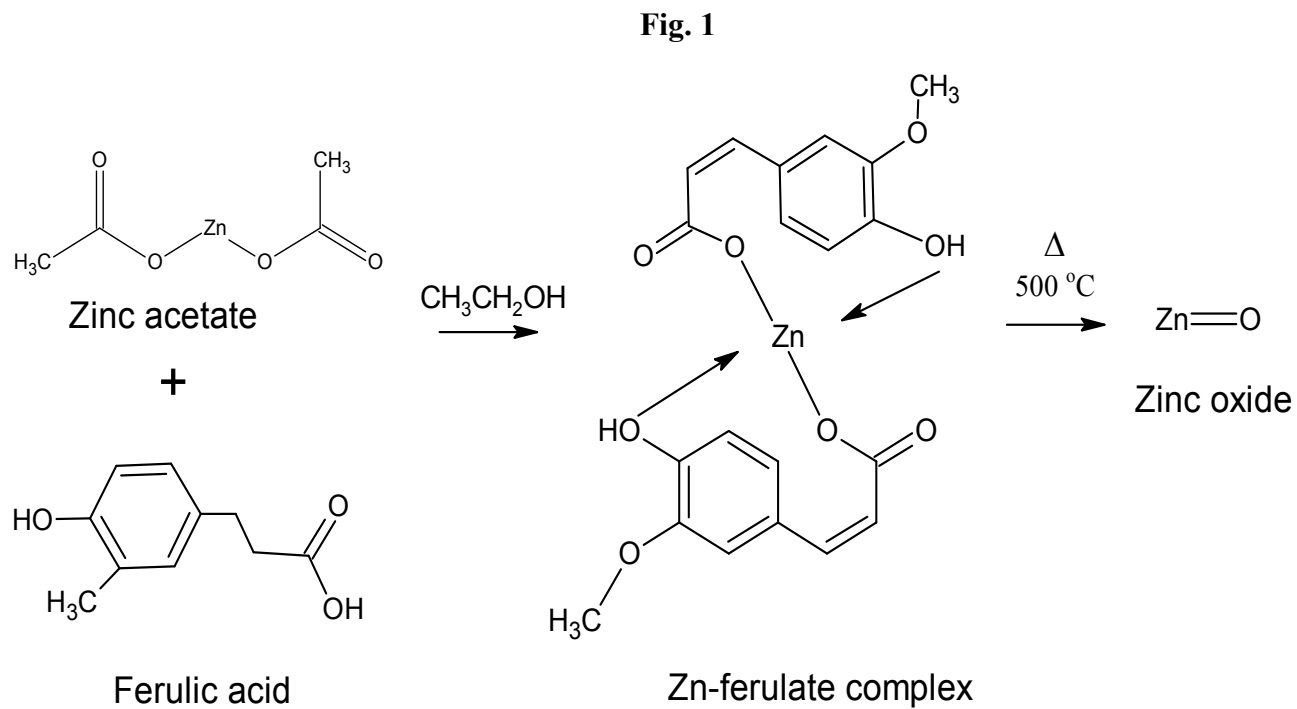
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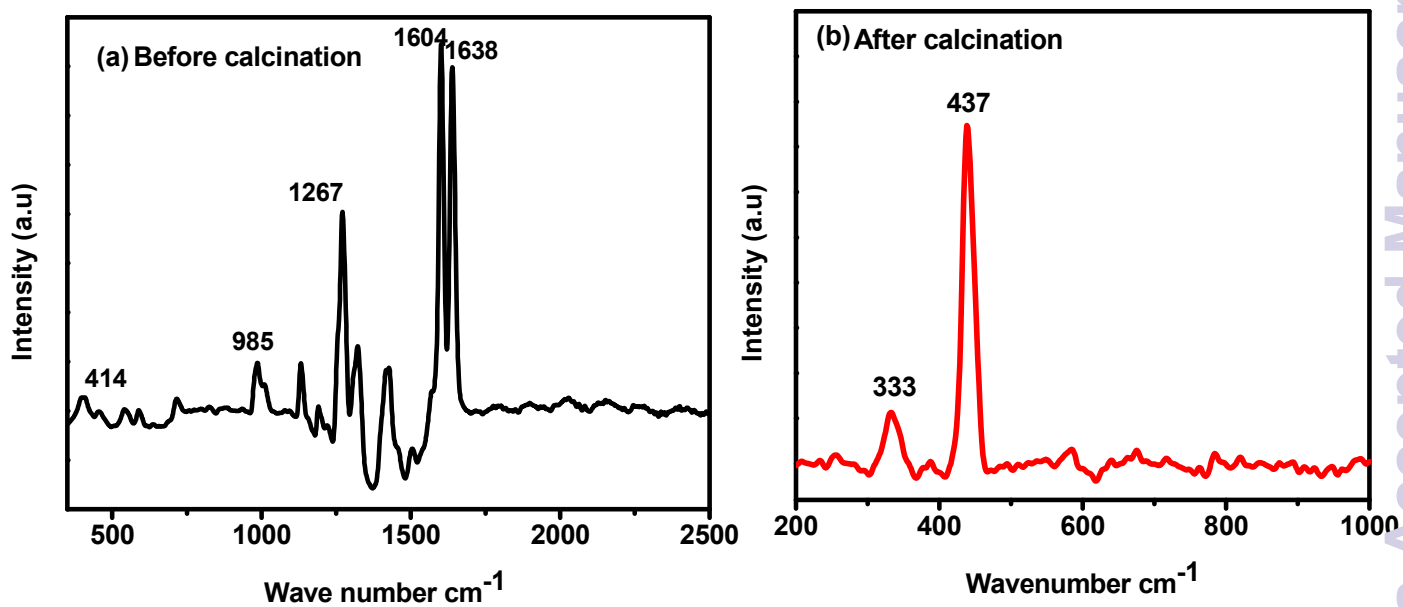
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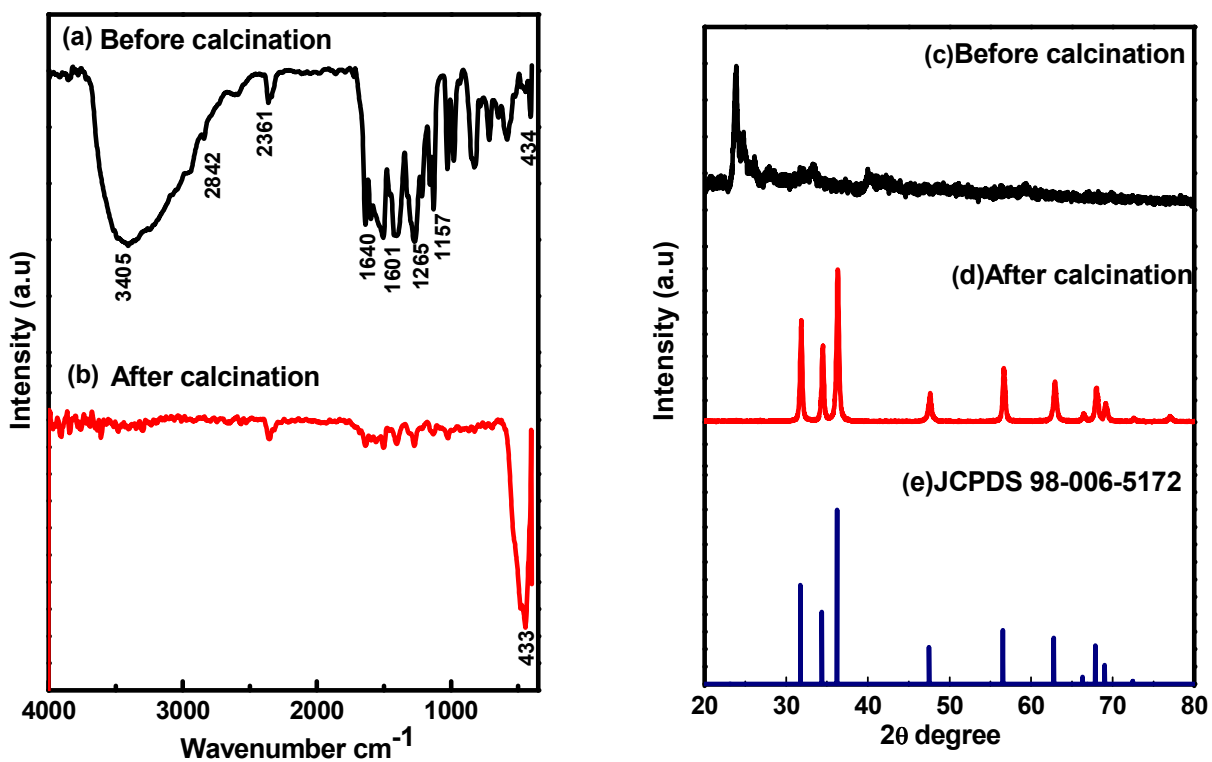
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Fig. 2



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Fig. 3





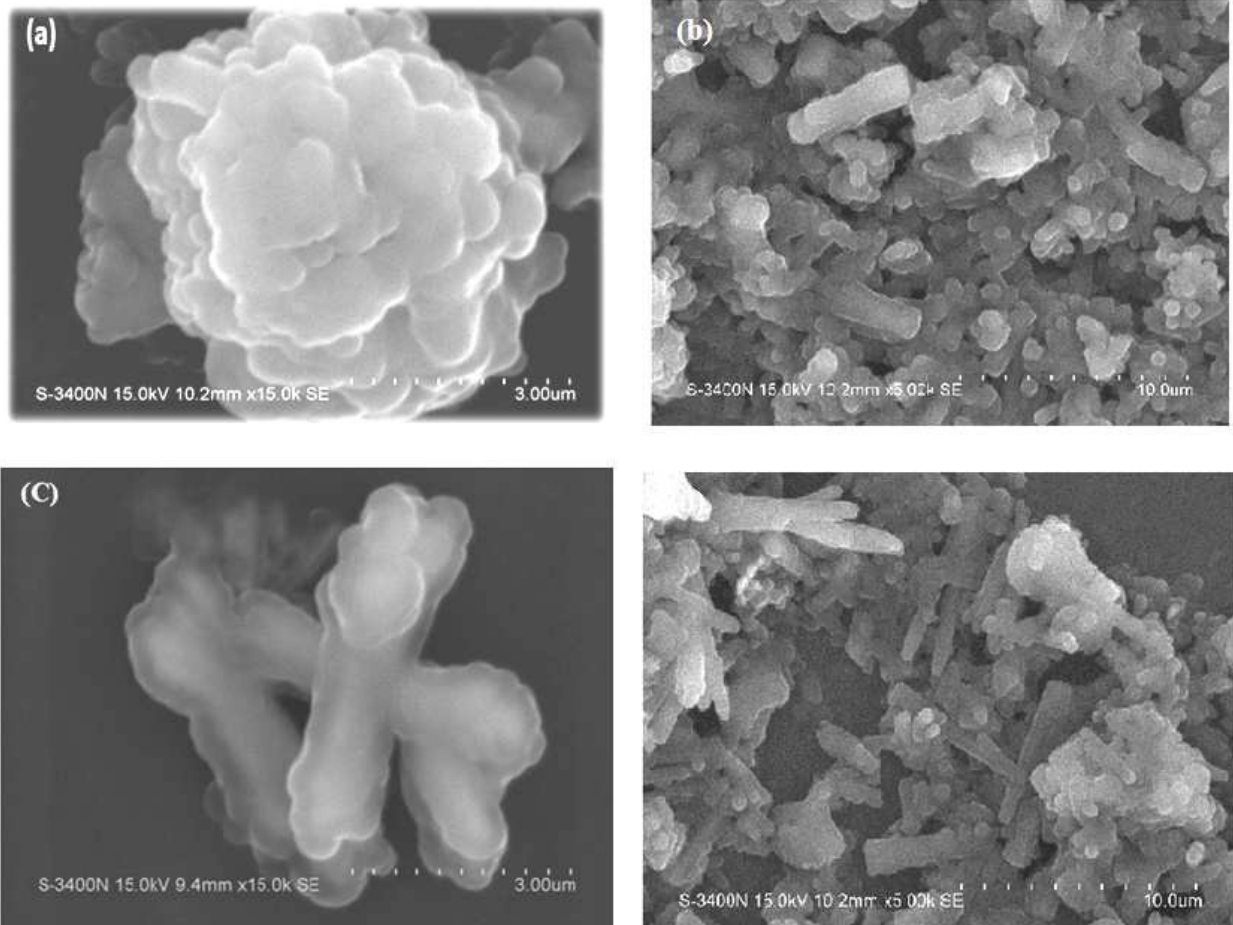
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Fig. 4



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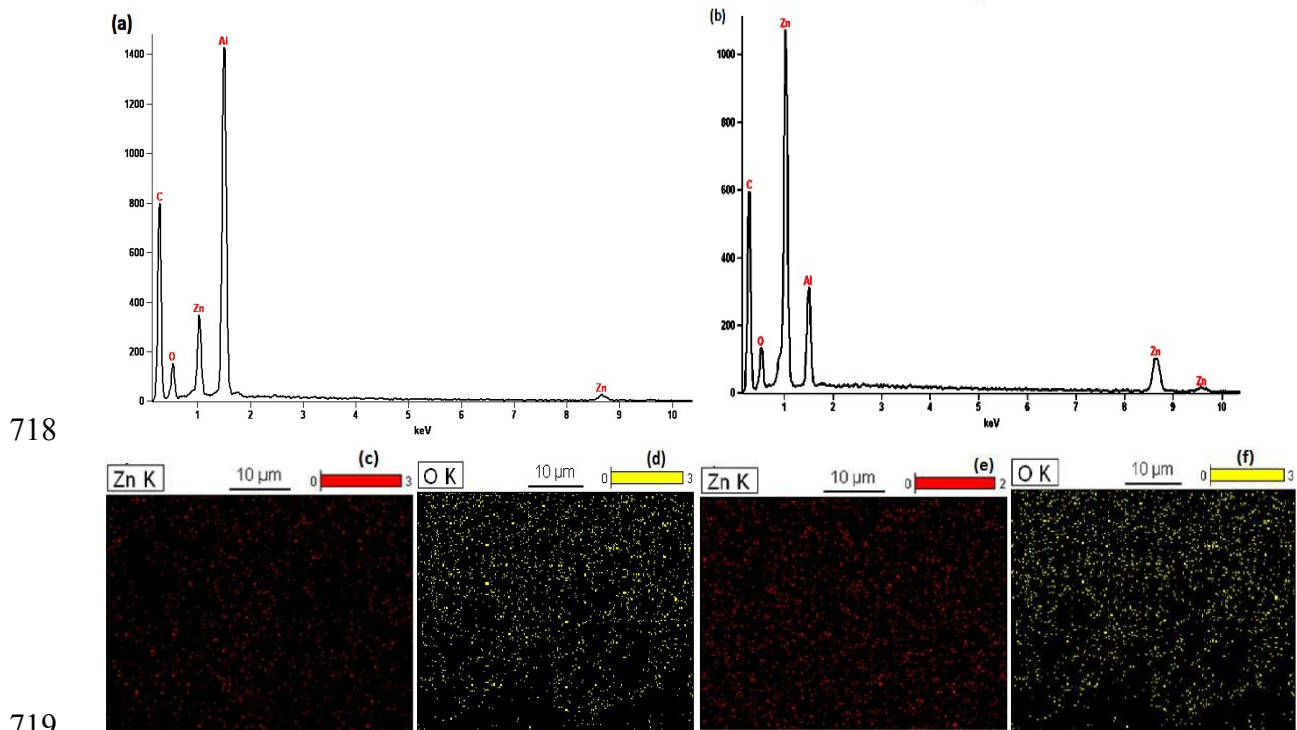
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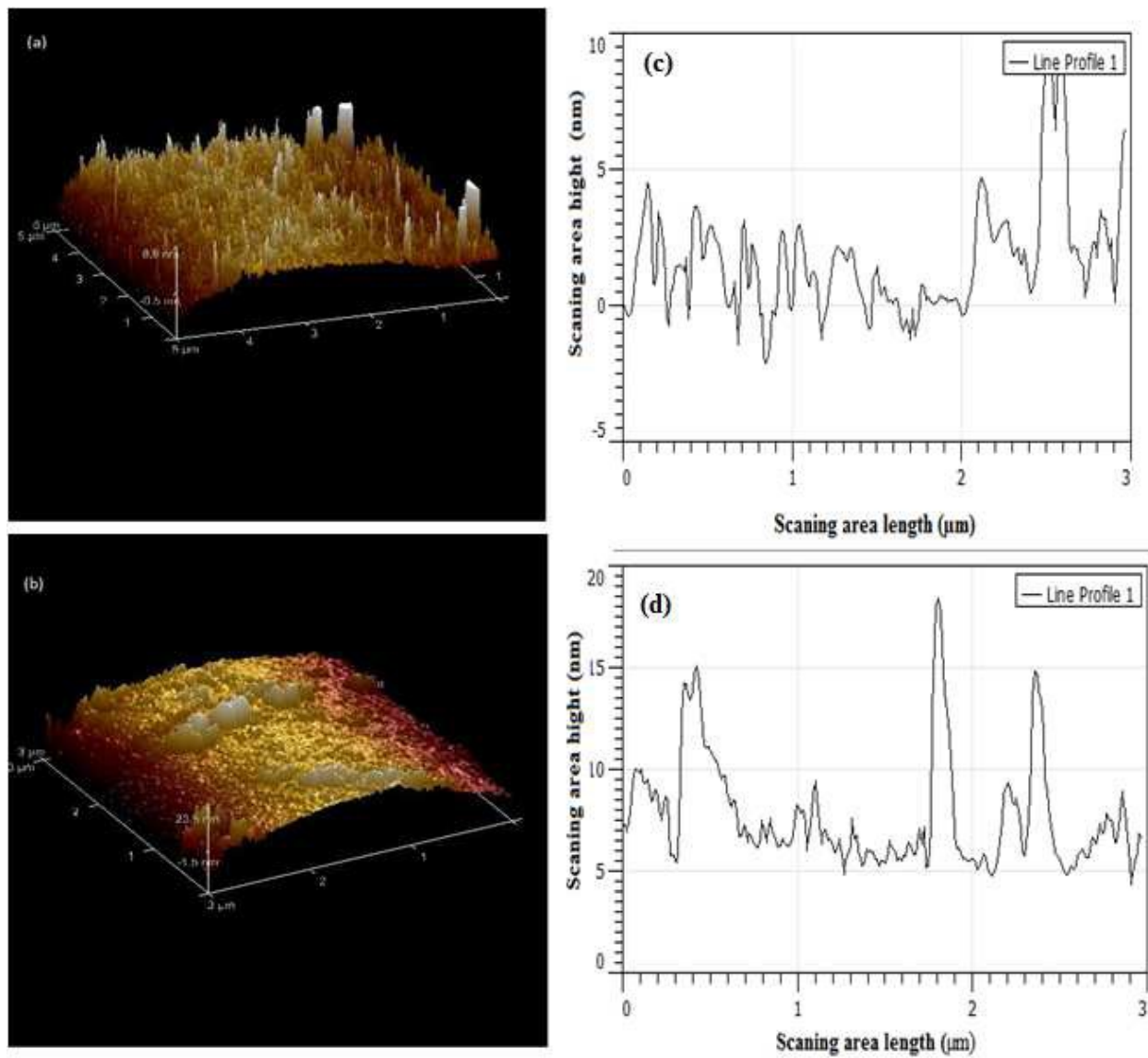
Fig. 5



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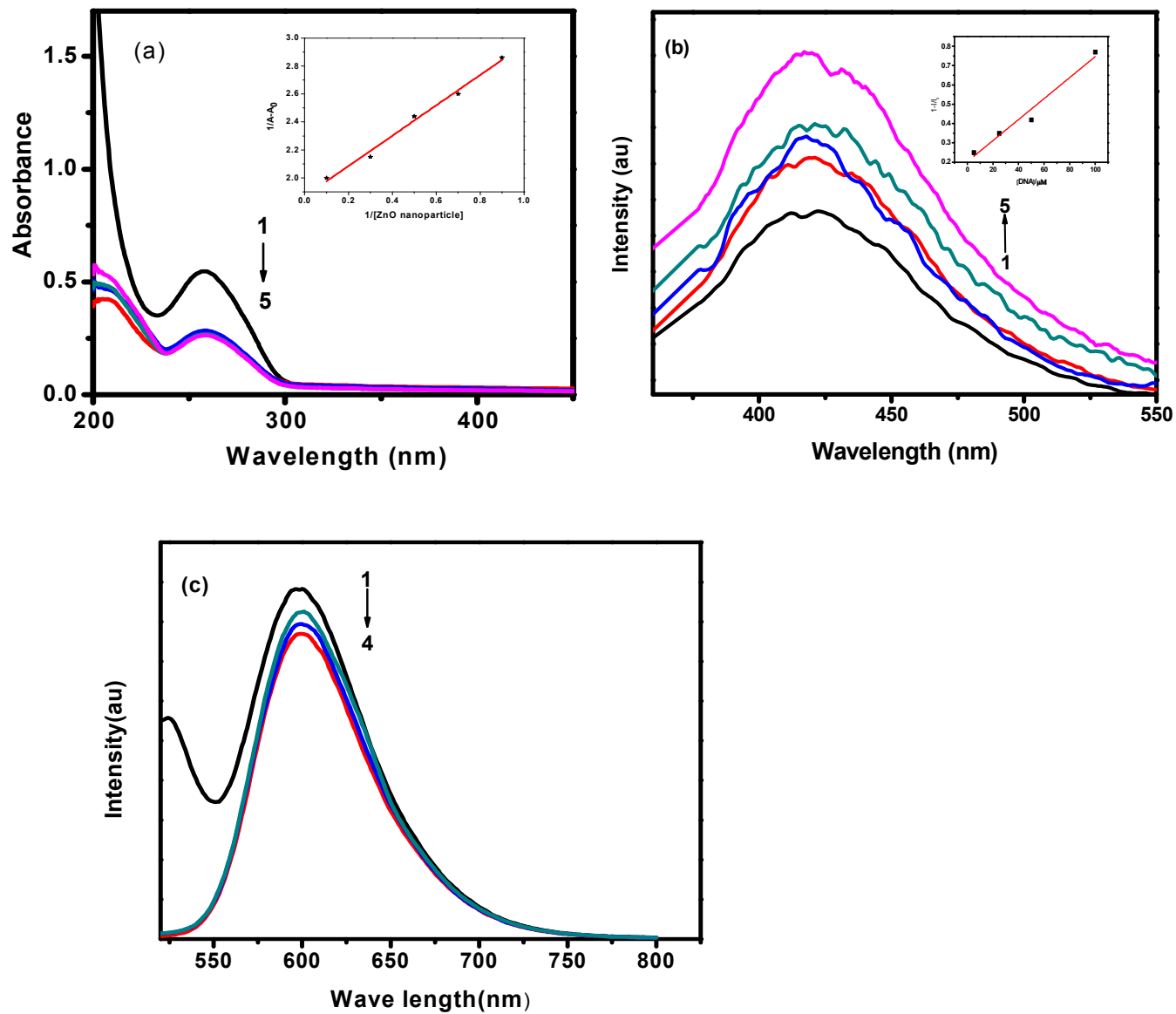
Fig. 6



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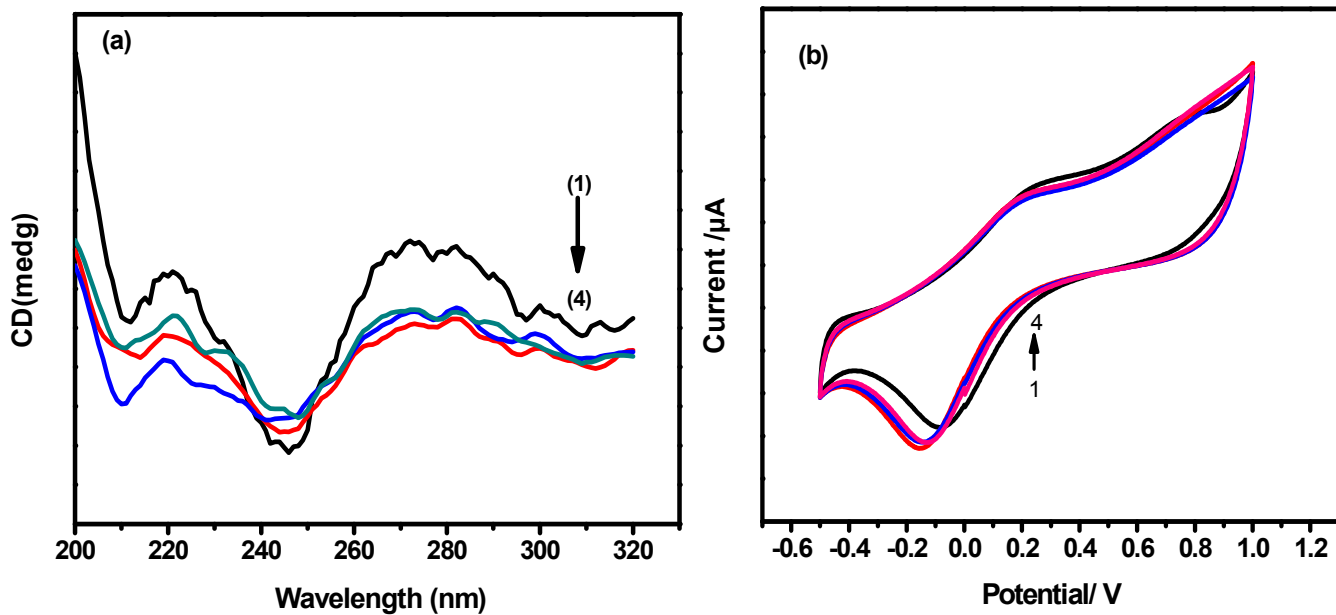
Fig. 7



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Fig. 8



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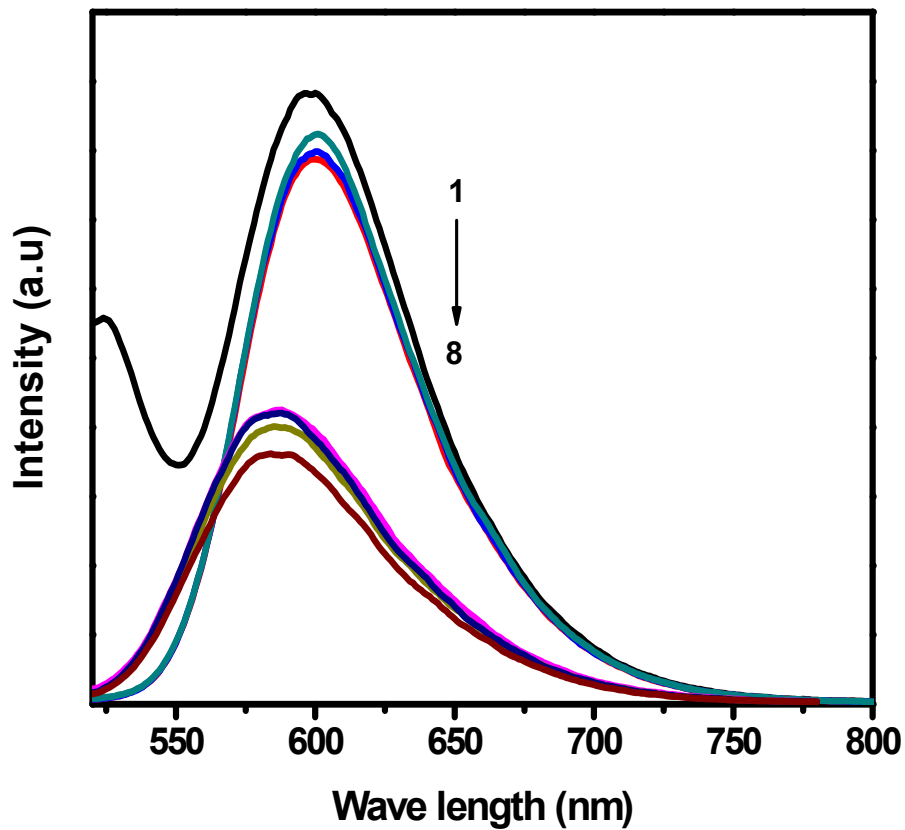
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Fig. 9



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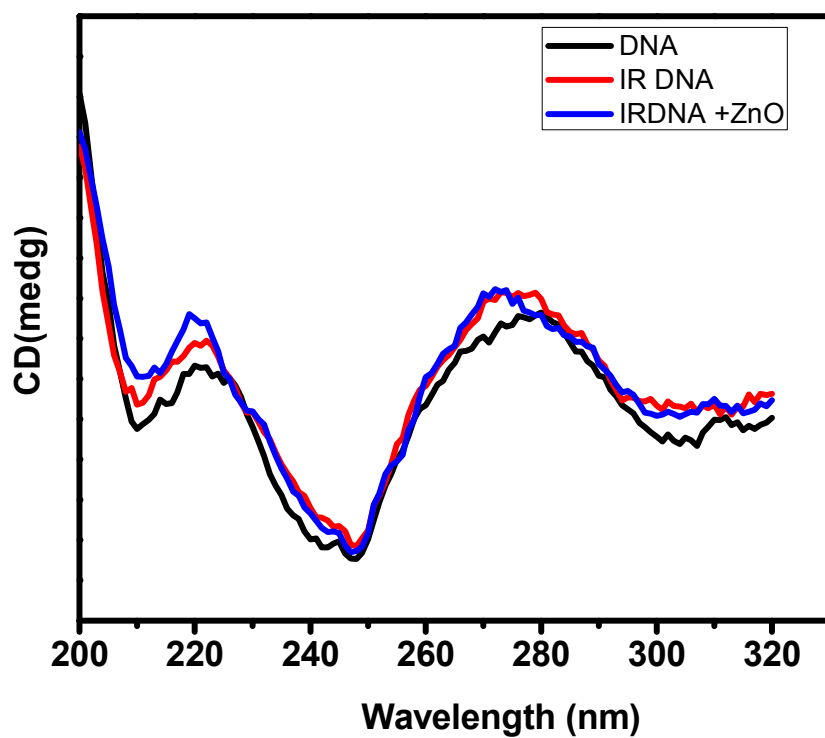
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Fig. 10



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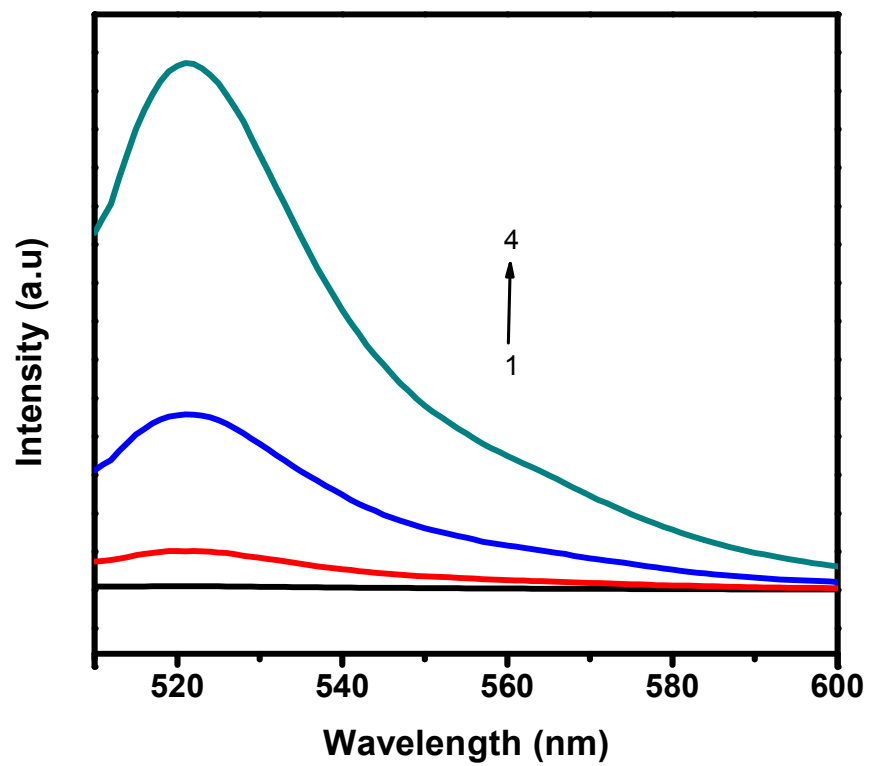
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Fig. 11



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